



1 **Core and margin in warm convective clouds. Part I: core types and evolution**  
2 **during a cloud's lifetime**

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24 **Abstract:**

25 The properties of a warm convective cloud are determined by the competition  
26 between the growth and dissipation processes occurring within it. One way to observe  
27 and follow this competition is by partitioning the cloud to core and margin regions.  
28 Here we look at three core definitions: positive vertical velocity ( $W_{core}$ ),  
29 supersaturation ( $RH_{core}$ ), and positive buoyancy ( $B_{core}$ ), and follow their evolution  
30 throughout the lifetime of warm convective clouds.

31 We show that the different core types tend to be proper subsets of one another in the  
32 following order:  $B_{core} \subseteq RH_{core} \subseteq W_{core}$ . Using single cloud and cloud field  
33 simulations, we find that this property is generally maintained during the growing and  
34 mature stages of a cloud's lifetime, but can break down during the dissipation stage.  
35 The cloud and its cores are centered at a similar location, while during dissipation the  
36 cores may reside at the cloud periphery.

37 A theoretical model is developed, showing that in both the adiabatic and non-adiabatic  
38 cases,  $B_{core}$  can be expected to be the smallest core, due to two main reasons: i)  
39 entrainment rapidly decreases the buoyancy core compared to the other core types,  
40 and ii) convective clouds may exist while being completely negatively buoyant (while  
41 maintaining positive vertical velocity and supersaturation).

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## 51 1. Introduction

52 Clouds are important players in the climate system (Trenberth et al., 2009), and  
53 currently constitute one of the largest uncertainties in climate and climate change  
54 research (IPCC, 2013). One of the reasons for this large uncertainty is the complexity  
55 created by opposing processes that occur at the same time but in different locations  
56 within a cloud. Although a cloud is generally considered as a single entity, physically,  
57 it can be partitioned to two main regions: i) a core region, where mainly cloud growth  
58 processes occur, and ii) a margin region, where cloud suppression processes occur.  
59 Changes in thermodynamic or microphysical (aerosol) conditions impact the  
60 processes in both regions (sometimes in different ways), and thus the resultant total  
61 cloud properties (Dagan et al., 2015). To better understand cloud properties and their  
62 evolution in time, it is necessary to understand the interplay between physical  
63 processes within the core and margin regions (and the way they are affected by  
64 perturbations in the environmental conditions).

65 Considering convective clouds, there are several parameters that are commonly used  
66 for separating a cloud's core from its margins (will be referred to as physical cores  
67 hereafter). Cloud buoyancy (which is the driving force for convection) is one of the  
68 intuitive parameters used and can be approximated by the following formula:

$$69 \quad B = g \cdot \left( \frac{\theta'}{\theta_0} + 0.61q'_v - LWC \right) \quad (1),$$

70 Where  $\theta_0$  represents the reference state potential temperature,  $q_v$  is the water vapor  
71 mixing ratio, and LWC is the liquid water content. The (') stands for the deviation  
72 from the reference state per height (Wang et al., 2009).

73 The vertical velocity ( $w$ ) and the supersaturation ( $S$ , where  $S=1$  is 100% relative  
74 humidity) are also commonly used for defining a cloud core, and are linked as  
75 follows:

$$76 \quad \frac{dS}{dt} = Q_1 w - Q_2 \frac{dLWC}{dt} \quad (2),$$

77 where  $Q_1, Q_2$  are thermodynamic factors (Rogers and Yau, 1989). The thermodynamic  
78 factors are nearly insensitive to pressure for temperature above 0°C, and both weakly  
79 decrease (less than 15% net change) with temperature increase between 0°C and 30°C



80 (Pinsky et al., 2012). The first term on the right-hand side is related to the change in  
81 the supersaturation due to adiabatic cooling or heating of the moist air (due to vertical  
82 motion). The second term is related to the change in the supersaturation due to  
83 condensation/evaporation of water vapor/drops. Hence, the supersaturation in a rising  
84 parcel depends on the magnitude of the updraft and on the condensation rate of vapor  
85 to drops (a sink term).

86 Previous works have used these objective measures to define a cloud core (with the  
87 margins defined as the remaining regions of the cloud). In deep convective cloud  
88 simulations the core is usually defined by the updrafts' magnitude using a certain  
89 threshold, usually  $W > 1 \text{ m}\cdot\text{s}^{-1}$  (Khairoutdinov et al., 2009; Lebo and Seinfeld,  
90 2011; Morrison, 2012; Kumar et al., 2015). (Siebesma and Cuijpers, 1995; Roode et al.,  
91 2012) studied the main parameters that affect warm cumulus clouds vertical velocity  
92 and defined the clouds' core as parts with positive buoyancy and positive updrafts.  
93 (Seigel, 2014) investigated shallow cumulus clouds using LES, and defined the cloud  
94 core as the positively buoyant part. He found that adding aerosols enhances turbulent  
95 mixing in the margins, which reduces the cloud's and cloud core's widths. (Wang et  
96 al., 2009) defined the core as the supersaturated part in the cloud, and showed that the  
97 negative buoyancy in the margins is due to evaporative cooling.

98 Here we explore the three different core definitions where the cloud core threshold is  
99 set to be a positive value (of buoyancy, vertical velocity, or supersaturation  $(S-1) > 0$ )  
100 so that each definition partitions the cloud according to a fundamental physical  
101 process taking place during cloud growth. A cloud forms only if water droplets are  
102 activated and grow by diffusion. For condensation of vapor on water droplets to  
103 occur, a necessary condition is humidity supersaturation within a volume of air. The  
104 supersaturation core definition partitions the cloud to areas of condensation and  
105 evaporation. Since we consider convective clouds here, the only driver of  
106 supersaturation (see Eq. (2)) during cloud growth is upward vertical motion of air.  
107 Thus, the vertical velocity core partitions the cloud to areas where the saturation ratio  
108 increases (upward motion) or decreases (downward motion). Buoyancy is a measure  
109 for the vertical acceleration and its integral is the convective potential energy, or the  
110 fuel that drives cloud growth. Neglecting cases of large scale motion or air flow near  
111 obstacles, buoyancy is the main source for vertical momentum in the cloud. The



112 buoyancy core partitions the cloud to areas of increase or decrease in the upward  
113 vertical motion.

114 The goals of this part of the work are to compare and understand the differences  
115 between the three basic definitions of cloud core (i.e.  $W_{core}$ ,  $RH_{core}$ ,  $B_{core}$ ) throughout  
116 a convective cloud's lifetime, using both theoretical arguments and numerical  
117 simulations. The differences between the cores' evolution in time shed new light on  
118 the competition of processes within a cloud in time and space. Moreover, such an  
119 understanding can serve as a guideline to all studies that perform the partition to cloud  
120 core and margin, and assist in determining the relevance of a given partition. For  
121 simplicity, we focus here on warm convective clouds (only contain liquid water),  
122 avoiding the additional complexity and uncertainties associated with mixed phase and  
123 ice phase microphysics. In Part II of this work we demonstrate some of the insights  
124 gained by investigating differences between the different cores properties and their  
125 time evolution when changing the aerosol loading.

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## 127 **2. Methods**

### 128 **2.1. Single cloud model**

129 For single cloud simulations we use the Tel-Aviv University axisymmetric, non-  
130 hydrostatic, warm convective single cloud model (TAU-CM). It includes a detailed  
131 (explicit) treatment of warm cloud microphysical processes solved by the multi-  
132 moment bin method (Feingold et al., 1988; Tzivion et al., 1989; Feingold et al.,  
133 1991; Tzivion et al., 1994). The warm microphysical processes included in the model  
134 are nucleation, diffusion (i.e. condensation and evaporation), collisional coalescence,  
135 breakup and sedimentation (for a more detailed description, see (Reisin et al., 1996)).

136 Convection was initiated using a thermal perturbation near the surface. A time step of  
137 1 sec is chosen for dynamical computations, and 0.5 sec for the microphysical  
138 computations (e.g. condensation-evaporation). The total simulation time is 80 min.  
139 There are no radiation processes in the model. The domain size is 5x6 km, with an  
140 isotropic 50 m resolution. The model is initialized using a Hawaiian thermodynamic  
141 profile, based on the 91285 PHTO Hilo radiosonde at 00Z, 21 Aug, 2007. A typical  
142 oceanic size distribution of aerosols is chosen (Altartatz et al., 2008; Jaenicke, 1988),



143 with a total concentration of  $500 \text{ cm}^{-3}$ . This concentration produced clouds that are  
144 non- to weakly- precipitating. In Part II additional aerosol concentrations are  
145 considered, including ones which produce heavy precipitation.

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## 147 **2.2. Cloud field model**

148 Warm cumulus cloud fields are simulated using the System for Atmospheric  
149 Modeling (SAM) Model (version 6.10.3, for details see webpage:  
150 <http://rossby.msrc.sunysb.edu/~marat/SAM.html>) (Khairoutdinov and Randall,  
151 2003)). SAM is a non-hydrostatic, anelastic model. Cyclic horizontal boundary  
152 conditions are used together with damping of gravity waves and maintaining  
153 temperature and moisture gradients at the model top. An explicit Spectral Bin  
154 Microphysics (SBM) scheme (Khain et al., 2004) is used. The scheme solves the same  
155 warm microphysical processes as in the TAU-CM single cloud model, and uses an  
156 identical aerosol size distribution and concentration (i.e.  $500 \text{ cm}^{-3}$ ) for the droplet  
157 activation process.

158 We use the BOMEX case study as our benchmark for shallow warm cumulus fields.  
159 This case simulates a trade-wind cumulus (TCu) cloud field based on observations  
160 made near Barbados during June 1969 (Holland and Rasmusson, 1973). This case  
161 study has a well established initialization setup (sounding, surface fluxes, and surface  
162 roughness) and large scale forcing setup (Siebesma et al., 2003). It has been  
163 thoroughly tested in many previous studies (Heus et al., 2009; Jiang et al., 2006; Xue  
164 and Feingold, 2006; Grabowski and Jarecka, 2015). To check the robustness of the  
165 cloud field results, two additional case studies are simulated: (1) The same Hawaiian  
166 profile used to initiate the single cloud model, and (2) an Amazonian warm cumulus  
167 case based on the afternoon dry season mean profile for August 2001 obtained during  
168 the Large-scale Biosphere-Atmosphere (LBA) experiment data at Belterra, Brazil  
169 (Dias et al., 2012).

170 All three soundings (BOMEX, Hawaiian, and Amazonian) and surface properties  
171 used to initialize the model are detailed in (Heiblum et al., 2016b). The grid size is set  
172 to 100 m in the horizontal direction and 40 m in the vertical direction for all  
173 simulations. The domain size is 12.8 km x 12.8 km x 4 km for the BOMEX



174 simulation and extends to 5 km, 6 km in the vertical direction for the Hawaii and  
175 Amazon simulations, respectively. The time step for computation is 1 s for all  
176 simulations, with a total runtime of 8 hours. The initial temperature perturbations  
177 (randomly chosen within  $\pm 0.1$ -1 °C) are applied near the surface, during the first time  
178 step.

179

### 180 **2.3. Physical and Geometrical Core definitions**

181 A cloudy pixel is defined here as a grid-box with liquid water amount that exceeds  
182  $0.01 \text{ g kg}^{-1}$ . The physical core of the cloud is defined using three different definitions:  
183 1)  $RH_{core}$ : all grid boxes for which the relative humidity (RH) exceeds 100%, 2)  
184  $B_{core}$ : buoyancy (see definition in Eq. (1)) above zero. The buoyancy is determined in  
185 each time step by comparing each cloudy pixel with the mean thermodynamic  
186 conditions for all non-cloudy pixels per vertical height, and 3)  $W_{core}$ : vertical velocity  
187 above zero. These definitions apply for both the single cloud and cloud field model  
188 simulations used here. Additional thresholds have also been checked for the updrafts  
189 or buoyancy definitions, yielding similar conclusions.

190 The centroid (i.e. mean location in each of the axes) is used here to represent the  
191 geometrical location of the total cloud (i.e. cloud geometrical core) and its specific  
192 physical cores. The distances between the total cloud and its cores' centroids, as  
193 presented here, are normalized to cloud size to reflect the relative distance between  
194 the two centroids, where 0 indicates coincident physical and geometrical cores and 1  
195 indicates a physical core located at the cloud boundary. The single cloud simulations  
196 rely on an axisymmetric model and thus all centroids are horizontally located on the  
197 center axis while vertical deviations are permitted. For this model the distance is  
198 normalized by half the cloud's thickness. For the cloud field simulations both  
199 horizontal and vertical deviations are possible, therefore distances are normalized by  
200 the cloud's volume radius.

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### 202 **2.4. Center of gravity vs. Mass (CvM) phase space**



203 Recent studies (Heiblum et al., 2016b, a) suggested the Center-of-Gravity vs. Mass  
204 (CvM) phase space as a useful approach to reduce the high dimensionality and to study  
205 results of large statistics of clouds during different stages of their lifetimes (such as  
206 seen in cloud fields). In this space, the Center-of-Gravity (COG) height and mass of  
207 each cloud in the field at each output time step (taken here to be 1 min) are collected  
208 and projected in the CvM phase space. This enables a compact view of all clouds in  
209 the simulation during all stages of their lifetimes. Although the scatter of clouds in the  
210 CvM is sensitive to the microphysical and thermodynamic settings of the cloud field,  
211 it was shown that the different subspaces in the CvM space correspond to different  
212 cloud processes and stages (Heiblum et al., 2016b, a). The lifetime of a cloud can be  
213 described by a trajectory on this phase space.

214 A schematic illustration of the CvM space is shown in Fig. 1. Most clouds are  
215 confined between the adiabat (curved dashed line) and the inversion layer base  
216 (horizontal dashed line). The adiabat curve corresponds to the theoretical evolution of  
217 a moist adiabat 1D cloud column in the CvM space. The large majority of clouds form  
218 within the growing branch (yellow shade) at the bottom left part of the space, adjacent  
219 to the adiabat. Clouds then follow the growing trajectory (grow in both COG and  
220 mass) to some maximal values. The growing branch deviates from the adiabat at large  
221 masses depending on the degree of sub-adiabaticity of the cloud field. After or during  
222 the growth stage of clouds, they may undergo the following processes: i) dissipate via  
223 a reverse trajectory along the growing one, ii) dissipate via a gradual dissipation  
224 trajectory (magenta shade), iii) shed off small mass cloud fragments (red shades), iv)  
225 in the case of precipitating clouds, they can shed off cloud fragments in the sub-  
226 cloudy layer (grey shade). The former two processes form continuous trajectories in  
227 the CvM space, while the latter two processes create disconnected subspaces.

228

## 229 **2.5. Cloud tracking**

230 To follow the evolution of individual clouds within a cloud field we use an automated  
231 3D cloud tracking algorithm (see (Heiblum et al., 2016b) for details). It enables  
232 tracking of Continuous Cloud Entities (CCEs) from formation to dissipation, even if  
233 interactions between clouds (splitting or merging) occur during that lifetime. A CCE  
234 initiates as a new cloud forming in the field, and is tracked on the condition that it





235 retains the majority (>50%) of its mass during an interaction event if occurs. Thus, a  
236 CCE can terminate due to either cloud dissipation or cloud interactions.

237

### 238 3. Results - Single cloud simulation

239 The differences between the three types of core definitions are examined during the  
240 lifetime of a single cloud (Fig. 2), based on the Hawaiian profile. The cloud's total  
241 lifetime is 36 minutes (between  $t=7$  and  $t=43$  min of simulation). Each panel in Fig. 2  
242 presents vertical cross-sections of the three cores (magenta -  $W_{core}$ , green -  $RH_{core}$ ,  
243 and yellow -  $B_{core}$ ) at four points in time (with 10-minute intervals). The cloud has an  
244 initial cloud base at 850m, and grows to a maximal top height of 2050 m. The  
245 condensation rates (red shades) increase toward the cloud center and the evaporation  
246 rates (blue shades) increase toward the cloud edges. Evaporation at the cloud top  
247 results in a large eddy below it that contributes to mixing and evaporation at the  
248 lateral boundaries of the cloud. Thus, a positive feedback is initiated which leads to  
249 cooling, negative buoyancy, and downdrafts. The dissipation of the cloud is  
250 accompanied with a rising cloud base and lowering of the cloud top.

251 During the growing stage ( $t=10, 20$  min), when substantial condensation still occurs  
252 within the cloud, all of the cores seem to be self-contained within one another, with  
253  $B_{core}$  being the smallest and  $W_{core}$  being the largest. During the final dissipation  
254 stages, when the cloud shows only evaporation ( $t=40$ ),  $W_{core}$  and  $RH_{core}$  disappear  
255 while there is still a small  $B_{core}$  near the cloud top. Further analysis shows that the  
256 entire dissipating cloud is colder and more humid than the environment but  
257 downdrafts from the cloud top (see arrows in Fig. 2) promote adiabatic heating, and  
258 by that increase the buoyancy in dissipating cloudy pixels, sometimes reaching  
259 positive values. These buoyant pockets will be discussed further in Part II. The results  
260 indicate that the three types of physical cores of the cloud are not located around the  
261 cloud's geometrical core along the whole cloud lifetime. During cloud growth the  
262 three types of cores surround the cloud's center, while during late dissipation the  $B_{core}$   
263 is at offset from the cloud center.

264 For a more complete view of the evolution of the three core types in the single cloud  
265 case, time series of core fractions are shown in Fig. 3. Panels a and b show the core



266 mass (core mass / total mass) and volume (core volume / total volume) fractions out  
267 of the cloud's totals. The results are similar for both measures expect for the fact that  
268 core mass fractions are larger than core volume fractions. This is due to significantly  
269 higher LWC per pixel in the cores compared to the margins, which skews the core  
270 mass fraction to higher values. Core mass fractions during the main cloud growing  
271 stage (between  $t=7$  and  $t=27$  min simulation time) are around 0.7 - 0.85 and core  
272 volume fractions are around 0.5 - 0.7. The time series show that as opposed to the  
273  $W_{core}$  and  $RH_{core}$  fractions which decrease monotonically with time,  $B_{core}$  shows a  
274 slight increase during stages of cloud growth. In addition, for most of the cloud's  
275 lifetime the  $B_{core}$  fractions are the smallest and the  $W_{core}$  fractions are the largest,  
276 except for the final stage of the clouds dissipation where downdrafts from the cloud  
277 top creates pockets of positive buoyancy. These pockets are located at the cloud's  
278 peripheral regions rather than near the cloud's geometrical center as is typically  
279 expected for the cloud's core. In the cloud's center (the geometrical core) the  $B_{core}$  is  
280 the first one to terminate (at  $t=32$  min) compared to both  $W_{core}$  and  $RH_{core}$  that decay  
281 together (at 36 min).

282 For describing the locations of the physical cores, we examine the distances between  
283 the cloud's centroid and the cores' centroids. The evolution of these distances is  
284 shown in Fig. 3c. At cloud initiation ( $t=7$  min), when the cloud is very small, all  
285 cores' centroids coincide with the total cloud centroid location. The  $B_{core}$  (and  
286  $RH_{core}$  to a much lesser degree) centroid then deviates from the cloud centroid to a  
287 normalized distance of 0.27 ( $t=8$  min). As cloud growth proceeds,  $B_{core}$  grows and its  
288 centroid coincides with the cloud's centroid. All cores' centroids are located near the  
289 cloud centroid during the majority of the growing and mature stages of the cloud,  
290 showing normalized distances  $<0.1$ . During dissipation ( $t>27$  min), the cores' centroid  
291 locations start to distance away from the cloud's geometrical core followed by a  
292 reduction in distances due to the rapid loss of cloud volume. As mentioned above, it is  
293 shown that the regeneration of positive buoyancy at the end of cloud dissipation ( $t=40$   
294 min) takes place at the cloud edges, with normalized distance  $>0.5$ .

295 Finally, in Fig. 3d the fraction of pixels of each core contained within another core is  
296 shown. It can be seen that for the majority of cloud lifetime (up to  $t=33$  min)  $B_{core}$  is  
297 subset (pixel fraction of 1) of  $RH_{core}$ , and the latter is a subset of  $W_{core}$ . As expected,



298 the other three permutations of pixel fractions (e.g.  $W_{core}$  in  $B_{core}$ ) show much lower  
299 values. The cloudy regions that are not included within  $B_{core}$  but are included within  
300 the two other cores are exclusively at the cloud's boundaries (see Fig. 2). The same  
301 pattern is seen for cloudy regions that are included within  $W_{core}$  but not in  $RH_{core}$ .  
302 During the dissipation stage of the cloud its self-containing property (i.e.  $B_{core} \subseteq$   
303  $RH_{core} \subseteq W_{core}$ ) breaks down. Similar temporal evolutions as shown here are seen  
304 for the other simulated clouds (with various aerosol concentrations) in part II of this  
305 work. A theoretical explanation for the different sizes of different core types and their  
306 subset properties is suggested in the next section.

307

#### 308 4. Theoretical considerations explaining the single cloud simulation results

309 Here we propose simple physical considerations that predict the simulated difference  
310 in cloud partition to core and margin using different definitions. It should first be  
311 noted that by definition, water loading has a negative effect on buoyancy (see Eq. (1))  
312 and constitutes a constant negative feedback during cloud convective growth.  
313 Nevertheless, the sign of buoyancy is dependent on cloud and environmental factors  
314 and cannot be generalized. We start with the idealized case of an adiabatic cloud and  
315 then add another layer of complexity and consider the effects of mixing of cloudy and  
316 non-cloudy air.

##### 317 4.1. Adiabatic model

318 For the case of an adiabatic cloud column, the excess vapor above saturation is  
319 instantaneously converted to liquid (saturation adjustment). Thus, the adiabatic cloud  
320 is saturated ( $S=1$ ) throughout its vertical profile, and only  $W_{core}$  and  $B_{core}$  differences  
321 can be considered. It is assumed that the adiabatic convective cloud is initiated by  
322 positive buoyancy initiating from the sub-cloudy layer. Neglecting the pressure  
323 gradient force, the vertical velocity at each height can be approximated by the  
324 convective available potential energy (CAPE) of the vertical column up to that height  
325 (Williams and Stanfill, 2002; Yano et al., 2005; Rennó and Ingersoll, 1996):

$$326 \quad 0.5w^2(h) = \int_{h_0}^h B(z) dz = CAPE(h) \quad (3).$$



327 Here we define CAPE to be the vertical integral of buoyancy from the lowest level of  
328 positive buoyancy ( $h_0$ , initiation of vertical velocity) to an arbitrary top height ( $h$ ).  
329 As long as the cloud is growing it should have positive CAPE and will experience  
330 positive  $w$  throughout the column even if the local buoyancy at specific height is  
331 negative. Eventually the cloud must decelerate due to negative buoyancy and reach a  
332 top height, where  $CAPE = 0$  and  $w = 0$ . Hence, for the adiabatic case,  $B_{core}$  is always  
333 a proper subset of  $W_{core}$  ( $B_{core} \subset W_{core}$ ).

334

#### 335 4.2. Cloud parcel entrainment model

336 In reality, clouds are not isolated and mixing with the environment must be taken into  
337 account. To test the effects of mixing on the cores, we first consider mixing between  
338 an adiabatic cloudy parcel and a non-cloudy environmental parcel. The details of  
339 these theoretical calculations are shown in Appendix A. The initial cloudy parcel is  
340 assumed to be saturated (part of  $RH_{core}$ ), have positive vertical velocity (part of  
341  $W_{core}$ ), and experience either positive or negative buoyancy (part  
342 of  $B_{core}$  or  $B_{margin}$ ), as is seen for the adiabatic column case. Additionally, mixing is  
343 assumed to be isobaric, and in a steady environment where the average temperature of  
344 the environment per a given height does not change. The resultant mixed parcel will  
345 have lower humidity content and lower LWC as compared to the initial cloudy parcel,  
346 and a new temperature. In nearly all cases (beside in an extremely humid  
347 environment) the mixed parcel will be sub-saturated and evaporation of LWC will  
348 occur. Evaporation ceases when equilibrium is reached due to air saturation ( $S=1$ ) or  
349 due to complete evaporation of the droplets (which means  $S<1$ , and the mixed parcel  
350 is no longer cloudy since it has no liquid water content).

351 In addition to mixing between cloudy (core or margin) and non-cloudy parcels,  
352 mixing between core and margin parcels (within the cloud) also occurs. This mixing  
353 process can be considered as “entrainment-like” with respect to the cloud core.  
354 Considering the changes in the  $W_{core}$  and  $RH_{core}$ , there is no fundamental difference  
355 in the treatment of mixing of cloudy and non-cloudy parcels, or mixing between core  
356 and margin (because the margins and the environment are typically sub-saturated and  
357 experience negative vertical velocity). However, for the changes in the  $B_{core}$  after



358 mixing, there exists a fundamental difference between mixing *with* the reference  
359 temperature/humidity state (in the case of mixing with the environment) and mixing  
360 *given* a reference temperature/humidity state (in mixing between  $B_{core}$  and  $B_{margin}$ ).  
361 Thus, it is interesting to check the effects of mixing between  $B_{core}$  and  $B_{margin}$   
362 parcels on the total extent of the  $B_{core}$  with respect to the other two core types. The  
363 details of this second case are shown in Appendix B.

364

#### 365 4.2.1. Effects of non-cloudy entrainment on buoyancy

366 When mixed with non-cloudy air, the change in buoyancy of the initial cloudy parcel  
367 (which is a part of  $W_{core}$  and  $RH_{core}$  and either  $B_{core}$  or  $B_{margin}$ ) happens due to both  
368 mixing and evaporation processes. The theoretical calculations show that for all  
369 relevant temperatures ( $\sim 0^{\circ}\text{C}$  to  $30^{\circ}\text{C}$ , representing warm Cu), the change in the  
370 parcel's buoyancy due to evaporation alone will always be negative (see appendix A).  
371 It is because the negative effect of the temperature decrease outweighs the positive  
372 effects of the humidity increase and water loading decrease. Nevertheless, the total  
373 change in the buoyancy (due to both mixing and evaporation) depends on the initial  
374 temperature, relative humidity, and liquid water content of the cloudy and non-cloudy  
375 parcels.

376 In Fig. A1 a wide range of non-cloudy environmental parcels, each with their own  
377 thermodynamic conditions, are mixed with a saturated cloud parcel with either  
378 positive or negative buoyancy. The main conclusions regarding the effects of such  
379 mixing on the buoyancy are as follows:

- 380 i. To a first order, the initial buoyancy values are temperature dependent,  
381 where a cloudy parcel that is warmer (colder) by more than  $\sim 0.2^{\circ}\text{C}$   
382 than the environment will be positively (negatively) buoyant for  
383 common values of cloudy layer environment relative humidity  
384 ( $\text{RH} > 80\%$ ).
- 385 ii. Parcels that are initially part of  $B_{core}$  may only lower their buoyancy  
386 due to entrainment, either to positive or negative values depending on  
387 the environmental conditions.



388      iii. The lower the environmental RH, the larger the probability for parcel  
389           transition from  $B_{core}$  to  $B_{margin}$  after entrainment.

390      iv. Parcels that are initially part of  $B_{margin}$  can either increase or  
391           decrease their buoyancy value, but never become positively buoyant.  
392           The former case (buoyancy decrease) is expected to be more prevalent  
393           since it occurs for the smaller range of temperature differences with  
394           the environment.

395   In summary, entrainment is expected to always have a net negative effect on  $B_{core}$   
396   extent and  $B_{margin}$  values, while evaporation feedbacks serve to maintain  $RH_{core}$  in  
397   the cloud. Thus, we can predict that  $B_{core}$  should be a subset of  $RH_{core}$  (i.e.  $B_{core} \subseteq$   
398    $RH_{core}$ ).

399

#### 400   **4.2.2. Effects of core and margin mixing on buoyancy**

401   Here we consider the case of mixing between the  $B_{core}$  and  $B_{margin}$ , meaning  
402   positively buoyant and negatively buoyant cloud parcels. For simplicity, we assume  
403   both parcels are saturated ( $S=1$ , both included in the  $RH_{core}$ ). As seen above, such  
404   conditions exist in both the adiabatic case and in the case where an adiabatic cloud has  
405   undergone some entrainment with the environment. The buoyancy differences  
406   between the saturated parcels are mainly due to temperature differences, but also due  
407   to the increasing saturation vapor pressure with increasing temperature (see Appendix  
408   B for details).

409   In Fig. B1 it is shown that the resultant mixed parcel's buoyancy can be either positive  
410   or negative, depending on the magnitude of temperature difference of each parcel  
411   (core or margin) from that of the environment. However, in all cases the mixed parcel  
412   is supersaturated. This result can be generalized: given two parcels with equal RH but  
413   different temperature, the RH of the mixed parcel is always equal or higher than the  
414   initial value. Hence,  $B_{core}$  can either increase or decrease in extent, while the  $RH_{core}$   
415   can only increase due to mixing between saturated  $B_{core}$  and  $B_{margin}$  parcels. This  
416   again strengthens the assumption that  $B_{core}$  should be a subset of  $RH_{core}$ .



417 We note that an alternative option for mixing between the core and margin parcels  
418 that exist here, where either or both of the parcels are subsaturated so that the mixed  
419 parcel is subsaturated as well. In this case evaporation will also occur. As seen in  
420 Appendix A, this should further reduce the buoyancy value of the mixed parcel (while  
421 increasing the RH).

422

#### 423 **4.2.3. Effects of entrainment on vertical velocity**

424 We divide the entrainment effects on the  $W_{core}$  to two: i) a direct effect which  
425 includes conservation of momentum of vertical velocity between the core and  
426 margin/non-cloudy parcels, and ii) an indirect effect of vertical velocity changes due  
427 to buoyancy changes caused by the entrainment. The direct effect can be considered  
428 to occur instantaneously. Assuming homogeneous mixing of both parcels and a  
429 mixing fraction of 0.5, the direct effect can be simplified to conservation of  
430 momentum before and after mixing. Since both parcels are approximately of equal  
431 mass (in isobaric mixing), the mixed parcel's vertical velocity will be the average of  
432 the initial velocities. If the absolute value of the updraft in the  $W_{core}$  parcel is larger  
433 than that of the downdraft in the margin/non-cloudy parcel, the resultant mixed parcel  
434 will remain part of  $W_{core}$ . This is usually the case during the growing stages in clouds,  
435 where it can be assumed that the surrounding air around  $W_{core}$  is at rest or with  
436 downdrafts weaker than the updrafts within the  $W_{core}$ .

437 As opposed to the direct effect, the indirect effect is time dependent. The calculations  
438 in Appendix A indicates negative buoyancy values reaching  $-0.1 \text{ m/s}^2$  due to  
439 entrainment. However, measurements from within clouds show that the temperature  
440 deficiency of cloudy parcels with respect to the environment is generally restricted to  
441 less than  $1^\circ\text{C}$  for cumulus clouds (Sinkevich and Lawson, 2005; Burnet and Brenguier,  
442 2010; Wei et al., 1998; Malkus, 1958), and thus the negative buoyancy should be no  
443 more larger than  $-0.05 \text{ m/s}^2$ . This value is closer to current and previous simulations  
444 and also observations that show negative buoyancy values within clouds to be  
445 confined between  $-0.001$  and  $-0.01 \text{ m/s}^2$  (Roode et al., 2012; Ackerman, 1956). Given  
446 an initial vertical velocity of  $\sim 1 \text{ m/s}$ , the deceleration due to buoyancy (and reversal  
447 to negative vertical velocity) should occur within a typical time range of 1 - 10  
448 minutes. These timescales are much longer than the typical timescales of entrainment



449 (mixing and evaporation that eliminate the  $B_{core}$ ) which range between 1 – 10 s  
450 (Lehmann et al., 2009). Therefore, even if entrainment acts to reduce vertical velocity,  
451 it does so with substantial delay compared to the reduction of buoyancy, and  $B_{core}$   
452 should be a subset of  $W_{core}$  (i.e.  $B_{core} \subseteq W_{core}$ ) during the growing and mature  
453 stages of a cloud's lifetime.

454

### 455 **4.3. The relation between supersaturation and vertical velocity cores**

456 Here we revisit Eq. (2), and review the possible relations of  $W_{core}$  and  $RH_{core}$  in a  
457 warm convective cloud. A rising parcel initially has LWC=0 with its only source of  
458 supersaturation being the updraft  $w$ , and thus initially the  $RH_{core}$  should always be a  
459 proper subset of  $W_{core}$ . In general, since the sink term  $\frac{dLWC}{dt}$  becomes a source only  
460 when  $S < 1$  (the condition for evaporation), the only way for a convective cloud to  
461 produce supersaturation (i.e.  $S > 1$ ) is by updrafts during all stages of its lifetime. Once  
462 supersaturation is achieved, the sink term becomes positive  $\frac{dLWC}{dt} > 0$  and balances  
463 the updraft source term, so that supersaturation either increases or decreases. At any  
464 stage, if downdrafts replace the updrafts within a supersaturated parcel, the  
465 consequent change in supersaturation becomes strictly negative (i.e.  $\frac{dS}{dt} < 0$ ). This  
466 negative feedback limits the possibility to find supersaturated cloudy parcels with  
467 downdrafts. Hence, we can expect the  $RH_{core}$  to be smaller than  $W_{core}$ , even though  
468 not necessarily a proper subset.

469

## 470 **5. Results - Cloud field simulations**

### 471 **5.1. Partition to different core types**

472 To test the robustness of the observed behaviors seen for a single cloud (and  
473 explained in the theoretical part), it is necessary to check whether they also apply to  
474 large statistics of clouds in a cloud field. The BOMEX simulation is taken for the  
475 analyses here. We discard the first 3 hours of cloud field data, during which the field  
476 spins-up and its mean properties are unstable. In Fig. 4 the volume and mass fractions  
477 of the three core types are compared for all clouds (at all output times – every 1 min)





478 in the CvM space. As seen in Fig. 1, the location of specific clouds in the CvM space  
479 indicates their stage in evolution. Most clouds are confined to the region between the  
480 adiabat and the inversion layer base except for small precipitating (lower left region)  
481 and dissipating clouds (upper left region). The color shades of the clouds indicate  
482 whether a cloud is mostly core (red), mostly margin (blue), or equally divided to core  
483 and margin (white).

484 As seen for the single cloud, the core mass fractions tend to be larger than core  
485 volume fractions, for all core types. This is due to the fact that LWC values in the  
486 cloud core regions are higher than in margin regions, so that a cloud might be core  
487 dominated in terms of mass while being margin dominated in terms of volume.  
488 Focusing on the differences between core types, the color patterns in the CvM space  
489 imply that  $B_{core}$  definition yields the lowest core fractions (for both mass and  
490 volume), followed by  $RH_{core}$  with higher values and  $W_{core}$  with the highest values.  
491 The absence of the  $B_{core}$  is especially noticeable for small clouds in their initial  
492 growth stages after formation (COG  $\sim$  550 m and LWP  $<$  1 g m<sup>-2</sup>). Those same clouds  
493 show the highest core fractions for the other two core definitions. This large  
494 difference can be explained by the existence of the transition layer (Garstang and  
495 Betts, 1974; Grant and Lock, 2004; Malkus, 1958; Neggers et al., 2007; de Roode and  
496 Bretherton, 2003) near the lifting condensation level (LCL) in warm convective cloud  
497 fields which is the approximated height of a convective cloud base (Meerkötter and  
498 Bugliaro, 2009; Craven et al., 2002). Within this layer parcels rising from the sub-  
499 cloudy layer are generally colder than parcels subsiding from the cloudy layer. Thus,  
500 this transition layer clearly marks the lower edge of the buoyancy core as most  
501 convective clouds are initially negatively buoyant.

502 Generally, the growing cloud branch (i.e. the CvM region closest to the adiabat) shows  
503 the highest core fractions. The  $RH_{core}$  and  $W_{core}$  fractions decrease with cloud growth  
504 (increase in mass and COG height) while the  $B_{core}$  initially increases, shows the  
505 highest fraction values around the middle region of the growing branch and then  
506 decreases for the largest clouds. The transition from the growing branch to the  
507 dissipation branch is manifested by a transition from core dominated to margin  
508 dominated clouds (i.e. transition from red to blue shades). Mixed within the margin  
509 dominated dissipating cloud branch, a scatter of  $W_{core}$  dominated small clouds can be



510 seen as well. These represent cloud fragments which shed off large clouds during their  
511 growing stages with positive vertical velocity. They are sometimes  $RH_{core}$  dominated  
512 as well but are strictly negatively buoyant. The few precipitating cloud fragments seen  
513 for this simulation (cloud scatter located below the adiabat) tend to be margin  
514 dominated, especially for the  $RH_{core}$ .

515

## 516 **5.2. Self-contained properties of cores**

517 From Fig. 4 it is clear that  $W_{core}$  tends to be the largest and  $B_{core}$  tends to be the  
518 smallest. To what degree however, are the cores self-contained within one another as  
519 was seen for the single cloud simulation? It is also interesting to check whether the  
520 different physical cores are centered near the cloud's geometrical core. In Fig. 5 the  
521 pixel fraction of each core type within another core type is shown for all clouds in the  
522 CvM space. A pixel fraction of 1 (bright colors) indicates that the pixels of the  
523 specific core in question (labeled in each panel title) completely overlap with the  
524 pixels of the other core (also labeled in the panel title) and a pixel fraction of 0 (dark  
525 colors) indicates zero overlap between the two cores in the cloud. It is seen that  $B_{core}$   
526 tends to be a subset of both other cores, with pixel fractions around 0.75-1 for most of  
527 the growing branch area and large mass dissipating clouds which still have some  
528 positive buoyancy. The pixel fractions are higher for  $B_{core}$  inside  $W_{core}$  compared  
529 with  $B_{core}$  inside  $RH_{core}$ , but both show decrease with increase in growing branch  
530 cloud mass, meaning that chance for perfect self-containing of the cores decreases in  
531 large clouds.

532 The CvM space of  $RH_{core}$  inside  $W_{core}$  shows an even stronger relation between these  
533 two core types. For almost all growing branch clouds, the  $RH_{core}$  is a subset of  $W_{core}$   
534 (i.e.  $RH_{core} \subseteq W_{core}$ ). The pixel fractions decrease gradually with loss of cloud mass  
535 in the dissipation branch. The other three permutations of pixel fractions ( $W_{core}$  inside  
536  $B_{core}$ ,  $W_{core}$  inside  $RH_{core}$ , and  $RH_{core}$  inside  $B_{core}$ ) give an indication of cores sizes  
537 and of which cloud types show no overlap between different cores. As stated above,  
538 growing (dissipation) clouds show higher (lower) overlap between the different core  
539 types. The  $W_{core}$  is almost twice as large as the  $B_{core}$  and 30%-40% larger than the  
540  $RH_{core}$  along most of the growing branch. In conclusion, we see a strong tendency for



541 the self-containing property of cores ( $B_{core} \subseteq RH_{core} \subseteq W_{core}$ ) during the growth  
542 stages of clouds. This property ceases for dissipating and precipitating clouds,  
543 especially for the smaller clouds which show less overlap between core types.

544 In Fig. 6 the distances between the total cloud centroid and each specific physical core  
545 centroid locations are evaluated. Along the growing branch the cloud centroid and  
546 physical cores' centroids tend to be of close proximity, while during cloud dissipation  
547 the cores' centroids tend to increase in distance from the cloud's center. This type of  
548 evolution is most prominent for the  $W_{core}$ , which shows a clear gradient of transition  
549 from small (dark colors) to large (bright colors) distances. The  $B_{core}$  shows a more  
550 complex transition, from intermediate distance values ( $\sim 0.5$ ) at cloud formation, to  
551 near zeros values along the mature part of the growing branch, back to large values in  
552 the dissipation branch. Along the growing branch  $RH_{core}$  shows distances comparable  
553 to the  $W_{core}$  (except for large distances at cloud formation). However, compared to  
554 the other two core types,  $RH_{core}$  shows the smallest distances to the geometrical core  
555 during cloud dissipation. This is manifested by a relative absence of bright colors for  
556 dissipating clouds in Fig. 6.

557 The prevalence of cloud edge  $B_{core}$  pixels during dissipation can be explained by  
558 adiabatic heating due to weak downdrafts (see Sect. 4.2, Part II) which are expected at  
559 the cloud periphery. The fact that there is little overlap between  $B_{core}$  and both  $W_{core}$   
560 and  $RH_{core}$  pixels in dissipating clouds (see Fig. 5) serves to verify this assumption.  
561 The relative absence of isolated  $RH_{core}$  pixels at the cloud edges can be explained by  
562 the fact the pixels closest to the cloud's edge are most susceptible to mixing with non-  
563 cloudy air and evaporation, yielding subsaturation conditions. The innermost pixels  
564 are "protected" from such mixing and thus we can expect most  $RH_{core}$  pixels to be  
565 located near the geometrical core.

566 The  $W_{core}$  case is less intuitive. During cloud dissipation complex patterns of updrafts  
567 and downdrafts within the cloud can create scenarios where the  $W_{core}$  centroid is  
568 located anywhere in the cloud. However, the results show that most small dissipating  
569 clouds tend to have their  $W_{core}$  pixels concentrated at the cloud edges. Comparing  
570 Fig. 6 with Figs. 4 and 5, we can see that these pixels comprise only a tiny fraction of  
571 the already small clouds and do not overlap with  $RH_{core}$  and  $B_{core}$  pixels and thus  
572 are not related to significant convection processes. Further analysis shows that the



573 maximum updrafts in these clouds rarely exceed 0.5 m/s (i.e. 90% of clouds with  
574 normalized distance  $> 0.9$  have a maximum updraft of less than 0.5 m/s), and can thus  
575 be considered with near neutral vertical velocity.

576

### 577 **5.3. Consistency of the cloud partition to core types**

578 The results for cloud fields are summarized in Fig. 7 that presents the evolution of  
579 core fractions of continuous cloud entities (CCEs, see Sect. 2.5 for details) from  
580 formation to dissipation. Only CCEs that undergo a complete life cycle are averaged  
581 here. These CCEs fulfill the following four conditions: i) form near the LCL, ii) live  
582 for at least 10 minutes, iii) reach maximum cloud mean LWP values above  $10 \text{ g m}^{-2}$ ,  
583 and iv) terminate with mass value below  $10 \text{ g m}^{-2}$ . As a test of generality, we  
584 performed this analysis for Hawaiian and Amazonian warm cumulus cloud field  
585 simulations in addition to the BOMEX one. For each simulation, tens to hundreds of  
586 CCEs are collected (see panel titles) and their core fractions are averaged according to  
587 their normalized lifetimes ( $\tau$ ). Consistent results are seen for all three simulations.  
588 Clouds initiate with a  $W_{core}$  fraction of  $\sim 1$ ,  $RH_{core}$  fraction of  $\sim 0.8$ , and  $B_{core}$   
589 fraction of  $\sim 0.1$ . The former two core types' volume fraction decreases monotonically  
590 with lifetime, while the latter core type's volume fraction increases up to 0.3 at  $\tau \sim$   
591 0.25, and then monotonically decreases for increasing  $\tau$ . The fact that cloud's end their  
592 life cycle with non-zero volume fractions may indicate that some of the CCE  
593 terminate not because of full dissipation but rather because of significant splitting or  
594 merging events.

595 Normalized distances between core centroid and total cloud centroid (Fig. 7, middle  
596 column) tend to monotonically increase for  $RH_{core}$  and  $W_{core}$  with CCE lifetime for  
597 all simulations. The gradient of increase is larger at the later stages of CCE lifetime.  
598 Initially the  $W_{core}$  is closer to the geometrical core but at later stages of CCE lifetime  
599 (typically  $\tau > 0.5$ ) this switches and  $RH_{core}$  remains the closest. As seen above, for  
600 the first (second) half of CCE lifetime, the distance between  $B_{core}$  centroid and cloud  
601 centroid decreases (increases), starting at normalized distances above 0.4 for all  
602 simulations. The physical cores stay in proximity to the geometrical core for the  
603 majority of their lifetimes for the three cases. Taking the value 0.5 as a threshold for



604 transition from centered physical cores to periphery physical cores, Bomex, Hawaii,  
605 and Amazon simulation CCEs'  $W_{core}$  cross this threshold at  $\tau = 0.94, 0.9,$  and  $0.86,$   
606 respectively. Thus, the assumption that a cloud's core (by any definition) is also  
607 indicative of the cloud's centroid is true for the majority of a typical cloud's lifetime.

608 The analysis of self-containing core properties (Fig. 7, right column) shows that the  
609 assumption  $B_{core} \subseteq RH_{core} \subseteq W_{core}$  is true for the initial formation stages of a cloud.  
610 Although the corresponding pixel fractions decrease slightly during the lifetime of the  
611 CCE, they remain above 0.9 (e.g.  $B_{core}$  is 90% contained within  $RH_{core}$ ). A sharp  
612 decrease in pixel fractions is seen for  $\tau > 0.8$ , as the overlaps between the different  
613 cores is reduced during dissipation stages of the cloud. For all simulations, the highest  
614 pixel fraction values are seen for the  $B_{core}$  inside  $W_{core}$  pair, followed by  $RH_{core}$   
615 inside  $W_{core}$  pair, and  $B_{core}$  inside  $RH_{core}$  pair showing slightly lower values. In  
616 addition, it can be seen that the variance of average pixel fraction (per  $\tau$ ) increases  
617 with increase in  $\tau$ . This is due to the fact the all CCEs initiate with almost identical  
618 characteristics but may terminate in very different ways. In part II of this work we  
619 show that this variance is highly influenced from precipitation which contributes to  
620 more significant interactions between clouds (Heiblum et al., 2016a). Indeed, the  
621 Amazon simulation shows the largest pixel fraction variance and produces the most  
622 precipitation out of the three simulations.

623

## 624 6. Summary

625 In this paper we study the partition of warm convective clouds to core and margin  
626 according to three different definitions: i) positive vertical velocity ( $W_{core}$ ), ii) relative  
627 humidity supersaturation ( $RH_{core}$ ), and iii) positive buoyancy ( $B_{core}$ ), with emphasis  
628 on the differences between those definitions. Using theoretical consideration of both  
629 an adiabatic cloud and a simple two parcel mixing model (see appendix A and B), we  
630 support our simulated results as we show that the  $B_{core}$  must be the smallest of the  
631 three. This is due to the fact that entrainment into the core (i.e. mixing with non-  
632 cloudy environment or mixing with the margin regions of the cloud) acts  
633 instantaneously to reduce cloud buoyancy values, for a wide range of thermodynamic  
634 conditions. In cases the mixed parcel is subsaturated, evaporation occurs and always



635 has a negative effect on buoyancy. The same process has an opposing effect on the  
636 relative humidity of the mixed parcel and acts to reach saturation. Entrainment (or  
637 mixing) also acts to decrease vertical velocity, but at slower manner compared to the  
638 time scales of changes in the buoyancy and relative humidity. In addition, the  
639 supersaturation equation (Eq. (2)) predicts that it is unlikely to attain supersaturation  
640 in a cloudy volume with negative vertical velocity. Hence,  $W_{core}$  is expected to be the  
641 largest of the three cores.

642 Using numerical simulations of both a single cloud and cloud fields of warm cumulus  
643 clouds, we show that during most stages of clouds' lifetime,  $W_{core}$  is indeed the  
644 largest of the three and  $B_{core}$  the smallest. In addition to the differences in their sizes,  
645 the three cores tend to be subsets of one another (and located around the cloud  
646 geometrical center), in the following order:  $B_{core} \subseteq RH_{core} \subseteq W_{core}$ . This property is  
647 most valid for a cloud at its initial stages and breaks down gradually during a cloud's  
648 lifetime. The small  $B_{core}$  fractions (out of the total cloud) are due to two main  
649 reasons: i) buoyancy is strongly affected by mixing and evaporation, as the buoyant  
650 core is the first to disappear during the dissipation stages of a cloud, and ii) warm  
651 cloud fields typically have a transition layer near the lifting condensation level (LCL),  
652 where ascending parcels are colder than descending parcels so the lower parts of the  
653 clouds are negatively buoyant. After cloud formation internal growth processes (i.e.  
654 condensation and latent heat release) increase the  $B_{core}$  until dissipation processes  
655 become dominant and the core decreases quickly. In contrast, clouds are initially  
656 dominated by the  $W_{core}$  and  $RH_{core}$  (fractions close to 1). The fractions of these cores  
657 then decrease monotonically with cloud lifetime.

658 During dissipation stages, the clouds are mostly margin dominated, such that most of  
659 the small mass dissipation cloud fragments are entirely coreless. However, several  
660 small mass dissipating cloud fragments which shed off large cloud entities (with large  
661 COG height) may be core dominated, especially using the  $RH_{core}$  definition. The  
662 same is observed for small precipitating cloud fragments which reside below the  
663 convective cloud base. We note that the results here are similar for both volume and  
664 mass core fractions out the cloud's totals, with the core mass fractions being larger  
665 due to a skewed distribution of cloud LWC which favors the core regions. Moreover,  
666 we show that these results are consistent for various levels of aerosol concentrations



667 (will be seen in Part II) and different thermodynamic profiles used to initialize the  
668 models.

669 With respect to cloud morphology, it is shown that during cloud growth, which  
670 comprises the majority of a warm cloud lifetime, the physical cores are centered near  
671 the cloud's geometrical core, as is intuitively expected from a cloud's core. An  
672 exception to this is the initial growth stages, where the  $B_{core}$  centroid can be located  
673 far from the cloud's centroid. During dissipation, the cores decouple from the  
674 geometrical core and often comprise just a few isolated pixels at the cloud's edges.  
675 The  $W_{core}$  and  $B_{core}$  pixels tend to be more peripheral than  $RH_{core}$  during dissipation  
676 (see Sect. 5.2). Downdraft induced adiabatic heating at the clouds' edge (see more in  
677 Part II) promote positive buoyancy while decreasing the chance for supersaturation.  
678 During dissipation the overlap between different core types also decreases rapidly,  
679 implying that minor local effects enable core existence rather than cloud convection.  
680 Thus, only during mature growth stages can all three cores types can be considered  
681 interchangeable. In Part II of this work we use the insights gained here to understand  
682 aerosol effects on warm convective clouds, as are reflected by a cloud's partition to its  
683 core and margin.

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686 Technology, Israel (grant no. 3-14444).

687

688

#### 689 **Appendix A: Buoyancy changes due to mixing of cloudy and non-cloudy parcels**

690 Here we present a simple model for entrainment mixing between a cloudy parcel  
691 (either part of  $B_{core}$  or  $B_{margin}$ ) and a dry environmental parcel. Entrainment mixes  
692 the momentum, heat, and humidity of the two parcels. We consider the mixing of a  
693 unit mass of cloud parcel which is defined by two criteria:

$$S_1 \geq 1 \\ B_1 > 0 \text{ or } B_1 < 0$$

694 with a unit mass of dry environment parcel, defined by:

$$695 \quad S_2 < 1$$



696 and explore the properties of the resulting mixed parcel.

697 Assume that  $T_1, T_2, T_3$  are the initial temperatures of the cloudy, environmental, and  
 698 resulting mixed parcel, respectively.  $q_{v1}, q_{v2}, q_{v3}, \theta_1, \theta_2, \theta_3$ , and  $q_{l1}, q_{l2}, q_{l3}$  are their  
 699 respective vapor mixing ratios, potential temperatures, and liquid water contents  
 700 (LWC).

701 The change in buoyancy due to mixing will be:

$$702 \quad dB_{mix} = g * \left( \frac{\theta_3 - \theta_1}{\theta_2} + 0.61(q_{v3} - q_{v1}) - (q_{l3} - q_{l1}) \right) \quad (A1),$$

703 with

$$704 \quad T_3 = \mu_1 \cdot T_1 + \mu_2 \cdot T_2 \quad (A2),$$

$$705 \quad q_{v3} = \mu_1 \cdot q_{v1} + \mu_2 \cdot q_{v2} \quad (A3),$$

$$706 \quad q_{l3} = \mu_1 \cdot q_{l1} + \mu_2 \cdot q_{l2} \quad (A4),$$

707 where  $\mu_1$  and  $\mu_2$  are the corresponding mixing fractions. We assume that the mixed  
 708 parcel is at the same height as the cloudy and environmental parcels, and that the  
 709 mean environmental temperature at that height stays the same after mixing. The  
 710 potential temperature ( $\theta$ ) is calculated using its definition.

711 After the mixing process, the resultant mixed parcel may be subsaturated ( $S_3 < 1$ ),  
 712 and cloud droplets start to evaporate. The evaporation process increases the humidity  
 713 of the parcel. ((Korolev et al., 2016), Eq. (A8)) calculated the amount of the required  
 714 liquid water for evaporation, in order to reach  $S=1$  again:

$$715 \quad \delta q = \frac{c_p R_v T_2^2}{L^2} \ln \left( \frac{1 + \frac{e_s(T_3) R_a L^2}{P C_p R_v^2 T_3^2}}{1 + S_3 \frac{e_s(T_3) R_a L^2}{P C_p R_v^2 T_3^2}} \right) \quad (A5),$$

716 Where  $C_p$  is a specific heat at constant pressure,  $e_s(T_3)$  is the saturated vapor pressure  
 717 for the mixed temperature,  $P$  is pressure,  $L$  is latent heat,  $R_v, R_a$  are individual gas  
 718 constants for water vapor and dry air, respectively. If the mixed parcel contains  
 719 sufficient LWC to evaporate  $\delta q$  amount of water, the mixed parcel will reach  
 720 saturation. We note that Eq. (A5) holds for cases where  $|T_1 - T_2| < 10^\circ C$ , which is  
 721 well within the range seen in our simulations of warm clouds.





722 Assuming the average environmental temperature stays the same after evaporation,  
 723 the buoyancy after evaporation is calculated using the following formulas:

$$724 \quad dB_{evap} = g \cdot \left( \frac{d\theta'_{evap}}{\theta_2} + 0.61dq_{v_{evap}} + dq_{l_{evap}} \right) \quad (A6),$$

$$725 \quad d\theta'_{evap} = dT_{evap} \quad (A7),$$

726 From the first law of thermodynamics:

$$727 \quad C_p \cdot dT_{evap} = -L \cdot dq_{v_{evap}} \quad (A8).$$

728 The water vapor is the amount of liquid water lost by evaporation:

$$729 \quad dq_{v_{evap}} = -dq_{l_{evap}} = \delta q \quad (A9),$$

730 From the above we get:

$$731 \quad dB_{evap} = g \cdot \delta q \left( 1.61 - \frac{L}{C_p \theta_2} \right) \quad (A10).$$

732 For a wide temperature range between  $200 < \theta_2 < 300[K]$ ,  $dB_{evap}$  is always  
 733 negative. This result is not trivial because evaporation both decreases the T and  
 734 increases the  $q_v$  which have opposite effects. The total change in buoyancy is taken as  
 735 the sum of  $dB_{evap}$  and  $dB_{mix}$ .

736 Figure A1 presents a phase space of possible changes in cloudy pixel buoyancy due to  
 737 mixing with outside air, for various thermodynamic conditions, and a mixing fraction  
 738 of 0.5. The initial cloudy parcel is chosen to be saturated ( $S=1$ ) and includes a LWC  
 739 of  $1 \text{ g kg}^{-1}$ . The pressure is assumed to be 850 mb, and the temperature  $15^\circ\text{C}$ .  
 740 However, we note that the conclusions here apply to all atmospherically relevant  
 741 values of pressure, temperature, supersaturation (values of  $RH > 100\%$ ), and LWC in  
 742 warm clouds. The X-axis in Fig. A1 spans a range of non-cloudy environment relative  
 743 humidity values ( $60\% < RH < 100\%$ ), and the Y-axis spans a temperature difference  
 744 range between the cloud and the environment parcels ( $-3^\circ < dT < 3^\circ$ ). The initial  
 745 ( $B_i$ ) and final ( $B_f$ , after entrainment) buoyancy values, and the differences between  
 746 them can be either positive or negative. The regions of  $B_i > 0$  ( $B_i < 0$ ) in fact illustrate  
 747 the effects of entrainment on  $B_{core}$  ( $B_{margin}$ ) parcels.



748 **Appendix B: Buoyancy changes due to mixing of core and margin parcels**

749 Following the notations of appendix A, we now consider the mixing of two cloudy  
 750 parcels, one part of  $B_{core}$  and one part of  $B_{margin}$ . For simplicity, we choose the case  
 751 where both parcels are saturated and have the same LWC of  $0.5 \text{ g kg}^{-1}$ :

$$752 \quad \begin{aligned} S_{core} = S_{margin} = S_{cloud} &= 1 \\ q_{l_{core}} = q_{l_{margin}} = q_{l_{cloud}} &= 0.5 \end{aligned} \quad (\text{B1}).$$

753 The buoyancy of each cloudy parcel is determined in reference to the environmental  
 754 temperature and humidity,  $T_{env}, q_{v_{env}}$ , so that:

$$755 \quad B_{cloud} = g * \left( \frac{\theta_{cloud} - \theta_{env}}{\theta_{env}} + 0.61(q_{v_{cloud}} - q_{v_{env}}) - q_{l_{cloud}} \right) \quad (\text{B2}).$$

756 As mentioned in the main text, we take a temperature range of  $T_{env} - 3^\circ\text{C} < T_{cloud} <$   
 757  $T_{env} + 3^\circ\text{C}$ . Each cloudy parcel's temperature also dictates its saturation vapor  
 758 pressure  $e_s(T_{cloud})$  and therefore also its humidity content,  $q_{v_{cloud}}$ . Plugging these  
 759 into Eq. (B2), one can associate each temperature/humidity pair with the  $B_{core}$   
 760 or  $B_{margin}$ :

$$761 \quad \begin{aligned} T_{core} &= T_{cloud}(B_{cloud} > 0), \quad q_{v_{core}} = q_{v_{cloud}}(B_{cloud} > 0) \\ T_{margin} &= T_{cloud}(B_{cloud} < 0), \quad q_{v_{margin}} = q_{v_{cloud}}(B_{cloud} < 0) \end{aligned} \quad (\text{B3}).$$

762 The core and margin parcels can then be mixed (see appendix A) yielding a mixed  
 763 parcel temperature and humidity content, and thus a new relative humidity. The  
 764 buoyancy of the mixed parcel is obtained by inserting these parameters in Eq. (B2).

765 In Fig. B1 the resultant buoyancy values and RH values after the mixing of  $B_{core}$   
 766 parcels with  $B_{margin}$  parcels are shown. As defined in Appendix A, temperature  
 767 differences between the parcels and the environment are confined to  $\pm 3^\circ\text{C}$ . The  
 768 reference environmental temperature, pressure, and RH are taken to be  $15^\circ\text{C}$ , 850 mb,  
 769 and 90%, respectively. We note the main differences between this section and  
 770 Appendix A are the absence of evaporation and the fact that the core and margin  
 771 thermodynamic variables are the ones that vary while the reference environmental  
 772 ones are kept constant.



773 It can be seen that all negatively buoyant parcels are colder than the environment and  
774 nearly all positively buoyant parcels are warmer than the environment, except for a  
775 small fraction that are slightly colder but positively buoyant due to the increased  
776 humidity. The transition from  $B_f > 0$  to  $B_f < 0$  near the 1 to 1 line indicates that  $B_f$   
777 is approximately linearly dependent on the temperature differences with respect to the  
778 environment. In other words, if  $|T_{core} - T_{env}| > |T_{margin} - T_{env}|$ , the mixed parcel is  
779 expected to be part of the  $B_{core}$  (i.e.  $B_f > 0$ ). The exponential increase in saturation  
780 vapor pressure with temperature is demonstrated by the results of the mixed parcel  
781 final RH, which all show supersaturation values. Additional sensitivity tests were  
782 performed for this analysis, showing only weak dependencies on environmental  
783 parameter values, while maintaining the main conclusions.

784

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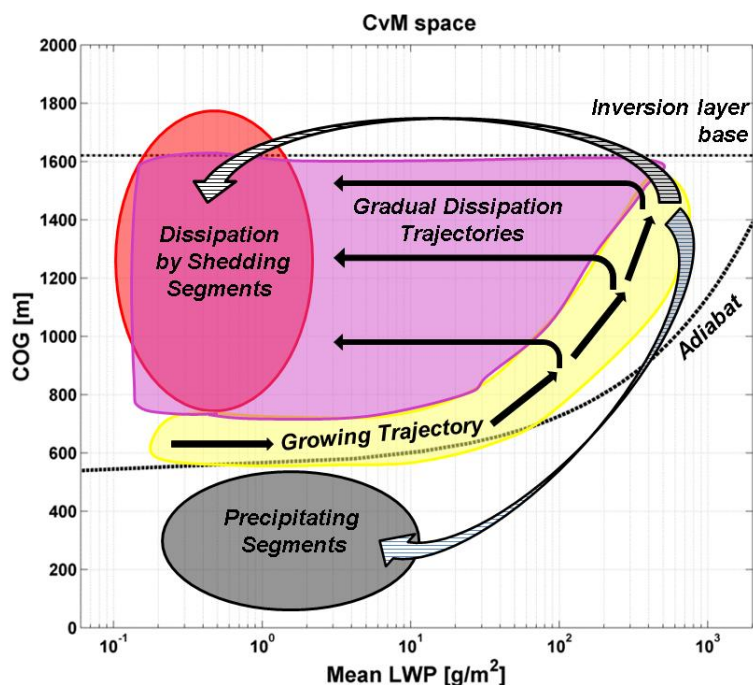
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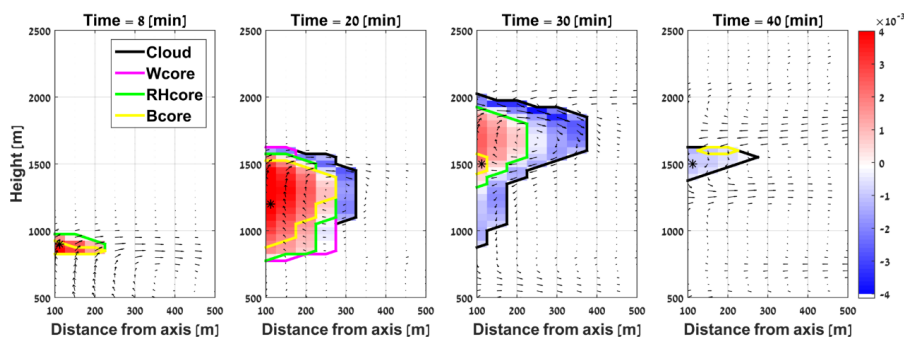
932 **Figures**

933



934

935 *Figure 1. A schematic representation of a cloud field Center-of-gravity height (Y-*  
 936 *Axis) vs. Mass (X-Axis) phase space (CvM in short). The majority of clouds are*  
 937 *confined to the region between the adiabatic approximation (curved dashed line) and*  
 938 *the inversion layer base height (horizontal dashed line). The yellow, magenta, red,*  
 939 *and grey shaded regions represent cloud growth, gradual dissipation, cloud*  
 940 *fragments which shed off large clouds, and cloud fragments which shed off*  
 941 *precipitating clouds, respectively. The black arrows represent continuous trajectories*  
 942 *of cloud growth and dissipation. The hatched arrows represent two possible*  
 943 *discontinuous trajectories of cloud dissipation where clouds shed segments.*



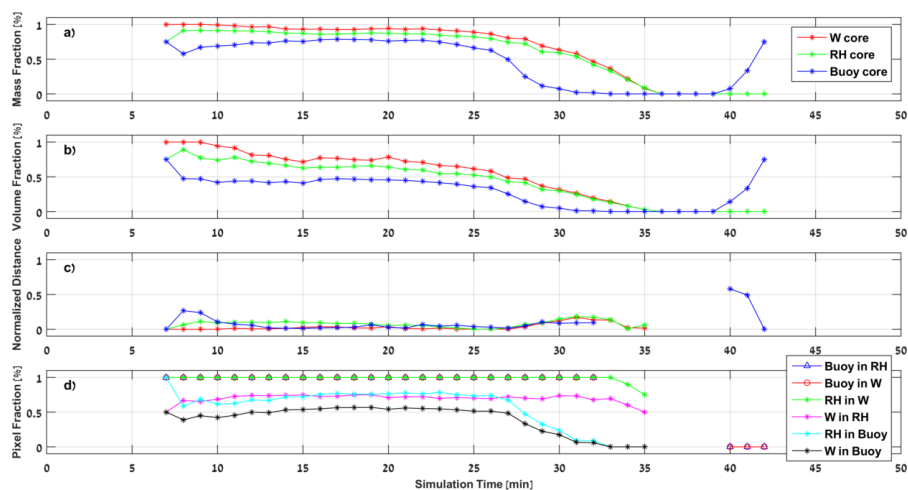
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945 *Figure 2. Four vertical cross-sections (at  $t=10, 20, 30, 40$  minutes) during the single*  
 946 *cloud simulation. Y-axis represents height [m] and X-axis represents the distance*  
 947 *from the axis [m]. The black, magenta, green and yellow lines represent the cloud,*  
 948  *$W_{core}$ ,  $RH_{core}$  and  $B_{core}$ , respectively. The black arrows represent the wind, the*  
 949 *background represents the condensation (red) and evaporation rate (blue) [ $\text{g kg}^{-1} \text{s}^{-1}$ ],*  
 950 *and the black asterisks indicate the vertical location of the cloud centroid. Note that*  
 951 *in some cases the lines indicating core boundaries overlap (mainly seen for RH and*  
 952  *$W$  cores).*

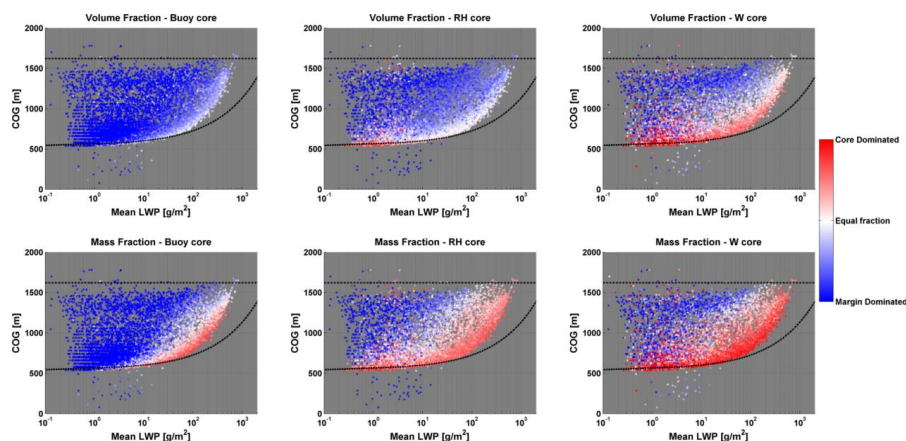
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955 *Figure 3. Temporal evolution of selected core properties, including: (a) The fraction*  
 956 *of the cores' mass from the total cloud mass, (b) the fraction of the cores' volume from*  
 957 *the total cloud volume, (c) the normalized distance between cloud centroid and core*  
 958 *centroid, and (d) the fraction of cores' pixels contained within another core, including*  
 959 *all six permutations. See panel legends for descriptions of line colors.*

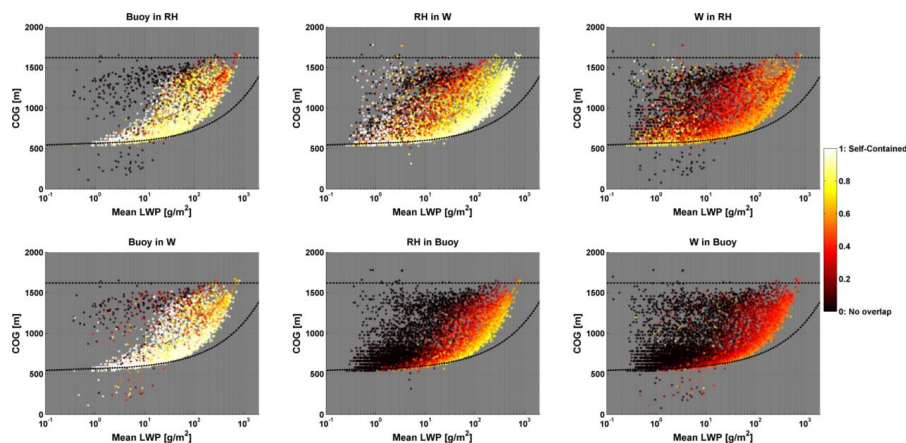
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962 *Figure 4. CvM phase space diagrams of  $B_{core}$  (left column),  $RH_{core}$  (middle column),*  
 963 *and  $W_{core}$  (right column) fractions for all clouds between 3 h and 8 h in the BOMEX*  
 964 *simulation. Both volume fractions (upper panels) and mass fractions (lower panels)*  
 965 *are shown. The red (blue) colors indicate a core fraction above (below) 0.5. For a*  
 966 *general description of CvM space characteristics the reader is referred to Sect. 2.4.*

967



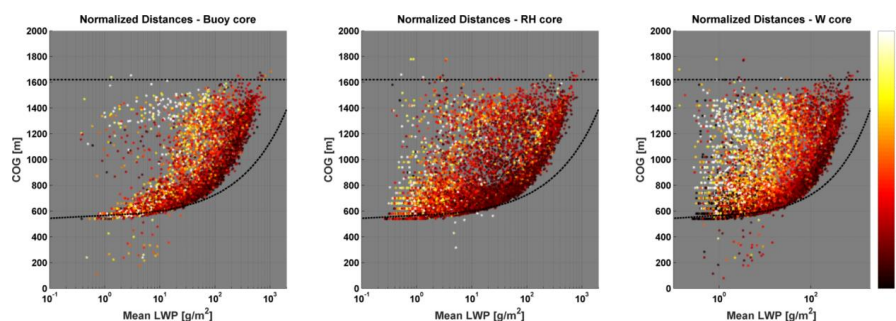
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969 *Figure 5. CvM phase space diagrams of pixel fractions of each of the three cores*  
 970 *within another core, including six different permutations (as indicated in the panel*  
 971 *titles). Bright colors indicate high pixel fractions (large overlap between two core*  
 972 *types) while dark colors indicate low pixel fraction (little overlap between two core*  
 973 *types). The differences in the scatter density and location for different panels are due*  
 974 *to the fact that only clouds which contain a core fraction above zero (for the core in*



975 question) are considered. For example, for the Buoy in RH panel (upper left), only  
 976 cloud that contain some pixels with positive buoyancy are considered.

977

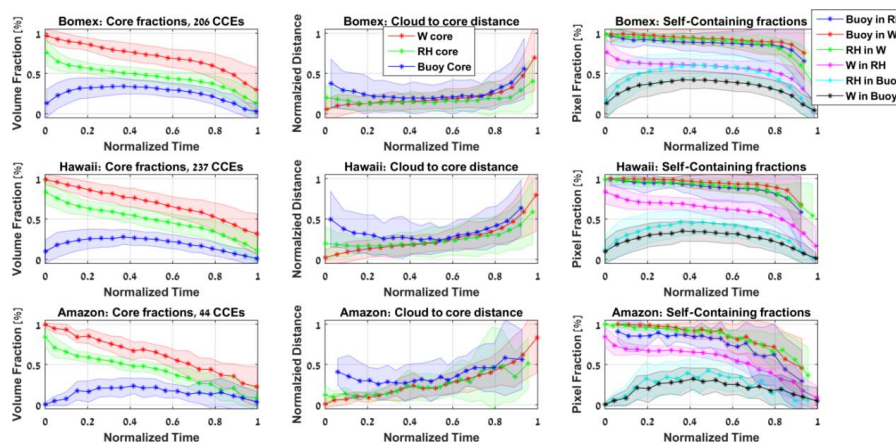


978

979 Figure 6. CvM phase space diagrams of distances between core centroid location and  
 980 cloud centroid location, for the three different physical core types. The distances are  
 981 normalized by the cloud volume radius (approximately the largest distance possible).  
 982 Bright (dark) colors indicates large (small) distances. As seen in Fig. 5, only clouds  
 983 which contain a core fraction above zero (for the core in question) are considered.

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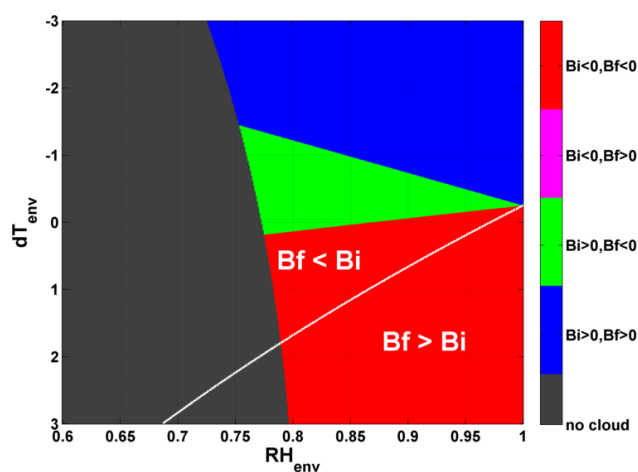
987 Figure 7. Normalized time ( $\tau$ ) series of CCE averaged core fractions for the BOMEX  
 988 (upper row), Hawaii (middle row), and Amazon (bottom row) simulations. Both core  
 989 volume fractions (left column), normalized distances between cloud and core centroid



990 *locations (middle column), and pixel fractions of one core within another (right*  
991 *column) are considered. Line colors indicated different core types (see legends), while*  
992 *corresponding shaded color regions indicate the standard deviation. Normalized*  
993 *time enables to average together CCEs with different lifetimes, from formation to*  
994 *dissipation. The number of CCEs averaged together for each simulation is included in*  
995 *the left column panel titles.*

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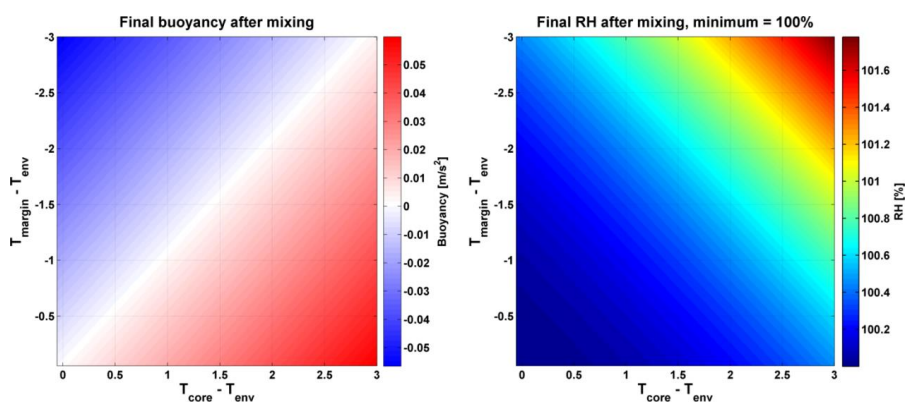


998

999 *Figure A1. Phase space presenting the effects of entrainment on cloud buoyancy,*  
1000 *where the initial cloudy parcel buoyancy ( $B_i$ ) and final mixed parcel buoyancy ( $B_f$ )*  
1001 *are considered. A mixing fraction of 0.5 is chosen. The initial cloudy parcel is*  
1002 *saturated ( $S=1$ ), has a temperature of  $15^\circ\text{C}$ , pressure of  $850\text{ mb}$ , and  $\text{LWC}$  of  $1\text{ g kg}^{-1}$ .*  
1003 *The X-axis spans a range of environment relative humidity values ( $\text{RH}_{\text{env}}$ ), and the Y-*  
1004 *axis a temperature difference ( $dT_{\text{env}}=T_{\text{env}}-T_{\text{cld}}$ ) range between the cloud and the*  
1005 *environment parcels. Red color represents  $B_i < 0$  &  $B_f < 0$  (i.e. parcel stays negatively*  
1006 *buoyant after the mixing), magenta represents  $B_i < 0$  &  $B_f > 0$  (i.e. transition from*  
1007 *negative to positive buoyancy), green represents  $B_i > 0$  &  $B_f < 0$  (i.e. transition from*  
1008 *positive to negative buoyancy), and blue represents  $B_i > 0$  &  $B_f > 0$  (i.e. parcel stays*  
1009 *positively buoyant). The grey color represents mixed parcels that were depleted from*  
1010 *water ( $\text{LWC}$  value lower than  $0.01\text{ g kg}^{-1}$ ) after evaporation, and are considered non-*  
1011 *cloudy. The white line separates between areas where  $B_f > B_i$  and  $B_f < B_i$ .*



1012



1013

1014 *Figure B1. Phase space presenting the resultant buoyancy (left panel) and relative*  
1015 *humidity (RH, right panel) when mixing  $B_{core}$  and  $B_{margin}$  parcels with equal RH but*  
1016 *different temperatures. A mixing fraction of 0.5 is chosen. Both parcels are initially*  
1017 *saturated (RH=100%), and have a LWC of  $0.5 \text{ g kg}^{-1}$ . The environment has a*  
1018 *temperature of  $15^\circ\text{C}$  and pressure of 850 mb. The X(Y)-axis spans the range of*  
1019 *temperature differences between the  $B_{core}$  ( $B_{margin}$ ) parcel and the environment.*

1020

1021