



## Size-resolved measurement of the mixing state of soot in the megacity Beijing, China: diurnal cycle, aging and parameterization

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**Abstract.** Soot particles are the most efficient light absorbing aerosol species in the atmosphere, playing an important role as a driver of global warming. Their climate effects strongly depend on their mixing state, which significantly changes their light absorbing capability and cloud condensation nuclei (CCN) activity. Therefore, knowledge about the mixing state of soot and its aging mechanism becomes an important topic in the atmospheric sciences.

The size-resolved (30–320 nm diameter) mixing state of soot particles in polluted megacity air was measured at a suburban site (Yufa) during the CAREBeijing 2006 campaign in Beijing, using a volatility tandem differential mobility analyzer (VTDMA). Particles in this size range with non-volatile residuals at 300 °C were considered to be soot particles. On average, the number fraction of internally mixed soot in total soot particles ( $F_{in}$ ), decreased from 0.80 to 0.57 when initial  $D_p$  increased from 30 to 320 nm. Further analysis reveals that: (1)  $F_{in}$  was well correlated with the aerosol hygroscopic mixing state measured by a CCN counter. More externally mixed soot particles were observed when particles showed more heterogeneous features with regard to hygroscopicity. (2)  $F_{in}$  had pronounced diurnal cycles. For particles in the accumulation mode ( $D_p$  at 100–320 nm), largest  $F_{in}$  were ob-

served at noon time, with “apparent” turnover rates ( $k_{ex \rightarrow in}$ ) up to 7.8 % h<sup>-1</sup>. (3)  $F_{in}$  was subject to competing effects of both aging and emissions. While aging increases  $F_{in}$  by converting externally mixed soot particles into internally mixed ones, emissions tend to reduce  $F_{in}$  by emitting more fresh and externally mixed soot particles. Similar competing effects were also found with air mass age indicators. (4) Under the estimated emission intensities, actual turnover rates of soot ( $k_{ex \rightarrow in}$ ) up to 20 % h<sup>-1</sup> were derived, which showed a pronounced diurnal cycle peaking around noon time. This result confirms that (soot) particles are undergoing fast aging/coating with the existing high levels of condensable vapors in the megacity Beijing. (5) Diurnal cycles of  $F_{in}$  were different between Aitken and accumulation mode particles, which could be explained by the faster growth of smaller Aitken mode particles into larger size bins.

To improve the  $F_{in}$  prediction in regional/global models, we suggest parameterizing  $F_{in}$  by an air mass aging indicator, i.e.,  $F_{in} = a + bx$ , where  $a$  and  $b$  are empirical coefficients determined from observations, and  $x$  is the value of an air mass age indicator. At the Yufa site in the North China Plain, fitted coefficients ( $a$ ,  $b$ ) were determined as (0.57, 0.21), (0.47, 0.21), and (0.52, 0.0088) for  $x$  (indicators)

as  $[\text{NO}_z]/[\text{NO}_y]$ ,  $[\text{E}]/[\text{X}]$  ([ethylbenzene]/[m,p-xylene]) and  $([\text{IM}] + [\text{OM}])/[\text{EC}]$  ([inorganic + organic matter]/[elemental carbon]), respectively. Such a parameterization consumes little additional computing time, but yields a more realistic description of  $F_{\text{in}}$  compared with the simple treatment of soot mixing state in regional/global models.

## 1 Introduction

Soot particles are generally regarded as the most efficient light absorbing component of atmospheric aerosols (Rosen et al., 1979; Japar et al., 1986; Horvath, 1993; Bergstrom et al., 2007). They are produced by incomplete combustion of fossil fuel and biomass and they consist mainly of black or elemental carbon but may also contain some refractory organic matter (Horvath, 1993; Smith and O'Dowd, 1996; Burtcher et al., 2001; Novakov et al., 2003; Pöschl, 2005; Sadezky et al., 2005; Andreae and Gelencsér, 2006; Kondo et al., 2006, 2010; Rose et al., 2006; Frey et al., 2008; Cheng et al., 2009; Wehner et al., 2009). In the atmospheric science literature, the terms black carbon (BC), elemental carbon (EC), pyrogenic carbon are frequently used as synonyms for soot (e.g., Kondo et al., 2006, 2009; Pöschl et al., 2010). More discussion on this topic can be found in Andreae and Gelencsér (2006).

Soot particles, after emission, generally undergo aging processes by condensation (Smith et al., 1989), coagulation (Riemer et al., 2004, and references therein), as well as oxidation (Ivleva et al., 2007) and cloud/fog processing; and gradually become internally mixed (coated) with other chemical compounds. Depending on their mixing state, soot particles can be classified as internally mixed (coated) or externally mixed (uncoated). The mixing state of soot particles has a great influence on their climate effects. The light absorbing capability of soot (related to its direct radiative effects) can be enhanced by a factor of 1.5 to 3 when soot is coated by or internally mixed with other aerosol components including sulfate, nitrate, organics and water (Jacobson, 2000; Lesins et al., 2002; Bond et al., 2006; Cheng et al., 2006, 2008a, b, 2009; Shiraiwa et al., 2008, 2010). Moreover, the coating of soot particles can significantly enhance their ability to be activated as cloud condensation nuclei (CCN) (Rose et al., 2011) and hence influence cloud formation processes (related to their indirect radiative effects) and the removal of soot particles from the atmosphere. For these reasons, the mixing state is a crucial parameter for soot particles, uncertainty about which has made it difficult to accurately assess its climatic impact (Jacobson, 2001).

In global/regional climate models, the turnover rate ( $k_{\text{ex} \rightarrow \text{in}}$ ) is used to describe the conversion rate of externally mixed to internally mixed soot particles. Due to limited knowledge and computational limits, a constant  $k_{\text{ex} \rightarrow \text{in}}$  was assumed in most studies, varying from 1.25 to

2.5 %  $\text{h}^{-1}$  (Cooke and Wilson, 1996; Cooke et al., 1999, 2002; Lohmann et al., 2000; Jacobson, 2001; Koch, 2001). To obtain a more realistic  $k_{\text{ex} \rightarrow \text{in}}$ , several aerosol modeling studies have been carried out to examine quantitatively  $k_{\text{ex} \rightarrow \text{in}}$  of soot particles (Riemer et al., 2004, 2010). However, it is challenging to validate the modeling results against atmospheric conditions, since modeling of the soot mixing state is almost equivalent to modeling all physico-chemical processes in the gas and aerosol phases.

Decades ago, information about the soot mixing state relied on particle morphology measurements by Transmission Electron Microscopy (Katrinak et al., 1992, 1993; Hasegawa and Ohta, 2002). This technique does not always give reliable information, as volatile coatings may be lost, or thin coatings may not be evident. Later on, several online instruments with high time resolution were developed, i.e., volatility tandem differential mobility analyzer (VTDMA) (Philippin et al., 2004), single particle soot photometer (SP2) (Stephens et al., 2003) and aerosol time-of-flight mass spectrometer (ATOFMS) (Moffet and Prather, 2009). Among these methods, only the VTDMA is able to detect particle smaller than 0.1  $\mu\text{m}$ . Based on these online methods, several field studies have been carried out in recent years at regional (Engler et al., 2007), suburban (Shiraiwa et al., 2007; Cheng et al., 2009; Wehner et al., 2009), and urban sites (Rose et al., 2006), and by aircraft measurements (Moteki et al., 2007). The aging of soot particles was found to be well correlated with several air mass age indicators, e.g., ratios of  $\text{C}_2\text{H}_4$  to  $\text{C}_2\text{H}_2$  (Moteki et al., 2007), 2-pentyl nitrate (2-PeONO<sub>2</sub>) to *n*-pentane (*n*-C<sub>5</sub>H<sub>12</sub>) (Shiraiwa et al., 2007) and OC to EC (organic carbon/elemental carbon) (Cheng et al., 2006). Under certain assumptions on the air mass history and OH concentrations, rates of change of  $F_{\text{in}}$  (number fraction of internally mixed soot particles) around 1 to 2.3 %  $\text{h}^{-1}$  were derived (Moteki et al., 2007; Shiraiwa et al., 2007).

In the summer of 2006, measurements of the mixing state of non-volatile particles (here taken to be "soot particles", see discussion in Sect. 2.2) were carried out at a suburban site of Beijing in the North China Plain by using a VTDMA (Cheng et al., 2009; Wehner et al., 2009). In this study, we perform an in-depth analysis of VTDMA results, focusing on the following topics: (1) comparison of the mixing state of soot measured by a VTDMA and the aerosol hygroscopicity mixing state determined by a CCN (cloud condensation nuclei) counter; (2) diurnal variation and evolution of soot mixing state at different size ranges; (3) calculation of  $k_{\text{ex} \rightarrow \text{in}}$  and the influence of emissions on it; and (4) potential parameterization methods.

## 2 Methods

### 2.1 Overview of the campaign

As part of the “Campaign of Air Quality Research in Beijing and Surrounding Region 2006” (CAREBeijing 2006), air pollutants including aerosol and gases were comprehensively measured at a suburban site, Yufa (39.51467° N, 116.30533° E, ~ 25 m above ground level), during the summer of 2006. The Yufa site is located in the south of Beijing, roughly 50 km away from the urban center. One major road passes east of the measurement site, at a distance of less than 200 m. Figure S1 (in the Supplement) shows the meteorological conditions at the Yufa site from 15 August to 9 September. During this period, the averages ( $\pm$  one standard deviation) of temperature, relative humidity (RH) and wind speed were  $26.2 \pm 3.7$  °C,  $68 \pm 17$  % and  $1.6 \pm 1.4$  m s<sup>-1</sup>, respectively (based on 5-min resolution meteorological data, see Supplement Fig. S1).

### 2.2 VTDMA measurement

A volatility tandem differential mobility analyzer (VTDMA) (Orsini et al., 1996; Philippin et al., 2004) was used to measure the number size distributions of the non-volatile residuals of pre-selected mono-disperse particles that were heated at 300 °C (7 selected particle diameters in the range of 30 to 320 nm; time resolution of 1 h for a complete cycle of 7 diameters). In the thermal chamber (i.e., at 300 °C) of the VTDMA, the coating materials undergo volatilization leaving behind the non-volatile cores. Details about the VTDMA measurements at the Yufa site in 2006 can be found in Cheng et al. (2009) and Wehner et al. (2009). The volatilization results in a change of particle size, where unchanged particles indicate no coating material while big changes indicate a large fraction of coating materials. In continental polluted megacity air, the material of sub-micrometer particles that is non-volatile at this temperature (i.e., 300 °C) is considered to be mostly “soot” (Smith and O’Dowd, 1996; Burtscher et al., 2001; Kondo et al., 2006, 2010; Rose et al., 2006; Frey et al., 2008; Cheng et al., 2009; Wehner et al., 2009), which consists mainly of black or elemental carbon but may also contain some refractory organic matter (Pöschl, 2005; Sadezky et al., 2005; Andreae and Gelencsér, 2006). Since the VTDMA actually measures non-volatile-core containing particles (NVP), the notation “soot (NVP)” was mostly used instead of “soot” when referring to the VTDMA results.

In VTDMA measurements, the size distribution of non-volatile residuals is classified into three groups according to  $D_{p,300\text{ °C}}/D_p$ , where  $D_p$  is the initial diameter of the sampled dry particles and  $D_{p,300\text{ °C}}$  is the diameter of the particle residual after being heated at 300 °C. Following Wehner et al. (2009), (1) particles with  $D_{p,300\text{ °C}}/D_p < 45$  % were denoted as “high-volatile” and not considered as soot particles; (2) particles with  $45 < D_{p,300\text{ °C}}/D_p <$

82 % were denoted as “medium-volatile” and considered as internally mixed (coated) soot particles; and (3) Particles with  $82 < D_{p,300\text{ °C}}/D_p$  were denoted as “low-volatile” and considered as externally mixed (uncoated) soot particles.

$F_{\text{in}}$ , the number fraction of internally mixed soot particles (among all soot-containing particles), was intensively used for discussion in this paper and calculated as,

$$F_{\text{in}} = n_{\text{in}} / (n_{\text{in}} + n_{\text{ex}}) \quad (1)$$

where  $n_{\text{in}}$  is the number concentration of internally mixed soot (NVP) particles, and  $n_{\text{ex}}$  is the number concentration of externally mixed soot (NVP) particles.

### 2.3 The turnover rate of soot particles

The turnover rate,  $k_{\text{ex} \rightarrow \text{in}}$ , can be used to describe the conversion/aging rate of the externally mixed soot to internally mixed particles. It is defined as the rate of percentage change of externally mixed soot particles due to conversion/aging processes (Eq. 2).

$$\left( \frac{\partial n_{\text{ex}}}{\partial t} \right)_{\text{ex} \rightarrow \text{in}} = -k_{\text{ex} \rightarrow \text{in}} n_{\text{ex}} \quad (2)$$

where  $(\partial n_{\text{ex}} / \partial t)_{\text{ex} \rightarrow \text{in}}$  is the rate of change of  $n_{\text{ex}}$  due to conversion/aging processes.

In case condensation dominates the conversion,  $n_{\text{tot}}$ , the number concentration of total (ex + in) soot particles can be considered as a constant. Dividing Eq. (2) by  $n_{\text{tot}}$ , we have

$$\left( \frac{\partial F_{\text{in}}}{\partial t} \right)_{\text{ex} \rightarrow \text{in}} = k_{\text{ex} \rightarrow \text{in}} (1 - F_{\text{in}}) \quad (3)$$

The  $k_{\text{ex} \rightarrow \text{in}}$ , however, can’t be directly solved by substituting  $n_{\text{ex}}$  or  $F_{\text{in}}$  obtained from field measurements into Eqs. (2) or (3). This is because the observed changes of  $n_{\text{ex}}$  and  $F_{\text{in}}$  are subject to multiple processes in the atmosphere, including horizontal/vertical transport (subscribed by “Tran”), emissions (subscribed by “Emis”), deposition (subscribed by “Depo”), condensation (subscribed by “Cond”) and coagulation (subscribed by “Coag”) as in Eq. (4).

$$\begin{aligned} \frac{\partial F_{\text{in}}}{\partial t} = & \overbrace{\left( \frac{\partial F_{\text{in}}}{\partial t} \right)_{\text{Cond}} + \left( \frac{\partial F_{\text{in}}}{\partial t} \right)_{\text{Coag}}}^{\text{aging (ex} \rightarrow \text{in)}} + \left( \frac{\partial F_{\text{in}}}{\partial t} \right)_{\text{Tran}} \\ & + \left( \frac{\partial F_{\text{in}}}{\partial t} \right)_{\text{Emis}} + \left( \frac{\partial F_{\text{in}}}{\partial t} \right)_{\text{Depo}} \end{aligned} \quad (4)$$

in which  $F_{\text{in}}$  could also be replaced by  $n_{\text{ex}}$  or  $n_{\text{in}}$ .

During daytime (the focus period of this study), the condensation dominates the aging processes, especially for relatively large particles (Jacobson, 1997; Riemer et al., 2004). The impact of transport and deposition on the fraction  $F_{\text{in}}$  is also not prominent because both the internally and externally mixed soot particles undergo similar transport and deposition processes and their ratios are likely conserved (Su

et al., 2008; Wiedensohler et al., 2009). Therefore, we assume that  $\partial F_{\text{in}}/\partial t$  is mainly controlled by the condensational aging process (“Cond”) and emissions (“Emis”) while other processes are supposed to be unimportant and not considered in this study.

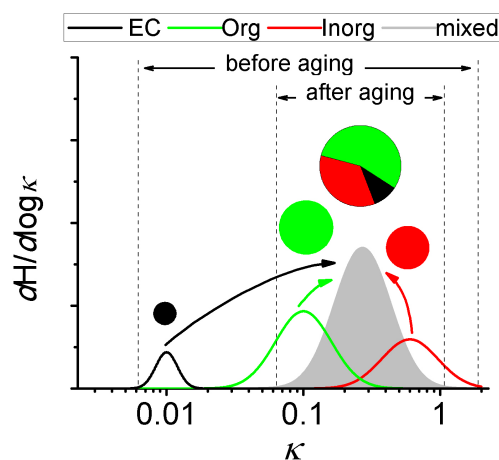
When the emission term in Eq. (4) is also neglected, an “apparent” turnover rate can be determined by  $k_{\text{ex} \rightarrow \text{in}} = (\Delta F_{\text{in}}/\Delta t)/(1 - F_{\text{in}})$ , which attributes all variations in  $F_{\text{in}}$  to an “apparent” conversion process. In previous studies, “apparent”  $k_{\text{ex} \rightarrow \text{in}}$  of 1.3–5.8 % h<sup>-1</sup> has been reported, corresponding to  $(\Delta F_{\text{in}}/\Delta t)$  of 1.0–2.3 % h<sup>-1</sup> and  $F_{\text{in}}$  of 0.2–0.6 (Moteki et al., 2007; Shiraiwa et al., 2007).

## 2.4 CCN measurement and hygroscopicity ( $\kappa$ ) distribution

Size-resolved CCN efficiency spectra (activation curves) were measured with a Droplet Measurement Technologies continuous flow CCN counter (DMT-CCNC) coupled to a differential mobility analyzer (DMA; TSI 3071) and a condensation particle counter (CPC; TSI 3762). The CCNC was operated at a total flow rate of 0.51 min<sup>-1</sup> with a sheath-to-aerosol flow ratio of 10. The effective water vapor supersaturation ( $S$ ) was regulated by the temperature difference between the upper and lower end of the CCNC flow column ( $\Delta T$ ) and calibrated with ammonium sulfate aerosol as described by Rose et al. (2008).

For each CCN measurement cycle,  $\Delta T$  was set to 5 different levels (2.0–11.9 K) corresponding to  $S$  values in the range of 0.07–0.86 %. For each  $\Delta T$  and the respective  $S$ , the diameter of the dry aerosol particles selected by the DMA ( $D_p$ ) was set to 9 different values in the range of 20–290 nm depending on the supersaturation selected. At each  $D_p$ , the number concentration of total aerosol particles (condensation nuclei, CN),  $N_{\text{CN}}$  was measured with the CPC, and the number concentration of CCN,  $N_{\text{CCN}}$ , was measured with the CCNC. The integration time for each measurement data point was 30 s, the recording of a CCN efficiency spectrum took  $\sim 16$  min (including a 50 s adjustment time for each new particle size and 4 min for adjustment to the next supersaturation level), and the completion of a full measurement cycle comprising CCN efficiency spectra at 5 different supersaturation levels took  $\sim 85$  min (including 5 min of settling time for the changeover from highest to lowest  $S$ ). For a detailed description of CCN measurements in the campaign, see Gunthe et al. (2011).

Size-resolved CCN efficiency spectra can be used to derive the cumulative distribution function of particle hygroscopicity,  $H(\kappa, D_p)$ , which is defined as the number fraction of particles with a given dry diameter,  $D_p$ , and with an effective hygroscopicity parameter smaller than the parameter  $\kappa$  (Petters and Kreidenweis, 2007; Su et al., 2010). The data pair of  $D_p$  and  $N_{\text{CCN}}/N_{\text{CN}}$  in a CCN spectrum can be converted to a corresponding data pair of  $\kappa$  and  $H(\kappa, D_p)$  by solving the



**Fig. 1.** Evolution of the mixing state and hygroscopicity ( $\kappa$ ) in the aging process. Solid lines refer to  $dH/d\log\kappa$ , the normalized number distributions of particle hygroscopicity. The areas of the pie diagrams refer to the abundance of individual chemical components (EC: elemental carbon, Org: organics, Inorg: inorganics). After aging, the externally mixed particles become internally mixed (coated).

$\kappa$ -Köhler model equation (refer to Eqs. (21) and (22) in Su et al., 2010).

By fitting  $H(\kappa, D_p)$  with a cumulative single-mode log-normal distribution function, the following parameters were calculated:  $\bar{\kappa}_g$ , the geometric mean of  $\kappa$  in the fitted mode; and  $\sigma_{\kappa,g}$ , the geometric standard deviation of  $\kappa$  in the fitted mode. The spread of the  $\kappa$  distribution, e.g.,  $\sigma_{\kappa,g}$ , reflects the mixing state of aerosols: under internally mixed conditions, all particles have the same composition and a uniform hygroscopicity mode, while under externally mixed conditions, particles can have distinct hygroscopicity modes (see Fig. 1).

## 2.5 Air mass age indicator

The aging of soot particles results in a change of their mixing state, i.e., conversion of externally mixed to internally mixed soot. An aged air mass has a larger fraction of internally mixed soot than a fresh air mass. Therefore, correlations can be expected between an air mass age indicator and the soot mixing state parameter (e.g.,  $F_{\text{in}}$ ). If such a correlation is significant, the soot mixing state could be parameterized by the air mass age indicators.

The age of air mass is a concept under the Lagrangian system. Ideally, the most fresh air mass is assigned an age of zero and its age will increase as the air mass becomes aged. The longer the air mass travels in the atmosphere, the older it will be. However, this does not mean that the age of an air mass can become infinitely large as injection of fresh emissions into the air mass would reduce its age.

To quantify air mass ages, several indicators have been proposed. They are based on the fact that the aging will change the chemical compositions of air masses. So

**Table 1.** Statistics of  $F_{in}$  at different diameters (429 data points).

$F_{in}$	30 nm	50 nm	100 nm	150 nm	200 nm	260 nm	320 nm
Arithmetic mean	0.80	0.74	0.70	0.64	0.60	0.62	0.57
Standard deviation	0.075	0.078	0.084	0.090	0.100	0.100	0.102

parameters reflecting such changes can indicate the aging stage. Three indicators were used in this study.

$[NO_z]/[NO_y]$

In a fresh air mass from combustion sources,  $NO_x$  ( $NO_x=NO+NO_2$ ) are the dominant components of  $NO_y$  (the total odd nitrogen compounds in the atmosphere). As photochemical reactions proceed,  $NO_x$  evolves to other N-containing compounds such as  $HNO_3$  and PAN, and the ratio of  $[NO_z]/[NO_y]$  ( $NO_z=NO_y-NO_x$ ) will increase (Parrish et al., 1992; O'Brien et al., 1997). In this study, the nitrogen oxides ( $NO_x$ ) and total reactive nitrogen ( $NO_y$ ) were measured using a NO-O<sub>3</sub> chemiluminescence detector combined with a photolytic converter and a gold tube catalytic converter (Takegawa et al., 2006)

$[E]/[X]$

The ratios of hydrocarbons have been used as measures of photochemical age since Calvert (1976). Different reactivities of hydrocarbons result in different removal rates and monotonic change of their ratios in the aging process. We used the ratio of ethylbenzene and m,p-xylene ( $[E]/[X]$ ) in this study. Ethylbenzene and m,p-xylene were measured by an online GC-PID (gas chromatograph-photo ionization detector) system, as detailed by Xie et al. (2008).

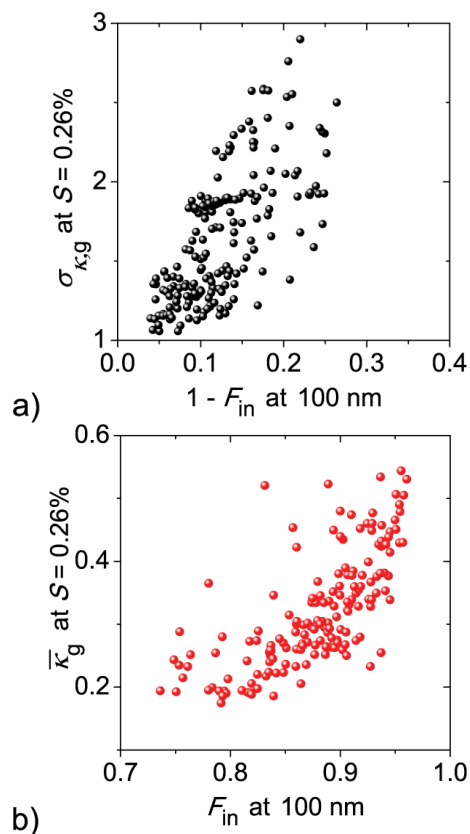
$([IM] + [OM])/[EC]$

As an air mass ages, more secondary aerosol is produced, which reduces the mass fraction of soot particles.  $([IM] + [OM])/([EC])$  could hence be used as an indicator (Cheng et al., 2006), in which  $[IM]=[NH_4^+] + [NO_3^-] + [SO_4^{2-}] + [Cl^-]$  (inorganic ions in  $PM_{10}$  measured by aerosol mass spectrometer, AMS) and  $[OM]$  was the organic matter mass (in  $PM_{10}$ ) determined by AMS. An online Sunset EC/OC analyzer was used to measure the mass concentrations of EC,  $[EC]$ . Details about the EC/OC analyzer and AMS can be found in Takegawa et al. (2009).

### 3 Results and discussion

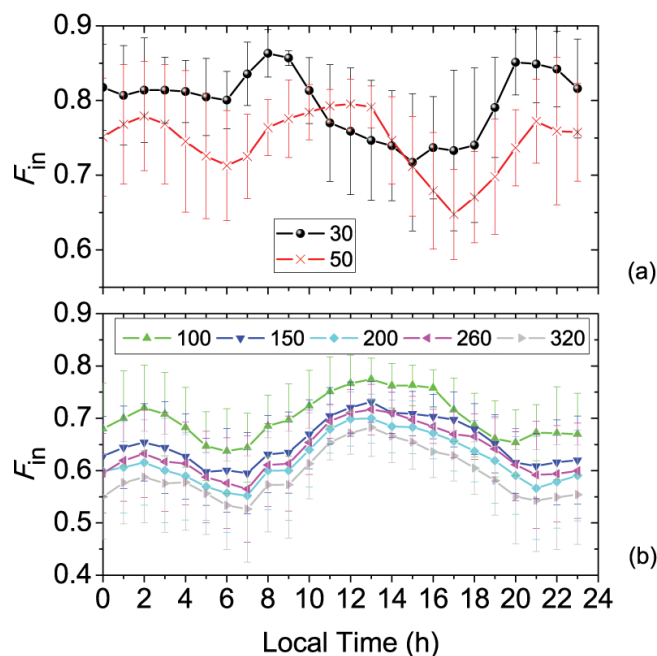
#### 3.1 The mixing state of soot and hygroscopicity

Table 1 summarizes statistics of  $F_{in}$  measurements from this campaign. The  $F_{in}$  values show a decreasing trend as the particle size  $D_p$  increases, ranging from an  $\overline{F_{in}}$  of  $0.80 \pm 0.075$



**Fig. 2.** Comparison of aerosol hygroscopicity and soot (NVP) mixing state parameters during the CAREBeijing-2006 campaign. The parameters  $\overline{\kappa}_{g}$  and  $\sigma_{\kappa,g}$  were calculated from the aerosol hygroscopicity (i.e.,  $\kappa$ ) distribution measured at supersaturation  $S = 0.26\%$  (Su et al., 2010); and  $F_{in}$  was determined for particles of diameters at 100 nm by the VTDMA measurements. Note that the mean activation diameter observed at  $S = 0.26\%$  is 85 nm (Gunthe et al., 2011).

at  $D_p = 30$  nm to  $\overline{F_{in}}$  of  $0.57 \pm 0.102$  at  $D_p = 320$  nm (arithmetic mean  $\pm$  standard deviation). Figure 2 shows a comparison of hourly  $F_{in}$  data with  $\sigma_{\kappa,g}$  (the geometric standard deviation in a lognormal  $\kappa$  distribution, as detailed in Sect. 2.4., and Su et al., 2010). The  $(1 - F_{in})$  is the number fraction of externally mixed soot (NVP) particles. The two parameters,  $\sigma_{\kappa,g}$  and  $(1 - F_{in})$ , are well correlated. This means that more externally mixed soot (NVP) particles were observed when particles showed more heterogeneous features in their hygroscopicity distributions (large  $\sigma_{\kappa,g}$ ). Such consistency gives



**Fig. 3.** Average diurnal variation of  $F_{in}$  at different size bins (30, 50, 100, 150, 200, 260, and 320 nm). Symbols represent arithmetic mean values and error bars represent the standard deviation. Since transport might significantly affect the evolution of  $F_{in}$ , days with average wind speed  $>2 \text{ m s}^{-1}$  (20, 22 August, 3, 4, 5, 6 and 8 September) were completely removed from the statistics.

confidence about the robustness of both parameters and the reliability of the measuring systems.

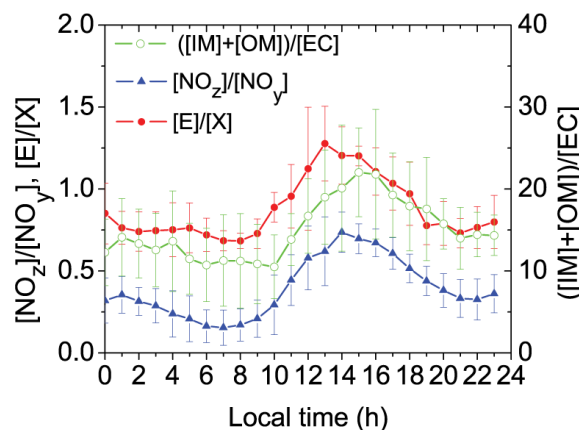
During the CAREBeijing campaign, positive correlation between hourly  $\bar{\kappa}_g$  and  $F_{in}$  was also found (Fig. 2b) as a result of their concurrent increase during the aging process. The increased  $\bar{\kappa}_g$  can be attributed to the increase of fraction of inorganic matter (IM) in aerosol particles. The IM is in general more hygroscopic and CCN-active than the rest of the aerosol chemical species (Pöschl, 2011; Rose et al., 2011). The increase of IM fraction leads to a corresponding increase of the overall aerosol hygroscopicity and hence  $\bar{\kappa}_g$ , which represents an averaged hygroscopicity of aerosols (Su et al., 2010).

### 3.2 Diurnal cycle of the soot mixing state

Figure 3 shows a pronounced diurnal cycle of  $F_{in}$ , which also has a strong size dependence. For accumulation mode particles (i.e., 100 nm to 320 nm, Fig. 3b), similar diurnal profiles of  $F_{in}$  can be found, with a maximum at  $\sim 13:00$  LT (local time) and two minimums, in the early morning  $\sim 07:00$  LT and at night  $\sim 21:00$  LT, respectively. As the day progresses, an increase in  $F_{in}$  (08:00–13:00 LT) is observed for accumulation mode particles with “apparent” turnover rates of 6.7–7.8 %  $\text{h}^{-1}$ .

**Table 2.** Correlation matrix of  $F_{in}$  at different diameters (429 data points).

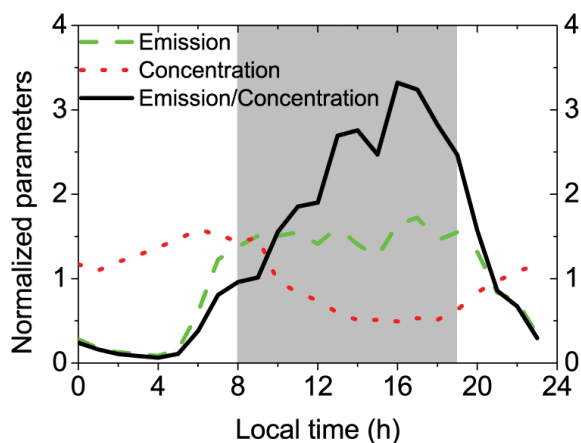
$R$	30 nm	50 nm	100 nm	150 nm	200 nm	260 nm	320 nm
50 nm	0.49						
100 nm	−0.12	0.40					
150 nm	−0.09	0.25	0.86				
200 nm	−0.04	0.24	0.73	0.93			
260 nm	−0.01	0.24	0.65	0.87	0.95		
320 nm	0.00	0.28	0.58	0.80	0.89	0.94	



**Fig. 4.** Averaged diurnal variation of  $[\text{NO}_z]/[\text{NO}_y]$ ,  $[\text{E}]/[\text{X}]$  and  $([\text{IM}] + [\text{OM}])/[\text{EC}]$ . Symbols represent arithmetic mean values and error bars represent the standard deviation.

In the Aitken mode, however, the variation of  $F_{in}$  is different to that in the accumulation mode (Fig. 3a). The maximum of  $F_{in}$  appears earlier at  $\sim 08:00$  LT (at  $D_p = 30$  nm) and  $12:00$  LT (at  $D_p = 50$  nm), and the afternoon minimum  $F_{in}$  appears earlier as well. The distinct behaviors of Aitken mode particles are shown by the correlation matrix in Table 2, where  $F_{in}$  of Aitken mode particles show poor correlations with the accumulation mode particles. The reasons for such correlations will be further discussed in Sect. 3.5.

For accumulation mode particles presented in Fig. 3b,  $F_{in}$  shows a peak around noon time. Such a diurnal cycle indicates that ground-based measurements were subject to competing effects from physico-chemical aging and the introduction of fresh emissions. While the aging increases  $F_{in}$  by turning externally mixed particles into internally mixed ones, the fresh emissions, with low  $F_{in}$ , tend to reduce the  $F_{in}$  values. Similar competing effects can also be found in the diurnal courses of the air mass age indicators (e.g.,  $[\text{NO}_z]/[\text{NO}_y]$ ,  $[\text{E}]/[\text{X}]$  and  $([\text{IM}] + [\text{OM}])/[\text{EC}]$  in Fig. 4) and aerosol single scattering albedo as reported in Garland et al. (2008, 2009).



**Fig. 5.** Diurnal variation of normalized parameters: (a) emission rates of EC,  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$ , which is assumed the same as CO emission rates in Beijing (green dashed lines); (b) EC concentrations measured by an online Sunset EC/OC analyzer (red dotted lines); and (c) ratio of emission rate to EC concentration (black solid lines). All parameters are normalized by their diurnal means, respectively. Shaded areas represent the time period of 08:00–19:00 LT when vertical mixing is supposed to significantly affect EC concentrations.

### 3.3 Estimation of soot emission rate

Emissions have a significant impact on the measured  $F_{\text{in}}$  and the “apparent”  $k_{\text{ex} \rightarrow \text{in}}$  (soot turnover rate). Before determining the actual  $k_{\text{ex} \rightarrow \text{in}}$ , we need to first quantify the emissions.

The emission rate can be divided into a diurnal profile describing its relative variation and the absolute value of any point on this profile. In this study, we adopt the diurnal profile of CO (carbon monoxide) emissions in Beijing areas (Zhou et al., 2010) and assume that soot emissions have a similar diurnal pattern. Then measured EC loadings are used to estimate the absolute emission rate at certain period during night-time. With these two kinds of information, the whole emission profile can be quantified.

Note that the emission rate is often expressed as a flux in mass per area per time while its impact on EC concentrations,  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$ , has a unit of mass per volume per time. From the aspect of Eulerian grid models, the diurnal profile (relative variation) of the emission flux and  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$  is the same while their absolute values differ by a factor of the grid height.

Figure 5 shows the diurnal profile of the emission rate  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$  used in this study. To estimate its absolute values, we tried to find a time period when the concentration variation is dominated by emissions, i.e.,  $\partial[\text{EC}]/\partial t \approx (\partial[\text{EC}]/\partial t)_{\text{Emis}}$ . The EC concentration is also shown in Fig. 5. It is clear that [EC] is not always increasing though  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$  is always positive. This is because transport plays an important role on the diurnal variation of [EC].

To minimize the impact of transport and obtain an optimal estimate on absolute values of  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$ , we take the following criteria, eliminating days with average wind speed  $> 2 \text{ m s}^{-1}$  (20, 22 August, 3, 4, 5, 6 and 8 September), excluding time periods with strong vertical mixing (from 08:00 to 19:00 LT), and choosing periods with largest ratio of normalized  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$  to [EC] (at 20:00 LT). The reason for choosing low wind speeds and night-time periods is to minimize the impact of horizontal and vertical transport processes on [EC]. Large ratios of  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}/[\text{EC}]$  ensures that the emission term dominates the variation of [EC].

Finally,  $\Delta[\text{EC}]/\Delta t$  at 20:00 ( $\sim 0.89 \mu\text{g m}^{-3} \text{ h}^{-1}$ ) was taken as an optimal estimation of  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$  at 20:00. It was then used to calculate  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$  for the rest of the day by applying the diurnal profile in Fig. 5. Table 3 summarizes the diurnal variation of the measured [EC] and the calculated  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$ . The mean emission rate is  $\sim 13\%$  of the mean soot concentration per hour ( $13\% \text{ h}^{-1}$ ).

### 3.4 Actual turnover rate of soot

In this section, a conceptual model is used to analyze the observed variation of  $F_{\text{in}}$ . Since  $F_{\text{in}}$  of particles of all sizes in the accumulation mode (i.e., 100–320 nm) showed similar behaviors,  $F_{\text{in}}$  at 150 nm is taken as an example and will be discussed through this section.

Two processes are considered in the model: emissions and the condensational aging process that converts equal amounts of externally mixed particles into internally mixed particles. We also assume that all (or most) particles stay in the same size bin after the 1-h aging process. This assumption, to a large extent, simplifies the following analysis, and could at least be valid for a broader size bracket, e.g., [100 nm, 350 nm]. The transport and dry deposition processes are supposed not to significantly change  $F_{\text{in}}$  (assuming  $n_{\text{in}}$  and  $n_{\text{ex}}$  have the same gradient and dry deposition velocity) and are therefore ignored in this analysis.

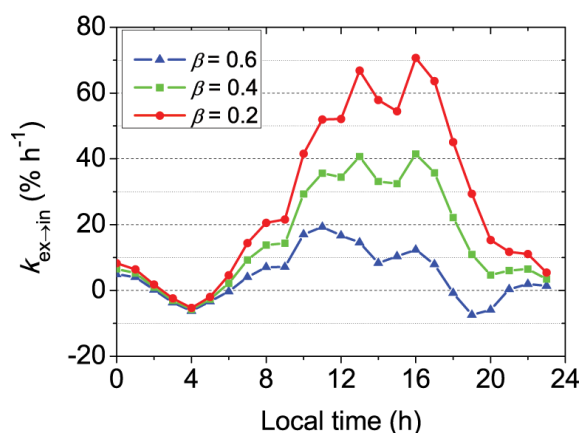
Then the variation of  $F_{\text{in}}$  in the time interval  $\Delta t$  can be derived by Eq. (5):

$$\begin{aligned} \Delta F_{\text{in}} &= \frac{n_{\text{in}} + \left(\frac{\partial n_{\text{in}}}{\partial t}\right)_{\text{ex} \rightarrow \text{in}} + \left(\frac{\partial n_{\text{in}}}{\partial t}\right)_{\text{Emis}}}{n_{\text{tot}} + \left(\frac{\partial n_{\text{tot}}}{\partial t}\right)_{\text{Emis}}} - F_{\text{in}} \\ &= \frac{n_{\text{in}} + k_{\text{ex} \rightarrow \text{in}} n_{\text{ex}} + \beta \left(\frac{\partial n_{\text{tot}}}{\partial t}\right)_{\text{Emis}}}{n_{\text{tot}} + \left(\frac{\partial n_{\text{tot}}}{\partial t}\right)_{\text{Emis}}} - F_{\text{in}} \end{aligned} \quad (5)$$

in which  $\beta = (\partial n_{\text{in}}/\partial n_{\text{tot}})_{\text{Emis}}$  is the fraction of internally mixed soot in emissions and is assumed to be a constant. The minimum  $F_{\text{in}} \sim 0.6$  can be considered as the upper limit of  $\beta$ , and we also tested other  $\beta$  values (0.2 and 0.4) for sensitivity studies.

**Table 3.** Mean diurnal variation of [EC] (EC concentrations) and  $(\partial[\text{EC}]/\partial t)_{\text{Emis}}$  (emission rates).

Time h	[EC] $\mu\text{g m}^{-3}$	$(\partial[\text{EC}]/\partial t)_{\text{Emis}}$ $\mu\text{g m}^{-3} \text{h}^{-1}$	$\frac{(\partial[\text{EC}]/\partial t)_{\text{Emis}}}{[\text{EC}]}$ $\% \text{h}^{-1}$	Time h	[EC] $\mu\text{g m}^{-3}$	$(\partial[\text{EC}]/\partial t)_{\text{Emis}}$ $\mu\text{g m}^{-3} \text{h}^{-1}$	$\frac{(\partial[\text{EC}]/\partial t)_{\text{Emis}}}{[\text{EC}]}$ $\% \text{h}^{-1}$
0	6.1	0.191	3.1	12	3.9	0.954	24.5
1	5.8	0.120	2.1	13	3.1	1.092	35.2
2	6.3	0.088	1.4	14	2.7	0.952	35.3
3	6.7	0.074	1.1	15	2.7	0.856	31.7
4	7.2	0.058	0.8	16	2.6	1.114	42.8
5	7.7	0.108	1.4	17	2.8	1.168	41.7
6	8.3	0.407	4.9	18	2.7	0.986	36.5
7	8.0	0.832	10.4	19	3.3	1.052	31.9
8	7.5	0.934	12.5	20	4.4	0.894	20.3
9	7.8	1.022	13.1	21	5.1	0.564	11.1
10	5.1	1.020	20.0	22	5.7	0.495	8.7
11	4.4	1.052	23.9	23	6.1	0.232	3.8

**Fig. 6.** The actual turnover rate of soot (NVP),  $k_{\text{ex} \rightarrow \text{in}}$ , assuming different emission factors  $\beta$  (number fraction of internally mixed soot particles to total soot particles in the emissions).

Dividing the numerator and fraction of the first term on the right-hand side of Eq. (5) by  $n_{\text{tot}}$ , we have

$$\Delta F_{\text{in}} = \frac{F_{\text{in}} + k_{\text{ex} \rightarrow \text{in}}(1 - F_{\text{in}}) + \beta \left[ \frac{1}{n_{\text{tot}}} \left( \frac{\partial n_{\text{tot}}}{\partial t} \right)_{\text{Emis}} \right]}{1 + \left[ \frac{1}{n_{\text{tot}}} \left( \frac{\partial n_{\text{tot}}}{\partial t} \right)_{\text{Emis}} \right]} - F_{\text{in}} \quad (6)$$

Assuming  $\frac{1}{n_{\text{tot}}} (\partial n_{\text{tot}} / \partial t)_{\text{Emis}} = \frac{1}{[\text{EC}]} (\partial [\text{EC}] / \partial t)_{\text{Emis}}$  (see Table 3),  $k_{\text{ex} \rightarrow \text{in}}$  becomes the only unknown parameter and can be calculated by solving Eq. (6).

Figure 6 shows the diurnal cycles of campaign-averaged  $k_{\text{ex} \rightarrow \text{in}}$  calculated for particles at 150 nm with different  $\beta$ . Compared to a fixed  $k_{\text{ex} \rightarrow \text{in}}$  value commonly used in regional and global models, the observation-constrained  $k_{\text{ex} \rightarrow \text{in}}$  shows higher values with a maximum around 11:00–15:00 LT, and low values in the early morning and late afternoon. Such a diurnal cycle always holds, even after varying  $(\partial [\text{EC}] / \partial t)_{\text{Emis}}$  by a factor of two (see Fig. S2). The cycle of the turnover

rate,  $k_{\text{ex} \rightarrow \text{in}}$ , supports previous results in modeling studies (Riemer et al., 2004, 2010), which show high daytime  $k_{\text{ex} \rightarrow \text{in}}$  dominated by condensation processes, and low  $k_{\text{ex} \rightarrow \text{in}}$  resulting from both slower condensation and coagulation processes in the early morning and late afternoon. Although  $k_{\text{ex} \rightarrow \text{in}}$  for night-time periods are also presented in Fig. 6, these night-time values require caveats because the coagulation-induced aging could become more important than the condensation during night-time (Riemer et al., 2004, 2010), which violates our assumption on  $k_{\text{ex} \rightarrow \text{in}}$  calculations.

Since the condensation-induced turnover rate is proportional to the condensable vapor pressure, the derived daytime  $k_{\text{ex} \rightarrow \text{in}}$  implies a peak of condensable vapor pressure at the same period (11:00–15:00 LT). It is already known that gaseous sulfuric acid (precursor of sulfate in particles) often shows a peak around noon. Our results indicate that even the potentially present un-identified condensable vapors might have similar diurnal cycles as sulfuric acid. Instead of complete characterizations of these un-identified vapors, the similarity in their diurnal variations enables the use of a simple parameterization method as an alternative solution in aerosol modeling studies, as will be discussed below.

Due to the presence of primary emission sources, the actual turnover rate of soot,  $k_{\text{ex} \rightarrow \text{in}}$  is higher than the “apparent”  $k_{\text{ex} \rightarrow \text{in}}$ . The freshly emitted particles contain more externally mixed soot than the aged ambient air. The observed “aging” of soot (NVP) is to a certain extent compensated by the fresh emissions, the extent of which depends on the emission intensity. For the estimated emission intensity,  $\frac{(\partial [\text{EC}] / \partial t)_{\text{Emis}}}{[\text{EC}]}$  of 13 % h<sup>-1</sup> and  $\beta = 0.6$ ,  $k_{\text{ex} \rightarrow \text{in}}$  values reached up to 20 % h<sup>-1</sup> (see Fig. 6), much faster than the “apparent”  $k_{\text{ex} \rightarrow \text{in}}$  of 6.7–7.8 % h<sup>-1</sup>.

The aging process in Beijing is also much faster than  $k_{\text{ex} \rightarrow \text{in}}$  of 1.3–5.8 % h<sup>-1</sup> in previous field measurements (Moteki et al., 2007; Shiraiwa et al., 2007) and 1.25–2.5 % h<sup>-1</sup> in modeling studies (Cooke and Wilson, 1996; Cooke et al., 1999, 2002; Lohmann et al., 2000; Jacobson,



2001; Koch, 2001; Tsigaridis and Kanakidou, 2003; Riemer et al., 2004). The fast turnover rate in the polluted megacity air is consistent with the observed rapid particle growth in the same area (Wiedensohler et al., 2009), both of which can be attributed to the fast formation and condensation of secondary aerosols in the megacity. The flow of soot particles from megacities influences the global background of soot to a large extent. If those soot particles are already coated or internally mixed in a very short time due to strong condensation, the background soot should be mostly internally mixed, as has been suggested by Andreae and Rosenfeld (2008).

Figure 6 also shows that the absolute value of  $k_{\text{ex} \rightarrow \text{in}}$  strongly depends on the choice of the emission factor  $\beta$ , while the relative variation of  $k_{\text{ex} \rightarrow \text{in}}$  does not. Smaller  $\beta$  values (large fraction of externally mixed soot in emissions) require faster  $k_{\text{ex} \rightarrow \text{in}}$  to explain the observed  $F_{\text{in}}$  variations. For example,  $\beta = 0.2$  requires  $k_{\text{ex} \rightarrow \text{in}}$  up to 70 %  $\text{h}^{-1}$  to meet the observations. On the other hand,  $k_{\text{ex} \rightarrow \text{in}}$  up to 20 %  $\text{h}^{-1}$  require a large  $\beta = 0.6$ , which means that 60 % of the emitted soot particles should have already been internally mixed when they arrive at the measurement site.

### 3.5 Distinct diurnal variations of $F_{\text{in}}$ for Aitken and accumulation mode particles

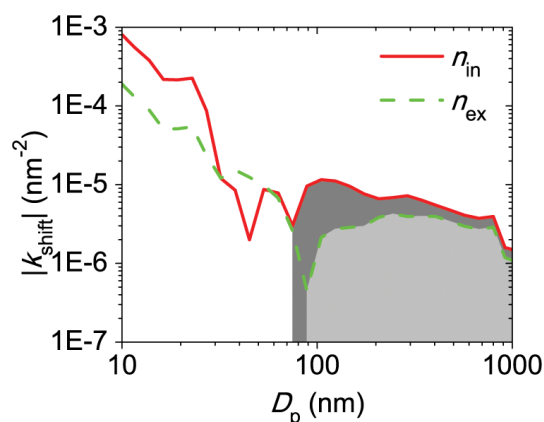
In the conceptual model, we assume that particle growth will not lead to significant change in  $n_{\text{tot}}$  and  $n_{\text{ex}}$ , which means no significant shift of the particle size distribution occurs over a short time. While such an assumption is valid for large particles in the accumulation mode (i.e., > 100 nm), it might be violated by the faster growth of small particles (e.g., 30 nm) in the Aitken mode, which was reflected by the different diurnal cycles of  $F_{\text{in}}$  at smaller diameters (see Fig. 3).

To describe the rate of change of a particle size distribution  $n(D_p)$  resulting from condensation, we adopted a general condensation equation (Seinfeld and Pandis, 2006, pp. 591) in the form

$$\frac{\partial n}{\partial t} = -\frac{\partial}{\partial D_p} \left( n \frac{4DM}{RTD_p\rho_p} f(Kn, \alpha) P \right) \quad (7)$$

where  $n$  denotes the particle number concentration at  $D_p$ ,  $M$  is the molecular weight for the condensable species,  $D$  is its diffusion coefficient in air,  $R$  is the molar gas constant,  $T$  is the temperature (in Kelvin),  $\rho_p$  is the density of the particles,  $P$  is the supersaturated vapor pressure of the condensable species,  $Kn$  is the Knudsen number ( $Kn = 2\lambda/D_p$ ),  $\lambda$  is the mean free path of the condensable species in air, and  $\alpha$  is the accommodation coefficient of the condensable species.  $f(Kn, \alpha)$  is the correction due to non-continuum effects and imperfect surface accommodation and can be calculated by

$$f(Kn, \alpha) = \frac{0.75\alpha(1 + Kn)}{Kn^2 + Kn + 0.283Kn\alpha + 0.75\alpha} \quad (8)$$



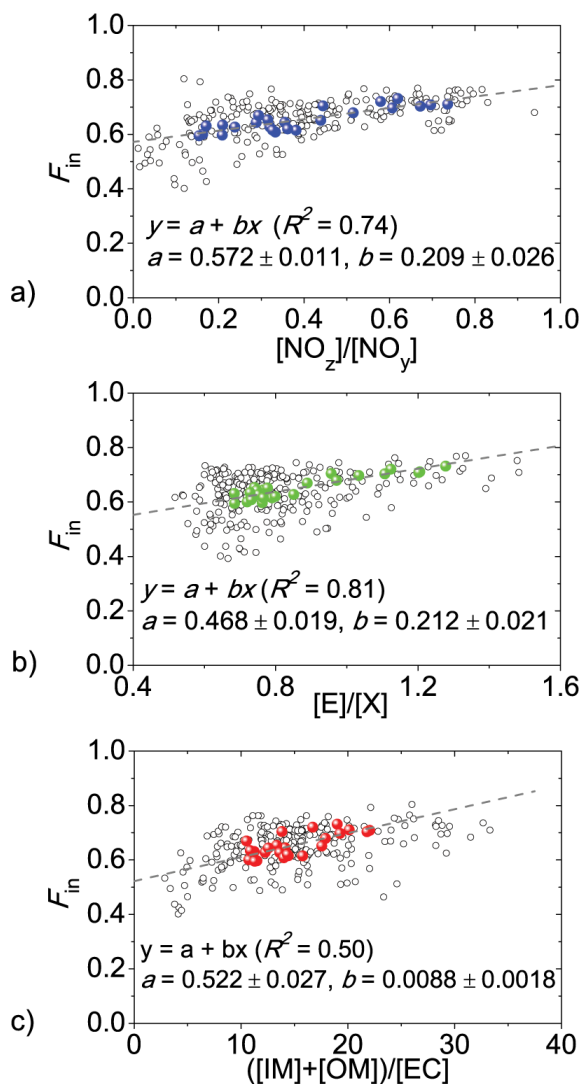
**Fig. 7.** The size dependence of the particle size distribution  $n(D_p)$  variation due to condensation growth.  $k_{\text{shift}}$  equals  $(\partial n/\partial t)/n$  due to condensation growth divided by a constant (in Eq. 20).  $k_{\text{shift}}$  can either be positive or negative, which indicates increases and decreases of  $n(D_p)$  due to condensation growth, respectively. The shaded areas (with gray and light gray for  $n_{\text{in}}$  and  $n_{\text{ex}}$ , respectively) indicate the size ranges where  $k_{\text{shift}}$  are positive while  $k_{\text{shift}}$  are negative in the un-shaded ranges.

Dividing both sides of Eq. (7) by  $A = 4DMP/(RT\rho_p)$ , we get a parameter  $k_{\text{shift}}$ :

$$k_{\text{shift}} = \frac{1}{A} \frac{\partial n}{n \partial t} = -\frac{\partial}{\partial D_p} \left( \frac{n}{D_p} f(Kn, \alpha) \right) \quad (9)$$

$k_{\text{shift}}$  differs from  $(\partial n/\partial t)/n$  in Eq. (7) by a factor of  $A$ , which is generally/mostly independent of particle sizes. So,  $k_{\text{shift}}$  is equivalent to  $(\partial n/\partial t)/n$  in representing the size dependence of the rate of change of  $n$  due to condensation. By taking the measured  $n(D_p)$  and  $\lambda = \lambda_{\text{air}}$  (298 K, 1 atm) = 65.1 nm (Seinfeld and Pandis, 2006, p. 399),  $k_{\text{shift}}$  can be calculated for each  $D_p$ .

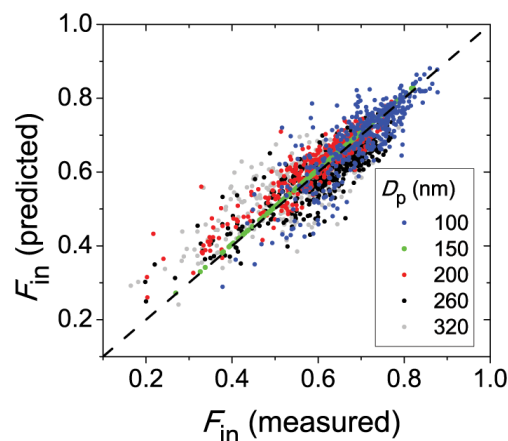
Figure 7 shows  $k_{\text{shift}}$  as a function of the particle size  $D_p$ , calculated with Eqs. (7)–(9) for the CAREBeijing 2006 campaign. The (mean) size distributions of the internally (externally) mixed soot (NVP) particles were used in the calculation (Wehner et al., 2009). Since VTDMA measurements covered only a limited size range (from 30 to 320 nm), nearest neighbor extrapolations were performed to get  $F_{\text{in}}$  values out of range, i.e. [10 nm, 30 nm) and (320 nm, 1000 nm] as shown in Fig. 7.  $k_{\text{shift}}$  shows positive values roughly on the right side of the peak of the particle size distributions and negative values on the left side. Positive  $k_{\text{shift}}$  (at  $D_p$  in shaded areas) indicate condensation-induced increases in  $n(D_p)$  while negative  $k_{\text{shift}}$  (at  $D_p$  in un-shaded areas) indicate condensation-induced decreases in  $n(D_p)$ . Since the peaks of the  $n_{\text{in}}(D_p)$  and  $n_{\text{ex}}(D_p)$  distributions appears at sizes smaller than 100 nm, the condensation growth generally increases  $n_{\text{in}}(D_p)$  in the accumulation mode and reduces  $n_{\text{in}}(D_p)$  at the  $D_p$  of 30 nm.



**Fig. 8.** Correlations between  $F_{in}$  and (a)  $[NO_z]/[NO_y]$ , (b)  $[E]/[X]$ , and (c)  $([IM] + [OM])/[EC]$ . The open circles are measurement data with a time resolution of 1 h; while the colored solid dots are average diurnal data. The average diurnal data can be linearly fitted by equation “ $y = a + bx$ ” (dashed line) with  $R$  being the correlation coefficient.

### 3.6 Size-resolved parameterizations of $F_{in}$

Due to insufficient knowledge concerning the soot mixing state (and its temporal evolution) and the computational costs associated with explicit modeling of the mixing processes, it is still difficult to explicitly and accurately predict the variation of  $F_{in}$  in regional/climate models. In an aging air mass, the change of soot mixing state is generally accompanied by the change of other indicators representing the air mass age. We suggest parameterizing the mixing state of soot by using these correlations. If the other indicators are easier to measure and model, such parameterizations might provide an alternative solution, narrowing down the differences between



**Fig. 9.** Measured and predicted  $F_{in}$  over 100 nm to 320 nm (2145 data points). The dashed line represents the 1 : 1 line.

ambient and modeled soot mixing state without significant additional computational costs.

The proposed parameterization approach involves two steps to calculate  $F_{in}$  from other indicators. First, the average diurnal values of  $F_{in}$  and other parameters (as shown in Figs. 3 and 4) are adopted to calculate a linear fit, resulting in the parameterization Eqs. (10)–(12). The reason for using average values is to smooth out fluctuations which may greatly reduce the correlation coefficient  $R$  and introduce large uncertainties into the fitting results.  $F_{in}$  at certain diameter (i.e., 150 nm) can be predicted by parameterization Eqs. (10)–(12). Figure 8a–c shows comparisons of  $F_{in}$  at 150 nm with individual indicators, namely,  $[NO_z]/[NO_y]$ ,  $[E]/[X]$  and  $([IM] + [OM])/[EC]$ .

$$F_{in}(150\text{ nm}) = 0.572 + 0.209 \frac{[NO_z]}{[NO_y]} \quad (10)$$

$$F_{in}(150\text{ nm}) = 0.468 + 0.212 \frac{[E]}{[X]} \quad (11)$$

$$F_{in}(150\text{ nm}) = 0.522 + 0.0088 \frac{[IM] + [OM]}{[EC]} \quad (12)$$

Second, we find that the size-resolved  $F_{in}$  in the accumulation mode can be calculated by the following equation from  $F_{in}$  at 150 nm:

$$F_{in}(D_p) = (a \log_{10}(D_p/1\text{ nm}) + b) F_{in}(150\text{ nm}) \quad (13)$$

where  $a$  and  $b$  are constant parameters.

Fitting Eq. (13) to the measured  $F_{in}$  over the diameter range 100 to 320 nm, we got  $a = -0.353$  ( $-0.370$ ,  $-0.336$ ) (coefficients with 95 % confidence bounds),  $b = 1.78$  (1.74, 1.82), and  $R^2 = 0.80$ . Figure 9 and Table 4 shows that Eq. (13) performs well in predicting the size-resolved  $F_{in}$  over the size range between 100 nm and 320 nm. There is no systematic underestimation (or overestimation) and the fitted slope  $k$  is almost the same as the 1 : 1 line. Most variations of  $F_{in}$ , i.e., up to 77 % can be predicted by the parameterization.

**Table 4.** Correlation of measured and predicted  $F_{in}$  (429 data points for individual size bins; 2145 data points for all size bins).

	$D_p$					
	100 nm	150 nm	200 nm	260 nm	320 nm	all
$k^*$	$0.99 \pm 0.01$	$1.01 \pm 0.00$	$1.02 \pm 0.01$	$0.96 \pm 0.01$	$1.00 \pm 0.01$	0.99
$R^2$	0.75	1.00	0.80	0.68	0.41	0.77

\* $k$  is the fit parameter in the equation:  $F_{in}$  (predicted) =  $k F_{in}$  (measured).

This parameterization method requires caveats because considerable variability can be expected between different sites or even at a given site, like the scattered data shown in Figs. 8 and 9. To validate the parameterization methods, more measurements should be carried out in other environments.

### 3.7 Coating thickness distribution

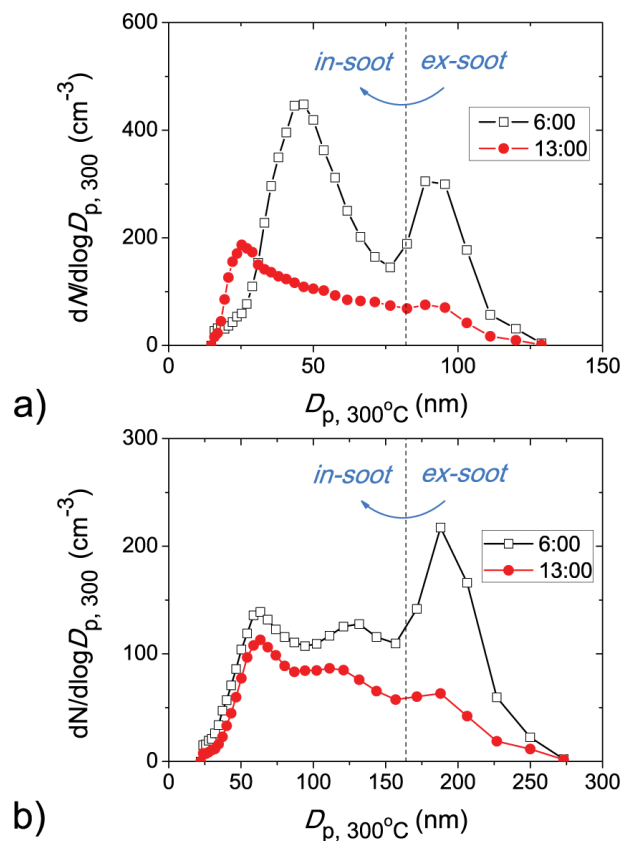
In both the existing literature and the present study, the soot particles are often classified into two distinct groups (internally and externally mixed soot particles) by certain threshold core/shell ratios (e.g.,  $D_{p,300^\circ C}/D_p$ ). However, the transition between the two groups in the atmosphere can be quite smooth, especially in aged air mass (Fig. 10, results at 13:00), and the threshold core/shell ratio should be considered as an arbitrary definition. Such “arbitrary” ratios (e.g., core/shell ratio = 0.5) have also been used to distinguish different mixing states of soot particles in SP2 studies (e.g., Shiraiwa et al., 2007).

In our case, the use of different threshold ratios will change the absolute values of  $F_{in}$  but leave the same/similar trends (see Fig. S3 for example). For a better description of the mixing state of soot particles, we suggest to consider the distribution concept, e.g., the distribution of the relative coating thickness ( $D_{p,300^\circ C}/D_p$ ) in future studies.

## 4 Conclusions

Analysis of VTDMA results from the megacity Beijing shows a pronounced diurnal variation of  $F_{in}$  with different behaviors in the Aitken and accumulation modes. For accumulation mode particles, maxima of  $F_{in}$  were observed at 12:00–13:00 LT, which can be explained by competing effects of physico-chemical conversion and direct emissions. The distinct diurnal cycles of  $F_{in}$  of Aitken and accumulation mode particles are likely caused by faster growth of smaller Aitken mode particles.

To calculate the actual turnover rate of soot from external to internal mixture ( $k_{ex \rightarrow in}$ ), measurement data were analyzed by a conceptual model, which considered both direct emissions and the aging process. The analysis shows that the actual  $k_{ex \rightarrow in}$  has high values during daytime, i.e., a maximum around 11:00–15:00 LT, and low values during the night-time. Turnover rates reached values of 20–70 %  $h^{-1}$



**Fig. 10.** Size distributions of nonvolatile residuals with initial diameter  $D_p$  of (a) 100 nm and (b) 200 nm.  $D_{p,300^\circ C}$  is the diameter of the nonvolatile residuals, i.e., particles after being heated at 300 °C. The presence of particles with  $D_{p,300^\circ C} > D_p$  is due to the transfer function of the DMA used for initial particle selections.

around mid-day, which implies that soot (NVP) particles are present to a large extent as internal mixtures by the time they leave the urban environment. This enables them to act as CCN and also facilitates their removal by wet deposition (Andreae and Rosenfeld, 2008). The cycle of  $k_{ex \rightarrow in}$  supports previous modeling results implying that the un-identified condensable vapors might have similar diurnal variations as sulfuric acid and other short life-time compounds produced by photochemistry. We also found that due to injection of fresh emissions, the “apparent” turnover rates derived from the variation in  $F_{in}$  were much smaller than the actual  $k_{ex \rightarrow in}$ .

Table A1. Acronyms.

Symbol	Description
AMS	Aerosol mass spectrometer
ATOFMS	Aerosol time-of-flight mass spectrometer
CAREBeijing	Campaign of air quality research in Beijing
CCN	Cloud condensation nuclei
DMA	Differential mobility analyzer
EC	Elemental carbon
GC-PID	Gas chromatography-photo ionization detector
OC	Organic carbon
PAN	Peroxyacetyl nitrates
PM <sub>1</sub>	Particles of 1 µm or less in aerodynamic diameter
PM <sub>10</sub>	Particles of 10 µm or less in aerodynamic diameter
SP2	Single particle soot photometer
Soot (NVP)	Non-volatile-core containing particles, measured by the VTDMA, and taken as soot particles
VTDMA	Volatility tandem differential mobility analyzer

In this study, the calculated  $k_{\text{ex} \rightarrow \text{in}}$  might be subject to potential uncertainties due to: (1) the estimation of  $\frac{1}{[\text{EC}]} (\partial[\text{EC}]/\partial t)_{\text{Emiss}}$  (relative emission rate of soot) and  $\beta$  (number fraction of internally mixed soot particles in emissions); (2) the effect of transport process; and (3) the influence of particle growth and coagulation. To better understand the soot aging process, we suggest measuring  $\beta$  in some emission studies, combining regional models to quantify the transport effects, and implementing aerosol dynamic models instead of the current conceptual model.

$F_{\text{in}}$  shows a similar diurnal course as the other air mass age indicators (e.g.,  $[\text{NO}_z]/[\text{NO}_y]$ ,  $[\text{E}]/[\text{X}]$  and  $([\text{IM}] + [\text{OM}])/[\text{EC}]$ ), which are subject to competing effects between emissions and aging processes as well. The good agreement of their correlations can be expressed as a linear relationship. Given the difficulty of making direct measurements of soot mixing state ( $F_{\text{in}}$ ), these relationships might be of great practical value in regional/global-scale studies on the influence of the various direct and indirect soot aerosol effects on climate, as it provides an easily measured proxy that does not consume significant additional computing time. However, such parameterizations require caveats because considerable variability can be expected between different sites or even at a given site, like the scattered data shown in Figs. 8 and 9. To validate the parameterization methods, improve our understanding and refine the range of the fitting parameters, more measurements should be carried out in other environments. In addition, validation for particles larger than 320 nm needs to be performed in future studies.

Table A2. Symbols.

Symbol	Unit*	Quantity
$D$	$\text{m}^2 \text{s}^{-1}$	Diffusion coefficient for species in air
$D_p$	nm	Dry particle diameter
$D_{p,300^\circ\text{C}}$	nm	Particle diameter after being heated at 300 °C in the VTDMA
[E]	ppb	Concentration of ethylbenzene
[EC]	$\mu\text{g m}^{-3}$	Concentration of elemental carbon
$F_{\text{in}}$		Number fraction of internally mixed soot particles (medium volatile particles measured by VTDMA with 82 % > $D_{p,300^\circ\text{C}}/D_p > 45\%$ in total soot particles
$f(Kn, \alpha)$		Correction due to non-continuum effects and imperfect surface accommodation
[IM]	$\mu\text{g m}^{-3}$	$[\text{IM}] = [\text{NH}_4^+] + [\text{NO}_3^-] + [\text{SO}_4^{2-}] + [\text{Cl}^-]$ (inorganic mass in PM <sub>1</sub> measured by aerosol mass spectrometer, AMS)
$Kn$		Knudsen number
$k_{\text{ex} \rightarrow \text{in}}$	$\text{h}^{-1}$	Turnover rate of soot, the rate of conversion of externally mixed to internally mixed soot
$k_{\text{shift}}$	$\text{nm}^{-2}$	Parameter representing the rate of change of particle number concentrations at certain size bin due to the condensational growth
$M$	$\text{kg mol}^{-1}$	Molecular weight
$N_{\text{CN}}$	$\text{cm}^{-3}$	Number concentration of aerosol particles
$N_{\text{CCN}}$	$\text{cm}^{-3}$	Number concentration of CCN
[NO <sub>x</sub> ]	ppb	Concentration of NO + NO <sub>2</sub>
[NO <sub>y</sub> ]	ppb	Concentration of total reactive nitrogen
[NO <sub>z</sub> ]	ppb	$[\text{NO}_y] - [\text{NO}_x]$
$n_{\text{in}}$	$\text{cm}^{-3}$	Number concentration of internally mixed soot particles
$n_{\text{ex}}$	$\text{cm}^{-3}$	Number concentration of externally mixed soot particles
$n_{\text{tot}}$	$\text{cm}^{-3}$	Number concentration of total soot particles
[OM]	$\mu\text{g m}^{-3}$	Mass concentration of organic matter (in PM <sub>1</sub> measured by aerosol mass spectrometer, AMS)
$P$	Pa	Supersaturated vapor pressure of condensable species
$R$	$\text{J mol}^{-1} \text{K}^{-1}$	Molar gas constant (unless specified)
$S$	%	Supersaturation of water vapor
$T$	K	Kelvin temperature
$t$	s	Time
[X]	ppb	Concentration of m,p-xylene
$\alpha$		Accommodation coefficient
$\beta$		Number fraction of internally mixed soot particles in emissions
$\sigma_{g,\kappa}$		Geometric standard deviation in a lognormal $\kappa$ distribution
$\kappa$		Hygroscopicity parameter
$\bar{\kappa}_g$		Geometric mean of $\kappa$ in a lognormal $\kappa$ distribution
$\lambda$	m	Mean free path of the condensable species in air
$\rho_p$	$\text{kg m}^{-3}$	Particle density

\* if not specified.

Supplementary material related to this article is available online at: <http://www.atmos-chem-phys.net/12/4477/2012/acp-12-4477-2012-supplement.pdf>.

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