



## Estimating ground-level PM<sub>2.5</sub> in eastern China using aerosol optical depth determined from the GOCI satellite instrument

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**Abstract.** We determine and interpret fine particulate matter (PM<sub>2.5</sub>) concentrations in eastern China for January to December 2013 at a horizontal resolution of 6 km from aerosol optical depth (AOD) retrieved from the Korean geostationary ocean color imager (GOCI) satellite instrument. We implement a set of filters to minimize cloud contamination in GOCI AOD. Evaluation of filtered GOCI AOD with AOD from the Aerosol Robotic Network (AERONET) indicates significant agreement with mean fractional bias (MFB) in Beijing of 6.7 % and northern Taiwan of −1.2 %. We use a global chemical transport model (GEOS-Chem) to relate the total column AOD to the near-surface PM<sub>2.5</sub>. The simulated PM<sub>2.5</sub> / AOD ratio exhibits high consistency with ground-based measurements in Taiwan (MFB = −0.52 %) and Beijing (MFB = −8.0 %). We evaluate the satellite-derived PM<sub>2.5</sub> versus the ground-level PM<sub>2.5</sub> in 2013 measured by the China Environmental Monitoring Center. Sig-

nificant agreement is found between GOCI-derived PM<sub>2.5</sub> and in situ observations in both annual averages ( $r^2 = 0.66$ ,  $N = 494$ ) and monthly averages (relative RMSE = 18.3 %), indicating GOCI provides valuable data for air quality studies in Northeast Asia. The GEOS-Chem simulated chemical composition of GOCI-derived PM<sub>2.5</sub> reveals that secondary inorganics ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ ) and organic matter are the most significant components. Biofuel emissions in northern China for heating increase the concentration of organic matter in winter. The population-weighted GOCI-derived PM<sub>2.5</sub> over eastern China for 2013 is  $53.8 \mu\text{g m}^{-3}$ , with 400 million residents in regions that exceed the Interim Target-1 of the World Health Organization.

## 1 Introduction

Fine particulate matter with aerodynamic diameter less than 2.5  $\mu\text{m}$  (PM<sub>2.5</sub>) is a robust indicator of mortality and other negative health effects associated with ambient air pollution (Goldberg, 2008; Laden et al., 2006). It is estimated that more than 3 million people lost their lives prematurely due to PM<sub>2.5</sub> in 2010 (Lim et al., 2012), of which 1 million occurred in East Asia (Silva et al., 2013). In China, there have already been several episodes with PM<sub>2.5</sub> described as “beyond index” levels. Thus, it is of paramount importance to monitor PM<sub>2.5</sub> concentration across China. Satellite remote sensing has a high potential to monitor PM<sub>2.5</sub>.

Satellite retrievals of aerosol optical depth (AOD), which provide a measure of the amount of light extinction through the atmospheric column due to the presence of aerosols, have long been recognized to relate to ground level PM<sub>2.5</sub> (Wang and Christopher, 2003). Many studies have developed advanced statistical relationships to estimate with high accuracy surface PM<sub>2.5</sub> from satellite AOD (Liu et al., 2009; Kloog et al., 2012; Hu et al., 2013). For example, Ma et al. (2014) estimated PM<sub>2.5</sub> concentrations in China from satellite AOD by developing a national-scale geographically weighted regression model, and found strong agreement ( $r^2 = 0.64$ ) with ground measurements.

In addition to empirical statistical methods, satellite AOD can also be geophysically related to surface PM<sub>2.5</sub> by the use of a chemical transport model to simulate the PM<sub>2.5</sub> to AOD relationship (Liu et al., 2004; van Donkelaar et al., 2010). This approach was first demonstrated using data from the Multiangle Imaging Spectroradiometer (MISR) aboard NASA's Terra satellite over the United States for 2001 (Liu et al., 2004). Van Donkelaar et al. (2006, 2010) extended this approach to estimate PM<sub>2.5</sub> from AOD retrieved from both the MODIS (Moderate Resolution Imaging Spectroradiometer) and the MISR satellite instruments, and developed a long-term global estimate of PM<sub>2.5</sub> at a spatial resolution of approximately 10 km  $\times$  10 km. Boys et al. (2014) used AOD retrieved from MISR and the SeaWiFS (Sea-Viewing Wide Field-of-View Sensor) to produce a 15-year (1998–2012) global trend of ground-level PM<sub>2.5</sub>. These previous studies have proven to be globally effective, but more detailed regional investigation is needed in densely polluted and populated regions like China.

The Geostationary Ocean Color Imager (GOCI) is the first geostationary satellite instrument that offers multi-spectral aerosol optical properties in Northeast Asia (Park et al., 2014). GOCI has a high observation density of eight retrievals per day (hourly retrievals from 09:00 to 16:00 Korean Standard Time) over a location, which exceeds the retrieval density of traditional low-Earth polar-orbiting satellite instruments. Thus, GOCI is promising for more detailed investigations on aerosol properties in highly polluted and populated regions including eastern China.

In this study, we estimate ground-level PM<sub>2.5</sub> in eastern China for 2013 at a horizontal resolution of 6 km by 6 km, by using AOD retrieved from GOCI, coupled with the relationship of PM<sub>2.5</sub> to AOD simulated by a chemical transport model (GEOS-Chem). Section 2 describes the approach and data. Section 3 evaluates the GOCI AOD, the simulated PM<sub>2.5</sub> to AOD relationship, and the GOCI-derived PM<sub>2.5</sub> using recently available ground-level measurements from the China Environmental Monitoring Center (<http://113.108.142:20035/emcpublish/>). We also interpret the GOCI-derived PM<sub>2.5</sub> by using the GEOS-Chem model to estimate its chemical composition. Section 4 summarizes the major findings and potential future improvements of the current analysis.

## 2 Methods

### 2.1 Aerosol optical depth from the GOCI satellite instrument

GOCI operates onboard the Communication, Ocean, and Meteorology Satellite (COMS) that was launched in 2010 in Korea (Lee et al., 2010). The spatial coverage of GOCI is 2500 km  $\times$  2500 km in Northeast Asia, including eastern China, the Korean peninsula and Japan (Kang et al., 2006). GOCI has eight spectral channels for aerosol retrievals, including six visible bands at 412, 443, 490, 555, 660, 680 nm and two near infrared bands at 745 and 865 nm (Park et al., 2014). The Level 2 AOD products are retrieved at a spatial resolution of 6 km by 6 km, using a clear-sky composite method for surface reflectance and a lookup table approach based on AERONET observations (Lee et al., 2010, 2012).

A challenge using GOCI to detect aerosols in the atmosphere is the absence of mid-infrared (IR) channels to detect clouds, which means that significant errors could be induced in the estimates of AOD. The operational GOCI products screen clouds based on spatial variability and threshold tests at each 6 km  $\times$  6 km pixel in combination with a meteorological imager that has four IR channels (at 3.7, 6.7, 10.8, 12  $\mu\text{m}$  wavelengths) at 4 km by 4 km resolution onboard the same satellite (Cho and Youn, 2006). However, as will be shown here, cloud contamination still occurs. Therefore, we apply a set of spatial filters following Hyer et al. (2011) and temporal filters to further eliminate cloud contamination in GOCI AOD. The filters include (1) a buddy check that sets a minimum number of 15 retrievals per 30 km  $\times$  30 km grid cell, (2) a local variance check to eliminate grid cells where the coefficient of variation of AOD is larger than 0.5 within the surrounding 5  $\times$  5 grid cells and (3) a diurnal variation check that excludes grid cells with diurnal variation (maximum – minimum) of AOD larger than 0.74 which is the 90th percentile of diurnal variation of AERONET AOD in Beijing and northern Taiwan for 2013. In this study, we use

GOCI AOD for January–December 2013 to derive ground-level PM<sub>2.5</sub> in eastern China.

## 2.2 Aerosol optical depth from AERONET ground-based measurements

The Aerosol Robotic Network (AERONET) is a globally distributed network of CIMEL Sun photometers (Holben et al., 1998) that provide multi-wavelength AOD measurements with a low uncertainty of < 0.02 (Holben et al., 2001). Here we use AERONET Level 1.5 cloud screened data (Smirnov et al., 2000) for January–December 2013 from four stations within the GOCI domain: Beijing, Beijing-CAMS, Taipei\_CWB and EPA-NCU. AERONET Level 2 data for 2013 are not available for some stations discussed in this paper. Thus, we use Level 1.5 for consistency. We compared Level 2 and Level 1.5 data for 2013 for stations that do have Level 2 available, and found Level 1.5 AOD is highly consistent with Level 2 AOD with RMSE of 0.01–0.02 (relative RMSE of 2–7%). Criteria for selecting an AERONET station are (1) a PM<sub>2.5</sub> ground monitor has to be located within 10 km and (2) a complete time series of AOD data records for the period of study has to be available. Beijing and Beijing-CAMS stations are located in downtown Beijing, with the closest available PM<sub>2.5</sub> monitors 9.5 and 7.5 km away, respectively. However, due to interrupted time series of PM<sub>2.5</sub> records at both these stations, we combine the AERONET AOD from the Beijing and Beijing-CAMS stations and PM<sub>2.5</sub> from the corresponding two in situ ground-based sites as a “combined Beijing” site. Taipei\_CWB and EPA-NCU stations are located in populated northern Taiwan, with nearly collocated PM<sub>2.5</sub> monitors (< 3 km). We similarly combine the Taipei\_CWB and EPA-NCU as “northern Taiwan” site. We use these sites to evaluate GOCI AOD and the relationship between AOD and PM<sub>2.5</sub> simulated by a global chemical transport model.

## 2.3 Simulation of the relationship between AOD and PM<sub>2.5</sub> by GEOS-Chem

We use the GEOS-Chem chemical transport model (version 9-01-03; <http://geos-chem.org>) to calculate the spatiotemporally resolved relationship between ground-level PM<sub>2.5</sub> and satellite-retrieved column AOD.

Our nested GEOS-Chem simulation at  $1/2^\circ \times 2/3^\circ$  spatial resolution with 47 vertical levels (14 levels in the lowest 2 km) is driven by assimilated meteorology from the Goddard Earth Observing System (GEOS-5). A global simulation at  $2^\circ \times 2.5^\circ$  spatial resolution is used to provide boundary conditions for the nested domain (Wang et al., 2004). We spin up the model for 1 month before each simulation to remove the effects of initial conditions on the aerosol simulation.

GEOS-Chem includes a fully coupled treatment of tropospheric oxidant–aerosol chemistry (Bey et al., 2001; Park et

al., 2004). The GEOS-Chem aerosol simulation includes the sulfate–nitrate–ammonium system (Park et al., 2004; Pye et al., 2009), primary (Park et al., 2003) and secondary (Henze and Seinfeld, 2006; Henze et al., 2008; Liao et al., 2007; Fu et al., 2008) organics, mineral dust (Fairlie et al., 2007), and sea salt (Jaeglé et al., 2011). We estimate the concentration of organic matter (OM, which includes elements such as hydrogen, oxygen and nitrogen) from the simulated primary organic carbon (OC) using spatially and seasonally resolved values from OMI (Ozone Monitoring Instrument) NO<sub>2</sub> and AMS (aerosol mass spectrometer) measurements following Philip et al. (2014). Gas–aerosol phase partitioning is simulated using the ISORROPIA II thermodynamic scheme (Fountoukis and Nenes, 2007). GEOS-Chem calculates AOD using relative humidity-dependent aerosol optical properties following Martin et al. (2003). Dust optics are from Ridley et al. (2012).

Anthropogenic emissions are based on the Multi-resolution Emission Inventory for China (MEIC; <http://www.meicmodel.org>) for 2010, and the Zhang et al. (2009) inventory for surrounding East Asia regions for 2006. Both inventories are scaled to the simulation year (2012–2013), following Ohara et al. (2007). Non-anthropogenic emissions include biomass burning emissions (GFED-3) (Mu et al., 2011), biogenic emissions (MEGAN) (Guenther et al., 2006), soil NO<sub>x</sub> (Yienger and Levy, 1995; Wang et al., 1998), lightning NO<sub>x</sub> (Murray et al., 2012), aircraft NO<sub>x</sub> (Wang et al., 1998; Stettler et al., 2011), ship SO<sub>2</sub> from EDGAR (Olivier and Berdowski, 2001) and volcanic SO<sub>2</sub> emissions (Fischer et al., 2011). HNO<sub>3</sub> concentrations are artificially decreased to 75 % of their values at each timestep following Heald et al. (2012) to account for regional bias (Wang et al., 2013). Emissions are distributed into the lower mixed layer, with a correction to the GEOS-5 predicted nighttime mixing depths following Heald et al. (2012) and Walker et al. (2012).

We apply GEOS-Chem to simulate daily relationships between ground level PM<sub>2.5</sub> and column AOD, specifically PM<sub>2.5</sub> / AOD. PM<sub>2.5</sub> concentrations are calculated at 35 % relative humidity for consistency with in situ measurements. For consistency with GOCI AOD and PM<sub>2.5</sub> ground-based measurements, we sample the simulated AOD only from hours that GOCI has retrievals (00:00–07:00 UTC), and calculate the simulated daily PM<sub>2.5</sub> from 24 h averages as reported for the ground-based PM<sub>2.5</sub> measurements. The simulation period is May 2012–April 2013 as the GEOS-5 meteorological fields are not available afterward. The mismatch with observations for May–December 2013 has the potential to degrade performance, but as will be shown here no clear loss of quality is apparent.

## 2.4 In situ PM<sub>2.5</sub> measurements

We collect PM<sub>2.5</sub> measurements from 494 monitors to evaluate the GOCI-derived values. In situ PM<sub>2.5</sub> daily measurements in Mainland China for 2013 are primarily from

the official website of the China Environmental Monitoring Center (CEMC; <http://113.108.142:20035/emcpublish/>). Data are also collected from some provinces (e.g., Shandong, Zhejiang) and municipalities (e.g., Beijing and Tianjin) with additional sites that are not included in the CEMC website. Daily in situ PM<sub>2.5</sub> data in northern Taiwan for 2013 are from the Taiwan Environmental Protection Administration (TEPA; <http://taqm.epa.gov.tw>). The in situ PM<sub>2.5</sub> data in both Mainland China and northern Taiwan are measured by a collection of the tapered element oscillating microbalance methods (TEOMs) and beta-attenuation methods (BAMs) with some TEOMs being heated to 30 °C and others to 50 °C (CNAQS, GB3095-2012, 2012; <http://taqm.epa.gov.tw>). The specific instrument (BAMs or TEOMs) used by each monitoring site is unknown. The effective relative humidity of the resultant PM<sub>2.5</sub> measurement likely varies diurnally and seasonally as a function of the ambient temperature. Semivolatile losses are expected from the TEOMs. The network design appears to include compliance objectives that may affect monitor placement. Despite these issues, we use the monitoring data to evaluate our satellite-derived PM<sub>2.5</sub> since the monitoring data offer valuable information about ground-level PM<sub>2.5</sub> concentrations. We also collect PM<sub>2.5</sub> measurements from a monitor in Beijing as part of the surface particulate matter Network (SPARTAN; [www.spartan-network.org](http://www.spartan-network.org)) using a three-wavelength nephelometer and an impaction filter sampler (Snider et al., 2015). The SPARTAN, CEMC and TEPA PM<sub>2.5</sub> monitoring data combined with AERONET AOD are used to estimate the empirical relationship between PM<sub>2.5</sub> and AOD, and to further evaluate the relationship simulated by the model.

## 2.5 Statistical terms

Root mean square error (RMSE), relative root mean square error (rRMSE), mean fractional bias (MFB) and mean fractional error (MFE) are defined as

$$\text{RMSE} = \sqrt{\frac{1}{N} \sum_{i=1}^N (S_i - O_i)^2} \quad (1)$$

$$\text{rRMSE} = \frac{\text{RMSE}}{\frac{1}{N} \sum_{i=1}^N O_i} \quad (2)$$

$$\text{MFB} = \frac{1}{N} \sum_{i=1}^N \frac{(S_i - O_i)}{\left(\frac{S_i + O_i}{2}\right)} \times 100\% \quad (3)$$

$$\text{MFE} = \frac{1}{N} \sum_{i=1}^N \frac{|S_i - O_i|}{\left(\frac{S_i + O_i}{2}\right)} \times 100\%, \quad (4)$$

where  $S_i$  is the satellite-derived value of the parameter in question,  $O_i$  is the corresponding observed value, and  $N$  is the number of observations.

Coefficient of variation (CV) is defined as

$$\text{CV} = \frac{\text{Standard deviation}}{\text{Mean}} \quad (5)$$

## 3 Results and discussion

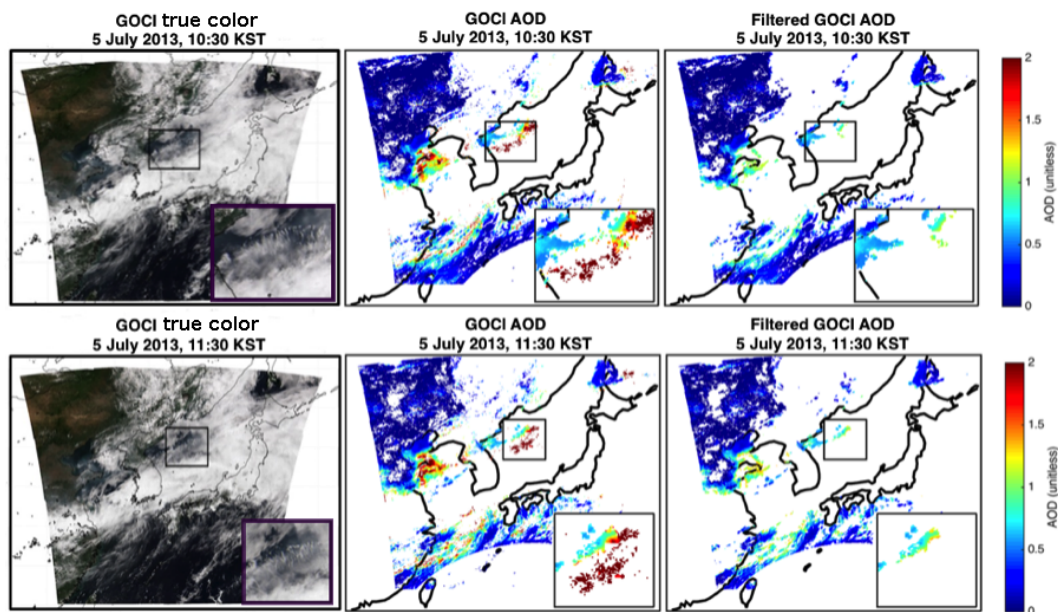
### 3.1 Evaluation of satellite AOD and the simulated relationship between PM<sub>2.5</sub> and AOD

Figure 1 shows the effects of our cloud-screening filters on GOCI AOD. The left panel shows GOCI true color images from 5 July 2013 at 10:30 (top) and 11:30 (bottom) Korean Standard Time. The boxes identify challenging regions with thick white cloud, dark cloud-free oceans and gray shading that appears to be thin cloud. The operational GOCI AOD retrievals, shown in the middle panel, correctly exclude thick clouds, but report high AOD for the potentially thin clouds. Although these gray regions could contain aerosol, we err on the side of caution. Application of our additional temporal and spatial cloud filters removes the suspicious pixels from the original GOCI data, as shown in the right panel. Our filters reject 10.3 % of all the operational GOCI AOD data investigated in this study. We evaluate the cloud filters further below.

Figure 2 (top) shows monthly averages of coincident filtered hourly GOCI and AERONET AOD for January–December 2013 at combined Beijing and northern Taiwan stations. GOCI AOD is highly consistent with AERONET observations with MFB of 6.7 % in Beijing and −1.2 % in Taiwan. GOCI AOD and AERONET AOD are positively skewed at both stations, and the skewness is reduced in GOCI AOD at both stations due to more records for extremely small AOD (< 0.04) in GOCI products. The relatively larger rRMSE between GOCI and AERONET AOD in northern Taiwan may reflect the fewer observations there.

We investigate the filtered diurnal variation of GOCI AOD at the above AERONET stations and find the level of AOD is uniform within a day (e.g., the coefficient of variation in Beijing is 0.1), similar to AERONET observations.

The effect of excluding our cloud-screening filters is negligible for coincident comparisons with AERONET since AERONET is already cloud-screened. The exclusion of our cloud filters for a non-coincident comparison that includes all GOCI data would introduce significant error versus AERONET observations, increasing rRMSE by a factor of 1.7–3.3 in Beijing and northern Taiwan. Changing the buddy check threshold in our cloud filters from 15 to 10 would significantly underestimate AOD especially in northern Taiwan where the MFB would increase from −1.2 to −15.0 %. Decreasing the threshold of local variance check to 0.4 has little influence (< 0.1 %) for rRMSE, MFB and MFE, but would have larger influence on GOCI-derived PM<sub>2.5</sub> as will be shown later. Limiting the diurnal variation of GOCI AOD to the 80th percentile of diurnal variations in observations would introduce bias (rRMSE would increase by 4 % in Beijing) to GOCI AOD. As will be shown here, GOCI-derived PM<sub>2.5</sub> offers an additional test of cloud screening filters. Figure 2 (bottom) shows the relationship between the ground level PM<sub>2.5</sub> and the columnar AOD as simulated by GEOS-



**Figure 1.** The GOCI granules from 5 July 2013, 10:30 (top) and 11:30 (bottom) Korean Standard Time. From left to right on each panel are the GOCI true color images, the operational AOD retrievals and the AOD retrievals after applying temporal and textual filters to reduce cloud contamination. The boxes highlight examples of challenging cloud fields, and are enlarged within the lower right subplot of each panel.

Chem and from ground-based measurements. The measured ratio in Beijing has pronounced seasonal variation with values high in winter and low in spring. The measured ratio in northern Taiwan exhibits little seasonal variation. The annual mean GEOS-Chem PM<sub>2.5</sub> / AOD ratio well reproduces the ground-based measurements despite the temporal inconsistency of the two metrics for May–December. The simulation captures the pronounced seasonal variation in Beijing and the comparably aseasonal behavior in northern Taiwan. The simulated seasonal variation of PM<sub>2.5</sub> / AOD in Beijing arises from the seasonal variation of mixed-layer depth (factor of 2 higher in summer than winter) combined with the near-constant columnar AOD throughout the year as shown in Fig. 2 (top).

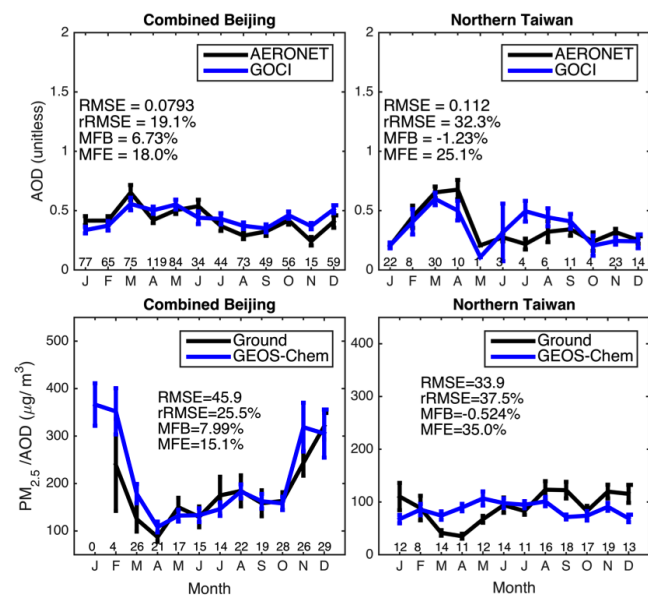
Snider et al. (2015) interpreted coincident measurements of AOD, PM<sub>2.5</sub>, and nephelometer measurements of aerosol scattering and found that the temporal variation of the PM<sub>2.5</sub> / AOD ratio in Beijing was primarily driven by the vertical profile in aerosol scattering. We examine the seasonal variation in the simulated PM<sub>2.5</sub> / AOD and similarly find that the ratio of ground-level aerosol scatter to columnar AOD contributes most (89 %) of the monthly variability in the PM<sub>2.5</sub> / AOD ratio in Beijing.

### 3.2 Evaluation of ground-level PM<sub>2.5</sub> derived from GOCI AOD

Figure 3 shows the seasonal and annual distribution of PM<sub>2.5</sub> over East Asia at a spatial resolution of 6 km by 6 km for 2013. In both GOCI-derived and measured PM<sub>2.5</sub>, winter

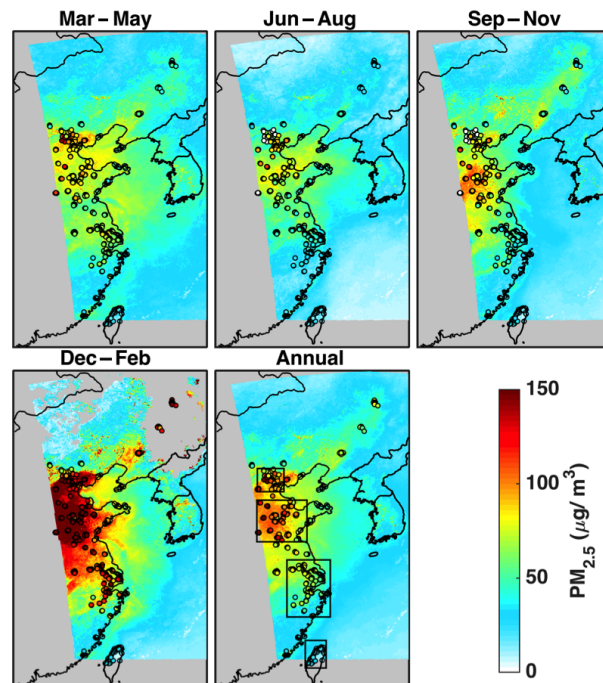
concentrations in eastern China exceed 100 μg m<sup>-3</sup> over vast regions, with lower values in summer. Both GOCI-derived and in situ measurements reveal that PM<sub>2.5</sub> in northern China is higher than in southern China, especially for the Beijing, Hebei and Shandong provinces where the annual PM<sub>2.5</sub> is almost 100 μg m<sup>-3</sup> or more. Prior work has attributed this regional enhancement to high emission rates (Zhao et al., 2013; Zhang et al., 2013) that in part arises from emissions when producing goods for exports (Jiang et al., 2015).

Figure 4 compares annual and seasonal averages of daily ground-measured PM<sub>2.5</sub> from 494 sites with coincident daily GOCI-derived PM<sub>2.5</sub> from pixels that contain the ground-based sites. A significant correlation ( $r^2 = 0.66$ ,  $N = 494$ ) with a slope near unity (1.01) is found in the annual scatter plot. The slope remains near unity (0.95–1.01) in seasonal scatter plots. The weaker correlation for all four seasons implies random representativeness differences between point in situ measurements and area-averaged satellite values when data density diminishes. Semivolatile losses from some in situ instruments (TEOMs) might contribute to scatter in winter when nitrate constitutes a larger fraction of PM<sub>2.5</sub>. We focus on more meaningful aggregated measurements. Using the same technique, we also estimated PM<sub>2.5</sub> from MODIS Collection 6 AOD for 2013, and found GOCI-derived PM<sub>2.5</sub> achieves greater consistency than MODIS-derived PM<sub>2.5</sub> when compared with ground-based measurements (slope = 1.1,  $r^2 = 0.61$ ). GOCI-derived PM<sub>2.5</sub> also corrects the significant underestimation of PM<sub>2.5</sub> from GEOS-Chem (slope = 0.68,  $r^2 = 0.85$ ) when compared with ground measurements.



**Figure 2.** Top: monthly time series of AOD from AERONET and GOCI for January–December 2013. Numbers above the  $x$  axis denote the number of coincident hourly observations in each month. Bottom: monthly averages of PM<sub>2.5</sub> / AOD from ground measurements and the GEOS-Chem simulation at AERONET sites. The ground-based ratio is sampled from daily ground PM<sub>2.5</sub> coincident with AERONET AOD for January–December 2013. The GEOS-Chem simulation is for May 2012–April 2013, noncoincident with the ground-based ratio for May–December 2013. Numbers above the  $x$  axis denote the number of daily ground-based observations in each month. Error bars represent standard errors. Statistics are root mean square error (RMSE), relative root mean square error (rRMSE), mean fractional bias (MFB) and mean fractional error (MFE).

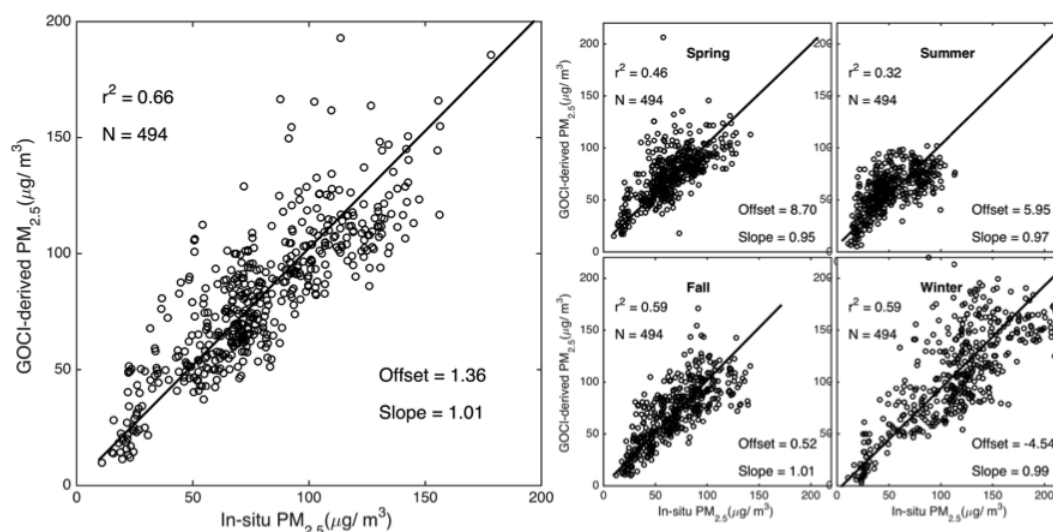
Figure 5 shows monthly averages of GOCI-derived PM<sub>2.5</sub> and in situ measurements at four regions outlined in Fig. 3. Regions are selected based on the level of PM<sub>2.5</sub> concentration and the population of residents. A high degree of consistency is found in all regions. Both data sets show more seasonal variation in northern regions like Beijing and Shandong than southern regions like Shanghai and northern Taiwan. Both indicate that PM<sub>2.5</sub> concentrations in northern regions are generally higher than in southern regions. The exclusion of our cloud screening filters from the GOCI AOD would introduce significant bias in GOCI-derived PM<sub>2.5</sub> versus ground-based measurements especially in summer, increasing rRMSE by a factor of 1.7–5.3 in all four regions. Changing the threshold of local variance check in our cloud filters to 0.4 would introduce bias by restricting the variation of PM<sub>2.5</sub> concentrations. For example, GOCI-derived PM<sub>2.5</sub> would be generally underestimated in Beijing areas (rRMSE = 16.6 % and MFB = −6.8 %) and Shandong areas (rRMSE = 16.6 % and MFB = −3.42 %).



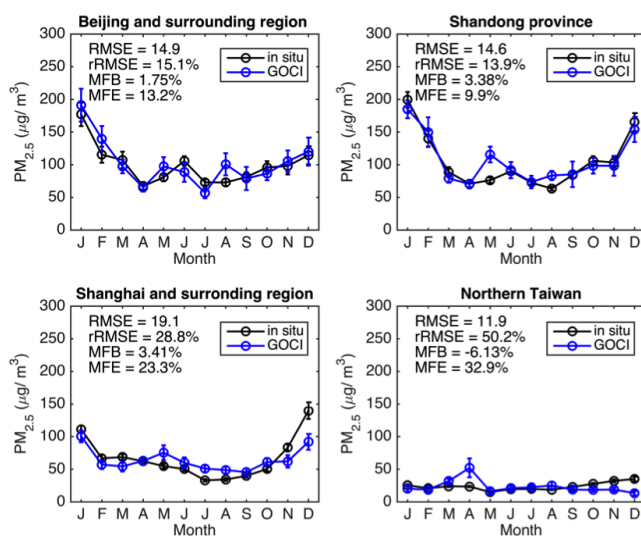
**Figure 3.** Seasonal and annual distribution of PM<sub>2.5</sub> concentrations at 6 km by 6 km resolution over East Asia for 2013. The background color indicates averages of GOCI-derived daily surface PM<sub>2.5</sub> concentrations. Filled circles represent averages of daily ground-based measurements of PM<sub>2.5</sub>. Gray denotes missing values. Boxes in the annual map denote regions used for monthly comparisons in Fig. 5 from top to bottom: Beijing and surrounding areas, Shandong and surrounding regions, Shanghai and surrounding areas and northern Taiwan.

### 3.3 Seasonal variation of PM<sub>2.5</sub>

Figure 6 shows the monthly averages of coincident daily GOCI-derived and in situ PM<sub>2.5</sub> concentrations for the domain of eastern China. Both the GOCI-derived PM<sub>2.5</sub> and ground-based observations exhibit similar seasonal variation with values high in winter and low in summer. Exclusion of our temporal and spatial cloud-screening filters from GOCI-derived PM<sub>2.5</sub> would increase rRMSE by a factor of 3.4. Figure 6 also shows the chemical composition of GOCI-derived PM<sub>2.5</sub>, as calculated by applying the GEOS-Chem simulated mass fraction of PM<sub>2.5</sub> chemical components to GOCI-derived PM<sub>2.5</sub> mass concentration. Aerosol water is attached to each component according to its hygroscopicity. Secondary inorganic aerosols (SIA; SO<sub>4</sub><sup>2−</sup>, NO<sub>3</sub><sup>−</sup>, NH<sub>4</sub><sup>+</sup>) are the most abundant components throughout the year, accounting for 65 % of PM<sub>2.5</sub> concentrations, followed by OM (18 %). The NO<sub>3</sub><sup>−</sup> and OM concentrations increase by a factor of 2 in winter, together comprising most of PM<sub>2.5</sub> (31 % for NO<sub>3</sub><sup>−</sup> and 26 % for OM). Summer is predominately controlled by SIA (74 %). Dust plays an important role in spring (15 %) and fall (15 %). Our seasonal variation of chemical

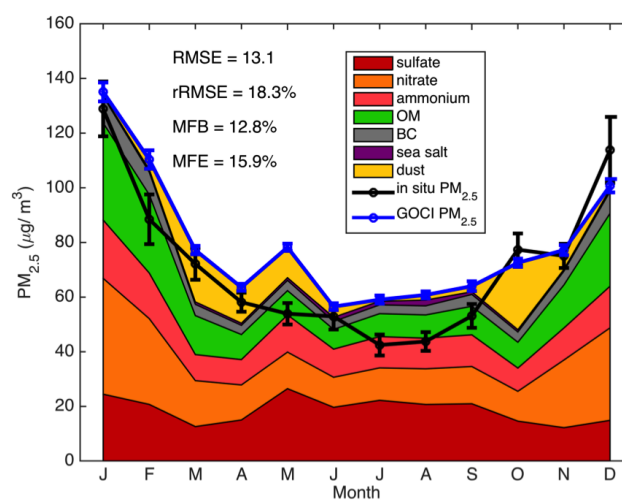


**Figure 4.** Scatterplots of the annual mean (left) and seasonal mean (right) GOCI-derived PM<sub>2.5</sub> for 2013 against PM<sub>2.5</sub> from 494 ground monitors over the GOCI domain in eastern China.



**Figure 5.** Monthly averages of daily PM<sub>2.5</sub> from in situ measurements and daily PM<sub>2.5</sub> estimated from GOCI AOD for 2013. Regions are defined in Fig. 3. Error bars represent standard errors. Statistics are root mean square error (RMSE), relative root mean square error (rRMSE), mean fractional bias (MFB) and mean fractional error (MFE).

composition is generally consistent with ground-based measurements in previous works across eastern China. A number of studies in Beijing, the Yangtze River delta and Pearl River delta regions all reported that OM and SIA are the most important components of PM<sub>2.5</sub> through the year (He et al., 2001; Ye et al., 2003; Tao et al., 2012; Zhang et al., 2013). Zhang et al. (2008) showed consistent seasonal patterns in OM at 18 stations in China, with a winter maximum, and a summer minimum, similar to the seasonality of OM in this



**Figure 6.** Monthly variation of GOCI-derived PM<sub>2.5</sub> and in situ PM<sub>2.5</sub> for 2013 over eastern China, with chemical composition for GOCI-derived PM<sub>2.5</sub>. The in situ PM<sub>2.5</sub> is determined from the averages of all ground stations in eastern China for 2013 and GOCI-derived PM<sub>2.5</sub> is calculated from the average of all grid boxes that contain PM<sub>2.5</sub> ground monitors. The chemical composition is calculated by applying the GEOS-Chem simulated mass fraction of PM<sub>2.5</sub> chemical components to GOCI-derived PM<sub>2.5</sub> mass concentration. Aerosol water is associated with each PM<sub>2.5</sub> component according to its hygroscopicity. Error bars represent standard errors.

work. Zhang et al. (2013) studied the chemical composition of PM<sub>2.5</sub> in Beijing and found the percentage of SIA in PM<sub>2.5</sub> is largest in summer, consistent with our result.

The seasonal variation of PM<sub>2.5</sub> in Fig. 6 is driven by a combination of meteorological conditions, emissions, and nitrate formation. All three processes have greater seasonal

**Table 1.** Annual PM<sub>2.5</sub> concentrations, area-weighted concentrations of chemical composition and affected population of PM<sub>2.5</sub> in regions outlined in Fig. 3 and in overall eastern China (excluding northern Taiwan) for 2013. Aerosol water is not associated with each PM<sub>2.5</sub> component for consistency with measurement protocols. PM<sub>2.5</sub> concentration is at 35 % relative humidity. IT1 refers to the WHO air quality interim target-1 of 35  $\mu\text{g m}^{-3}$ .

| Region  | Beijing | Shandong | Shanghai | Eastern China | Northern Taiwan |
|---|---------|----------|----------|---------------|-----------------|
| Population-weighted GOCI-derived PM <sub>2.5</sub> ( $\mu\text{g m}^{-3}$ )   | 90.8    | 89.1     | 56.9     | 53.8          | 18.9            |
| Area-weighted GOCI-derived PM <sub>2.5</sub> ( $\mu\text{g m}^{-3}$ )         | 86.5    | 89.1     | 51.0     | 44.3          | 23.6            |
| SO <sub>4</sub> <sup>2-</sup> ( $\mu\text{g m}^{-3}$ )                        | 12.8    | 14.0     | 9.2      | 13.1          | 5.1             |
| NO <sub>3</sub> <sup>-</sup> ( $\mu\text{g m}^{-3}$ )                         | 14.5    | 16.1     | 8.5      | 4.2           | 2.1             |
| NH <sub>4</sub> <sup>+</sup> ( $\mu\text{g m}^{-3}$ )                         | 8.9     | 9.8      | 5.7      | 3.3           | 2.2             |
| OC ( $\mu\text{g m}^{-3}$ )   | 10.3    | 9.6      | 4.3      | 2.9           | 1.6             |
| BC ( $\mu\text{g m}^{-3}$ )   | 6.3     | 5.2      | 2.6      | 1.6           | 0.8             |
| Dust ( $\mu\text{g m}^{-3}$ )   | 9.1     | 8.3      | 4.9      | 4.4           | 2.9             |
| Sea Salt ( $\mu\text{g m}^{-3}$ )   | 0.2     | 0.4      | 0.9      | 1.9           | 2.2             |
| OM ( $\mu\text{g m}^{-3}$ )   | 17.1    | 15.7     | 7.4      | 5.4           | 3.0             |
| Population (million people) exposed to PM <sub>2.5</sub> exceeding IT-1 level | 37.8    | 88.8     | 98.3     | 432.8         | 1.5             |

variation in the north than south. The mixing height over northeastern China has strong seasonal variation with summer having an average mixing height from GEOS-5 that is 1.9 times higher than in winter. The GEOS-Chem simulation reveals that the increase of OM in winter is primarily driven by biofuel emissions from burning wood, animal waste and agricultural waste (Bond et al., 2004) for heating in eastern China. The spatial distribution of biofuel emission is primarily north of the Yangze River, especially from the North China Plain. The significant contribution from biofuel emissions to the OM concentration in our work is consistent with Bond et al. (2004) who found residential biofuel emissions were responsible for  $\sim 70\%$  of OC emissions in China. The increase of NO<sub>3</sub><sup>-</sup> in winter in Fig. 6 is consistent with prior attribution of the increase of NO<sub>3</sub><sup>-</sup> in winter to the favorable formation of NH<sub>4</sub>NO<sub>3</sub> at low temperatures (Wang et al., 2013). Figure S1 in the Supplement shows the spatial distribution of PM<sub>2.5</sub> chemical components.

Table 1 shows the annual chemical composition of GOCI-derived PM<sub>2.5</sub> in regions outlined in Fig. 3 and in overall eastern China. SIA and OM are the most abundant species. Among the SIA components, SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub><sup>-</sup> concentrations are similar in the Beijing, Shandong and Shanghai regions, whereas in eastern China and northern Taiwan SO<sub>4</sub><sup>2-</sup> is the dominant component. OM concentrations in the Beijing and Shandong regions are considerably higher than in the other regions, similar to or even exceeding the concentrations of SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub><sup>-</sup>. Our estimation of PM<sub>2.5</sub> composition is generally consistent with in situ measurements in prior studies. In Beijing, the concentrations of SIA in this work are similar to Zhang et al. (2013) who measured con-

centrations for 2009–2010 of  $13.6 \pm 12.4 \mu\text{g m}^{-3}$  for SO<sub>4</sub><sup>2-</sup>,  $11.3 \pm 10.8 \mu\text{g m}^{-3}$  for NO<sub>3</sub><sup>-</sup> and  $6.9 \pm 7.1 \mu\text{g m}^{-3}$  for NH<sub>4</sub><sup>+</sup>. Our SIA concentrations in Beijing are also comparable with Yang et al. (2011) who measured concentrations for 2005–2006 of  $15.8 \pm 10.3 \mu\text{g m}^{-3}$  for SO<sub>4</sub><sup>2-</sup>,  $10.1 \pm 6.09 \mu\text{g m}^{-3}$  for NO<sub>3</sub><sup>-</sup> and  $7.3 \pm 4.2 \mu\text{g m}^{-3}$  for NH<sub>4</sub><sup>+</sup>. The OC concentration in Beijing in this work is smaller than Zhang et al. (2013) of  $16.9 \pm 10.0 \mu\text{g m}^{-3}$  and Yang et al. (2011) of  $24.5 \pm 12.0 \mu\text{g m}^{-3}$ . In Shandong and surrounding regions, our concentrations are smaller than in Cheng et al. (2011) by a factor of about 2, perhaps related to unresolved sources. Our results in Shanghai cluster are comparable with Yang et al. (2011) for 1999–2000, except the OC concentration ( $4.3 \mu\text{g m}^{-3}$ ) in this work is considerably lower than that of  $16.8 \mu\text{g m}^{-3}$  in Yang et al. (2011). In northern Taiwan, our NO<sub>3</sub><sup>-</sup> is similar to Fang et al. (2002) for 2001–2003, yet our estimations of SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> are higher than Fang et al. (2002) by a factor of two, which could be driven by changes in emissions over the last decade. In summary, the chemical composition broadly represents in situ measurements with some location-dependent discrepancies.

### 3.4 Population exposure to ambient PM<sub>2.5</sub> in eastern China

We estimate the population exposure to ambient PM<sub>2.5</sub> in eastern China for 2013 at a spatial resolution of 6 km by 6 km using our GOCI-derived PM<sub>2.5</sub> and the Gridded Population of the World (GPW; Tobler et al., 1997) data for 2010 from the Socioeconomic Data and Applications Center (GPW version 3; <http://sedac.ciesin.columbia.edu/>). Table 1 also provides the population-weighted GOCI-derived PM<sub>2.5</sub> for re-



regions outlined in Fig. 3 and for overall eastern China. The population-weighted PM<sub>2.5</sub> exceeds the area-weighted for all regions except northern Taiwan and Shandong and surrounding regions. The overall population-weighted PM<sub>2.5</sub> concentration for eastern China for 2013 is 53.8  $\mu\text{g m}^{-3}$ . The level of PM<sub>2.5</sub> for Beijing and Shandong regions in this study is similar to Ma et al. (2014) who suggested that the PM<sub>2.5</sub> concentration over the North China Plain for 2013 is 85–95  $\mu\text{g m}^{-3}$ . The PM<sub>2.5</sub> concentration in eastern China in this study is also comparable with previous works. Van Donkelaar et al. (2015) estimated that the PM<sub>2.5</sub> concentration over eastern Asia for 2001–2010 is  $50.3 \pm 24.3 \mu\text{g m}^{-3}$ . Geng et al. (2015) estimated that the PM<sub>2.5</sub> concentration in China for 2006–2012 is 71  $\mu\text{g m}^{-3}$ , higher than our work. According to the World Health Organization (WHO) Air Quality Interim Target-1, an annual mean PM<sub>2.5</sub> concentration of 35  $\mu\text{g m}^{-3}$  or higher is associated with about 15 % increased risk of premature mortality. As shown in Table 1, population-weighted PM<sub>2.5</sub> for eastern China considerably exceeds the Interim Target-1 level of PM<sub>2.5</sub> concentration, especially in Beijing and Shandong regions where the PM<sub>2.5</sub> concentration is almost triple the Interim Target-1 level. These elevated concentrations threaten the health of 433 million inhabitants (Table 1) in eastern China who live in regions that exceed this target.

#### 4 Conclusions

We estimated the ground-level concentration of PM<sub>2.5</sub> in eastern China for 2013 using AOD retrieved from the GOCI satellite instrument, coupled with the relationship of AOD to PM<sub>2.5</sub> simulated by a global chemical transport model (GEOS-Chem). GOCI-derived PM<sub>2.5</sub> was compared with in situ measurements throughout eastern China.

We applied a set of filters to GOCI AOD to remove cloud contamination. The filtered GOCI AOD showed significant agreement with AERONET AOD at Beijing and northern Taiwan (MFB of 6.7 to  $-1.2\%$ ). We also evaluated the simulated relationship of PM<sub>2.5</sub> and AOD from GEOS-Chem by using an empirical relationship calculated from nearly collocated ground-based PM<sub>2.5</sub> monitors and AERONET AOD stations. A high degree of consistency was observed between the GEOS-Chem simulation and ground-based measurements with MFB of  $-0.52$  to  $8.0\%$ .

The GOCI-derived PM<sub>2.5</sub> were highly consistent with in situ measurements, capturing the similar seasonal and spatial distribution throughout eastern China. The highest PM<sub>2.5</sub> concentrations were found in winter over northern regions. The annual averages of GOCI-derived PM<sub>2.5</sub> were strongly correlated ( $r^2 = 0.66$ ) with surface measurements with a slope near unity (1.01). Monthly comparison of GOCI-derived PM<sub>2.5</sub> with ground-based measurements across the entire region of eastern China was also in good agreement with rRMSE = 18.9 %. The exclusion of our cloud-screening

filters in GOCI retrievals would introduce significant bias in GOCI-derived PM<sub>2.5</sub>, especially in summer and would increase the rRMSE by a factor of 1.7–5.3.

The chemical composition of GOCI-derived PM<sub>2.5</sub> revealed that secondary inorganic aerosols (SIA;  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ ) and organic matter (OM) dominated throughout the year.  $\text{NO}_3^-$  had a winter maximum due to aerosol thermodynamics. OM increased by a factor of 2 in winter, which was primarily driven by biofuel emission for heating in northern China. Dust played an important role in spring and fall.

The population-weighted GOCI-derived PM<sub>2.5</sub> for 2013 at 6 km by 6 km resolution in eastern China was 53.8  $\mu\text{g m}^{-3}$ , suggesting  $\sim 400$  million people in China live in regions with PM<sub>2.5</sub> concentrations exceeding the suggested 35  $\mu\text{g m}^{-3}$  by the World Health Organization (WHO) Air Quality Interim Target-1, of which  $\sim 130$  million people in Beijing and Shandong regions are seriously threatened by even higher PM<sub>2.5</sub> concentrations. Population-weighted PM<sub>2.5</sub> of pixels containing ground-based monitors is much higher at 82.4  $\mu\text{g m}^{-3}$ , suggesting the value of the newly established PM<sub>2.5</sub> network to monitor these seriously polluted regions.

The satellite measurements of AOD from the GOCI instrument coupled with the relationship between AOD and PM<sub>2.5</sub> simulated by a chemical transport model have the potential to provide a unique synopsis of ground-level PM<sub>2.5</sub> concentrations at fine spatial resolution in the most polluted and populated part of China. Further development of this capability will depend on both the quality of GOCI aerosol products and the aerosol simulation. Assimilating satellite observations of trace gases from the forthcoming GEMS (Geostationary Environment Spectrometer) geostationary platform would provide additional constraints on PM<sub>2.5</sub> composition.

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