

A Phase II Study of Antineoplastons A10 and AS2-1 in Children with Brain Tumors. Final Report (Protocol BT-10)

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Abstract

Despite dramatic progress over the last 50 years in the treatment of many childhood cancers, primary brain tumors remain the leading cause of death in pediatric oncology. This phase II study evaluated the efficacy and safety of Antineoplastons A10 and AS2-1 given in combination (ANP). Thirty-four patients, with a median age of 10.4 years, were enrolled in the study. Thirty-two patients (94.1%), were Caucasians while 21 (61.8%) were female and 13 were male (38.2%). Twenty-four patients (70.6%) suffered from a brainstem glioma (BSG) or high-grade tumor. Ten patients (29.4%) suffered from a low-grade tumor. A distinct sub-group of three patients with low grade tumors had a ganglioglioma (GG). Eighty-two percent of patients had failed standard treatment. Daily ANP was administered by IV infusion, every four hours, until an objective response (OR) was documented, and then for an additional eight months. The median doses of A10 and AS2-1 were 11.64 g/kg/d and 0.45 g/kg/d, respectively. A complete response (CR) was documented in two patients (5.9%), a partial response (PR) in four patients (11.8%), and stable disease (SD) in six patients (17.6%). Objective responses were observed in diffuse intrinsic pontine glioma (DIPG), thalamic pilocytic astrocytoma with brainstem involvement, ganglioglioma and pilocytic astrocytoma. Six-month progression-free survival (PFS) was 35.3%. Overall survival (OS) at two and five years was 37.6% and 34.5%, respectively. Two patients experienced grade 4 hyponatremia while three experienced grade 3 hypokalemia. In this group of patients, ANP showed good efficacy and an acceptable toxicity profile.

Keywords

Antineoplastons A10 and AS2-1, Brainstem Glioma, DIPG, Ganglioglioma, Recurrent Glioma

1. Introduction

In the last 50 years, there has been dramatic progress in the treatment of most childhood cancers, which has translated into an improvement in long-term overall survival (OS) for 80% of these patients [1]. Unfortunately, tumors of the central nervous system (CNS) remain the leading cause of death in pediatric oncology [1] [2]. Childhood brain tumors are a diverse group of neoplasms which can be subdivided into 12 main categories [1]. The largest group, gliomas, accounts for 53% of tumors in children ages 0 - 14 years and 37% of tumors in adolescents, age 15 - 19 years [1]. Low-grade astrocytomas (LGA) are the most common type of gliomas. LGA grade 1 (pilocytic) has a relatively favorable prognosis, but the pilomyxoid variant is more likely to disseminate and has a more aggressive course [3]. Multicentric tumors are not curable by currently available treatments. High-grade gliomas (HGG) and diffuse intrinsic pontine glioma (DIPG) are less common, but remain incurable and continue to provide significant challenges for pediatric oncologists [4]. Antineoplastons A10 and AS2-1 are synthetic amino acid derivatives utilized in combination (ANP). A10 consists of a 4:1 ratio of phenylacetylglutamate sodium (PG) and phenylacetylglutamate sodium (isoPG). AS2-1 consists of a 4:1 ratio of phenylacetate sodium (PN and PG) [5] [6]. Initial clinical responses in the treatment of pediatric brain tumors led to the design and implementation of a series of clinical studies to evaluate the safety and efficacy of ANP [7]. The first study, conducted according to Protocol BT-06 assessed the efficacy and safety of ANP in children diagnosed with recurrent (persistent) HGG [8]. This paper discusses the results of a second study conducted according to Protocol BT-10, which was designed to provide a preliminary evaluation of the results of ANP therapy in newly-diagnosed and recurrent high-grade and low-grade pediatric brain tumors. Subsequent studies concentrated on different subgroups of pediatric brain tumors including brainstem glioma (BSG), LGA, optic pathway glioma (OPG), recurrent primitive neuroectodermal tumor (PNET), atypical teratoid/rhabdoid tumor (AT/RT), ependymoma, choroid plexus carcinoma, and craniopharyngioma (CP) [9]-[17]. The interim results on some of these trials have been published [6]-[17].

2. Patients and Methods

2.1. Patient Population

Enrolled patients were more than 6 months, but less than 18 years of age, with radiologic evidence of recurrent, refractory, progressive or persistent primary brain tumors despite receiving standard therapy. Radiologic evidence of tumor location and size was obtained via magnetic resonance imaging (MRI) performed within 14 days of starting ANP.

Inclusion criteria included a histologically confirmed, primary malignant brain tumor considered to be incurable following standard therapy. Patients were at least 1) four weeks past any surgical therapy, having made a complete recovery; 2) eight weeks past the last dose of radiation therapy (RT); and 3) four

weeks past the last dose of chemotherapy (six weeks for nitrosoureas) or immunotherapy. However, patients with clear evidence of disease progression during initial therapy could be enrolled earlier if the investigator had determined that it is safe to administer ANP to such patients. Exclusion criteria included active serious active infections, fever or other medical conditions that would interfere with the evaluation of ANP (*i.e.*, severe heart or lung disease or hepatic failure) Patients with uncontrolled hypertension, a history of congestive heart failure or a history of renal disease were also excluded.

It is generally accepted that the diagnosis of BSG can be made by MRI without the necessity of a biopsy. In this way, DIPG can be diagnosed if the tumor has an epicenter in the pons and involves more than 50% of the pons (patients with neurofibromatosis are not included). Tumors that involve less than 50% of the pons or are exophytic in nature were classified as DIPG if they had anaplastic, glioblastoma (GBM) or gliosarcoma (GS) histology [18] [19] [20] [21]. Other types of BSG include focal, exophytic, cervicomedullary and midbrain tumors [22] [23].

All study patients and/or their legal guardians read, understood, and signed written Informed Consent Documents prior to enrollment. This study was conducted in accordance with the US Code of Federal Regulations, Title 21, Parts 11, 50, 56, and 312; the Declaration of Helsinki (1964) including all amendments and revisions; the Good Clinical Practices: Consolidated Guideline (E6); International Conference on Harmonization; and the FDA's Guidance for Industry. The study was sponsored by the BRI and conducted by the Burzynski Clinic (BC) in Houston, Texas. Patients received ANP free-of-charge.

2.2. Study Design

The study was designed as a single-arm (*i.e.*, no placebo group), two-stage, interventional Phase II trial of ANP as monotherapy in a high-risk, poor-prognosis study population [24]. The study was listed by the National Cancer Institute (NCI), performed under the supervision of an independent Institutional Review Board, the BRI-IRB, and conducted according to Protocol BT-10, which was submitted to the FDA under IND # 43,742. Study enrollment commenced on 9/16/1996 and continued until 7/19/2012. The protocol was amended on occasion by BRI, but none of the amendments altered the study objectives/outcomes or affected patient safety.

2.3. Statistical Considerations

The primary endpoint was objective response to ANP, (*i.e.*, complete response (CR) or partial response (PR)). Secondary endpoints included overall survival (OS) and progression-free survival (PFS). OS was measured from the first day of ANP administration until death from any cause. PFS was measured from the first day of ANP administration until the date of first observation of progressive disease (PD) or until death from any cause. The distributions of OS and PFS were estimated by a Kaplan-Meier analysis.

The sample size was calculated based upon the method described by Chang *et al.* [24]. In this two-stage study, an interim analysis was conducted after 20 patients had been enrolled. Since one or more patients had achieved an objective radiographic response, an additional twenty patients could be recruited. An objective response rate to ANP of $\geq 10\%$ (*i.e.*, four objective responses) was considered “of interest” and sufficient to warrant further study. Because six objective responses were observed after the enrollment of 34 patients, the study was terminated early.

2.4. Treatment

Every four hours, ANP were administered through a dual channel infusion pump and subclavian vein catheter. The details of ANP therapy have previously been described [11].

Medications that were considered necessary for the patients’ welfare and that did not interfere with the evaluation of ANP were given at the discretion of the investigator. The use of corticosteroids was carefully monitored. Patients received full supportive care when appropriate. Treatment with other antineoplastic or immunomodulatory agents was not permitted.

The initial three weeks of therapy were administered by BC staff on an outpatient basis, in Houston, Texas. Patients and/or their legal guardians were trained by clinic staff to self-administer ANP during this time. Beginning at week 4, ANP therapy was administered at home with 24-hour support from the clinic. At-home therapy and monitoring of the subject’s condition were carried out under the supervision of the subject’s local physician.

2.5. Evaluation and Follow-Up

Within 14 days of the start of ANP, a gadolinium-enhanced MRI measured all contrast-enhancing lesions. The products of the two greatest perpendicular diameters of all lesions were calculated and totaled, providing a baseline evaluation (“sum”) for each study subject. While tumor measurements were based on the contrast enhanced lesions, tumor size was also measured utilizing T2 and FLAIR images [21] [25]. The details of the required laboratory tests and follow-up studies have previously been published [11]. Adverse events (AEs) were graded according to the Common Terminology Criteria for Adverse Events (CTCAE v.3.0). Pharmacokinetic studies have been carried out in earlier Phase I and other Phase II studies and were not included in the study. Based on these earlier studies there was no expectation of interference with ANP activity by ancillary medications, especially anti-seizure drugs.

3. Results

3.1. Patient Demographics

Patient enrollment began 9/16/1996 and continued until 7/19/2012. As of 2/17/2015, all patients had been removed from ANP therapy due to a CR, PD, a worsening clinical condition, or subject/legal guardian request.

Thirty-four patients, with a median age of 10.4 years, were enrolled in the study. Thirty-two patients (94.1%), were Caucasians while 21 (61.8%) were female and 13 were male (38.2%).

Eleven patients (32.4%) suffered from BSG, of which nine (26.5%) had a DIPG. Thirteen patients (38.2%) suffered from high-grade tumors group, including five GBM and five anaplastic gliomas (AG), one gliosarcoma (GS), one primitive neuroectodermal tumor (PNET-medulloblastoma) and one supratentorial medulloepithelioma (sPNET).

The low-grade tumor group included ten patients. Three patients suffered from a ganglioglioma (GG) while seven patients suffered from other low-grade tumors, including astrocytoma, pilocytic astrocytoma, oligodendroglioma, optic pathway glioma (OPG), neurocytoma and craniopharyngioma.

There were three cases with leptomeningeal involvement and/or disseminated, multicentric tumors (astrocytoma, pilocytic astrocytoma and ganglioglioma) and one case of a multicentric OPG. **Table 1** describes the patient characteristics.

3.2. Treatment

The median dosage of A10 was 11.64 g/kg/d (range, 2.89 - 19.26 g/kg/d) while for AS2-1 it was 0.36 g/kg/d (range, 0.16 - 0.57 g/kg/d). The duration of ANP therapy ranged from 0.2 to 46.6 months with a median of 3.1 months.

The median maximum effective daily dose of A10 in patients with an OR (calculated at the first MRI showing an OR) was 13.92 g/kg/d (range, 6.23 to 21.02 g/kg/d) while the median time to an OR (six patients) was 4.7 months of ANP (range, 1.3 - 7.8 months).

3.3. Response and Survival

Responses to treatment and survival are summarized in **Table 2**, which describes the data for all patients as well as for particular subgroups. Six patients obtained an OR (17.6%). There were two CRs (5.9%) and four PRs (11.8%). An additional six patients (17.6%) had stable disease (SD) while 18 patients developed PD (52.9%). Four patients (11.8%) were not evaluable because they elected to discontinue ANP prematurely without having a follow-up MRI to evaluate their response to ANP.

In the DIPG group (n = 9) one patient achieved a CR (11.1%), one achieved a PR (11.1%), one patient had SD (11.1%), and four patients developed PD (44.4%). In the other two patients with a BSG, midbrain one patient achieved a CR (50.0%) and one patient developed PD (50.0%).

In the LG group, two GG patients obtained a PR and one pilocytic astrocytoma patient obtained a PR.

In summary, all ORs occurred in the BSG and LG patient groups. In the BSG group, two of the nine patients with DIPG developed an OR; there was one CR and one PR. Both patients subsequently passed away. The patient who achieved a PR did not show signs of tumor progression and most likely died from an intratumoral hemorrhage. Of the two patients with a BSG, midbrain, one achieved

Table 1. Patient Characteristics.

Characteristic	Enrolled Patients N (%)			
	All patients N = 34	BSG - 11	HG - 13	LG - 10
Age median	10.4 years	2.9 years	3.8 years	5.9 years
Age range	0.8 - 17.8 years	2.7 - 12.25 years	3.3 - 17.8 years	0.8 - 17.3 years
Male	13 (35%)	1	8	4
Female	21 (65%)	10	5	6
Ethnicity	W 32 (94%), B 1 (3%), M 1 (3%)	W (100%)	W 12 (92%), B 1 (8%)	W 9 (90%), M 1 (10%)
Median Karnofsky Performance Score	80 baseline/80 last evaluation	80 baseline/80 last evaluation	80 baseline/70 last evaluation	90 baseline/90 last evaluation
Tumor Histology				
DIPG	9	9		
BSG/midbrain (pilocytic astrocytoma)	2	2		
GBM	5		5	
Anaplastic oligodendroglioma	1		1	
Gliosarcoma	1		1	
Anaplastic astrocytoma	3		3	
Anaplastic glioma	1		1	
sPNET (medulloepithelioma)	1		1	
PNET (medulloblastoma)	1		1	
Astrocytoma	2			2
Pilocytic astrocytoma	1			1
Craniopharyngioma	1			1
Ganglioglioma	2			2
Ganglioma-desmoplas tic infantile	1			1
Neurocytoma	1			1
Oligodendroglioma	1			1
Optic pathway	1			1
Prior treatment				
None or biopsy only	6	2	2	2
SU	16	1	8	7
SU + CH + RT	3	0	3	0
CH	2	1	0	1
CH + RT	3	3	0	0
RT	4	4	0	0
SU + RT	0	0	0	0

Note. B—African-American, BSG—brainstem glioma, CH—chemotherapy, DIPG—diffuse intrinsic pontine glioma, GBM—glioblastoma multiforme, HG—high-grade, LG—low-grade, M—Latin American, PNET—primitive neuroectodermal tumor, sPNET—supratentorial primitive neuroectodermal tumor, SU—surgery, RT—radiation therapy, W—Caucasian.

Table 2. Summary of Cases of Complete and Partial Response.

Group	Case	Age (years)	Tumor Type	Past SU	Past CH	Past RT	Tumor cross-section area (cm ²)		Best Response to Treatment	OS (months)	PFS (months)
							Baseline	Best response			
Brainstem glioma	19	2	Pilocytic astrocytoma /midbrain	Yes	No	No	19.0	resolved	CR	94.2+	19.0
	24	4	DIPG	No	No	Yes	0.36	resolved	CR	14.2	14.2
	26	6	DIPG	No	No	Yes	3.90	resolved	PR	8.7	8.5
Low-grade glioma	11	8	Ganglio-Glioma (MC, L)	Yes	No	No	4.56	1.08	PR	189.7+	189.7+
	32	0.83	Ganglio-desmoplastic infantile Pilocytic astrocytoma (MC, D, L)	Yes	No	No	18.0	4.2	PR	36.6+	36.6+
	34	9	Pilocytic astrocytoma (MC, D, L)	Yes	Yes	No	4.33	1.26	PR	39.0+	15.4

Note. BSG—brainstem glioma, CH—chemotherapy, CR—complete response, D—disseminated, DIPG—diffuse intrinsic pontine glioma, L—leptomeningeal, MC—multicentric, NA—not applicable, OS—overall survival from start, PFS—progression-free survival, PR—partial response, SU—surgery, RT—radiation therapy. Tumor measurements were performed on T1 contrast images.

a CR and is currently alive, having survived 8 and 8 months at last contact. This patient did not receive any treatment before ANP (**Figure 1**). The other BSG patient failed chemotherapy, received ANP and developed PD. However, after discontinuation of ANP, the patient is alive, having survived over seven years.

In the group of patients with LG, one patient relapsed after two surgical resections, but then achieved a PR following treatment with ANP. This patient is alive, tumor-free, off all treatment, and surviving for more than 15 years at last contact. Two additional patients who relapsed after surgical resection (one patient after two resections) achieved a PR.

Objective response, PFS and OS data for all patients, for BSG, for high-grade tumors, and for low-grade tumors are shown in **Table 3**. Kaplan-Meier survival curves are shown in **Figures 2-5**.

The seven LG patients who did not obtain an OR are also alive. Among these surviving patients, there are cases of sPNET and neurocytoma which developed PD while on ANP treatment. After discontinuation of ANP, only one patient with a neurocytoma received chemotherapy. The patient diagnosed with oligodendroglioma is alive and surviving over 16 years at last contact despite maintaining only SD while receiving ANP. **Table 4** presents the diagnosis, response to treatment, and OS survival data for the seven LG patients who did not achieve an OR while on ANP.

3.4. Safety and Adverse Events

Safety assessments were based upon the total number of enrolled patients in the study ($n = 34$). Intense, systematic monitoring of patient safety was conducted during the first two months of therapy and involved daily direct questioning

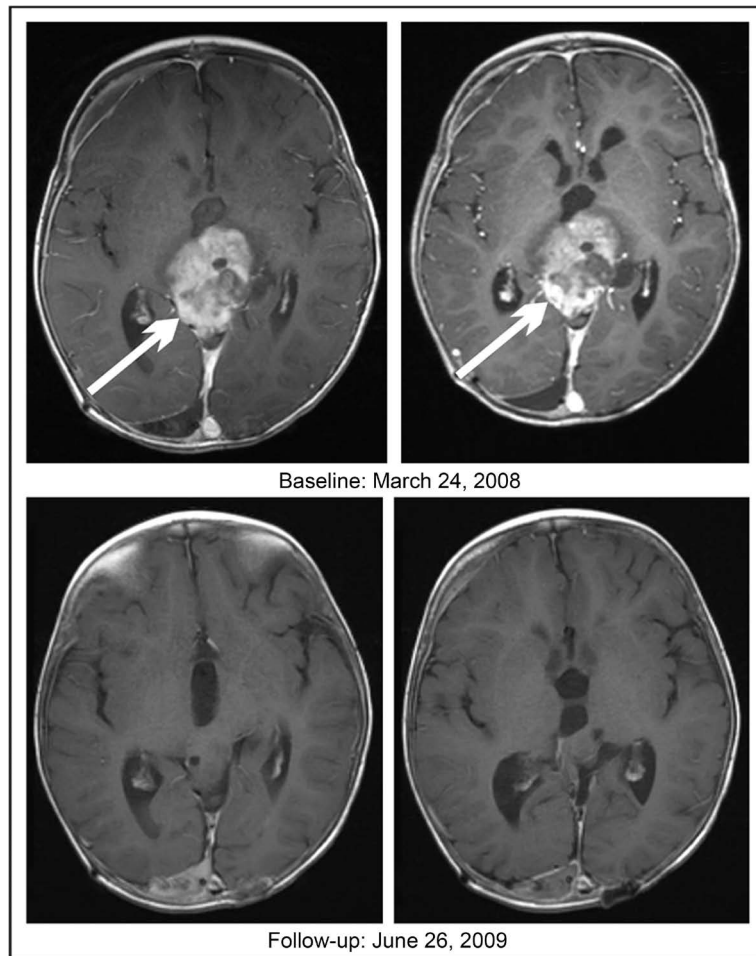


Figure 1. The top row shows images from a baseline MRI for a 3-year-old male child with a pilocytic astrocytoma of the brainstem, midbrain, which is delineated by arrows. The bottom row shows comparable images (axial T1, post-contrast images) from a subsequent MRI, which demonstrates complete resolution of the contrast-enhancing tumor.

Table 3. Survival Data.

Tumor types (N)	CR	PR	SD	Progression-free survival		Overall Survival from Treatment Start					
				Median	% at 6	Median	1	2	5	10	15
				Months	months	months	year %	years %	years %	years %	years %
All Patients (34)	2	5	5	2.33	35.3	8.71	47.1	37.6	34.5	34.5	25.9
BSG (11)	2	1	1	4.86	45.5	8.71	36.4	18.2	18.2	NA	NA
High-grade tumors (13)	0	0	1	1.38	7.69	3.52	23.1	11.5	11.5	11.5	0
Low-grade tumors (10)	0	4	3	6.57	60.0	NA	90.0	90.0	80.0	80.0	80.0

Note. BSG—brainstem glioma, CR—complete response, N—number, PR—partial response, SD—stable disease.

concerning adverse events, first at the clinic and then followed by phone calls during the home administration. After two months, telephone contact was conducted on a weekly basis. Adverse events were coded and graded according to

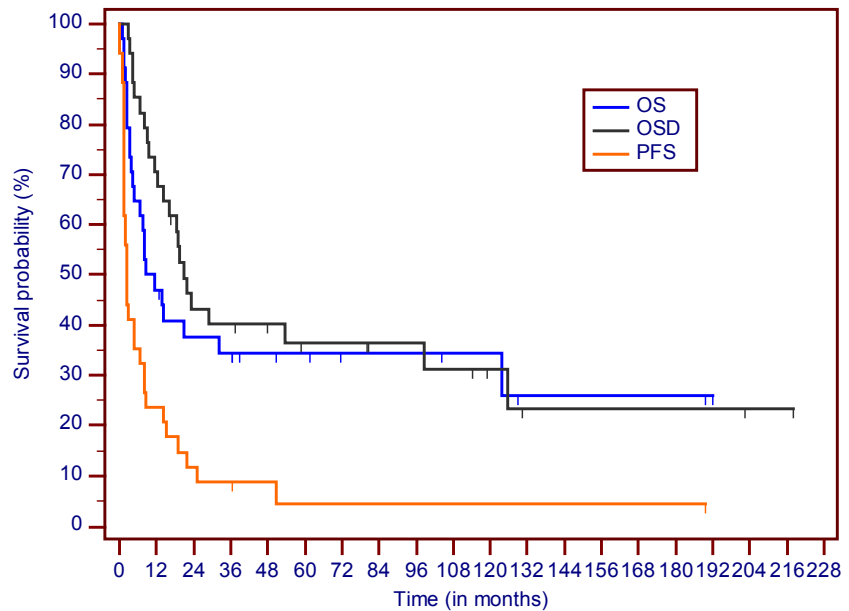


Figure 2. Kaplan-Meier survival curves (all patients). (Note. OSD—overall survival from diagnosis, OS—overall survival from treatment start, PFS—progression-free survival).

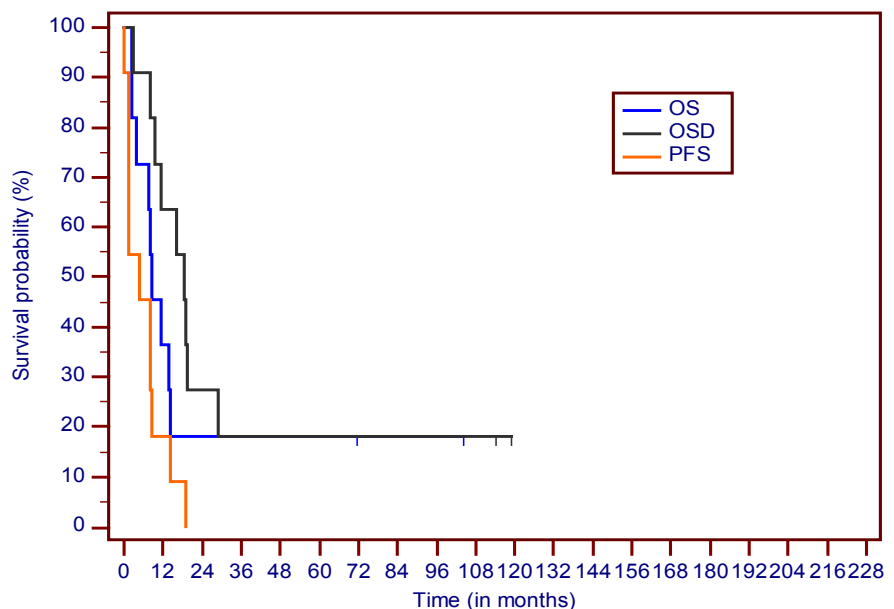


Figure 3. Kaplan-Meier survival curves (BSG group). (Note. OSD—overall survival from diagnosis, OS—overall survival from treatment start, PFS—progression-free survival).

Version 3.0 of the Common Terminology Criteria for Adverse Events (CTCAE v 3.0).

Adverse Drug Events (ADEs) included grade 4 hypernatremia (x2); grade 3 hypokalemia (x3); grade 1 headache (x1), somnolence (x1), anemia (x1) and fatigue (x1). No long-term ADE to ANP has been reported.

Brain tumor patients frequently receive corticosteroids to reduce cerebral edema around tumors. The use of corticosteroids and the infusion of large volumes of sodium-containing solutions during ANP therapy predispose patients

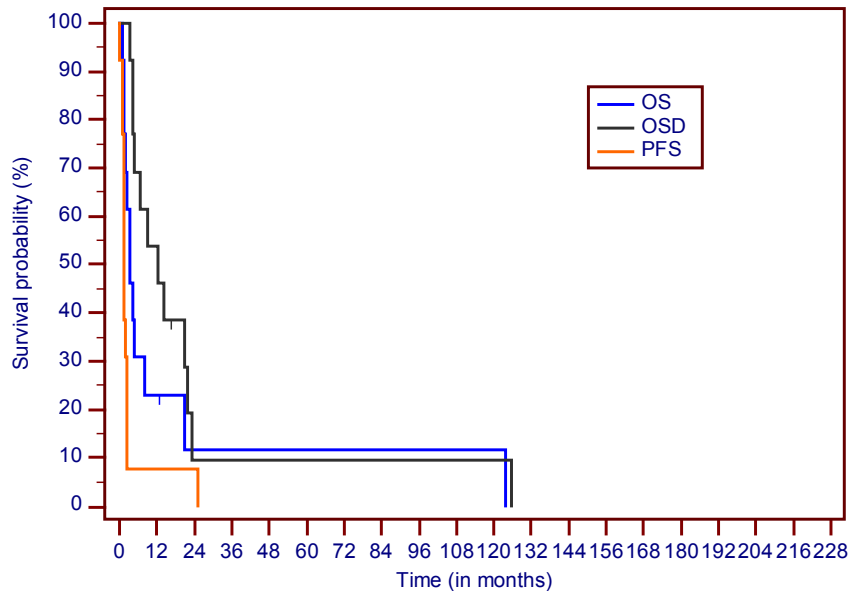


Figure 4. Kaplan-Meier survival curves (High-grade tumors group). (Note. OSD—overall survival from diagnosis, OS—overall survival from treatment start, PFS—progression-free survival).

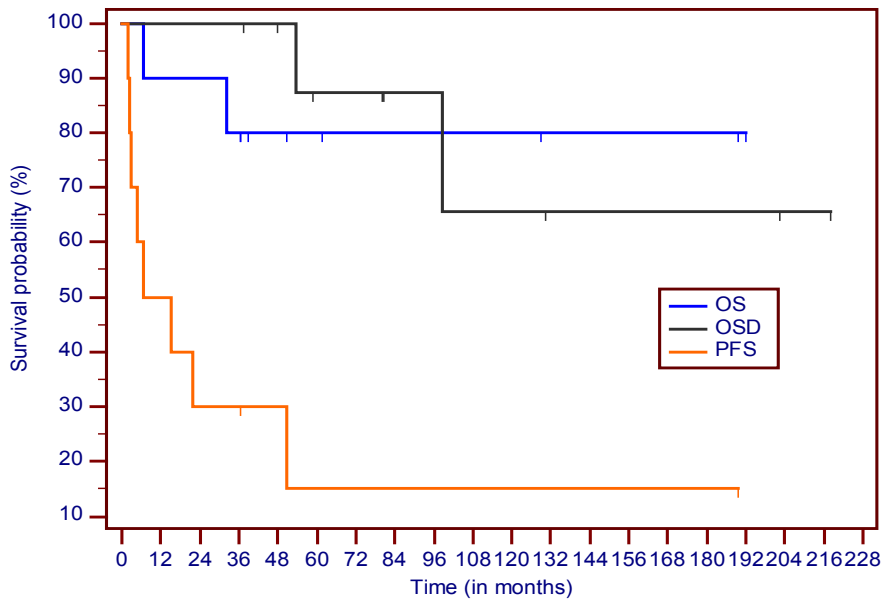


Figure 5. Kaplan-Meier survival curves (Low-grade tumors group). (Note. OSD—overall survival from diagnosis, OS—overall survival from treatment start, PFS—progression-free survival).

to serum sodium concentration abnormalities. Grade 4 reversible hyponatremia, possibly related to ANP was reported in 2 cases (5.8%).

4. Discussion

The protocol study presented here included 34 patients with both high-grade and low-grade primary pediatric brain tumors. Twenty-four patients (70.6%) suffered from a brainstem glioma (BSG) or high-grade tumor. Ten patients

Table 4. Overall Survival for LG Patients not achieving an OR.

Group	Case	Diagnosis	Response to treatment	Overall Survival from Treatment Start
BSG	25	Brainstem glioma, mid-brain, pilocytic astrocytoma.	PD	71.6+
HG	13	Medulloepithelioma (sPNET).	PD	12.85 LF
	2	Oligodendroglioma.	SD	192+
	5	Neurocytoma.	PD	61.6 LF
LG	15	Craniopharyngioma.	NE	36.5 LF
	16	Mixed Glioma (Ependymoma and Astrocytoma, grade II).	PD	129.1 LF
	31	Ganglioglioma in the thalamo-mesencephalic of the brain.	SD	50.5+

Note. +—alive and counting, BSG—brainstem glioma, HG—high grade, LF—lost for follow-up, LG—low-grade, NE—not evaluable, PD—progressive disease, SD—stable disease, sPNET—supratentorial primitive neuroectodermal tumor.

(29.4%) suffered from a low-grade tumor.

Among ten BSG patients, three patients had newly diagnosed tumors while seven patients suffered from recurrent disease after standard therapy. Two of eight patients in the DIPG group achieved an OR (one PR, one CR), while another three patients maintained SD. One patient with a thalamic astrocytoma extending to the brainstem is currently free of disease nearly five years after the start of ANP. These data compare favorably to the published data of other studies [5] [19] [26] [27] [28] [29]. In most of these studies, very few, if any, ORs were observed while the OS for most patients was less than six months. A report of another completed study of ANP in BSG, conducted at the Burzynski Clinic with a larger patient population, is in preparation for publication.

The results in GGs were of interest. A ganglioglioma is composed of cells of both glial and neural origin and was originally described by Perkins in 1926 [30]. These tumors occur in all age groups, but are most common in the pediatric population [31]. Generally they behave in a benign manner, but a subset of these tumors, with a higher grade glial component, is more aggressive [32]. The treatment of choice is surgical resection, but only complete resection results in long-term, disease-free survival [33] [34]. GGs displaying malignant features may benefit from RT while the efficacy of chemotherapy is unknown [35].

Desmoplastic infantile ganglioglioma (DIG) is a very rare tumor and distinct from other GGs [36]. Histologically DIG has only rare mitoses and no necrosis or microvascular proliferation. It is expected that the occurrence of more frequent mitoses indicates a high-grade tumor [21]. Except for surgical resection, effective treatment for DIG is not known. The study reported here included three patients with GGs, one of which was a DIG. In two cases the tumors recurred after two resections and one patient had multicentric disease with thalamic location of the main tumor. This patient obtained a CR and was alive with a survival of over 13 years at last contact. The second patient achieved a PR on ANP. The third patient, diagnosed with DIG, developed recurrence after initial

surgery and subsequently achieved a PR on ANP. The number of GG cases reported here is small but suggests a possible role for ANP in the treatment of GG, including DIG.

The treatment of primary pediatric brain tumors is challenging. In this report, we describe a Phase II study of ANP and present encouraging objective response and survival data in BSG and GG patients. Of special interest are the results in recurrent DIPG. An IRB-approved phase II study of ANP in DIPG and a pending phase III study will provide more definitive data regarding the efficacy and safety of ANP in DIPG.

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