1 Satellite-based estimate of the variability of warm cloud

2 properties associated with aerosol and meteorological 3 conditions

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15 Abstract. Aerosol-cloud interaction is examined using four-ten years of data from the MODIS/Terra 16 (morning orbit) and MODIS/Aqua (afternoon orbit) satellites. Aerosol optical depth (AOD) and cloud 17 properties retrieved from both sensors are used to explore in a statistical sense the morning-to-afternoon 18 variation of cloud properties in conditions with low and high AOD, over both land and ocean. The results 19 show that the morning to afternoon variation of cloud properties during the 3 hours between the Terra and 20 Aqua overpasses have similar patterns (increase or decrease) over land under both low and high AOD 21 conditions The results show that the interaction between aerosol particles and clouds is more complex and 22 of greater uncertainty over land than over ocean. The variation in d(Cloud_X), defined as the mean change 23 in cloud property Cloud_X between the morning and afternoon overpasses in high AOD conditions minus 24 that in low AOD conditions, is different over land and ocean. This applies to cloud droplet effective radius 25 (CDR), cloud fraction (CF) and cloud top pressure (CTP), but not to cloud optical thickness (COT) and 26 cloud liquid water path (CWP). Both COT and CWP increase over land and ocean after the timestep, 27 irrespective of the AOD. However, the initial AOD conditions can affect the amplitude of variation of COT and CWP. The effects of initial cloud fraction and meteorological conditions on the change in CF 28 29 under low and high AOD conditions after the 3 hours timestep over land are also explored. There are Ttwo 30 cases are considered: (1) when the cloud cover increases; (2) when the cloud cover decreases. From For both 31 two-cases, we find that almost all the-values of d(CF) are positive, indicating that the variations of CF are 32 larger in high AOD than that in low AOD after the 3 hours timestep. The results also showing that large 33 increase of cloud fraction occurs when scenes experience large AOD and stronger upward motion of air 34 parcels. Furthermore, the increase rate of cloud cover is larger for high AOD with increasing RHseenes 35 with large cloud fraction experience large AOD and larger RH when RH lis larger than 20%. We also find 36 that smaller increase of cloud fraction occurs when scenes experience larger AOD and larger initial cloud 37 cover. upward motion of air parcels can enhance the cloud cover much more when AOD is high than when 38 it is low. In contrast, the increase of cloud cover<u>of</u> with increasing relative humidity is much stronger in a 39 relatively clean atmosphere with low AOD than in a more polluted atmosphere. Meanwhile, stable 40 atmospheric conditions favour the development of a low cloud cover, especially when AOD is high. 41 Overall, the analysis of the diurnal variation of cloud properties provides a better understanding of 42 aerosol-cloud interaction over land and ocean.

43 Key words: MODIS, cloud development, aerosol-cloud interaction, urban clusters, ocean

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1 1 Introduction

2 Clouds and cloud systems are crucial elements in the energy cycle of our planet (Hartmann et al., 1992; 3 Webb et al., 2006). Clouds affect the global energy budget by reflecting incoming solar radiation, and thus 4 cool the Earth surface, and by absorption and re-emitting outgoing terrestrial radiation which contributes to 5 warming of the surface. In addition to the radiative effects, clouds also influence the hydrological cycle of 6 the Earth through precipitation (Stephens et al., 2002). Due to interactions with aerosols, the climatic effects 7 of clouds are further complicated (Rosenfeld, 2000; Twomey, 20071974; Twomey, 1977). Aerosols can 8 serve as cloud condensation nuclei (CCN), depending on their hygroscopic properties, and when activated 9 they can change the cloud microphysical properties. The increase of CCN, while the liquid water path 10 remains constant, usually results in more numerous cloud droplets with smaller cloud droplet radius (CDR) 11 due to the competition for the same amount of water vapour. Thus, cloud albedo increases and the smaller 12 cloud droplet effective radius in most cases results in the suppression of precipitation in most cases, which 13 in turn results in a longer cloud lifetime, and maintaining a larger liquid water path (Albrecht, 1989; 14 Feingold et al., 2001). Therefore, it is important to understand the interaction between aerosols and clouds 15 and the effect of different processes on cloud development. 16 Numerous studies have shown that aerosol particles can affect cloud properties on regional and global scales

17 (Krüger and Graßl, 2002; Menon et al., 2008; Rosenfeld et al., 2014; Sporre et al., 2014; Saponaro et al., 18 2017). Satellite measurements suggest that the cloud droplet effective radius (CDR) decreases with 19 increasing aerosol optical depth (AOD, which is used in this paper as a proxy for aerosol concentration), 20 which is consistent with Twomey's theory (Kaufman et al., 2005; Matheson et al., 2005; Meskhidze and 21 Nenes, 2010). However, other observational and model studies reported that CDR tends to increase with 22 aerosol loading in some study areas, especially over land (Feingold et al., 2001; Yuan et al., 2008; Grandey 23 and Stier, 2010; Liu et al., 2017). A different behaviour of cloud cover as a function of AOD for different 24 aerosol loadings (low or high) has been found by Kaufman and Koren (2006) and Koren et al. (2008). 25 However, the observed correlations between aerosol and cloud cannot be simply attributed to the effects of 26 aerosols on clouds alone since other factors such as variations in meteorological conditions could play a role 27 (Loeb and Schuster, 2008; Reutter et al., 2009; Koren et al., 2010; Su et al., 2010; Stathopoulos et al., 2017). 28 "Snapshot" studies, where the aerosol and cloud properties are retrieved at the same time, have the 29 advantage that they represent the total time-integrated effect of aerosols on cloud properties (Meskhidze et 30 al., 2009; Gryspeerdt et al., 2014). However, the use of "snapshot" correlations is limited to a single 31 overpass time and limits the ability to distinguish aerosol-cloud interactions from meteorological 32 covariation or retrieval errors (Gryspeerdt et al., 2014). Therefore, the history of meteorological forcing is 33 an important determinant of cloud state. Matsui et al. (2006) investigated the properties of low clouds 34 derived from semiglobal observations by the Tropical Rainfall Measurement Mission (TRMM) and 35 explored the correlations of these cloud properties with aerosols (as indicated by the aerosol index or AI) 36 and with lower-tropospheric stability (LTS) on a diurnal scale. They found that aerosols affect the CDR 37 stronger for low LTS than for high LTS. Mauger and Norris (2007) used MODIS/Terra data to examine the 38 evolution of marine boundary layer clouds over several days but they may have missed important effects 39 occurring on a sub-daily timescale. Meskhidze et al. (2009) investigated the evolution of cloud properties 40 between the MODIS/Terra and MODIS/Aqua overpasses as a function of MODIS/Terra AOD and found an 1 apparent increase in the breakup rate of stratocumulus clouds in high AOD environments. However, they

2 did not explain meteorological covariation that may generate spurious correlations.

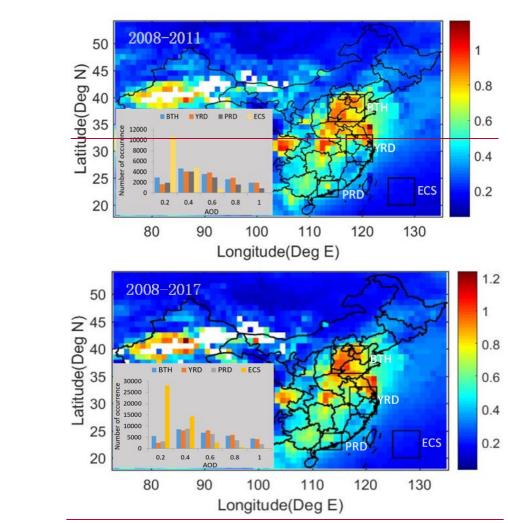
3 Considering the complex aerosol composition and increasing aerosol trend during the last decades over 4 eastern China (Guo et al., 2011), a systematic assessment of the effect of aerosols on the properties of warm 5 clouds is desperately needed, over both land and ocean. In this paper, aerosol-cloud interaction is examined 6 using multi-year statistics of remotely sensed data from the two MODIS sensors aboard NASA's Terra 7 (daytime equator crossing time at 10:30 LT) and Aqua (daytime equator crossing time at 13:30 LT) 8 satellites. The retrieval of the AOD and cloud properties from both sensors allows us to explore the 9 morning-to-afternoon variation of cloud properties in conditions with either low or high AOD, over land and 10 over ocean, and for different climate regimes. This variety of conditions allows us to identify similarities 11 and differences in the effects of aerosols on clouds and thus better understand aerosol-cloud interaction. We 12 also explore the effect of meteorological history on the interaction between aerosols and clouds. In this 13 paper, wWe focus on low-level water clouds. The paper is organized as follows. The data and region of 14 interest are described in Section 2. The main methodology is introduced in section. Section 3. The results and 15 analysis are presented in section Section 4. Overall conclusions and potential future improvements are 16 discussed in section Section 5.

17 2 Approach

18 **2.1 Study area**

19 Aerosol concentrations in Eastern China are very high due to both direct emissions and secondary aerosol 20 formation from precursor gases such as NO₂, SO₂ and VOCs. They are produced by anthropogenic activities 21 such as industry, transportation and heating, black carbon and other carbonaceous aerosols produced by 22 biomass burning, dust aerosols produced from the deserts, etc. Aerosol particles influence the local climate 23 such as monsoon intensity and the distribution of precipitation., conversely, monsoon iIn eastern China, the 24 monsoon in turn-also plays an important role in the wet deposition and transport of aerosol particles (Li et al., 25 2016). The Asian monsoon system plays an important role in the precipitation across the country (Kourtidis 26 et al., 2015). In early April, the pre-monsoonal rain period starts over southern China and the summer 27 monsoon rain belt moves northward to the Yangtze River basin in June. Further, the rain belt arrives in 28 northern China in July and the monsoon rain belt propagates back to southern China in August. The length 29 of the rain season differs between southern and northern China with the migration of the monsoon across 30 China (Song et al., 2011). Based on these characteristics, four regions with different aerosol emission levels 31 and climate characteristics were selected to study the indirect effects of aerosol particles on cloud micro-32 and macro-physical properties. The Beijing-Tianjin-Hebei (BTH), Yangtze River Delta (YRD) and Pearl 33 River Delta (PRD) urban clusters are characterized as a temperate monsoon climate region, a subtropical 34 monsoon climate region, and a tropical monsoon climate region, respectively. The BTH domain 35 (35.5°N-40.5°N, 113.5°E-120.5°E) is an area with high AOD levels due to rapid industrial and economic development (Fig. 1). The YRD domain (28°N-33°N, 117°E-122°E) is a major source region of black 36 37 carbon (Streets et al., 2001; Bond et al., 2004) and sulfate (Lu et al., 2010). The PRD domain 38 (21.5°N-24.5°N, 111.5°E-115.5°E) is an area within the intertropical convergence zone (ITCZ) migration

- 1 belt, with high anthropogenic aerosol emissions (Streets et al., 2003; Streets et al., 2008; Lei et al., 2011). In
- 2 addition, one domain (20°N-25°N and 125°E-130°E), which is located in the Eastern China Sea (ECS for
- 3 short), has been selected as study area for comparison. The ECS domain is relatively clean, but it is often
- 4 impacted by aerosol particles transported from the highly industrialized eastern China (Wang et al., 2014).
- 5 The study period is <u>10</u>4 years, i.e. 2008-<u>20112017</u>.



1 2

4 5 6 7 Figure 1. Map of MODIS/AQUA level 3 AOD over Eastern China averaged over the period from 2008 to 20112017. The location of the four clusters (three urban and one ocean) studied here (Beijing-Tianjin-Hebei: BTH, Yangtze River Delta: YRD, Pearl River Delta: PRD and Eastern China Sea: ECS) are marked with black rectangles. The inset 8 shows a histogram for the occurrence of AOD values in each of the four clusters during the period 2008-2011/2017.

9 2.2 Data used

10 The aerosol and cloud properties used in this study were derived from the MODIS instruments on the 11 Terra and Aqua satellites. Since these instruments are of the same design, errors due to instrument 12 differences are minimal although some differences have been reported due to degradation of 13 MODIS/Terra (Xiong et al., 2008; Levy et al., 2010; Xiong et al., 2008). The MODIS L3 collection 14 5.16.1 data (which was downloaded from https://ladsweb.modaps.eosdis.nasa.gov/) provides daily 15 aerosol and cloud parameters on a 1° by 1° spatial grid. The time difference between the Terra and Aqua 16 overpasses is about three hours, with variations due to swath width. In the following, the time difference 17 between the MODIS/Terra and Aqua observations is referred to as the timestep. The application of daily 18 MODIS satellite data on a 1° by 1° spatial grid in this study on aerosol-cloud interaction (ACI) ensures 19 that the aerosol and cloud retrievals are coincident. The MODIS instruments have 36 spectral bands, the 20 first seven of these (0.47-2.13 µ m) are used for the retrieval of aerosol properties (Remer et al., 2005)

1 while cloud properties are retrieved using additional wavelengths in other parts of the spectrum (Platnick 2 et al., 2003). More detailed information on algorithms for the retrieval of aerosol and cloud properties is 3 provided at http://modis-atmos.gsfc.nasa.gov. In this study on ACI we use the AOD at 550 nm (referred 4 to as AOD throughout this manuscript), CDR, cloud liquid water path (CWP), cloud optical thickness 5 (COT), cloud fraction (CF), cloud top pressure (CTP) and cloud top temperature (CTT) from both 6 instruments. AOD is used as a proxy for the amount of aerosol particles in the atmospheric column to 7 investigate ACI (Andreae, 2009; Kourtidis et al., 2015). To reduce a possible over-estimation of the 8 AOD, cases with AOD greater than 0.8 were excluded from further analysis. The focus of this study is on 9 warm clouds with CTP greater-larger than 700 hPa, CTT greater-larger than 273K and CWP lower than 10 200 g m⁻², as most aerosols exist in the lower troposphere (Michibata et al., 2014). 11 In addition, to explore the effect of meteorological conditions on ACI, we use the daily temperature at the 12 1000 hPa and 700 hPa levels, relative humidity at the 750hPa level and pressure vertical velocity (PVV) 13 at the 750 hPa level. LTS is defined as the difference in potential temperature between the free 14 troposphere (700hpa) and the surface, which can be regarded as a measure of the strength of the inversion 15 that caps the planetary boundary layeris representative of typical thermodynamic conditions (Klein and 16 Hartmann, 1993; Wood and Bretherton, 2006). These meteorological data were obtained from daily 17 ERA Interim Reanalysis data which contains global meteorological conditions on a grid of 1°×1° with 37 18 levels in the vertical (1000-1 hPa) every six hours (00:00, 06:00, 12:00, 18:00 UTC) 19 (http://apps.ecmwf.int/datasets/data/interim-full-daily/). The meteorological properties were resampled 20 to 10:30 (local time) by taking a weighted average of the properties at the two closest times (00:00 UTC 21 and 06:00 UTC) provided by ERA Interim. 22 In this study, high and low AOD are defined as the highest and lowest quartile for each $1^{\circ}\times1^{\circ}$ location to

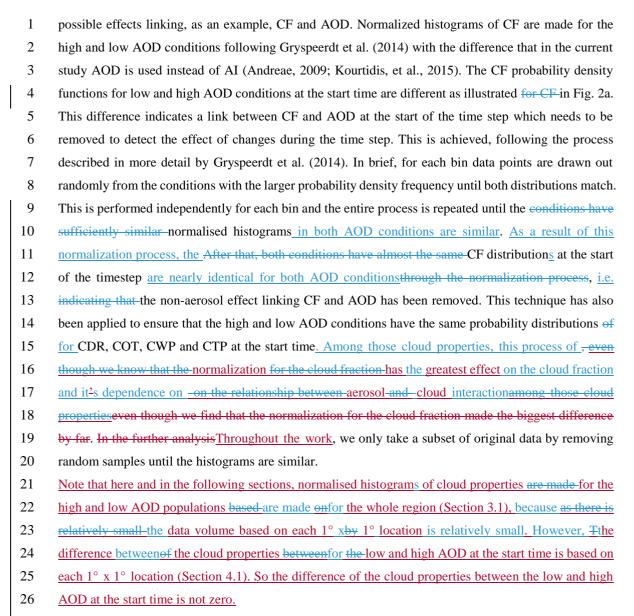
reduce climatological spatial gradients in aerosol and cloud parameters. As a result, the difference between high and low AOD varies by location. So, for each 1° x 1° grid cell, <u>1457-3642</u> data samples are available for the <u>104</u>-year study period.

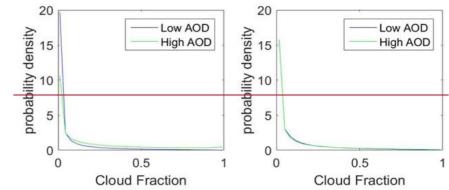
26 3 Method

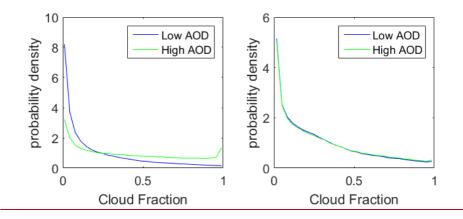
27 **3.1 Normalization for initial background**

28 For the comparison of the difference in cloud properties in high and in low AOD conditions and the 29 change in this difference during the time step, we need to ensure that the initial conditions are similar, i.e. 30 the probability distributions of a cloud parameter Cloud_X at the start of the time step for the low and 31 high AOD cases should be similar. Any change in this distribution at the end of the time step can then be 32 attributed to changes in cloud properties due to aerosol and/or meteorological effects. To reduce the 33 difference between the initial probability distribution of Cloud_X in high and low AOD conditions at the 34 start of the timestep, normalized histograms of cloud properties and meteorological parameters are made 35 for high and low AOD conditions following the method described by Gryspeerdt et al. (2014). 36 It is necessary to reduce possible non aerosol effects linking cloud properties and AOD at the start time

37 to reveal the strong link between cloud properties and AOD. In Fig. 2 we illustrate the process to remove







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Figure 2 <u>An example of the p</u>Probability density distribution of warm cloud fraction (CF) for low and high AOD conditions. (a) there is a strong link between AOD and CF before histogram normalization, (b) the link is reduced after histogram normalization.

5 **3.2** The definition of d(Cloud_X)

6 After removal of the potential relationships between AOD and cloud parameters at the time of the Terra 7 (morning) overpass, as described in Sect. 3.1, effects of aerosol particles on cloud properties are 8 investigated from the recovery change inof the relationship between AOD and cloud parameters over the 9 timestep. For cloud property Cloud_X (where X = CF, COT, CWP, CDR or CTP), the change during the 10 timestep is indicated by Δ Cloud X. The mean Δ Cloud X for high AOD is then indicated by Δ Cloud X[High AOD] Δ Cloud_X[High AOD] and similar for low AOD. The difference between the 11 12 mean change in Cloud_X during the timestep in high and low AOD conditions is then indicated by 13 d(Cloud X):

14

$d(\text{Cloud}_X) = \overline{\Delta \text{Cloud}_X[\text{High AOD}]} - \overline{\Delta \text{Cloud}_X[\text{Low AOD}]}$

For example, d(CWP) would be the difference between the mean change in CWP in high AOD conditions
 minus that in low AOD conditions.

17 The high AOD is representative of polluted atmospheric conditions, and the low AOD is representative of 18 clean atmospheric conditions. The difference (d(Cloud_X)) between the mean values of the cloud 19 property Cloud_X during clean (low AOD) and polluted (high AOD) conditions indicates the effect of 20 these two aerosol cases on the cloud property Cloud_X. For example, d(CWP) would be the difference 21 between the mean change in CWP in high AOD conditions minus that in low AOD conditions. 22 Student's t test is used to determine whether two_data sets of data-are significantly different from each

23 other. The marker ** at the top right corner of symbol "+" (or "-") denotes that the difference between a

- 24 change in cloud property and zero is significant (at 95% confidence level).
- 25

26 4 Results and Discussions

27 4.1 The difference of cloud properties between the low and high AOD at the start time

28 The difference in the mean cloud properties (CDR, CF, COT, CWP and CTP) during high and low AOD

1	conditions	at	the	start	time	for	each	1°×1°	grid	cell,	i.e.,
2	$\left\{ \overline{\text{Cloud}_X[\text{Hi}]} \right\}$	igh AOD	\overline{O} - \overline{Clou}	Id_X[Low	\overline{AOD}	represe	ents the ch	nange in clo	oud prope	rties due	to the
3	higher AOD.										
4	of the differen	ce <u>(right</u>	column	for the fo	our region	s of inter	est (right)	column) . Th	ne selectio	n of sampl	les for
5	each region is	accordin	ig to the	pixels in	the regior	n. There	are large v	variations in	the respo	nse of the	cloud
6	parameters to	the high	er AOI) in the f	our regio	ns. -Figu	es 3(a1-a	2) show that	at over the	e ECS, C	DR is
7	smaller at hig	h AOD t	han at l	ow AOD,	which is	consiste	nt with T	womey's ef	fect. In co	ontrast, ov	er the
8	BTH and the	YRD three	ee urbar	n clusters,	CDR is	larger at	high AO	D. This beł	navior has	been obs	served
9	before for war	rm cloud	s in con	ditions w	ith high A	AOD (Li	u et al., 20)17) and ma	ay result f	rom the ir	ntense
10	competition for	or the ava	ulable <u>w</u>	vater vapo	ur and the	evapora	tion of sm	aller drople	ts as a con	isequence	of the
11	high aerosol a	bundance	e over th	nese regio	ns (<u>Yuan</u>	et al., 20	08; Tang	et al., 2014;	Wang et	al., 2014;	Liu et
12	al., 2017). Ho	wever, ov	ver the I	PRD urbar	ı cluster n	o statisti	cally sign	ificant diffe	rence in C	DR is obs	erved
13	between high	and low .	AOD. F	for COT (figures 3(b1-b2)) t	he values	are <u>signific</u>	<u>antly</u> high	er at high	AOD
14	over the ECS	and the l	BTH, h	owever, C	COT does	not shov	/ a signific	cant differer	nce betwee	en the situ	ations
15	at low and hig	<u>gh AOD o</u>	over the	YRD and	PRD. Th	ese resu	ts indicate	e that there	is no clea	depender	nce of
16	COT on aeros	ol load, a	and also	the aeros	<u>ol type m</u>	ay influe	ence the ac	erosol effect	t on COT.	_ and the	PRD,
17	in contrast to I	the small	er value	observed	over the	BTH an	l the YRE) urban clus	ters. Like	l y, this is (due to
18	the radiative e	effect and	l possibl	e retrieva	l-artefacts	as expla	ined in Li	u et al. (201	7). They	inferred th	nat the
19	evaporation of	f cloud d	roplets (caused by	locally at	osorbing	aerosol m	akes clouds	; thinner a	nd the pre	sence
20	of absorbing a	erosol m	ay redu	ee the sate	llite-retric	eved CO	F. Figures	3(c1-c2) sh	ow s that (CWP is lo	wer at
21	high AOD ov	er the E	CS, whi	ch is <u>in c</u>	clear cont	rast with	n the so-c	alled "lifet	ime effec	<u>t" propos</u>	ed by
22	Albrecht in 1	<u>.989</u> not i	n agree	ment with	COT va	riation.	n contrast	t, over the	<u>BTH</u> PRD	, CWP be	haves
23	similar to CO	T and is l	higher a	t high AO	D. Furthe	ermore, C	CWP is al	so higher at	t high AO	D. Ackerr	nan et
24	<u>al. (2004) rep</u>						-	-	-	-	
25	conditions. Th						-				
26	competitive fa				_				_		
27	dry overlaying									-	
28	difference bet				_				-		
29	high AOD is s						-		-	-	
30	AOD over th			-							
31	changes in AC								-		
32	the PRD and		-					-			
33	cover is found					_				-	
34	conditions. M								-	•	
35	suggested by										•
36	studies have a	_		-						-	
37	increasesd exc	-	-								
38	<u>2010). This m</u>	-									
39 40	and thus also	-					-	•			
40	CF is higher a	uiu CTP	is lower	at mgn /	хор. UV(aun, it k	JOKS HKC (ne aerosol-	eioua inte	ractions b	enave

- 1 quite similar, over the BTH and YRD urban clusters, both of which have high pollution levels, while over
- 2 the PRD they show the opposite behavior. The difference in the response of the cloud parameters to low
- 3 and high aerosol conditions over the four regions may be caused by the difference in pollution levels or
- 4 pollution types including black carbon, sulphate, sea spray, etc.

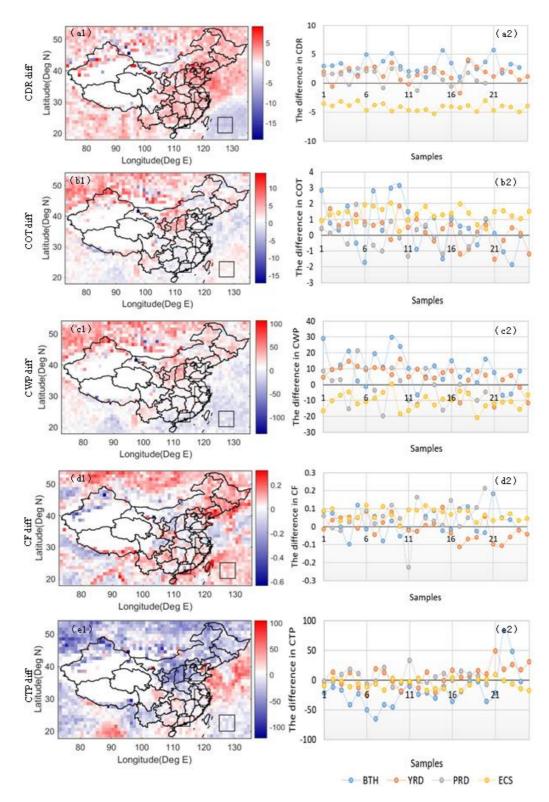


Figure 3 Spatial distribution of the differences in cloud properties (top to bottom: CDR, COT, CWP, CF and CTP) between the highest and the lowest MODIS AOD quartiles (highest - lowest) at the start time of the timestep (MODIS/Terra) (left, a1-e1) and sample series of the differences in cloud properties (CDR, COT, CWP, CF and CTP) between the highest and the lowest MODIS AOD quartiles (highest - lowest) at the start time of the timestep (MODIS/Terra) (right, a2-e2) over Eastern China for the time period 2008-<u>20112017</u>. See legend at the bottom for the meaning of the colours identifying the different regions.

8 To better characterize the variation in cloud properties between high and low AOD, Table 1 summarizes the

9 difference of cloud properties between high and low AOD at start time the responses of cloud properties to

10 the increasing AOD at the start time for the four study areas. We find that different regions with various

2 between aerosol and cloud in the four regions are different from those of previous studies over China (Wang et al., 2014; Tang et al., 2014; Kourtidis et al., 2015; Liu et al., 2017), it which might be due to the 3 4 use of different data sets (MODIS C6.1 versus older versions), hypothesis and target areas characterized by 5 complex aerosol compositions and varying meteorological conditions. Over the ECS region, CDR and CWP 6 in high AOD conditions are smaller than at low AOD, but COT, CF and CTP are higher. Over the BTH urban 7 cluster, the higher AOD results in higher CDR and CF, while COT and CTP are smaller. Over the YRD urban 8 eluster, CDR and CTP are larger, but COT and CF are smaller at high AOD. Over the PRD urban cluster, 9 COT, CWP and CF are larger, but CTP is smaller at high AOD. Overall, the result implies that the interaction 10 between aerosol particles and clouds is more complex and less predictable of greater uncertainty over land 11 (BTH, YRD and PRD) than over ocean (ECS). Jin and Shepherd (2008) also noted that aerosols affect 12 clouds more significantly over ocean than over land. They suggested that dynamic processes related to 13 factors like urban land cover may play at least an equally critical role in cloud formation.

aerosol emission levels and different climate characteristics show different ACI patterns. Some links

14

1

		1	1 1		0	
Parameters	AOD	CDR	COT	CWP	CF	CTP
<u>BTH</u>	<u>+</u>	+**	+**	<u>+**</u>	<u>+</u>	**
<u>YRD</u>	<u>+</u>	<u>+**</u>	<u>+</u>	+**	± 1	<u>+**</u>
<u>PRD</u>	<u>+</u>	<u>+**</u>	<u>+</u>	±.	<u>+**</u>	<u>+**</u>

Table 1 The responses of cloud properties to the increasing AOD

15

ECS

Note: '+' indicates increasing, '-' indicates decreasing and ** at the top right corner of the symbol "+" (or "-") denotes

16 that the difference between a change in cloud property and zero is significant (at 95% confidence level).

Parameters	AOD	CDR	COT	CWP	CF	CTP
BTH	+	+	_	~	+	
¥RÐ	+	+	_	~	_	+
PRD	+	-	+	+	+	-
ECS	+	-	+	-	+	+

17 Note: '+' indicates increasing, ' indicates decreasing and '~' indicates the response of cloud properties to the 18 increasing AOD is not significant.

19 <u>4.2 The meteorology of the four target regions</u>

20 The meteorological and aerosol effects on clouds are reported to be so-tightly connected at the same time, so

21 <u>itand this connection</u> -must be accounted for in any study intoof aerosol-cloud interactions (Stevens and

22 Feingold, 2009; Koren et al., 2010a). Even-Although normalized histograms of meteorological parameters

23 are made for high and low AOD conditions at the start time, but it is the normalization described in Sect.

24 <u>3.1 is based on the whole region. So the dDifferences inof meteorological conditions may still existoccur</u>

25 between each 1° by 1° grid cell. In this study, we analyze the meteorology of the different regions,

26 <u>helpingin support of the interpretation of the regional variation of the relationships between aerosols and</u>

27 <u>clouds.</u>

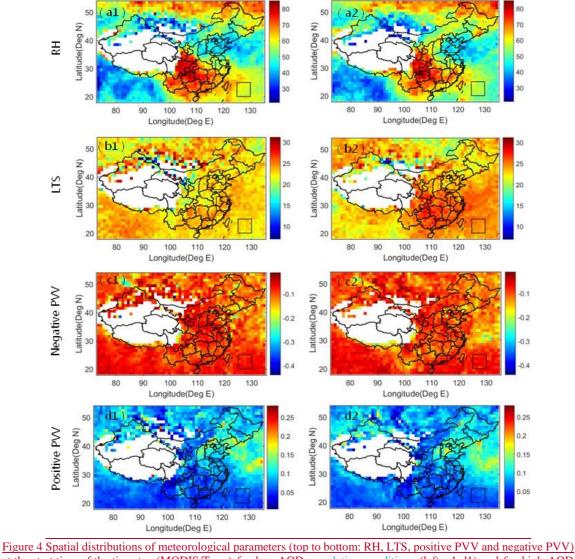


Figure 4 Spatial distributions of meteorological parameters (top to bottom: RH, LTS, positive PVV and negative PVV)
 at the start time of the timestep (MODIS/Terra) for low AOD populationsconditions (left, a1-d1) and for high AOD
 populationsconditions (right, a2-d2). All the data are averaged over all years between 2008 and 2017.

- 5 The spatial variations of the aerosol and cloud properties over the four regions, averaged over the years 6 2008-2017, are shown in Fig. 4. Over the urban clusters, we can see an increasing north-south pattern in 7 RH and LTS, with the lowest values found in the PRD. For the negative PVV, the spatial distributions for 8 the low and high AOD situations of the PVV-are remarkably similar to each other, with the highest values 9 over the BTH and and decreasing toward the south to near zero over the PRD. HoweverIn contrast, for the 10 positive PVV, the PVV is lowsmallest over the BTH, with little variation over the study area. Overall, the 11 spatial distribution of meteorological parameters over the YRD and PRD are similar to those overof the 12 ECS, irrespective of the AOD. Furthermore, the LTS is significantly larger in the high AOD conditions for 13 all the four regions. Zhao et al. (2006) proposed that the enhancement in the atmospheric stability tends to 14 depress upward motion and precipitation, leading to an increase in aerosol particles. The spatial 15 distributions of both positive and negative PVV in the low AOD conditions are similar to those in the high
- 16 <u>AOD conditions.</u>

17 4.<u>3</u>² The mean change in cloud properties over the timestep for low and high AOD

18 The <u>differences between the mean afternoon and morning</u> values of cloud properties in each $1^{\circ} \times 1^{\circ}$ grid cell in

either low or high AOD conditions in each 1°×1° grid cell minus those in the morning for low/high AOD 2 shows the variation of cloud properties during 3 hours of cloud evolution at low/high aerosol 3 concentrations. Figure 4-5 presents the spatial distributions (left, a1-e1) and the sample series (right, a2-e2) 4 of differences in cloud properties (CDR, COT, CWP, CF and CTP) after this 3-hour period for the lowest 5 MODIS/Terra AOD quartiles. Figure 65 shows the spatial distributions (left, a1-e1) and sample series (right, 6 a2-e2) of these differences for the highest MODIS/Terra AOD quartiles. 7 Overall, we look at statistics for a large dataset of 104 years. Concerning the effect of aerosol loading on 8 cloud parameters in each urban cluster, a decrease of CF occurs over the BTH for low AOD conditions,

- 9 which is opposite to the CTP variation for both two-AOD conditions.none of the cloud parameters show a
- 10 significant increase or decrease over the BTH under either low AOD or high AOD conditions, respectively.
- 11 For the variations of CDR and CF over the YRD urban cluster, a significant increase occurs under high
- 12 AOD conditions, which may be attributed to the higher RH (see figure 4(a1, a2)). And fAs regardsor the
- 13 variation of CF and CTP, a significant decrease occurs under low AOD conditions. but for the variation of
- 14 CTP, a significant decrease occurs over the YRD for both low and high AOD conditions. By contrast
- 15 Likewise, an increases of the CDR, COT and CWP were was observed for both low and high AOD
- 16 conditions over the PRD urban cluster., which is statistically significant. Furthermore, decreases of CF and
- 17 CTP were observed for low AOD conditions and increases of CF and CTP were observed for high AOD
- 18 conditions. From the perspective of considering all urban clusters (BTH, YRD and PRD), both COT and
- 19 <u>CWPall studied cloud properties (CDR, COT, CWP and CF)</u> increase except CTP over land afterduring the
- 20 <u>3 hours timestep</u>, which decreases during the timestep, for both low and high AOD-(see red samples plot in
- 21 Figures 4 and 5). Overall, the variation in cloud properties after the timestep over BTH is less significant
- 22 than those over the YRD and PRD for both low and high AOD conditions after the timestep. This may
- 23 result from leastss humid and morest unstable atmospheric environments over the BTH than over the other
- 24 two among the three urban clusters (as shown in Section 4.2).
- 25 Over the ECS, in both low and high AOD conditions, CDR, CF and CTP decreases during the timestep while COT and CWP increase (see Figure 45). For high AOD conditions, the variations of the cloud 26 27 properties (CDR, COT and CWP) during the timestep are similar to those for low AOD conditions (Figure 28 5). Furthermore, it appears that COT and CWP increase more at low AOD than at high AOD. Having a 29 closer look at the CF/CTP variation in both low and high conditions over ocean, we can find that CF
- decreases (CTP increases) in low AOD conditions and CF increases (CTP decreases) in high AOD 30
- 31 conditions over ocean, albeit not over ECS.

- 32 In general, the variations over 3 hours in COT and CWP in cloud properties over land are similar to those 33 over ocean for both low and high AOD conditions over 3 hours. Another significant-similarity is that CF 34 decreases for low AOD conditions over land and ocean afterduring the 3h timestep. Having a closer look at 35 the CF variation over the YRD and PRD, we ean-findsee that CF increases in high AOD conditions afterduring the 3h timestep. It infers This implies that the variation amplitude of CF may depend on the 36 37 initial AOD conditions. The decrease in afternoon cloud cover over ocean confirms that the largest cover 38 for marine clouds is reached early in the morning as was also concluded by (Meskhidze et al., (2009). Two
- 39 significant differences are found between land and ocean areas. Meanwhile, one Onea significant difference
- is found between land and ocean areas, that is, i.e. -in high AOD conditions CDR increases over land but 40
- 41 decreases over ocean after during the 3h timestep under high AOD conditions., another significant

- difference is that CF decreases (CTP increases) for low AOD condition but CF increases (CTP decreases)
 for high AOD condition over ocean after the timestep, whereas CF increases (CTP decreases) for both low
 and high AOD conditions over land after the timestep. We can conclude that the variation of cloud
 properties after 3 hours depends little on the initial AOD over land, even though differences exist among the
 urban clusters. The increase in afternoon cloud fraction over land is consistent with previous studies
 concluding that continental warm clouds are likely to be well developed (Wang et al., 2014; Kourtidis et al.,
 2015). The decrease in afternoon cloud cover over ocean confirms that the largest cover for marine clouds is
- 8 reached early in the morning (Meskhidze et al., 2009). Table 2 summaries the differences in cloud properties
- 9 between the Aqua and Terra overpasses for high and low AOD conditions over land and ocean during the
- 10 time period 2008-20112017, respectively.

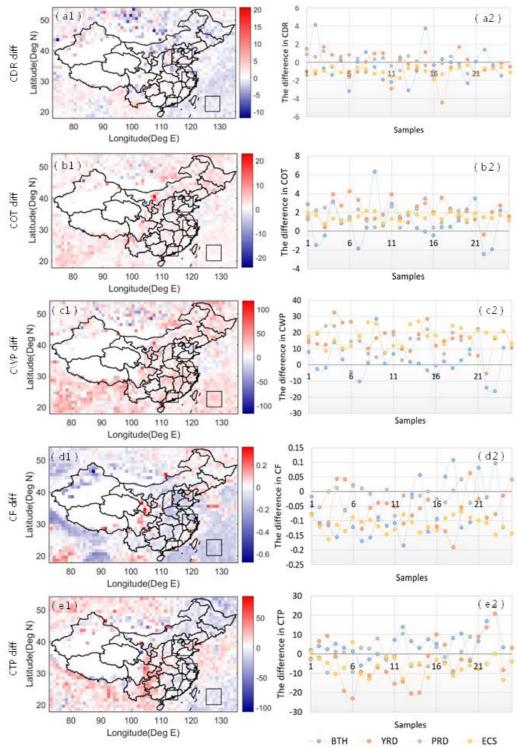


Figure 4-5. Spatial distributions of differences in cloud properties (CDR, COT, CWP, CF and CTP) between Aqua and Terra overpasses (3 hours) for the lowest MODIS/Terra AOD quartiles (left, a1-e1). Sample series of the differences in cloud properties (CDR, COT, CWP, CF and CTP) between the values at the start time and the end time of the timestep for the lowest MODIS AOD quartiles (right, a2-e2).

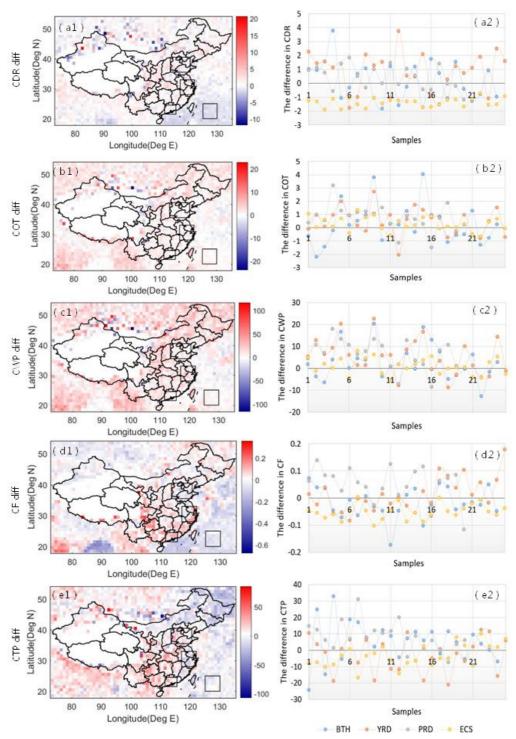


Figure <u>5-6.</u>Spatial distributions of differences in cloud properties (CDR, COT, CWP, CF and CTP) between Aqua and Terra overpasses (3 hours) for the highest MODIS/Terra AOD quartiles (left, a1-e1). Sample series of the differences in cloud properties (CDR, COT, CWP, CF and CTP) between the values at the start time and the end time of the timestep for the highest MODIS AOD quartiles (right, a2-e2).

6	Table 2 Differences in cloud	prop	perties between Ac	ua and Terra for hi	gh and low AOD	, over land and ocean.
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	Parameters	<u>CDR</u>	<u>COT</u>	<u>CWP</u>	<u>CF</u>	<u>CTP</u>
	L_AOD	± 1	+**	+	_**	+**
<u>BTH</u>	H_AOD	<u>+</u>	<u>+</u>	+**	_	+**
	d(Cloud_X)	±.	<u></u>	<u></u>	_**	_+**
	L_AOD	±.	+**	+**	_**	_**
<u>YRD</u>	<u>H_AOD</u>	+**	+**	+**	<u>+</u>	
	d(Cloud_X)	<u>+</u>	_**	_**	_**	

	L_AOD	<u>+</u>	+**	+**	_**	_**
<u>PRD</u>	<u>H_AOD</u>	+**	+**	+**	+**	+**
	d(Cloud_X)	<u>+</u>	_**	_**	+**	+**
	L_AOD	_**	+**	+**	_**	_**
<u>ECS</u>	<u>H_AOD</u>	_**	+**	<u>+</u>	_**	_**
	d(Cloud X)	+**	_**	_**	_**	

1 Note: '+' indicates increasing, '-' indicates decreasing and ** at the top right corner of symbol "+" (or "-") denotes

2 that the difference between a change in cloud property and zero is significant (at 95% confidence level).

		Land			Ocean	
-	L_AOD	H_AOD	d(Cloud_X)	L_AOD	H_AOD	d(Cloud_X)
CDR	+	+		-	-	
COT	+	+	-	+	+	-
CWP	+	+		+	+	-
CF	+	+	+	-	+	-
CTP	-			+	-	_

3 注: '+' indicates increasing, '-' indicates decreasing, '-' indicates the response of cloud properties to the increasing

4 AOD is not significant or vague. ... ' indicates the difference between the mean change in cloud properties (CF, COT,

5 CWP, CDR and CTP) of the low and high AOD conditions over the timestep is small. L_AOD and H_AOD represent

6 the low and high aerosol conditions, respectively.

7 4.3 The difference between the mean changes in cloud properties for low and high AOD over the 8 timestep

9 The differences between the mean changes in cloud properties (CF, COT, CWP, CDR and CTP) between 10 the Terra and Aqua overpasses in high and in low AOD conditions (d (Cloud_X) as defined in section 11 Section 3.2) are investigated to identify the effect of aerosol particles on the cloud properties. Figure 6-7 12 shows the differences between the mean change in cloud properties at low and high AOD conditions during 13 the two observations at 10:30 and 13:30.

14 Figure 6-7 shows that the values of d(CDR) vary around zero-over the three urban clusters are not mostly 15 positive or negative, which indicates that during in high and low AOD conditions over land the change 16 variation in CDR during the three hours between the MODIS/Terra and Aqua overpasses is similar. Over the 17 ECS the values of d(CDR) also vary around zeroisare negativepositive, which indicates that the CDR of in 18 high AOD conditions decreases as-much more than during low AOD conditionsas that of low AOD-_over ocean. 19 Wang et al. (2014) also reported that a negative correlation between CDR is negatively associated with and 20 AOD over the ECS, agreeingin accordance with the Twomey effect. Furthermore, CDR tends to be 21 smallest in polluted and strong-inversion environments, an outcome in good agreement with the findings 22 of Matsui et al. (2006). Most of the d(COT) values are negative over the four regions, especially for the 23 YRD, PRD and ECS. This shows that the COT increases less in high AOD conditions than in low AOD 24 conditions, over both land and ocean, which is contrast with the findings of Meskhidze et al. (2009). 25 Likewise, the values of d(CWP) are almost all negative over the four regions although over the BTH urban 26 cluster the values are less is are not clear negative than over the other clusters and a number of positive values 27 is observed. This indicates that in high AOD conditions the CWP increases less during the timestep than 28 inat low AOD conditions, a result in accordance with the conclusion that higher LTS is linked with a 29 slightly lower CWP (Matsui et al., 2006). We can conclude that the variation trend of COT and CWP after 30 3 hours depends little on the initial AOD, but the initial AOD conditions can affect the amplitude of

1 variation of COT and CWP. Meanwhile, the values of d(CF) are larger smaller than zero over the BTH 2 urban cluster and the ECS. This shows that the cloud fraction in high AOD conditions over the BTH 3 increases and ECS decreases much moreless than that in low AOD conditions. However, Meskhidze et al. 4 (2009) found that an increased of the- aerosol concentration may lead to enhanced reduction of 5 afternoon cloud coverage and optical thickness for marine stratocumulus regions off the coast of 6 California, Peru, and southern Africa. Therefore, the connection between AOD and variation of 7 cloud cover could be a response to regional-scale changes in aerosol covarying with 8 meteorological conditions. The value of d(CF) is overall positive over landthe YRD and PRD, which 9 indicates that over the YRD and PRD and in high AOD conditions the cloud cover increases much more 10 than the cloud cover decreases in low AOD conditions. However, compared with the variation of d(CF) 11 over the BTH, the variations of d(CF) over the YRD, PRD and ECS regions show a less clear pattern with 12 different behaviors. Mauger and Norris (2007) have shown that scenes with large AOD and large cloud 13 fraction experienced greater LTS. -As regards CTP, we find that the values of d(CTP) are positive over the 14 BTH and PRD urban cluster, but the values of d(CTP) over the other two regions do not show a clear 15 pattern-negative over the YRD and ECS regions. HThis indicates that in high AOD conditions over the PRD 16 region the CTP increases much more than the CTP decreases in low AOD conditions. In addition, the behavior 17 d(CTP) over the BTH urban cluster is variable with both negative and positive values. Overall, even though 18 there are large variations of d(CTP) with increasing AOD over the three urban clusters, it seems that the 19 value of d(CTP) is negative over land, indicating that in high AOD conditions over land the CTP decreases 20 less than in low AOD conditions. We can conclude that the variation in d(Cloud_X) is different for 21 continental and oceanic clouds. This applies to CDR, cloud fraction (CF) and CTP, but not to COT and CWP. 22 Table 2 summarizes the differences between the mean changes in cloud properties for low and high AOD 23 over the timestep of 3 hours. 24 Based on the above findings, we conclude that over the ECS the values of CDR, CWP and CTP are smaller 25 but the values of COT and CF are larger in high AOD conditions. After the 3 hours timestep, CDR, CF 26 and CTP become smaller, irrespective of the AOD. Furthermore, CDR decreases much more in high AOD 27 conditions but CF and CTP decreases much more in high AOD conditions. In contrast, COT and CWP 28 become larger in both two-AOD conditions, more significantly in low AOD conditions. Over the urban 29 clusters, COT and CWP also increase over the timestep in both AOD conditions, especially for the low AOD condition. For CF the values in low AOD conditions decrease in low AOD conditions-over the 30

31 timestep. The CTP change behaves differently among the three urban clusters during the 3 hours.

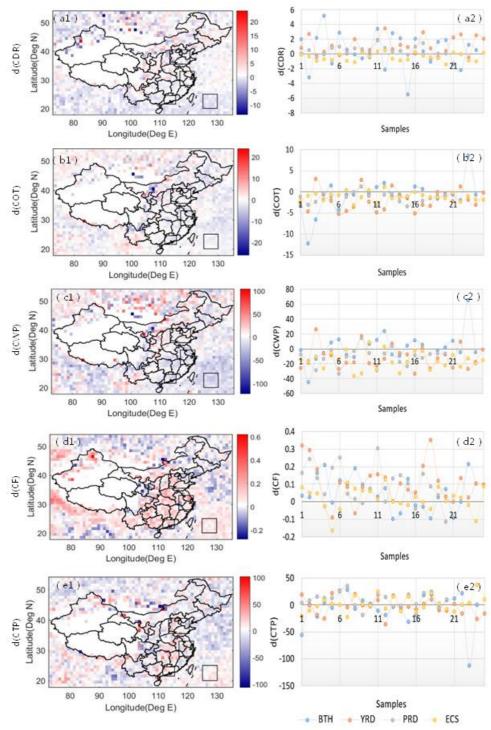


Figure 6-7_Spatial distributions (left, a1-e1) and sample time series (right, a2-e2) of d(Cloud_X) (as defined in sect.
3.2) for CDR, COT, CWP, CF and CTP over Eastern China during the time period 2008-20112017.

1 4.4 Meteorological effects

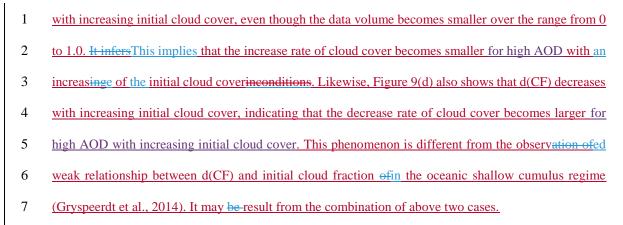
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In order to explore the initial meteorological effects on the correlations between AOD and the cloud 3 fraction, we determine the difference in mean cloud parameters between the high and low AOD 4 conditions at the end of the timestep (d(Cloud X)) in meteorological variable space rather than in 5 longitude-latitude space. Therefore, we define high and low AOD as the highest and lowest quartile 6 for each bin of the meteorological parameters, respectively. Figure 7-8 shows the effect of meteorological 7 factors (PVV, RH, LST-LTS and initial cloud fraction) on the d(CF) when the cloud cover increases 8 (Δ Cloud X>0) under both low and high AOD conditions over land after the 3 hours timestep. Figure 9 9 shows the effect of meteorological factors on the d(CF) when the cloud cover deceases (Δ Cloud X<0) 10 under both low and high AOD conditions over land after the 3 hours timestep-over land. From both 11 figures we find that almost all the values of d(CF) values are positive, indicating that the variations of CF 12 are larger in high AOD than that in low AOD after the 3 hours timestep. over land. 13 The PVV, a measure of dynamic convection strength, is very important for cloud formation. The

14 presence of upward motion, as indicated by negative PVV, can enhance the interaction between aerosol 15 particles and clouds as it promotes vertical mixing of the aerosol particles and thus reach the cloud 16 condensation level where they grow into cloud dropletsmakes the ambient environment favorable for 17 cloud formation, and vice versa (Jones et al., 2009). Figure 78/(a) shows that the d(CF) increases 18 decreases with the PVV over the range from -0.05 Pa s⁻¹ to 0.05 Pa s⁻¹ when the PVV is negative as cloud cover increases in both two-conditions over the 3 hours timestep. In contrast, the d(CF) 19 20 decreases with the PVV when the PVV is larger than zero. This indicates that the weaker downward 21 motion and stronger upward motion of air parcels makes the difference between the increment of cloud 22 cover in high AOD conditions and in-low AOD conditions larger: I, in other words, the increase rate of cloud 23 cover is larger for high AOD under stronger upward motion of air parcels. Jones et al. (2009) 24 revealed showed that stronger upward motion of air parcels can promote the cloud formation in both high 25 and low AOD conditions, but they did not report the increase rate of cloud formation in both two-AOD 26 conditions. can enhance the cloud cover much more in conditions with high AOD than in conditions with 27 low AOD. While cloud cover decreases in both two-conditions over the 3 hours timestep, Figure 9(a) 28 shows that the d(CF) increases with the PVV over the range from -0.05 Pa s⁻¹ to 0 Pa s⁻¹ and decreases

1 with the PVV over the range from 0 Pa s⁻¹ to 0.05 Pa s⁻¹. This indicates that the decrease rate of cloud 2 cover is smaller for high AOD both under stronger upward motion of air parcels and stronger downward 3 motion of air parcels. Outside of thise range of PVV values the relationship becomes harder to determine 4 due to the reduction ined data volumes in both cases. However, the relative increase in cloud cover is 5 smaller in the presence of downward motion of air parcels in high AOD environment than in low AOD 6 environment. Figure $\frac{78}{2}$ (b) shows that the d(CF) decreases with increasing RH when RH is lower than 7 9220%. This implies that the increase rate of cloud cover is smaller for high AOD cloud cover increases 8 much more in low AOD environment than in high AOD environment with increasing RH. However, 9 when RH is larger than 2092%, the increase rate of cloud cover is larger for high AOD with increasing 10 RH. Aan strong-increase of d(CF) occurs due to activation of CCN and formation of clouds (Feingold et 11 al., 2003; Liu et al., 2017). It should be noted that the variation of d(CF) with increasing RH above 12 around 80% is uncertain as the sample sizes of high and low AOD conditions are small. On the 13 contraryIn contrast, the values of d(CF) values becomes smaller with increasing RH over the whole RH 14 range (See Figure 9(b)), indicating that the decrease rate of cloud cover is smaller for high AOD than that 15 for low AOD with increasing RH.

16 The LTS is an indicator for the mixing state of the atmospheric layer adjacent to the surface. It describes 17 to some extent the atmosphere's tendency to promote or suppress vertical motion (Medeiros and Stevens, 18 2011), which in turn affects cloud properties (Klein and Hartmann, 1993). A positive LTS is associated 19 with a stable atmosphere in which vertical mixing is prohibited; negative PVV indicates local upward 20 motion of air parcels. Low LTS represents an unstable atmosphere and high LTS represents a stable 21 atmosphere. Both Figure 78(c) and Figure 9(c) shows that the d(CF) increases and then decreases with 22 increasing LTS when LTS is lower than 2027, but increases decreases with increasing LTS for higher 23 values (LTS >20). High LTS indicates a strong inversion, which prevents vertical mixing and cloud 24 vertical extent, maintaining a well-mixed and moist boundary layer and providing an environment which 25 favors the development of a low cloud cover, especially in an environment with high AOD 26 concentrations. However, the sample sizes of high and low AOD conditions are smallextremely 27 disproportionate when LTS is higher larger than 27_20. Therefore, it is difficult to reach a conclusion 28 from the relationship between d(CF) and LTS is uncertainhard to make a conclusive determination when 29 <u>LTS is larger than 20</u>. Figure 78(d) shows that there is weak a strong negative relationship between d(CF) 30 and initial cloud fraction. The effect of initial cloud fraction on d(CF) is not clear. The d(CF) increases



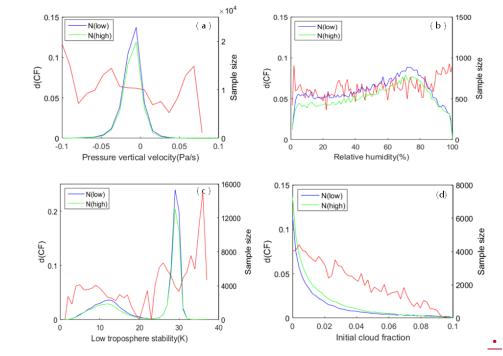
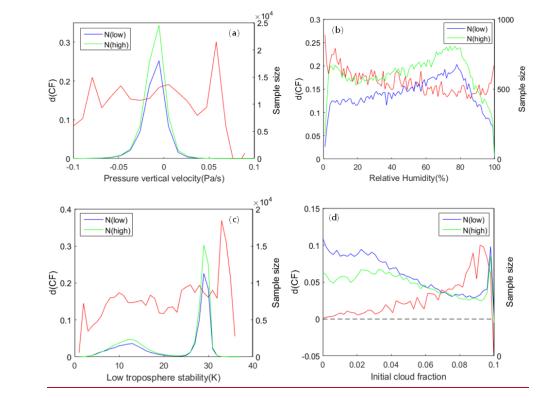
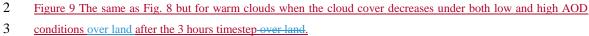


Figure 7-8. Variation of d(CF) (red) as function of initial meteorological parameters and cloud fraction for warm clouds when the cloud cover increases under both low and high AOD conditions over land after the 3 hours timestep over land. The distribution of points for low (blue) and high (green) AOD as a function of meteorological parameters is shown by the solid lines. This plot is composed from MODIS data (including Terra and Aqua) for all warm cloud points over the years 2008-20112017. Meteorological parameters are plotted along the horizontal axis, the left vertical axis denotes d(CF) and the right vertical axis denotes the number of high and low AOD samples.





4 5 Conclusions

1

5 The large anthropogenic emissions in eastern China render this area an important hotspot for studying 6 how cloud microphysical properties are affected by anthropogenic aerosols (Ding et al., 2013). In this 7 work, based on the near-simultaneous aerosol and cloud retrievals provided by MODIS, together with the 8 ERA Interim Reanalysis data, we investigated the effect of aerosol loading, using as indicated by 9 AOD as a proxy, on aerosol-cloud interactions. Aerosol-cloud interaction was studied over three major 10 urban clusters in eastern China and over one area over the Eastern China Sea. These four areas are 11 representative of different climatic regions and pollution levels. Data over these four study areas were 12 collected for the years 2008 to 20112017, and analyzed in a statistical sense-using statistical methods. 13 Both MODIS/Terra and MODIS/Aqua data were used to study the difference of in cloud properties 14 between the morning and the early afternoon, i.e. with a time difference of 3 hours. 15 In order to reduce the differences of in the initial conditions distributions of cloud and meteorological

16 <u>parameters</u> between high and low AOD conditions at the start of the timestep, normalized histograms of

17 these cloud properties and meteorological parameters were made for high and low AOD conditions

1 following the method described by Gryspeerdt et al., (2014). After that, the difference between cloud 2 properties (CDR, COT, CWP, CF and CTP) in high and low AOD conditions during the Terra overpass 3 at 10:30 LT for each $1^{\circ}\times 1^{\circ}$ grid was investigated. We looked at statistics for the <u>104</u>-years dataset and 4 found that different regions with various aerosol emission levels, aerosol types and different climate 5 characteristics show different patterns of ACI. The ACI is more complex over land (BTH, YRD and PRD) 6 than over ocean (ECS). Next, the mean change in cloud properties during the 3 hours between the 7 observations in low and high AOD conditions, as provided by the differences in the observations by 8 MODIS/Terra (morning) and MODIS/Aqua (afternoon) overpasses, were examined and differences 9 were analyzed. The results show that the COT and CWP over land and ocean were increased after the 3 10 hours timestep-over land and ocean, irrespective of the initial AOD conditions. in low and high AOD 11 conditions the variation of cloud properties between the two observations behave similarly (increase or 12 decrease). In general, the variation of cloud properties over the urban clusters is similar to that over ocean. 13 Two significant differences are found between land and ocean areas. One is that CDR increases over land 14 but decrease over ocean after the 3 hour period, another significant difference is that CF decreases (CTP 15 increases) for low AOD condition but CF increases (CTP decreases) for high AOD condition over ocean 16 during the timestep, whereas CF increases (CTP decreases) during that period for both low and high 17 AOD conditions over land. Furthermore, we investigated the difference between the mean change in 18 cloud properties (CDR, COT, CWP, CF and CTP) in low and high AOD conditions between the two 19 observations. We found that the variation in d(Cloud_X) is different for continental and oceanic clouds. 20 This applies to CDR, cloud fraction and CTP, but not to COT and CWP. Both COT and CWP increase 21 over land and ocean after the timestep, irrespective of the AOD. The variation trend of COT and CWP 22 after 3 hours depends little on the initial AOD, but the initial AOD conditions can affect the amplitude 23 of variation of COT and CWP. 24 Constrained by relative humidity and boundary thermodynamic and dynamic conditions, the variation of 25 d(CF) in response to aerosol abundance over land was also analyzed. There-are-Ttwo cases awere 26 considered: (1) when the cloud cover increases under both low and high AOD conditions after the 3 hours 27 timestep; (2) when the cloud cover decreases under both low and high AOD conditions after the 3 hours 28 timestep. From both two-cases, we find that almost all the values of d(CF) values are positive, indicating 29 that the variations of CF are larger in high AOD than that in low AOD after the 3 hours timestep. The 30 results show that cloud cover increases much for high AOD under stronger upward motion of air parcels;

1 Meanwhile, the increase rate of cloud cover is larger for high AOD with increasing RH when RH greater 2 than 20%. seenes with large cloud fraction experience large AOD and stronger upward motion of air 3 parcels and large RH. of With regarded to the effect of LTS on the change of cloud cover, scenes with 4 large cloud fraction change variation experience large AOD and large LTS when LTS smaller than 10. 5 Conversely, scenes with smaller cloud fraction changevariation experience large AOD and large LTS when LTS larger than 10 and smaller than 20. We also find that smaller increase rate of cloud fraction 6 7 occurs when scenes experience larger AOD and larger initial cloud coverins.the presence of upward 8 motion of air parcels can enhance the cloud cover much more in high than in low AOD conditions. In 9 contrast, the cloud cover increases much more with increasing RH in clean atmospheric conditions than 10 in polluted atmospheric conditions. Meanwhile, stable atmospheric conditions favor the development of 11 a low cloud cover, especially in high AOD conditions. A statistical analysis of the relation between d(CF) 12 and initial cloud fraction shows a weak negative relationship between d(CF) and initial cloud fraction. 13 In summary, whilst we have reduced the error due to meteorological effects on aerosol retrieval, 14 meteorological covariation with the cloud and aerosol properties is harder to remove. As aerosol-cloud 15 interaction is a complex problem, it is important to synergistically use multiple observation products and 16 atmospheric models to explore the mechanisms of aerosol-cloud interaction. Therefore, further analysis 17 can be carried out in future work.

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Reply to comments on "Satellite-based estimate of the 1 variability of warm cloud properties associated with aerosol and 2 meteorological conditions" 3 4 October 30, 2018 5 6 7 We thank the reviewer's thoughtful comments which are helpful not only for this 8 manuscript but also for our future research. Our replies for all the comments are shown below. 9 10 **Major points** 11 12 1. Comments: (1) The authors make use of a technique previously used to 13 investigate possible links between aerosol and cloud fraction, extending it to look at the development of other cloud properties. A key part of this method involves 14 making sure that the starting state similar as possible for high and low aerosol 15 environments and then investigating the difference between them. If this method 16 works as intended, the mean change in cloud properties over the timestep should 17 be a function only of local meteorology and there should be no difference in the 18 19 cloud properties between the high and low aerosol populations at the start time. I am therefore unclear what is being shown in section 4.1, where a difference 20 apparently exists. Are the authors following the method of Gryspeerdt et al 21 22 (2014), or have they created a new method? If the authors are just looking at the relationship between AOD and cloud properties, how have they accounted for 23 24 the impact of local meteorology (e.g. Quaas et al, ACP, 2010)? 25 Answer: Normalised histograms of cloud properties for the high and low AOD populations are made for the whole region (Section 3.1), because the data volume 26 based on each 1° x 1° location is relatively small. However, the difference between 27 the cloud properties for low and high AOD at the start time is based on each $1^{\circ} \times 1^{\circ}$ 28 29 location (Section 4.1). So the difference of the cloud properties between the low and high AOD at the start time still exist and is not zero. In order to make the reader 30 understand, text was added as follows. 31 Page 5 lines 37-39 and page 6 lines 1-2, : Text was added as:' Note that here and in 32 the following sections, normalised histograms of cloud properties for the high and low 33 AOD populations are made for the whole region (Section 3.1), because the data 34 volume based on each $1^{\circ} \times 1^{\circ}$ location is relatively small. However, the difference 35 between the cloud properties for low and high AOD at the start time is based on each 36 1° x 1° location (Section 4.1). So the difference of the cloud properties between the 37 low and high AOD at the start time is not zero.' 38 Page 9, line 15-17: Text was added as:' Although normalized histograms of 39

meteorological parameters are made for high and low AOD conditions at the start
 time, the normalization described in Sect. 3.1 is based on the whole region.

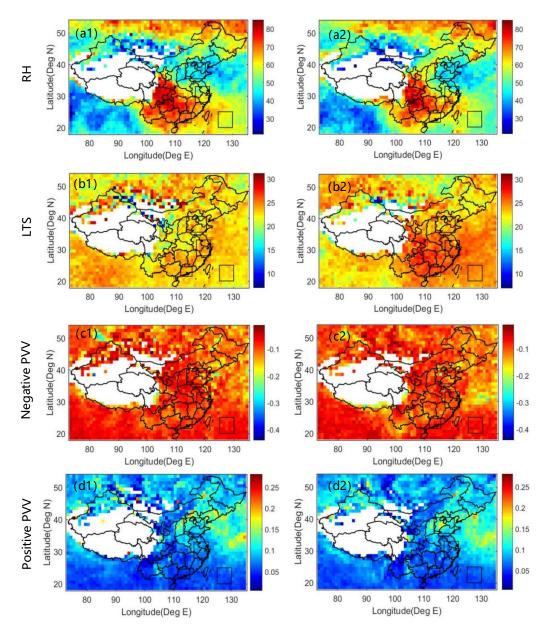
3 Differences in meteorological conditions may still occur between each $1^{\circ} \times 1^{\circ}$ grid 4 cell.'

- 5 Meanwhile, in order to consider the effect of meteorological conditions on the 6 relationship between aerosol and cloud further, we analyze the meteorology of the
- 7 different regions in Section 4.2 (see page 9-10). This new Section 4.2 "The
- 8 meteorology of the four target regions" reads:

9 4.2 The meteorology of the four target regions

The meteorological and aerosol effects on clouds are reported to be tightly connected, 10 and this connection must be accounted for in any study of aerosol-cloud interactions 11 12 (Stevens and Feingold, 2009; Koren et al., 2010). Although normalized histograms of 13 meteorological parameters are made for high and low AOD conditions at the start time, the normalization described in Sect. 3.1 is based on the whole region. 14 Differences in meteorological conditions may still occur between each 1° x 1° grid 15 cell. In this study, we analyze the meteorology of the different regions, in support of 16 17 the interpretation of the regional variation of the relationships between aerosols and 18 clouds.

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Figure 4 Spatial distributions of meteorological parameters (top to bottom: RH, LTS, positive PVV
and negative PVV) at the start time of the timestep (MODIS/Terra) for low AOD conditions (left,
a1-d1) and for high AOD conditions (right, a2-d2). All the data are averaged over all years between
2008 and 2017.

The spatial variations of the aerosol and cloud properties over the four regions, 6 averaged over the years 2008-2017, are shown in Fig. 4. Over the urban clusters, we 7 can see an increasing north-south pattern in RH and LTS, with the lowest values 8 9 found in the PRD. For the negative PVV, the spatial distributions for the low and high 10 AOD situations are remarkably similar, with the highest values over the BTH and decreasing toward the south to near zero over the PRD. In contrast, the positive PVV 11 is smallest over the BTH, with little variation over the study area. Overall, the 12 13 meteorological parameters over the YRD and PRD are similar to those over the ECS, 14 irrespective of the AOD. Furthermore, the LTS is significant larger in the high AOD 15 conditions for all the four regions. Zhao et al. (2006) proposed that the enhancement

in the atmospheric stability tends to depress upward motion and precipitation, leading
to an increase in aerosol particles. The spatial distributions of both positive and
negative PVV in the low AOD conditions are similar to those in the high AOD
conditions.

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2. Comments: (2) Similarly, it is not clear what section 4.2 is showing. While the 6 title states that it is discussing the 'mean change', it is apparently also 7 8 investigating the difference between high and low AOD. If this is the case, could it not be merged with section 4.3, which is explicitly about the difference in 9 relation to the aerosol environment? I would expect that the difference in the 10 development between the regions would be a function of local meteorology. If 4.2 11 12 is intended to be about the mean cloud development, perhaps it could be used to 13 better describe the meteorology of the different regions, helping the interpretation of the regional variation of the results in section 4.3. 14

Answer: Yes, we agree with your suggestions. Section 4.2 was merged with Section 4.3 (as new Section 4.3, see pages 10-15), explicitly examining the difference of cloud properties in relation to aerosol environment. Furthermore, new Section 4.2 was added (see response to question 1) to describe the meteorology of the four target regions, in support of the interpretation of the regional variation of relationship between aerosol and cloud (see page 9-10 in the revised manuscript).

21

3. Comments: (3) While this work has the potential for producing interesting 22 results if the method is properly clarified, the results that are currently within 23 the paper are not set in the context of existing work, which makes them difficult 24 to interpret. The results in section 4.3 and not compared to section 4.1 or 25 previous work, meaning that potentially interesting results are missed. As some 26 examples, P13L14 suggests that there is little change in the CDR development as 27 a function of aerosol - this inability to detect the Twomey effect might mean that 28 29 this method is not suitable for investigating aerosol cloud interactions, or it could 30 mean that changes in CDR proceed via different pathways and timescales than the CF changes observed in Gryspeerdt et al. (2014). Although the difference in 31 results over land and ocean was one of the key results of Gryspeerdt et al (2014), 32 other result are different - this work finds exactly the opposite dCF response to 33 34 relative humidity (section 4.4). This would again be an interesting result for discussion that is missed as it is not set in context. 35

Answer: The variation of cloud properties to the aerosol environment has become more clear by reanalyzing all the MODIS C6.1 data for the whole acquisition period between 2008 and 2017, rather than MODIS C5.1 data from 2008 to 2011. This change is shown throughout the revised manuscript (all the figures were changed/modified in this respect). Following the reviewer's comments, the results in Section 4.3 have been linked to Section 4.1 and compared to previous work.

42 Part of text in Section 4.3 was shown in follows (see page 14-15 in the revised43 manuscript):

44 "Figure 7 shows that the values of d(CDR) over the three urban clusters are not

mostly positive or negative, which indicates that in high AOD conditions over land 1 the variation in CDR during the three hours between the MODIS/Terra and Aqua 2 overpasses is similar. Over the ECS the values of d(CDR) is positive, which indicates 3 that the CDR in high AOD conditions decreases much more than during low AOD 4 5 conditions over ocean. Wang et al. (2014) also reported a negative correlation between CDR and AOD over the ECS, in accordance with the Twomey effect. 6 Furthermore, CDR tends to be smallest in polluted and strong-inversion environments, 7 an outcome in good agreement with the findings of Matsui et al. (2006). Most of the 8 d(COT) values are negative over the four regions, especially for the YRD, PRD and 9 ECS. This shows that the COT increases less in high AOD conditions than in low 10 AOD conditions, over both land and ocean, which is contrast with the findings of 11 12 Meskhidze et al. (2009). Likewise, the values of d(CWP) are almost all negative over 13 the four regions although over the BTH urban cluster the values are not clear. This indicates that in high AOD conditions the CWP increases less during the timestep 14 than in low AOD conditions, a result in accordance with the conclusion that higher 15 LTS is linked with a slightly lower CWP (Matsui et al., 2006). We can conclude that 16 17 the variation trend of COT and CWP after 3 hours depends little on the initial AOD, 18 but the initial AOD conditions can affect the amplitude of variation of COT and CWP. Meanwhile, the values of d(CF) are smaller than zero over the ECS. This shows that 19 20 the cloud fraction in high AOD conditions over ECS decreases less than that in low AOD conditions. However, Meskhidze et al. (2009) found that an increase of the 21 aerosol concentration may lead to enhanced reduction of afternoon cloud coverage 22 and optical thickness for marine stratocumulus regions off the coast of California, 23 Peru, and southern Africa. Therefore, the connection between AOD and variation of 24 cloud cover could be a response to regional-scale changes in aerosol covarying with 25 meteorological conditions. The value of d(CF) is overall positive over the PRD, which 26 indicates that over the PRD in high AOD conditions the cloud cover increases much 27 more than the cloud cover decreases in low AOD conditions. Mauger and Norris 28 29 (2007) have shown that scenes with large AOD and large cloud fraction experienced 30 greater LTS. As regards CTP, we find that the values of d(CTP) are positive over the BTH and PRD urban cluster, but the values of d(CTP) over the other two regions are 31 not significant. It indicates that in high AOD conditions over the PRD region the CTP 32 increases much more than the CTP decreases in low AOD conditions. We can 33 34 conclude that the variation in d(Cloud_X) is different for continental and oceanic clouds. This applies to CDR, cloud fraction (CF) and CTP, but not to COT and CWP. 35 Table 2 summarizes the differences between the mean changes in cloud properties for 36 low and high AOD over the timestep of 3 hours. 37 Based on the above findings, we conclude that over the ECS the values of CDR, CWP 38 and CTP are smaller but the values of COT and CF are larger in high AOD conditions. 39 After the 3 hours timestep, CDR, CF and CTP become smaller, irrespective of the 40

And the should threaten, CDR, CP and CTP become smaller, frespective of the
AOD. Furthermore, CDR decreases much more in high AOD conditions but CF and
CTP decreases much more in low AOD conditions. In contrast, COT and CWP
become larger in both AOD conditions, more significantly in low AOD conditions.

44 Over the urban clusters, COT and CWP also increase over the timestep in both AOD

1 conditions, especially for the low AOD condition. For CF the values in low AOD

conditions decrease over the timestep. The CTP change behaves differently among the
three urban clusters during the 3 hours."

The sentence in P13L14 in old version manuscript is "Figure 6 shows that the values 4 5 of d(CDR) vary around zero over the three urban clusters, which indicates that during high and low AOD over land the change in CDR during the three hours between the 6 MODIS/Terra and Aqua overpasses is similar." The sentence means that there are 7 changes (increase or decrease) of CDR in both AOD conditions after 3 hours timestep, 8 but the variation quantity is similar. So, it doesn't indicate that this inability to detect 9 10 the Twomey effect. As Figures 3(a1-a2) show, over the ECS, CDR is smaller at high 11 AOD than at low AOD, which is consistent with Twomey's effect. In contrast, over 12 the three urban clusters, CDR is larger at high AOD. This behavior has been observed 13 before for warm clouds in conditions with high AOD (Liu et al., 2017) and may result from the intense competition for the available water vapour and the evaporation of 14 smaller droplets as a consequence of the high aerosol abundance over these regions 15

16 (Yuan et al., 2008; Wang et al., 2014; Tang et al., 2014; Liu et al., 2017).

17 The effects of initial cloud fraction and meteorological conditions on the change in 18 CF under low and high AOD conditions after the 3 hours timestep over land are also 19 explored. In our new version manuscript, there are two cases are considered: (1) when 20 the cloud cover increases (Δ Cloud_X>0); (2) when the cloud cover decreases 21 (Δ Cloud_X<0). The d(CF) (see Section 3.2) response to relative humidity is different 22 for both cases (see Section 4.4 in the revised manuscript). However, the results of 23 Gryspeerdt et al. (2014) are based on the combination of the two cases.

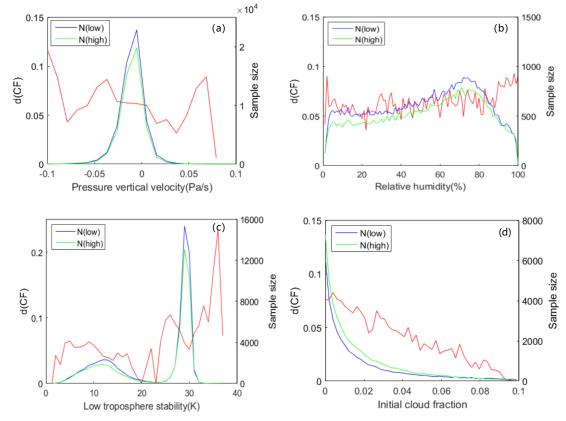
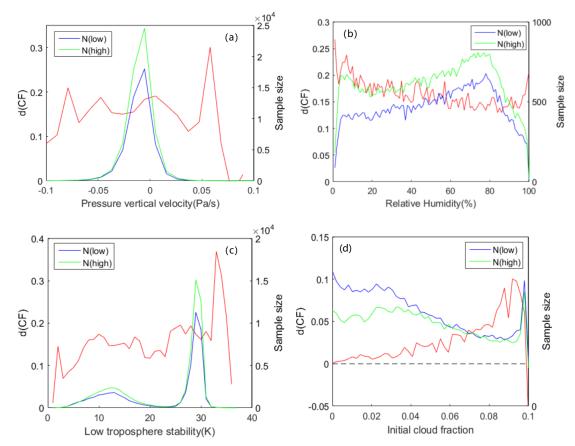


Figure 8. Variation of d(CF) (red) as function of initial meteorological parameters and cloud fraction for warm clouds when the cloud cover increases under both low and high AOD conditions after the 3 hours timestep over land. The distribution of points for low (blue) and high (green) AOD as a function of meteorological parameters is shown by the solid lines. This plot is composed from MODIS data (including Terra and Aqua) for all warm cloud points over the years 2008-2017. Meteorological parameters are plotted along the horizontal axis, the left vertical axis denotes d(CF) and the right vertical axis denotes the number of high and low AOD samples.



9 Figure 9 The same as Fig. 8 but for warm clouds when the cloud cover decreases under both low and10 high AOD conditions after the 3 hours timestep over land.

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12 4. Comments: (4) I am not clear of the purpose of choosing the different regions in this work. They are explained in section 2, but very little reference is made to 13 14 these meteorological differences later in the paper. Other than noting that the aerosol-cloud relationships are different in these regions, there is little discussion 15 of why there is a difference. As variations have previously been noted in the 16 strength of aerosol-cloud relationships, it would be good to include some 17 discussion as to why they are different. This would help this paper build on the 18 19 previous literature in this area.

Answer: Yes, following the reviewer's comments, we add the meteorology of the four target regions in new Section 4.2, in support of the interpretation of the regional variation of relationship between aerosol and cloud. Furthermore, we have discussions of those different aerosol-cloud relationships in different regions and gave possible

reasons (see pg.11 lines 12-17 in the revised manuscript). 1

2 In order to include some discussion as to why they are different, text was added

3 as: 'From the perspective of considering all urban clusters (BTH, YRD and PRD),

both COT and CWP increase over land during the 3 hours timestep for both low and 4

5 high AOD. Overall, the variation in cloud properties after the timestep over BTH is

less significant than over the YRD and PRD for both low and high AOD conditions. 6

- 7 This may result from less humid and more unstable atmospheric environments over
- 8 the BTH than over the other two urban clusters (as shown in Section 4.2).' in the Page 11, line 12-17.
- 9
- 10

11 **Specific comments**

12 1. Comments: (1) Page 1, Line 39: Twomey 1974/77?

13 Answer: We made this change (see page 2, lines 3-4). "Due to interactions with aerosols, the climatic effects of clouds are further complicated (Rosenfeld, 2000; 14 15 Twomey, 2007)" has been changed to "Due to interactions with aerosols, the climatic effects of clouds are further complicated (Rosenfeld, 2000; Twomey, 1974; Twomey, 16 17 1977)."

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2. Comments: (2) Page 2, Line 3: a smaller droplet radius does not always result 19 20 in precipitation suppression, especially if the warm rain frequency is already low (e.g. Muelmenstaedt et al., GRL, 2015) 21

Answer: "Thus, cloud albedo increases and the smaller cloud droplet effective radius 22 23 results in the suppression of precipitation, which in turn results in a longer cloud lifetime, and maintaining a larger liquid water path (Albrecht, 1989; Feingold et al., 24 2001)" has been changed to "Thus, cloud albedo increases and the smaller cloud 25 droplet effective radius in most cases results in the suppression of precipitation, which 26 in turn results in a longer cloud lifetime, and maintaining a larger liquid water path 27 (Albrecht, 1989; Feingold et al., 2001)" in the revised manuscript (see page2, line 28 29 8-10).

30

3. Comments: (3) Page 4, Line 1: Why not use collection 6 data? There is also 31 almost four times as much MODIS daily data available as it being used here. 32 Why has this specific time period been chosen? A larger data record would 33 34 improve the statistical significance of this work.

Answer: Following the reviewer's comments, we use collection 6.1 data and 35 reanalyze all the data for the whole acquisition period between 2008 and 2017, rather 36 than C5.1 data from 2008 to 2011. Therefore, the variation of cloud properties to the 37 aerosol environment has been changed and more clear. This issue is shown 38 39 throughout the revised manuscript (all the figures were changed/modified in this 40 respect).

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42 4. Comments: (4) Page 4, Line 24: Why is aerosol optical depth used? Many previous studies have that it had severe limitations proxy for CCN (e.g. Penner et 43 44 al, PNAS, 2011)

Answer: The average CCN concentrations show a remarkable correlation to the 1 corresponding AOT values, it provides an easily measured proxy for CCN 2 concentration (Andreae, 2009). Meanwhile, in the present study the use of AI would 3 not be appropriate, because our study is conducted mostly over land areas. This has to 4 do with the use of the Ångström exponent in the derivation of AI, namely, the 5 Ångström exponent is not reliable over land areas. We quote a personal 6 communication with L. Remer (20 June 2010), NASA GSFC: "Ångström over land is 7 8 not reliable and we recommend strongly not to use it"; hence, AOD is used in our study (Kourtidis et al., 2015). 9

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5. Comments: (5) Page 5, Line 2: 'representative of typical thermodynamic conditions' it is not clear what this means.

Answer: "...which is representative of typical thermodynamic conditions (Klein and
Hartmann, 1993)." has been changed to "...which can be regarded as a measure of the
strength of the inversion that caps the planetary boundary layer (Klein and Hartmann,
1993; Wood and Bretherton, 2006)" in the revised manuscript (see page 4, line
30-31).

18

6. Comments: (6) Page 6, Lines 1: Are all parameters considered at the same time? Gryspeerdt et al, also used meteorological parameters normalization.

- Answer: Yes, normalized histograms of cloud properties and meteorological
 parameters are made for high and low AOD conditions following the method
 described by Gryspeerdt et al. (2014).
- 24

7. Comments: (7) Page 6, Line 2: Normalisation by cloud fraction makes the biggest difference in what?

Answer: We made this change (see page 5 lines 34-35). "...even though we find that the normalization for the cloud fraction made the biggest difference by far." has been changed to "Among those cloud properties, this process of normalization has the greatest effect on the cloud fraction and its dependence on aerosol-cloud interaction."

31

8. Comments: (8) Page 6, Line 2: Does this mean this normalization method is applied throughout this work?

Answer: Yes, the sentence means the normalization method is applied throughout the work. And "In the further analysis, we only take a subset of original data by removing random samples until the histograms are similar." has been changed to "Throughout the work, we only take a subset of original data by removing random samples until the histograms are similar." (see page 5, line 35-36 in the revised manuscript)

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40 9. Comments: (9) Page 6, Line 24: As mentioned earlier should the difference 41 between the cloud properties at the start time not be zero?

42 **Answer**: Normalised histograms of cloud properties for the high and low AOD 43 populations are made for the whole region (Section 3.1), because the data volume 44 based on each $1^{\circ} \times 1^{\circ}$ location is relatively small. However, the difference between 1 the cloud properties for low and high AOD at the start time is based on each $1^{\circ} \times 1^{\circ}$

2 location (Section 4.1). So the difference of the cloud properties between the low and

high AOD at the start time is not zero (see response to question 1 in major pointssection).

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10. Comments: (10) Page 7, Line 7, Perhaps also Yuan et al, ACP, 2008 (Increase of cloud droplet size with aerosol optical depth: An observation and modeling study, 10.1029/2007JD008632)

9 Answer: We made this change (see page 7, line 6-8). "...may result from the intense competition for the available water vapour and the evaporation of smaller droplets as a consequence of the high aerosol abundance over these regions (Wang et al., 2014; Liu et al., 2017)." has been changed to "...may result from the intense competition for the available water vapour and the evaporation of smaller droplets as a consequence of the high aerosol abundance over these regions (Yuan et al., 2008; Tang et al., 2014; Wang et al., 2014; Liu et al., 2017)."

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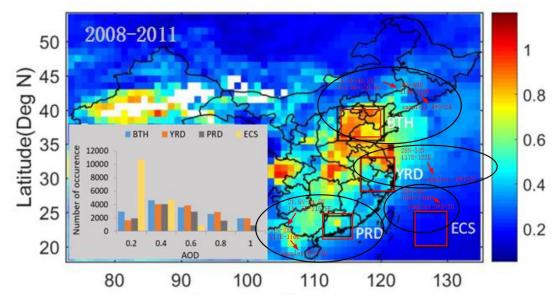
17 11. Comments: (11) Page 7, Line 22: Many previous studies have shown links between aerosol and cloud properties over China but it might be good to know why these relationships are different.

20 Answer: We made this change (see page 9 lines 1-8). Text are added as: "Some links between aerosol and cloud in the four regions are different from those of previous 21 studies over China (Wang et al., 2014; Tang et al., 2014; Kourtidis et al., 2015; Liu et 22 al., 2017), which might be due to the use of different data sets (MODIS C6.1 versus 23 older versions), hypothesis and target areas characterized by complex aerosol 24 25 compositions and varying meteorological conditions. Overall, the result implies that the interaction between aerosol particles and clouds is more complex and of greater 26 uncertainty over land (BTH, YRD and PRD) than over ocean (ECS). Jin and 27 Shepherd (2008) also noted that aerosol affect clouds more significantly over ocean 28 29 than over land. They suggested that dynamic processes related to factors like urban 30 land cover may play at least an equally critical role in cloud formation."

31

32 12. Comments: (12) Figure3: What is this sample time series?

- 33 Answer: Samples are collected from the pixels of the difference in cloud properties
- that covering the four regions and randomly as shown in the Figure 3.



1 2

3

Figure A map of showing samples are collected in the four target regions.

4 13. Comments: (13) Page 10, Line 4: If the variation of cloud properties depends 5 little on the initial AOD, does that not mean that section 4.3 should show no 6 results? This would be in contrast to previous studies.

Answer: We made this change (see page 11, line 12-18 in the revised manuscript). 7 The sentence "We can conclude that the variation of cloud properties after 3 hours 8 9 depends little on the initial AOD over land, even though differences exist among the urban clusters" has been removed, which is not a correct conclusion. Further, the 10 variation of cloud properties to the aerosol environment using different data sets 11 (MODIS C6.1 versus older versions), we find that both COT and CWP increase over 12 land during the 3 hours timestep for both low and high AOD. Overall, the variation in 13 cloud properties after the timestep over BTH is less significant than over the YRD and 14 PRD for both low and high AOD conditions. This may result from the less humid and 15 most unstable atmospheric environments over the BTH than over the other two urban 16 17 clusters (as shown in new Section 4.2). Over the ECS, in both low and high AOD 18 conditions, CDR, CF and CTP decrease during the timestep while COT and CWP increase (see Figure 5 in the revised manuscript). 19

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14. Comments: (14) Page 13: As there have been several previous studies looking at aerosol and cloud relationships, it would be good to set these results in context of previous work.

Answer: We made this change (see page 14 lines 8-37 and page 15 lines 1-9).

The variation of cloud properties to the aerosol environment has been more clear by reanalyzing all the MODIS C6.1 data for the whole acquisition period between 2008 and 2017, rather than MODIS C5.1 data from 2008 to 2011. This issue is shown throughout the revised manuscript (all the figures were changed/modified in this respect). Following the reviewer's comments, the results in Section 4.3 have been linked to Section 4.1 and compared to previous work.

Part of text in Section 4.3 was shown in follows (see page 14-15 in the revised 1 manuscript): "Figure 7 shows that the values of d(CDR) over the three urban clusters 2 are not mostly positive or negative, which indicates that in high AOD conditions over 3 land the variation in CDR during the three hours between the MODIS/Terra and Aqua 4 5 overpasses is similar. Over the ECS the values of d(CDR) is positive, which indicates that the CDR in high AOD conditions decreases much more than during low AOD 6 conditions over ocean. Wang et al. (2014) also reported a negative correlation 7 between CDR and AOD over the ECS, in accordance with the Twomey effect. 8 Furthermore, CDR tends to be smallest in polluted and strong-inversion environments, 9 an outcome in good agreement with the findings of Matsui et al. (2006). Most of the 10 d(COT) values are negative over the four regions, especially for the YRD, PRD and 11 12 ECS. This shows that the COT increases less in high AOD conditions than in low 13 AOD conditions, over both land and ocean, which is contrast with the findings of Meskhidze et al. (2009). Likewise, the values of d(CWP) are almost all negative over 14 the four regions although over the BTH urban cluster the values are not clear. This 15 indicates that in high AOD conditions the CWP increases less during the timestep 16 17 than in low AOD conditions, a result in accordance with the conclusion that higher LTS is linked with a slightly lower CWP (Matsui et al., 2006). We can conclude that 18 the variation trend of COT and CWP after 3 hours depends little on the initial AOD, 19 20 but the initial AOD conditions can affect the amplitude of variation of COT and CWP. Meanwhile, the values of d(CF) are smaller than zero over the ECS. This shows that 21 the cloud fraction in high AOD conditions over ECS decreases less than that in low 22 AOD conditions. However, Meskhidze et al. (2009) found that an increase of the 23 aerosol concentration may lead to enhanced reduction of afternoon cloud coverage 24 and optical thickness for marine stratocumulus regions off the coast of California, 25 Peru, and southern Africa. Therefore, the connection between AOD and variation of 26 cloud cover could be a response to regional-scale changes in aerosol covarying with 27 meteorological conditions. The value of d(CF) is overall positive over the PRD, which 28 29 indicates that over the PRD in high AOD conditions the cloud cover increases much 30 more than the cloud cover decreases in low AOD conditions. Mauger and Norris (2007) have shown that scenes with large AOD and large cloud fraction experienced 31 greater LTS. As regards CTP, we find that the values of d(CTP) are positive over the 32 BTH and PRD urban cluster, but the values of d(CTP) over the other two regions are 33 34 not significant. It indicates that in high AOD conditions over the PRD region the CTP increases much more than the CTP decreases in low AOD conditions. We can 35 conclude that the variation in d(Cloud_X) is different for continental and oceanic 36 clouds. This applies to CDR, cloud fraction (CF) and CTP, but not to COT and CWP. 37 Table 2 summarizes the differences between the mean changes in cloud properties for 38 low and high AOD over the timestep of 3 hours. 39 Based on the above findings, we conclude that over the ECS the values of CDR, CWP 40

and CTP are smaller but the values of COT and CF are larger in high AOD conditions.
After the 3 hours timestep, CDR, CF and CTP become smaller, irrespective of the
AOD. Furthermore, CDR decreases much more in high AOD conditions but CF and
CTP decreases much more in low AOD conditions. In contrast, COT and CWP

become larger in both AOD conditions, more significantly in low AOD conditions.
Over the urban clusters, COT and CWP also increase over the timestep in both AOD
conditions, especially for the low AOD condition. For CF the values in low AOD
conditions decrease over the timestep. The CTP change behaves differently among the
three urban clusters during the 3 hours."

6

7 **15.** Comments: (15) Page 15, Lines 7: presumably LTS

- 8 **Answer**: Yes, we made this change (see pg.16 line 7).
- 9

10 16. Comments: (16) Page 15, Line 12: I read exactly the opposite, it looks like there is a high impact of aerosol with descending air parcels.

Answer: The effects of initial cloud fraction and meteorological conditions on the change in CF under low and high AOD conditions after the 3 hours timestep over land are also explored. In our new version manuscript, there are two cases are considered: (1) when the cloud cover increases (Δ Cloud_X>0); (2) when the cloud cover decreases (Δ Cloud_X<0). So, the results and discussions have been changed. We rephrased the sentence in the revised manuscript (see page 16 lines 13-28).

18

19 17. Comments: (17) Page 15, Line 18: Is this change a very large relative 20 humidity statistically significant or just noise?

Answer: The effects of initial cloud fraction and meteorological conditions on the change in CF under low and high AOD conditions after the 3 hours timestep over land are also explored. In our new version manuscript, there are two cases are considered: (1) when the cloud cover increases (Δ Cloud_X>0); (2) when the cloud cover decreases (Δ Cloud_X<0). So, the results and discussions have been changed. We rephrased the sentence in the revised manuscript (see page 17 lines 1-8).

27

28 18. Comments: (18) Page 15, Lines 23: LTS is almost always positive

Answer: Yes, we made this change (see page 17 lines 11-12). "A positive LTS is associated with a stable atmosphere in which vertical mixing is prohibited; negative
PVV indicates local upward motion of air parcels." has changed to "Low LTS represents an unstable atmosphere and high LTS represents a stable atmosphere."

33

19. Comments: (19) Page 15, Line 25: 27K is a very high value for LTS and does not distinguish much between high and low values.

Answer: The effects of initial cloud fraction and meteorological conditions on the change in CF under low and high AOD conditions after the 3 hours timestep over land are also explored. In our new version manuscript, there are two cases are considered: (1) when the cloud cover increases (Δ Cloud_X>0); (2) when the cloud cover decreases (Δ Cloud_X<0). So, the results and discussions have been changed. We rephrased the sentence in the revised manuscript (see page 17 lines 9-16).

42

20. Comments: (20) Page 16, Line 4: Why is the initial cloud fraction included if its impact is not clear? Can we learn anything from it?

Answer: The effects of initial cloud fraction and meteorological conditions on the change in CF under low and high AOD conditions after the 3 hours timestep over land are also explored. In our new version manuscript, there are two cases are considered: (1) when the cloud cover increases (Δ Cloud_X>0); (2) when the cloud cover decreases (Δ Cloud_X<0). So, the results and discussions have been changed. We rephrased the sentence in the revised manuscript (see page 17 lines 17-24).

7

8 21. Comments: (21) Page 17, Line 28: This seems like something that could 9 receive more discussion.

Answer: We rephrased the sentence in the revised manuscript (see page 20 lines
7-13). Text was rephrased as follows.

12 Page 20 lines 6-13: The results show that cloud cover increases much more for high 13 AOD under stronger upward motion of air parcels; Meanwhile, 7 the increase rate of cloud cover is larger for high AOD with increasing RH when RH greater than 20%. 8 14 With regarded to the effect of LTS on the change of cloud cover, scenes with large 15 cloud fraction 9 variation experience large AOD and large LTS when LTS smaller 16 17 than 10. Conversely, scenes with 10 smaller cloud fraction variation experience large 18 AOD and large LTS when LTS larger than 10 and 11 smaller than 20. We also find that smaller increase rate of cloud fraction occurs when scenes 12 experience larger 19 20 AOD and larger initial cloud cover.

21

22 22. Comments: (22) Page 17, Line 13: This relationship between initial cloud 23 fraction and changing cloud fraction is mentioned again with very little 24 explanation as to why.

Answer: We made this change (see page 19 lines 28-29). Text was added as: 'Both COT and CWP increase over land and ocean after the timestep, irrespective of the AOD. The variation trend of COT and CWP after 3 hours depends little on the initial AOD, but the initial AOD conditions can affect the amplitude of variation of COT and CWP. '

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19	Reply to comments on "Satellite-based estimate of the
20	variability of warm cloud properties associated with aerosol and
21	meteorological conditions"
22	
23	October 30, 2018
24	
25	We would like to express our appreciation to the reviewer for the detailed and
26	valuable comments which helped us a lot to improve the manuscript. Our replies to all
27	comments are shown below.
28	
29	Comments
30	1. Comments: (1) Page 4, Line 20: How is the possibility of vertical segregation of
31	cloud and aerosol accounted for? For example, the presence of a lofted aerosol
32	layer in the same scene as the low clouds?
33	Answer: Caution is warranted in investigating the satellite-derived relations between
34	aerosol and cloud properties. With MODIS we cannot resolve the height of aerosol
35	and cloud layers, or detect aerosol above clouds. However, the physical and optical
36	properties of clouds and aerosol are quite different and these are used to separate
37	aerosols from clouds. These tests will not work for lofted aerosol layers above clouds
38	because the cloud reflectance overwhelms that of aerosols. Some other sensors rather
39	than MODIS have specific instrument characteristics that allow for this separation.

Firstly, as we know, CALIPSO and CloudSat can provide the height of aerosol layer 1 and cloud layer, however, the relatively low number of MODIS-CALIPSO 2 coincidences limits the further binning of the data required to investigate this issue. 3 Secondly, when it comes to the occurrence of cloud contamination in the AOD dataset, 4 5 this is a universal and one of the most difficult problems in aerosol retrieval. Cloud detection is usually not perfect, so that undetected, or residual, clouds contaminate the 6 retrieval area which leads to AOD overestimation and in turn affects the relation 7 8 between aerosol and cloud properties (e.g. Sogacheva et al., 2017). A study by Mei et al. (2016), comparing their MERIS cloud mask with two independent data sets, shows 9 that on the order of 70-90% of the cases are correctly classified as cloud free. This 10 11 result is in good agreement with that from a dedicated study on a consistency between 12 aerosol and cloud retrievals from the same instrument which showed that about 20% 13 of the pixels may be mis-classified (Klueser, 2014). In this study, the samples with AOD values greater than 0.8 were excluded as a rough attempt to exclude 14 cloud-contaminated AOD to reduce the uncertainty in the observed ACI. As reported 15 by Yuan et al. (2010), the potential artefact mentioned above does not seem to be the 16 17 primary cause for the observed relationship between aerosol and cloud parameters. 18 Further investigations are needed to fully analyze and explain the observed phenomena. 19

20

2. Comments: (2) Section 3.2: Should this not include a sentence on how the significance of results is to be determined? The results table speaks of statistical significance but I'm not clear how this is measured.

Answer: Yes, we agree. We made this change in the revised manuscript (see page 6, line 21-23).

Page 6, line 21-23: Text was added as:' Student's t test is used to determine whether
two data sets are significantly different from each other. The marker ** at the top right
corner of symbol "+" (or "-") denotes that the difference between a change in cloud
property and zero is significant (at 95% confidence level).'

30

31 **3.** Comments: Section 4.1: I was under the impression from section 3.1 that the 32 difference in cloud properties at time=0 had been removed by re-sampling the

data. I think the relationship between sections 3.1 and 4.1 needs to be developed

34 with the lay reader in mind.

Answer: Normalised histograms of cloud properties for the high and low AOD
populations are made for the whole region (Section 3.1), because the data volume
based on each 1° x 1° location is relatively small. However, the difference between
the cloud properties for low and high AOD at the start time is based on each 1° x 1°

- 39 location (Section 4.1). So the difference of the cloud properties between the low and
- 40 high AOD at the start time is not zero. In order to make the reader understand, text
- 41 was added as follows.
- 42 page 5 line 37-39 and page 6 lines 1-2: Text was added as: 'Note that here and in the
- 43 following sections, normalised histograms of cloud properties for the high and low
- 44 AOD populations are made for the whole region (Section 3.1), because the data

volume based on each $1^{\circ} \times 1^{\circ}$ location is relatively small. However, the difference 1

- between the cloud properties for low and high AOD at the start time is based on each 2
- 1° x 1° location (Section 4.1). So the difference of the cloud properties between the 3 low and high AOD at the start time is not zero.' 4
- 5

Page 9, line 14-16: Text was added as: 'Although normalized histograms of meteorological parameters are made for high and low AOD conditions at the start 6 time, the normalization described in Sect. 3.1 is based on the whole region. 7 Differences in meteorological conditions may still occur between each $1^{\circ} \times 1^{\circ}$ grid 8

cell.' 9

Meanwhile, in order to consider the effect of meteorological conditions on the 10

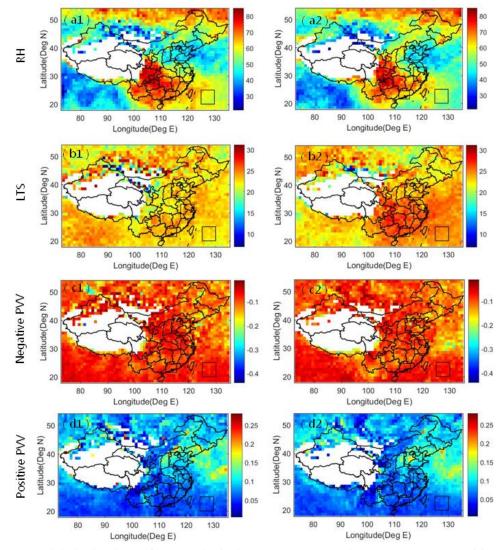
- relationship between aerosol and cloud further, we analyze the meteorology of the 11 12 different regions in section 4.2 (see page 9-10). This new section 4.2 "The
- 13 meteorology of the four target regions" reads:

4.2 The meteorology of the four target regions 14

The meteorological and aerosol effects on clouds are reported to be tightly connected, 15

- and this connection must be accounted for in any study of aerosol-cloud interactions 16
- 17 (Stevens and Feingold, 2009; Koren et al., 2010). Although normalized histograms of
- 18 meteorological parameters are made for high and low AOD conditions at the start time, the normalization described in Sect. 3.1 is based on the whole region. 19
- 20 Differences in meteorological conditions may still occur between each 1° x 1° grid
- cell. In this study, we analyze the meteorology of the different regions, in support of 21
- the interpretation of the regional variation of the relationships between aerosols and 22

clouds. 23



1

Figure 4 Spatial distributions of meteorological parameters (top to bottom: RH, LTS, positive PVV
and negative PVV) at the start time of the timestep (MODIS/Terra) for low AOD conditions (left,
a1-d1) and for high AOD conditions (right, a2-d2). All the data are averaged over all years between
2008 and 2017.

The spatial variations of the aerosol and cloud properties over the four regions, averaged over the years 2008-2017, are shown in Fig. 4. Over the urban clusters, we can see an increasing north–south pattern in RH and LTS, with the lowest values found in the PRD. For the negative PVV, the spatial distributions for the low and high AOD situations are remarkably similar, with the highest values over the BTH and

found in the PRD. For the negative PVV, the spatial distributions for the low and high 9 AOD situations are remarkably similar, with the highest values over the BTH and 10 decreasing toward the south to near zero over the PRD. In contrast, the positive PVV 11 is smallest over the BTH, with little variation over the study area. Overall, the 12 13 meteorological parameters over the YRD and PRD are similar to those over the ECS, 14 irrespective of the AOD. Furthermore, the LTS is significant larger in the high AOD conditions for all the four regions. Zhao et al. (2006) proposed that the enhancement 15 in the atmospheric stability tends to depress upward motion and precipitation, leading 16 17 to an increase in aerosol particles. The spatial distributions of both positive and 18 negative PVV in the low AOD conditions are similar to those in the high AOD 19 conditions.

1

4. Comments: Page 9, Line 31: I'm not sure what this sentence means – either the ECS is your marine study area or it isn't. I would caution against using parentheses in the way used in this sentence, because it is well - recognised to make the sentence much harder to comprehend whilst reading. The writer should be aiming to help the reader assimilate the information at normal reading speed, not to slow them down with internal opposites that require going back and forth over the sentence repeatedly.

9 Answer: Following reviewers' comments, we use collection 6.1 data and reanalyze all the data for the whole acquisition period between 2008 and 2017, rather than collection 5.1 data from 2008 to 2011. As a result, the data base was expanded and provides more cases. We have included this information throughout the revised manuscript (all the figures were changed/modified in this respect). So, we reorganize the sentences in the section (see page 11, line 16-17) and do not use parentheses in the way in this sentence throughout the revised manuscript.

"Over the ECS, in low AOD conditions, CDR decreases during the timestep while 16 17 COT and CWP increase (Figure 4). For high AOD conditions, the variations of the 18 cloud properties (CDR, COT and CWP) during the timestep are similar to those for low AOD conditions (Figure 5). Furthermore, it appears that COT and CWP increase 19 20 more at low AOD than at high AOD. Having a closer look at the CF/CTP variation in both low and high conditions over ocean, we can find that CF decreases (CTP 21 increases) in low AOD conditions and CF increases (CTP decreases) in high AOD 22 conditions over ocean, albeit not over ECS." has been changed to "Over the ECS, in 23 both low and high AOD conditions, CDR, CF and CTP decrease during the timestep 24 while COT and CWP increase (see Figure 5). " in the revised manuscript (see page 25 10). Also, as we merged section 4.2 with section 4.3 (as new Section 4.3, see pages 26 27 10-15), more discussion has been shown in section 4.3 of the revised manuscript (see page 14-15). 28

29

5. Comments: Page 10, Line 1: is the other "significant difference" one or two differences? The sentence seems to suggest that CF and CTP co-vary, which in turn suggests they need not have been studies separately.

Answer: As mentioned above, due to the larger data set, the variation of cloud properties to the aerosol environment has become more clear. This is shown throughout the revised manuscript (all the figures were changed/modified in this respect). We reorganized the sentences in the section (see page 11, line 19-28).

The paragraph "In general, the variations in cloud properties over land are similar to 37 those over ocean for both low and high AOD conditions over 3 hours. Two significant 38 differences are found between land and ocean areas. One is that CDR increases over 39 40 land but decreases over ocean after the timestep, another significant difference is that 41 CF decreases (CTP increases) for low AOD condition but CF increases (CTP 42 decreases) for high AOD condition over ocean after the timestep, whereas CF increases (CTP decreases) for both low and high AOD conditions over land after the 43 timestep. We can conclude that the variation of cloud properties after 3 hours depends 44

little on the initial AOD over land, even though differences exist among the urban 1 clusters. The increase in afternoon cloud fraction over land is consistent with previous 2 3 studies concluding that continental warm clouds are likely to be well developed (Wang et al., 2014; Kourtidis et al., 2015). The decrease in afternoon cloud cover over 4 5 ocean confirms that the largest cover for marine clouds is reached early in the morning (Meskhidze et al., 2009). Table 2 summaries the differences in cloud 6 properties between the Aqua and Terra overpasses for high and low AOD conditions 7 over land and ocean during the time period 2008-2011, respectively." has been 8 changed to "In general, the variations over 3 hours in COT and CWP over land are 9 similar to those over ocean for both low and high AOD conditions. Another 10 11 significant similarity is that CF decreases for low AOD conditions over land and 12 ocean during the 3h timestep. Having a closer look at the CF variation over the YRD 13 and PRD, we see that CF increases in high AOD conditions during the 3h timestep. This implies that the variation of CF may depend on the initial AOD conditions. The 14 decrease in afternoon cloud cover over ocean confirms that the largest cover for 15 marine clouds is reached early in the morning as was also concluded by Meskhidze et 16 17 al. (2009). Meanwhile, a significant difference is found between land and ocean areas, 18 i.e. in high AOD conditions CDR increases over land but decreases over ocean during the 3h timestep. Table 2 summaries the differences in cloud properties between the 19 20 Aqua and Terra overpasses for high and low AOD conditions over land and ocean during the time period 2008-2017." 21

22

6. Comments: Figures 3-5: might it be possible to shade the graphs on the right-hand columns of these figures to show when changes are self-consistent from a microphysics or cloud dynamics point of view?

Answer: We do not understand what the reviewer means with "self-consistent from a
micro-physics or cloud dynamics point of view". Hence, we have not added a shading
to these graphs.

29

7. Comments: Section 4.3 and Figure 6: This reader is left feeling that there is
very little added value in this section. The statistics all look close to zero and
noisy and the text doesn't make any very strong statements over and above those
from previous sections. Is this section really necessary?

34 Answer: As mentioned above, in response to the reviewers' comments, we use collection 6.1 data and reanalyze all the data for the whole acquisition period between 35 2008 and 2017, rather than collection 5.1 data from 2008 to 2011. Therefore, the 36 variation of cloud properties to the aerosol environment has become more clear. This 37 is explained throughout the revised manuscript (all the figures were changed/modified 38 in this respect). Furthermore, Section 4.2 was merged with section 4.3 (as new 39 40 Section 4.3, see pages 10-15), explicitly examining the difference of cloud properties 41 in relation to aerosol environment. Also a new Section 4.2 was added (see response to 42 question 3) to describe the meteorology of the four target regions, in support of the 43 interpretation of the regional variation of relationship between aerosol and cloud (see page 9-10). 44

8. Comments: Section 4.4 is too definitive considering the uncertainty shown in
Figure 7. I also missed a tie-back to basic cloud physics – how is the reader to
interpret the effect of aerosol concentration on cloud parameters when the air is
descending and cloud formation therefore suppressed? Some context is required
here to help the reader who is not familiar with such analyses.
Answer: Yes, we made this change (see page 16 lines 13-16). "The presence of
upward motion, as indicated by negative PVV, can enhance the interaction between

upward motion, as indicated by negative PVV, can enhance the interaction between aerosol particles and clouds as it makes the ambient environment favorable for cloud 9 formation, and vice versa (Jones et al., 2009)." has been changed to "The presence of 10 11 upward motion, as indicated by negative PVV, can enhance the interaction between aerosol particles and clouds as it promotes vertical mixing of the aerosol particles and 12 13 thus reach the cloud condensation level where they grow into cloud droplets (Jones et al., 2009)." As the variation of cloud properties to the aerosol environment has 14 15 become more clear, we also reorganized the sentences in the Section 4.4 (see pages 16 16-17).

17

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18 Minor comments

19 1. Comments: (1) Page 2, line 36: delete 'desperately'

20 **Answer**: Yes, we made this change (see page 3, line 1).

21

22 2. Comments: (2) Page 6, Figure 2: would plotting on log axes help the
23 differences to be visible? For the caption: presumably this is an example of a
24 PDF of CF?

Answer: We use collection 6.1 data and reanalyze all the data for the whole
acquisition period between 2008 and 2017, rather than collection 5.1 data from 2008
to 2011. So, Figure 2 has also been changed and the differences can be seen easily
(see page 6).

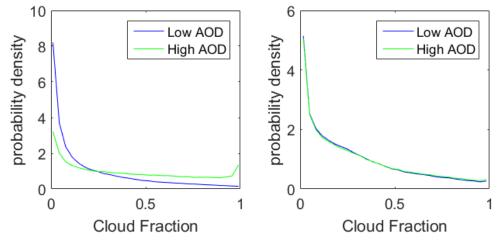


Figure 2. An example of the probability density distribution of warm cloud fraction (CF) for low
and high AOD conditions. (a) there is a strong link between AOD and CF before histogram
normalization, (b) the link is reduced after histogram normalization.

33

29

- 1 3. Comments: (3) Page 6, line 12: "Cloud_X (where X=CF, COT, CWP, CDR or
- 2 **CTP)" just for extra clarity.**
- 3 **Answer**: Yes, we made this change (see page 6, line 11).
- 4 5

6

4. Comments: (4) Page 6, line 13-16: "_Cloud_X[High AOD]" should be overbarred in text as in equation.

- 7 **Answer**: Yes, we made this change (see page 6, line 12).
- 8

9 5. Comments: (5) Page 9, line 27: plot should be plotted.

Answer: We use collection 6.1 data and reanalyze all the data for the whole
acquisition period between 2008 and 2017, rather than collection 5.1 data from 2008
to 2011. So, Figure 4 and 5 have been changed to Figure 5 and 6 (see page 12-13).

13

14 6. Comments: (6) Page 15, line 12: "when PVV is positive" is more consistent.

Answer: Because the analyzed dataset was different (MODIS C6.1 versus older
versions), the result also changed. We rephrased the sentence in the revised
manuscript (see page 16).

18

19 7. Comments: (7) Page 17, line 1: the statistical methods are not described 20 anywhere that I can see.

Answer: Page 19, lines 8-9: 'Data over these four study areas were collected for the years 2008 to 2017, and analyzed using statistical methods.' was changed to 'Data over these four study areas were collected for the years 2008 to 2017 and analyzed in statistical sense.' Here, we mean with statistical sense that we looked at the 10-year mean properties rather than at individual case studies.

2627 References

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