



Supplement of

Relating geostationary satellite measurements of aerosol optical depth (AOD) over East Asia to fine particulate matter (PM_{2.5}): insights from the KORUS-AQ aircraft campaign and GEOS-Chem model simulations

Shixian Zhai et al.

Correspondence to: Shixian Zhai (zhaisx@g.harvard.edu)

The copyright of individual parts of the supplement might differ from the article licence.

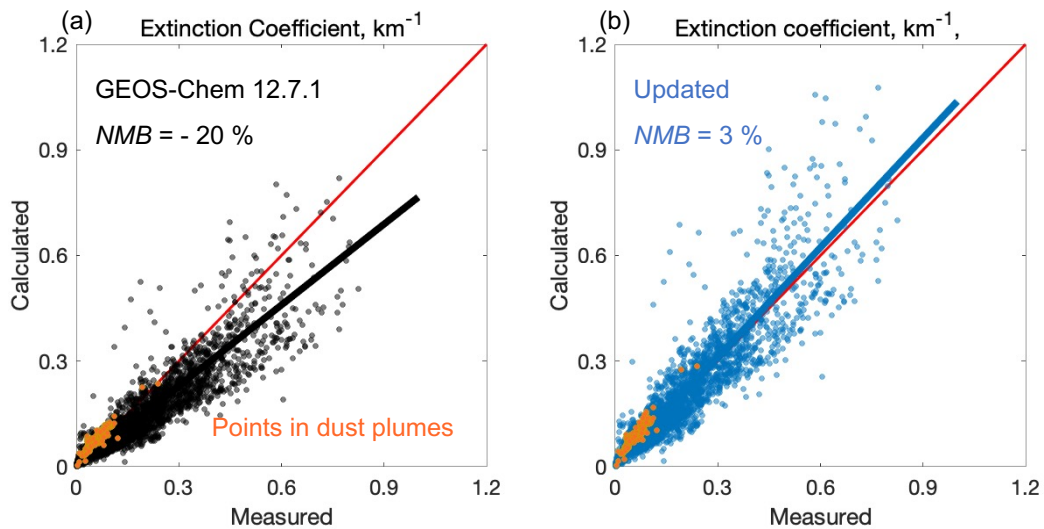
1 **Supplementary text: Identification of dust plumes.**

2 Earlier studies (Heim et al., 2020; Peterson et al., 2019) have shown dust events occurring over South Korea during
3 KORUS-AQ. Here we identify dust plumes by examining KORUS-AQ measurements of remote sensed extinction
4 coefficient profiles, in situ aerosol size distributions, and in situ dust and non-dust (sulfate-nitrate-ammonium +
5 organics) aerosol concentrations aboard the DC-8 aircraft. Figure S2 (top panel) shows the evolvement of aerosol
6 extinction coefficient profiles during KORUS-AQ (extinction coefficient profiles on individual days can be found
7 at: <https://science-data.larc.nasa.gov/lidar/korus-aq/>). High extinction coefficients ($> 0.1 \text{ km}^{-1}$) in the lower free
8 troposphere ($\sim 4 \text{ km}$) were shown on May 5 and 7, reflecting long-range transport of dust in the free troposphere
9 (Heim et al., 2020). On May 12, enhancement of extinction coefficients was detected at 6-8 km.

10 Figure S3 shows the vertical profiles of cross-section weighted effective radius (R_e), dust, and SNA + organic
11 aerosol concentrations. The cross-section weighted effective radius R_e has been shown as a key parameter relating to
12 the optical properties for aerosols (Chin et al., 2002; Liu et al., 2009), and is calculated as:

$$13 \quad R_e = \frac{\sum_i N_i D_i^3}{2 * \sum_i N_i D_i^2}$$

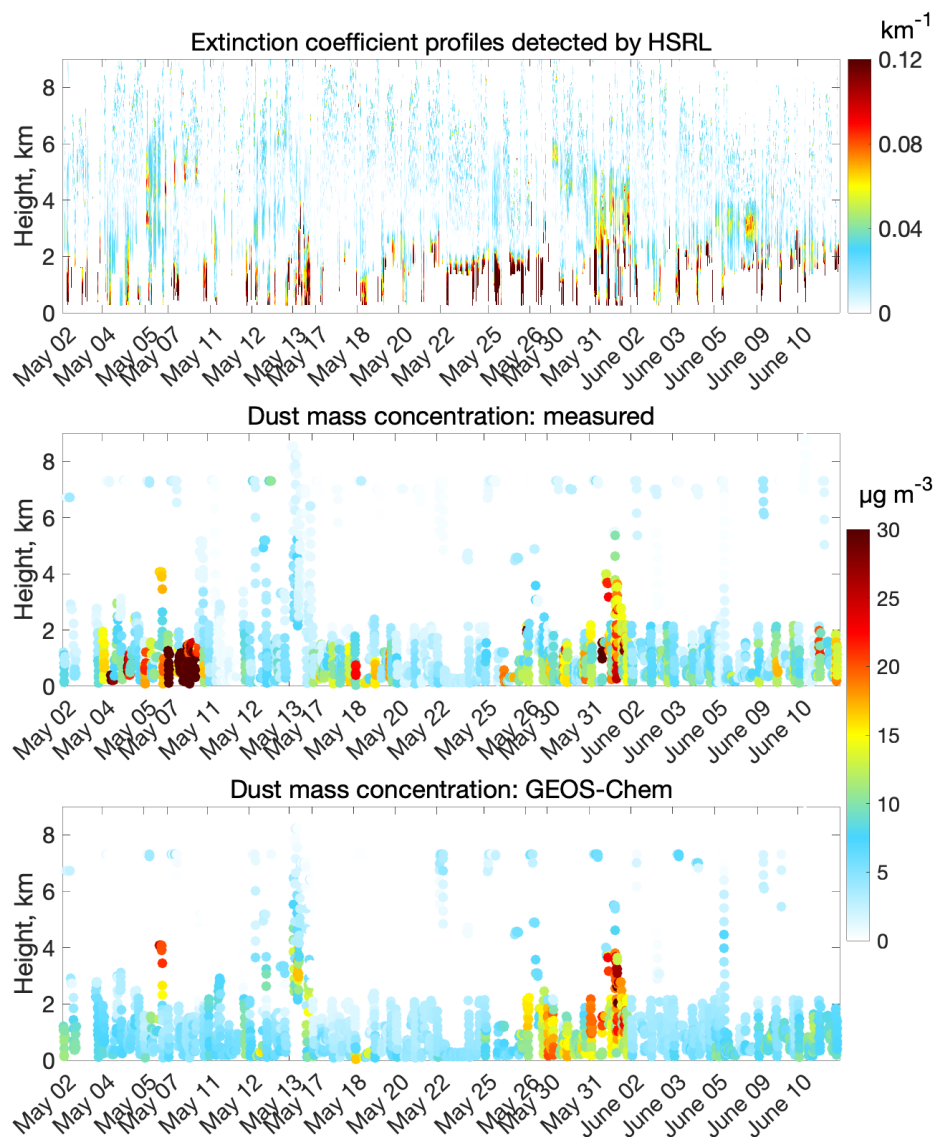
14 Where N_i is the number of particles in the i^{th} size bin, and D_i is the center diameter for the i^{th} size bin. On May 5, R_e
15 starts to increase from $0.2 \mu\text{m}$ at $\sim 4\text{km}$ altitude and reaches to $\sim 0.6 \mu\text{m}$ at $\sim 6-7 \text{ km}$ altitude. Correspondingly, dust
16 concentration on May 5 increased to $\sim 20 \mu\text{g m}^{-3}$ at $\sim 4\text{km}$ (SAGA Ca^{2+} and Na^+ measurements at altitude $> 4 \text{ km}$ on
17 May 5 are missing), with negligible SNA + organic aerosol concentration above 2km (Figure S3c). On May 7, the
18 large R_e ($\sim 0.6 \mu\text{m}$) subsides to the surface, with median dust concentration at the surface reaches near $60 \mu\text{g m}^{-3}$,
19 suggesting dust subsidence on this day. May 12 observed large R_e at 6-8 km altitude. Dust concentrations on May 12
20 start to increase at $\sim 5 \text{ km}$, above which SAGA data are mostly missing. Hereafter, we identify data on May 5 and
21 12 at 6-7 km and on May 7 below 1.5 km in altitude as representative of dust plumes.



22

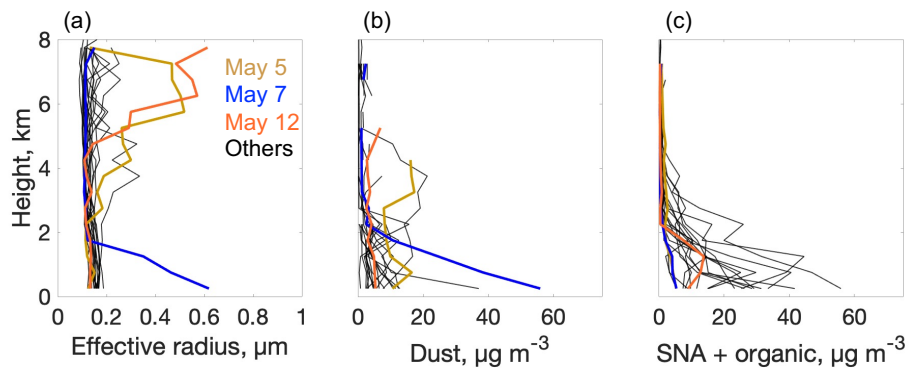
23 Figure S1. Scatter plots of extinction coefficients between in situ measurements (nephelometer + PSAPs; at ambient
 24 RH) and calculations from the measured mass concentrations of major aerosol components (sulfate-nitrate-
 25 ammonium, organic aerosol, black carbon, and dust), and measured RH, with aerosol optical properties from
 26 standard GEOS-Chem version 12.7.1 (panel a) and from updates in this study (panel b; Table 3 in the main text).
 27 Normalized mean biases (NMBs) inset are for the comparison of calculated and in-situ measured extinction
 28 coefficients. No significant bias was seen for measurement in dust plumes.

29



30
 31 Figure S2. Extinction coefficient profiles (532 nm) and dust concentration along the flight tracks during KORUS-
 32 AQ. The extinction coefficients were detected by High Spectral Resolution Lidar (HSRL). Extinction coefficient
 33 profiles on individual days can be found at: <https://science-data.larc.nasa.gov/lidar/korus-aq/>. Measured dust
 34 concentration is derived from SAGA Ca^{2+} and Na^{+} as explained in the main text. GEOS-Chem dust is sampled at the
 35 flight tracks.

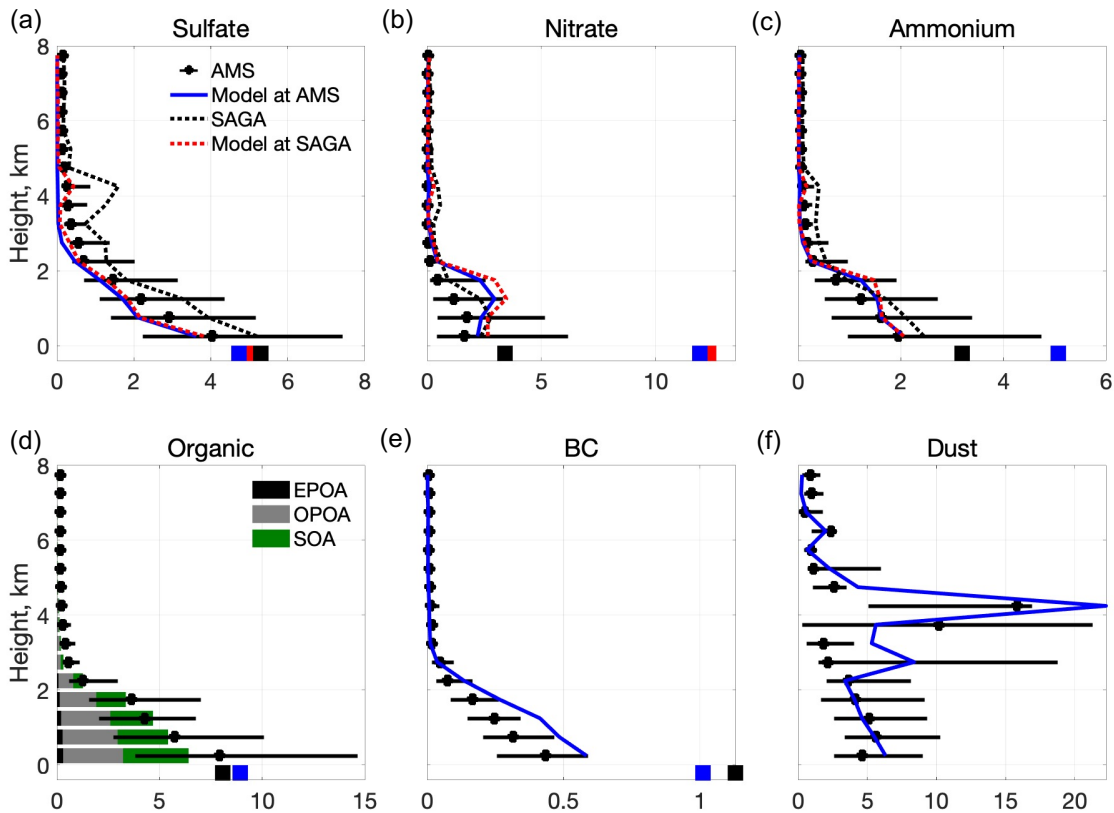
36



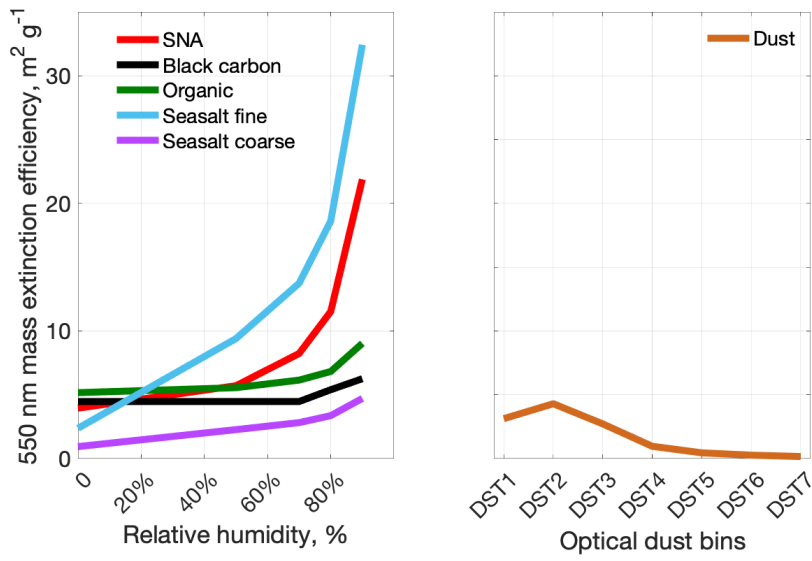
37

38 Figure S3. Vertical profiles of measured median (a) cross-section weighted effective radius (R_e), (b) dust
 39 concentration, and (c) sulfate-nitrate-ammonium (SNA) + organic aerosol concentration during KORUS-AQ.
 40 Profiles on dust events are bold colored. Dust concentration is derived from Ca^{2+} and Na^+ measurements as
 41 described in the main text. SNA + organic aerosols are measured by AMS. The incomplete lines for dust
 42 concentration profiles are due to missing data. Sampling SNA + organic aerosol concentration data at available dust
 43 observation points doesn't change the profile features in (c).

44



45
 46 Figure S4. Median vertical profiles of aerosols during KORUS-AQ from measurements (error bars are 25 - 75th
 47 percentiles) and GEOS-Chem. For sulfate, nitrate, and ammonium, both AMS and SAGA measurements are shown.
 48 Concentration at the surface from both measurements and the model are shown by color filled squares. Modeled
 49 sulfate and nitrate at AMS are ammonium associated sulfate and nitrate. Modeled sulfate and nitrate at SAGA
 50 include sulfate and nitrate associated with ammonium and dust with geometric diameter < 2.5 μm , to be consistent
 51 with SAGA measurements (Table 2 in the main text). Organic aerosol is broken down into freshly emitted primary
 52 organic aerosol (EPOA), oxygenated primary organic aerosol (OPOA), and lumped secondary organic aerosol
 53 (SOA) by the simple SOA scheme in GEOS-Chem. In the GEOS-Chem simple SOA scheme, 50% primarily emitted
 54 organics is assumed to be OPOA (near-field oxidation of EPOA), and the rest 50% organics emitted as EPOA is
 55 converted to OPOA with a lifetime of 1.15d. SOA is scaled from biogenic (isoprene, monoterpene, and
 56 sesquiterpenes), fire (CO), and anthropogenic (CO) emissions as described by Pai et al. (2020). Vertical profiles are
 57 shown for all 20 flights during KORUS-AQ. Surface data are from May 9 to June 10 on flight days, so that median
 58 values across sites are slightly different from that inset in Figure 4 in the main text. All data are averaged over 500-
 59 m vertical bins. Here and elsewhere, we excluded 3.4% of the data as pollution plumes diagnosed by either NO_2 or
 60 $\text{SO}_2 > 10$ ppbv.



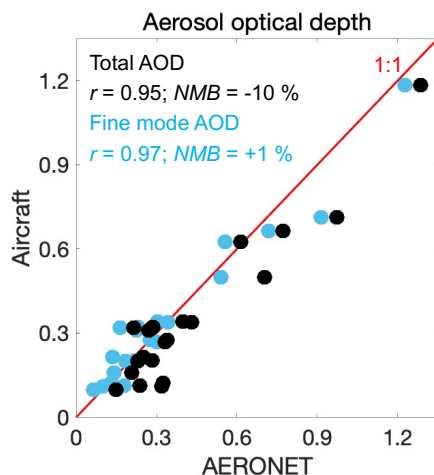
61

62

Figure S5. Mass extinction efficiency of different aerosol components based on GEOS-Chem aerosol optical properties in Table 3 in the main text. The 7 dust size bins (DST1-7) are centered at radii of 0.15, 0.25, 0.4, 0.8, 1.5, 2.5, and 4.0 μm .

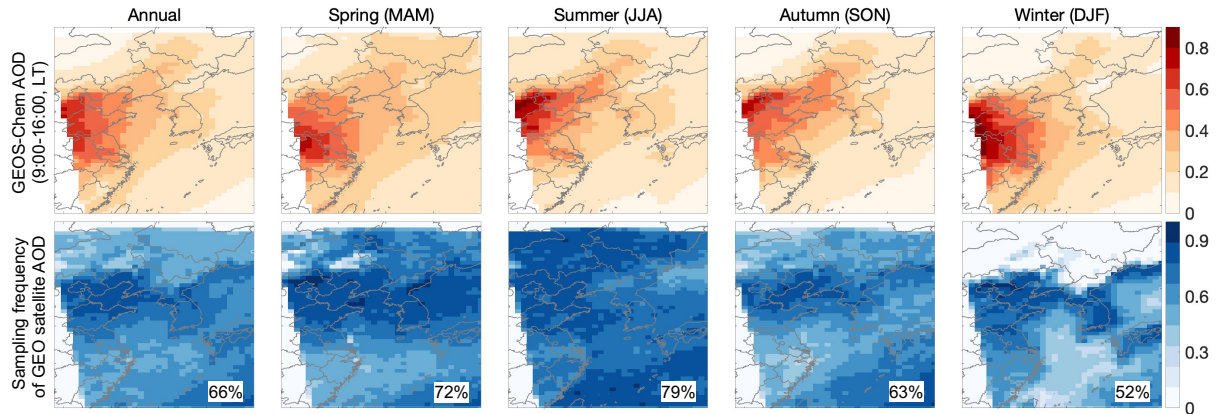
64

65



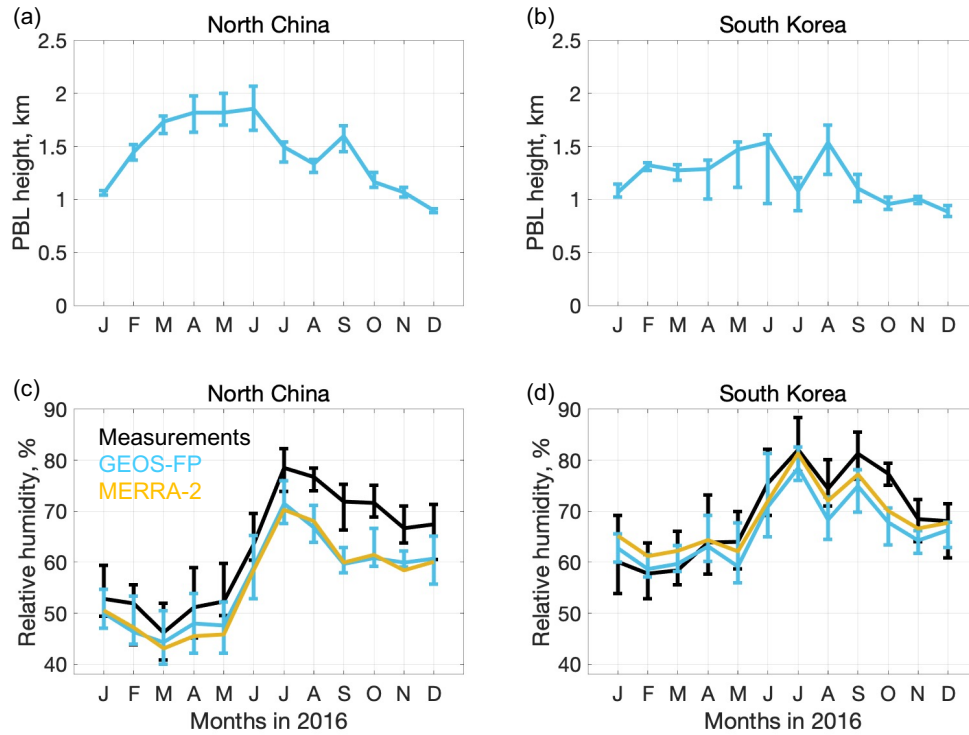
66
 67 Figure S6. Mean aerosol optical depth (AOD) inferred from KORUS-AQ aircraft data for each of the 20 flights over
 68 2 May - 10 June 2016 compared to the mean AOD observed on the flight days at 21 AERONET sites operated
 69 across South Korea. AOD from the aircraft data are the integrals of the vertically binned in situ extinction
 70 coefficients along the flight tracks. AERONET AOD are daily mean values reported for total aerosol and fine-mode
 71 aerosol. The distribution of AERONET sites is shown in Figure 4. Statistics inset are for the correlation coefficient
 72 (r) and normalized mean biases (NMBs). Comparison to the AERONET total AOD indicates a normalized mean
 73 bias (NMB) of -10%, which might reflect spatial/temporal sampling differences like limited aircraft sampling at
 74 surface layer below 150 m and that aircraft AOD do not include aerosol extinctions in the stratosphere (Murphy et
 75 al., 2020), but may also be due to a cutoff aerodynamic diameter of $\sim 4 \mu\text{m}$ for the aircraft nephelometers
 76 (Mcnaughton et al., 2007). Indeed, the bias disappears when the aircraft AOD is compared to the reported fine-mode
 77 AERONET AOD.

78



79
 80 Figure S7. Spatial distributions of 2016 annual and seasonal mean GEOS-Chem all-sky AOD (9:00 - 16:00, LT
 81 mean AOD without filtering out cloudy conditions detected by GEO satellites) and the sampling efficiency
 82 (proportion of days with successful sampling) of the fused geostationary satellite AOD product.

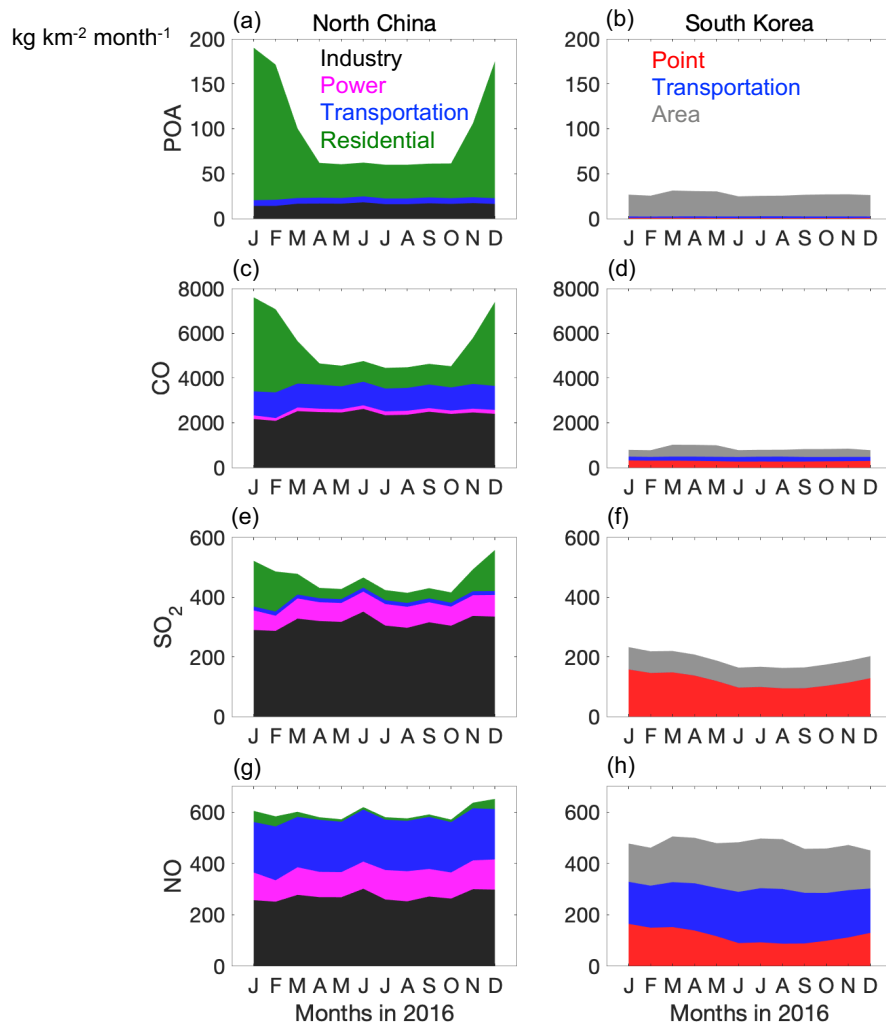
83



84

85 Figure S8. Monthly series of median (error bars: 25th and 75th percentiles) GEOS-FP daytime (9:00-16:00 LT)
 86 maximum PBLH heights and daily relative humidity (RH) over the North China and South Korea regions. The
 87 measured RH are from the national climatic datasets of China (data.cma.cn) and South Korea (data.kma.go.kr). RH
 88 from MERRA2 is similar to that from GEOS-FP.

89



90
 91 Figure S9. Monthly series of sector-specific emission intensities for primary organic aerosol (POA), CO, SO₂ and
 92 NO over North China and South Korea regions used in driving the GEOS-Chem model. Emissions over North China
 93 are from the MEIC emission inventory for 2016 and emissions over South Korea are from the KORUSv5 emission
 94 inventory at base year 2015. The two emission inventories are distinguished from different sector categories in this
 95 graph. The ‘area’ source category in KORUSv5 includes residential and scattered low-height industrial combustion
 96 and process (Woo et al., 2020). The ‘point’ source category in KORUSv5 includes large point sources from power
 97 plants and industry (Woo et al., 2020).

98

99 **References**

- 100 Chin, M., Ginoux, P., Kinne, S., Torres, O., Holben, B. N., Duncan, B. N., Martin, R. V., Logan, J. A., Higurashi,
101 A., and Nakajima, T.: Tropospheric Aerosol Optical Thickness from the GOCART Model and Comparisons with
102 Satellite and Sun Photometer Measurements, *J. Atmos. Sci.*, 59, 461-483, <https://doi.org/10.1175/1520->
103 0469(2002)059<0461:TAOTFT>2.0.CO;2, 2002.
- 104 Heim, E. W., Dibb, J., Scheuer, E., Jost, P. C., Nault, B. A., Jimenez, J. L., Peterson, D., Knote, C., Fenn, M., Hair,
105 J., Beyersdorf, A. J., Corr, C., and Anderson, B. E.: Asian dust observed during KORUS-AQ facilitates the uptake
106 and incorporation of soluble pollutants during transport to South Korea, *Atmospheric Environment*, 224, 117305,
107 <https://doi.org/10.1016/j.atmosenv.2020.117305>, 2020.
- 108 Liu, P., Zhao, C., Liu, P., Deng, Z., Huang, M., Ma, X., and Tie, X.: Aircraft study of aerosol vertical distributions
109 over Beijing and their optical properties, *Tellus B: Chemical and Physical Meteorology*, 61, 756-767,
110 [10.1111/j.1600-0889.2009.00440.x](https://doi.org/10.1111/j.1600-0889.2009.00440.x), 2009.
- 111 McNaughton, C. S., Clarke, A. D., Howell, S. G., Pinkerton, M., Anderson, B., Thornhill, L., Hudgins, C.,
112 Winstead, E., Dibb, J. E., Scheuer, E., and Maring, H.: Results from the DC-8 Inlet Characterization Experiment
113 (DICE): Airborne Versus Surface Sampling of Mineral Dust and Sea Salt Aerosols, *Aerosol Sci. Tech.*, 41, 136-159,
114 <https://doi.org/10.1080/02786820601118406>, 2007.
- 115 Murphy, D. M., Froyd, K. D., Bourgeois, I., Brock, C. A., Kupc, A., Peischl, J., Schill, G. P., Thompson, C. R.,
116 Williamson, C. J., and Yu, P.: Radiative and chemical implications of the size and composition of aerosol particles
117 in the existing or modified global stratosphere, *Atmos. Chem. Phys. Discuss.*, 2020, 1-32, [10.5194/acp-2020-909](https://doi.org/10.5194/acp-2020-909),
118 2020.
- 119 Pai, S. J., Heald, C. L., Pierce, J. R., Farina, S. C., Marais, E. A., Jimenez, J. L., Campuzano-Jost, P., Nault, B. A.,
120 Middlebrook, A. M., Coe, H., Shilling, J. E., Bahreini, R., Dingle, J. H., and Vu, K.: An evaluation of global organic
121 aerosol schemes using airborne observations, *Atmos. Chem. Phys.*, 20, 2637-2665, <https://doi.org/10.5194/acp-20->
122 2637-2020, 2020.
- 123 Peterson, D. A., Hyer, E. J., Han, S.-O., Crawford, J. H., Park, R. J., Holz, R., Kuehn, R. E., Eloranta, E., Knote, C.,
124 Jordan, C. E., and Lefer, B. L.: Meteorology influencing springtime air quality, pollution transport, and visibility in
125 Korea, *Elementa-Sci. Anthropol.*, 7, 57, <https://doi.org/10.1525/elementa.395>, 2019.
- 126 Woo, J.-H., Kim, Y., Kim, H.-K., Choi, K.-C., Eum, J.-H., Lee, J.-B., Lim, J.-H., Kim, J., and Seong, M.:
127 Development of the CREATE Inventory in Support of Integrated Climate and Air Quality Modeling for Asia,
128 *Sustainability*, 12, 7930, <https://doi.org/10.3390/su12197930>, 2020.
- 129