

The Relationship between Intelligence and Executive Functions in Hispanic Patients with Mild Traumatic Brain Injury

Jorge A. Herrera-Pino¹, Cesar E. Castellanos²

¹Herbert Wertheim College of Medicine, Florida International University, Miami, FL, USA ²Instituto Dominicano para el Estudio de la Salud Integral y la Psicología Aplicada, Santo Domingo, Dominican Republic Email: jherreramdphd@gmail.com, cesarcastellanos1@gmail.com

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Abstract

Executive functions have been described as the "brain of the brain" and to include a variety of processes, including, but not limited to, abstraction, formulation of intentions, reasoning, formulation of strategies, monitoring their success, working memory, and the self-regulation of behavior. Executive functions have been determined to be associated with the integrity of the frontal lobes. On the other hand, intelligence has also been described in similar terms, and the concept of general intelligence, or the g factor has also been associated with the frontal lobes. As constructs, intelligence and executive functions have been also described as "overlapping" in many features, while maintaining a certain degree of conceptual independence. The purpose of this study was to compare the intellectual functioning of a sample of patients with mild traumatic brain injury, obtained by means of the Reynolds Intellectual Assessment Scales (RIAS), with their performance in a number of neuropsychological measures of executive functions, such as the Halstead Category Test (HCT), the Wisconsin Card Sorting Test (WCST), the Stroop Word and Color Test (SWCT), and the Trail Making Test (TMT). Pearson Product Moment Correlations were obtained between the various measures of the RIAS and the measures of executive functions (HCT, WCST, SWCT, TMT). Whereas the magnitudes of the correlations were within the moderate range, intelligence, particularly non-verbal intelligence and working memory were noted to be significantly correlated with the measures of executive functions. The conclusion was reached that intelligence and executive functions are related to each other as constructs, but yet maintain a certain degree of independence.

Keywords

Executive Functions, Intelligence, Hispanic, Mild Traumatic Brain Injury

1. Introduction and Literature Review

According to Tirapu-Ustárroz et al. (2017), the term executive functions was proposed for the first time by neuropsychologist Muriel D. Lezak (1982) who defined it as mental capacities essential to carry out effective, creative, and socially accepted behavior with four components: formulation of goals (ability to generate and select the desirable states in the future), planning (selection of actions, means and sequences necessary to reach goals), development (ability to initiate, stop, maintain, and change among planned behaviors), and implementation (ability to monitor and correct behaviors).

For Lopera-Restrepo (2008), executive function refers to the directing, managerial, and guiding function of the brain. It is the "brain of the brain". In reality, the guiding or managerial function of the brain is a series of directing functions that include a variety of programming and implementing cerebral activities.

Friedman & Miyake (2017) described executive functions as high-level cognitive processes that, through their influence on lower-level processes, allow individuals to regulate their thoughts and actions during behavior aimed at the attainment of goals.

For E. Goldberg, one of the disciples of the great teacher of neuropsychology, Alexander R. Luria, executive functions are intimately related with the integrity of the frontal lobes, to which he refers to as the "lobes of human civilization" (Goldberg, 2001). Within the model of executive functions developed by Goldberg (2009), executive functions include a series of high-level cognitive processes designed to facilitate the independent attainment of behavioral goals.

Executive functions include, in the model designed by Goldberg, processes such as abstraction, formulation of intentions, reasoning, formulation of strategies, monitoring their success, working memory, and the self-regulation of behavior (Goldberg, 2001, 2009).

The relationship between the constructs of intelligence and executive functions has raised the interest of neurocognitive researchers. The most classical and widely used definition of intelligence was proposed by David Wechsler, the author of the most frequently used instruments for the measurement of intellectual ability. According to this author, intelligence is "the aggregate or global ability to behave purposely, think rationally, and deal effectively with the environment" (Wechsler, 1958). This definition of intelligence has many elements in common with the concept of executive functions, as it is currently understood.

García-Molina et al. (2010) carried out a revision of the concepts of intelligence and executive functions with the aim of answering the question if these are the same or not. They reviewed, in the first place, the concept of the g factor, within different theories of intelligence. As with the concept of executive functions, the g factor has been closely related to the functioning of the pre-frontal cortex and is viewed as a mechanism responsible for the coordination and control of cognitive processes involved in problem-solving. The intellectual processes that were estimated to have a closer relationship to executive functions are those related to fluid intelligence.

On the other hand, these authors concluded that the diversity of theoretical views of both intelligence and executive functions, as well as the absence of instruments to assess both processes in a comprehensive manner, makes it difficult to answer the question if both constructs represent or not the same reality. According to these authors, in regards to this question: "The studies described in this article offer different answers, being the hypothesis that has greater acceptance the one that considers intelligence and executive functions as overlapping in certain aspects, but not in others (p. 744)".

2. Purpose of This Study

The purpose of this study is to determine the relationship that exists between intelligence, measured by means of the Reynolds Intellectual Assessment Scales (RIAS; Reynolds & Kamphaus, 2009) and executive functions assessed by means of the Halstead Category Test (HCT; Halstead, 1947; Reitan & Wolfson, 1993), the Wisconsin Card Sorting Test (WCST; Berg, 1948; Grant & Berg, 1948; Heaton et al., 1993, 2009), the Stroop Word and Color Test (SWCT; Golden, 2001) and the Trail Making Test (TMT; Reitan, 1958; Reitan & Wolfson, 1993), as well as to determine which component or components of intellectual functioning, that is, crystallized intelligence, fluid intelligence, or working memory are more closely related with the construct of executive functions.

3. Ethical Considerations

This study was authorized by the Institutional Review Board of the Neurobehavioral Institute of Miami as an archival research.

4. Methodology

4.1. Participants

The participants of this study were 39 Hispanic patients referred for neuropsychological evaluation by their treating neurologists to the Neurobehavioral Institute of Miami for neuropsychological evaluation with a history of having sustained a closed head injury resulting in a mild traumatic brain injury (mTBI), with alterations in consciousness for less than one hour, as well as having retrograde amnesia also for less than one hour. The mean age of this sample was 41 years, with a standard deviation (sd) of 17.34, with values between 13 and 77 years and 56% being women. The major cause of mTBI in this sample was motor vehicle accidents (20). Patients with mTBI resulting from falls and physical assaults were also included.

The distribution of the educational level of the sample was as follows: 38% had a college level education, 26% had a technical level education, and 23% had a high school level education. The remaining 10% had an elementary level or middle school level education. All the patients had negative neurological examinations, as well as negative electroencephalograms (EEG), computerized axial tomographies (CAT Scan), and magnetic resonances (MRI).

4.2. Procedures

All patients were administered a battery of neuropsychological instruments, which included a measure of intelligence, the Reynolds Intellectual Assessment Scales, as well as a number of measures of executive functions, among which were a computerized version of the Halstead Category Test, a computerized version of the Wisconsin Card Sorting Test, the Stroop Word and Color Test, and the Trail Making Test.

The variables selected for each of these instruments were as follows. For the Reynolds Intellectual Assessment Scales the scaled scores for the Verbal Intelligence Index (VIX), a measure of crystallized intelligence acquired through experience and education, the Non-Verbal Intelligence Index (NIX), a measure of fluid intelligence, including problem solving and abstracting ability without the use of language, the Composite Intelligence Index (CIX), and the Composite Memory Index (CMX), a measure of working memory, were selected.

The variable selected for the Halstead Category Test was the number of errors made (ERR). For the Wisconsin Card Sorting Test, the number of categories attained (CAT) and the number of perseveration errors (PE) made were selected. For the Stroop Word and Color Test, the number of correct responses made in the word (STW), color (STC), and color-word (STCW) conditions of administration were selected. For the Trail Making Test, the time required to complete Part A (TMA) and Part B (TMB) were selected as variables. All instruments were administered to the participants in Spanish, as this was their language preference.

In order to determine if the participants had made an appropriate effort in the performance of the instruments included in the neuropsychological battery administered to them, they were administered two instruments designed for that purpose, the Test of Memory Malingering (Tombaugh, 2011) and the Rey 15-Item Memory Test (Strauss, Sherman, & Spreen, 2006). Only participants with scores in these two instruments that reflected the application of appropriate effort were included in this study.

The instruments selected for the assessment of executive functions in this study have a solid trajectory of being sensitive to lesions in the frontal lobes, particularly in patients with mild traumatic brain injury. Relative to the Halstead Category Test the reader is referred to the following publications: Choca et al. (1997) and Herrera Pino, Salcedo Samper, & Jubiz Bassi (2008). In order to determine the ability of the Wisconsin Card Sorting Test to assess executive functions mediated by the frontal lobes, the reader is referred to the following works: de Assis Faria et al. (2015) and Herrera Pino, Salcedo Samper, & Jubiz Bassi (2019c).

The ability to assess executive functions mediated by the frontal lobes by the Stroop Word and Color Test was explored in the following publications: de Assis Faria et al. (2015) and Herrera Pino et al. (2019a). The assessment of executive

functions by means of the Trail Making Test has been explored in the following publications: de Assis Faria et al. (2015) and Herrera Pino, Jubiz Bassi, & Salcedo Samper (2019b).

4.3. Statistical Analysis

The SPSS.20 program was used to analyze the data in terms of frequencies, descriptive statistics, and correlations. The variables of the Reynolds Intellectual Assessment Scales were correlated with the variables of the Halstead Category Test, the Wisconsin Card Sorting Test, the Stroop Word and Color Test, and the Trail Making Test. Pearson Product Moment Coefficients of Correlation were used for the analysis of the results.

5. Results

The means and standard deviations of the four indices of the RIAS can be found in **Table 1**. The mean score of the Verbal Intelligence Index (VIX) was 82.74, with a standard deviation (sd) of 15.96. The corresponding value for the Nonverbal Intelligence Index (NIX) was 88.23 (sd = 13.09), with a mean value of 83.31 (sd = 14.21) for the Composite Intelligence Index (CIX). The mean Composite Memory Index (CMX) was 91.10 (sd = 16.29).

The mean number of errors (ERR) made in the Halstead Category Test (HCT) was 74.00 (sd = 35.95). The mean number of categories achieved (CAT) in the Wisconsin Card Sorting Test (WCST) was 4.21 (sd = 2.04), and the mean number of perseveration errors (PE) made was 26.28 (sd = 17.61) (See Table 2).

	Mean	Standard Deviation
RIAS VIX	82.74	15.96
RIAS NIX	88.23	13.09
RIAS CIX	83.31	14.21
RIAS CMX	91.10	16.29

Table 1. Means and standard deviations for the RIAS variables.

Table 2. Means and standard deviations for the executive functions variables.

	Mean	Standard Deviation
HCT ERR	74.00	35.95
WCST CAT	4.21	2.04
WCST PE	26.28	17.61
SWCT STW	75.44	21.59
SWCT STC	56.87	15.28
SWCT STCW	32.33	12.77
TMT TMA	52.13	29.63
TMT TMB	140.36	83.45

The mean number of correct responses made for the word administration (STW) of the Stroop Word Color Test (SWCT) was 75.44 (sd = 21.59), for the color condition of administration (STC) the corresponding value was 56.87 (sd = 15.28), and for the color-word condition (STCW) was 32.33 (sd = 12.17). The mean time for the completion of Part A (TMA) of the Trail Making Test (TMT) was 52.13 seconds (sd = 29.63) and for the completion of Part B (TMB) was 140.36 seconds (sd = 83.45) (See Table 2).

Following, the Pearson Product Moment Coefficients of Correlation between the variables of the Reynolds Intellectual Assessment Scales and the measures of executive functions selected for this study are presented (See Table 3).

The Non-verbal Intelligence Index (NIX) showed a positive, moderate, and significant correlation with the number of categories attained (CAT) of the Wisconsin Card Sorting Test (r = 0.487, p = 0.001), while the correlation between this variable and the number of errors of perseveration (EP) was negative, moderate, and also significant (r = -0.425, p = 004).

The NIX was also negatively, moderately, and significantly correlated with the number of errors (ERR) of the Halstead Category Test (r = -0.406, p = 0.005). The NIX also showed a negative, moderate, and significant correlation with the time taken to complete Part A (TMA) of the Trail Making Test (r = -0.541, p = 000), as well as with the time required to complete Part B (TMB) of this instrument (r = -0.492, p = 0.001). The correlations between the NIX and the three conditions of administration of the Stroop Word and Color Test were positive, moderate, and significant: word (STW) (r = 0.524, p = 0.000), color (STC) (r = 0.597, p = 000), and color-word (STCW) (r = 0.45, p = 002).

The correlation between the Composite Intelligence Index (CIX) and the number of categories attained (CAT) in the Wisconsin Card Sorting Test was also positive, moderate, and significant (r = 0.36, p = 0.012), whereas the number of perseveration errors (PE) showed a correlation also moderate and significant, but negative, with the CIX (r = -0.459, p = 0.002), as well as with the number of errors (ERR) made in the Halstead Category Test (r = -0.446, p = 0.002).

	RIAS VIX	RIAS NIX	RIAS CIX	RIAS CMX
HCT ERR	-0.396	-0.406	-0.446	-0.295
WCST CAT	0.150	0.487	0.360	0.293
WCST PE	0.388	-0.425	-0.459	-0.141
SWCT STW	0.143	0.524	0.372	0.295
SWCT STC	0.311	0.597	0.533	0.656
SWCT STCW	0.301	0.450	0.454	0.511
TMT TMA	-0.153	-0.541	-0.397	-0.485
TMT TMB	-0.290	-0.492	-0.461	-0.546

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The same was observed with the time required to complete Part A (TMA) of the Trail Making Test (r = -0.397, p = 0.006), as well as with Part B (TMB) of this instrument (r = -0.461, p = 0.002). As with the correlations with the NIX, the correlations between the CIX and the three conditions of administration of the Stroop Word and Color Test were also positive, moderate, and significant: word (STW) (r = 0.372, p = 0.01), color (STC) (r = 0.533, p = 0.000) and color-word (STCW) (r = 0.454, p = 0.002).

The Composite Memory Index (CMX) showed moderate, negative, and significant correlations with the time required to complete Part A (TMA) of the Trail Making Test (r = -0.485, p = 0.001), as well as with the time required to complete Part B (TMB) (r = -0.546, p = 0.000). This measure also showed positive, relatively high, and significant correlations with the color (STC) condition of administration of the Stroop Word and Color Test (r = 0.656, p = 0.000), and the color-word condition of administration (STCW) (r = 0.511, p = 0.000).

6. Conclusion

The findings of this research study showed that the constructs of intelligence and executive functions have a statistically significant relationship, although moderate in magnitude. In particular, fluid intelligence and working memory showed the highest correlations between these two constructs. The moderate magnitude of the correlations obtained supports the notion that, although intelligence and executive functions are related, they nonetheless maintain a certain independence.

On the other hand, crystallized intelligence, which represents the level of knowledge acquired by means of experience and education, did not show statistically significant correlations with executive functions. It is quite interesting to note that crystallized intelligence is closely related to another important construct, cognitive reserve. It would be interesting that other research studies explore the relationship between cognitive reserve and executive functions.

Conflicts of Interest

The authors declare no conflicts of interest regarding the publication of this paper.

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