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# Turbulent diffusivities and energy dissipation rates in the stratosphere from GOMOS satellite stellar scintillation measurements

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## Abstract

Parameters of anisotropic and isotropic spectra of refractivity, density and temperature perturbations obtained from GOMOS satellite measurements of stellar scintillations are used to estimate turbulent Thorpe scales,  $L_T$ , diffusivities,  $K$ , and energy dissipation rates,  $\varepsilon$ , in the stratosphere. At low latitudes, average values for altitudes 30–45 km in September–November 2004 are  $L_T \sim 1\text{--}1.1$  m,  $\varepsilon \sim (1.8\text{--}2.4) \times 10^{-5}$  W kg $^{-1}$ , and  $K \sim (1.2\text{--}1.6) \times 10^{-2}$  m $^2$  s $^{-1}$  depending on different assumed values of parameters of anisotropic and isotropic spectra. Respective standard deviations of individual values including all kinds of variability are  $\delta L_T \sim 0.6\text{--}0.7$  m,  $\delta \varepsilon \sim (2.3\text{--}3.5) \times 10^{-5}$  W kg $^{-1}$ , and  $\delta K \sim (1.7\text{--}2.6) \times 10^{-2}$  m $^2$  s $^{-1}$ . These values correspond to high-resolution balloon measurements of turbulent characteristics in the stratosphere, and to previous satellite stellar scintillation measurements. Distributions of turbulent characteristics at altitudes 30–45 km in low latitudes have maxima at longitudes 30–100° W, 0–60° E and 90–180° E, which correspond to continent locations. Correlations between parameters of anisotropic and isotropic spectra are studied.

## 1 Introduction

Internal gravity waves (IGWs) propagating upwards are important for dynamical processes and mixing in the middle atmosphere (Fritts and Alexander, 2003). Breaking IGWs may produce turbulent mixing and kinetic energy dissipation and effectively influence the global circulation and composition in the middle atmosphere.

IGW studies use different in situ, ground-based and satellite measurements (Fritts and Alexander, 2003; Wu et al., 2006). Key advantages of satellite measurements are their global exposure. To examine atmospheric mesoscale variations Fetzer and Gille (1994) used satellite data of LIMS (Limb Infrared Monitor of the Stratosphere). Eckermann and Preusse (1999) presented the results of data processing of satellite CRISTA (Cryogenic Infrared Spectrometer and Telescopes for the atmosphere) experiment. Wu

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and Waters (1996) and McLandress et al. (2000) studied mesoscale temperature perturbations and obtained their global distribution in the stratosphere and mesosphere from the data of MLS (Microwave Limb Sounder) instrument on board the satellite UARS. Extensive information on atmospheric mesoscale fluctuations were given by GPS/Microlab satellite (Tsuda et al., 2000; Alexander et al., 2002; Gavrilov et al., 2004; Gavrilov and Karpova, 2004). Studies of mesoscale variations using GPS radio occultation technique were continued with satellite CHAMP launched in April 2001 and later with COSMIC group of satellites launched in 2006 (Schmidt et al., 2008; Alexander et al., 2008; Wang and Alexander, 2010).

Recent observations of stellar scintillations with satellites (Gurvich and Kan, 2003a,b; Sofieva et al., 2007, 2009, 2010) provided new information about small-scale perturbations in the stratosphere. Intensity of stellar light going through the atmosphere fluctuate (scintillate), when a satellite observes a star. The amplitude of relative intensity fluctuations may reach hundreds percent (see Sofieva et al., 2010). These scintillations may be due to air temperature and density irregularities produced by IGWs, turbulence and different instabilities, which may produce perturbations of atmospheric refractivity. The smallest scales measurable by the scintillation method may be less than a meter at higher sampling rates of registration. Scintillation measurements may provide information about IGW breaking and turbulence in the stratosphere.

First measurements of stellar scintillations with Russian space stations Salute and Mir provided spectral and statistical characteristics of perturbations (Gurvich et al., 2001), also confirmed the theory of scintillations (Gurvich and Brekhovskikh, 2001). These measurements also allowed determinations of IGW and turbulence spectra characteristics (Gurvich and Kan, 2003a,b; Gurvich and Chunchuzov, 2003).

Multiyear measurements were performed with the Global Ozone Monitoring by Occultation of Stars (GOMOS) instruments from the Envisat satellite (Kyrola et al., 2004). GOMOS contains two photometers recording stellar lights at sampling frequency of 1 kHz synchronously in 473–527 nm and 646–698 nm spectral bands during star sets behind the Earth's limb. These measurements were used to estimate parameters of

anisotropic and isotropic spectra of temperature perturbations produced by IGWs and small-scale turbulence in the stratosphere (Gurvich and Kan, 2003a; Sofieva et al., 2007, 2009, 2010).

In this paper we use these spectra parameters for estimations of turbulent kinetic energy dissipation rates and turbulent diffusivities produced by small-scale isotropic turbulence at altitudes 30–45 km in years 2004 and 2005.

## 2 Atmospheric perturbation spectra

Sofieva et al. (2007, 2009) estimated scales of isotropic and anisotropic parts of atmospheric perturbation spectra using observations of stellar scintillations with the GO-MOS instrument at the Envisat satellite. Scintillations produced by density perturbations along the light pass may give information about small-scale atmospheric dynamics (Tatarskii, 1971). Sofieva et al. (2007, 2009) considered structures of relative fluctuations  $\nu = N_r' / \overline{N_r} \approx -T' / \overline{T}$  of refractivity  $N_r$  and temperature  $T$  (overbars and primes denote the statistical means and perturbations, respectively). These structures may be described with three-dimensional spectral density  $\Phi_\nu(\mathbf{k})$ , where  $\mathbf{k}$  is the wave vector with components  $(k_x, k_y, k_z)$  along horizontal axes  $x, y$  and vertical axis  $z$  respectively. Gurvich and Kan (2003a) and Sofieva et al. (2007) approximated  $\Phi_\nu$  with a sum

$$\Phi_\nu = \Phi_W + \Phi_K, \quad (1)$$

where  $\Phi_W$  and,  $\Phi_K$  are statistically independent anisotropic and isotropic components, respectively. The component  $\Phi_W$  may correspond to anisotropic perturbations, produced, for example, by random IGWs (Smith et al., 1987). Gurvich and Kan (2003a) and Sofieva et al. (2007) approximated this three-dimensional spectrum as

$$\Phi_W(k_a) = C_W \eta^2 \left( k_a^2 + k_0^2 \right)^{-5/2} \varphi(k_a / k_W);$$

$$k_a^2 = \eta^2 k_h^2 + k_z^2; \quad k_h^2 = k_x^2 + k_y^2, \quad (2)$$

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where  $C_W$  and  $k_0$  are constant parameters,  $\eta$  is the anisotropy coefficient, and function  $\varphi(k/k_W)$  describes the decay of  $\Phi_W$  at  $k > k_W$ . Integration of Eq. (2) gives one-dimensional vertical spectrum  $V_W(k_z)$  :

$$V_W(k_z) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \Phi_W(k_a) dk_x dk_y \approx \frac{2\pi}{3} C_W (k_z^2 + k_0^2)^{-3/2}. \quad (3)$$

5 This expression does not depend on the anisotropy coefficient  $\eta$ . At  $k_z \gg k_0$  the spectrum Eq. (3) corresponds to  $k_z^{-3}$  slope known for saturated IGWs (Smith et al., 1987). As far as  $V_W(-k_z) = V_W(k_z)$ , one can use symmetric one-dimension spectrum (see Monin and Yaglom, 1975)

$$E_W(|k_z|) = 2V_W(k_z) = 4\pi C_W (k_z^2 + k_0^2)^{-3/2} / 3. \quad (4)$$

10 The second component  $\Phi_K$  in Eq. (1) corresponds to isotropic turbulent irregularities produced by braking IGWs and by other sources. Gurvich and Kan (2003a) and Sofieva et al. (2007) used a theory of locally isotropic turbulence, which gives

$$\Phi_K(k) = 0.033 C_K k^{-11/3} \exp[-(k/k_K)^2]; \quad k^2 = k_h^2 + k_z^2, \quad (5)$$

15 where  $k_K$  is scale of smallest isotropic perturbations,  $C_K$  is the structure characteristic of the random refractivity field (see Monin and Yaglom, 1975). Isotropic one-dimension spectrum  $E_K(|k_z|)$  can be obtained by integration of locally isotropic spectrum  $\Phi_K$  (see Monin and Yaglom, 1975) and at  $|k_z| \gg k_K$  has the following form:

$$E_K(|k_z|) \approx 0.25 C_K |k_z|^{-5/3}, \quad (6)$$

20 which corresponds to the known  $-5/3$  power law for Kolmogorov's turbulence (see Monin and Yaglom, 1975). The structure function  $D_T(r)$  of locally isotropic temperature field at displacements  $r$  (Tatarskii, 1971) have the form

$$D_T(r) = \overline{[T(z+r) - T(z)]^2} = C_T^2 r^{2/3}, \quad (7)$$

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where  $C_T^2$  is the structure characteristic of temperature field. According to Monin and Yaglom (1975, § 13.3) for locally isotropic turbulence

$$D_T(r) = 2 \int_0^{\infty} (1 - \cos k'r) E_K(k') dk' = C_K \bar{T}^2 r^{2/3}. \quad (8)$$

Comparing Eqs. (6) and (7), one can get

$$C_K = C_T^2 / \bar{T}^2. \quad (9)$$

Sofieva et al. (2007, 2009) developed algorithms for estimating the four parameters of anisotropic and isotropic spectra Eqs. (2)–(5): the structure characteristics  $C_K$ , and  $C_W$  and wavenumbers  $k_W$  and  $k_0$ , which may correspond to inner and outer scales of anisotropic spectrum (Eq. 2). These algorithms were used to obtain these four parameters from observations of stellar scintillations with GOMOS device at the Envisat satellite (Sofieva et al., 2007, 2009).

### 3 Estimation of turbulence characteristics

In Sect. 3.1 below, we obtain formulae connecting turbulent energy dissipation rates, diffusivities and other turbulent characteristics with parameters of isotropic parts of atmospheric perturbation spectra (Eqs. 4, 5). We use these formulae for estimations of turbulent characteristics in the stratosphere from GOMOS satellite data in Sect. 3.2. Possible correlations between anisotropic and isotropic spectra parameters are considered in Sect. 3.3.

#### 3.1 Relations between turbulent and spectral characteristics

Some theories of turbulent spectra (for example, Lumley, 1964) involve the wavelength,  $k_t$ , of crossing one-dimension anisotropic (Eq. 4) and isotropic (Eq. 6) spectra,  $E_W(k_t) =$

$E_K(k_t)$ , so that

$$k_t \approx (16.8C_W/C_K)^{3/4}. \quad (10)$$

This parameter could be a useful addition to the discussed above parameters of anisotropic ( $C_W, k_0, k_W$ ) and isotropic ( $C_K$ ) parts of refractivity perturbation spectra (Eqs. 1–6). Figure 1 shows an example of one-dimension anisotropic (Eq. 4) and isotropic (Eq. 6) components of temperature perturbation spectrum (Eq. 1) and their scales. The wavenumber  $k_t$ , as well as  $k_W$ , may correspond to edging scale,  $k_m$ , between anisotropic perturbations and quasi-isotropic turbulence. An important characteristic of turbulence in stably stratified layers is the Thorpe length  $L_T = [\overline{\theta'^2}/(\partial\bar{\theta}/\partial z)^2]^{1/2}$ , where  $\theta$  is potential temperature (see Gavrilov et al., 2005). Contribution to the relative temperature variance produced by turbulent perturbations having  $|k_z| \geq k_m$  one may calculate integrating the isotropic spectrum (Eq. 6) as follows:

$$\frac{\overline{T'^2}}{\bar{T}^2} = \int_{k_m}^{\infty} E_K(k') dk' = 0.37C_K k_m^{-2/3}. \quad (11)$$

Taking account of  $\theta'/\bar{\theta} \approx T'/\bar{T}$  (see Tatarskii, 1971) and using (Eq. 11), one can get the following expression for the Thorpe scale:

$$L_{Tm} \approx \frac{g(0.37C_K k_m^{-2/3})^{1/2}}{N^2}; \quad N^2 = \frac{g}{\bar{\theta}} \frac{\partial \bar{\theta}}{\partial z}. \quad (12)$$

Similar expression was obtained by Gavrilov et al. (2005). To estimate  $L_T$ , one can also use the expression based on the consideration of turbulent energy balance by Ottersten (1969) with hypotheses of incompressibility and isotropic and stationary turbulence (see Gavrilov et al., 2005), which, taking account of (Eqs. 6–8), has the form

$$L_{Te} = (0.88C_K g^2)^{3/4} / N^3. \quad (13)$$

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This formula contains the only one parameter  $C_K$  of isotropic perturbation spectrum (Eq. 5). Gavrilov et al. (2005) obtained reasonable agreement between (Eq. 13) and direct measurements of  $L_T$  from the data of high-resolution MUTSI balloon measurements in the troposphere and stratosphere. For steady-state turbulence, energy dissipation rate,  $\varepsilon$ , and turbulent diffusivity,  $K$ , are related to the Ozmidov scale,  $L_O$ , by

$$\varepsilon \approx L_O^2 N^3; \quad K \approx \beta L_O^2 N, \quad (14)$$

where  $\beta$  is a constant. According to a review by Fukao et al. (1994),  $\beta$  may vary between 0.2 and 1. The frequently used approximation  $\beta = Rf/(1-Rf)$ , where  $Rf$  is the flux Richardson number, often taken as  $Rf \approx 0.25$ , and giving  $\beta \approx 1/3$ . Assuming  $L_O = cL_T$ , Caldwell (1983), and more recently, Galbraith and Kelley (1996), also Fer et al. (2004) proposed the following formulae for estimations of  $\varepsilon$  and  $K$ :

$$\varepsilon \approx (cL_T)^2 N^3; \quad K \approx \beta (cL_T)^2 N. \quad (15)$$

After Gavrilov et al. (2005), considering the result given by Alisse (1999) for stratospheric data, we use  $c = 1.15$  and  $\beta = 1/3$  below. One may use Eqs. (15) and (10), (12) or (13) for estimations of  $L_T$ ,  $\varepsilon$  and  $K$  depending on a set of spectral parameters available experimentally. In the case of GOMOS scintillation observations, we have the entire set of parameters  $C_W$ ,  $k_0$ ,  $k_W$  and  $C_K$ , which is enough for usage of any combinations of Equations (10–15). This allows us to compare the results obtained from Eqs. (15) and (10), (12) or (13) in the next section.

### 3.2 Turbulent diffusivities and energy dissipation rates

The methods of estimating the parameters  $C_W$ ,  $k_0$ ,  $k_W$  of anisotropic (Eq. 2) and  $C_K$  of isotropic (Eq. 5) spectra of atmospheric temperature perturbations from GOMOS satellite observations of star scintillations were described by Sofieva et al. (2007, 2009, 2010). The retrieval uses the standard maximum-likelihood method with a combination



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of nonlinear and linear optimization. The authors made nonlinear fits of two parameters  $k_0$  and  $k_W$  using the Levenberg–Marquardt algorithm (Press et al., 1992). The parameters  $C_K$  and  $C_W$  were calculated with the linear weighted least-squares method. Sofieva et al. (2007, 2009, 2010) presented examples of the experimental scintillation spectrums. Usually, experimental scintillations agree with the proposed modeled scintillation spectra, but sometimes peaks, possibly related to quasi-periodic disturbances in the atmosphere, may occur and special filtering of these peaks were applied by Sofieva et al. (2007, 2009, 2010).

In the present paper, we use sets of four parameters  $C_W$ ,  $k_0$ ,  $C_K$  and  $k_W$  from GOMOS scintillation measurements by Sofieva et al. (2007, 2009, 2010) in September–November 2004 at low latitudes between 20° N and 20° S within 3 km thick layers centered at altitudes 30, 35, 40 and 45 km. Also we analyzed the data sets for January 2005 at altitude 30 km and latitudes 34–36° N. For each set of measured parameters  $C_W$  and  $C_K$ , using Eq. (10), we estimated the wavelength,  $k_t$ , of crossing one-dimension anisotropic (Eq. 4) and isotropic (Eq. 5) spectra. The Thorpe scales  $L_{Tt}$  and  $L_{TW}$  are obtained from Eq. (12) putting  $k_m = k_t$  and  $k_m = k_W$ , respectively, as well as the Thorpe scale  $L_{Te}$  from Eq. (13). Then, Eq. (15) gives values of the turbulent energy dissipation rates  $\varepsilon_t$ ,  $\varepsilon_W$ ,  $\varepsilon_e$  and turbulent diffusivities  $K_t$ ,  $K_W$ ,  $K_e$ , after substitutions of  $L_T = L_{Tt}$ ,  $L_{TW}$ ,  $L_{Te}$ , respectively. Table 1 gives average values and standard deviations of spectral scales and turbulent characteristics for all used sets of experimental data. Standard deviations shown in Table 1 take into account all variability of individual values, including latitude, longitude and time changes. Standard deviations of the mean values can be obtained dividing corresponding values presented in Table 1 by  $\sqrt{n}$ , where  $n$  is the numbers of values in respective data sets. Figure 2 presents histograms of the spectral parameters and turbulent characteristics for year 2004 at combined altitudes 30–45 km.

For year 2005 Table 1 gives estimations of the average Thorpe scales by different methods  $L_T \sim 0.34\text{--}0.44$  m at altitude 30 km at middle latitudes in January. Respective average turbulent energy dissipation rates in Table 1 are  $\varepsilon \sim (1.8 - 2.9) \times 10^{-6}$  W kg<sup>-1</sup>

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and turbulent diffusivities  $K \sim (1.3 - 2.0) \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ . Differences in these estimations are caused by usage of different  $k_m = k_t$  and  $k_m = k_W$  in Eq. (12) for calculation of  $L_{Tt}$  and  $L_{TW}$  and usage of Eq. (13) to calculate  $L_{Te}$ , also by possible uncertainties in semiempirical coefficients in these formulae. Clayson and Kantha (2008) estimated turbulent characteristics in year 2005 at middle latitudes 30–39° N and longitudes 84–104° W from high-resolution radiosonde data. At altitudes about 25 km they found average values of  $L_T < 1 \text{ m}$ ,  $\varepsilon \sim 10^{-6} - 10^{-5} \text{ W kg}^{-1}$  and  $K < 10^{-2} \text{ m}^2 \text{ s}^{-1}$ , which are consistent with average turbulent characteristics for year 2005 presented in Table 1.

Consideration of Table 1 for year 2004 shows monotonic decrease in stability and average  $N^2$  values from altitude 30 km to 45 km at low latitudes. Average characteristic wavelengths  $k_0$  and  $k_W$  of the anisotropic spectrum (Eq. 2) are also decreasing with height in Table 1, while parameters  $C_W$  and  $C_K$  in Eqs. (2) and (5) have maxima at altitude 40 km. Estimated average wavelengths of crossing one-dimensional anisotropic (Eq. 4) and isotropic (Eq. 6) spectra  $k_t$  in Table 1 are generally larger than the wavelength of anisotropic spectra decrease  $k_W$  (see Fig. 1). Estimates of Thorpe scales  $L_{TW}$  and  $L_{Te}$  averaged over altitudes 30–45 km are quite close in Table 1, while average  $L_{Tt}$  is little bit smaller. At particular altitudes in Table 1 differences between  $L_{Tt}$ ,  $L_{TW}$  and  $L_{Te}$  are larger and may be partly caused by uncertainties in semi-empirical constants in Eqs. (12) and (13). Relative differences between maximum and minimum values  $\varepsilon_t$ ,  $\varepsilon_W$ ,  $\varepsilon_e$  do not exceed 30–50 % at different altitudes in Table 1 and respective  $K_t$ ,  $K_W$ ,  $K_e$  do not differ more than 20–40 %.

Figure 3 shows latitude-longitude distributions of different estimates of turbulent energy dissipation rates in September–November 2004 averaged over altitudes 30–45 km. One can see very similar distributions of all estimates  $\varepsilon_t$ ,  $\varepsilon_W$  and  $\varepsilon_e$ . This shows that all expressions (Eq. 12) with  $k_m = k_t$  and  $k_m = k_W$ , and (Eq. 13) may be used for estimations of Thorpe scales and subsequent estimations of turbulent energy dissipation rates and turbulent diffusivities (Eq. 15), depending on spectra parameters available from experiments. Gurvich et al. (2007) presented similar distributions of struc-

ture characteristic of temperature field obtained from GOMOS observations for July–September 2003 at altitude 42 km.

Figure 4 shows latitude-longitude distributions of turbulent diffusivity estimate  $K_W$  in September–November 2004 at different altitudes. One can see substantial variability of these distributions in altitude. Latitude–longitude distributions similar to Fig. 4 were obtained for  $C_K$  and other estimates of turbulent diffusivity and turbulent energy dissipation rate. Although maxima of  $K_W$  are concentrated at the same longitudes as  $C_K$  maxima in Fig. 3, their distributions may vary at different altitudes in Fig. 4.

### 3.3 Correlations between spectra parameters

Parameters  $C_W$ ,  $k_0$ ,  $k_W$  of anisotropic (Eq. 2) and  $C_K$  of isotropic (Eq. 5) spectra may be related to each other. For example, increasing small-scale isotropic turbulence may cause increased dissipation of larger scale anisotropic motions in the atmosphere, also instabilities of anisotropic motions may cause generation of increased isotropic turbulence. At numbers of registered values  $n > 580$  at different altitudes in year 2004 in Table 1, the hypothesis about nonzero linear dependence between two variables has confidence  $> 0.999$ , when the absolute values of cross-correlation coefficients between them are  $|r| > 0.1$  (see Press et al., 1992). Table 2 shows cases, when  $|r|$  were larger 0.1 and  $r$  had the same signs at all altitudes for data sets presented in Table 1. One can see that the largest positive correlations exist between parameters  $C_W$  and  $C_K$ . Smaller positive correlations may exist between  $C_W$  and  $k_0$  and negative correlation between  $C_W$  and  $k_W$  in Table 2.

Figure 5 shows scatter plots for pairs of spectra parameters presented in Table 2 for year 2004 within the entire altitude range 30–45 km. Dependences between these parameters in Fig. 5 can be approximated with power laws  $y = aC_W^Y$ , where  $y$  may represent any of quantities  $C_K$ ,  $k_0$ , or  $k_W$ . Corresponding power law parameters  $a$  and  $y$  for these quantities, obtained with least-square fitting at different altitudes, are given in Table 2.

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Average values of Thorpe scales  $L_{Ti}$  are about, or smaller than 1 m in Table 1 at altitudes 30–35 km. Respective average turbulent energy dissipation rates  $\varepsilon_i \leq 2 \times 10^{-5} \text{ W kg}^{-1}$  and turbulent diffusivities  $K_i \leq 1.3 \times 10^{-2} \text{ m}^2 \text{ s}^{-1}$ . Gavrillov et al. (2005) obtained the same orders of magnitude for these quantities from the analysis of high-resolution temperature profiles obtained during the MUTSI campaign. High-resolution radio sound measurements by Clayson and Kantha (2008) also gave average values of  $L_T$ ,  $\varepsilon$ , and  $K$ , which are consistent with average turbulent characteristics for year 2005 presented in Table 1 (see above).

Gurvich and Chunchuzov (2003b) estimated parameters of anisotropic (Eq. 2) and isotropic (Eq. 5) spectra at altitudes 25–70 km from measurements of stellar scintillations performed on board the space stations Mir and Salyut. They found systematic decrease in average  $k_W$  from 0.5 to  $0.1 \text{ m}^{-1}$  and increase in  $C_K$  from  $10^{-9}$  to  $10^{-8} \text{ m}^{-2/3}$ , when altitude changed from 30 to 50 km. This is generally consistent with behavior of  $k_W$  and  $C_K$  at different altitudes between 30 and 45 km in Table 1 in year 2004. Parameter of anisotropic spectrum  $C_W$  obtained by Gurvich and Chunchuzov (2003) is less variable in height and  $C_W \sim (5 - 7) \times 10^{-11} \text{ m}^{-2}$  with a maximum at altitudes about 40 km, which is similar to  $C_W$  behavior in Table 1. Gurvich and Kan (2003) estimated turbulent kinetic energy dissipation rates using  $k_W$  obtained from the space stations Salyut and Mir. Their average  $\varepsilon$  increased with height from  $10^{-6}$  to  $5 \times 10^{-5} \text{ W kg}^{-1}$  between altitudes 30 and 50 km. Values  $\varepsilon_i$  in Table 1 generally correspond to this behavior. Differences in exact values of mentioned parameters and characteristics in Table 1 and those estimated by Gurvich and Chunchuzov (2003) and Gurvich and Kan (2003b) may be caused by differences in years, seasons and latitudes of observations with GOMOS and the space stations Salyut and Mir.

One of sources of turbulence in the middle atmosphere could be breaking IGWs (Lindzen, 1981; Fritts and Alexander, 2003). This may explain observed in Table 2 and in Fig. 5 positive correlation between parameters  $C_W$  and  $C_K$ . It is usually sup-

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posed that anisotropic spectra (Eqs. 2 and 3) are mainly composed of saturated IGWs (Fritts and Alexander, 2003; Sofieva et al., 2007, 2010). Larger  $C_W$  may cause more intensive breaking of these IGWs and may produce larger amplitudes  $C_K$  of isotropic spectra of small-scale turbulence. Increased turbulence generated by stronger IGWs may produce stronger dissipation of shorter waves and decrease the cutoff wavelength of anisotropic spectrum  $k_W$  (see negative correlation between  $C_W$  and  $k_W$  in Table 2).

In Fig. 3, distributions of  $C_K$  and  $\varepsilon_i$  at altitudes 30–45 km in low latitudes have maxima at longitudes corresponding to locations of America, Africa and South-East Asia (see Sect. 3.2). Maxima of IGW intensity and potential energy at similar longitudes at altitudes 15–25 km in the stratosphere were obtained with low-orbit GPS satellite measurements (Gavrilov, 2007; Alexander et al., 2008; Wang and Alexander, 2010). This may confirm the hypothesis about turbulence generation by breaking IGWs. Variability of latitude-longitude distributions of turbulent characteristics in Fig. 4 may show that interactions between anisotropic IGWs and isotropic turbulent spectra may be more complicated than simple model of saturated monochromatic IGWs (Lindzen, 1981). For example, breaking of long-wave IGW may produce intensive turbulence, which can suppress amplitudes of shorter-wave spectral components. Therefore, above intensive turbulent zones, spectra of upward propagating IGWs may become weaker, then those spectra above laminar layers, which can become unstable and generate turbulence there. Such interactions between IGW and turbulent spectra may produce interspersed locations of intensive IGWs and turbulence at different altitudes.

In this paper, we used three estimates of the Thorpe scales from Eqs. (10), (12) and (13), namely  $L_T = L_{Tt}$ ,  $L_{TW}$ ,  $L_{Te}$ , and respective values of turbulent energy dissipation rates  $\varepsilon_t$ ,  $\varepsilon_W$ ,  $\varepsilon_e$  and turbulent diffusivities  $K_t$ ,  $K_W$ ,  $K_e$ . These estimates utilize different sets of spectra parameters:  $C_W$ ,  $C_K$  for  $L_{Tt}$ ;  $C_K$ ,  $k_W$  for  $L_{TW}$ , and just  $C_K$  for  $L_{Te}$ . Table 1 shows that  $L_{Tt}$ ,  $\varepsilon_t$ ,  $K_t$  are usually smaller than respective  $L_{TW}$ ,  $\varepsilon_W$ ,  $K_W$ . This is the result of generally larger wavenumbers  $k_t$  of crossing anisotropic and isotropic spectra compared to  $k_W$  (see Fig. 1). As was mentioned in Sect. 3.2, differences between considered estimates of turbulent characteristics are usually not large, and one can

use any of these estimates depending on available sets of anisotropic and isotropic spectra scales.

## 5 Conclusions

In this paper, we use parameters of spectra of anisotropic and isotropic refractivity, density and temperature perturbations obtained from GOMOS satellite measurements of stellar scintillations to estimate turbulent Thorpe scales,  $L_T$ , diffusivities,  $K$ , and energy dissipation rates,  $\varepsilon$ , in the stratosphere. At low latitudes, average values for altitudes 30–45 km in September–November 2004 are  $L_T \sim 1\text{--}1.1$  m,  $\varepsilon \sim (1.8\text{--}2.4) \times 10^{-5} \text{ W kg}^{-1}$ , and  $K \sim (1.2\text{--}1.6) \times 10^{-2} \text{ m}^2 \text{ s}^{-1}$  depending on different sets of used parameters. Respective standard deviations due to all kinds of individual values variability are  $\delta L_T \sim 0.6\text{--}0.7$  m,  $\delta \varepsilon \sim (2.3\text{--}3.5) \times 10^{-5} \text{ W kg}^{-1}$ , and  $\delta K \sim (1.7\text{--}2.6) \times 10^{-2} \text{ m}^2 \text{ s}^{-1}$ . These values correspond to high-resolution balloon measurements of turbulent characteristics in the stratosphere, and to previous satellite stellar scintillation measurements. At latitudes  $20^\circ \text{ S}\text{--}20^\circ \text{ N}$ , distributions of turbulent characteristics have maxima at longitudes  $30\text{--}100^\circ \text{ W}$ ,  $0\text{--}60^\circ \text{ E}$  and  $90\text{--}180^\circ \text{ E}$ , which correspond to location of continents. Largest positive correlations exist between parameters  $C_W$  and  $C_K$ . Smaller positive correlations may exist between  $C_W$  and  $k_0$ , also negative correlation between  $C_W$  and  $k_W$ .

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**Table 1.** Averages and standard deviations of the spectra parameters and turbulence characteristics.

Year	2004	2004	2004	2004	2004	2005
Months	9–11	9–11	9–11	9–11	9–11	1
$z$ , km	30	35	40	45	30 ÷ 45	30
Latitudes	–20 ÷ 20	–20 ÷ 20	–20 ÷ 20	–20 ÷ 20	–20 ÷ 20	34 ÷ 36
$n$	594	596	597	587	2374	147
$N^2$ , $10^{-4} \text{ s}^{-1}$	$5.13 \pm 0.29$	$5.11 \pm 0.51$	$5.01 \pm 0.38$	$4.36 \pm 0.37$	$4.80 \pm 0.51$	$4.94 \pm 0.48$
$C_W$ , $10^{-11} \text{ m}^{-2}$	$3.78 \pm 1.08$	$4.26 \pm 1.63$	$5.71 \pm 2.55$	$4.51 \pm 2.42$	$4.57 \pm 2.19$	$4.52 \pm 1.39$
$k_0$ , $10^{-3} \text{ m}^{-1}$	$4.02 \pm 3.31$	$3.43 \pm 3.25$	$2.75 \pm 3.30$	$1.77 \pm 1.99$	$2.99 \pm 3.13$	$5.34 \pm 3.32$
$C_K$ , $10^{-9} \text{ m}^{-2/3}$	$1.36 \pm 0.74$	$3.37 \pm 1.55$	$5.72 \pm 3.68$	$3.02 \pm 2.65$	$3.37 \pm 2.91$	$0.80 \pm 0.32$
$k_W$ , $\text{m}^{-1}$	$0.41 \pm 0.09$	$0.34 \pm 0.10$	$0.22 \pm 0.08$	$0.13 \pm 0.06$	$0.27 \pm 0.14$	$0.47 \pm 0.09$
$k_t$ , $\text{m}^{-1}$	$0.62 \pm 0.19$	$0.34 \pm 0.12$	$0.34 \pm 0.20$	$0.48 \pm 0.28$	$0.44 \pm 0.23$	$1.04 \pm 0.30$
$L_{Tt}$ , m	$0.51 \pm 0.19$	$1.00 \pm 0.35$	$1.37 \pm 0.66$	$1.01 \pm 0.59$	$0.98 \pm 0.58$	$0.34 \pm 0.10$
$L_{TW}$ , m	$0.57 \pm 0.15$	$0.98 \pm 0.26$	$1.47 \pm 0.55$	$1.41 \pm 0.63$	$1.11 \pm 0.59$	$0.44 \pm 0.11$
$L_{Te}$ , m	$0.53 \pm 0.20$	$1.07 \pm 0.42$	$1.60 \pm 0.82$	$1.19 \pm 0.75$	$1.10 \pm 0.72$	$0.38 \pm 0.13$
$\varepsilon_t$ , $10^{-5} \text{ W kg}^{-1}$	$0.46 \pm 0.46$	$1.66 \pm 1.10$	$3.37 \pm 3.11$	$1.64 \pm 2.24$	$1.78 \pm 2.32$	$0.18 \pm 0.10$
$\varepsilon_W$ , $10^{-5} \text{ W kg}^{-1}$	$0.53 \pm 0.30$	$1.52 \pm 0.74$	$3.58 \pm 2.62$	$2.83 \pm 3.72$	$2.11 \pm 2.90$	$0.29 \pm 0.13$
$\varepsilon_e$ , $10^{-5} \text{ W kg}^{-1}$	$0.49 \pm 0.48$	$1.92 \pm 1.46$	$4.66 \pm 4.45$	$2.33 \pm 3.81$	$2.35 \pm 3.54$	$0.23 \pm 0.14$
$K_t$ , $10^{-2} \text{ m}^2 \text{ s}^{-1}$	$0.30 \pm 0.30$	$1.10 \pm 0.78$	$2.28 \pm 2.12$	$1.26 \pm 1.75$	$1.24 \pm 1.65$	$0.13 \pm 0.07$
$K_W$ , $10^{-2} \text{ m}^2 \text{ s}^{-1}$	$0.34 \pm 0.20$	$1.01 \pm 0.52$	$2.42 \pm 1.81$	$2.18 \pm 2.99$	$1.49 \pm 2.24$	$0.20 \pm 0.09$
$K_e$ , $10^{-2} \text{ m}^2 \text{ s}^{-1}$	$0.32 \pm 0.32$	$1.29 \pm 1.08$	$3.16 \pm 3.08$	$1.79 \pm 3.06$	$1.64 \pm 2.62$	$0.16 \pm 0.10$

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**Table 2.** Cross-correlation coefficients  $r$  and parameters of power dependences between parameters of anisotropic and isotropic components of atmospheric perturbation spectra.

Year	2004	2004	2004	2004	2004	2005
Months	9–11	9–11	9–11	9–11	9–11	1
$z$ , km	30	35	40	45	30 ÷ 45	30
Latitudes	-20 ÷ 20	-20 ÷ 20	-20 ÷ 20	-20 ÷ 20	-20 ÷ 20	34 ÷ 36
$n$	594	596	597	587	2374	147
$C_W$ , $10^{-11} \text{ m}^{-2}$	3–8	3–8	2–9	2–9	2–11	2–8
			$C_K \sim a(C_W)^y$			
$r$	0.24	0.37	0.32	0.36	0.44	0.49
$a$	$2.05 \times 10^{-4}$	$5.17 \times 10^{-4}$	48.7	0.068	55.1	0.69
$\gamma$	0.47	0.50	0.97	0.72	1.00	0.87
			$k_0 \sim a(C_W)^y$			
$r$		0.13	0.33	0.38	0.15	
$a$		50.0	$1.10 \times 10^6$	61.6	0.39	
$\gamma$		0.42	0.85	0.45	0.22	
			$k_W \sim a(C_W)^y$			
$r$	-0.36		-0.20	-0.20	-0.25	-0.45
$a$	8.12		$7.48 \times 10^{-3}$	$5.18 \times 10^{-3}$	$1.26 \times 10^{-4}$	$1.74 \times 10^{-3}$
$\gamma$	-0.35		-0.14	-0.13	-0.32	-0.23

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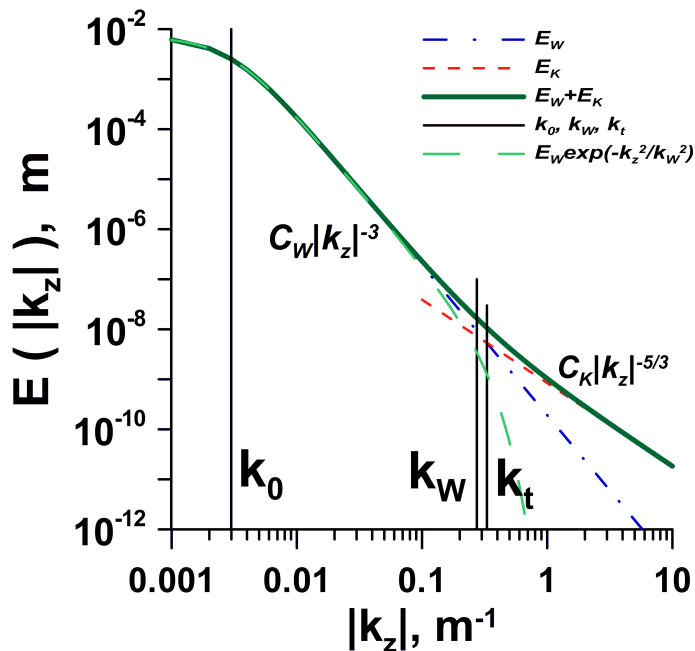
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**Fig. 1.** One-dimension anisotropic (Eq. 4) and isotropic (Eq. 6) components of temperature perturbation spectrum (Eq. 1) for average parameters at altitudes 30–45 km given in Table 1.

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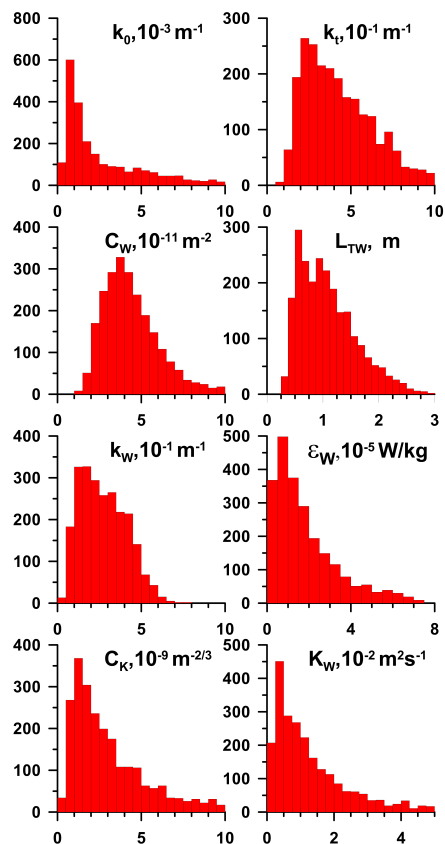
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**Fig. 2.** Histograms of anisotropic and isotropic spectra parameters and turbulent characteristics in year 2004 at altitudes 30–45 km.

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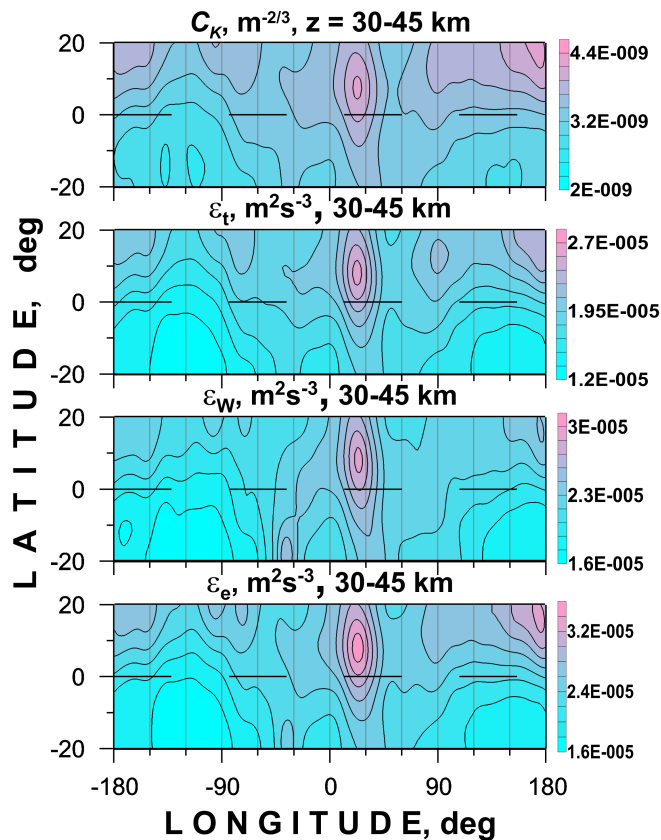
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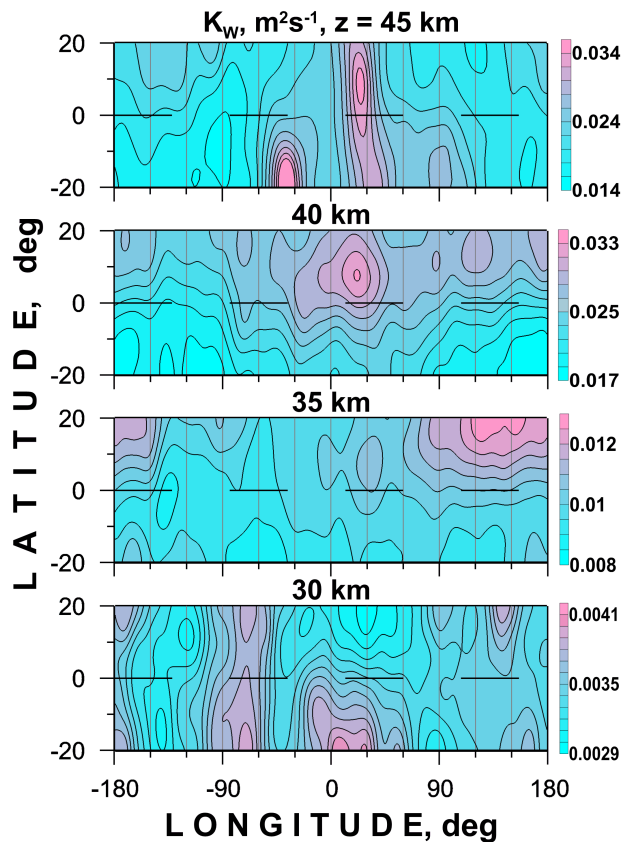


**Fig. 3.** Latitude-longitude distributions of different estimates of turbulent energy dissipation rates in September–November 2004 at altitudes 30–45 km.

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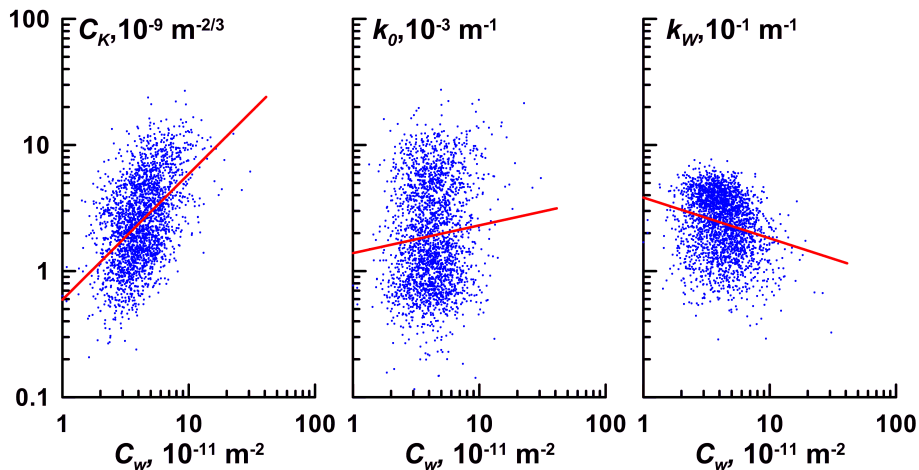
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**Fig. 4.** Latitude-longitude distributions of turbulent diffusivity estimate  $K_w$  in September–November 2004 at different altitudes.

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**Fig. 5.** Scatter plots for the spectra parameter pairs presented in Table 2 for year 2004 at altitudes 30–45 km.

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