



# Gridded uncertainty in fossil fuel carbon dioxide emission maps, a CDIAC example

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**Abstract.** Due to a current lack of physical measurements at appropriate spatial and temporal scales, all current global maps and distributions of fossil fuel carbon dioxide (FFCO<sub>2</sub>) emissions use one or more proxies to distribute those emissions. These proxies and distribution schemes introduce additional uncertainty into these maps. This paper examines the uncertainty associated with the magnitude of gridded FFCO<sub>2</sub> emissions. This uncertainty is gridded at the same spatial and temporal scales as the mass magnitude maps. This gridded uncertainty includes uncertainty contributions from the spatial, temporal, proxy, and magnitude components used to create the magnitude map of FFCO<sub>2</sub> emissions. Throughout this process, when assumptions had to be made or expert judgment employed, the general tendency in most cases was toward overestimating or increasing the magnitude of uncertainty. The results of the uncertainty analysis reveal a range of 4–190 %, with an average of 120 % ( $2\sigma$ ) for populated and FFCO<sub>2</sub>-emitting grid spaces over annual timescales. This paper also describes a methodological change specific to the creation of the Carbon Dioxide Information Analysis Center (CDIAC) FFCO<sub>2</sub> emission maps: the change from a temporally fixed population proxy to a temporally varying population proxy.

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## 1 Introduction

Prior to about the year 1980, the magnitude of fossil fuel carbon dioxide (FFCO<sub>2</sub>) emissions was the best-known component in the global carbon cycle (Andres et al., 2014). Improving on the best estimate of the magnitude of FFCO<sub>2</sub> emissions was sufficient then. Since then, improvements in methodologies, instrumentation, and measurement platforms have improved estimates of the major components of the global carbon cycle (e.g., FFCO<sub>2</sub>, land use, atmospheric growth, oceanic uptake, and the terrestrial biosphere). This improvement has now reached the point where uncertainty in FFCO<sub>2</sub> emissions is now an important quantity to characterize and understand. While uncertainty for each of the major components of the global carbon cycle limits detailed understanding of these components, uncertainty in FFCO<sub>2</sub> emissions also impacts our knowledge of the terrestrial biosphere component because its global flux is often calculated as the residual of the other global carbon cycle fluxes. Thus, the magnitude and uncertainty in FFCO<sub>2</sub> directly impact the best estimates of the global terrestrial biosphere fluxes. Andres et al. (2014) provided a comprehensive estimate of the uncertainty associated with the global FFCO<sub>2</sub> flux. That analysis highlighted two features of the global FFCO<sub>2</sub> flux uncer-

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tainty: (1) in terms of absolute mass, the mass of uncertain emissions is increasing with time as the total FFCO<sub>2</sub> flux is increasing with time (assuming a constant percentage uncertainty), and (2) in terms of relative mass, the percent uncertainty is increasing with time as more FFCO<sub>2</sub> emissions are coming from nations with less certain emissions.

Even with the improvements mentioned above, it is not presently possible to directly measure any one component of the global carbon cycle completely and exclusively at significant spatial and temporal scales. Due to process interplay and mixing, direct samples carry the history of global carbon cycle processes within them and oftentimes models are used to deconvolve the effects of these processes on the sample data. This process can lead to a better understanding of the global carbon cycle. One approach to increase knowledge of the global carbon cycle is to sample at finer spatial and temporal scales to better isolate specific components of the global carbon cycle.

This paper examines the FFCO<sub>2</sub> component of the global carbon cycle after it is parsed into a grid. Such gridded FFCO<sub>2</sub> data are often incorporated into global carbon cycle and global climate (and/or Earth system) models to better understand the interplay amongst various components. Paralleling early efforts in global carbon cycle science where the majority of the effort was concentrated on better estimating the component magnitudes (e.g., FFCO<sub>2</sub>, land use, atmospheric growth, oceanic uptake, and the terrestrial biosphere), present efforts in gridding FFCO<sub>2</sub> emissions are also concentrated on better estimating the flux in each grid cell. These gridding efforts are not trivial in terms of time and data required. Robust estimates of the uncertainty associated with gridded FFCO<sub>2</sub> estimates should have at least two major effects: (1) better evaluation of different FFCO<sub>2</sub> gridding methodologies to assess whether they give statistically different distributions, and (2) more importantly, allow for further advances in the collective community understanding of global carbon cycle processes, their interplay, and a characterization of change over space and time.

The transfer of carbon from one reservoir to another over a given time interval can be called a carbon flux. In this paper, the carbon flux from the fossil fuel reservoir to the atmospheric reservoir through the processes of combustion will be examined. More specifically, this paper will pursue a systematic uncertainty analysis that applies to the carbon flux gridded mass data products (i.e., maps) presented by Andres et al. (1996), but also could be applied to other maps such as those produced by Olivier et al. (2005, EDGAR), Gurney et al. (2009, VULCAN), Rayner et al. (2010, FFDAS), Oda and Maksyutov (2011, ODIAC), and Wang et al. (2013, PKU-CO<sub>2</sub>). This paper does not describe production of uncertainty maps for other distribution methodologies, as the creators of those methodologies are in the best informed position to create such maps. Also, this paper does not compare the gridded FFCO<sub>2</sub> mass maps of Andres et al. (1996) to these other maps.

All of these map products attempt to capture the transfer of carbon from the fossil hydrocarbon reservoir to the atmospheric reservoir at varying degrees of spatial and temporal resolution. Each of these map products incorporates different features (i.e., data and schemes) to map FFCO<sub>2</sub> emissions in space and time. Since very few measurements exist to accurately plot FFCO<sub>2</sub> emissions in space and time, all of these map products utilize various proxies to locate FFCO<sub>2</sub> emissions on a two-dimensional surface (i.e., a map) for a given time interval (e.g., a year). These proxies may include population distributions, power plant locations, road and rail networks, traffic counts, nighttime lights, etc..

This uncertainty analysis does not apply to maps such as those produced using satellite observations (e.g., GOSAT (<http://www.gosat.nies.go.jp>) or OCO-2 (<http://oco.jpl.nasa.gov/>)). Satellites measure burdens (which can lead to the concentration of carbon) in the atmosphere that are fundamentally an estimate of the size of a reservoir (i.e., mass of carbon in the reservoir). Of course, taking the difference between two such maps could lead to an estimate of the carbon flux. While portions of the uncertainty analysis presented herein could be applied to such maps, this paper will not focus on uncertainty analysis for maps derived from satellite data.

The Carbon Dioxide Information Analysis Center (CDIAC), Oak Ridge National Laboratory (ORNL), United States (US), FFCO<sub>2</sub> time series (Boden et al., 2015) gives an estimate of FFCO<sub>2</sub> emissions from all nations in the world at annual time steps using the fundamental methods of Marland and Rotty (1984). The FFCO<sub>2</sub> time series is updated periodically with each update adding another year to the time series as well as revising data in previous years. Over the years, new dimensions to this basic time series have been produced, including mapping the emissions at 1° latitude by 1° longitude (Andres et al., 1996), extending the time series back to the year 1751 (Andres et al., 1999), describing the time series in terms of stable carbon isotopic ( $\delta^{13}\text{C}$ ) signature (Andres et al., 2000), parsing the time series from annual to monthly time steps (Andres et al., 2011), and describing the uncertainty of the total global FFCO<sub>2</sub> emissions (Andres et al., 2014). With the global FFCO<sub>2</sub> emission uncertainty analysis completed, a gridded uncertainty analysis can be applied to the annual and monthly maps. This uncertainty analysis will be applied to the mass maps only. Application to the stable carbon isotopic signature maps (i.e., annual and monthly) will need to wait until a separate uncertainty analysis of the  $\delta^{13}\text{C}$  signatures is completed.

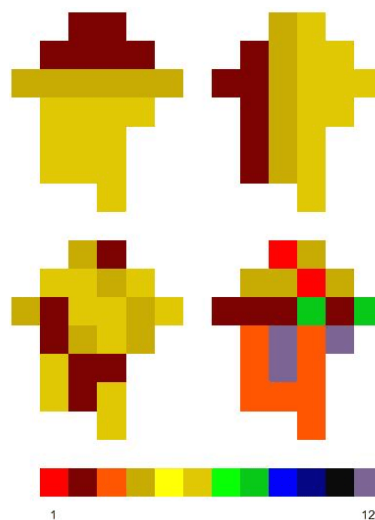
The gridded uncertainty maps will be generated for the years 1950 to the present (i.e., 2013), which is the temporal range of the current global uncertainty analysis (Andres et al., 2014), which, in turn, is temporally limited by the availability of energy data from the United Nations upon which FFCO<sub>2</sub> emission calculations are based (Andres et al., 2012). As new data become available from the United Nations, the global uncertainty analysis can be updated and extended, and

the gridded uncertainty maps can also be updated and extended. The initial year of the gridded uncertainty maps is limited by the beginning of the global uncertainty analysis, which begins with the year 1950.

As was done with the global uncertainty estimates (Andres et al., 2014),  $2\sigma$  uncertainties will be used throughout this paper. The  $\pm 2\sigma$  interval is equal to the 95 % confidence interval around the central estimate. This interval was chosen to more strongly convey the message of the probable range of FFCO<sub>2</sub> emissions. Additionally, final FFCO<sub>2</sub> map uncertainties are generally reported to two significant digits, the limits of their precision and accuracy. Additional digits may be reported and used for component uncertainties, but these were rounded for final FFCO<sub>2</sub> map uncertainty presentation. Andres et al. (2014) contains additional information about potential asymmetry of uncertainty about the central estimate at various spatial and temporal scales. As with the Andres et al. (2014) global assessment, uncertainty in this paper will be assumed to be symmetric about the central estimate since detailed information pertinent to the spatial and temporal scales considered herein is lacking. However, note that in the case of large uncertainties, it is not plausible to have negative FFCO<sub>2</sub> emissions, which can be mathematically calculated from the mean minus a relatively large standard deviation.

The original intent of this paper was to document the uncertainty in the existing and past CDIAC FFCO<sub>2</sub> mass maps. However, in completing the calculations necessary for this paper, it became obvious that the population proxy on which the CDIAC maps rely could be easily and greatly improved. Therefore, this paper also includes a description of the new population proxies that the CDIAC maps now utilize.

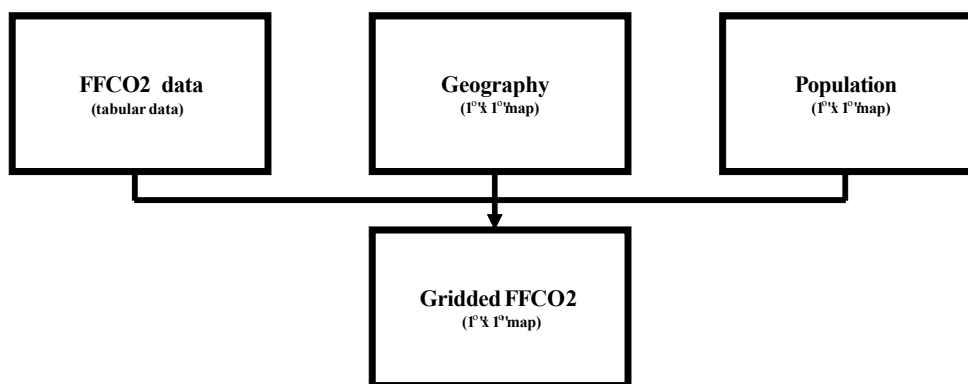
Figure 1 is a graphical representation that further clarifies exactly what this paper attempts to accomplish. In Fig. 1, the FFCO<sub>2</sub> emissions from a hypothetical country are mapped. The total mass of emissions is identical in the four panels (in this paper, the uncertainty on the country total is not being examined), only the distribution methodology has changed. These different methodologies might represent different spatial proxies (e.g., the CDIAC population proxy), a bottom-up inventory approach (e.g., the VULCAN approach), or a hybrid approach (e.g., point sources and spatial proxies, e.g., ODIAC). Deciding which mapped distribution is best is made difficult by the lack of physical samples of FFCO<sub>2</sub> at the spatial and temporal scales of interest. While two such maps can be superimposed and subjected to spatial analyses such as differencing, one gains little insight into an overall superior mapping methodology. This paper aims to supplement the CDIAC maps with similar spatial and temporal scale maps that represent the uncertainty in each map grid cell location. This should facilitate the determination of whether different emission maps are statistically different. More importantly, this should aid those who use these FFCO<sub>2</sub> mass maps to better understand, model, and display the data by explicitly showing the uncertainty inherent in the maps.



**Figure 1.** Hypothetical FFCO<sub>2</sub> mass maps for a hypothetical country. The total mass of emissions is identical in the four panels; only the spatial distribution has changed between the panels. This paper aims to aid in the evaluation of such maps by supplying gridded uncertainty information at the same spatial and temporal scales as the emission maps. The scale is in arbitrary units.

## 2 A brief review of the CDIAC mapping process

The procedure for creating the CDIAC maps of FFCO<sub>2</sub> emissions has remained remarkably stable since first published by Andres et al. (1996). The most notable changes since that publication have been the update and revision of data underlying the CDIAC FFCO<sub>2</sub> emissions time series and the modification of the baseline geography map to account for the creation of new political units (e.g., the unification of Germany in 1990 or the breakup of the Soviet Union in 1991). Figure 2 shows the basic FFCO<sub>2</sub> mass emissions map creation process. The tabular FFCO<sub>2</sub> emission data, by nation, are mapped to regions of the world using a  $1^\circ$  latitude by  $1^\circ$  longitude ( $1^\circ \times 1^\circ$ ) map of geography (attributing grid cells to a single country). The population distribution within a country, also at  $1^\circ \times 1^\circ$  scale, is used as a proxy to proportionately distribute the national FFCO<sub>2</sub> emissions across the grid cells comprising each country. In the initial maps, FFCO<sub>2</sub> emission data and geography data were updated on an annual basis while population remained fixed with time. Later, a monthly series of maps was produced where FFCO<sub>2</sub> emissions data reflected monthly totals as reported in Andres et al. (2011), geography was updated on an annual basis (i.e., new political units were only incorporated at annual timescales in agreement with the tabular FFCO<sub>2</sub> data), and population still remained fixed over time. As noted in Andres et al. (1996), the advantage of using a fixed population throughout the time series of maps is that changes in magnitude shown in subsequent maps for a particular grid cell are due solely to magnitude changes in national FFCO<sub>2</sub> emis-



**Figure 2.** Basic CDIAC map creation process. The tabular FFCO<sub>2</sub> emission data are mapped to regions of the world by the 1° latitude by 1° longitude (1° × 1°) map of geography with within-country FFCO<sub>2</sub> distribution provided by the 1° × 1° population distribution.

sions. The change in population proxies introduced in this paper is a departure from this former practice as now changes in magnitude shown in subsequent maps for a particular grid cell are due to a convolution of national FFCO<sub>2</sub> emission changes and population density changes.

### 3 The new population proxy

Prior to this publication, CDIAC used a temporally fixed population proxy to distribute FFCO<sub>2</sub> emissions within each country for all years (Andres et al., 1996). While working through the issues associated with this paper, it became clear that methodological improvements to the mapping process would improve the quality of both the magnitude maps and the uncertainty maps. The fixed population map originally reported in Andres et al. (1996) is still utilized for the years 1751–1989 since no better alternative has been identified for these years. Annually varying Global Population of the World (GPWv3, CIESIN and CIAT, 2005) maps are now used for the years 1990–1997. Annually varying LandScan (Dobson et al., 2000) maps are now used for years 1998–2013 and are intended to be used for future years. The two new population data sets are not identical. GPWv3 estimates nighttime population (where people are at night) while LandScan estimates daytime population (where people are during the day). This change in population data sets does induce some variability in the results, but most populated grid cells are less than 10 % different between daytime and nighttime relative populations.

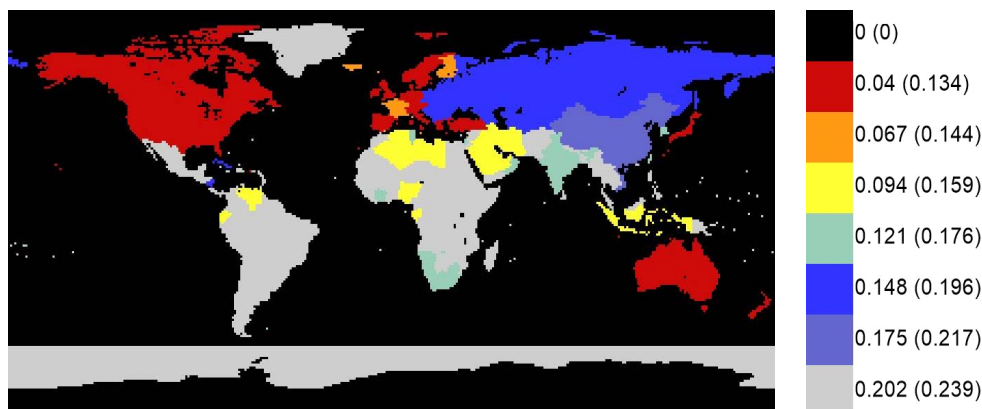
GPWv3 has three base years: 1990, 1995, and 2000. The original 2.5 min data (approximately 5 km at the equator) were aggregated to the 1° spatial resolution of the CDIAC 1° × 1° maps. Data for 1991–1994 and 1996–1999 were interpolated from the base years. Table 1 compares the annually varying GPWv3 population maps to the CDIAC 1° × 1° geography and fixed population maps. Of the populated cells on the GPWv3 map, 5 % fall into cells labeled as water on

**Table 1.** Comparison of the year 1997 GPWv3 population map with CDIAC geography and fixed population maps. The number of water cells is less than 70 % of the total because 4550 ocean cells surrounding Antarctica are labeled as the Antarctic Fisheries, a United-Nations-named unit used to track energy consumption of Southern Ocean fishing fleets. CDIAC considers these Antarctic Fisheries cells as pseudo land cells (i.e., subject to emitting FFCO<sub>2</sub>). The year 2010 LandScan population map has a similar comparison to the CDIAC geography map (within 3 % in all categories) and population map (within 4 % in all categories). CDIAC, GPWv3, and LandScan population maps all have land cells that are not populated.

CDIAC map	GPWv3 map	# Grid cells	% Grid cells
Land	Population	15 089	23
Land	No population	5029	8
Water	Population	3252	5
Water	No population	41 430	64
Population	Population	9885	15
Population	No population	4575	7
No population	Population	8456	13
No population	No population	41 884	65

the CDIAC map; this 5 % of cells contains less than 5 % of the GPWv3 global population and are excluded from further analysis. Of the populated cells on the GPWv3 map, 13 % fall into unpopulated cells on the CDIAC map; these 13 % of cells contain less than 6 % of the GPWv3 global population.

LandScan has maps for the years 1998 to 2012, except for 1999. As with the GPWv3 data, the original 30 s (a distance unit, approximately 1 km at the equator) data were aggregated to the 1° spatial resolution of the CDIAC 1° × 1° maps. Data for 1999 were interpolated from 1998 and 2000. LandScan has a similar comparison to the CDIAC fixed population map (within 4 % in all categories) as the GPWv3 data (Table 1).



**Figure 3.** Tabular FFCO<sub>2</sub> uncertainty assessment example. The plot is for the year 2010 and its key shows the annual uncertainty as a fraction. In parentheses, the monthly uncertainty is shown as a fraction. The two quantities shown have the same spatial extent; they differ only in magnitude. Different years would show slightly different spatial patterns as countries emerge or disappear from the FFCO<sub>2</sub> tabular data.

The main effect of the new annually varying population maps used for the years 1990 to present is the appearance of FFCO<sub>2</sub> emissions in grid cells that previously showed zero population and thus zero emissions. This spread in FFCO<sub>2</sub> emissions for a given country is accompanied by a lowering of the average FFCO<sub>2</sub> emission per grid cell (i.e., the same FFCO<sub>2</sub> emission distributed amongst more grid cells). The new population maps also lead to some speckling in some map areas that previously appeared more homogeneous in FFCO<sub>2</sub> emission magnitude. Finally, the new population maps increase the range of FFCO<sub>2</sub> emissions displayed at both the lower and higher ends of emissions. Overall, the maps line up well with each other in geographic extent because the same underlying  $1^\circ \times 1^\circ$  geography map is used, regardless of the population map used.

#### 4 Uncertainty calculations

All three of the basic input data (i.e., tabular FFCO<sub>2</sub> data, geography map, and population map) contribute uncertainty to the final gridded FFCO<sub>2</sub> mass emissions  $1^\circ \times 1^\circ$  map. Each of these inputs will be examined in turn, both in terms of the specific uncertainty they contribute as a data input, as well as the general uncertainty they contribute in their functional role of creating a final gridded FFCO<sub>2</sub> mass map.

##### 4.1 FFCO<sub>2</sub> tabular data

The underlying FFCO<sub>2</sub> tabular data contribute uncertainty to the final gridded FFCO<sub>2</sub> mass map. In the case of the CDIAC FFCO<sub>2</sub> mass maps, these data are the tabular FFCO<sub>2</sub> estimates CDIAC reports for each country of the world, but the discussion here can be applied to all national FFCO<sub>2</sub> emissions estimates.

The basic methodology to create the tabular CDIAC FFCO<sub>2</sub> data is given in Marland and Rotty (1984). Andres et al. (2012) expand upon this methodology and compare it to three other global FFCO<sub>2</sub> tabular data sets. Andres et al. (2014) describe a systematic uncertainty assessment of the CDIAC FFCO<sub>2</sub> tabular data. No such similar uncertainty assessment has been published for the three other global FFCO<sub>2</sub> tabular data sets. The uncertainty in the tabular FFCO<sub>2</sub> data is important as it provides the quantity that is eventually mapped. If the tabular FFCO<sub>2</sub> data are uncertain, then the FFCO<sub>2</sub> emissions distribution is uncertain.

Figure 3 displays the uncertainty assigned to different countries as described in Andres et al. (2014). The assignment was based upon grouping countries into seven different qualitative classes (Andres et al., 1996) based on similar energy and statistical infrastructures, which were later quantified in Andres et al. (2014). The quantification consisted of determining uncertainties for two of the classes and then doing a linear fit through the rest of the classes. Andres et al. (2014) describe the strengths and weaknesses of this approach. As in Andres et al. (2014), the national FFCO<sub>2</sub> uncertainty estimates used in this analysis remain fixed with time. Future versions of this work could utilize changing national FFCO<sub>2</sub> uncertainty estimates, but the existence of supporting data to rigorously support changing uncertainty estimates are lacking at this time.

Andres et al. (2011) parse the annual FFCO<sub>2</sub> data into monthly FFCO<sub>2</sub> data. The uncertainty associated with this parsing is also described in Andres et al. (2011). The method for calculating the monthly tabular uncertainty is independent of the annual uncertainty magnitude. Thus, the magnitude of the monthly tabular FFCO<sub>2</sub> uncertainty is equal to the square root of the sum of the squares of the annual and monthly uncertainties. The annual uncertainty is variable and belongs to one of seven classes as seen in the above para-

graph. The monthly uncertainty is constant and at  $2\sigma$  equals 12.8 % (Andres et al., 2011).

Both the tabular FFCO<sub>2</sub> data and the national uncertainties used in this analysis are for apparent consumption data. Apparent consumption allows for the estimate of national FFCO<sub>2</sub> emissions through the accounting of production, imports, exports, etc., and thus allows the association of these FFCO<sub>2</sub> emissions to geography. Andres et al. (2012) discuss the strengths and weaknesses of apparent consumption versus production data. Production data are unsuitable for use in this analysis because their spatial domain is global (in terms of fuel consumption) and the focus here is on the uncertainty of  $1^\circ \times 1^\circ$  mapped FFCO<sub>2</sub> emissions.

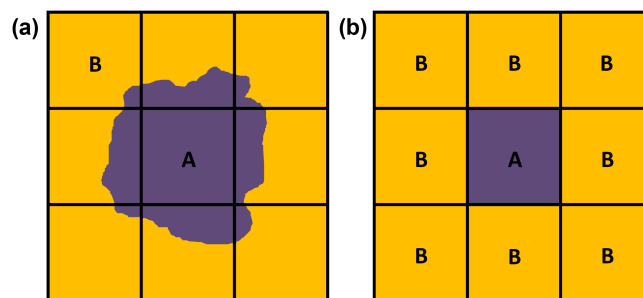
Figure 3 shows an example of the national FFCO<sub>2</sub> uncertainty assessment results. There are 64 uncertainty assessments completed for the annual 1950–2013 time series, each map reflecting the mix of countries that existed in a particular year. Another 64 uncertainty assessments occur for the monthly 1950–2013 time series. The next section discusses the role geography plays in more detail.

## 4.2 Geography map

The underlying geography map contributes uncertainty to the final gridded FFCO<sub>2</sub> mass map. In the case of the CDIAC FFCO<sub>2</sub> mass maps, this geography map is a  $1^\circ \times 1^\circ$  raster map, but the discussion here can be applied to all FFCO<sub>2</sub> distribution mechanisms.

The CDIAC geography map is a  $1^\circ \times 1^\circ$  raster of world political units. Raster implies that the world is depicted in a regular grid pattern with the underlying geography represented by a single value in the grid (Fig. 4). This distinguishes it from other possible spatial representations such as mixed raster where the grid cell may contain more than one geography value and vector where polygons instead of grids are used to represent an area. A raster map was chosen for the CDIAC FFCO<sub>2</sub> mass maps because of its relative simplicity, full global coverage, and ease with which its results can be implemented into models (e.g., carbon cycle models). A drawback of the raster map is its distortion of the surface area of the Earth (Table 2), which appears as square grid cells in the traditional CDIAC representation of its FFCO<sub>2</sub> gridded data.

While Fig. 4 is simple in concept, it is illustrative of uncertainty inherent in raster maps of geography. Many of these sources of uncertainty arise because of map scale. For example, the Northwest Angle is territory of the contiguous US that lies entirely north of  $49^\circ$  latitude, the northern border observed for the western portion of the contiguous US. This part of the state of Minnesota is more than  $1500 \text{ km}^2$  in area, has a population greater than 100, and has roads, an airport, a school, businesses, and customs and immigration control. However, on the CDIAC  $1^\circ \times 1^\circ$  geography map, this area appears as Canada because of its small area relative to the more dominant area



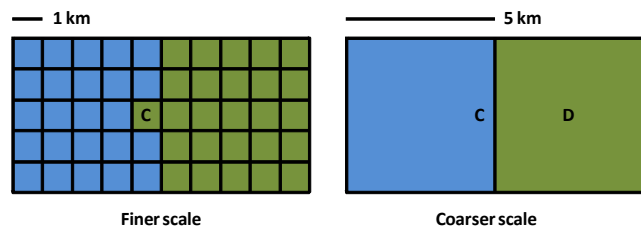
**Figure 4.** Raster representation. The left figure shows two hypothetical regions labeled A (purple) and B (yellow). The right figure shows the raster version of this geography where the dominant spatial region in each grid cell on the left becomes the value of the grid cell on the right. Other potential representations include mixed raster and vector (see text for description).

**Table 2.** Selected latitudes and the length dimensions of  $1^\circ$  in associated raster cells. The values shown are symmetric about the equator. CDIAC locates its raster borders on  $1^\circ$  lines of latitude and longitude. Other maps may center their raster cells on these lines and are thus offset from the CDIAC grid by  $0.5^\circ$ . Calculations based on WGS84 ellipsoid data from <http://earth-info.nga.mil/GandG/coordsys/csafaq/math.html>.

Latitude	East–west distance (km)	North–south distance (km)
75	29	112
60	56	111
45	79	111
30	96	111
15	108	111
0	111	111

of Canada in its grid cell. Another uncertainty example involves surveying errors. While Colorado in the US was originally defined along lines of latitude and longitude, survey errors resulted in several kinks along its borders, which have been codified into law (<http://mathtourist.blogspot.com/2007/08/rectangular-states-and-kinky-borders.html>). On the Colorado–New Mexico border, this kink is approximately 2 km – too small to be seen in the CDIAC  $1^\circ \times 1^\circ$  geography map, but of concern for finer scale maps.

While the two examples above are largely a function of map scale, political issues also affect map geography. For example, China and India disagree on the location of their border at multiple locations. Thus, on maps produced by each respective nation, the border shifts by more than  $1^\circ$  in latitude and/or longitude in some locations. This affects entire villages and towns and thus the FFCO<sub>2</sub> infrastructure. Such geographic uncertainty is not limited to this example, and there are or have been similar disputes on every continent.



**Figure 5.** Spatial rescaling issues. The blue area represents ocean and the green area represents land. A hypothetical rescaling from 1 to 5 km is shown. Note that cell C in the finer scale resolution has been recoded to ocean in the coarser resolution. In rescaling FFCO<sub>2</sub> mass maps, this recoding is often accompanied by the movement of FFCO<sub>2</sub> from cell C to cell D.

Dependent on location, these disputes have varying impact on the FFCO<sub>2</sub> emissions distributions.

A final geography uncertainty arises from spatial rescaling as shown in Fig. 5. Here, a finer spatial scale map is rescaled to a coarser grid. A common outcome of this procedure is to name the left coarser grid cell ocean, name the right coarser grid cell land, and move the carbon that was in that left grid cell to the right grid cell. This movement accommodates not having FFCO<sub>2</sub> being emitted from an ocean grid cell and maintaining full FFCO<sub>2</sub> accounting.

Geography contributes uncertainty to the final FFCO<sub>2</sub> mass map. Since the identity of an interior grid cell of a large homogeneous political unit is unambiguous (e.g., the geographic center of a country greater than or equal to 3 by 3 grid cells in size), the uncertainty is concentrated around the borders and may be due to map scale issues, political issues, or rescaling, as the examples above illustrated. As the exact map scale changes, the nature of the uncertainty may change, but it does not disappear. The uncertainty in the geography map is important because the map is used to locate the tabular FFCO<sub>2</sub> data. If the geography map is uncertain, then the FFCO<sub>2</sub> emissions distribution is uncertain.

To assess uncertainty due to the geography map, the algorithm shown in Fig. 6 was used. The central grid cell A is assessed for uncertainty based upon the values of the surrounding eight grid cells. The simplest case is if all surrounding eight cells are of the same value as the central cell. In this case, geography lends 0% uncertainty to the identity of the central cell. This is the most common case (63.6%) in the CDIAC geography 1° × 1° maps.

This simple approach does exclude enclaves, territories that are completely surrounded by other territories, which could be problematic in some locations. For example, the Spanish town of Llivia, for political and historical reasons, is completely surrounded by French territory. On the CDIAC 1° × 1° map, this specific example is ignored due to map scale, but on a 1 km scale map it should not be ignored. For the CDIAC geography 1° × 1° map, enclaves (including small island nations) and other small-area political units

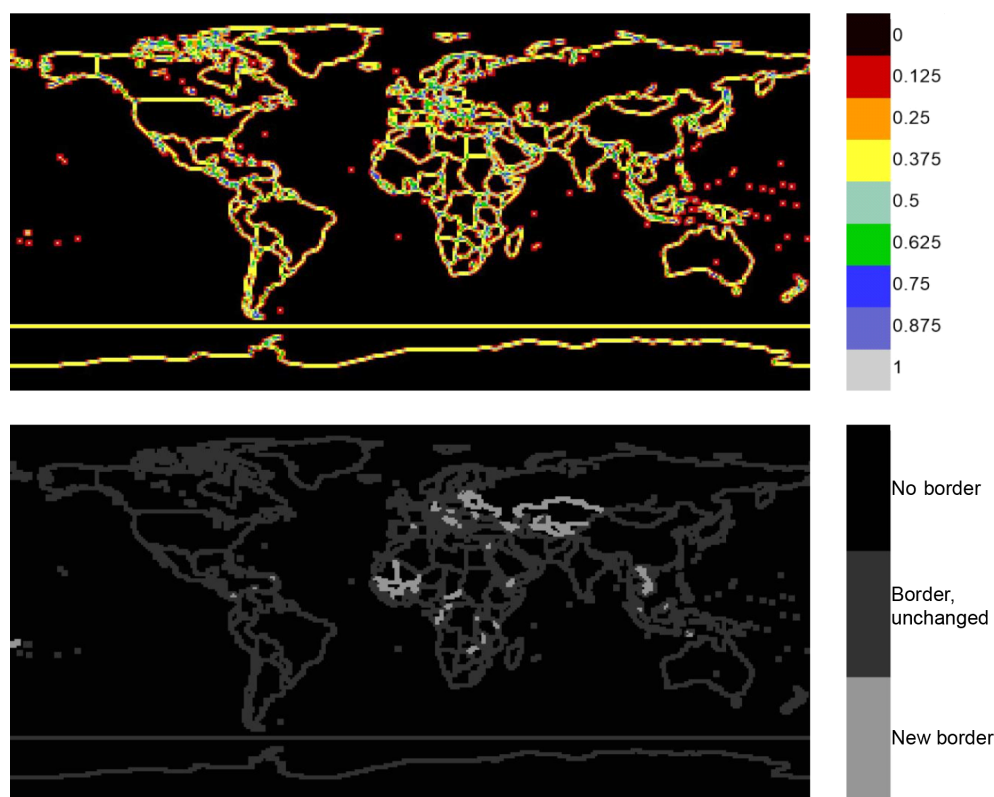
1	2	3	Similar cells	Uncertainty	% of total
			0/8	100%	0.4
			1/8	87.5%	0.6
			2/8	75%	1.4
8	A	4	3/8	62.5%	3.1
			4/8	50%	6.0
			5/8	37.5%	11.4
			6/8	25%	6.3
7	6	5	7/8	12.5%	7.2
			8/8	0%	63.6

**Figure 6.** Geography map uncertainty is assessed by a 3 × 3 moving window. The central grid cell A is assessed for uncertainty based upon the values of the surrounding eight grid cells. If no surrounding cells equal the value of the central cell, then the uncertainty on the central cell is 100%. After assessment of one cell, the 3 × 3 window moves to assess the next cell until all cells are assessed. The accompanying table gives cell matches, resulting uncertainties, and percentage of land cells that fit each uncertainty.

were not ignored if their occurrence only appeared in one grid cell on the entire global map. Then, the spatial dominance of the grid cell was ignored so that the small-area political unit would be represented and its associated tabular FFCO<sub>2</sub> not lost from the final mapped product.

On the other end of the spectrum, if no surrounding cells equal the value of the central cell (e.g., a small island nation), then the uncertainty on the central cell is 100%. An example of this situation can be seen in Fig. 4 where there is ambiguity in all of the eight surrounding cells as to whether the central cell value encroaches on the territory of the surrounding cells. A worst case scenario for the CDIAC 1° × 1° FFCO<sub>2</sub> mass maps, leading to a 100% uncertainty contribution by the geography map, is shown in Fig. 4 if the island is completely uninhabited except for a capital city existing in one of the surrounding cells. In this case the island population would be moved to the central cell, the only cell containing area for this country. Thus, the result would be FFCO<sub>2</sub> emissions located in a cell one grid cell removed from its true location. This is the least common case (0.4%) in the CDIAC geography 1° × 1° maps.

Intermediate between these two end member cases discussed are all other border configurations. The accompanying table in Fig. 6 gives cell matches and resulting uncertainties. After assessment of one cell, the 3 × 3 window moves to assess the next cell until all cells are assessed. Special attention is paid to top and bottom row cells as well as to those on the eastern and western margins of the global map. For top and bottom row cells, since there is no reported FFCO<sub>2</sub> occupying these cells, the uncertainty assessment is trivial. For eastern and western margins, the cells were treated as if the map were continuous across these margins. The final column in the table in Fig. 6 gives the percent of land cells that have the associated uncertainty for the entire 1950–2011 time series. Distributions for individual years do not change markedly from the distribution shown.



**Figure 7.** Geography map uncertainty assessment examples. The top plot is for the year 1950 and its key shows the uncertainty as a fraction. The bottom plot shows the 1950–2011 differences. A difference plot was shown because only 749 cells (about 1 % of 64 800 total cells) changed value between 1950 and 2011.

Figure 7 shows an example of the geography map uncertainty assessment results. There are 64 uncertainty assessments completed for the 1950–2013 time series, each map reflecting the mix of countries that existed in a particular year. The difference plot is shown in Fig. 7 to highlight some of the changes over time, most notably in Africa, Europe, and Asia. There are no differences between geography map uncertainty for annual and monthly FFCO<sub>2</sub> time series.

Geography map uncertainty can expand internally within nations as individual states or provinces have local FFCO<sub>2</sub> emissions mapped. This has not been implemented to date in CDIAC 1° × 1° maps, but other mapped FFCO<sub>2</sub> emissions distributions may need to incorporate such effects. The next section discusses in more detail the role the population proxy plays.

### 4.3 Population map

The underlying distribution proxy contributes uncertainty to the final gridded FFCO<sub>2</sub> mass map. In the case of the CDIAC FFCO<sub>2</sub> mass maps, this proxy is a population distribution map, but the discussion here can be applied to all distribution mechanisms.

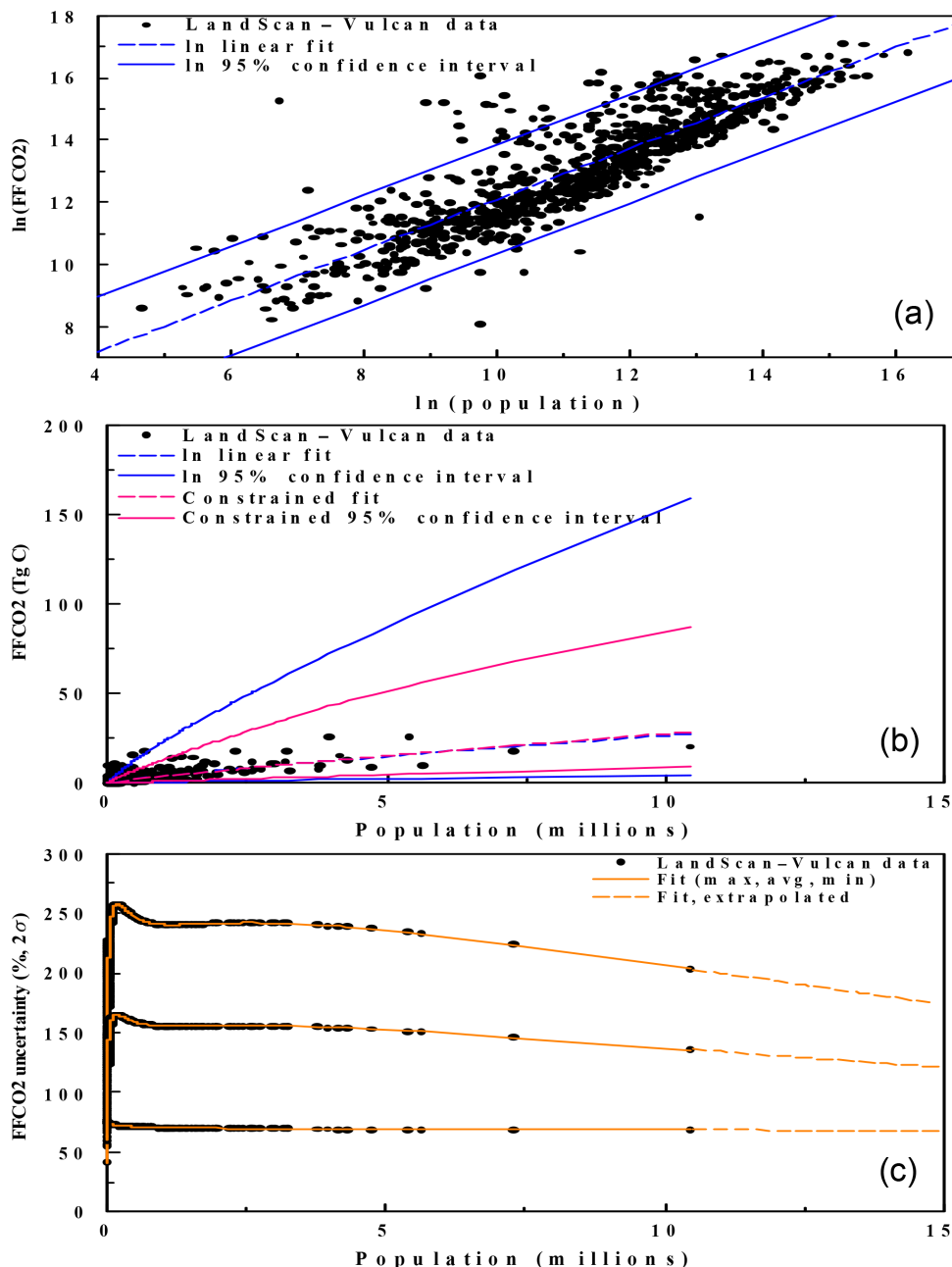
CDIAC distributes FFCO<sub>2</sub> emissions within a country in direct proportion to the population distribution. In effect, the

CDIAC methodology assumes that each country has fixed per capita FFCO<sub>2</sub> emissions across all its territory. While not the best assumption, it was considered the best available option at the time the CDIAC 1° × 1° maps were first created in 1993. Today, producers of other FFCO<sub>2</sub> emissions distributions have taken advantage of newer data sets, including updated population distributions, power plant locations, road and rail networks, traffic counts, etc., to act as proxies for FFCO<sub>2</sub> emissions distribution (e.g., Olivier et al., 2005; Gurney et al., 2009; Rayner et al., 2010; Oda and Maksyutov, 2011; Wang et al., 2013).

The uncertainty in the population map is important because the map is used to perform the within-country FFCO<sub>2</sub> emissions distribution. If the population map is uncertain, then the FFCO<sub>2</sub> emissions distribution is uncertain. Two issues are of concern here. First, how accurately does the population proxy mirror FFCO<sub>2</sub> emissions? Second, since CDIAC uses a fixed population proxy for some years, how has the within-country population distribution changed with time? Both of these issues will be examined in turn.

To address the first concern, the robustness of the population–FFCO<sub>2</sub> emissions relationship, the FFCO<sub>2</sub> emissions per grid population need to be examined. The CDIAC 1° × 1° map data can not be used for this assessment be-





**Figure 8.** The population–FFCO2 emissions relationship. Upper panel: independent data sets of population and FFCO2 emissions are aggregated to  $1^\circ$  resolution and spatially matched. Dropped from the figure are three data points that had positive FFCO2 emissions and zero population and 67 data points where positive FFCO2 occurred in cells subject to population from an adjacent country. These cells may include adjacent country population but not the FFCO2 emissions attributable to that population, thus degrading the desired population–FFCO2 emissions relationship. In addition to the 849 data points, a linear fit and 95 % confidence interval are shown. Middle panel: same data as seen above except on linear axes. Monte Carlo analyses provided a constrained linear fit and 95 % confidence interval with the constraint that the total mass of the system is constant and using a robust estimate of the data distribution. Lower panel: population–FFCO2 emissions  $2\sigma$  relationships extracted from the Monte Carlo analyses. Extraction is dashed where extrapolated.

cause, by definition, a linear regression between population and FFCO2 emissions results in an  $r^2$  value of one, perfect correlation for data from one country. While this same regression could be applied to the global CDIAC data, result-

ing in an  $r^2$  value of 0.55, that test is not truly applicable because it does not accurately reflect the CDIAC distribution algorithm.

Since the CDIAC data are unsuitable to test the population proxy uncertainty, and since there are insufficient actual measurements of FFCO<sub>2</sub> emission rates at the appropriate spatial and temporal scales, independent population and FFCO<sub>2</sub> emission distributions will be used to assess the population proxy uncertainty. The population distribution used is the global 30 min (spatial scale) LandScan data product; it was produced without consideration of FFCO<sub>2</sub> emissions. The FFCO<sub>2</sub> distribution used is the 1/10° Vulcan data product for the contiguous 48 US states (Gurney et al., 2009); it was produced with minimal use of population data (via census data and not LandScan data, although LandScan has roots to census data). The Vulcan data product is the most expansive (in terms of spatial coverage) that relies least heavily on population for its FFCO<sub>2</sub> emission distribution. Figure 8 shows the results of this assessment.

The upper panel of Fig. 8 shows the relationship between the independent data sets of LandScan population and Vulcan FFCO<sub>2</sub> emissions for the contiguous US for the year 2002, the baseline map of the Vulcan emissions. The data axes have been transformed into natural log scales to allow for easy extraction of basic statistical parameters (i.e., the linear fit and 95 % confidence interval). The middle panel shows these same data and statistical parameters on linear scales. The spread of data around the linear fit shows the nonlinearity, and thus the nonuniform per capita relationship, of the data. The initial 2 $\sigma$  confidence interval on the linear scale is not ideal for constraining uncertainty on the population–FFCO<sub>2</sub> emissions relationship.

To reduce the initial 2 $\sigma$  confidence interval on the linear scale (and thus the effect of data outliers), a Monte Carlo analysis (MC) was performed. Input into the MC included two pieces of information. First, a reduced version of the original input data set was created by excluding data points that existed outside of  $\pm 2\sigma$ , reducing the 849 point data set to 793 data points. A linear fit and standard deviation were calculated from the 793 points. Second, the total carbon of the system is constant. The MC proceeded then by selecting one of the original 849 populations, calculating the reduced version regression fit FFCO<sub>2</sub> emission for that population, and adjusting that FFCO<sub>2</sub> emission by the reduced version standard deviation multiplied by a randomly selected standard deviation interval from a normal curve. After repeating the MC process for all 849 populations, if the sum of carbon from all 849 populations was not equal to the input carbon, the MC run was discarded. If the sum was equal, the MC results were kept and the MC process was repeated until 1000 successful runs (i.e., constant carbon achieved) were completed. From the 1000 MC runs, then an average FFCO<sub>2</sub> emission and 2 $\sigma$  interval were calculated at each population. Testing revealed that 1000 MC runs was sufficient for the average and 2 $\sigma$  interval to stabilize.

The lower panel of Fig. 8 shows this population–FFCO<sub>2</sub> emissions 2 $\sigma$  relationship in percentage units. Since the 2 $\sigma$  intervals in the upper and middle panels are not symmetrical

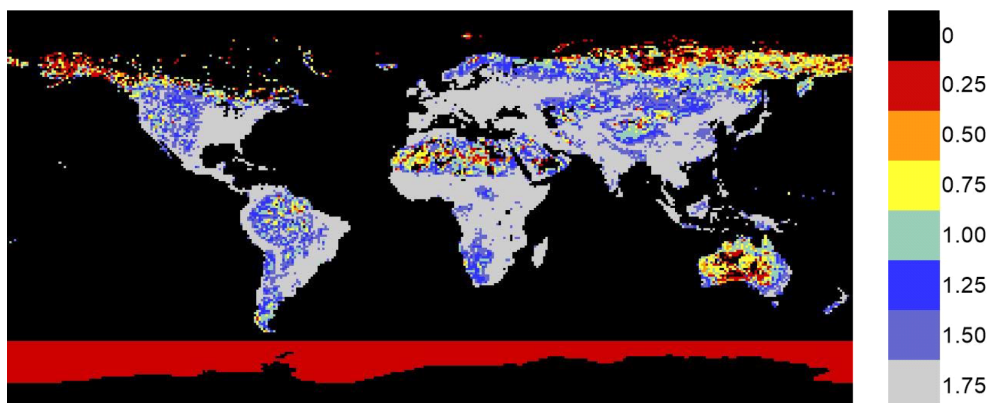
about the best fit lines, the lower panel shows the maximum and minimum value of the 2 $\sigma$  interval. Values for the maximum 2 $\sigma$  distance were derived from the  $-2\sigma$  curve at low population values and from the  $+2\sigma$  curve at high population values. Values for the minimum 2 $\sigma$  distance were derived from the  $+2\sigma$  curve at low population values and from the  $-2\sigma$  curve at high population values. The relationships are dashed for populations not included in the LandScan population input data set.

The lower panel of Fig. 8 also shows the average 2 $\sigma$  distance. Lacking further guidance as to the nature of the population–FFCO<sub>2</sub> emissions relationship, the average is used to describe the relationship. Note that the use of the maximum or minimum curves would result in different uncertainties to be calculated and these may be more appropriate than the average. Future study and data may guide a more appropriate choice. The results from the lower panel of Fig. 8 are also extrapolated from the contiguous US to the entire world for the uncertainty analysis. Future study and data may also provide a more robust relationship.

It is not expected that the exact population–FFCO<sub>2</sub> emissions relationship shown in the lower panel of Fig. 8 will hold at 0.25, 0.1, and 0.01° spatial resolution, resolutions being utilized by other groups today. Likewise, it is not expected that the exact population–FFCO<sub>2</sub> emissions relationship shown in the lower panel of Fig. 8 will be useful for other maps that use proxies in addition to population to distribute FFCO<sub>2</sub> emissions because these other proxies will change the population–FFCO<sub>2</sub> relationship. The results shown in Fig. 8 are specific to 1° resolution using population as the sole distribution proxy.

The large uncertainty bounds on the carbon–population relationship are hypothesized to be due to large point sources incorporated in some 1° × 1° grid cells and not others. In these cells, FFCO<sub>2</sub> emissions are decoupled from population. Support for this comes from Singer et al. (2014), who showed a relatively flat per capita FFCO<sub>2</sub> relationship, as compared to the relationship derived here. Singer et al. (2014) derived this flat per capita by taking state level emissions, subtracting emissions from large point sources in each state, and then calculating per capita emissions. The robust 2 $\sigma$  interval used in the constrained fit of Fig. 8 potentially removes some, but not all, of these large point source 1° × 1° grid cells. While the process used here could be iterated to achieve results similar to Singer et al. (2014), that has not been pursued at the present time since that effort would not be representative of the CDIAC FFCO<sub>2</sub> mapping process.

The middle panel of Fig. 8 also shows some qualities of the population–FFCO<sub>2</sub> emissions relationship. First, there are no negative populations. Second, there are no negative FFCO<sub>2</sub> emissions. Third, by definition, the CDIAC FFCO<sub>2</sub> mass map locates no FFCO<sub>2</sub> emissions where there is zero population. Fourth, positive FFCO<sub>2</sub> emissions are associated with positive populations. The effect of adding more than



**Figure 9.** Population map uncertainty assessment example. The plot is for the year 2011 and its key shows the annual uncertainty as a fraction where 1.75 is 175 % uncertainty. This map was generated by the average relationship seen in the lower panel of Fig. 8.

one proxy to distribute FFCO<sub>2</sub> emissions is to take FFCO<sub>2</sub> from one cell and place it in another cell. The result of this redistribution procedure can increase or decrease the slope of the population–FFCO<sub>2</sub> emissions relationship as well as increase or decrease the  $2\sigma$  distance at a given population. The addition of more than one distribution proxy is what Singer et al. (2014) utilized, which resulted in a relatively flat per capita FFCO<sub>2</sub> relationship for non-point source FFCO<sub>2</sub> emissions.

Figure 9 shows an example of the population map uncertainty assessment results. There are 64 uncertainty assessments completed for the 1950–2013 time series, with each map reflecting the population that existed in a particular year for the given set of countries. These maps were generated by the average relationship seen in the lower panel of Fig. 8. For countries that only occupy one grid cell, their uncertainty was set to zero since the relationship derived in Fig. 8 is not applicable. There are no differences between population map uncertainties for annual and monthly FFCO<sub>2</sub> time series.

Figure 9 shows that the majority of the land mass is covered in uncertainties greater than 100 %. This could be used as evidence to argue against using population as a distribution proxy, assuming a better alternative can be found.

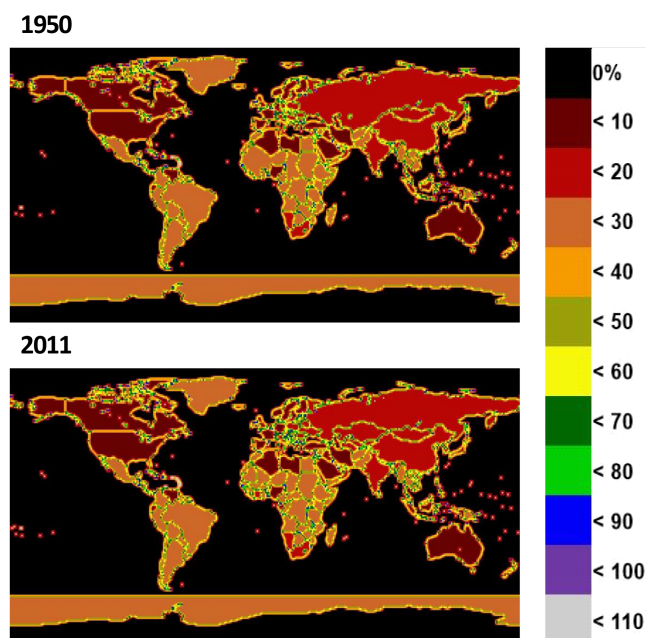
To address the second concern, population changes with time, it is assumed that the annually varying population maps used for the years 1990 to present capture relative changes and are thus not a concern. However, the pre-1990 years use a fixed population map and this may be of concern. Annual maps of GPWv3 and LandScan were used to assess the changes in relative population density within each country on an annual basis. The final result of this assessment was that population changes with time induce little uncertainty into the overall FFCO<sub>2</sub> distribution globally when a fixed population proxy is utilized. In specific  $1^\circ \times 1^\circ$  cells, the change can appear dramatic when a cell goes from having zero population to being populated. However, the vast majority of populated cells do not show this change in any given year. The

average populated  $1^\circ \times 1^\circ$  cell shows less than a 0.1 % uncertainty introduced over 10 years, which is far smaller than the other uncertainties examined in the paper. Thus, uncertainties introduced by population changes with time are not considered further in this paper. The next section combines the uncertainty maps from the three components just discussed.

#### 4.4 FFCO<sub>2</sub> map uncertainty

Figure 10 shows the uncertainty by combining two components: FFCO<sub>2</sub> tabular data and geography. This intermediary step is shown because it demonstrates the order of uncertainty (ranging from < 10 to 102 %) that will be associated with all gridded FFCO<sub>2</sub> data products that have roots similar to the CDIAC data product. This particular presentation ignores the within-country distribution proxy, only borders and national FFCO<sub>2</sub> magnitude are included. The two-component uncertainty shown is the square root of the sum of the squares of the individual components (i.e., Figs. 3 and 7) as each component is independent of the other. Figure 10 does not show many changes temporally (only 809 of 64 800 cells change values from the years 1950 to 2011), but there is much spatial variability within a given year.

Figure 11 shows the uncertainty by combining all three components: FFCO<sub>2</sub> tabular data, geography, and population. This particular presentation includes the within-country distribution proxy, and uncertainties associated with this proxy increase the maximum uncertainty from 102 % (Fig. 10) to 193 %. Other gridded FFCO<sub>2</sub> data products will have a different distribution proxy and thus a different absolute uncertainty value. The three-component uncertainty shown is the square root of the sum of the squares of the individual components (i.e., Figs. 3, 7 and 9), as each component is independent of the other. Both of the years Fig. 11 maps, 1950 and 2011, encompass the entire < 20 to < 200 % uncertainty range and show much spatial variability in their respective years. The 2011 map also shows more speckling of uncertainty values in areas that appear more homogeneous



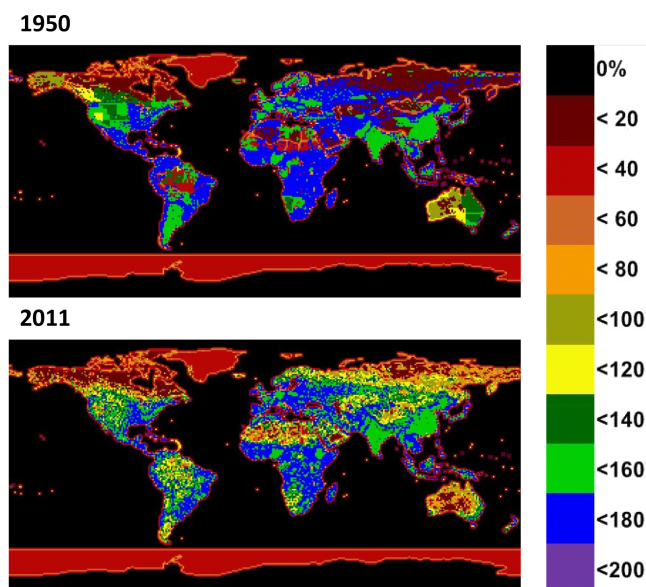
**Figure 10.** Two-component  $2\sigma$  uncertainty derived from FFCO<sub>2</sub> tabular data and geography.

in the year 1950 due to the inclusion of the annually varying population proxy.

Thus, this gridded product (i.e., Fig. 11) incorporates all known and deemed-significant uncertainty from the spatial resolution, temporal resolution, and underlying FFCO<sub>2</sub> estimation process. For the years 1950–2013, 64 such maps exist. It is expected that future releases of the annual and monthly CDIAC  $1^\circ \times 1^\circ$  FFCO<sub>2</sub> mass maps will be accompanied by similarly spatially and temporally scaled  $1^\circ \times 1^\circ$  uncertainty maps.

The 193 % maximum  $2\sigma$  uncertainty occurs regardless of whether the old fixed population proxy or the new annually varying population proxy is used. This is because the peak in the carbon–population relationship occurs at relatively low population values, around 172 000 people per  $1^\circ$  grid cell (Fig. 8, lower panel). This is far removed from the maximum populated grid cells, which the annually varying population proxy better captures.

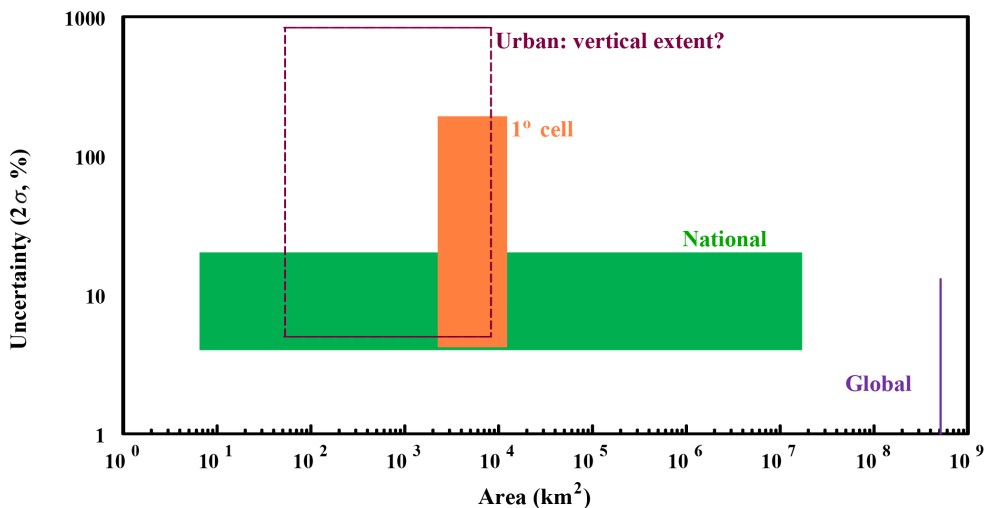
For the 2011  $1^\circ \times 1^\circ$  uncertainty map, of the 25 095 cells that have a non-zero uncertainty associated with them, 22 % of these are dominated by uncertainty contributed by the FFCO<sub>2</sub> tabular data (Fig. 3), 27 % of these are dominated by uncertainty contributed by geography (Fig. 7), and 51 % are dominated by uncertainty contributed by population (Fig. 9). Tabular FFCO<sub>2</sub> data dominate uncertainty in areas of low to no population. Geography dominates uncertainty around borders shared with water bodies. Population dominates uncertainty in the rest of the populated world.



**Figure 11.** Three-component  $2\sigma$  uncertainty.

#### 4.5 Other sources of uncertainty

Not explicitly considered here are autocorrelations of uncertainty in the combined spatiotemporal domain. For example, if the local power plant is shut down for maintenance, other power plants located on the same electrical grid may increase electricity production, and hence FFCO<sub>2</sub> emissions, to maintain overall grid power levels for an electricity demand that is independent of the local power plant maintenance schedule. In actual cases of this scenario, of which the authors are aware, the relatively coarse CDIAC  $1^\circ \times 1^\circ$  annual scale map was partially insensitive to this maintenance. That is because some of the power plants that increased electricity production were located in the same  $1^\circ \times 1^\circ$  cell as the local power plant, and thus the FFCO<sub>2</sub> emissions were still accurately captured in that cell. The uncertainty assessment presented here is unaffected by this maintenance and redistribution of power generation. However, some of the power plants that increased electricity production were located outside the local power plant  $1^\circ \times 1^\circ$  cell. The uncertainty assessment presented here fails to capture that event. This type of spatiotemporal problem, and the autocorrelations it contains, is only exacerbated as one goes to finer spatial and/or temporal scales. This type of spatiotemporal problem and others similar to it are difficult to capture in FFCO<sub>2</sub> flux maps and uncertainty assessments due to their sporadic nature. Reliable global databases of their occurrences are presently unknown in the emissions inventory sciences. Yet, their effect is real, especially since the community moves ever closer to the goal of comparing inventories to model output and to measurements, whether in a scientific, regulatory, or treaty compliance environment.



**Figure 12.** CDIAC experience regarding resolution and uncertainty. Here, the focus is on spatial resolution, but CDIAC also noticed a similar relationship in temporal scales going from annual to monthly to daily to hourly. The uncertainty on urban scale maps is largely unknown at present.

## 5 Discussion

Uncertainty generated by using the population map dominates the gridded FFCO<sub>2</sub> uncertainty. Population is one proxy used to distribute FFCO<sub>2</sub> emissions that has detail in both time and space. Many of the proxies used by other map distribution algorithms lack this detail in time and space. Population was also the only useful global proxy available in 1996 when the CDIAC  $1^\circ \times 1^\circ$  maps were first published. Many of the proxies used by other map distribution algorithms came into being after 1996. Finally, national populations directly use energy and emit FFCO<sub>2</sub> in many sectors of the economy. Other map distribution algorithms attempt to improve this relationship by parsing portions of FFCO<sub>2</sub> emissions not directly related to national populations (e.g., electricity power plant emissions) and using other proxies to distribute these non-population-related FFCO<sub>2</sub> emissions.

The linear fit that CDIAC employs for FFCO<sub>2</sub> emissions distribution (i.e., the population map) comes with the cost of introducing uncertainty due to the lack of a one-to-one relationship. However, this is true with other proxies because they also lack the one-to-one relationship. It is important to remember why these proxies are utilized: a lack of actual measurements of FFCO<sub>2</sub> emission rates at the appropriate spatial and temporal scales. Here, a compromise is introduced into the mapping process: distribution proxies with their associated uncertainties are balanced against computation and data gathering costs. In general, for full global coverage, finer spatial and temporal resolution proxies introduce more uncertainty than coarser spatial and temporal resolution proxies. This higher uncertainty is often rooted in less certain data in all grid cells due to the lack of resources to appropriately monitor all grid cells at the desired spatial and

temporal resolutions. This intermingling of spatial and temporal resolution is key. Most high-spatial-resolution proxies are sampled for only short temporal durations or limited spatial extents. Most high-temporal-resolution proxies are sampled for limited spatial extents or limited temporal durations. Figure 12 is a summary of the CDIAC experience regarding resolution and uncertainty. As spatial scales decrease, uncertainty increases. Much effort is now being directed into producing urban scale maps, but their uncertainty at present is largely unknown.

Realizing this simplicity–efficiency compromise and resolution–uncertainty experience, investigation of alternative FFCO<sub>2</sub> distribution strategies may be worthwhile if they can achieve a lower overall uncertainty. CDIAC has supported many such alternative distribution efforts in the broader community in the past and expects to continue to do so in the future. These alternative distribution strategies need also to be investigated not only for their initial year of implementation (where most effort is applied), but also in an honest evaluation of their application across different spatial and temporal horizons. For example, in the spatial domain, is the quality of the proxy used to map FFCO<sub>2</sub> emissions at  $0.1^\circ$  resolution truly understood (leading to reported  $2\sigma$  uncertainties as low as 36 %, originally reported by Wang et al., 2013 as an  $R_{90}$  value of 30 %)? Likewise, in the temporal domain, is the quality of the proxy used to map FFCO<sub>2</sub> emissions at hourly resolution truly understood (leading to reported  $2\sigma$  uncertainties as low as –15 to 20 %, as reported for Hestia by Cambaliza et al., 2014)? One advantage of the  $1^\circ \times 1^\circ$ , population-based, simplistic, linear fit is that it can be applied from emission year 1751 to the present with a good assessment of the uncertainty associated with it.

**Table 3.** Comparison of INFLUX airplane-based results, Hestia, and the CDIAC  $1^\circ \times 1^\circ$  map. All values reported in Tg C. Reported in parentheses are  $1\sigma$  and  $2\sigma$  mass ranges. Cambaliza et al. (2014) report airplane-based results for 1 March, 29 April, and 1 June 2011 of 11 000, 7500, and 26 000 mol s<sup>-1</sup>, respectively. Unit conversion equate these values to 4.2, 2.8, and 9.8 Tg C yr<sup>-1</sup>. The 5.6 Tg C average is reported above. For monthly samples, a similar unit conversion was completed. For both annual and monthly cases, the Cambaliza et al. and Hestia results were scaled up to the temporal resolution of the CDIAC data.

	CDIAC	Cambaliza et al. (2014)	Hestia
Annual	7.7 (1.7–14, 0–20)	5.6 (2.8–8.4, 0.0–11)	4.4 (4.1–4.9, 3.8–5.3)
March	0.68 (0.1–1.2, 0–1.7)	0.35 (0.18–0.53, 0.0–0.71)	0.39 (0.36–0.43, 0.33–0.47)
April	0.61 (0.1–1.1, 0–1.6)	0.23 (0.12–0.35, 0.0–0.47)	0.33 (0.31–0.37, 0.28–0.40)
June	0.62 (0.1–1.1, 0–1.6)	0.81 (0.40–1.2, 0.0–1.6)	0.38 (0.35–0.42, 0.32–0.45)

**Table 4.** This work versus alternative formulation of the gridded map uncertainty at annual timescales. Minimum, average, maximum, and standard deviation (SD) of three-component  $2\sigma$  uncertainty for populated and FFCO<sub>2</sub>-emitting grid spaces. All values in percent. See text for parameters of the alternative formulation.

	Minimum	Average	Maximum	SD
This work	4.0	120	190	51
Alternative formulation	4.0	65	94	22

While there is lack of actual measurements of FFCO<sub>2</sub> emission rates at the appropriate spatial and temporal scales of the CDIAC  $1^\circ \times 1^\circ$  maps, a sampling effort that partially approaches these scales occurred in Indianapolis, US, during the Indianapolis Flux Experiment (INFLUX). Cambaliza et al. (2014) report on airplane-obtained CO<sub>2</sub> flux measurements for three dates in 2011. Their measurements show “considerable day-to-day variability” and include all CO<sub>2</sub> fluxes, not just FFCO<sub>2</sub>. However, with reason, they assume their results are mostly sensitive to FFCO<sub>2</sub>. Table 3 compares their results to the CDIAC  $1^\circ \times 1^\circ$  map grid cell that contains Indianapolis. While there are still mismatches in temporal and spatial scales (and potentially CO<sub>2</sub> sources), the results are within the  $1\sigma$  uncertainty bounds of each other at annual timescales. At monthly timescales, the comparison is not so favorable: all of the Cambaliza et al. (2014) results fall within the CDIAC  $1\sigma$  uncertainty; only one CDIAC month falls within the Cambaliza et al. (2014)  $1\sigma$  uncertainty, one CDIAC month falls within the Cambaliza et al. (2014)  $2\sigma$  uncertainty, and the other month falls outside the Cambaliza et al. (2014)  $2\sigma$  uncertainty.

INFLUX was also aided by a bottom-up inventory, Hestia (Gurney et al., 2012), which is a detailed building-by-building, street-by-street, hourly FFCO<sub>2</sub> emissions inventory, downscaled from VULCAN. Cambaliza et al. (2014) report Hestia inventory values for the same dates (Table 3). While there are still mismatches in temporal and spatial scales at both annual and monthly timescales, the Hestia results fall within the CDIAC  $1\sigma$  uncertainty and the CDIAC

results do not fall within the Hestia  $2\sigma$  uncertainty. Similarly, the Cambaliza et al. (2014) data and Hestia results also do not always fall within each others’  $1$  or  $2\sigma$  uncertainty bounds.

Singer et al. (2014) show that for the contiguous US, when large point sources are removed from the CDIAC  $1^\circ \times 1^\circ$  maps and separately placed with their emissions, the remaining FFCO<sub>2</sub> emissions show relative constancy on a per capita basis. If this result can be verified elsewhere and if a robust large point source database can be developed at appropriate spatial and temporal scales, this may lead to better global maps of FFCO<sub>2</sub> emissions. While current large point source databases have known spatial deficiencies (e.g., Oda and Maksyutov, 2011; Nassar et al., 2013; Woodard et al., 2015), these spatial deficiencies can be overcome with additional geolocation efforts. Current large point source databases are usually based on a certain point in time and offer little to no temporal information. This temporal information is crucial for appropriately assigning magnitudes to FFCO<sub>2</sub> emissions from these locations. Magnitude variations can occur on all temporal scales from minutes to years as energy demand changes, new units are installed, and old units are uninstalled or shut down for maintenance. The uncertainty associated with these temporal variations is unquantified at present.

A commonly observed human tendency is to underestimate the uncertainties in our work. Going into this gridded uncertainty assessment, when asked about the quality of the CDIAC  $1^\circ \times 1^\circ$  FFCO<sub>2</sub> mass magnitude maps, the answer was about 70 % correct (30 % uncertainty). This was based on some data, anecdotal evidence, and our own incomplete knowledge of the population proxy. This assessment has greatly altered that answer, and our previous answer was a factor of 2 too small. Throughout this assessment process, when assumptions had to be made or expert judgment employed, the general tendency in most cases was toward purposefully overestimating or increasing the magnitude of uncertainty. Table 4 presents the results of an alternative formulation of the gridded map uncertainty. Built into this alternative formulation are reduced geography map and reduced population map uncertainties. For the geography map, uncertainties are reduced by 50 % over those shown in Fig. 6. This is not as aggressive as the 1/10 of a grid cell ( $\sim 10$  % uncer-

tainty) of Hogue et al. (2016), but does allow for locations to be located to within one-half of a  $1^\circ$  grid cell. While there are examples of  $1^\circ$  uncertainty (e.g., see Sect. 4.2 geography map), these examples are an isolated few and may represent the outliers beyond  $2\sigma$ . For the population map, uncertainties are reduced to the minimum line of Fig. 8. FFCO<sub>2</sub> emissions tabular data remain unchanged since no viable alternative assumption exists. The alternative formulation to the gridded map uncertainty results is roughly a halving of the average, maximum and standard deviation values from the values originally reported in this work. The minimum value remains the same. The alternative formulation is simply the result of different assumptions and decisions being made during the uncertainty assessment process. At present, it is neither better nor worse than the uncertainties presented in Fig. 11. The alternative formulation is simply different from the main line of investigation that led to Fig. 11. What the alternative formulation really highlights is the need for additional work in this area and the need for physical sampling of FFCO<sub>2</sub> emissions at appropriate spatial and temporal scales.

Table 4 also shows the average  $2\sigma$  uncertainty value at 120 % for the work presented here. This is only slightly higher than the average  $1\sigma$  uncertainty value of 50 % ( $2\sigma$  100 %) presented by Rayner et al. (2010) for FFDAS at  $0.25^\circ$  resolution. These larger values are expected since the treatment here is more comprehensive than that of Rayner et al. (2010), incorporating non-zero uncertainty for the population component, a more diverse and wider range of uncertainties for the FFCO<sub>2</sub> tabular data, not clipping higher uncertainty values (200 %  $1\sigma$  in the Rayner et al., 2010 assessment), and utilizing many more Monte Carlo simulations in realization of the FFCO<sub>2</sub> distribution results (1000 vs. 25).

The uncertainty bounds presented here (e.g., Fig. 11) are large. That may argue for a new approach to mapping FFCO<sub>2</sub> emissions globally. The multi-proxy approach initially appears promising because large fractions of FFCO<sub>2</sub> emissions can be geolocated with much less spatial uncertainty than the population proxy provides. However, the databases commonly used to provide the geolocation usually fail to provide temporal information, making temporal uncertainty increase, sometimes substantially. Studies like INFLUX also initially appear promising with their high spatial and temporal resolutions often accompanied by lower uncertainties than those offered here (e.g., Fig. 11). However, INFLUX was a multi-million dollar campaign that gave good information on one grid cell out of 64 800 (temporally, different data streams lasted days to years). This approach is too expensive for global application with current resources. Satellites could offer high spatial and temporal resolution. However, current technology only senses field-of-view CO<sub>2</sub>, including the net effects of all sources and sinks on a parcel of air. Models are then needed to tease out the FFCO<sub>2</sub> component.

Going forward, there may be multiple opportunities to improve FFCO<sub>2</sub> mass maps by incorporating new data and proxies that were previously unavailable. Besides population,

few proxies currently in use have reliable histories longer than a few decades, and thus there may not be many ways to improve the historical record of emissions and their global distribution. Looking forward, existing and new technologies and techniques may provide continuous and detailed space and time data from which to better estimate and map FFCO<sub>2</sub> emissions.

Hanging over all of these approaches to mapping FFCO<sub>2</sub> emissions are planned, existing, and committed national and international agreements to limit future FFCO<sub>2</sub> emissions. How these will be measured, reported, and verified (MRV) remains to be seen. This MRV task becomes only more daunting when uncertainties are used in the MRV process, in addition to the central best estimate of FFCO<sub>2</sub> (and other) fluxes affecting the atmosphere (and climate) in which we live.

## 6 Conclusions

This paper provides (1) the first, gridded, comprehensive uncertainty estimates of global FFCO<sub>2</sub> emissions, (2) a methodology that can be applied to other global FFCO<sub>2</sub> mass maps, (3) a reminder to the community that FFCO<sub>2</sub> has uncertainty both in tabular mass totals and in map-distributed masses, (4) a beginning for the broader community to statistically compare different FFCO<sub>2</sub> distribution maps (once uncertainty evaluations are completed on the other maps) to help determine better FFCO<sub>2</sub> distribution algorithms, and (5) the basis for an improved understanding of the global carbon cycle and its components by providing an uncertainty estimate for the CDIAC FFCO<sub>2</sub> mass maps that can then be propagated into the rest of the global carbon cycle.

While more detailed proxies (in space, time, or number) may lead to more visually appealing representations of FFCO<sub>2</sub> emissions, that increased detail often brings increased uncertainty, thus obscuring the perceived increase in detail. The alternative formulation presented in Table 4 shows how easy it is to achieve lower reported uncertainties. While uncertainty is large at the per grid cell basis, Fig. 12 suggests that uncertainty decreases with aggregation to larger grid cells. While the exact map distribution mechanism used here – per capita FFCO<sub>2</sub> emissions by country – largely determines the uncertainty associated with the CDIAC  $1^\circ \times 1^\circ$  maps, other map distribution mechanisms likely follow a similar pattern: increasing uncertainty with decreasing spatial (and temporal) scale(s).

Finally, the difficulties encountered during this work should not be taken as deterrents to pursuing this line of research. Rather, they should be embraced as challenges to be overcome by new methods and measurements. While gridded FFCO<sub>2</sub> uncertainty maps are not scientifically revolutionary, they will lead to new understanding of the carbon cycle and the climatic system – much in the same way pi-

oneering efforts in quantifying global and national FFCO<sub>2</sub> emissions led to new carbon and climate understanding.

## 7 Data availability

The data for this paper are available at the CDIAC website ([http://cdiac.esd.ornl.gov/trends/emis/meth\\_reg.html](http://cdiac.esd.ornl.gov/trends/emis/meth_reg.html), Andres and Boden, 2016a, b). FFCO<sub>2</sub> emissions data are also currently available there (Boden et al., 2016). At the time of ACPD submission, the authors were in the process of updating the emissions data to the year 2013 (from 2011). That update is now complete and FFCO<sub>2</sub> emission data and uncertainty maps up to the year 2013 are available from the CDIAC web site.

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