



# Estimating lockdown-induced European NO<sub>2</sub> changes using satellite and surface observations and air quality models

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**Abstract.** This study provides a comprehensive assessment of NO<sub>2</sub> changes across the main European urban areas induced by COVID-19 lockdowns using satellite retrievals from the Tropospheric Monitoring Instrument (TROPOMI) onboard the Sentinel-5p satellite, surface site measurements, and simulations from the Copernicus Atmosphere Monitoring Service (CAMS) regional ensemble of air quality models. Some recent TROPOMI-based estimates of changes in atmospheric NO<sub>2</sub> concentrations have neglected the influence of weather variability between the reference and lockdown periods. Here we provide weather-normalized estimates based on a machine learning method (gradient boosting) along with an assessment of the biases that can be expected from methods that omit the influence of weather. We also compare the

weather-normalized satellite-estimated NO<sub>2</sub> column changes with weather-normalized surface NO<sub>2</sub> concentration changes and the CAMS regional ensemble, composed of 11 models, using recently published estimates of emission reductions induced by the lockdown. All estimates show similar NO<sub>2</sub> reductions. Locations where the lockdown measures were stricter show stronger reductions, and, conversely, locations where softer measures were implemented show milder reductions in NO<sub>2</sub> pollution levels. Average reduction estimates based on either satellite observations (−23 %), surface stations (−43 %), or models (−32 %) are presented, showing the importance of vertical sampling but also the horizontal representativeness. Surface station estimates are significantly changed when sampled to the TROPOMI overpasses

(−37%), pointing out the importance of the variability in time of such estimates. Observation-based machine learning estimates show a stronger temporal variability than model-based estimates.

## 1 Introduction

Nitrogen dioxide (NO<sub>2</sub>; together with NO, a constituent of NO<sub>x</sub> = NO + NO<sub>2</sub>) is a very well-established cause of poor air quality in the most urbanized and industrialized areas of the world. NO<sub>2</sub> is harmful for living organisms by long-term atmospheric concentration exposure. It also plays a major role in urban ozone formation and secondary aerosols, which are also harmful for living organisms at high levels in the lower atmosphere (Lelieveld et al., 2015; IPCC, 2014). According to the European Environment Agency (EEA, 2020a) the main European anthropogenic NO<sub>x</sub> sources are road transport (39%), energy production and distribution (16%); commercial, residential and households (14%); energy use in industry (12%); agriculture (8%); non-road transport (8%); and industrial processes and product use (3%). With an atmospheric lifetime typically below 1 d, NO<sub>x</sub> is relatively short-lived and is mainly controlled by photochemical reactions. The majority of NO<sub>x</sub> therefore does not get transported far downwind from its sources (Seinfeld and Pandis, 2006). Thus, near-surface NO<sub>x</sub> concentrations are high over cities and densely populated areas and low otherwise. Besides emissions, the variability in NO<sub>x</sub> is strongly driven by meteorological conditions, especially atmospheric transport, vertical mixing, and solar radiation, affecting the level of accumulation close to the emission sources (Arya, 1999). For example, increased wind speed and a higher planetary boundary layer height will increase the dispersion of NO<sub>x</sub> from the emission sources. It is this short lifetime, which is partly modulated by atmospheric conditions such as temperature and radiation combined with localized emission sources, that makes NO<sub>2</sub> an excellent proxy for detecting emission reductions, from both surface and satellite measurements.

The worldwide outbreak of the coronavirus disease (COVID-19), which arose in late 2019 in China and spread around the world in early 2020, led many countries to take action to slow down the infection growth rate of the virus. The so-called lockdowns severely restricted or banned movements of people, closed most public places, and limited journeys to essential work commutes. Some measures started in China in late 2019, with stricter lockdowns in January 2020. In Europe, lockdown measures were implemented on various dates during February and March 2020. These lockdowns drastically reduced traffic and also activity levels in most industries (Guevara et al., 2021; Le Quééré et al., 2020). These sectors represent a large share of NO<sub>x</sub> emissions (51% according to EEA, 2020a). Studying NO<sub>2</sub> concentration changes during the lockdown is therefore very impor-

tant to assess the impact of such activity-level reductions on a population's exposure to pollution. The COVID-19 lockdown is a unique opportunity to assess the impact of future pollution reduction measures, in particular, the impact of drastic reductions on the road transport sector using combustion energy.

The lockdowns were expected to have large effects on urban NO<sub>2</sub> air pollution levels in conjunction with other modulating factors (i.e. weather conditions). The first quarter of 2020 had specific and highly variable meteorological conditions. Storm Ciara crossed over Europe in the second week of February, followed by Storm Dennis, which crossed Europe a week later. Both extratropical storms generated strong winds over the northern half of Europe (above 45° N) from 9 until 18 February 2020. Strong winds, yet milder than during storms Ciara and Dennis, were also generated by storms Karine and Myriam over the Iberian Peninsula, the southern part of France, and the northern part of Italy in the first week of March. Moreover, February and March 2020 displayed stronger positive temperature anomalies over Europe in comparison with February and March 2019 (<https://surfobs.climate.copernicus.eu/stateoftheclimate>, last access: January 2021). Such weather anomalies, however, did not persist during the second quarter of 2020. Accounting for the effect of such meteorological variations is very important to assess accurately the effect of COVID-19-related mobility restrictions on air pollution. Different approaches can be used to assess the pollution changes based on different types of data, such as satellite observations, surface site observations, and air quality models.

Several studies used the recently launched (October 2017) Tropospheric Monitoring Instrument (TROPOMI; Veeffkind et al., 2012) onboard the Copernicus Sentinel-5 Precursor (S5P) satellite to highlight the NO<sub>2</sub> reductions caused by the COVID-19 lockdowns. The substantial interannual variability in meteorological conditions together with the young age of the instrument prevented the estimation of a representative climatological baseline to which NO<sub>2</sub> levels observed during the lockdown period could be compared. As a result, satellite-based studies using TROPOMI comparing before- and after-lockdown periods (e.g. Wang et al., 2020) or comparing the lockdown period with its 2019 equivalent (e.g. Bauwens et al., 2020; Nakada and Urban, 2020; Zambrano-Monserrate et al., 2020) have given little to no weight to the synoptic meteorological conditions and how they could potentially flaw the emission change estimates.

In contrast, Schiermeier (2020) mentioned the “weather factor” early on in the COVID-19 crisis, which can strongly affect the pollution levels. And studies such as Le et al. (2020) showed 2019 and 2020 TROPOMI NO<sub>2</sub> comparisons but acknowledged the impact of weather anomalies on pollution levels. It is only very recently that a weather-normalization technique has been applied to estimate NO<sub>2</sub> changes due to the COVID-19 restrictions across cities in the US based on TROPOMI (Goldberg et al., 2020). Yet,

such analyses place insufficient importance and provide insufficient clarity about the fact that satellite data used in such analyses are conditioned by the cloud coverage, revisit frequency, and quality flag of the satellite observations. Ignoring or not acknowledging such information can also lead to flawed satellite-based estimates and provide misleading information (<https://atmosphere.copernicus.eu/flawed-estimates-effects-lockdown-measures-air-quality-derived-satellite-observations>, last access: January 2021).

Several studies have investigated lockdown impacts using surface measurement sites. For example, Wang and Su (2020) showed that lower emissions from motor vehicles and secondary industries were most likely responsible for the observed decreases in NO<sub>2</sub> concentrations in China during January–March 2020. Collivignarelli et al. (2020) showed using surface station measurements that major NO<sub>2</sub> reductions occurred in Milan, a city that showed a rapid increase in cases early in the European COVID-19 crisis (February 2020) and was one of the first cities to be put into lockdown in Europe. Past studies such as Carslaw and Taylor (2009) showed the usefulness and the importance of weather-normalization techniques for air pollution applications based on surface observations, such as the local air traffic activity impact on NO<sub>2</sub> predictions. This was followed more recently by Grange et al. (2018) and Grange and Carslaw (2019), who used machine learning techniques to perform weather normalization for analysing trends and detecting the impact of policy measures on air quality. Built on this previous work, several studies made use of machine learning to estimate the impact of the COVID-19-related mobility restrictions on air pollution levels, taking into account the confounding effect of the meteorological variability. Using machine learning (ML) models fed with ERA5 reanalysis meteorological data, Petetin et al. (2020) highlighted a strong reduction in surface NO<sub>2</sub> concentrations across most Spanish urban areas during the first weeks of lockdown. Similarly, Keller et al. (2021) assessed the NO<sub>2</sub> pollution changes using worldwide surface measurements showing country-dependent variations in reductions.

Finally, air quality modelling systems offer a valuable tool for representing the evolution of pollutants in the atmosphere according to changes in emissions, physical processes, and weather variability. The Copernicus Atmosphere Monitoring Service (CAMS) produces daily European air quality forecasts and analyses using an ensemble of 11 models, ensuring unique reliability and quality (Marécal et al., 2015). Using emission scaling factors to account for lockdown measures, such an ensemble of models can be used to estimate lockdown reductions in NO<sub>2</sub> pollution (amongst other pollutants) and account for the weather variability at the same time (Collette et al., 2020; Guevara et al., 2021).

This paper aims to provide a comprehensive and comparative assessment of the impact of the first European COVID-19 lockdown on NO<sub>2</sub> pollution levels over major European urban areas using satellite observations, surface in situ ob-

servations, and air quality models. We firstly illustrate how misleading it can be to ignore the influence of the weather variability when assessing the lockdown-induced changes in NO<sub>2</sub> with TROPOMI. Then, in order to quantify these changes, we use ML-based weather-normalization methods for estimating the “business-as-usual” (BAU) NO<sub>2</sub> pollution levels that would have been observed without any lockdown measures, based on both TROPOMI NO<sub>2</sub> tropospheric columns (Sect. 2) and surface in situ observations (Sect. 3). NO<sub>2</sub> changes are then investigated with the CAMS regional ensemble (Sect. 4). We compare and discuss the three different approaches in Sect. 5 followed by conclusions in Sect. 6.

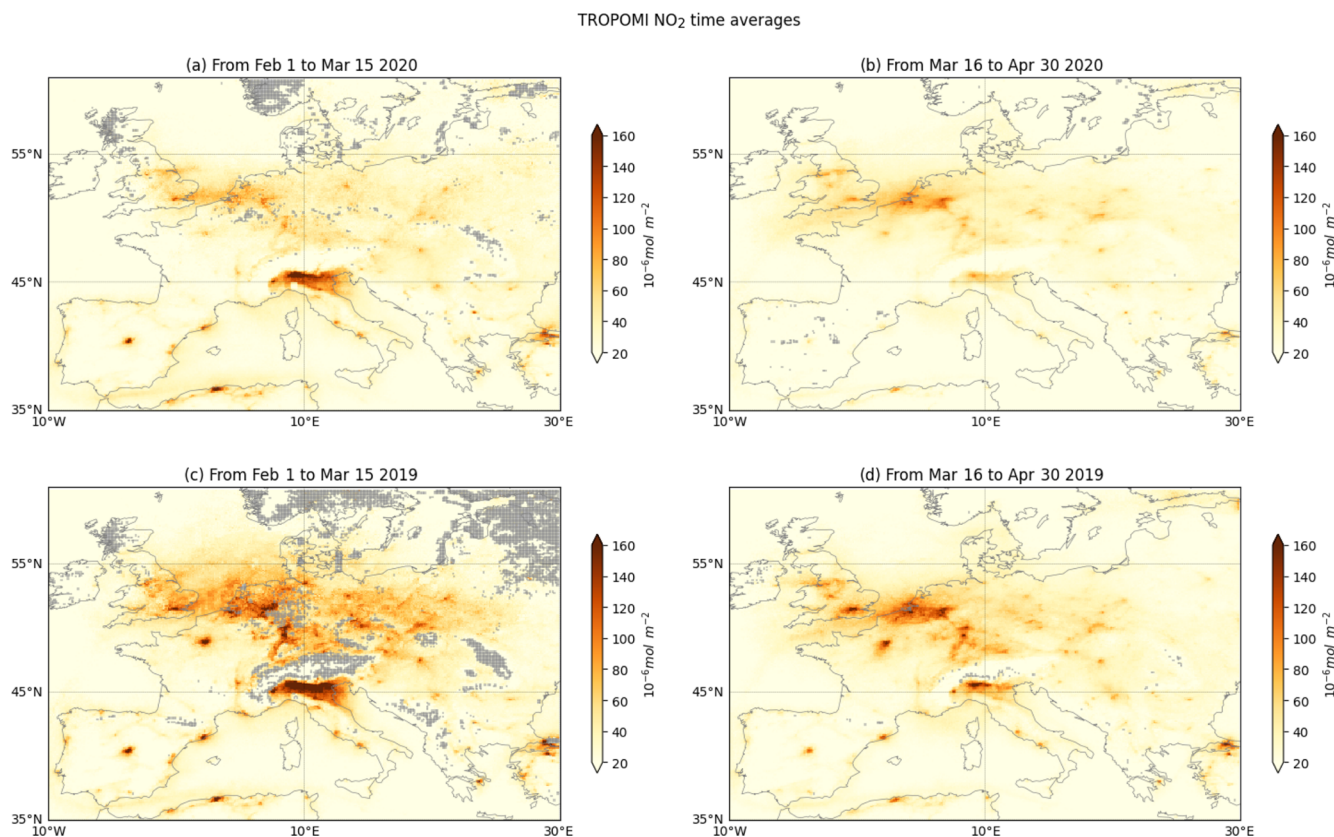
## 2 TROPOMI NO<sub>2</sub> column estimates

### 2.1 Dataset and analysis periods

We use the operational Copernicus S5P TROPOMI NO<sub>2</sub> level 2 product, for which data have been available since 28 June 2018. These observations are tropospheric columns (from the surface to the top of the troposphere) with a pixel resolution of 5.5 km by 3.5 km since 6 August 2019 and 7 km by 3.5 km before. The instrument can have an up-to-daily revisit at 13:30 mean local solar time (LST) assuming clear-sky conditions. The quality flag (qa) provided with the retrieval is used to select only good-quality data (qa > 0.75), which removes cloud-covered scenes, errors, and problematic retrievals (Eskes and Eichmann, 2019). The TROPOMI data are then binned on a regular 0.1° × 0.1° grid to perform statistical analyses and to facilitate the processing of time series for the locations of interest, i.e. large European cities in this study (see Sect. 2.2), as well as the comparison with other datasets such as the 0.1° × 0.1° CAMS regional air quality models (Marécal et al., 2015) and the 9 km resolution weather forecasts from the European Centre for Medium-Range Weather Forecasts (ECMWF).

In this study we consider February, March, and April 2020 and 2019 to assess the changes in NO<sub>2</sub> columns due to COVID-19 restrictions over Europe. Although the lockdown conditions and dates vary between countries, we consider the 15 March 2020 to be a representative starting date for the European-wide lockdown given that most European countries implemented their nation-wide social distancing measures along the 2-week period from 9 March 2020 (Italy) to 23 March 2020 (United Kingdom, UK). Two periods of the year are considered in this study: the pre-lockdown period from 1 February to 15 March and the lockdown period from 16 March to 31 April. This study thus focuses on the most stringent period of the first European lockdown (since many countries then started to ease up their lockdown restrictions from the beginning of May onwards).

In Fig. 1, mean TROPOMI NO<sub>2</sub> tropospheric columns are displayed for the pre-lockdown and lockdown periods in 2020 and their equivalents in 2019. The comparison of



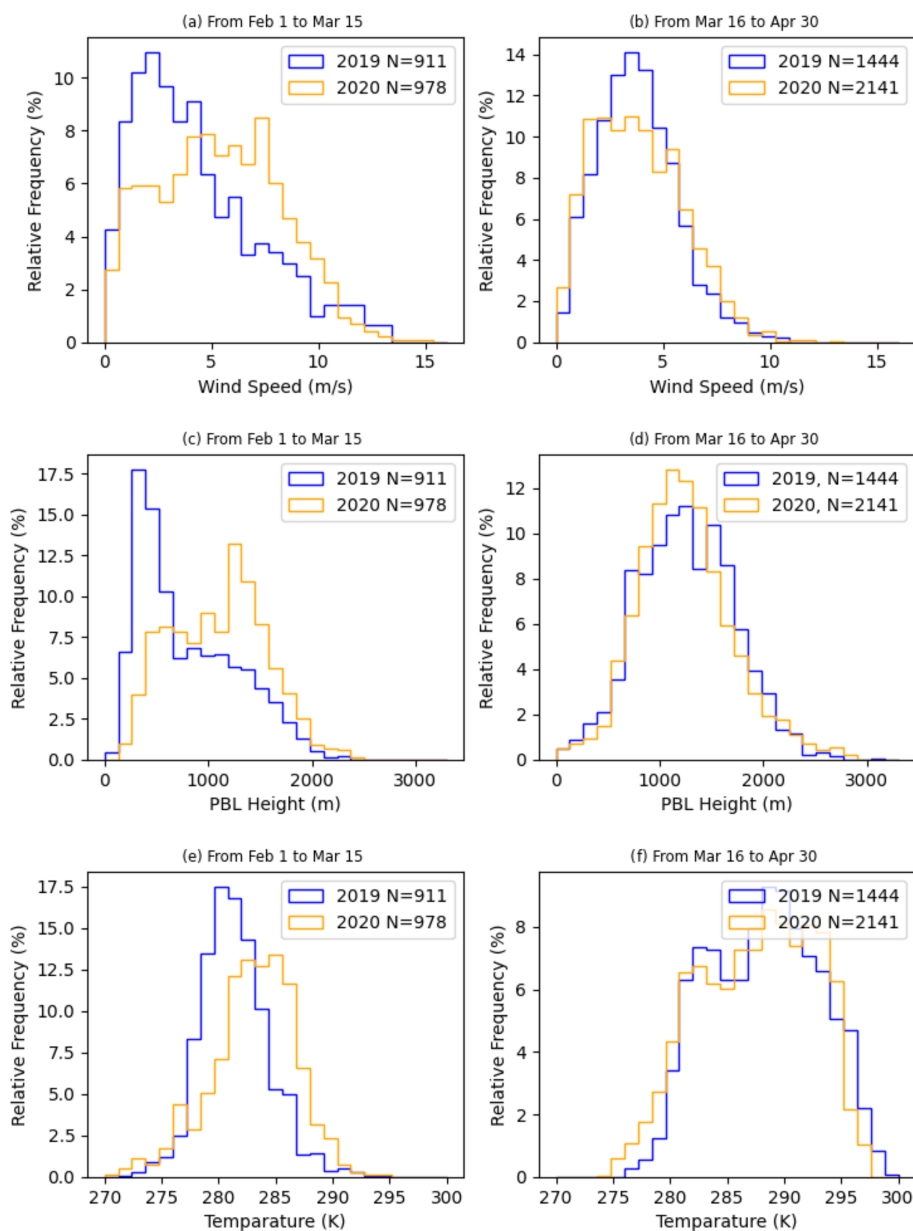
**Figure 1.** Average maps of the TROPOMI NO<sub>2</sub> tropospheric columns (mol m<sup>-2</sup>) for European pre-lockdown and lockdown periods in 2020 (a, b) and corresponding periods in 2019 (c, d). Grey areas indicate where the number of revisits is strictly below five.

pre-lockdown and lockdown averages for 2020 only shows a decrease in southern Europe but no clear reduction at more northern latitudes (i.e. the UK, the Netherlands, and Germany). In the corresponding 2019 pre-lockdown period much larger NO<sub>2</sub> columns are seen than in 2020. During this period of the year, the meteorological conditions over northern Europe were significantly different between 2019 and 2020. A number of named extratropical cyclones (storms Ciara, Denis, Karine, and Myriam), combined with a strong positive anomaly in surface temperature, occurred over Europe during February and early March 2020, especially in western and northern Europe. Such anomalies in wind and temperature were not observed in 2019. Figure 2 shows the distribution of 10 m wind speed, planetary boundary layer (PBL) height, and 2 m temperature from the 9 km operational forecasts from the ECMWF Integrated Forecasting System (IFS) in both 2019 and 2020 for the pre-lockdown and lockdown periods at the S5P overpass times. Details on how the PBL height is calculated can be found in the IFS documentation (part IV, chap. 3 in <https://www.ecmwf.int/en/elibrary/19748-part-iv-physical-processes>, last access: January 2021). Before 15 March, these parameters show very different distributions with much lower values in 2019 than in 2020, i.e. less circulation and less vertical diffusion un-

der colder conditions. These differences in meteorological conditions explain the increase in NO<sub>2</sub> tropospheric columns in 2019 compared to 2020. Conversely, during the post-15 March period, the meteorological distributions are more similar, showing much smaller differences. This illustrates the need for accounting for the meteorological effect when assessing the changes in NO<sub>2</sub> tropospheric columns associated with the lockdown.

## 2.2 Non-weather-normalized changes in TROPOMI NO<sub>2</sub> tropospheric columns

Changes in NO<sub>2</sub> tropospheric columns associated with the lockdown measures can be estimated by comparing NO<sub>2</sub> levels observed during the lockdown period in 2020 with a given baseline. In this section, we compare the results obtained with two different baselines: (1) the NO<sub>2</sub> levels observed during the pre-lockdown period in 2020 (hereafter referred to as the “before–during” approach), (2) the NO<sub>2</sub> levels observed during the same period of the year in 2019 (hereafter referred to as the “year-to-year” approach). We focus our study on the largest European urban areas for which the city population exceeds 0.5 million inhabitants (according to the population database provided by <https://simplemaps.com/data/>



**Figure 2.** Probability density functions of 10 m wind speed ( $\text{m s}^{-1}$ ; **a, b**), planetary boundary layer (PBL) height (m; **c, d**), and 2 m temperature (K; **e, f**) from the ECMWF operational forecasts for European periods before (**a, c, e**) and after 15 March (**b, d, f**), comparing 2020 to 2019. Distribution is computed for urban areas above 0.5 million inhabitants between 45–60° N, 10° W–20° E, at the S5P overpass times.  $N$  is the sample size for each distribution that can be multiplied by the relative frequency (in %) to obtain the absolute frequency.

world-cities, last access: January 2021), resulting in a total of 100 locations. Assessing the changes in NO<sub>2</sub> tropospheric columns from satellite observations is more challenging over rural areas as the NO<sub>2</sub> levels are much lower than over urban areas. Because of the much lower NO<sub>2</sub> tropospheric-column values over rural areas, the relative estimates of pollution reduction are very sensitive to small changes in the tropospheric columns and therefore also to instrument noise. We choose the observations with footprints closest to the European city centres and with more than five data points per

pre-lockdown and lockdown period. If this condition is not met, the location is discarded from the analysis. The before-during estimate corresponds to the difference between the pre-lockdown and the lockdown period median estimates. Figure 3 shows changes calculated for 2020 (Fig. 3b) and the equivalent for 2019 (Fig. 3a) for comparison. This method shows drastic NO<sub>2</sub> reductions by more than 75 % in 2020 for most of the large southern European urban areas. Reductions are, however, not obvious over northern European urban areas and show strong variations from one location to

another. For example, over the UK and Belgium, some urban areas show increases well above 30 %, while other urban areas show reductions even though the same lockdown measures were applied nationwide. Applying the same method over 2019, a similarly strong decrease in NO<sub>2</sub> levels over many major European urban areas is visible. Such reductions in 2019 are not expected in relation to COVID-19 lockdown measures. Therefore, such a before–during type of satellite-based estimates does not provide a robust methodology for assessing the effects of the COVID-19 lockdown on European NO<sub>2</sub> pollution levels.

The year-to-year approach has been more widely used in scientific publications and web news articles and consists of comparing observations from 2020 to observations from 2019 over the period of interest. Figure 4 shows such year-to-year estimates, comparing the median values between 2020 and 2019, for the pre-lockdown (Fig. 4a) and lockdown (Fig. 4b) periods. During the lockdown, an overall reduction is seen all over Europe, with more moderate reductions over southern Europe compared to the before–during estimates (see Fig. 3b). Changes over northern Europe do not show strong variations between the various urban areas, as was visible in the before–during method. An overall decrease is seen over most European locations, with the strongest reductions in European countries (e.g. France, Spain, or Italy), where lockdown measures were more stringent (according to the Oxford Coronavirus Government Response Tracker stringency index; Hale et al., 2021). However, looking at the pre-lockdown estimates, northern Europe also shows drastic negative changes that are larger than during the lockdown period. Such changes in pollution levels across Europe should not be expected if only the impact of emission changes was considered. The year-to-year method thus appears to be strongly dependent on the interannual NO<sub>2</sub> variability, where meteorology plays a crucial role. Although it respects the seasonality of NO<sub>2</sub>, this method could still lead to large errors when assessing differences in NO<sub>2</sub> levels and more generally the pollution level reductions due to the COVID-19 lockdown.

### 2.3 Weather-normalized changes in TROPOMI NO<sub>2</sub> tropospheric columns

#### 2.3.1 Methods

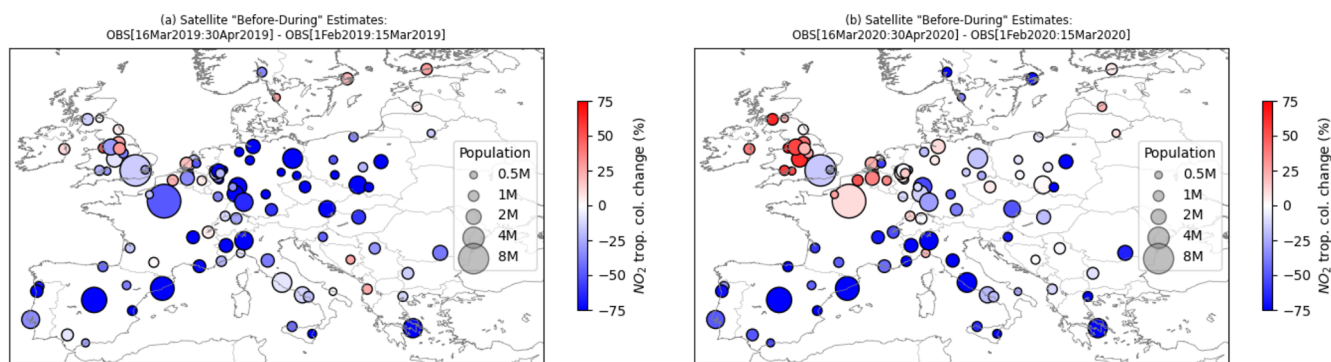
Weather-normalization methods account for weather variability to more accurately estimate the net changes in NO<sub>2</sub> induced by the lockdown in urban areas. Previous studies have used meteorological and air pollution predictors to build simplified models for the simulation of satellite observations or to generate predictions of atmospheric composition (e.g. Worden et al., 2013; Barré et al., 2015). In this study, we use a novel approach for the simulation of TROPOMI satellite observations under BAU conditions, i.e. in the absence of lockdown restrictions, based on the gradient boosting machine (GBM; Friedman, 2001) regressor technique. GBM is

a popular decision-tree-based ensemble method belonging to the boosting family. For the predictors, we use the following weather and air quality variables from the ECMWF and CAMS operational forecasts at 9 km and 0.1° resolutions, respectively: 10 m wind speed and direction, PBL height, 2 m temperature, surface relative humidity, geopotential at 500 hPa, and NO<sub>2</sub> surface concentrations from the CAMS regional ensemble forecasts. The NO<sub>2</sub> surface concentrations used here are obtained from the CAMS operational regional forecasts, which are based on business-as-usual emission information and are therefore different from the simulations presented in Sect. 4. In the CAMS regional forecast product, there is also no assimilation of observations to constrain the forecasts. Therefore, the NO<sub>2</sub> surface concentrations used to train and make model predictions do not include lockdown effects and are independent of the air quality model pollution change estimates provided in Sect. 4. Additionally, the following time and location variables were also included in the set of predictors: latitude, longitude, population, Julian date (number of days since 1 January), and weekday (to reflect expected weekend and weekday effects). Quite similar ML-based approaches have already been successfully applied to in situ surface air quality (AQ) observations (e.g. Grange et al., 2018; Grange and Carslaw, 2019; Petetin et al., 2020). We use data from 1 January to 31 May 2019 as a training set and apply the model to 2020 to generate simulations of BAU NO<sub>2</sub> tropospheric columns. For validation purposes, we have randomly split the input data in a 90 % and 10 % share for training and testing, respectively. Hyperparameter tuning (see Appendix A for details) was performed using a grid search method with fivefold cross-validation and using the ranges indicated by Petetin et al. (2020). In contrast to Petetin et al. (2020), who trained one ML model per surface air quality monitoring station, only one single ML model is trained here for all cities. This choice is motivated by the small size of the available training dataset (about 10 000 data points; see Table 1). After the hyperparameter tuning and evaluation of the model, the BAU observation simulations have been generated using 100 % of the January–May 2019 dataset to use the maximum number of data points possible.

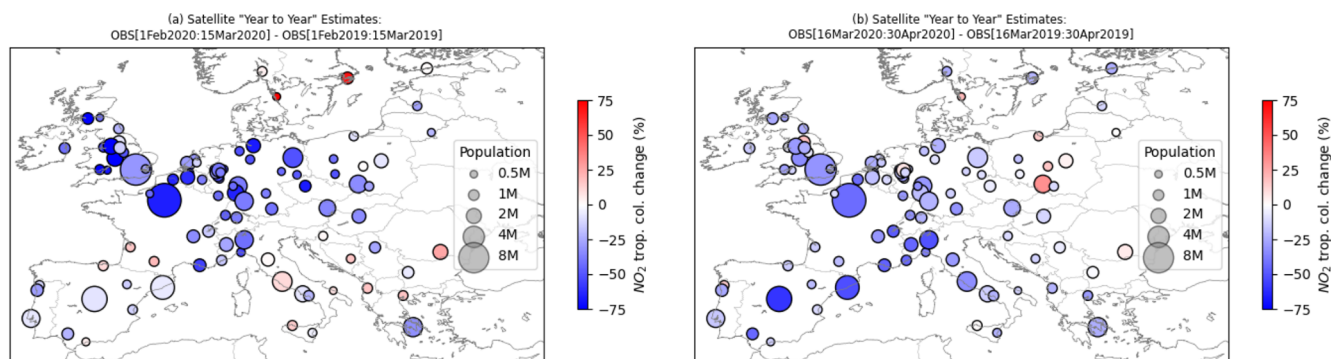
#### 2.3.2 Results

Detailed scores of the performance of the gradient boosting regressor with respect to TROPOMI observations, such as mean bias (MB), normalized mean bias (nMB), root mean square error (RMSE), normalized root mean square error (nRMSE), and the Pearson correlation coefficient (PCC), can be found in Table 1. In order to check for obvious cases of overfitting (i.e. when the GBM model is fitting the data used for training too closely and is thus lacking generalization skills regarding new data), results are shown for both training and testing datasets. The statistics for the training set and the testing set show similar results, such as low bias, good correlation, and significant RMSE values. The statisti-





**Figure 3.** Before–during estimates of TROPOMI tropospheric NO<sub>2</sub> column change (%) for urban areas above 0.5 million inhabitants in 2019 (a) and 2020 (b). The diameter of the circles is proportional to the population count in each urban area.



**Figure 4.** Year-to-year estimates of TROPOMI tropospheric NO<sub>2</sub> column change (%) for urban areas above 0.5 million inhabitants in 2019 (a) and 2020 (b). The diameter of the circles is proportional to the population count in each urban area.

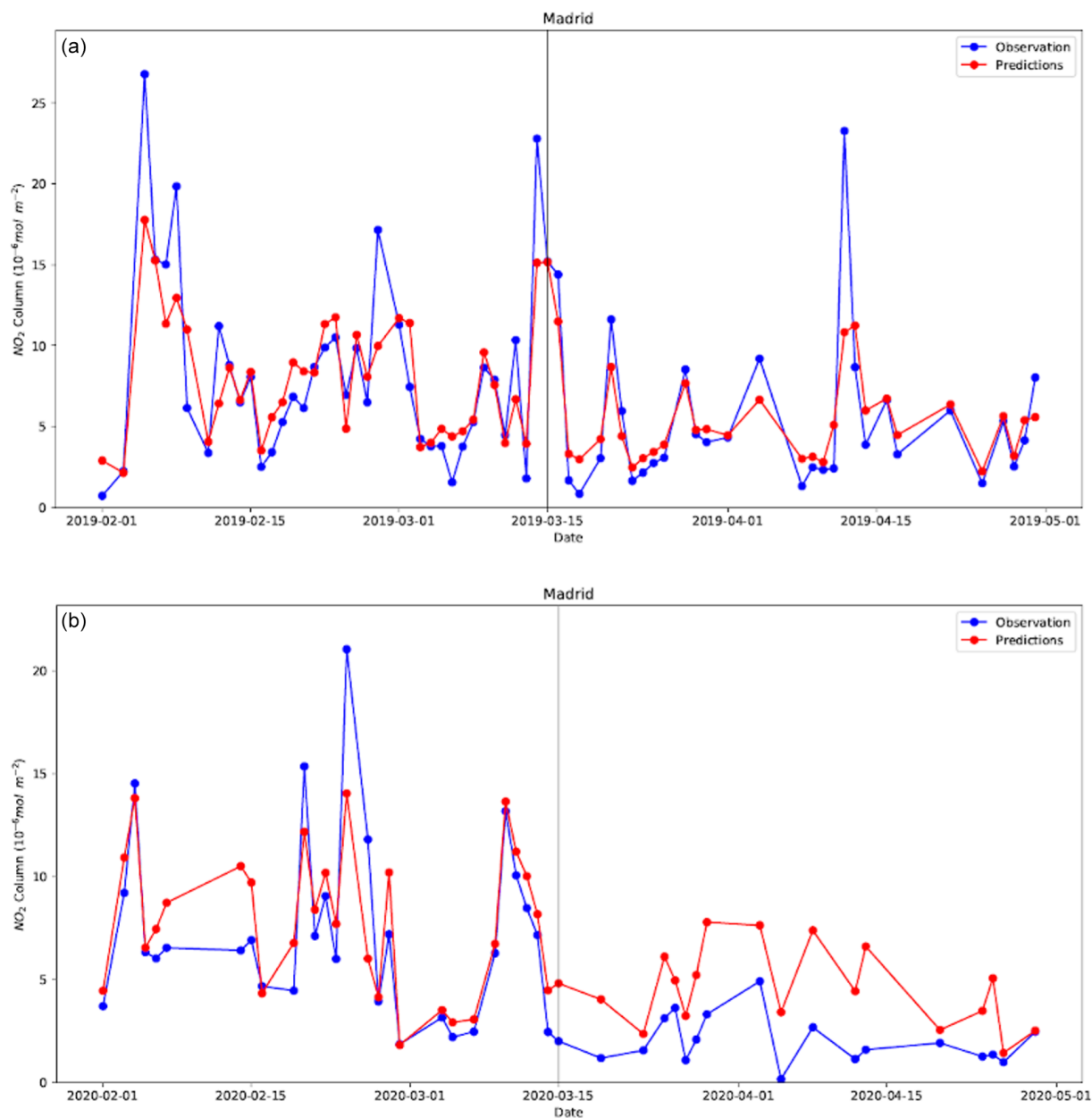
cal performance obtained for the training set indicates that there is no clear sign of overfitting in the predictions. Since TROPOMI data are only available from mid-2018 onwards, the training set is relatively small. For this reason, the predictions are featuring significant RMSE values and will have a large random error. The RMSE values stay, however, within a similar range as for the surface site air quality ML predictions, as shown in Sect. 3 and Table 2. The low mean bias and high correlation values indicate that the main BAU NO<sub>2</sub> tropospheric-column variability is represented without large systematic errors. Subtracting the simulated BAU NO<sub>2</sub> columns from the actual observed NO<sub>2</sub> columns during the lockdown period (from 16 March to 30 April 2020) gives us an estimate of the reductions in the NO<sub>2</sub> background levels over the urban areas considered in this study. Figure 5 provides an example of a time series over Madrid that shows the behaviour of the GBM against the real observations for 2019 (the training period) and 2020 (the actual simulation period). In 2019, the GBM predictions follow the variations seen in the observations but do, however, also show differences, being either above or below the observations. In 2020, similar behaviour is observed until the lockdown date, when the GBM predictions show consistently higher values than the observations but still follow the same variations as the obser-

vations. This shows that the GBM predictions based on BAU predictors perform realistically and account for the variability in the BAU scenario. This therefore provides a method to assess the pollution changes due to lockdown restrictions using satellite data more robustly than the before–during or year-to-year methods.

Figure 6 shows the equivalent estimates as in Figs. 3 and 4 for the pre-lockdown and lockdown periods using the ML-based BAU estimates as the baseline. The estimates of the NO<sub>2</sub> changes are based on the median value of the real observation minus the simulated BAU observation distributions. As shown in Table 1, the GBM performance shows large RMSE values, which can sometimes result in significant outliers due to the small training set used. We choose to display the median to avoid the influence of potential outliers in the estimates as much as possible. The pre-lockdown ML-based estimates do not show as strong of an overall reduction as in the year-to-year (Fig. 4) or before–during (Fig. 3) estimates. A summary of the average and the standard deviation of the set of median estimates across all the considered European urban areas is provided in Table 2 for each of the satellite methods. While both year-to-year and before–during methods showed substantial changes (24 % and 30 %, respectively) in NO<sub>2</sub> during the periods outside lockdown

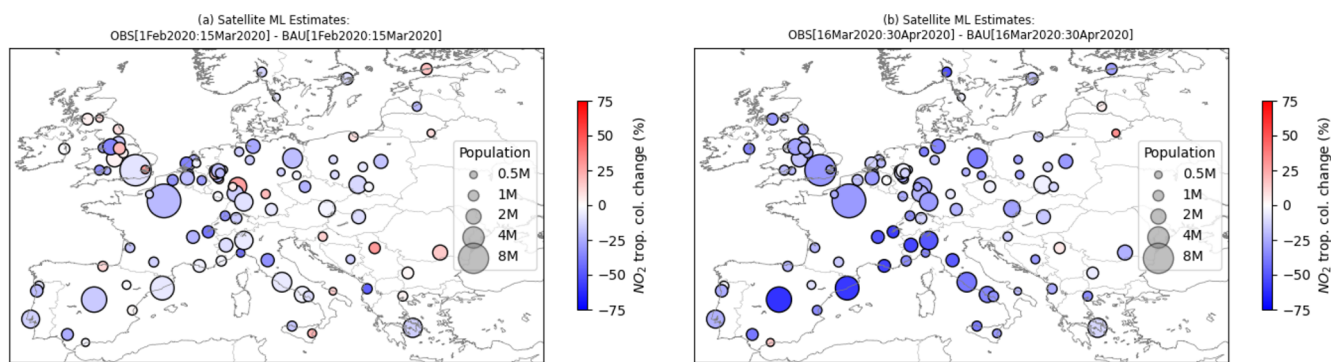
**Table 1.** Performance of the machine learning simulations of NO<sub>2</sub> tropospheric columns over all European urban areas included in the dataset. The training set and testing set cover January–May 2019 and are randomly sampled (90 % and 10 %, respectively) over that period. Shown are the mean bias (MB), normalized mean bias (nMB), root mean square error (RMSE), normalized root mean square error (nRMSE), Pearson correlation coefficient (PCC), and the number of data points (*N*).

	MB (10 <sup>-6</sup> mol m <sup>-2</sup> )	nMB (%)	RMSE (10 <sup>-6</sup> mol m <sup>-2</sup> )	nRMSE (%)	PCC	<i>N</i>
S5P training set	0.00	+0.02	1.4	45.68	0.87	9634
S5P test set	-0.04	-1.30	1.68	56.38	0.79	1071



**Figure 5.** Example of a time series over Madrid illustrating the performance of the machine learning NO<sub>2</sub> column predictions for February–March–April 2019 (a) and the same period in 2020 (b).





**Figure 6.** TROPOMI-based estimation of tropospheric NO<sub>2</sub> column change (%; relative to the BAU predictions) for urban areas with at least 0.5 million inhabitants, computed using the ML-based weather-normalization method for the pre-lockdown and lockdown periods (a and b, respectively). The diameter of the circles is proportional to the population count in each urban area.

**Table 2.** Scores over all European urban areas included in the dataset for the different TROPOMI NO<sub>2</sub> tropospheric-column-change estimates. Mean and standard deviation are calculated for the median estimates of all urban areas considered in the study; i.e. the standard deviation is a metric of the inter-urban-area spread. Dates are in dd/mm.

	Mean (%)	Standard Deviation (%)
Before–during (2019)	−40	47
Before–during (2020)	−25	62
Year-to-year (01/02 to 15/03)	−26	31
Year-to-year (16/03 to 30/04)	−18	16
Machine learning (01/02 to 15/03)	−8	16
Machine learning (16/03 to 30/04)	−23	16

(i.e. in 2019 or before the lockdown in 2020) when low to no reduction should be expected, the ML-based weather-normalization method provides changes closer to 0 %, which are considered to be more realistic.

The weather-normalization method is not devoid of uncertainties and can, in particular, be affected by trends in NO<sub>2</sub> levels. With a known trend seen in European NO<sub>x</sub> emissions of around 2 % yr<sup>−1</sup> to 4 % yr<sup>−1</sup> (EEA, 2020a) and only 1 year to train the data, the ML method potentially provides a stronger-than-expected overall reduction of around 8 %. The before–during and the year-to-year approaches also show stronger reduction estimates on average during 2019 and the pre-lockdown period, respectively. The latter two methods also display a stronger standard deviation across cities than the weather-normalization method, which suggests substantial local biases due to the omission of the meteorological variability.

When we consider the lockdown period, the weather parameter distributions are much more similar between 2019 and 2020 (Fig. 2) than is the case for the pre-lockdown pe-

riod, and on average, across Europe, the year-to-year and weather-normalized estimates show results within the same range in terms of mean (around −20 %) and variability amongst the median estimates obtained for all urban areas (around 16 %). This is, however, not the case for the before–during estimates, which show much stronger variability between European urban areas (62 %). The before–during estimates are therefore not reliable, and the year-to-year method is very dependent on the differences in the meteorological situations between 2019 and 2020. For this reason, the ML estimates are the most reliable and will be used solely for the rest of this study. Details of the ML estimates during the lockdown provided in Fig. 6 are reported in the Table B1 in Appendix B. The NO<sub>2</sub> tropospheric-column-change estimates (median values per urban area) show on average a reduction of 23 %, but urban areas that are known to have the most stringent measures (Hale et al., 2021) show much stronger reductions, e.g. Madrid (60 %), Barcelona (59 %), Turin (54 %), and Milan (49 %). Lighter reductions can be observed in urban areas where less stringent measures were taken, e.g. Stockholm (17 %). To check the robustness of these results, equivalent estimates are provided using surface stations and air quality models in Sects. 3 and 4 and will be compared in Sect. 5.

### 3 Surface station estimates

#### 3.1 Methods

We have estimated the impact of the COVID-19 lockdown on surface NO<sub>2</sub> pollution in European areas using the methodology introduced by Petetin et al. (2020), applied to up-to-date (i.e. partly non-validated real-time) hourly NO<sub>2</sub> data from the EEA AQ e-reporting (<https://www.eea.europa.eu/data-and-maps/data/aqereporting-8>, last access: January 2021). We first selected the urban and suburban background stations located within 0.1° from the city centres and applied the quality assurance and data availability screening

described in Petetin et al. (2020), using the GHOST (Globally Harmonised Observational Surface Treatment) metadata (Bowdalo et al., 2021). A total of 164 stations in 77 urban areas was selected. At each station (independently), we estimated the BAU NO<sub>2</sub> mixing ratios that would have been observed during the lockdown period under an unchanged emission forcing. This was done using GBM models fed with meteorological inputs (2 m temperature, minimum and maximum 2 m temperature, surface wind speed, normalized 10 m zonal and meridian wind speed components, surface pressure, total cloud cover, surface net solar radiation, surface solar radiation downwards, downward UV radiation at the surface, and PBL height) taken from the 31 km horizontal resolution ERA5 reanalysis dataset (Hersbach et al., 2020) in addition to other time features (date index, Julian date, weekday, hour of the day). The ERA5 reanalysis dataset is a consistent model version over time but at coarser resolution in comparison to the ECMWF high-resolution operational forecasts used in the TROPOMI estimates (31 km versus 9 km).

All GBM models were trained and tuned with data for the past 3 years (2017–2019) and tested with data from 2020 before the lockdown. Petetin et al. (2020) showed that such duration for training the GBM models is generally sufficient for capturing the influence of the weather variability on surface NO<sub>2</sub> mixing ratios. As discussed in more detail in Petetin et al. (2020), the date index feature here allows the limitation of the potential issues related to the presence of trends in the NO<sub>2</sub> time series (between a 2 % and 4 % decrease per year; EEA 2020a). If a substantial trend exists, the GBM models will put more importance on this feature, which in practice will force the model to make NO<sub>2</sub> mixing ratio predictions (in 2020) in the range of the values observed during the last part of the training dataset, ignoring the oldest training data. Thus, given the long-term reduction in NO<sub>2</sub> resulting from policy measures across Europe, considering longer training periods is not expected to improve the performance of the GBM models. In contrast to Petetin et al. (2020), who predicted BAU NO<sub>2</sub> at a daily scale, the ML models developed here are predicting NO<sub>2</sub> at an hourly scale (in order to get results collocated in time with TROPOMI overpasses; see also below). We then deduced the weather-normalized NO<sub>2</sub> changes due to the lockdown by comparing observed and ML-based BAU NO<sub>2</sub> mixing ratios.

### 3.2 Results

Table 3 shows the overall performance of the GBM models in the training and test data sets. Statistical results are similar to the TROPOMI NO<sub>2</sub> GBM model. Biases are low, correlation is high, and there is a significant RMSE. As explained in Sect. 2.3.2, statistical scores in the training set and the test set suggest that there is no apparent sign of overfitting in the predictions showing reasonable performance. Note that the RMSE and PCC are deteriorated compared to the statistics obtained over Spain in Petetin et al. (2020), mainly due

to the fact that we are working with hourly estimates here. This is demonstrated by similar results as those of Petetin et al. (2020) that are obtained over this set of European cities when predicting NO<sub>2</sub> at the daily scale (for the test dataset: nRMSE = 28 %, PCC = 0.88,  $N = 11\,082$ ).

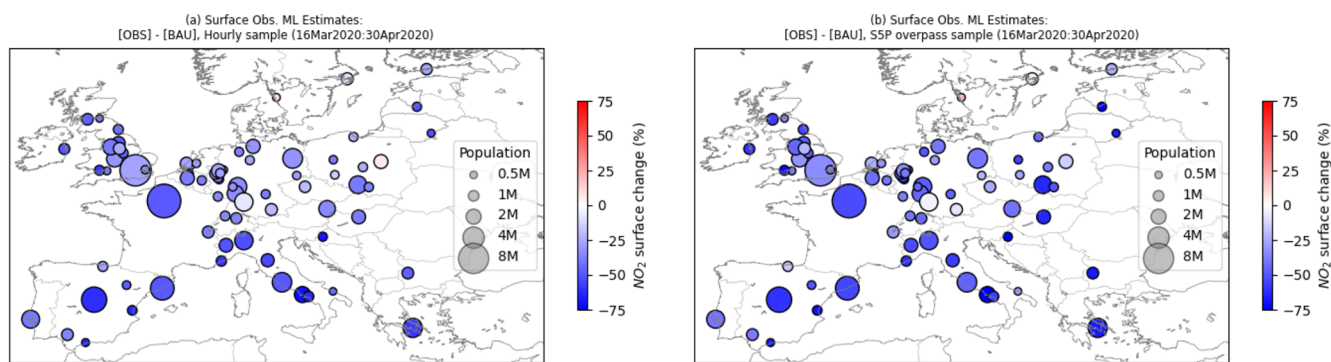
For a stricter comparison with the results discussed in Sect. 2, we provide two different estimates to assess the satellite sampling effect: (i) using all hourly values or (ii) filtered according to the S5P satellite overpass time (13:30 LST) and “qa” filtering (clear sky only). Figure 7 displays relative change estimates, showing the median of the distributions for each European city above 0.5 million inhabitants. Overall, the estimates for both sampling strategies are broadly consistent, with NO<sub>2</sub> reductions of around 37 % and 43 % on average for the hourly sampling and the S5P overpass sampling, respectively (Table 3). The surface station estimates also show geographical variations similar to the satellite estimates, with larger reductions corresponding to locations with more stringent lockdowns (i.e. Spain, Italy, and France) and less stringent lockdowns (i.e. Sweden, Germany). For example, Madrid shows reductions of 61 % and 60 % using the hourly surface stations and the satellite overpass time sampled surface stations, which are very similar to the satellite estimates. In contrast, Stockholm shows very small reductions of 8 % and 3 %, respectively. These latter values are different from the satellite-based estimates (reduction of 17 %) and point out some uncertainty regarding the estimates in this area.

Northern Europe (particularly Germany, Poland, and the UK) displays larger NO<sub>2</sub> reductions with the estimates at satellite overpass time. This points out a possible dependence on the time of the day in the emission and pollution reductions. In general, those relative NO<sub>2</sub> changes based on the surface in situ observations are larger than the ones based on satellite NO<sub>2</sub> tropospheric columns. These two points are further discussed in Sect. 5.

## 4 CAMS regional ensemble model estimates

### 4.1 Methods

Model estimates have been calculated using the CAMS European regional air quality forecasting framework, which is an ensemble of 11 models (Marécal et al., 2015). These models are used to calculate multi-model median values, which are the best-performing quantity on average compared to individual models. Using such a multi-model approach is useful to minimize the imperfections in each model formulation. Operational evaluation and validation of the CAMS European ensemble against independent observations are performed and delivered routinely and can be accessed at <https://atmosphere.copernicus.eu/index.php/regional-services> (last access: January 2021).



**Figure 7.** Weather-normalized estimation of NO<sub>2</sub> changes (%; relative to the BAU predictions) using surface observations during the lockdown period using business-as-usual (BAU) simulated observations as the baseline for urban areas with at least 0.5 million inhabitants. Panel (a) shows the estimates using full hourly datasets, and panel (b) shows the estimates using the S5P-sampled overpass time dataset. The diameter of the circles is proportional to the population in each urban area.

**Table 3.** Performance of the ML predictions of hourly NO<sub>2</sub> surface mixing ratios over all European urban areas included in the dataset.

	MB (ppbv)	nMB (%)	RMSE (ppbv)	nRMSE (%)	PCC	<i>N</i>
Surface station training set (2017–2019)	0.0	0.0	5.53	40.88	0.84	4 048 696
Surface station test set (1 Jan–15 Mar 2020)	+0.95	+7.02	6.24	45.87	0.80	268 960

Two sets of model hindcasts have been conducted using two different emission scenarios: BAU emissions and reduced COVID-19 lockdown emissions. The emission inventory used for the BAU reference simulation is the same that is used in the daily regional air quality forecasts of CAMS for Europe, i.e. the CAMS-REG-AP dataset (v3.1 for the reference year 2016; Granier et al., 2019). It is compiled by TNO (Netherlands Organisation for Applied Scientific Research) under the CAMS emission service, based on official emissions reported by the countries to the EU (National Emissions reduction Commitments (NEC) Directive) and United Nations Economic Commission for Europe (UNECE; Long-range Transboundary Air Pollution (LRTAP) Convention–European Monitoring and Evaluation Programme (EMEP); Kuenen et al., 2014). The spatial resolution of the emissions is  $0.1^\circ \times 0.05^\circ$  but re-gridded to  $0.1^\circ \times 0.1^\circ$  to match the models' grid. The alternative emission scenario, corresponding to the lockdown period, was derived by combining the original CAMS-REG-AP inventory with a set of country- and sector-resolved reduction factors (Guevara et al., 2021). For the present work, time-invariant emission reduction factors were used by country and for three activity sectors: manufacturing industry, road transport, and aviation (landing and take-off cycles), which are reduced on average by 15.5 %, 54 %, and 94 %, respectively. These sectors were considered to be the most affected by changes in activity during lockdown (Le Quéré et al., 2020).

The reduction factors were computed from collections of near-real-time activity data, such as Google Community Mo-

bility Reports (<https://www.google.com/covid19/mobility/>, last access: January 2021) for road transport, airport statistics from Flightradar24 (<https://www.flightradar24.com/data/airports>, last access: January 2021) for aviation, and electricity load information from the European Network of Transmission System Operators for Electricity (ENTSO-E; <https://transparency.entsoe.eu/>, last access: January 2021) for the industry sector. Results from Guevara et al. (2021) showed that during the most severe lockdown period (23 March to 26 April), estimated surface emission reductions at the European level were most important for NO<sub>x</sub> (33 %), with road transport being the main contributor to total reductions in all cases (85 % or more). Italy, France, and Spain were the countries that experienced major NO<sub>x</sub> emission reductions (down to 50 %), a result that is in line with the strong lockdown restrictions implemented by their respective governments. In contrast, Sweden, for example, showed reductions of only 15 % (on NO<sub>x</sub>) due to the implementation of national recommendations instead of a state-enforced lockdown. More details about the emission scaling procedure using the data and methodology from Guevara et al. (2021) can be found in Colette et al. (2020), where the resulting country and activity sector-dependent reduction factors are provided for the EU28 countries plus Norway and Switzerland. Values of the emission reduction factors per country within the European regional modelling domain and per activity sector are provided in Appendix C. For the main contributing sector, road transport, the largest reductions in emissions are observed in countries where lockdown restrictions were more stringent

(according to the Oxford Coronavirus Government Response Tracker stringency index; Hale et al., 2021), such as Italy (75 %), Spain (80 %), and France (76 %).

All the models operated with the same set-up as the CAMS regional operational production. The modelling domain covers Europe at  $0.1^\circ \times 0.1^\circ$  resolution. The meteorological and chemical boundary conditions are obtained from the Integrated Forecasting System (IFS) of the ECMWF, which is the same system that provides part of the dataset for the ML-based estimations (see Sects. 2 and 3). The baseline simulation was using the BAU anthropogenic emissions as described above, and the lockdown scenario was using the lockdown-adjusted inventory, modulated by country and activity sectors. From the two sets of 11 model simulations, the median at each grid point is calculated from an ensemble simulation (as is routinely done for the operational CAMS predictions; Marécal et al., 2015). Differences between the BAU ensemble and the lockdown scenario ensemble are then used to calculate NO<sub>2</sub> reduction estimates.

## 4.2 Results

Figure 8 displays the relative change estimates for each European urban area defined in Sect. 2.2. The estimates are calculated using the median of the full hourly distribution (Fig. 8a) and of the distribution at qa-filtered S5P overpass times and dates only (Fig. 8b) for each urban area. As expected, urban areas in more stringent lockdown countries (i.e. Spain, Italy, France) show the largest reductions (e.g. down to 60 % in Madrid; see Fig. 9), whereas urban areas with less stringent lockdown measures (i.e. Germany, Poland, Sweden) show smaller reductions (e.g. around 16 % in Stockholm; see Fig. 8). The time sampling difference (hourly versus S5P overpass) does not affect the model estimates much; only differences of a few per cent are seen for most of the European urban areas. On average, over the set of median estimates for each urban area, the difference is small, with 30 % for hourly estimates and 32 % for S5P-sampled estimates. This is expected as the emission reduction estimates used to generate the lockdown scenario ensemble are set constant over time (daily and hourly). This point is further expanded in the next section, where model estimate results are compared to the other types of estimates.

## 5 Comparison of the three different types of estimates

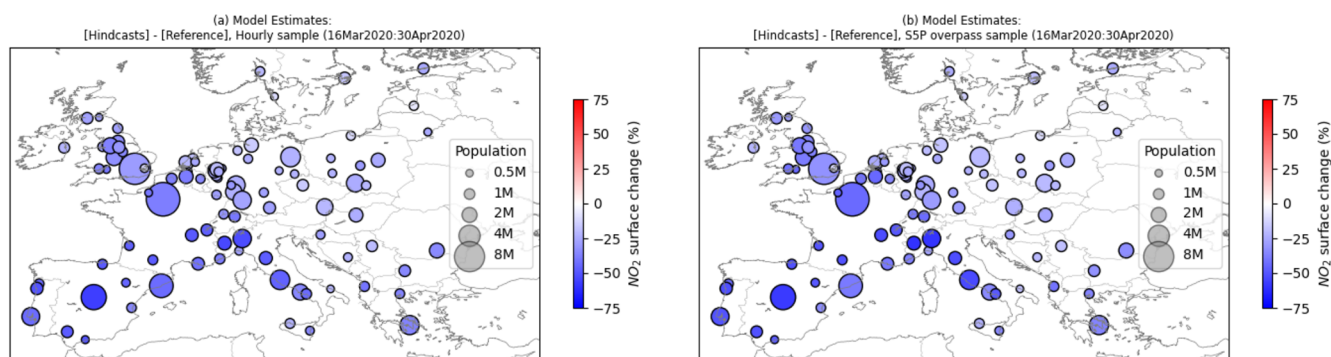
In Table 4 and Fig. 9 we summarize the results of this study. Table 4 shows the average reduction in all the median estimates together with the inter-urban-area variability over Europe. Figure 9 shows the distribution of the NO<sub>2</sub> changes estimated for the lockdown period per urban area. This figure provides estimates equivalent to box plots where the median and the interquartile range are displayed. For clarity, we chose to display only urban areas that have more than 1 mil-

**Table 4.** Scores over all European urban areas included in the study for the different NO<sub>2</sub> change estimates: based on surface observations, model estimates, and TROPOMI observations. Mean and standard deviation are calculated for all resulting urban-area estimates; i.e. the standard deviation is a metric of the inter-urban-area spread.

	Mean (%)	Standard deviation (%)
Surface stations (hourly)	−37	15
Surface stations (S5P sampling)	−43	19
CAMS model ensemble (hourly)	−30	11
CAMS model ensemble (S5P sampling)	−32	12
TROPOMI	−23	16

lion inhabitants. The values of each estimate for all urban areas considered in this study are given in Table B1 in Appendix B.

The three types of weather-normalized estimates agree on identifying stronger reductions where more severe lockdown measures were implemented. As shown in Sect. 2, satellite-based estimates show a relationship between NO<sub>2</sub> tropospheric-column reductions and the extent and generalization of restrictive measures in each country. A similar relationship is observed for surface sites and model estimates (Sects. 3 and 4). The largest NO<sub>2</sub> reduction estimates of around 50 % to 60 % for both surface and tropospheric columns are found in Spanish, Italian, and French urban areas. In countries that implemented softer lockdown measures, urban areas show smaller reductions, e.g. Germany, Netherlands, Poland, and Sweden. Although significant discrepancies exist between the satellite-, surface-, and model-based estimates in urban areas such as Naples (Italy), Sofia (Bulgaria), and Katowice (Poland), the three methods provide an overall consistent picture. It is remarkable to note that this result contributes to establishing the usefulness of satellite-based estimates for urban air quality and not only for atmospheric pollution in general. Having a range of three different types of estimates helps to provide estimates of pollution changes across Europe with a certain level of certainty. When all the estimates agree, it is more likely that the values of reduction due to the lockdown implementations are reliable. Conversely, if the different types of estimates show discrepancies, less confidence should be given to the reduction estimates. In Fig. 8, Madrid, Turin, and Milan, to mention a few urban areas, show consistency between the different types of estimates, expressing more certainty in the results. In other locations such as Sofia, Athens, and Budapest, strong discrepancies indicate that the estimates could be uncertain. Average scores in Table 4 show that surface station observations provide stronger reduction estimates and that satellite-based estimates provide weaker reduction estimates. Model estimates are mostly in between and show much less spread



**Figure 8.** Air quality modelling estimates of surface NO<sub>2</sub> changes (%; relative to the BAU predictions) during the lockdown period in urban areas with at least 0.5 million inhabitants. Panel (a) shows the estimates using full hourly datasets, and panel (b) shows the estimates using the S5P-sampled overpass time dataset. The diameter of the circles is proportional to the population in each urban area.

within a given urban area (bars in Fig. 9) and less variation between urban areas (standard deviation in Table 4). The origin of such differences can vary and is detailed below.

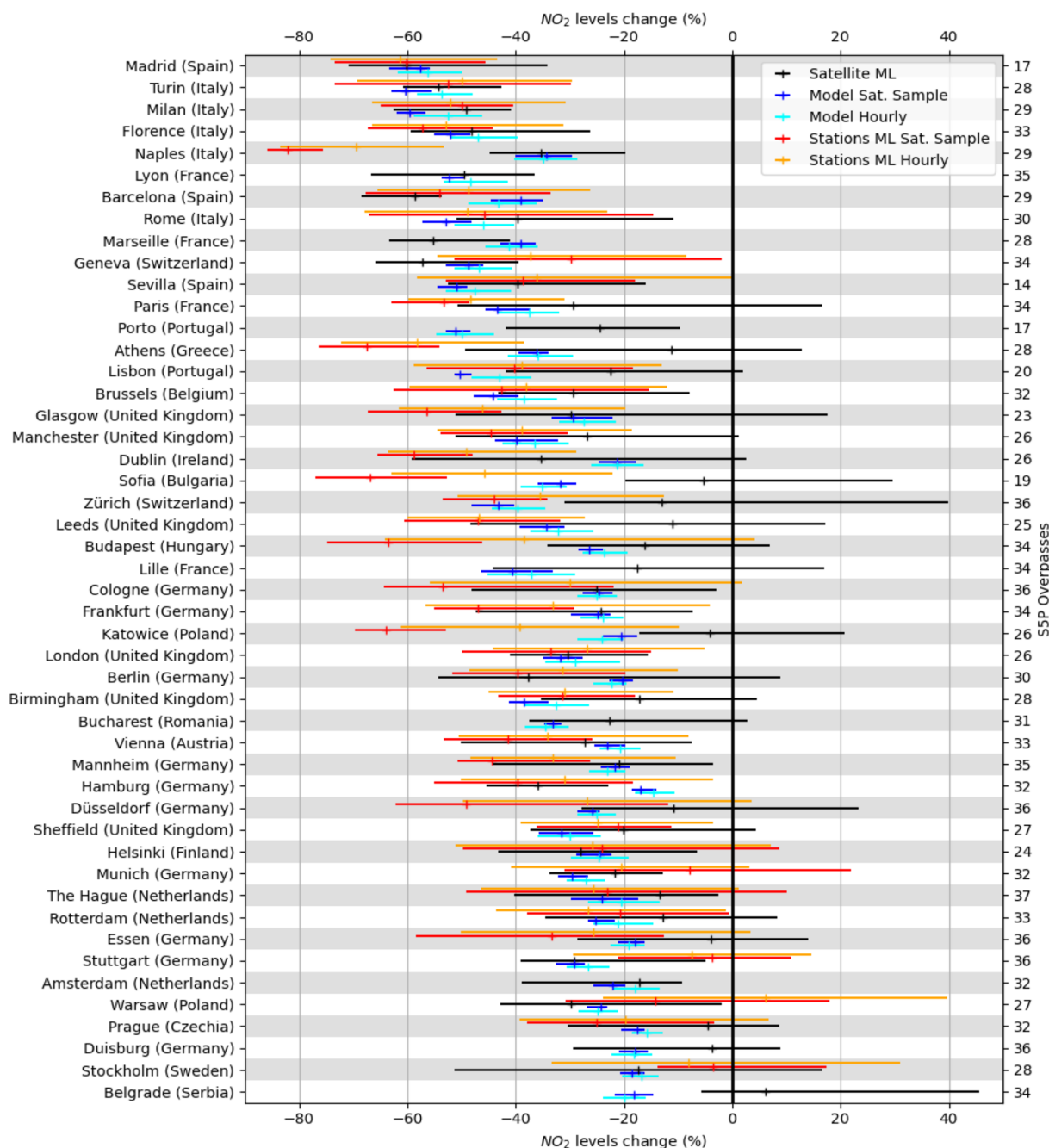
Machine learning estimates that are observation-based (satellite and surface stations) show more spread compared to the model estimates. In Fig. 9 the interquartile ranges for the observation-based ML estimates are much larger than for the model estimates. Such large ranges show that there is a strong spread in the ML-based estimates that is not seen in the model-based estimates. Model estimates are based on country-dependent emission reduction or scaling factors that are constant over time. The variability is induced by the changes in atmospheric conditions but not by changes in the emissions. The estimates from the ML approach can represent the transition into the lockdown where emissions gradually decreased. This contributes to the increased spread seen in the ML estimates. Scores from ML estimates (see Tables 1 and 3) also show significant RMSE that can add noise to the time series and add to the resulting spread of the distributions. A stronger spread in TROPOMI estimates is likely due to the small training set used. Disentangling the noise and the actual variability would need to be done carefully in future work.

All the different estimates presented in this study are consistent in their spatial scale, using  $0.1^\circ \times 0.1^\circ$  TROPOMI-averaged pixels that match the CAMS forecasts and surface stations within a  $0.1^\circ$  range from the city centre. Some of the smaller urban areas considered in this study likely have a footprint that is smaller than  $0.1^\circ$ , meaning that high pollution levels from the urban area are mixed with low pollution background levels. This could cause the pollution changes in the gridded estimates to be weaker than expected in certain urban areas (e.g. Katowice, Budapest, Glasgow, etc.). Also, even if the urban and suburban background stations are selected, the in situ surface observations sample the pollution levels within a  $0.1^\circ \times 0.1^\circ$  pixel given their location. This sampling might not be exactly representative of the average pollution footprint within the same pixel. This aver-

age is the information given by the models or the satellites. These representativeness issues contribute to creating discrepancies between the type of estimates and hence generate uncertainty. The differences seen in Fig. 9 between surface station estimates and gridded estimates (models and satellites) point out such possible representativeness issues. Representativeness is a difficult and important topic and deserves further research as it would require careful examination of the stations' locations in specific urban areas and also using higher-resolution modelling than 10 km.

Satellite overpass times (13:30 LST) and the presence of clouds in the measurement pixel can potentially influence the reduction estimates from the TROPOMI data. We considered 1.5 months to compute the satellite reduction estimates. Overall, the sample size (valid S5P overpasses) in Fig. 9 ranges between 14 (Sevilla) and 37 (The Hague). Also in Fig. 9, surface sites and model estimates are displayed for hourly and S5P-sampled estimates. Smaller or larger samples cannot really explain discrepancies between all the different estimates. Results, however, can be affected when the sample size becomes statistically very small and if shorter time periods (e.g. 1 or 2 weeks) are considered for satellite reduction estimates. Very small samples over the 6-week period were not considered in this study to avoid this effect. The sampling effect also shows greater changes in the surface station estimates than in the model estimates. As mentioned above and seen in Fig. 9, the surface station estimates provide more variability that accounts for hourly variations. The model estimates have fixed emission scaling factors for the entire lockdown period. The surface station estimates show more sensitivity to the time sampling than the model estimates. On average (see Table 4), the S5P overpass sampling changes the estimates by around  $-6\%$  for surface station estimates and only by  $-1.5\%$  for model estimates. This suggests that the lockdown-induced reduction estimates depend upon the time of the day, i.e. those times when the road transport activity peaks.





**Figure 9.** Comparisons of the lockdown-induced NO<sub>2</sub> change estimates (%; relative to the BAU predictions) using different methodologies for European urban areas above 1 million inhabitants. Horizontal lines represent the interquartile ranges (over the temporal variability), and the ticks are the median values using the full distribution per urban area. For readability, urban areas are ranked using the average between all median estimates.

Finally, the reduction estimates for tropospheric NO<sub>2</sub> columns displayed in Fig. 9 are generally not as strong as the NO<sub>2</sub> surface estimates (observations and model). Some exceptions can be seen in certain Spanish (e.g. Barcelona, Madrid) and Italian (e.g. Milan, Turin) urban areas, where column estimates are close to the surface estimates, but overall column reductions are weaker. With all urban areas con-

sidered, the satellite estimates show around 23 % reduction on average, which is 10 % to 20 % less than the model and surface station estimates (see Table 4). This can be expected as NO<sub>2</sub> surface site measurements do not directly translate to the TROPOMI NO<sub>2</sub> tropospheric column, which is the integrated NO<sub>2</sub> content from the surface to about 200 hPa altitude. Due to the short lifetime of NO<sub>2</sub> (around 12 h), only

small lockdown-induced changes to the free-tropospheric NO<sub>2</sub> contents are expected. Changes are mainly expected near the surface and within the PBL. Therefore, the different nature of the vertical sampling is likely to contribute to the differences between the relative reduction estimates from tropospheric columns versus surface concentrations. Further work will be needed to quantitatively link the tropospheric-column and surface-level variations, including sampling the model estimates using an observation operator commonly used in data assimilation and inverse-modelling systems. This important work will be carried out in a further study.

## 6 Conclusions

In this paper, we first show the importance of accounting for weather variability in satellite-based estimates of NO<sub>2</sub> changes due to the COVID-19 lockdown. While focusing on Europe and using the TROPOMI instrument, we show that the satellite estimates based on direct comparisons between different time periods without accounting for weather variability can be flawed and should not be used for this kind of assessment. To account for weather variability in satellite estimates, we use a recently developed methodology based on the gradient boosting machine learning technique. This methodology has proven to be efficient with surface sites to estimate lockdown-induced changes over Spain (Petetin et al., 2020). We extended those surface estimates over Europe to compare with the satellite estimates. Finally, we included estimates of NO<sub>2</sub> changes using the 11-model CAMS regional ensemble, using emission reduction factors representative of the lockdown period. By providing and comparing the three different methodologies, we provided a comprehensive and complementary assessment of NO<sub>2</sub> pollution level changes during the COVID-19 European lockdown. These assessments of pollution changes, when activity levels of key emitting sectors are significantly reduced (i.e. road transport and industry) in lockdown conditions, also provide crucial information to accurately quantify the benefits of the potential implementation of air quality policies for these emission sectors.

Main results show a consistent tendency of stronger reduction in NO<sub>2</sub> where more stringent lockdown measures were implemented. On average, the three types of estimates show a reduction of 23 %, 43 %, and 30 % for satellite, surface stations, and model estimates, respectively. Differences are explained by the different nature of the methods used, i.e. observation-based versus model-based, horizontal and vertical sampling, variability representation and time sampling. By providing an array of different methods, we provide an indication of how reliable the pollution reduction estimates are for the various urban areas considered in this study. Accurately quantifying the pollution changes is also important for the impact of these pollution reductions on the COVID-19 pandemic itself. Several studies have investigated the correlation between the high level of COVID-19 mortality and atmospheric pollution (e.g. Contincini et al., 2020; Ogen, 2020; Achebak et al., 2020). Feedbacks are then to be expected between the effects of short-term air pollution exposure on COVID-19 mortality and lockdown measures. Beyond the quantification of the impact of COVID-19-related restrictions on pollutant concentrations, the observation-based weather-normalization methodology used in this study is of general interest for assessing the impact of any type of emission changes (e.g. regulation and policy) on air quality (Grange et al., 2018; Grange and Carslaw, 2019) in the future.

## Appendix A: Gradient boosting regressor tuning

We have used TROPOMI data from 1 January to 31 May 2019 to train our machine learning simulator. We used the gradient boosting regressor function included in the scikit-learn Python library. For validation purposes, the dataset has been split between a training set (90 % of the total dataset) and a test set (10 % of the total dataset) using the `train_test_split` function. The hyperparameter tuning is then performed using the training set to generate the simulators and the test set to find the best fit. Similarly to Petetin et al. (2020), the learning rate is fixed at 0.05, and the number of features (`max_features`) is set to “sqrt”. In addition, the tuning of the gradient boosting regressor was done for the following hyperparameters using the grid search method: the subsample (`subsample`: from 0.3 to 1.0 by 0.1, with the best value of 0.9), the number of trees (`n_estimators`: from 50 to 1000 by 50, with the best value of 400), and the minimum sample in terminal leaves (`min_samples_leaf`: from 1 to 30, with the best value of 22). We use the default fivefold cross-validation. We then test the final results on the test set in order to ensure there is no overfitting.

Links to the Python libraries and functions:

- Scikit-learn Python  
<https://scikit-learn.org/stable/index.html>  
(last access: January 2021)
- Gradient boosting function  
<https://scikit-learn.org/stable/modules/generated/sklearn.ensemble.GradientBoostingRegressor.html>  
(last access: January 2021)
- Grid search hyperparameter tuning  
[https://scikit-learn.org/stable/modules/generated/sklearn.model\\_selection.GridSearchCV.html](https://scikit-learn.org/stable/modules/generated/sklearn.model_selection.GridSearchCV.html)  
(last access: January 2021)
- Random dataset splitting  
[https://scikit-learn.org/stable/modules/generated/sklearn.model\\_selection.train\\_test\\_split.html](https://scikit-learn.org/stable/modules/generated/sklearn.model_selection.train_test_split.html)  
(last access: January 2021)

## Appendix B

**Table B1.** Lockdown-induced NO<sub>2</sub> change estimates for each European urban area considered in this study.

Urban area	Country	TROPOMI estimates (%)	<i>N</i> revisits	Model estimates (hourly; %)	Model estimates (S5P-sampled; %)	Surface station estimates (hourly; %)	Surface station estimates (S5P-sampled; %)
Amsterdam	Netherlands	−17	32	−18	−22		
Antwerp	Belgium	−23	36	−21	−25	−33	−30
Athens	Greece	−11	28	−36	−36	−58	−67
Barcelona	Spain	−59	29	−43	−39	−49	−54
Bari	Italy	−20	33	−21	−18	−44	−28
Basel	Switzerland	−33	37	−31	−38	−33	−39
Belgrade	Serbia	6	34	−20	−18		
Berlin	Germany	−38	30	−22	−20	−31	−40
Bilbao	Spain	−21	19	−48	−50	−27	−15
Birmingham	UK	−17	28	−33	−38	−31	−31
Bonn	Germany	−5	35	−27	−29	−39	−62
Bordeaux	France	−22	28	−47	−50		
Bradford	UK	−24	26	−31	−34		
Braga	Portugal	−1	16	−43	−43		
Bremen	Germany	−37	34	−18	−20	−37	−49
Brighton	UK	−22	31	−21	−24	−23	−27
Bristol	UK	−19	30	−40	−44	−38	−39
Brussels	Belgium	−29	32	−38	−44	−38	−43
Bucharest	Romania	−23	31	−34	−33		
Budapest	Hungary	−16	34	−24	−26	−38	−64
Bytom	Poland	−12	30	−25	−22		
Caerdydd	UK	−19	31	−36	−42	−58	−73
Catania	Italy	−30	26	−35	−35		
Cologne	Germany	−25	36	−25	−25	−30	−53
Dortmund	Germany	−11	36	−24	−24	−29	−48
Dresden	Germany	−28	32	−22	−20	−29	−21
Dublin	Ireland	−35	26	−21	−21	−49	−59
Duisburg	Germany	−4	36	−18	−18		
Düsseldorf	Germany	−11	36	−25	−26	−27	−49
Edinburgh	UK	−16	23	−28	−28	−39	−34
Essen	Germany	−3	36	−19	−18	−26	−33
Florence	Italy	−48	33	−47	−52	−53	−57
Frankfurt	Germany	−24	34	−24	−25	−33	−47
Gdańsk	Poland	−17	30	−11	−10	−23	−43
Geneva	Switzerland	−57	34	−47	−49	−37	−30
Genoa	Italy	−36	30	−27	−27		
Glasgow	UK	−30	23	−27	−29	−46	−56
Gliwice	Poland	−23	32	−27	−25		
Gothenburg	Sweden	−5	32	−10	−14	8	19
Hamburg	Germany	−36	32	−15	−17	−31	−40
Hanover	Germany	−19	33	−24	−25	−26	−29
Helsinki	Finland	−28	24	−25	−24	−26	−24
Katowice	Poland	−4	26	−24	−20	−39	−64
Kraków	Poland	−12	30	−21	−21	−37	−49
Leeds	UK	−11	25	−32	−34	−47	−47
Leipzig	Germany	−23	36	−22	−23		
Lille	France	−17	34	−37	−41		
Lisbon	Portugal	−22	20	−43	−50	−39	−40
Liverpool	UK	−4	29	−28			
Liège	Belgium	0	34	−34	−35	−37	−40

Table B1. Continued.

Urban area	Country	TROPOMI estimates (%)	<i>N</i> revisits	Model estimates (hourly; %)	Model estimates (S5P-sampled; %)	Surface station estimates (hourly; %)	Surface station estimates (S5P-sampled; %)
Łódź	Poland	−12	30	−29	−29	−24	−38
London	UK	−30	26	−29	−32	−27	−34
Lyon	France	−49	35	−48	−52		
Madrid	Spain	−60	17	−56	−58	−61	−60
Manchester	UK	−27	26	−37	−40	−39	−45
Mannheim	Germany	−21	35	−23	−22	−33	−44
Marseille	France	−55	28	−41	−39		
Milan	Italy	−49	29	−52	−59	−52	−50
Munich	Germany	−22	32	−27	−30	−21	−8
Málaga	Spain	16	6	−50	−48	−63	−66
Naples	Italy	−35	29	−35	−34	−69	−82
Newcastle	UK	−30	22	−27	−30	−42	−54
Nice	France	−34	24	−38	−37	−59	−61
Nottingham	UK	−24	23	−35	−37	−45	−47
Nuremberg	Germany	−7	31	−27	−28	−39	−46
Oslo	Norway	−51	22	−20	−24		
Palermo	Italy	−39	26	−22	−23		
Paris	France	−29	34	−38	−43	−48	−53
Porto	Portugal	−24	17	−50	−51		
Poznań	Poland	−26	31	−22	−22	−38	−56
Prague	Czechia	−4	32	−16	−18	−20	−25
Riga	Latvia	5	30	−7	−7	−50	−84
Rome	Italy	−40	30	−46	−53	−49	−46
Rotterdam	Netherlands	−13	33	−21	−25	−27	−21
Rouen	France	−23	35	−40	−46		
Saarbrücken	Germany	−24	38	−28	−27	−33	−37
Salerno	Italy	−32	26	−43	−48	−62	−57
Sarajevo	Bosnia–Herzegovina	−29	26	−23	−20		
Sevilla	Spain	−40	14	−48	−51	−36	−39
Sheffield	UK	−20	27	−30	−32	−25	−21
Sofia	Bulgaria	−5	19	−35	−32	−46	−67
Southend	UK	−27	29	−11	−11	−30	−37
Stockholm	Sweden	−17	28	−17	−18	−8	−3
Stuttgart	Germany	−29	36	−27	−29	−7	−4
The Hague	Netherlands	−13	37	−21	−24	−26	−23
Thessaloníki	Greece	−32	27	−36	−36		
Tirana	Albania	−24	26	−40	−41		
Toulouse	France	−16	24	−48	−51		
Turin	Italy	−54	28	−54	−60	−50	−52
Utrecht	Netherlands	−20	33	−25	−30	−28	−31
Valencia	Spain	−34	22	−35	−33	−63	−71
Vienna	Austria	−27	33	−21	−23	−34	−41
Vilnius	Lithuania	32	26	−25	−24	−51	−66
Warsaw	Poland	−30	27	−25	−24	6	−14
Wiesbaden	Germany	−26	33	−30	−31	−31	−44
Wrocław	Poland	−28	34	−22	−21	−14	−27
Wuppertal	Germany	−13	36	−25	−25	−27	−39
Zagreb	Croatia	−16	32	−29	−30	−68	−81
Zaragoza	Spain	−8	27	−45	−49	−47	−49
Zürich	Switzerland	−13	36	−40	−43	−35	−44



## Appendix C

**Table C1.** Reduction factors (%) by country and activity sector corresponding to the lockdown period over the modelled European domain.

Country	GNFR_B_Industry	GNFR_F_RoadTransport	GNFR_H_Aviation
Albania	−11.5	−77	
Austria		−54	−96
Belarus		−19	
Belgium	−11.0	−63	−96
Bosnia–Herzegovina		−43	
Bulgaria	−14.0	−48	−96
Croatia	−21.5	−65	−93
Czechia	−14.7	−41	−99
Germany	−11.5	−42	−87
Denmark	−17.3	−40	−97
Estonia	−15.2	−37	−92
Finland	−5.9	−53	−91
France	−29.0	−76	−94
Georgia		−75	
Great Britain	−21.0	−67	−88
Greece	−14.9	−66	−91
Hungary	−12.8	−50	−95
Ireland	−12.6	−64	
Italy	−18.9	−75	−93
Latvia	−12.7	−35	−99
Lithuania	−13.4	−47	−100
Luxembourg	−11.2	−62	−86
Macedonia	−30.5	−49	−100
Malta		−48	
Moldova	−21.5	−57	
Netherlands	−27.1	−56	−91
Norway	−10.9	−38	−83
Poland	−12.3	−53	
Portugal	−14.6	−73	
Romania	−10.2	−62	−100
Russia		−38	
Serbia		−57	
Slovakia	−11.8	−51	−100
Slovenia	−10.7	−50	−91
Spain	−19.3	−80	−97
Sweden	−12.4	−31	−95
Switzerland		−47	−95
Turkey		−87	
Ukraine		−23	
Average (+ other)	−15.5	−54	−94

**Data availability.** The satellite data from the TROPOMI instrument can be accessed at <https://doi.org/10.5270/S5P-s4ljg54> (Copernicus Sentinel-5P, 2018). The surface station air quality measurements can be accessed from the AirBase database at <https://doi.org/10.2800/786656> (EEA, 2020b). The CAMS ensemble of regional air quality forecast models can be accessed through the Copernicus Atmosphere Monitoring Service <https://atmosphere.copernicus.eu/> (last access: January 2021).

**Author contributions.** JB prepared the manuscript with contributions from all the coauthors. VHP, RE, AI, JF, CPGP, and LaR provided guidance on the study. JB performed the study using satellite data. HP performed the study using surface station measurements using DB processing. AC coordinated and provided the dataset from the CAMS regional ensemble of models. MaG provided scaling factors for emission inventories. Single model contributions were provided by FM, CG, JHC, MiG, AB, ST, EF, JS, JWK, JD, RT, LeR, MA, OJ, MJ, and RK.

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