

# Non-Regularity of Complete CF( $\epsilon$ , $\$$ )-Grammars

Martin Plátek<sup>1</sup>, František Mráz<sup>1,\*</sup>, Dana Pardubská<sup>2</sup> and Martin Procházka<sup>1</sup>

<sup>1</sup>Charles University, Department of Computer Science, Malostranské nám. 25, 118 00 Praha 1, Czech Republic

<sup>2</sup>Comenius University in Bratislava, Department of Computer Science, Mlynská Dolina, 84248 Bratislava, Slovakia

## Abstract

We study pumping analysis by reduction represented by complete CF( $\epsilon$ , $\$$ )-grammars and their languages. A complete CF( $\epsilon$ , $\$$ )-grammar generates both a language and its complement. Complete CF( $\epsilon$ , $\$$ )-grammars serve as a tool to study the class of context-free languages that are closed under complement. Recall that the class of context-free grammars is the single class of languages from the Chomsky hierarchy that is not closed under the complement.

The pumping reductions used in this paper ensure a correctness- and error-preserving pumping analysis by reduction for each word over its input alphabet. We introduce tests for each pumping reduction, which serve as tests of non-regularity for accepted and rejected languages by corresponding grammars. That can help to develop natural error localization and error recovery techniques for languages defined by complete CF( $\epsilon$ , $\$$ )-grammars.

## Keywords

complete CF( $\epsilon$ , $\$$ )-grammar, pure pumping infix, pumping test, non-regularity

## 1. Introduction

This paper is a continuation of the papers [1, 2, 3, 4], inspired also by [5, 6]. We introduce and study complete context-free grammars with sentinels, mainly their pumping reductions and pumping tests. These notions are motivated by the linguistic method called analysis by reduction (here mentioned as reduction analysis); see [7, 8, 9, 10].

Reduction analysis is a method for checking the correctness of an input word by stepwise rewriting some part of the current form with a shorter one until we obtain a simple word for which we can decide its correctness easily. In general, reduction analysis is nondeterministic, and in one step, we can rewrite a substring of a length limited by a constant with a shorter string. An input word is accepted if there is a sequence of reductions such that the final simple word is from the language. Then, intermediate words obtained during the analysis are also accepted. Each reduction must be *error-preserving*; that is, no word outside the target language can be rewritten into a word from the language.

This paper focuses mainly on a restricted version of the reduction analysis called *pumping reduction analysis*, which has several additional properties. In each step of the pumping reduction analysis, the current word is not

rewritten. Instead, at most two continuous segments of the current word are deleted. In addition, we consider the pumping reduction analysis for languages generated by the so-called complete grammars.

Informally, a complete grammar (with sentinels  $\epsilon$  and  $\$$ )  $G_C$  is an extended context-free grammar (CFG) with two initial nonterminals  $S_A$  and  $S_R$ . Such grammar has a finite alphabet  $\Sigma$  of terminals not containing  $\epsilon$  and  $\$$ , a finite alphabet of nonterminals, and a set of rewriting rules of the form  $X \rightarrow \alpha$ , where  $X$  is a nonterminal and  $\alpha$  is a string of terminals, nonterminals, and sentinels  $\epsilon$ ,  $\$$ . The language generated by the grammar is the set  $\{\epsilon\} \cdot \Sigma^* \cdot \{\$\}$ . The set of words generated from the initial nonterminal  $S_A$  called the accepting language, is a language of the form  $\{\epsilon\} \cdot L \cdot \{\$\}$ , where  $L \subseteq \Sigma^*$ , and the set of words generated from the second initial nonterminal  $S_R$ , called rejecting language, is exactly  $\{\epsilon\} \cdot (\Sigma^* \setminus L) \cdot \{\$\}$ .

Pumping reduction analysis corresponds to a complete grammar  $G_C$  when for each pair of terminal words  $u, v$  such that  $u$  can be reduced to  $v$ , it holds that there are some terminal words  $x_1, x_2, x_3, x_4, x_5$ , and a nonterminal  $A$  satisfying  $u = x_1x_2x_3x_4x_5$ ,  $v = x_1x_3x_5$ , and  $S \Rightarrow_{G_C}^* x_1Ax_5 \Rightarrow_{G_C}^* x_1x_2Ax_4x_5 \Rightarrow_{G_C}^* x_1x_2x_3x_4x_5$ , where  $S$  equals  $S_A$  or  $S_R$ . Additionally, there exists a constant  $c$  that depends only on grammar  $G_C$ , such that each word of length at least  $c$  can be reduced to a shorter word.

In general, it is undecidable whether an arbitrary context-free grammar generates a regular language [11]. This means that no algorithm can universally determine if a given CFG produces a regular language. We propose to use some tests to test the non-regularity of accepting and rejecting languages. The main result of the paper says that if a complete grammar (with sentinels  $\epsilon$  and  $\$$ )

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\*Corresponding author.

✉ martin.platek@mff.cuni.cz (M. Plátek);

frantisek.mraz@mff.cuni.cz (F. Mráz);

pardubska@dcs.fmph.uniba.sk (D. Pardubská);

martproc@gmail.com (M. Procházka)

🆔 0000-0003-3147-6442 (M. Plátek); 0000-0001-3869-3340 (F. Mráz);

0000-0001-9383-8117 (D. Pardubská)

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$G_C$  has a switching pumping test, then its accepting and rejecting languages are non-regular.

This paper is structured as follows. Section 2 introduces  $CF(\mathfrak{c}, \$)$ -grammars, pumping infixes and reductions, and complete  $CF(\mathfrak{c}, \$)$ -grammars. Section 3 presents the main result. It is followed by a section that discusses open problems and future work.

## 2. Basic notions

**Definition 1 (CF( $\mathfrak{c}, \$$ )-grammars).** Let  $N$  and  $\Sigma$  be two disjoint alphabets,  $\mathfrak{c}, \$ \notin (N \cup \Sigma)$  and  $G = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S, R)$  be a context-free grammar generating a language of the form  $\{\mathfrak{c}\} \cdot L \cdot \{\$\}$ , where  $L \subseteq \Sigma^*$ , and  $S$  does not occur in the right-hand side of any rule in  $R$ . We say that  $G$  is a  $CF(\mathfrak{c}, \$)$ -grammar. The language  $L$  is the internal language of  $G$ , and is denoted  $L_{in}(G)$ .

The closure properties of the class of context-free languages imply that for a  $CF(\mathfrak{c}, \$)$ -grammar  $G$ , both languages  $L(G)$  and  $L_{in}(G)$  are context-free. The added right sentinel  $\$$  facilitates the recognition of languages. E.g., if  $L$  is a deterministic context-free language, then it can be generated by an LR(1)-grammar. But then,  $L \cdot \{\$\}$  and  $\{\mathfrak{c}\} \cdot L \cdot \{\$\}$  are both generated by simpler LR(0) grammars. The left sentinel  $\mathfrak{c}$  is included in  $CF(\mathfrak{c}, \$)$ -grammars for compatibility with RP-automata. The class of all  $L_{in}(G)$  characterizes the class CFL.

### 2.1. Pumping infixes and reductions

**Definition 2.** Let  $G = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S, R)$  be a  $CF(\mathfrak{c}, \$)$ -grammar,  $x, u_1, v, u_2, y$  be words over  $\Sigma$ ,  $|u_1 u_2| > 0$ , and  $A \in N$  be a nonterminal. If

$$S \Rightarrow^* \mathfrak{c}x Ay \$ \Rightarrow^* \mathfrak{c}x u_1 A u_2 y \$ \Rightarrow^* \mathfrak{c}x u_1 v u_2 y \$ \quad (1)$$

we say that  $(\mathfrak{c}x, u_1, A, v, u_2, y \$)$  is a pumping infix by  $G$ , and that  $\mathfrak{c}x u_1 v u_2 y \$ \rightsquigarrow_{P(G)} \mathfrak{c}x v y \$$  is a pumping reduction by  $G$ .

If both  $u_1$  and  $u_2$  are not empty, we say that  $(\mathfrak{c}x, u_1, A, v, u_2, y \$)$  is a two-side pumping infix by  $G$ , and that  $\mathfrak{c}x u_1 v u_2 y \$ \rightsquigarrow_{P(G)} \mathfrak{c}x v y \$$  is a two-side pumping reduction by  $G$ .

If  $u_1 = \lambda$  we say that  $(\mathfrak{c}x, u_1, A, v, u_2, y \$)$  is a right-side pumping infix by  $G$ , and  $\mathfrak{c}x v u_2 y \$ \rightsquigarrow_{P(G)} \mathfrak{c}x v y \$$  is a right-side pumping reduction by  $G$ .

If  $u_2 = \lambda$  we say that  $(\mathfrak{c}x, u_1, A, v, u_2, y \$)$  is a left-side pumping infix by  $G$ , and  $\mathfrak{c}x u_1 v y \$ \rightsquigarrow_{P(G)} \mathfrak{c}x v y \$$  is a left-side pumping reduction by  $G$ .

The relation  $\rightsquigarrow_{P(G)}^*$  is the reflexive and transitive closure of the pumping reduction relation  $\rightsquigarrow_{P(G)}$ .

Note that we have not omitted the sentinels in the pumping infix and pumping reduction.

If  $(\mathfrak{c}x, u_1, A, v, u_2, y \$)$  is a pumping infix by  $G$ , then all words of the form  $\mathfrak{c}x u_1^i v u_2^j y \$$ , for all integers  $i \geq 0$ , belong to  $L(G)$ .

Let  $G = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S, R)$  be a  $CF(\mathfrak{c}, \$)$ -grammar,  $t$  be the number of nonterminals of  $G$ , and  $k$  be the maximal length of the right-hand side of the rules in  $R$ . Let  $T$  be a derivation tree according to  $G$ . If  $T$  has more than  $k^t$  leaves, a path exists from a leaf to the root of  $T$  such that it contains at least  $t + 1$  nodes labeled by nonterminals. As  $G$  has only  $t$  nonterminals, at least two nodes on the path are labeled with the same nonterminal  $A$ . In that case, there is a pumping reduction corresponding to this word. We say that  $K_G = k^t$  is the grammar number of  $G$ .

Note that for each word from  $L(G)$  of length greater than  $K_G$ , some pumping infix by  $G$  must correspond. On the other hand, each word generated by  $G$  that is not pumped is of length at most  $K_G$ .

Note that in the above derivation (1), the length of the words  $x, u_1, v, u_2, y$  is not limited.

A pumping reduction  $w \rightsquigarrow_{P(G)} w'$  corresponds to removing a part of the derivation tree between some two nodes  $r_1, r_2$  labeled with the same nonterminal  $A$  occurring on a path from the root of the derivation tree for  $w$ .

### 2.2. Complete CF( $\mathfrak{c}, \$$ )-grammars

**Definition 3.** Let  $G_C = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S, R)$  be a  $CF(\mathfrak{c}, \$)$ -grammar. Then  $G_C$  is called a complete  $CF(\mathfrak{c}, \$)$ -grammar if

1.  $S \rightarrow S_A \mid S_R$ , where  $S_A, S_R \in N$ , are the only rules in  $R$  containing the initial nonterminal  $S$ . No other rule of  $G_C$  contains  $S_A$  or  $S_R$  in its right-hand side.
2. The languages  $L(G_A)$  and  $L(G_R)$  generated by the grammars  $G_A = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S_A, R)$  and  $G_R = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S_R, R)$ , respectively, are disjoint and complementary with respect to  $\{\mathfrak{c}\} \cdot \Sigma^* \cdot \{\$\}$ . That is,  $L(G_A) \cap L(G_R) = \emptyset$  and  $L(G_C) = L(G_A) \cup L(G_R) = \{\mathfrak{c}\} \cdot \Sigma^* \cdot \{\$\}$ .

We will denote the grammar as  $G_C = (G_A, G_R)$ . Further, we will call  $G_A$  and  $G_R$  as accepting and rejecting grammar of the complete  $CF(\mathfrak{c}, \$)$ -grammar  $G_C$ , respectively.

For each word of the form  $\mathfrak{c}w \$$ , where  $w \in \Sigma^*$ , there is some derivation tree  $T$  according to  $G_C$ . The node under the root of  $T$  is labeled either  $S_A$  or  $S_R$ . If it is  $S_A$ , the word is generated by the accepting grammar  $G_A$ . Otherwise, it is generated by the rejecting grammar  $G_R$ .

Moreover, for each word, two or more derivation trees can exist, but all of them are accepting or all of them are rejecting.

### 3. Non-regularity by complete CF( $\mathfrak{c}, \$$ )-grammars

If  $G_C$  is a complete CF( $\mathfrak{c}, \$$ )-grammar, then both  $L(G_A)$  and  $L(G_R)$  are context-free languages. How can we decide whether those languages are regular or non-regular? In this section, we show some properties that help answer that question.

At first, we introduce a weaker notion of pumping infix that does not contain the information on which nonterminal is pumped.

**Definition 4 (Pure pumping infix/reduction).** Let  $G_C = (G_A, G_R) = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S, R)$  be a complete CF( $\mathfrak{c}, \$$ )-grammar,  $x, u_1, v, u_2, y$  be some words,  $x \in \{\mathfrak{c}\} \cdot \Sigma^*, u_1, u_2 \in \Sigma^*, |u_1 u_2| > 0, y \in \Sigma^* \cdot \{\$\}$ .

- If  $xu_1^n v u_2^n y$  is in  $L(G_A)$ , for each integer  $n \geq 0$ , we say that  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_A$ . We say that the pair of words  $xu_1 v u_2 y$ ,  $xvy$  is pure pumping reduction by  $G_A$  and write  $xu_1 v u_2 y \Rightarrow_{G_A} xvy$ .
- If  $xu_1^n v u_2^n y$  is in  $L(G_R)$ , for each integer  $n \geq 0$ , we say that  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_R$ . We say that the pair of words  $xu_1 v u_2 y$ ,  $xvy$  is pure pumping reduction by  $G_R$ . We write  $xu_1 v u_2 y \Rightarrow_{G_R} xvy$ .

We say that  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_C$  if it is a pure pumping infix by  $G_A$  or by  $G_R$ . We say that the pair of words  $xu_1 v u_2 y$ ,  $xvy$  is a pure pumping reduction by  $G_C$  if it is a pumping reduction by  $G_A$  or by  $G_R$ . We write  $xu_1 v u_2 y \Rightarrow_{G_C} xvy$ .

Actually, pure pumping infix need not directly correspond to any pumping infix by the given complete CF( $\mathfrak{c}, \$$ )-grammar. This is illustrated with the following example.

**Example 1.** Let  $G_C = (G_A, G_R)$ ,  $G_C = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S, R)$  be a complete CF( $\mathfrak{c}, \$$ )-grammar, where  $N = \{S, S_A, S_R, A, B, C, D, E\}$ ,  $\Sigma = \{a, b\}$ ,  $S_A$  and  $S_R$  are the initial nonterminals of the grammars  $G_A$  and  $G_R$ , respectively, and  $R$  consists of the following rules:

$$\begin{aligned}
S &\rightarrow S_A \mid S_R, \\
S_A &\rightarrow \mathfrak{c}\$ \mid \mathfrak{c}A\$ \mid \mathfrak{c}AC\$ \mid \mathfrak{c}C\$, \\
A &\rightarrow aA \mid a, \\
C &\rightarrow aDb \mid ab, \\
D &\rightarrow aCb \mid ab, \\
S_R &\rightarrow \mathfrak{c}B\$ \mid \mathfrak{c}CB\$ \mid \mathfrak{c}ba\$ \mid \mathfrak{c}Eab\$ \mid \\
&\quad \mathfrak{c}abE\$ \mid \mathfrak{c}Eab\$, \\
B &\rightarrow bB \mid b, \\
E &\rightarrow aE \mid bE \mid a \mid b.
\end{aligned}$$

Clearly, grammar  $G_A$  generates the language  $L(G_A) = \{\mathfrak{c}\} \cdot L_A \cdot \$$ , where  $L_A = \{a^n b^m \mid n \geq m \geq 0\}$  and grammar  $G_R$  generates the language  $L(G_R) = \{\mathfrak{c}\} \cdot L_R \cdot \$$ , where  $L_R = \{a, b\}^* \setminus L_A$ .

As we have the following derivation according to  $G_C$

$$\begin{aligned}
S &\Rightarrow_{G_C} S_A \Rightarrow_{G_C} \mathfrak{c}C\$ \Rightarrow_{G_C} \mathfrak{c}aDb\$ \Rightarrow_{G_C} \\
&\quad \mathfrak{c}aaCb\$ \Rightarrow_{G_C} \mathfrak{c}aaaDb\$ \Rightarrow_{G_C} \\
&\quad \mathfrak{c}aaaaCb\$ \Rightarrow_{G_C} \mathfrak{c}aaaaab\$,
\end{aligned}$$

the pumping infix  $(\mathfrak{c}aa, aa, C, ab, bb, bb\$)$  is a pumping infix by  $G_C$  and by  $G_A$ . On the other hand,  $(\mathfrak{c}, a, ab, b, \$)$  is a pure pumping infix by  $G_C$  and by  $G_A$  such that there does not exist any pumping infix by  $G_C$  of the form  $(\mathfrak{c}, a, X, ab, b, \$)$ , where  $X$  is a nonterminal of grammar  $G_C$ .

**Theorem 1.** Let  $G_C = (G_A, G_R)$ ,  $G_C = (N, \Sigma \cup \{\mathfrak{c}, \$\}, S, R)$  be a complete CF( $\mathfrak{c}, \$$ )-grammar, and at least one of the following conditions is fulfilled (for some words  $x \in \{\mathfrak{c}\} \cdot \Sigma^*, u_1, v, u_2 \in \Sigma^*$ , and  $y \in \Sigma^* \cdot \{\$\}$ ):

(ARl) The words  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_A$ , and there are integers  $i \geq 0, j > 0$  such that

$$xu_1^{j \cdot m} u_1^{i+j \cdot n} v u_2^{i+j \cdot n} y \in L(G_R),$$

for each  $m > 0, n \geq 0$ .

(ARr) There exists  $w = xu_1 v u_2 y \in L(G_A)$  such that  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_A$ , and there are integers  $i \geq 0, j > 0$  such that

$$xu_1^{i+j \cdot n} v u_2^{i+j \cdot n} u_2^{j \cdot m} y \in L(G_R),$$

for each  $m > 0, n \geq 0$ .

(RAL) There exists  $w = xu_1 v u_2 y \in L(G_R)$  such that  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_R$ , and there are integers  $i \geq 0, j > 0$  such that

$$xu_1^{j \cdot m} u_1^{i+j \cdot n} v u_2^{i+j \cdot n} y \in L(G_A),$$

for each  $m > 0, n \geq 0$ .

(RAR) There exists  $w = xu_1 v u_2 y \in L(G_R)$  such that  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_R$ , and there are integers  $i \geq 0, j > 0$  such that

$$xu_1^{i+j \cdot n} v u_2^{i+j \cdot n} u_2^{j \cdot m} y \in L(G_A),$$

for each  $m > 0, n \geq 0$ .

Then  $L(G_A)$  and  $L(G_R)$  are non-regular languages.

**Proof:** We prove the case (ARl), whose name comes from Accept-Reject-left with the meaning that the words of the form  $x, u_1^r v u_2^r y$  are generated by the accepting grammar  $G_A$  and the words of the form  $x u_1^{j \cdot m} u_1^{i+j \cdot n} v u_2^{i+j \cdot n} y$  are generated by the rejecting grammar  $G_R$ , and they contain more copies of  $u_1$  on the left from  $v$  than the number of copies of  $u_2$  to the right from  $v$ . Then, the cases (ARr) (Accept-Reject-right), (RAL) (Reject-Accept-left), and (RAR) (Reject-Accept-right) can be shown analogously.

Let  $w = x u_1 v u_2 y \in L(G_A)$ , where  $u_1$  and  $u_2$  are non-empty,  $(x, u_1, v, u_2, y)$  be a pure pumping infix by  $G_A$ , and  $i \geq 0, j > 0$  be integers such that

$$x u_1^{j \cdot m} u_1^{i+j \cdot n} v u_2^{i+j \cdot n} y \in L(G_R),$$

for each  $m > 0, n \geq 0$ .

Assume for a contradiction that  $L(G_A)$  and  $L(G_R)$  are regular languages. According to Myhill-Nerode Theorem [12], a right congruence  $\equiv$  with a finite index  $r$  exists such that language  $L(G_A)$  is a union of some of its equivalence classes.

Consider the set of words  $\{x u_1^{i+j \cdot 1}, x u_1^{i+j \cdot 2}, \dots, x u_1^{i+j \cdot (r+1)}\}$ . Obviously, there are  $1 \leq k_1 < k_2 \leq r+1$  such that  $x u_1^{i+j \cdot k_1}$  and  $x u_1^{i+j \cdot k_2}$  belong to the same equivalence class  $Cl$  of the equivalence  $\equiv$ . By appending  $v u_2^{i+j \cdot k_1} y$  to  $x u_1^{i+j \cdot k_1}$ , we obtain  $x u_1^{i+j \cdot k_1} v u_2^{i+j \cdot k_1} y \in L(G_A)$ , since  $(x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_A$ . On the other hand,  $k_2 = k_1 + m_1$  for some  $m_1 > 0$ . According to condition (ARl), by appending the same word  $v u_2^{i+j \cdot k_1} y$  to  $x u_1^{i+j \cdot k_2}$ , we obtain that  $x u_1^{i+j \cdot k_2} v u_2^{i+j \cdot k_1} y = x u_1^{j \cdot m_1} u_1^{i+j \cdot k_1} v u_2^{i+j \cdot k_1} y$  is in  $L(G_R)$ . Thus,  $x u_1^{i+j \cdot k_1}$  and  $x u_1^{i+j \cdot k_2}$  cannot be in the same equivalence class  $Cl$ . This contradiction implies that the language  $L(G_A)$  is not regular. Since the class of regular languages is closed under the complement and intersection, the language  $L(G_R)$  must also be non-regular. That finishes the proof of this case.  $\square$

As a direct consequence of Theorem 1, we get the analogous statement for (non-pure) pumping infixes.

**Corollary 1.** *Let  $G_C = (G_A, G_R) = (N, \Sigma \cup \{c, \$\}, S, R)$  be a complete  $CF(c, \$)$ -grammar, and at least one of the following conditions is fulfilled (for some words  $x \in \{c\} \cdot \Sigma^*$ ,  $u_1, v, u_2 \in \Sigma^*$ ,  $y \in \Sigma^* \cdot \{\$\}$ ), and a non-terminal  $A \in N$ ):*

(ARl') *There exists  $w = x u_1 v u_2 y \in L(G_A)$  such that  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, A, v, u_2, y)$  is a pumping infix by  $G_A$ , and there are integers  $i \geq 0, j > 0$  such that*

$$x u_1^{j \cdot m} u_1^{i+j \cdot n} v u_2^{i+j \cdot n} y \in L(G_R),$$

for each  $m > 0, n \geq 0$ .

(ARr') *There exists  $w = x u_1 v u_2 y \in L(G_A)$  such that  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, A, v, u_2, y)$  is a pure pumping infix by  $G_A$ , and there are integers  $i \geq 0, j > 0$  such that*

$$x u_1^{i+j \cdot n} v u_2^{i+j \cdot n} u_2^{j \cdot m} y \in L(G_R),$$

for each  $m > 0, n \geq 0$ .

(RAL') *There exists  $w = x u_1 v u_2 y \in L(G_R)$  such that  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, A, v, u_2, y)$  is a pure pumping infix by  $G_R$ , and there are integers  $i \geq 0, j > 0$  such that*

$$x u_1^{j \cdot m} u_1^{i+j \cdot n} v u_2^{i+j \cdot n} y \in L(G_A),$$

for each  $m > 0, n \geq 0$ .

(RAR') *There exists  $w = x u_1 v u_2 y \in L(G_R)$  such that  $u_1$  and  $u_2$  are nonempty,  $(x, u_1, A, v, u_2, y)$  is a pure pumping infix by  $G_R$ , and there are integers  $i \geq 0, j > 0$  such that*

$$x u_1^{i+j \cdot n} v u_2^{i+j \cdot n} u_2^{j \cdot m} y \in L(G_A),$$

for each  $m > 0, n \geq 0$ .

Then  $L(G_A)$  and  $L(G_R)$  are not regular languages.

Any of the conditions (ARl), (ARr), (RAL), (RAR), (ARl'), (ARr'), (RAL'), and (RAR') is sufficient for non-regularity of a complete  $CF(c, \$)$ -grammar. Now, we examine whether the previous sufficient conditions for non-regularity are also necessary for non-regularity. We start with the definition of pumping test sets and a rather technical definition of preserving and switching tests. Based on these notions, we get another condition for non-regularity in Theorem 2.

If we know that  $(x, u_1, v, u_2, y)$  is a pure pumping infix by a grammar  $G_A$ , we have that  $x u_1^r v u_2^r y$  is in  $L(G_A)$ , for all integers  $r \geq 0$ . This could indicate a context-free dependence between the number of copies of  $u_1$  in front of the factor  $v$  and the number of copies of  $u_2$  after the factor  $v$ . However, it is still possible that all words of the form  $x u_1^m v u_2^n y$  belong to  $L(G_A)$ . Therefore, in the following, we define a switching test that should detect the situation in which there is a dependence between the number of occurrences of  $u_1$  before and the number of occurrences of  $u_2$  after  $v$ .

We define two types of test sets. The first one with a subscript 'left' should detect the situation when the number of copies of  $u_1$  can be "pumped" more times than the number of copies of  $u_2$ . A symmetric test set should detect the situation where the number of copies of  $u_2$  can be "pumped" more times than the number of copies of  $u_1$ .

Let us introduce the 'left' test set. A pumping may require pumping several, say  $j$ , copies of  $u_1$  and  $u_2$

simultaneously in one step. Furthermore, several, say  $i$ , copies of  $u_1$  and symmetrically of  $u_2$  could be produced together with the prefix  $x$  and suffix  $y$ , respectively. Hence, the left test set below contains words of the form  $xu_1^i u_1^{j \cdot m} u_1^{j \cdot n} v u_2^{j \cdot n} u_2^i y$ , for all  $m > 0$  and  $n \geq 0$ .

In order to restrict the set of pumping test sets, we require that  $i$  is not greater than  $K_{G_C} + 2t$ , and  $j$  is not greater than  $2t$ , where  $t$  denotes the number of nonterminals of  $G_C$ .

**Definition 5 (Pumping test set).** Let

$$G_C = (G_A, G_R)$$

be a complete  $CF(\mathfrak{c}, \$)$ -grammar with  $t$  nonterminals. Let  $\iota = (x, u_1, v, u_2, y)$ , where  $|u_1| > 0$ ,  $|u_2| > 0$ , be a pure pumping infix by  $G_C$  and  $i, j$  be integers such that  $i \leq K_{G_C} + 2t$ , and  $0 < j \leq 2t$ :

1. The set  $T_{\text{left}}(\iota, i, j) = \{xu_1^i u_1^{j \cdot m} u_1^{j \cdot n} v u_2^{j \cdot n} u_2^i y \mid m > 0, n \geq 0\}$  is called the left test set of  $\iota$ .
2. The set  $T_{\text{right}}(\iota, i, j) = \{xu_1^i u_1^{j \cdot n} v u_2^{j \cdot n} u_2^{j \cdot m} u_2^i y \mid m > 0, n \geq 0\}$  is called the right test set of  $\iota$ .

We say that the triple

$$Tp(G_C, \iota, i, j) = [\iota, T_{\text{left}}(\iota, i, j), T_{\text{right}}(\iota, i, j)]$$

is a pumping test set by  $G_C$ .

**Definition 6 (Preserving/switching test set).** Let  $G_C = (G_A, G_R)$  be a complete  $CF(\mathfrak{c}, \$)$ -grammar with  $t$  nonterminals,  $\iota = (x, u_1, v, u_2, y)$ , where  $|u_1| > 0$ ,  $|u_2| > 0$ , be a pure pumping infix by  $G_C$ , and  $i, j$  be integers such that  $i \leq K_{G_C} + 2t$  and  $0 < j \leq 2t$ . We say that the pumping test set  $\tau = Tp(G_C, \iota, i, j) = [\iota, T_{\text{left}}(\iota, i, j), T_{\text{right}}(\iota, i, j)]$  is preserving if

- (Aaa)**  $xu_1 v u_2 y \in L(G_A)$  and both sets  $T_{\text{left}}(\iota, i, j)$  and  $T_{\text{right}}(\iota, i, j)$  are subsets of  $L(G_A)$ ; or
- (Rrr)**  $xu_1 v u_2 y \in L(G_R)$  and both sets  $T_{\text{left}}(\iota, i, j)$  and  $T_{\text{right}}(\iota, i, j)$  are subsets of  $L(G_R)$ .

We say that  $\tau$  is switching if one of the following two cases is true:

- (AR)**  $xu_1 v u_2 y \in L(G_A)$  and  $[T_{\text{left}}(\iota, i, j) \subseteq L(G_R)$  or  $T_{\text{right}}(\iota, i, j) \subseteq L(G_R)]$ ,
- (RA)**  $xu_1 v u_2 y \in L(G_R)$  and  $[T_{\text{left}}(\iota, i, j) \subseteq L(G_A)$  or  $T_{\text{right}}(\iota, i, j) \subseteq L(G_A)]$ .

The following theorem is a direct consequence of Theorem 1 and the definition of the switching pumping test set.

**Theorem 2.** Let  $G_C = (G_A, G_R)$  be a complete  $CF(\mathfrak{c}, \$)$ -grammar, and suppose there exists a switching pumping test set by  $G_C$ . Then  $L(G_A)$ , and  $L(G_R)$  are non-regular languages.

**Proof:** We prove that both  $L(G_A)$  and  $L(G_R)$  are non-regular languages when the condition (AR) holds. The other cases can be shown similarly.

Let  $G_C = (G_A, G_R)$  be a complete  $CF(\mathfrak{c}, \$)$ -grammar with  $t$  nonterminals,  $\iota = (x, u_1, v, u_2, y)$ , where  $|u_1| > 0$ ,  $|u_2| > 0$ , be a pure pumping infix by  $G_C$  and  $i, j$  be integers such that  $i \leq K_{G_C} + 2t$  and  $0 < j \leq 2t$ , and

$$\tau = Tp(G_C, \iota, i, j) = [\iota, T_{\text{left}}(\iota, i, j), T_{\text{right}}(\iota, i, j)]$$

be a switching pumping test set such that  $xu_1 v u_2 y \in L(G_A)$  and at least one of the following conditions is true:

1.  $T_{\text{left}}(\iota, i, j) \subseteq L(G_R)$ , or
2.  $T_{\text{right}}(\iota, i, j) \subseteq L(G_R)$ .

As  $xu_1 v u_2 y \in L(G_A)$  and  $\iota = (x, u_1, v, u_2, y)$  is a pure pumping infix by  $G_C$ ,  $\iota$  is a pure pumping infix by  $G_A$ .

In case 1, the condition (ARl) of Theorem 1 is satisfied. Hence, according to Theorem 1, both languages  $L(G_A)$  and  $L(G_R)$  are not regular.

In case 2, the condition (ARr) of Theorem 1 is satisfied. Hence, according to Theorem 1, both languages  $L(G_A)$  and  $L(G_R)$  are not regular.

Similarly, we can show the case where the condition (RA) holds.  $\square$

## 4. Open problems and future work

Many open problems are left related to our original effort to compare regularity and non-regularity connected with complete  $CF(\mathfrak{c}, \$)$ -grammars. This section gives a partial idea of our plans for the future. In general, we will try to solve the decidability questions connected with (non-)regularity of complete  $CF(\mathfrak{c}, \$)$ -grammars.

**Test languages.** Let  $G_C = (G_A, G_R)$  be a complete  $CF(\mathfrak{c}, \$)$ -grammar. Let  $u_1$  and  $u_2$  be nonempty words, and  $\iota = (x, u_1, v, u_2, y)$  be a pure pumping infix by  $G_C$ .

We say that the languages

$$L(G_A) \cap \{xu_1^n v u_2^m y \mid n, m \geq 0\} \text{ and } \\ L(G_R) \cap \{xu_1^n v u_2^m y \mid n, m \geq 0\}$$

are test languages of  $\iota$ . We also say that the languages are test languages of  $G_C$ .

Concerning the test languages, we have several conjectures.

**Conjecture 1.** Let  $G_C = (G_A, G_R)$  be a complete  $CF(\mathfrak{c}, \$)$ -grammar. Let  $\iota = (x, u_1, v, u_2, y)$ , where  $|u_1| > 0$  and  $|u_2| > 0$ , be a pure pumping infix by  $G_C$ . Let all pumping tests sets  $Tp(G_C, \iota, i, j) = [l, T_{\text{left}}(\iota, i, j), T_{\text{right}}(\iota, i, j)]$  of  $\iota$ , for all integers  $i, j$ , such that  $i \leq K_{G_C} + 2t$  and  $0 < j \leq 2t$ , are preserving. Then, the test languages of  $\iota$  are regular.

**Conjecture 2.** Let  $G_C = (G_A, G_R)$  be a complete  $CF(\mathfrak{c}, \$)$ -grammar, and there does not exist any switching pumping test by  $G_C$ . Then, each test language of  $G_C$  is regular.

**Conjecture 3.** Let  $G_C = (G_A, G_R)$  be a complete  $CF(\mathfrak{c}, \$)$ -grammar. Then  $L(G_A)$  and  $L(G_R)$  are regular if and only if all test languages of  $G_C$  are regular.

**Remark.** Note that the notions of switching test and preserving test give an opportunity to introduce degrees of regularity and degrees for non-regularity of complete  $CF(\mathfrak{c}, \$)$ -grammars. That will also be one direction of our efforts in the future.

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