Using OCO-2 column CO_2 retrievals to rapidly detect and estimate biospheric surface carbon flux anomalies

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Abstract. The global carbon cycle is experiencing continued perturbations via increases in atmospheric carbon concentrations, which are partly reduced by terrestrial biosphere and ocean carbon uptake. Greenhouse gas satellites have been shown to be useful in retrieving atmospheric carbon concentrations and observing surface and atmospheric CO_2 seasonal to interannual variations. However, limited attention has been placed on using satellite column CO_2 retrievals to evaluate surface CO_2 fluxes from the terrestrial biosphere without advanced inversion models at low latency. Such applications could be useful to monitor, in near-real time, biosphere carbon fluxes during climatic anomalies like drought, heatwaves, and floods, before more complex terrestrial biosphere model outputs and/or advanced inversion modelling estimates become available. Here, we explore the ability of Orbiting Carbon Observatory-2 (OCO-2) column-averaged dry air CO_2 (XCO_2) retrievals to directly detect and estimate terrestrial biosphere CO_2 flux anomalies using a simple mass balance approach. An initial global analysis of surface-atmospheric CO_2 coupling and transport conditions reveals that the Western US, among a handful of other regions, is a feasible candidate for using XCO_2 for detecting terrestrial biosphere CO_2 flux anomalies. Using CarbonTracker model reanalysis as a testbed, we first demonstrate that a well-established mass balance approach can estimate monthly surface CO_2 flux anomalies from XCO_2 enhancements in the Western United States. The method is optimal when the study domain is spatially extensive enough to account for atmospheric mixing and has favourable advection conditions with contributions primarily from one background region. We find that errors in individual soundings reduce the ability of OCO-2 XCO_2 to estimate more frequent, smaller surface CO_2 flux anomalies. However, we find that OCO-2 XCO_2 can often detect and estimate large surface flux anomalies that leave an imprint on the atmospheric CO_2 concentration anomalies beyond the retrieval error/uncertainty associated with the observations. OCO-2 can thus be useful for low latency monitoring of the monthly timing and magnitude of extreme regional terrestrial biosphere carbon anomalies.

1 Introduction

With ongoing anthropogenic emissions, atmospheric carbon dioxide concentrations continue to rise and alter the global climate system (Friedlingstein et al., 2022). A large contribution to the variability and trends of these CO_2 concentrations is the uptake of carbon by the terrestrial biosphere (Ahlström et al., 2015; Poulter et al., 2014). The terrestrial biosphere typically acts as a sink but can become a strong source, or CO_2 efflux, under climatic anomalies (Biederman et al., 2017; Zscheischler et al., 2014). Monitoring such surface CO_2 flux anomalies in space and time is therefore essential to understand the drivers of atmospheric carbon dioxide concentrations and predict future climatic conditions.

However, observing CO_2 fluxes across the terrestrial biosphere is a challenge. Carbon measurement networks are available, but are spatially biased toward mid-latitude locations with little coverage in the tropics (Schimel et al., 2015b). Atmospheric transport model assimilation efforts and land surface models are often used to quantify and monitor global carbon sources and sinks (Ott et al., 2015; Peters et al., 2007). However, these datasets typically have a longer latency and complex sources of error due to modelling assumptions about uncertain surface CO_2 flux drivers, meteorological conditions, among others.

Greenhouse gas satellites are now available that can retrieve atmospheric column carbon concentrations, or dry-air column carbon dioxide (XCO_2), across the globe at low latency. These include satellite instruments such as SCanning Imaging Absorption spectroMeter for Atmospheric CartograpHY (SCIAMACHY), Greenhouse Gases Observing Satellite (GOSAT), and the Orbiting Carbon Observatory-2 and 3 missions (OCO-2, OCO-3) (Bovensmann et al., 1999; Eldering et al., 2017b; Kuze et al., 2014; Reuter et al., 2011). Since these column retrievals are partly a function of surface CO_2 fluxes (Keppel-Aleks et al., 2012; Parazoo et al., 2016) despite background variability driven partly by atmospheric transport (Basu et al., 2018; Hakkarainen et al., 2016; Schuh et al., 2019), previous studies have assimilated these XCO_2 retrievals into atmospheric inversion model frameworks to improve surface CO_2 flux estimates (Basu et al., 2013; Chevallier et al., 2014; Fraser et al., 2014; Halder et al., 2021; Houweling et al., 2015; Liu et al., 2017; Ott et al., 2015; Zabel et al., 2014). While a primary goal of the community has been to enable rapid detection, monitoring, and/or estimation of surface CO_2 fluxes using the satellite XCO_2 record, it has proved challenging due to the diversity of carbon sources and sinks as well as the effects of atmospheric mixing.

It has not been widely investigated whether satellites like OCO-2 can directly monitor the timing and magnitude of shorter monthly timescale climate-carbon feedback events, such as those that evolve in the terrestrial biosphere and generate regional and short-lived XCO_2 enhancements. OCO-2 was designed to observe regional-scale carbon sources and sinks to provide a constraint on carbon cycle seasonal and interannual variability (Chen et al., 2021; Crisp et al., 2004; Eldering et al., 2017b; Lindqvist et al., 2015). For example, these satellite XCO_2 retrievals have been used to evaluate effects of an event averaged over seasons or multiple years, such as El Niño Southern Oscillation events and related biomass burning (Byrne et al., 2021;

65 Chatterjee et al., 2017; Eldering et al., 2017b; Hakkarainen et al., 2019; Heymann et al., 2016; Liu et al., 2018; Patra et al., 2017). However, despite concern that the noise level of individual soundings would prevent direct monitoring of surface CO_2 fluxes at finer scales (Chevallier et al., 2007; Eldering et al., 2017a; Miller et al., 2007), there is growing evidence that satellite XCO_2 retrievals can directly detect and monitor surface CO_2 fluxes, especially on smaller spatiotemporal scales. For example, satellite XCO_2 can detect anthropogenic emission plumes from urban areas using spatially adjacent satellite soundings

70 (Hakkarainen et al., 2016; Nassar et al., 2017; Reuter et al., 2019; Schwandner et al., 2017; Zheng et al., 2020). For natural emission sources, recent studies have interpreted monthly OCO-2 XCO_2 anomalies without inversion models to understand the time evolution of climatic events (Chatterjee et al., 2017; Yin et al., 2020). As such, satellite XCO_2 shows promise for directly monitoring the monthly timing and evolution of regional carbon-climate feedbacks from the biosphere at smaller spatiotemporal scales without model assimilation frameworks (Calle et al., 2019; He et al., 2018), especially if the signature

75 of these anomalies are large, localized over well-defined geographical regions and detectable above the noise and uncertainty level of the observations.

Within the CO_2 flux estimation literature, simple mass balance approaches (also known as differential inversions) were widely used in the 1980s and 1990s (Conway et al., 1994; Enting and Mansbridge, 1989; Law, 1999; Siegenthaler and Joos, 1992;

80 Siegenthaler and Oeschger, 1987). However, as the need grew for surface flux estimates discretized in space and time, the community moved from mass-balance techniques to more advanced synthesis inversions based on Green's functions, advanced atmospheric transport models and state-space representations. Enting (2002) lays out other disadvantages of mass-balance techniques for estimating fine-scale fluxes, ranging from a failure of being able to resolve spatial detail to missing formalism for calculating uncertainty analysis, although the latter can be addressed via a bootstrapping approach (Conway et al, 1994).

85 Not surprisingly, recent efforts to estimate surface CO_2 fluxes from OCO-2 XCO_2 retrievals have involved transport models and inversions (Byrne et al., 2021; Liu et al., 2017; Palmer et al., 2019; Patra et al., 2017). The few studies estimating surface emissions directly from the XCO_2 anomalies alone are empirical (rather than physically-based mass balance methods) in using statistical or machine learning relationships between XCO_2 and surface CO_2 fluxes (Heymann et al., 2016; Zhang et al., 2022) or are specific to point source plumes at under kilometre scales rather than hundreds of kilometre scale areal sources (Zheng

90 et al., 2020). More recently, satellite methane concentration (XCH_4) retrievals have been used to rapidly estimate surface methane fluxes using simple mass balance approaches (Buchwitz et al., 2017b; Pandey et al., 2021). This makes sense given that CH_4 fluxes are more spatially heterogeneous and have well-defined sources, unlike CO_2 fluxes, which are more spatially homogeneous.

95 An equivalent approach using XCO_2 in a mass balance may provide an ability to rapidly estimate regional total CO_2 flux anomalies from the terrestrial biosphere. This ability to estimate a total CO_2 flux anomaly would provide a rapid carbon cycle monitoring capability while waiting for more complex and complete biospheric model runs and atmospheric inversion estimates to become available (Ciais et al., 2014). Specifically, such a method could allow near real time monitoring of the

duration, magnitude, and spatial extent of CO_2 flux anomalies during extreme climatic events (Frank et al., 2015; Reichstein

100   et al., 2013). Such applications are especially important for regional climate change hotspots like in the southwestern North

America where droughts and heatwaves are becoming more frequent and intense (Cook et al., 2015; Schwalm et al., 2012;

Williams et al., 2022). This would also be beneficial for monitoring tropical biospheric fluxes (Byrne et al., 2017) which

sequester the most global fossil fuel emissions but lack measurement networks (Liu et al., 2017; Schimel et al., 2015a).

Analogously, a simple approach for estimating ecosystem water fluxes (i.e., triangle method; Carlson, 2007) has a legacy of

105   continued use given its relatively sufficient accuracy for many applications compared to more complex land surface model

approaches. Given the ongoing challenges of estimating terrestrial fluxes at large spatial scales, we anticipate that it will be

similarly useful to develop simple total surface CO_2 flux estimation approaches that are rapid, rely on observations alone (from

remote sensing), do not require many modelling assumptions and ancillary data, and provide an independent estimate to

evaluate model outputs when they eventually become available.

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Here, we ask: can satellite retrieved XCO_2 be used with mass-balance approaches to directly detect and estimate terrestrial

surface CO_2 flux anomalies, especially from the biosphere? Can surface CO_2 flux anomalies be monitored with XCO_2 at sub-

seasonal (i.e., monthly) scales? Which meteorological and spatial domain conditions are most favourable for estimating surface

CO_2 fluxes using such simple approaches? OCO-2 is chosen primarily due to its high precision and greater sensitivity to the

115   lower atmosphere, which makes it more sensitive to surface CO_2 fluxes and their anomalies than other greenhouse gas satellites

(Eldering et al., 2017a). Recent algorithmic updates have also been shown to increase OCO-2 XCO_2 retrievals' representation

of biospheric CO_2 fluxes at subcontinental scales (Miller and Michalak, 2020). Addressing these questions here can help assess

whether greenhouse-gas satellites like OCO-2 can be used to monitor biosphere carbon responses to climatic anomalies at sub-

seasonal timescales and with low latency (within 1–2-months).

120   **2 Methodology**

2.1 Datasets

The study includes three components to assess the potential for using XCO_2 to directly evaluate monthly surface CO_2 flux

anomalies.

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We first globally evaluated which regions provide favourable conditions to directly assess surface CO_2 flux anomalies with

observed XCO_2 between September 2014 and December 2021 from the Orbiting Carbon Observatory 2 (OCO-2) aggregated

to a one-degree resolution (OCO-2). OCO-2 has an approximate 3 km^2 resolution per sounding and 16-day revisit cycle with

soundings at around 1:30 pm local time. We use OCO-2 level 2, bias-corrected, retrospective reprocessing version 10 of XCO_2

(OCO-2-Science-Team et al., 2020). Quality flags were used to remove soundings with poor retrievals. Along with OCO-2 XCO_2, we also looked at MODIS-based FluxSat gross primary production (GPP) at a 1°x1.25° resolution for the same time period (Joiner and Yoshida, 2020, 2021). We additionally evaluated monthly advection conditions using the modern-era retrospective analysis for research and applications, version 2 (MERRA2) wind vectors at a 0.5°x0.625° resolution (M2T3NVASM) (Gelaro et al., 2017; GMAO, 2015). Transport in the lower troposphere layer directly interacts with surface CO_2 fluxes (Buchwitz et al., 2017b; Pandey et al., 2021). We thus compute lower troposphere advection by integrating wind velocities in a consistent number of atmosphere layers nearest to the surface, which at sea level results in integrating between the surface and about 700 mb and at higher elevations integrating between the surface and about 600 mb. Here, we assume that flux anomalies occurring near the surface have an immediate impact on CO_2 concentrations near the surface, and if we examine the information content in the retrievals as the anomalies are occurring, we will be able to extract information about the flux anomalies before the signal gets diluted by atmospheric mixing. In this study, we refer to advection as the horizontal transport of air, especially that in the lower troposphere.

Second, we tested the ability of XCO_2 to estimate surface CO_2 flux anomalies using CarbonTracker model reanalysis (CT2019B) as a testbed, which assimilates tower eddy flux and satellite atmospheric observations into an atmospheric transport model and outputs hourly XCO_2 and total surface CO_2 fluxes from 2000 to 2018 (Peters et al., 2007). Tests performed using this model reanalysis dataset are meant to represent simulated "true" relationships between surface CO_2 fluxes and XCO_2 dynamics. However, we acknowledge model errors in this framework. A purely simulated environment with error free conditions is not possible here because coupling between surface CO_2 fluxes and XCO_2 require modelling and assumptions about atmospheric transport and emission physics. Therefore, we recognize that error in estimating surface CO_2 flux anomalies from XCO_2 will be partially a function of errors in modelling assumptions beyond that of errors incurred in the simple mass balance approach.

Third, we assessed the ability of observed OCO-2 XCO_2 to detect and estimate surface CO_2 flux anomalies using the mass-balance technique. Observations of total surface CO_2 fluxes are only sparsely located in space. We, therefore, independently estimated surface CO_2 fluxes from a biosphere model, fire reanalysis, and anthropogenic emission repositories as a reference. The Lund-Potsdam-Jena (LPJ) dynamic global vegetation model was driven with MERRA2 reanalysis forcing to output CO_2 flux from net biome production (NBP) between January 1980 and July 2021 (Gelaro et al., 2017; Sitch et al., 2003; Zhang et al., 2018). NBP models carbon fluxes from photosynthesis, respiration, land use change, and fire. Since LPJ only evaluates fire dynamics at the annual scale and wildfire can rapidly evolve, fire carbon fluxes were obtained from Quick Fire Emissions Dataset (QFED) biomass burning emissions between 2000 and 2021 to account for monthly fire dynamics in the total carbon fluxes (Koster et al., 2015). Anthropogenic CO_2 fluxes were obtained from CarbonMonitor for the Western US region between 2019 and 2021 (Liu et al., 2020). Though only evaluating photosynthesis and no respiration or disturbance components,

FluxSat GPP is also used here because it provides another independent observation-based surface CO_2 flux estimate to determine coupling between XCO_2 anomalies and biospheric flux anomalies.

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2.2 Region Selection Process

We first assessed the suitability of a given region for using XCO_2 to detect and estimate surface CO_2 flux anomalies using two different metrics. The first metric is the monthly Pearson's correlation coefficient between OCO-2 XCO_2 and FluxSat GPP anomalies. The average climatology and long-term trend were subtracted from the raw time series to create an anomaly time

170   series for both XCO_2 and GPP. Statistically significant negative correlations show direct coupling between atmospheric CO_2 and surface biospheric CO_2 flux anomalies, suggesting favourable conditions to directly detect non-anthropogenic surface CO_2 flux anomalies directly with independently observed XCO_2. Though such satellite-based vegetation metrics are available at low-latency, they are based on photosynthesis-proxies. However, XCO_2 can directly detect holistic terrestrial biosphere fluxes due to photosynthesis, respiration, and wildfires – we use this simple statistical correlation metric as an indicator of

175   geographically where XCO_2 observations and biospheric fluxes have strong linkages.

The second metric evaluates the atmospheric transport conditions that not only allow direct detection of surface CO_2 flux anomalies with XCO_2, but that also satisfy assumptions of a simple mass balance approach for capturing surface CO_2 flux anomaly from the XCO_2 observations (see Section 2.4). This metric considers the temporal wind angle variability, the spatial

180   wind angle variability, and whether there is an upwind water body source. Low spatial and temporal wind angle variability provide conditions that satisfy assumptions of the mass balance method (see Section 2.4). Additionally, an upwind water body source typically has smaller, or less variable surface CO_2 flux anomalies with anomalies mainly due to transport, and thus makes less surface-influenced background XCO_2 conditions. The monthly MERRA2 wind vector angle in the lower troposphere is computed using the eastward direction as the zero-angle reference. The temporal wind angle variability is

185   computed by taking the standard deviation of the monthly wind angle in each pixel. The spatial wind angle variability is computed by taking the standard deviation of the annual-averaged wind angle of the 20x20 pixel domain centred on each pixel (results are qualitatively similar varying the size of this domain). The temporal and spatial wind angle variability metrics are rescaled by dividing all pixels by the respective 95th percentile across the globe for each metric to transform values approximately to between zero and one. One minus both metrics is taken so that values nearer to one suggest more favourable,

190   lower variability transport conditions. Finally, a water body source is determined by considering a 20x20 pixel domain around each target pixel, finding if water bodies exist in any of these pixels, and determining if these water bodies are upwind of the centre, target pixel. Pixels with an upwind water body are given a value of one, and a value of zero if this condition is not met. To create a metric of "wind condition favourability," these three metrics are objectively summed creating a metric between approximately 0 and 3, with higher values indicating the best wind conditions for direct detection and estimation of surface

195   CO_2 flux anomalies with XCO_2.

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2.3 Wind Vector Analysis

Upon choosing the Western US region (33° N-49° N, 124° W-104° W) for the remainder of the analysis (see Section 3.1), we assessed the MERRA2 lower troposphere layer wind conditions to determine the direction, speed, and primary background region to consider for the mass balance estimation approach. The spatially averaged wind direction and speed were determined within the region and at each of its four borders. The percentage of the background region's lower troposphere air entering the domain for each of its four borders was estimated as the ratio of the wind vector component entering the region to the total wind velocity.

2.4 XCO$_2$-Based Surface CO$_2$ Flux Anomaly Estimation

First, XCO$_2$ and CO$_2$ surface fluxes in all cases were monthly averaged and spatially averaged, by averaging all pixels within the Western US target region (33° N-49° N, 124° W-104° W). For OCO-2, this included averaging all XCO$_2$ soundings in this region over a month. Monthly XCO$_2$ and surface CO$_2$ fluxes were deseasonalized by averaging all months in the available time series into an average 12-month climatology. Given that XCO$_2$ includes a strong annual increasing trend, each of the twelve months were individually, linearly detrended first before deseasonalizing as in Chatterjee et al. (2017).

Total surface CO$_2$ flux anomalies were estimated from XCO$_2$ anomalies in the Western US using a simple mass balance approach previously applied to methane fluxes (Buchwitz et al., 2017b; Jacob et al., 2016; Varon et al., 2018):

$$Q = (\Delta XCO_2)(V)(L)(C)(M_{exp})(M) \tag{1}$$

Q is the surface CO$_2$ flux anomalies in units of TgC/mo. ΔXCO_2 (ppm) is the difference in XCO$_2$ between the target domain and the background region (here, the Western US and Pacific Ocean, respectively). The full column XCO$_2$ is used here which accounts for vertical transfer and atmospheric mixing of CO$_2$ for the estimation approach. V is the ventilation wind velocity (in m/s units, but converted to km/month), which has been motivated previously to be best represented by lower atmosphere horizontal winds (Buchwitz et al., 2017b; Pandey et al., 2021). Thus, while the full column CO$_2$ concentrations were evaluated, the wind speeds in the lower atmosphere are considered in the mass balance model given their greater degree of interaction with the CO$_2$ fluxes at the surface. Here, V is the monthly averaged lower troposphere layer wind speed within the target region. L is the effective region length (km) meant to estimate the horizontal pathlength of the ventilation wind passing through the region and interacting with the surface flux. L was estimated as the square root of the target region area. The model parameter, C, represents assumptions that the CO$_2$ fluxes are spatially homogenous, and the ventilation wind is uniform across the region, which results in a linear increase of XCO$_2$ spatially across the region. C is thus equal to 2 (unitless). M_{exp} (unitless) is the ratio of the target region's surface pressure to standard atmospheric pressure. MERRA2 and CarbonTracker surface

pressure are used for the observational analysis and reanalysis testbed, respectively. M is 4.2×10^{-6} TgC/(km^2 ppmXCO$_2$), which converts the atmospheric carbon dioxide mixing ratio (or its concentration) to a total column mass.

230   Previous demonstrations of Eq. 1 on methane fluxes evaluated the non-anomaly XCH$_4$ enhancements (Buchwitz et al., 2017b; Pandey et al., 2021). In our main analysis, we have removed XCO$_2$ seasonality here due to many sources of seasonal atmospheric CO$_2$ variability (atmospheric and surface-based) that contribute to XCO$_2$ that hinder causal attribution of XCO$_2$ variability to surface anomalies. Monthly anomalies of XCO$_2$ can thus be more directly attributed to surface CO$_2$ flux anomalies than their raw variations can. However, we also evaluate non-anomaly XCO$_2$ enhancements for comparison.

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    The ΔXCO$_2$ estimated surface CO$_2$ flux anomalies from Eq. 1 were compared with independently determined surface CO$_2$ flux anomalies using the mean bias, root mean square difference (RMSD), and Pearson's correlation coefficient. Two comparisons were performed: one in a model reanalysis framework and another with OCO-2 observations. In the model reanalysis framework, the surface CO$_2$ flux anomalies were estimated from CarbonTracker-output XCO$_2$, wind velocity, and surface

240   pressure using Eq. 1 and were compared against CarbonTracker-output surface CO$_2$ flux anomalies, which represent the total surface CO$_2$ flux anomalies from both natural and anthropogenic sources. This reanalysis framework presents a testbed where the differences in XCO$_2$ and surface CO$_2$ flux outputs provide an estimate of the Eq. 1 model error, without being highly sensitive to other sources of error such as satellite XCO$_2$ retrieval error as in the observational analysis. Using this framework, the target domain region size is varied to determine domain sizes that are more sensitive to errors. Additionally, horizontal

245   wind speed and direction as well as vertical wind speed are related to Eq. 1 estimation errors, all of which are critical considerations of such pixel source mass balance methods (Varon et al., 2018).

    In the observational assessment, OCO-2 XCO$_2$ is used to estimate surface CO$_2$ flux anomalies along with MERRA2 lower troposphere wind velocity and surface pressure in Eq. 1. These XCO$_2$-based surface CO$_2$ flux anomalies estimates are

250   compared to total surface CO$_2$ flux anomalies, which were estimated using the sum of LPJ NBP model anomalies, QFED biomass burning anomalies, and CarbonMonitor fossil fuel estimates. As a postprocessing step of the LPJ model outputs that does not influence the LPJ simulation itself, LPJ NBP annual fire emissions were subtracted from the total LPJ NBP outputs and monthly QFED biomass burning emissions were added, which results in NBP dynamics that include monthly instead of only annual fire emission dynamics. Though CarbonMonitor is only available over a short record, we used the record to

255   determine that the proportion of anthropogenic flux anomalies in the Western US contribute less than 5% to the surface anomalies (see Fig. S1). Therefore, we define total flux anomaly estimates of CO$_2$ in the observation-based assessment to be the sum of LPJ NBP and QFED biomass burning anomalies, acknowledging that there may be additional smaller deviations due to fossil fuel emissions.

2.5 XCO$_2$-Based Surface CO$_2$ Flux Anomaly Detection

We estimated the XCO$_2$ flux detection rate of surface efflux anomalies as the percentage of largest surface CO$_2$ efflux anomalies (90th percentile) that XCO$_2$ observes a positive anomaly. We evaluate this metric in all one-degree pixels across the globe using OCO-2 XCO$_2$ anomalies and FluxSat GPP to determine whether XCO$_2$ anomalies can rapidly detect large surface biosphere CO$_2$ flux anomalies from extreme events.

Generalizing the above approach, OCO-2-retrieved XCO$_2$'s ability to detect surface CO$_2$ flux anomalies in the Western US is evaluated using:

$$Detection\ Rate_{x,y} = \frac{N_{\Delta XCO_2 > yth\ \&\ Q > xth} + N_{\Delta XCO_2 < (1-yth)\ \&\ Q < (1-xth)}}{N_{\Delta XCO_2 > yth} + N_{\Delta XCO_2 < (1-yth)}} * 100 \qquad (2)$$

The detection rate is the percentage of months that XCO$_2$ anomaly enhancements (ΔXCO$_2$) of a specified, y percentile magnitude detects surface CO$_2$ flux anomalies (Q) of a specified, x percentile magnitude. N is a count of number of Western US domain-averaged pairs that satisfy the conditions in Eq. 2. Here, positive surface CO$_2$ flux anomalies are towards the atmosphere. This metric provides a measure of information that a given XCO$_2$ anomaly enhancement holds about a corresponding surface CO$_2$ flux anomaly. This detection rate was compared to detection rates by chance, which are equal to 100-x. Eq. 2 was used on both the observation-based and CarbonTracker testbed data, with CarbonTracker tests serving as a "potential" or upper bound on performance given expected XCO$_2$ observation error from OCO-2.

2.6 Estimation of Retrieved XCO$_2$ Enhancement Error

Given known limitations of potentially restrictive greenhouse gas satellite measurement and retrieval errors (Buchwitz et al., 2021), we estimated the XCO$_2$ anomaly enhancement errors. OCO-2 XCO$_2$ error standard deviation is approximately 0.6 ppm for a given observation and errors are assumed to be normally distributed (Eldering et al., 2017a). However, computing the ΔXCO$_2$ (used in Eq. 1 estimation) and its error standard deviation involves consideration of monthly temporal averaging of XCO$_2$, spatial averaging of XCO$_2$ within the study domain, and subtracting two spatiotemporally averaged XCO$_2$ anomalies from the target and background domains. We used a bootstrapping approach to randomly generate two vectors of XCO$_2$ error values (including 20 observations within the Western US target region and 10 observations in the Pacific Ocean background region), which provides a spatially averaged XCO$_2$ error for both the target and background regions. Both values are subtract to obtain an enhancement error.

3 Results and Discussion

3.1 Global Region Selection

Several regions show both observed coupling between XCO$_2$ anomalies and biospheric surface CO$_2$ flux anomalies (Fig. 1a) as well as favourable wind conditions for direct detection and estimation of surface CO$_2$ flux anomalies with XCO$_2$ (Figs. 1b

290   and S2). These include, for example, Southwestern North America (i.e., Western US), portions of North Africa, Southern Africa, India, and portions of Northern Australia.

The large observed coupling between XCO_2 anomalies and biospheric surface CO_2 flux anomalies in Fig. 1a in some regions suggests that terrestrial biospheric, non-anthropogenic carbon sources (i.e., photosynthesis, respiration, wildfire) influence

295   XCO_2 over expansive areas and that transport conditions do not obscure this connection between surface and atmospheric CO_2. In these cases, OCO-2 XCO_2 retrievals should be able to directly detect biospheric surface CO_2 fluxes anomalies. These same regions commonly show tractable wind conditions (Fig. 1b): temporal and spatial wind direction variability is low meaning that there is typically a single consistent background wind source, rather than multiple background sources or a source that changes throughout the year. Furthermore, this background source may be located over water bodies, which tend to have less

300   variable monthly CO_2 surface fluxes compared to terrestrial sources. Therefore, wind condition favourability (Fig. 1b) partly supports why there is greater surface CO_2 flux anomaly and XCO_2 anomaly coupling (Fig. 1a). It also supports use of the mass balance model (Eq. 1) which requires consistent boundary layer transport conditions for CO_2 flux anomaly estimation. However, high wind condition favourability does not always result in coupling between XCO_2 and biospheric surface CO_2 flux anomalies. For example, Western Europe has extensive anthropogenic CO_2 fluxes, which results in weak coupling of

305   XCO_2 and biospheric surface CO_2 flux anomalies despite tractable wind conditions. Ultimately, we speculate that favourable transport conditions (with less complex topography near the surface and consistent wind directions throughout the profile), high XCO_2 retrieval quality, lower human footprint (i.e., from land use change, fossil fuel emissions, etc.), expanses of ecosystems with active photosynthesis, among others all contribute to the higher metrics here. While it is unclear which factors contribute most, we anticipate that all of these conditions are needed in a region for our methods here to be feasibly applied.

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For the remainder of the analysis, we primarily focus on the Western US given its feasibility for use of XCO_2 to detect and estimate biospheric surface CO_2 flux anomalies. The Western US has an expanse of natural ecosystems that serve as a carbon sink (Biederman et al., 2017). It has also become a hotspot for droughts, including an ongoing decadal-scale megadrought (Cook et al., 2015; Schwalm et al., 2012; Williams et al., 2022). As such, any positive XCO_2 anomalies are substantial given

315   that the mean OCO-2 XCO_2 may be higher compared to pre-2000 when there was more nominal biospheric carbon uptake.

Given that we wish to use XCO_2 anomalies in the Western US with only a simple source pixel mass balance method and not an atmospheric transport model and/or assimilation framework to monitor surface CO_2 flux anomalies, a detailed understanding of the existing advection conditions in the selected domain is highly critical, as emphasized by our analysis that

320   follows. More detailed evaluation of the lower atmosphere wind conditions in the Western US confirms tractable conditions as expected from Figure 1 (see Fig. 2). Namely, monthly averaged winds consistently originate from a single background region in the Pacific Ocean and flow steadily and consistently (without greatly changing directions) west-to-east through the region (Figs. 2 and S3). Winds along its northern, southern, and eastern borders have little contribution to the Western US

region. This suggests that Eq. 1 can be applied more confidently in assuming only one background region contributes advection

325 to the Western US. More detailed evaluation of the incoming advection from the Pacific Ocean reveals that incoming winds at the western border and throughout the region are consistently eastward and of non-negligible magnitude (Fig. S4). The exception is spring and summer months when winds in the Pacific Ocean partly shift to the south and the speed of eastward winds into and within the region are lower. By contrast, the advection conditions may be more complex in a region like the Southeast US which experiences monthly changes in background source of incoming advection (Fig. S3). These variable

330 background conditions and inconsistent wind directions may create large errors and lead to erroneous conclusions when applying Eq. 1.
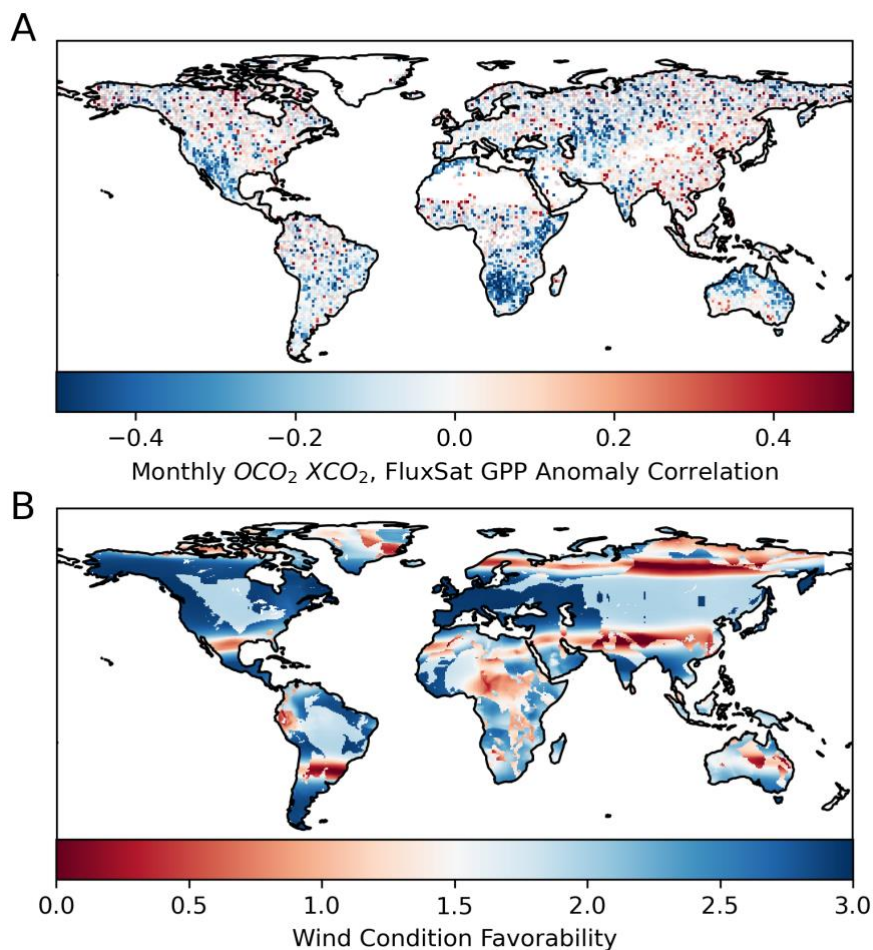
335 **Figure 1. (a)** Observed coupling between terrestrial biosphere surface CO_2 flux anomalies and atmospheric CO_2 concentration anomalies. Monthly anomaly correlation between observed column CO_2 (XCO_2) from OCO-2 and observation-based FluxSat GPP (derived primarily

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from MODIS). Pixels that are not statistically significant (p<0.05) are transparent. **(b)** Wind condition favourability index for application of simple mass balance estimation approaches based on mean monthly lower troposphere (surface up to approximately 700 mb) conditions from MERRA2. The index is high if the wind direction monthly temporal variability is low, wind direction spatial variability is low, and wind originates from water bodies. See Fig. S2 for mapped components contributing to the wind condition favourability metric.
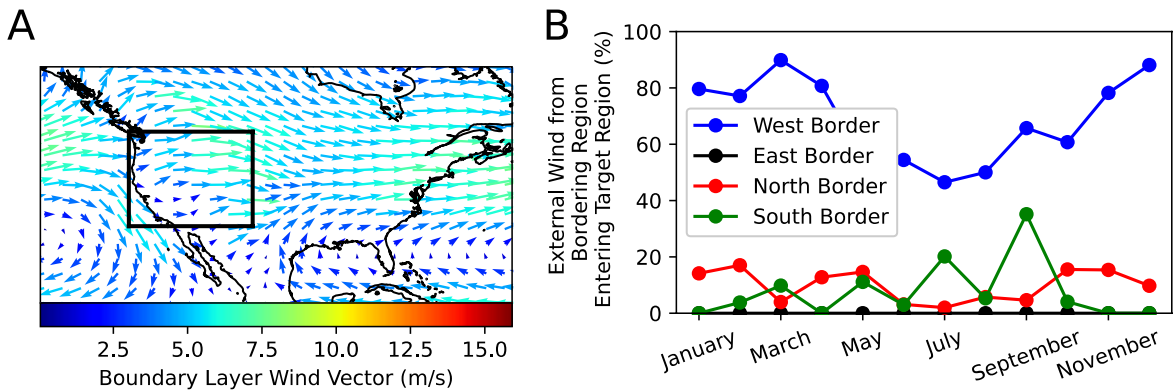
Figure 2. (a) Annual mean lower troposphere (surface up to approximately 700 mb) wind conditions from MERRA2. The Western US target domain is identified with borders. Averaged conditions in each month are shown in Fig. S3. **(b)** Proportion of wind vector entering region from each bordering region. Values do not add to 100% because each border's wind vector is evaluated individually for its contribution to the Western US domain.

3.2 Reanalysis Evaluation

Here, using CarbonTracker (CT2019B) model reanalysis as a testbed, we evaluate by how much the advection conditions present limitations for using Eq. 1 to estimate surface CO_2 flux anomalies using XCO_2 anomalies in the Western US. We tested the effect of domain area size, wind angle, and wind speed on the mass balance surface CO_2 flux anomaly estimation at monthly timescales.

Eq. 1 was previously applied to smaller spatial scales (within a kilometre) to estimate emissions of spatially heterogeneous natural or urban methane plumes (Pandey et al., 2021). However, CO_2 generally has more spatially homogenous surface sources and sinks and we wish to evaluate fluxes from terrestrial ecosystems that exceed tens of kilometres in spatial scales. We find that as our target regions become smaller, there is a decline in ability to estimate surface CO_2 flux anomalies with Eq. 1 (Fig. 3). This reduction in performance of the simple mass balance model is expected because a smaller area will increase importance of turbulent mixing, especially from surface sources outside of the domain, compared to effects of mean horizontal ventilation wind, as was suggested previously (Varon et al., 2018). For example, with turbulent mixing on smaller spatial scales, large CO_2 surface effluxes from surfaces adjacent to the region may mix with atmospheric CO_2 within the region, causing a larger positive XCO_2 anomaly than what can be expected from the surface contributions from within the small target

12

domain itself. The decorrelation of the XCO_2 surface flux anomaly estimates with the modelled surface CO_2 flux anomalies with smaller surface areas supports this claim where external XCO_2 anomaly variations may be contributing to the XCO_2

365 anomalies within the domain (Fig. 3b). Ultimately, this target region size analysis motivates choosing larger target areas for application of Eq. 1 on CO_2 flux anomaly estimation, especially over monthly timescales. Note that, in other parts of the globe, the area of surface-atmosphere CO_2 coupling may be smaller (Fig. 1a). Figure 3 indicates that regions a quarter to half the size of that of our selected domain may still be feasible with only marginal increases in surface CO_2 flux anomaly estimation errors.

370 There is a general reduction in the mass balance equation's (Eq. 1) ability to estimate surface CO_2 flux anomalies with increasing wind angle (Fig. 4a). While absolute errors only weakly linearly increase with wind angle (r = 0.12; p-value=0.07) (RMSD's correlation with wind angle is r = 0.53, p-value = 0.16 with eight bin samples), a more frequent occurrence of higher errors occurs above absolute angles of 60 degrees from the eastward plane (Fig. 4a). As such, the partial shift to southward winds in the Pacific Ocean background during the summer months (Fig. S4b) may be the cause of seasonally increased surface

375 CO_2 flux anomaly estimation errors (Fig. 4c). This is expected because the advection of air from the Pacific Ocean into the Western US would be reduced, creating a disconnect between the atmospheric carbon concentrations of the Western US target region and Pacific Ocean in these months (Fig. 2). The effect of variations in monthly averaged wind speed appears to have less of an influence on errors than wind angle (Fig. 4b). Specifically, there is a correlation of 0.02 (p-value=0.7) between wind speed and absolute errors. Wind speed may become a larger error source when investigating shorter time steps or more spatially

380 heterogeneous anthropogenic plumes (Jacob et al., 2016; Varon et al., 2018). Finally, the wind direction remains similar with height within the Western US and the CarbonTracker XCO_2 enhancements occur mainly in the lower troposphere (Fig. S5). As such, this supports use of lower troposphere wind speeds for our analysis with the vertical profile of wind velocities not presenting a large source of error on CO_2 flux detection and estimation in the case of the Western US.

385 The method appears robust to variations in vertical wind velocity, in part because XCO_2 used here integrates the full atmospheric column and any vertical gradients of XCO_2 anomalies. However, strong vertical winds toward the surface could prevent mixing of the surface CO_2 flux with atmospheric CO_2 and thus XCO_2 may become decoupled from the surface. However, our investigation of these effects shows that there are rarely strong vertical monthly winds toward the surface in the Western US and that months with mean downwelling winds do not necessarily result in higher surface CO_2 flux anomaly

390 estimations errors with Eq. 1 (Fig. S6). Vertical atmospheric mixing over monthly timescales likely reduces errors related to vertical wind velocity and we anticipate vertical wind velocity confounding effects can become more pronounced at shorter timescales.

Overall, the mass balance estimation of surface CO_2 flux anomalies with XCO_2 (Eq. 1) is possible in the Western US (Fig. 5).

395 XCO_2 anomaly enhancements are positively correlated with surface CO_2 flux anomalies (Fig. S7a), which extends to the positive correlation when estimating surface CO_2 flux anomalies with Eq. 1 (Fig. 5). The comparison improves when

13

consideration of winds that have a wind angle from the eastward reference of less than 60 degrees, which mainly removes summer months when Pacific Ocean winds shift toward the south (Fig. 5). However, an RMSD of ~20 TgC per month suggests that the approach should be used mainly as a rapid, first estimation of surface CO_2 flux anomalies.

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We additionally show that the method can estimate surface CO_2 fluxes using the non-anomaly XCO_2 enhancements, especially when winds have a substantial eastward component (Fig. S7b). However, using the XCO_2 anomalies removes seasonal XCO_2 enhancement variability that may not be attributed to surface CO_2 fluxes, which collapses the data pairs more along the 1:1 line (compare Figs. 5 and S6b).
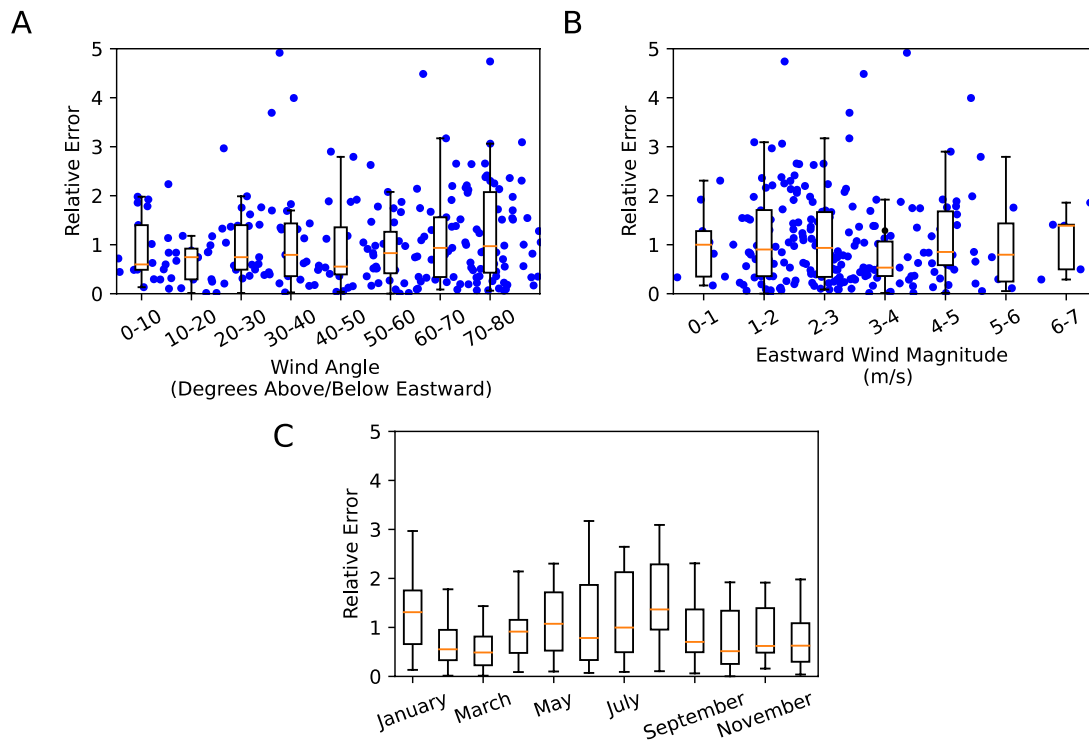
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Therefore, our tests with CarbonTracker model reanalysis reveal that XCO_2 can indeed be used to viably estimate monthly surface CO_2 flux anomalies with simple mass balance approaches over spatial extents of natural ecosystems. However, the method requires favourable transport conditions. Namely, the region size must be large enough to account for atmospheric mixing that could dominate transport in smaller domains over monthly timescales. Additionally, based on Figures 4 and 5 and

410   assumptions of the mass balance model, winds must flow consistently through the region with a similar direction. Given the need for XCO_2 enhancements, the transport should originate from the same background source region within a given month rather than from multiple background regions. We speculate that the method may additionally work well in the Western US given the upwind ocean region (i.e., Pacific Ocean) tends to have relatively lower surface CO_2 flux variability. Thus, the XCO_2 enhancement variability will likely not be dominated by the background region's XCO_2 variability. Finally, it is also critical

415   that the OCO-2 retrieval availability in the target domain is representative enough to capture the flux anomalies occurring near the surface within a given month.

While the simple mass balance approach appears suitable for use based on a model reanalysis framework, repeating the procedure with observations such as with OCO-2 presents additional challenges, such as due to observation error and

420   spatiotemporal coverage/gaps. As such, CarbonTracker performance here effectively serves as an upper bound on predicting XCO_2's ability to be coupled to surface CO_2 flux anomalies, acknowledging modelling sources of error. We address these issues in the following section.
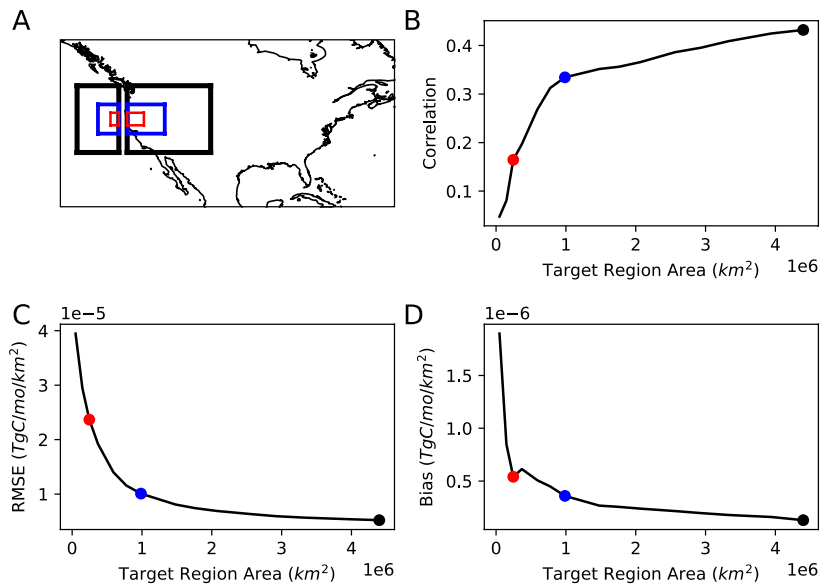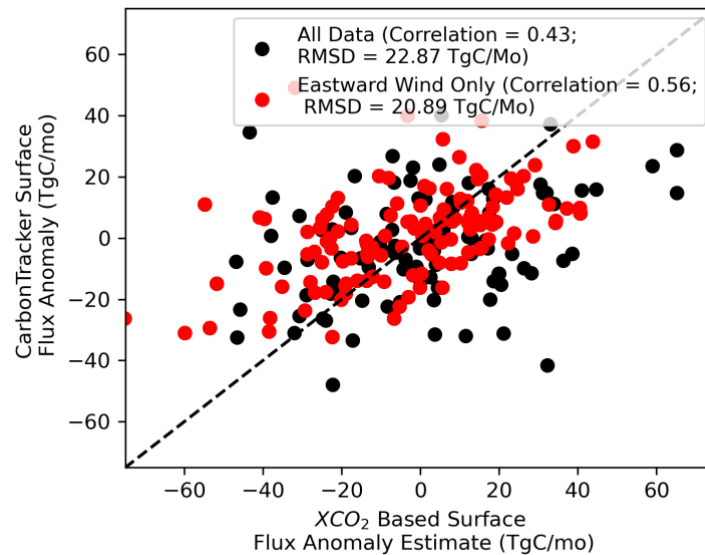
Figure 3. Performance of the XCO2-based CO_2 flux anomaly estimation varying the target domain area. **(a)** Domain locations and sizes shown where their domain border colours match the dot symbol colours in panels b-d. **(b)** Correlation, **(c)** root mean square error, and **(d)** bias between the CO_2 flux anomaly estimation with Eq. 1 based on XCO2 from CarbonTracker and the CarbonTracker monthly surface CO_2 flux anomaly outputs, which is considered here as the reference.

Figure 5. CarbonTracker XCO_2 flux anomaly estimation overall performance in the Western US considering a spatially expansive target domain (latitude = 33° N - 49° N, longitude = 124° W - 104° W as shown in Fig. 2). Relationship between CarbonTracker surface CO_2 flux anomaly outputs and mass balance-based surface CO_2 flux anomaly estimates based on CarbonTracker XCO_2 anomaly enhancements. Only CarbonTracker data was used here where its XCO_2, wind velocity, and pressure outputs were used to estimate surface CO_2 flux anomalies with Eq. 1, which are compared to CarbonTracker total surface CO_2 flux anomaly outputs. Legend shows correlations and root mean square differences between the CarbonTracker XCO_2-based flux anomaly estimates (Eq. 1) and CarbonTracker surface CO_2 flux anomaly outputs. "Eastward Wind Only" includes only data pairs when the incoming wind direction from the Pacific Ocean is between -60º and 60º angles from eastward reference direction.

3.3 Observations Evaluation

### 3.3.1 OCO-2 XCO_2 Coupling to Surface CO_2 Flux Anomalies

As expected from Fig. 1a, the spatially averaged XCO_2 anomaly time series is negatively coupled to LPJ simulated net biome production and satellite-derived gross primary production anomalies (Fig. 6a). Observed XCO_2 thus shows promise for directly detecting and estimating large-scale biospheric surface CO_2 flux anomalies at low latency without the use of land surface and atmospheric transport assimilation models. Furthermore, the XCO_2 coupling tends to increase when Pacific Ocean background

16

XCO_2 is subtracted from Western US XCO_2 to account for transport conditions (Fig. 6a) (i.e., when XCO_2 anomaly enhancements are used). This removes cases when the Western US XCO_2 anomalies covary with Pacific Ocean background XCO_2 anomalies like in 2015 to 2016 suggesting that Western US XCO_2 variations were dominated by atmospheric transport rather than surface CO_2 flux anomalies in this period (Fig. 6c). XCO_2 anomaly enhancements remove these confounding effects

455   (Fig. 6d). The coupling further increases when only months with mainly eastward flowing winds into the region are considered, at least for the total CO_2 flux anomaly estimates (Fig. 6a) as expected from CarbonTracker model reanalysis tests (Fig. 5). This is because some large anomaly enhancements may occur in months that advection was not consistently flowing through the region (examples can be seen in late 2017 in Figs. 6c and 6d), thus requiring conditioning on wind angles.

460   Even after isolating the effects of background Pacific Ocean XCO_2 and abnormal advection conditions, the magnitude of these observation-based correlations of 0.32 (Fig. 6a) are lower than that of CarbonTracker reanalysis tests at a correlation of 0.54 (Fig. S7a). Indeed, the total CO_2 flux anomalies are estimated by LPJ NBP and QFED burning biomass which include model estimations and assumptions with their own sets of errors. However, the surface-atmosphere carbon coupling is similar considering only photosynthesis fluxes from independently estimated GPP (Fig. 6a), which suggests a large role of the

465   biosphere on the CO_2 fluxes and that LPJ model error may not be the main contribution to the correlation reduction. We ultimately expect that a main source of reduction in coupling originates from OCO-2 retrieval error as well as gaps in the data, both spatially (due to cloud cover, aerosols, etc.) and temporally (due to the 16-day revisit frequency).

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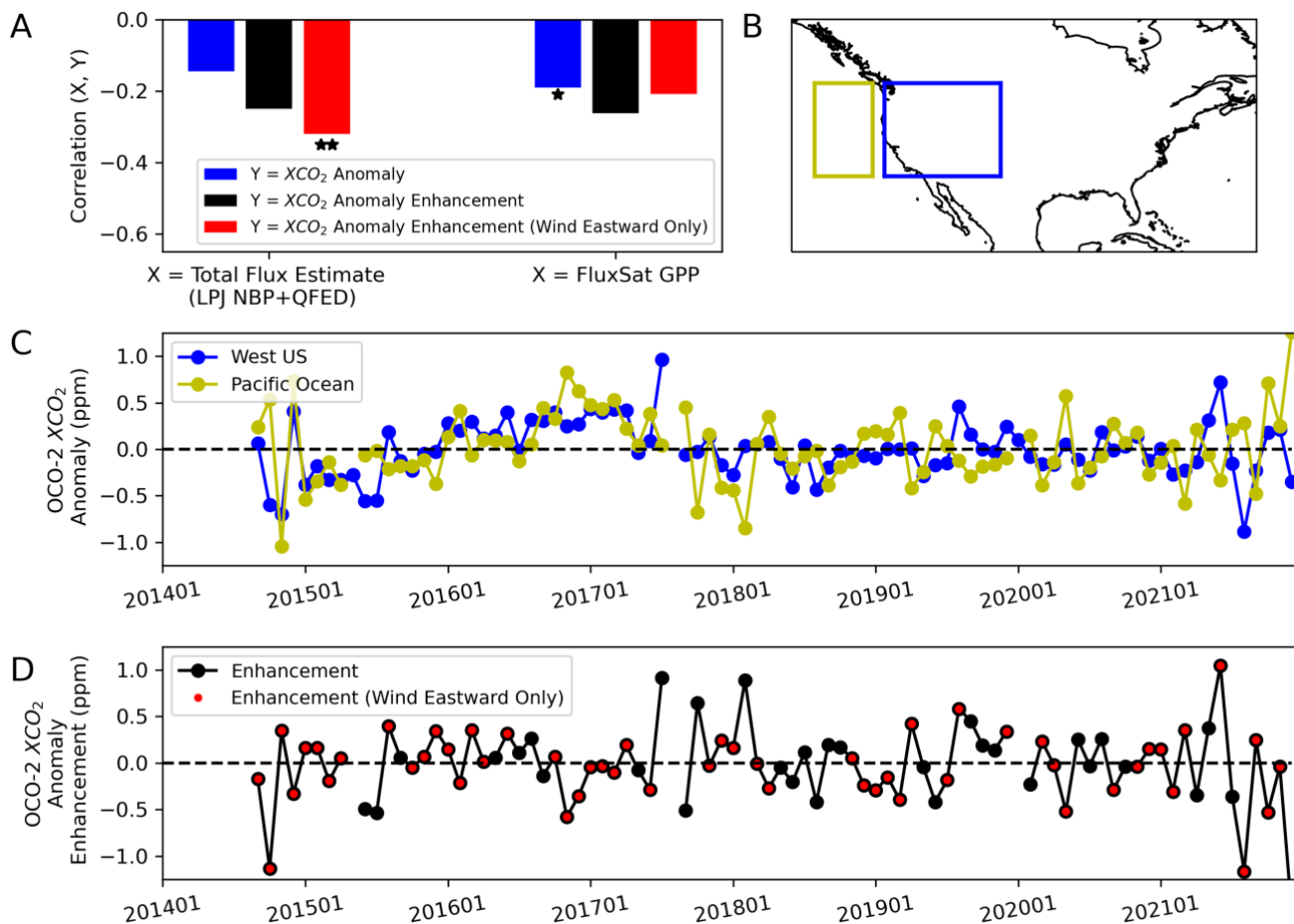
Figure 6. (a) Pearson correlation coefficients between the XCO_2 anomalies and model-based total surface CO_2 flux anomalies (LPJ model and QFED biomass burning) as well as with observation-based FluxSat (** p-value<0.05; * p-value<0.1). **(b)** Map of Western US and background Pacific Ocean background domain definitions. **(c)** Spatially averaged OCO-2 XCO_2 anomalies in the Western US and background Pacific Ocean. **(d)** Western US XCO_2 anomaly enhancements from the background Pacific Ocean OCO-2 XCO_2 anomalies. Red symbols are months when the incoming wind direction from the Pacific Ocean was between -60º and 60º angles from eastward reference direction.

3.3.2 OCO-2 XCO_2 Estimation of Monthly Surface CO_2 Flux Anomalies

Surface CO_2 flux anomaly estimates from OCO-2 XCO_2 using Eq. 1 weakly co-vary with modelled and observation-based surface CO_2 flux anomalies (Fig. 7). In general, the simple mass balance method increases its ability to estimate surface CO_2 flux anomalies when conditioning on the "best" atmospheric transport conditions as shown across correlation, mean bias, and RMSD statistics (Fig. 7). However, the performance of the flux estimation method is reduced overall when using OCO-2

18

observations compared to CarbonTracker model reanalysis tests (shown for comparison in Fig. 7). This is expected for reasons mentioned above and here we specifically investigate the role of OCO-2 XCO_2 retrieval error on this reduction in performance.

485   We first estimate the error standard deviation of Western US XCO_2 anomaly enhancements to be around 0.2 ppm based on a bootstrapping approach. This is a reduction from the 0.6 ppm error standard deviation for a given OCO-2 XCO_2 retrieval (due to instrument and algorithmic error). This reduction is mainly due to averaging of 20 to 30 XCO_2 retrievals a month within the study region. Note that using smaller domains with fewer XCO_2 retrievals to average can result in XCO_2 enhancement errors greater than a single XCO_2 retrieval error due to subtracting two noisy XCO_2 retrievals (subtracting two noisy XCO_2 retrievals

490   results in error of 0.8 ppm). The existence and magnitude of spatial autocorrelation is unknown, but weak spatial autocorrelation of errors could result in a potentially higher error standard deviation depending on the degree of spatial relation of errors; spatial autocorrelation of XCO_2 errors removes some noise reduction benefits when averaging within a region, but partially cancels errors because of spatial relation of errors of background and target regions. Ultimately, our conclusions remain the same over a range of XCO_2 enhancement error estimates.

495

We show that reduced simple mass balance flux anomaly estimation performance can largely be attributed to OCO-2 XCO_2 retrieval error. Specifically, adding an approximate random 0.2 ppm XCO_2 enhancement error standard deviation to CarbonTracker XCO_2 outputs before applying Eq. 1 results in comparison statistics that approach the estimates based on the real OCO-2 observations (Fig. 7b-7d). Other error sources likely also explain the reduced comparison between OCO-2-based

500   estimates and surface modelled estimates including limited and/or inconsistent XCO_2 spatiotemporal coverage, MERRA2 wind vector error, reference surface CO_2 flux error (from LPJ biosphere model and QFED fire estimate error), and Eq. 1 mass balance model errors. However, our test reveals that greenhouse gas satellite retrieval error is a dominant component of the overall error in estimating surface CO_2 flux anomalies, even with reduced errors with spatiotemporal averaging. Ultimately, the retrieval error in OCO-2 XCO_2 hinders reliable estimation of nominal monthly surface CO_2 flux anomalies using rapid

505   mass balance approaches, as expected based on previous studies (Chevallier et al., 2007).
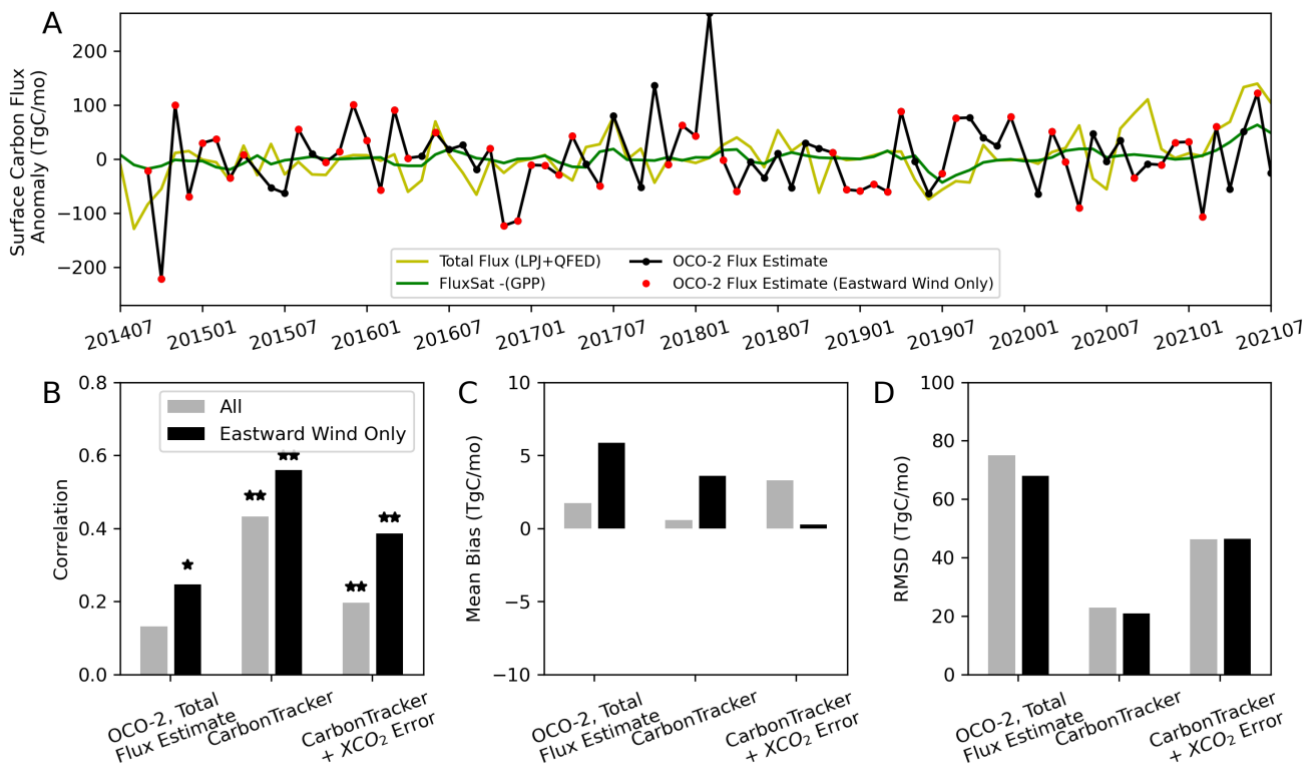
Figure 7. (a) Spatially averaged OCO-2 XCO_2 flux anomaly estimates compared to total CO_2 flux estimate anomalies (LPJ model and QFED biomass burning) and FluxSat gross primary production anomalies. Positive anomalies of all metrics are fluxes away from the surface (note that GPP's sign was changed). Comparison statistics between OCO-2 flux anomaly estimates and LPJ NBP anomalies over 2014 to 2021 with **(b)** correlation (** p-value<0.05; * p-value<0.1), **(c)** mean bias, and **(d)** root mean square difference (RMSD). CarbonTracker comparisons are shown for reference as repeated from Fig. 5, but for 2000 to 2018. "CarbonTracker+XCO_2 Error" includes simulated error added to CarbonTracker XCO_2 anomaly outputs on the order of 0.2 ppm. The statistics are computed for all data pairs as well as only those considering "Eastward Wind Only" months when the incoming wind direction from the Pacific Ocean was between -60° and 60° angles from eastward reference direction.

3.3.3 OCO-2 XCO$_2$ Detection of Extreme Surface CO$_2$ Flux Anomalies

Although OCO-2 measurement noise limits estimation of smaller monthly surface CO_2 flux anomalies using XCO_2, OCO-2 XCO_2 retrievals show promise in directly detecting the largest surface CO_2 flux anomalies. Despite OCO-2 noise levels (of 0.2 ppm to 0.6 ppm depending on averaging of individual soundings), large XCO_2 anomalies above the noise are likely indicative of a large surface CO_2 flux anomaly.

In the context of terrestrial biosphere extremes (i.e., droughts and heatwaves), we evaluate whether extreme surface biospheric CO_2 efflux anomalies create a positive XCO_2 anomaly in each global pixel (Fig. 8a, see section 2.5). As expected from the

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global monthly correlation between biospheric CO_2 flux and XCO_2 anomalies (Fig. 1a), the same locations with a strong surface-atmospheric CO_2 link are also those with the greatest detection rate of large CO_2 effluxes (>90th percentile) with positive XCO_2 anomalies. These XCO_2 detection rates in these same regions exceed 50% meaning OCO-2 will detect the surface CO_2 flux signal as a positive XCO_2 anomaly under extreme biosphere conditions, beyond only by chance. In the Western US, with increased satellite instrument accuracy, the detection rate could increase to 80%, a detection rate potential estimated from CarbonTracker (Fig. 8b). Additionally, other regions like Morocco, Southern Africa, and Northern portions of Australia have detection rates of 80% and above (Fig. 8b).

A more detailed assessment reveals that OCO-2 XCO_2 anomaly detection rates of surface CO_2 flux anomalies are greater than by chance, especially for the most extreme surface CO_2 flux anomalies (Fig. S8). As expected from correlations between surface CO_2 flux anomalies and XCO_2 anomaly enhancements, larger XCO_2 anomaly enhancements are better able to detect surface CO_2 flux anomalies than smaller XCO_2 anomaly enhancements (Fig. S8a-S8c). CarbonTracker XCO_2 anomaly enhancements can detect surface CO_2 flux anomalies of at least the same percentile greater than by chance in nearly all cases without XCO_2 observation-based noise (Fig. S8f). However, only the largest of OCO-2 XCO_2 anomaly enhancements (>90th percentile) can detect surface CO_2 flux anomalies greater than by chance, demonstrating how OCO-2 retrieval error largely removes the surface CO_2 flux information content of smaller magnitude XCO_2 anomalies (Fig. S8d-S8e).

Ultimately, when a climatic event is ongoing and model outputs of surface CO_2 fluxes are not yet available, OCO-2 XCO_2 anomalies can be rapidly consulted. If a large XCO_2 anomaly is detected, it can be used to motivate a more detailed investigation and/or monitoring campaign of the climatic event. This OCO-2 XCO_2 anomaly detection potential has been recently realized (Hakkarainen et al., 2019), and at longer timescales where regional declines in fossil fuel emissions were detected with OCO-2 XCO_2 anomalies on the order of 0.25-0.5 ppm during the COVID-19 pandemic (Weir et al., 2021), noting caveats of limited anomaly detection on the lower end of this range (Buchwitz et al., 2021; Chevallier et al., 2020). Most XCO_2 anomalies attributed to the most extreme surface perturbations are below 1 ppm (Chatterjee et al., 2017; Crisp et al., 2017; Miller et al., 2007; Weir et al., 2021), which OCO-2 uncertainty may be able to detect as supported by our study (Eldering et al., 2017b; Wunch et al., 2017). However, other satellites like GOSAT and SCIAMACHY have estimated XCO_2 retrieval uncertainty over 1 ppm (Buchwitz et al., 2017a; Butz et al., 2011), which may limit their ability to interpret even the strongest monthly XCO_2 anomalies. As such, OCO-2 may provide an ability to monitor the monthly evolution of anomalous regional surface sources and sinks of CO_2 more precisely than the earlier generation of spaceborne greenhouse gas instruments.
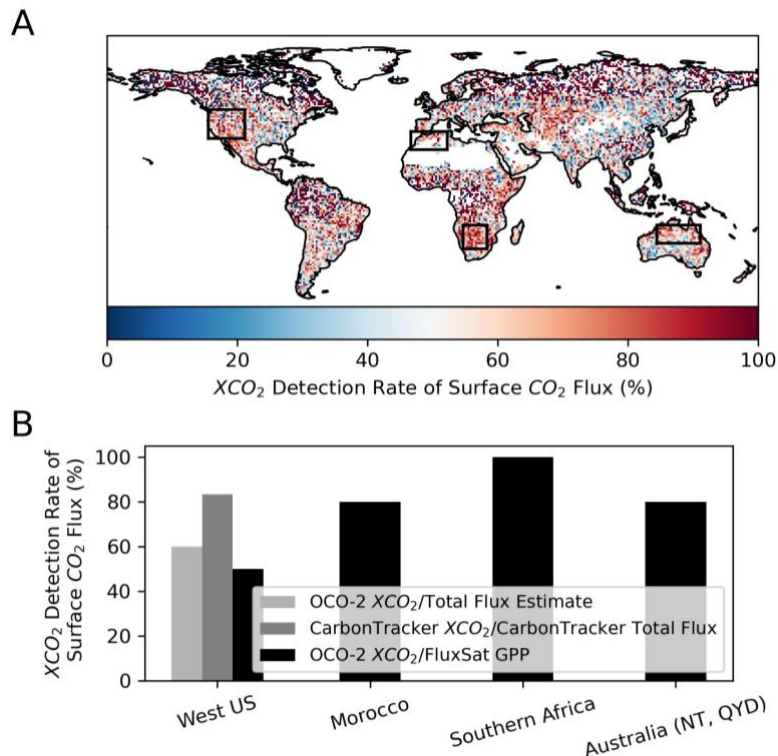
Figure 8. OCO-2 XCO_2 anomalies alone can detect extreme CO_2 flux anomalies. OCO-2 detection rate of extreme surface CO_2 flux anomalies (or positive XCO_2 anomalies when surface CO_2 efflux anomalies are the largest) **(a)** across the globe in each pixel and **(b)** within specific regions. The legend in **(b)** shows the datasets used to estimate the detection rate including pairs of OCO-2 XCO_2 anomalies and total CO_2 flux anomaly estimates from LPJ and QFED, pairs of CarbonTracker XCO_2 anomalies and CarbonTracker total CO_2 flux anomaly estimates, and pairs of OCO-2 XCO_2 anomalies and photosynthesis flux anomaly estimates from FluxSat GPP.

3.3.4 OCO-2 XCO_2 Estimation of Extreme Surface CO_2 Flux Anomalies

We show that the mass balance method (Eq. 1) approximately estimates the most extreme fluxes (>90th percentile based on LPJ outputs) in the Western US (Fig. 9). The 2021 fluxes in March and June were part of an extreme Western US drought and heatwave event (Philip et al., 2021; Williams et al., 2022). The LPJ model and QFED wildfire estimates indicate that these total CO_2 efflux anomalies increased to a peak in Spring 2021 (Fig. 7a). In June 2021, the OCO-2-based CO_2 flux anomaly estimate is 122 TgC/mo, while the independent total CO_2 flux anomaly estimate from LPJ and QFED is 140 TgC/mo (Fig. 9). Note that GPP anomalies are shown for comparison but are expected to be underestimates of the total effluxes in not including respiration and fire emissions. Therefore, the mass balance method provides a viable method to rapidly estimate the extreme CO_2 flux anomalies from a satellite observation source compared to more complex bottom-up biogeochemical modelling and advanced top-down inversion methods. In the months when XCO_2-based CO_2 flux anomalies did not compare with that

22

570 estimated in 2020, especially in September 2020, the total CO_2 flux anomaly estimate (from LPJ and QFED) potentially was positively biased when FluxSat GPP did not indicate a large biosphere CO_2 flux anomaly (Figs. 7a and 9). Therefore, the extreme CO_2 flux anomaly estimates from the biosphere model may have had model related errors that resulted in the reduced comparison. However, OCO-2 flux estimation error is expected given the imperfect anomaly detection rates shown in Fig. 8. This is especially the case in May 2020 when both modelled NBP and satellite-based GPP indicated an efflux in May 2020

575 that OCO-2 did not detect.

While a simple mass balance approach does not supplant a rigorous flux estimate from inverse modelling and data assimilation methods, it serves as a rapid estimation approach that can be used within one to two months latency. This is a significant capability given that total surface CO_2 flux anomaly estimates from biosphere model ensemble implementations or inverse

580 modelling projects are often multi-month or multi-year efforts. As such, greenhouse gas satellites can be consulted for rapid monitoring and attribution to determine whether an ongoing extreme climatic anomaly (i.e, the Western US 2020-2021 drought) is creating substantial carbon cycle anomalies.
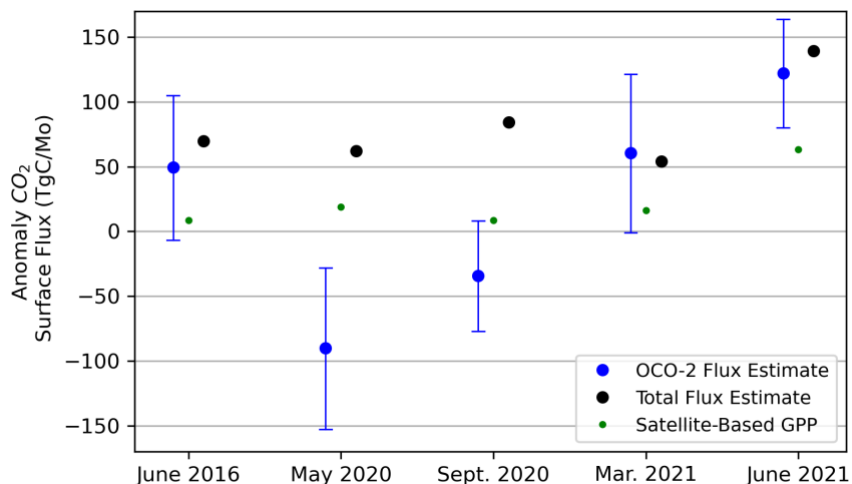
585 **Figure 9**. OCO-2 can roughly estimate extreme surface CO_2 flux anomalies. OCO-2 estimation of extreme surface CO_2 flux anomalies in the Western US target domain. Total CO_2 flux anomaly estimates are estimated from a combination of a dynamic global vegetation model (LPJ) and wildfire model reanalysis estimates. Error bars are determined from bootstrapped error estimates (see Section 3.3.2). Fossil fuel anomalies are negligible in magnitude compared to the biosphere and fire sources (see Fig. S1).

4 Conclusions

590 We demonstrate that OCO-2 satellite retrieved XCO_2 can be used with simple, yet effective mass balance frameworks to detect and estimate large biospheric CO_2 flux anomalies at monthly timescales. The application tested here ultimately requires

23

aggregating XCO_2 over regional domains with careful consideration of transport conditions. Namely, the surface CO_2 flux estimation mass balance method using XCO_2 improves when using larger spatial domains, when wind conditions are from the same background location, and wind flows consistently through the target domain. The larger spatial domain reduces errors due to turbulent atmospheric mixing of widespread surface CO_2 sources that would otherwise hinder use of a source pixel mass balance method at smaller spatial scales. Additionally, use of the larger area inherently requires aggregation of several XCO_2 soundings which reduces the magnitude of XCO_2 errors. While the Western US domain is evaluated here, our global regional assessment shows that these methods here are feasible for other locations with observed surface-atmosphere CO_2 coupling and favourable wind conditions like portions of North Africa, Southern Africa, India, and Northern Australia.

Satellite-observed XCO_2 anomalies from OCO-2 are mainly useful for evaluating more extreme CO_2 flux anomalies. While OCO-2 XCO_2 retrieval error and observation gaps in space and time may hinder capturing smaller CO_2 flux anomalies, we show that the timing and magnitude of extreme CO_2 flux anomalies can be monitored with judicious use of the satellite data. In the absence of this error, the performance of these methods greatly improves as suggested by CarbonTracker reanalysis. Therefore, any improvement in XCO_2 measurement and retrieval accuracy as well as improved coverage in space and time with upcoming greenhouse gas satellite missions (for example, GeoCarb) may extend the ability to globally monitor the timing and magnitude of biosphere anomalies at shorter timescales. Furthermore, even if advection conditions prevent use of the simple pixel source mass balance method, extreme CO_2 flux anomalies at least can be detected using only the observed monthly XCO_2 anomaly within the target domain. In addition to the Western US study domain here, we show that this anomaly-only approach is feasible in other domains like Southern Africa, Morocco, and Northern Australia, thus enhancing the capability of the satellite data to contribute towards a notional carbon monitoring system.

The value of such a means to monitor and estimate total surface CO_2 flux anomalies with satellite XCO_2 is manifold: (1) it is simple in requiring few assumptions and ancillary datasets. (2) It is rapid and therefore can be used as a first estimate in extreme event monitoring efforts. (3) It uses XCO_2 which integrates all surface CO_2 flux sources, the components of which otherwise need to be estimated separately in bottom-up approaches. (4) Since it is based mainly on observations that are independent of land surface models, it can be used as independent estimate to evaluate global model surface CO_2 flux outputs. Nevertheless, the method comes with uncertainty due to instrument noise and atmospheric transport and should be used in tandem with other observed variables in low-latency analyses.

We recommend that future work further evaluate transport conditions and pixel source mass balance flux estimation in other global regions we have identified here. Extensions of the method here should also be developed for regions with more complex transport conditions and anthropogenic influences (i.e., Eastern US, Western Europe). Ultimately, these methods should be developed for low-latency monitoring in known climate change hotspot regions, as is done here for the Western US hotspot, where more frequent and intense climate anomalies are expected in the future.

5 Code/Data Availability

All datasets used here are freely availability. CarbonTracker reanalysis data (CT2019B) are available at https://gml.noaa.gov/ccgg/carbontracker/. MERRA2 reanalysis wind and pressure fields are available at https://disc.gsfc.nasa.gov/datasets/M2T3NVASM_5.12.4/summary. OCO-2 XCO$_2$ retrievals and QFED outputs are available at https://disc.gsfc.nasa.gov. FluxSat GPP is available from https://daac.ornl.gov/ . The LPJ model code is publicly available at https://github.com/benpoulter/LPJ-wsl_v2.0.

6 Author Contributions

B.P. conceived the study. A.F.F. conducted the analysis and wrote the manuscript. B.P. and A.C. led the study. Z.Z. ran the LPJ model. Y.Y. provided GPP remote sensing retrievals and offered guidance on their interpretation. All authors contributed interpretations of figures and textual edits.

7 Acknowledgements

8 Competing Interests

The authors declare that they have no conflict of interest.

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