# Population of Human Ventricular Cell Models Calibrated with *in vivo* Measurements unravels Ionic Mechanisms of Cardiac Alternans

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#### **Abstract**

Cardiac alternans is an important risk factor in cardiac physiology, and is related to the initiation of arrhythmia in a number of pathological conditions. However, the mechanisms underlying the generation of alternans remain unclear. In this study, we used a population of computational models of human ventricular electrophysiology based on the O'Hara-Rudy dynamic model to explore the effect of 11 key factors experimentally reported to be related to cardiac alternans. In vivo experimental datasets obtained from patients undergoing cardiac surgery were used in the calibration of an in silico population of models. The calibrated models in the population were divided into two groups (Normal and Alternans) depending on the occurrence of the alternans. Our results showed that there were significant differences in the following 6 ionic currents between the two groups: the fast sodium current, the Ltype calcium current, the rapid delayed rectifier potassium current, the sodium calcium exchanger current, the sarcoplasmic reticulum (SR) calcium release flux, and the SR calcium reuptake flux.

## 1. Introduction

Cardiac alternans is a repetitive beat-to-beat fluctuation between subsequent action potentials (APs), frequently observed in many pathophysiological conditions under significant metabolic stress and chronotropic stimulation [1]. Correspondingly, cardiac alternans has been regarded as an important risk factor for the genesis of ventricular arrhythmia and fibrillation [2].

Two major hypotheses have been developed to explain the generation of alternans in cardiac myocytes: the voltage-driven and the calcium-driven hypothesis. According to the voltage-driven hypothesis, the alternation in sarcolemmal currents can lead to fluctuations in membrane voltage and AP morphology, and then cause beat-to-beat fluctuations in intracellular  $Ca^{2+}$  concentration [2]. Modulation of sarcolemmal  $K^+$  and  $Ca^{2+}$  currents has been reported to have a significant role on the stability of  $Ca^{2+}$  cycling and on the transition to stable alternans [2, 3]. Some other transmembrane proteins, such as the  $Na^+/Ca^{2+}$  exchanger and the  $Na^+/K^+$  pump, may also play a role in the generation and maintenance of these alternans.

The calcium-driven hypothesis suggests alternation of intra-cellular Ca<sup>2+</sup> concentration as the primary event, leading to changes in AP morphology and sarcolemmal currents [2]. The amount of Ca<sup>2+</sup> release from the sarcoplasmic reticulum (SR) to initiate contraction must match the amount of Ca<sup>2+</sup> reclaimed from the cytoplasm. Under this hypothesis, cardiac alternans occur when heart rate exceeds the capability of cardiac myocytes to recycle calcium. In this process, SR Ca<sup>2+</sup> release by ryanodine receptors (RyRs) and re-uptake by sarco/endoplasmic reticulum Ca<sup>2+</sup>-ATPase (SERCA) are the two major factors that affect the dynamic changes of intracellular Ca<sup>2+</sup> concentration [2]. In addition, the Na<sup>+</sup>/Ca<sup>2+</sup> exchanger and the L-type calcium current may also be involved by affecting intracellular Ca<sup>2+</sup> regulation.

The aim of this study is to use computational simulations to investigate the mechanisms underlying the generation of cardiac alternans in human ventricular cells based on *in vivo* electrophysiological recordings and a population-based approach.

#### 2. Methods

# 2.1. Cell model population

In order to simulate the variability and uncertainty of

biological systems, one parameter set in a single model is insufficient. Instead, we base our analysis on a population of models of human ventricular electrophysiology utilizing the O'Hara *et al.* model [4]. Within this population, cell models share the same equations representing ionic current kinetics but different conductance values [5, 6].

The population of models is constructed by sampling the following 11 key parameters related to the generation of cardiac alternans: GNa (fast Na<sup>+</sup> channel conductance), PCa (Ca<sup>2+</sup> channel permeability), GKs and GKr (conductances of the slow and rapid components of delayed K<sup>+</sup> rectifier current), GK1 (K<sup>+</sup> inward rectifier channel conductance), Gto (transient outward K<sup>+</sup> channel conductance), GNaL (late Na<sup>+</sup> channel conductance), GNaCa (Na<sup>+</sup>/Ca<sup>2+</sup> exchanger conductance), GNaK (Na<sup>+</sup>/K<sup>+</sup> pump), Jrel (Ca<sup>2+</sup> release via RyRs to myoplasm) and Jup (Ca<sup>2+</sup> uptake via SERCA from the myoplasm).

## 2.2. Generation of model population

Due to the complexity of cardiac cell models and the large number of parameters, considering a fine discretization and every possible combination of parameter values is computationally intractable.

Latin hypercube sampling [7] was used in this study to generate scaling factors uniformly distributed between 0 and 2, which allows for an efficient and unbiased generation of parameter sets over large number of parameters [6]. Base model parameters in [4] were then multiplied by these scaling factors.

# 2.3. Data acquisition and signal analysis

In vivo information from 44 patients (9 female, 34 male) undergoing aortic valve replacement or coronary artery bypass grafting was used in this study for the calibration of the *in silico* population of models. Multielectrode activation and repolarization maps on the whole heart epicardial surface at multiple cycle lengths (CLs) were used to calculate activation-recovery intervals (ARIs) [8].

ARIs have been validated theoretically and experimentally as a measure of APD<sub>90</sub> (AP duration to 90% repolarization); therefore, we used ARIs to constrain APD<sub>90</sub> [9]. In order to exclude the effects of extreme values, 5<sup>th</sup> and 95<sup>th</sup> percentiles (Perc) of the total ARI data were calculated as APD<sub>90</sub> thresholds, as shown in Table1.

Table 1. ARI thresholds for different cycle lengths (CL) used in the calibration of the models.

CL (ms)	600	550	500	450	400	350
ARI 5 <sup>th</sup> Perc (ms)	189	189	176	171	148	139
ARI 95 <sup>th</sup> Perc(ms)	366	337	305	285	259	230

# 2.4. Electrophysiological simulations

All numerical simulations were performed using the open source simulation software Chaste. Cellular models were stimulated for 1000 paces to guarantee steady-state conditions at each of the following CLs in a step protocol: 600, 500,450, 400, 350 and 300 ms.

### 3. Results

## 3.1. Calibration of models

10000 models were generated by varying 11 key ionic factors, as described in Methods. Any parameter sets that generated a model with unphysiological APD<sub>90</sub>, resting and peak membrane potentials were excluded from the population. The physiological ranges for resting membrane potential were calculated from literature to be from -100 mV to -64 mV [10], whereas only models exhibiting peak membrane potentials greater than 0 mV were retained in the population. 2554 models were accepted in the final population after the experimental calibration (Figure 1).

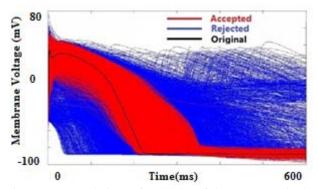


Figure 1. Population of models of human ventricular electrophysiology, paced at a CL=600 ms. Black: original O'Hara *et al.* model; blue: rejected models; red: accepted models after experimental calibration.

## 3.2. Analysis of cardiac alternans

The occurrence of APD and  $Ca^{2+}$  alternans was defined as a difference of greater than 5ms between APD<sub>80</sub> (AP duration to 80% repolarization) or  $CaTD_{80}$  ( $Ca^{2+}$  transient duration to 80% of repolarization) in the final steady-state APs of each pacing CL. For those models exhibiting an alternating behavior, no clear correlation was observed at any CL between the magnitudes of both types of cardiac alternans, with the magnitudes of CaTD alternans being significantly larger than the magnitudes of APD alternans (and vice versa) in a substantial portion of the model population (Figure 2).

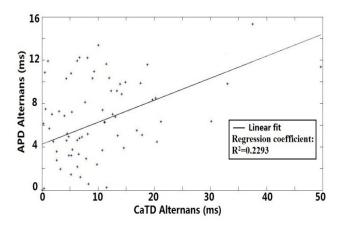


Figure 2. Analysis between APD and Ca<sup>2+</sup> transient alternans at a CL=350 ms.

Human cellular models in the population were divided into two groups (Normal and Alternans), depending on the occurrence of alternans. Both Normal (non-alternating) and Alternans group models were distributed across the whole sampling range (Figure 3). Statistical analysis (Mann-Whitney U test) was used to compare the differences between both groups. For GNa, GCaL, GKr, GNaCa, Jrel and Jup, the differences were statistically significant (Figure 3). GNa and Jup were significantly smaller in the Alternans group, while GCaL, GKr, GNaCa, and Jrel were statistically larger in the Alternans group.

GNa, GNaCa, Jrel and Jup exhibited the most significant differences between the Normal and Alternans models groups (Figure 3). We compared the contribution of these four ionic factors on APD and CaTD alternans. The distributions of APD and CaTD alternans across parameter sampling ranges were very similar. Downregulation of GNa and Jup facilitated the generation of both APD and CaTD alternans, similarly, up-regulation of GNaCa and Jrel promoted both APD and CaTD alternans (Figure 4).

## 4. Discussion and conclusions

In this study we built an experimentally-calibrated population of human ventricular electrophysiology models using the O'Hara *et al.* model and *in vivo* epicardial ARI values obtained in patients. Using the *in silico* human cell population, we detected the generation of APD and CaTD alternans and we quantified the contribution of ionic current conductances to alternans occurrence. Significant differences between GNa, GCaL, GKr, GNaCa, Jrel and Jup were observed in alternating vs. no alternating groups.

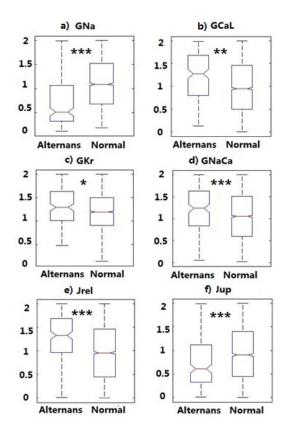


Figure 3. Ionic differences between alternans and normal groups. Central marks: distribution medians; box edges:  $25^{th}$  and  $75^{th}$  percentiles. Symbols indicate statistical differences: \*\*\*p<0.001; \*\*p<0.01; \*p<0.05.

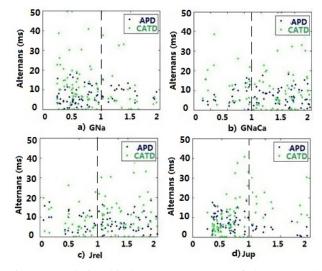


Figure 4. Relationship between two types of alternans and the distribution of parameters (CL=350 ms). Dashed lines: scaling factor=1 (original parameter).

Smaller GNa contributed to large APD and CaTD alternans at fast pacing rates. As GNa plays an important role in the depolarization period of the AP, modulation of

GNa may lead to alternans related to depolarization rather than just at the repolarization stage. Importantly, as shown in Fig. 5, data from high density mapping of the *in vivo* human heart show that in certain cases APD and depolarization alternans can occur simultaneously.

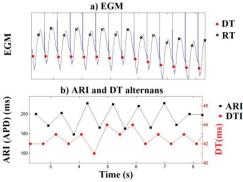


Figure 5: (a) Epicardial electrogram (EGM). Markers represent depolarization (DT) and repolarization (RT) time. (b) APD and depolarization (DT interval, DTI) alternans occur simultaneously.

The rest of parameters, however, may mainly affect alternans generation during the repolarization process. Insufficient SR Ca<sup>2+</sup> re-uptake or excess of SR Ca<sup>2+</sup> release in cardiac myocytes may cause an increase of the cytosolic Ca<sup>2+</sup> concentration, and therefore disturb the SR Ca<sup>2+</sup>-induced/Ca<sup>2+</sup>-release mechanism, leading to Ca<sup>2+</sup> alternans. It has also been found experimentally that overexpression of SR Ca<sup>2+</sup> re-uptake can facilitate resistance to APD alternans [11]. The Na<sup>+</sup>/Ca<sup>2+</sup> exchanger and the L-type Ca<sup>2+</sup> current may also be involved in this process by affecting the intracellular Ca<sup>2+</sup> cycle. GKr may play a role in this process by affecting the repolarization duration: a larger GKr will decrease the time for Ca<sup>2+</sup> cycle recovery and therefore enable alternans generation.

The results in this study were based on the initial analysis of the *in vivo* data from 44 individuals. Currently, we used the overall ARI ranges from all patients to calibrate the APD<sub>90</sub> of the cellular models. Future work may extend this approach by developing further subpopulations based on gender, age and the condition of patients. In this study, physiological resting and peak membrane potentials were also included in the calibration; additional physiological information may be incorporated into this process in the future to make the results closer to physiological observations. Tissue level simulations may also be considered in the analysis of alternans in future studies.

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