# On OBDDs for CNFs of Bounded Treewidth

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#### **Abstract**

In this paper we show that a CNF cannot be compiled into an Ordered Binary Decision Diagram (OBDD) of fixed-parameter size parameterized by the primal graph treewidth of the CNF. Thus we provide a parameterized separation between OBDDs and Sentential Decision Diagrams for which such fixed-parameter compilation is possible. We also show that the best existing parameterized upper bound for OBDDs in fact holds for incidence graph treewidth parameterization.

## Introduction

Knowledge compilation is a rewriting approach to propositional knowledge representation. The 'knowledge base' is initially represented as a CNF or even as a Boolean circuit. For these representations many important types of queries are NP-hard to answer. Therefore, the initial representation is compiled into another one for which the minimal requirement is that the clausal entailment query (can the given partial assignment be extended to a complete satisfying assignment?) can be answered in a polynomial time (Darwiche and Marquis 2002). Such transformation can result in exponential blow up of the representation size. A possible way to circumvent this issue is to identify a structural parameter of the input CNF such that the resulting transformation is exponential in this parameter and polynomial in the number of variables. A notable result in this direction is an  $O(2^k n)$ upper bound on the size of Decomposable Negation Normal Form (DNNF) (Darwiche 2001), where n is the number of variables of the given CNF and k is the treewidth of its primal graph. Quite recently, the same upper bound has been shown to hold for Sentential Decision Diagrams (SDD) (Darwiche 2011), a subclass of DNNF that can be seen as a generalization of the famous Ordered Binary Decision Diagrams (OBDD) and shares with the OBDD the key nice features (e.g. poly-time equivalence testing). It is known that a CNF of treewidth k can be compiled into an OBDD of size  $O(n^k)$  (Ferrara, Pan, and Vardi 2005). A natural question is whether OBDD, similarly to SDD, admits a 'fixed-parameter' upper bound of form  $f(k)n^c$  for some constant c.

In this paper we provide a negative answer to this question. In particular, we demonstrate an infinite class of CNFs

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of the primal graph treewidth at most k for which the OBDD size is at least  $f(k)n^{k/4}$  where f is a function exponentially small in k. In other words, we show that the OBDD size of these CNFs is  $\Omega(n^{k/4})$  for every fixed k. This result provides a separation from SDD and essentially matches the upper bound of (Ferrara, Pan, and Vardi 2005). In fact, this result shows impossibility of not only a fixed-parameter upper bound, but also of a sublinear dependence on k in the base of the exponent or even of an exponent k/C for some large constant C.

Our second result is 'strengthening' of the upper bound  $O(n^k)$  of (Ferrara, Pan, and Vardi 2005) by showing that it holds if k is the treewidth of the *incidence* graph of the given CNF thus extending the upper bound to the case of sparse CNFs with large clauses.

In order to obtain the lower bound, we introduce a notion of *matching width* of a graph and prove that if a CNF F of the considered class has matching width r of the primal graph then for any ordering of the variables of F there is a prefix S such that the number of distinct functions that can be obtained from F by assigning the variables of S is at least  $2^r$ . This will immediately imply that any OBDD realizing F will have at least  $2^r$  nodes. Finally we will prove that the matching width of the considered CNFs is  $\Omega(logn*k)$ . Substituting this lower bound instead r will get the desired lower bound for the OBDD size.

Similarly to the case of primal graph, the upper bound is obtained by showing that if pathwidth of the incidence graph of the given CNF is at most p then this CNF can be compiled into an OBDD of size  $O(2^pn)$ . Then the  $O(n^k)$  upper bound is obtained using a well known relation p = O(k\*logn) between the treewidth and the pathwidth of the given graph. The approach to obtain the  $O(2^pn)$  bound is similar to (Ferrara, Pan, and Vardi 2005): variables are ordered 'along' the path decomposition and it is observed that the for each prefix the number of functions caused by assigning the 'previous' variables is  $O(2^p)$ . The technical difference is that in our case the bags of the path decomposition include clauses and this circumstance must be taken into account.

The proposed results contribute to a large body of existing results concerning the space complexity of OBDDs. To begin with, there are many results concerning the complexity of OBDDs for *particular* classes of Boolean functions, see e.g. the book (Wegener 2000) and the survey (Wegener

2004). The space complexity of OBDD remains polynomial if parameterized by the treewidth of a *circuit* representing the given function (Jha and Suciu 2012), however the dependence on the treewidth becomes double exponential. A fixed-parameter upper bound can be achieved if tree of OBDDs is used instead of a single OBDD (McMillan 1994; Subbarayan, Bordeaux, and Hamadi 2007). In the complexity theory the OBDD is classified as the *oblivious read-once branching program*, see the book (Jukna 2012) for the results concerning the complexity of branching programs on particular classes of formulas

The proposed lower bound also contributes to the understanding of relationship between OBDD and SDD. Other results in this direction are (Xue, Choi, and Darwiche 2012) showing an exponential separation between SDD and OBDD based on the same order of variables (the order of variables for SDD is defined as the order of visiting the corresponding nodes of the underlying *vtree* by a left-right tree traversal algorithm) and (Choi and Darwiche 2013) empirically showing that conceptually similar heuristics produce SDDs orders of magnitude smaller than OBDDs.

The rest of the paper is structured as follows. The next section introduces the necessary background. The section after that proves the lower bound, the proofs of auxiliary statements are provided in the two following sections. Then follows the section presenting the upper bound for the parameterization by the treewidth of the incidence graph. The last section outlines relevant directions of further research.

## **Preliminaries**

The structure of this section is the following. First, we introduce notational conventions. Then we define the OBDD and specify the approach we use to prove the lower bound. Next, we introduce terminology related to CNFs. Finally, we define the notion of treewidth.

In this paper by a set of literals we mean one that does not contain an occurrence of a variable and its negation. For a set S of literals we denote by Var(S) the set of variables whose literals occur in S. If F is a Boolean function or its representation by a CNF or OBDD, we denote by Var(F)the set of variables of F. A truth assignment to Var(F) on which F is true is called a satisfying assignment of F. A set S of literals represents the truth assignment to Var(S)where variables occurring positively in S (i.e. whose literals in S are positive) are assigned with true and the variables occurring negatively are assigned with false. We denote by  $F_S$  a function whose set of satisfying assignments consists of S' such that  $S \cup S'$  is a satisfying assignment of F. We call  $F_S$  a subfunction of F. In other words, a Boolean function F' is a subfunction of a Boolean function F is F' can be obtained from F by giving a truth assignment to a subset of variables of F.

An OBDD Z representing a Boolean function F is a directed acyclic graph (DAG) with one root and two leaves labelled by true and false. The internal nodes are labelled with variables of F. There is a fixed permutation SV of Var(F) (that is, elements of Var(F) are linearly ordered according to SV) so that the vertices along any path from the root to a leaf are labelled with variables according to

this order. Each internal vertex is associated with 2 leaving edges labelled with true and false. Each path P from the root of Z is called a *computational path* and is associated with truth assignment to the variables labelling all the vertices but the last one. In particular, each variable is assigned with the value labelling the edge of the path that leaves the corresponding vertex. We denote by A(P) the assignment associated with the computational path P. The set of all A(P) where P is a computational path ending at the true leaf is precisely the set of satisfying assignments of F.

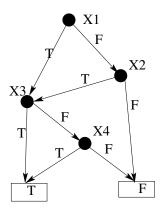


Figure 1: An OBDD for  $(x_1 \lor x_2) \land (x_3 \lor x_4)$  under permutation  $(x_1, x_2, x_3, x_4)$ 

Figure 1 shows an OBDD for the function  $(x_1 \lor x_2) \land (x_3 \lor x_4)$  under the permutation  $(x_1, x_2, x_3, x_4)$ . Consider the path  $P = (x_1, x_2, x_3)$ . Then  $A(P) = \{\neg x_1, x_2\}$ .

In order to obtain the lower bound on the OBDD size we use a standard approach of counting subfunctions. See (Wegener 2000) for examples of application of this approach. This approach is based on the following statement.

**Proposition 1** Let F be a Boolean function on a set V of variables and let SV be a permutation of V. Partition SV into a prefix  $SV_1$  and a suffix  $SV_2$  and suppose that the number of distinct subfunctions of F obtained by giving truth assignments to all the variables of  $SV_1$  is at least x. Then an OBDD of F with the underlying order SV contains at least x nodes.

The standard way to utilize Proposition 1 is to show that for any permutation SV of V there is a partition of SV into a prefix  $SV_1$  and a suffix  $SV_2$  such that the instantiation of variables of  $SV_1$  results in at least x different subfunctions. Then Proposition 1 immediately implies that x is a lower bound on the size of OBDD for any underlying order.

Given a CNF F, its *primal graph* has the set of vertices corresponding to the variables of F. Two vertices are adjacent if and only if there is a clause of F where the corresponding variables both occur. In the *incidence graph* of F the vertices are partitioned into those corresponding to the variables of F and those corresponding to its clauses. A variable vertex is adjacent to a clause vertex if and only if the corresponding variable occurs in the corresponding clause.

Given a graph G, its *tree decomposition* is a pair  $(T, \mathbf{B})$  where T is a tree and  $\mathbf{B}$  is a set of bags B(t) corresponding

to the vertices t of T. Each B(t) is a subset of V(G) and the bags obey the rules of union (that is,  $\bigcup_{t \in V(T)} B(t) = V(G)$ ), containment (that is, for each  $\{u,v\} \in E(G)$  there is  $t \in V(t)$  such that  $\{u,v\} \subseteq B(t)$ ), and connectedness (that is for each  $u \in V(G)$ , the set of all t such that  $u \in B(t)$  induces a subtree of T). The width of  $(T,\mathbf{B})$  is the size of the largest bag minus one. The treewidth of G is the smallest width of a tree decomposition of G. If T is a path then we use the respective notions of G and G are G and G and G and G are G and G and G are G and G and G are G are G and G are G are G and G are G are G are G and G are G are G and G are G and G are G are G and G are G are G are G and G are G are G are G and G are G are G and G are G are G are G and G are G are G are G and G are G are G and G are G are G are G are G are G and G are G are G and G are G are G are G are G and G are G are G and G are G and G are G and G are G are

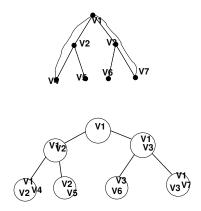


Figure 2: A graph and its tree decomposition

Figure 2 shows a graph and its tree decomposition. The width of this tree decomposition is 2 since the size of the largest bag is 3.

# The lower bound

In this section, given two integers r and k we define a class of CNFs, roughly speaking, based on complete binary trees of height r where each node is associated with a clique of size k. Then we prove that the treewidth of the primal graphs of CNFs of this class is linearly bounded by k. Further on, we state the main technical theorem (proven in the next section) that claims that the smallest OBDD size for CNFs of this class exponentially depends on rk. Finally, we re-interpret this lower bound in terms of the number of variables and the treewidth to get the lower bound announced in the Introduction.

Let G be a graph. A graph based CNF denoted by CNF(G) is defined as follows. The set of variables consists of variables  $X_u$  for each  $u \in V(G)$  and variables  $X_{u,v} = X_{v,u}$  for each  $\{u,v\} \in E(G)$ . The set of clauses consists of clauses  $C_{u,v} = C_{v,u} = (X_u \vee X_{u,v} \vee X_v)$  for each  $\{u,v\} \in E(G)$ . In other words, the variables of CNF(G) correspond to the vertices and edges of G. The clauses correspond to the edges of G.

Denote by  $T_r$  a complete binary tree of height r. Let  $CT_{r,k}$  be the graph obtained from  $T_r$  by associating each vertex with a clique of size k and, for each edge  $\{u,v\}$  of G, making all the vertices of the cliques associated with u and v mutually adjacent. Denote  $CNF(CT_{r,k})$  by  $F_{r,k}$ .

Figure 3 shows  $T_2$  and  $CT_{2,3}$ . To avoid shading the picture of  $CT_{2,3}$  with many edges, the cliques corresponding to

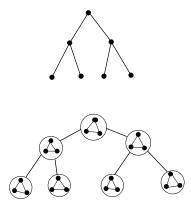


Figure 3:  $T_2$  and  $CT_{2,3}$ 

the vertices of  $T_2$  are marked by circles and the bold edges between the circles mean that that there are edges between all pairs of vertices of the corresponding cliques.

**Lemma 1** The treewidth of the primal graph of  $F_{r,k}$  is at least k-1 at most 2k-1. In fact, for  $r \ge 1$ , this treewidth is exactly 2k-1.

**Proof.** The primal graph of  $F_{r,k}$  can be obtained from  $CT_{r,k}$  by adding one vertex  $v_e$  for each edge e of  $CT_{r,k}$  and making this vertex adjacent to the ends of e.

The lower bound follows from existence of a clique of size k in  $CT_{r,k}$ . Indeed, in any tree decomposition of  $CT_{r,k}$ , there is a bag containing all the vertices of such a clique (Bodlaender and Möhring 1993). Consequently, the width of any tree decomposition is at least k-1. In fact if  $r\geq 1$  then  $CT_{r,k}$  has a clique of size 2k created by cliques of two adjacent nodes. Hence, due to the same argumentation, the treewidth of  $CT_{r,k}$  is at least 2k-1 for  $r\geq 1$ .

For the upper bound, consider the following tree decomposition  $(T,\mathbf{B})$  of  $CT_{r,k}$ . T is just  $T_r$ . We look upon  $T_r$  as a rooted tree, the centre of  $T_r$  being the root. The bag B(u) of each node u contains the clique of  $CT_{r,k}$  corresponding to u. In addition, if u is not the root vertex then B(u) also contains the clique corresponding to the parent of u. Observe that  $(T,\mathbf{B})$  satisfies the connectivity property. Indeed, each vertex appears in the bag corresponding to its 'own' clique and the cliques of its children. Clearly, the set of nodes corresponding to the bags induce a connected subgraph. The rest of the tree decomposition properties can be verified straightforwardly. We conclude that  $(T,\mathbf{B})$  is indeed a tree decomposition of  $CT_{r,k}$ .

In order to 'upgrade'  $(T, \mathbf{B})$ , add  $\binom{k}{2}$  new adjacent vertices to each vertex of T. These vertices will correspond to the edges of cliques associated with the respective nodes of  $T_r$ . In addition, add  $k^2$  new adjacent vertices to each nonroot vertex of T. These vertices will correspond to the edges between the clique associated with the corresponding node of  $T_r$  and the clique of its parent. The bag of each new vertex will contain  $v_e$ , corresponding to the edge e associated with this bag, plus the ends of e. A direct inspection shows that this is indeed a tree decomposition of the primal graph of  $F_{r,k}$  and that the size of each bag is at most 2k.

Notice that for  $r \geq 1$  the lower and upper bounds coincide, thus allowing to state the treewidth precisely.

The following is the main technical result whose proof is given in the next section.

**Theorem 1** The size of OBDD computing  $F_{r,k}$  is at least  $2^{rk/2}$ .

Let us reformulate the statement of Theorem 1 in terms of the number of variables of  $F_{r,k}$  and the treewidth of its primal graph, having in mind the bounds on the treewidth as in Lemma 1.

First of all, taking into account that  $k \geq p/2$ , where p is the treewidth of the primal graph of  $F_{r,k}$ , the lower bound can be seen as  $2^{rp/4}$ . Next, let m be the number of variables of  $F_{r,k}$ . Then, it is not hard to observe that  $2^r \geq \frac{m}{2\binom{k}{2}} \geq \frac{m}{2\binom{(p+1)}{2}}$ . Replacing  $2^r$  this way in  $2^{rp/4}$ ,

we obtain  $(\frac{m}{2^{\binom{(p+1)}{2}}})^{p/4}=\binom{2(p+1)}{2}^{-p/4}*m^{p/4}$  as a lower bound on the OBDD size for  $F_{r,k}$ . Clearly, if we consider p as a constant, this lower bound can be seen as  $\Omega(m^{p/4})$ .

Now we are ready to state the main result.

**Corollary 1** There is a function f such that for each  $p \ge 1$  there is an infinite sequence of CNFs  $F_1, F_2, \ldots, of$  treewidth at most p of their primal graphs such that for each  $F_i$  the size of OBDD computing it is at least  $f(p) * m^{p/4}$  where m is the number of variables of  $F_i$ . Put it differently, for each fixed p, there is a class of CNFs of treewidth at most p of the primal graph for which the OBDD size is  $\Omega(m^{p/4})$ .

**Proof.** For an odd p, consider the CNFs  $F_{r,(p+1)/2}$  for all  $r \geq 1$  and for an even p, consider the CNFs  $F_{r,p/2}$  for all  $r \geq 1$ . Observe that for an even p the primal graph treewidth of  $F_{r,p}$  is p-1 and that the above argumentation still applies. Indeed, since k=p/2, it is legitimate to represent the lower bound as  $2^{rp/4}$ . Further on, in the inequality that follows, the occurrence of p+1 in the denominator (as an upper bound of the actual treewidth) even strengthens this inequality.  $\blacksquare$ 

## **Proof of Theorem 1**

The plan of the proof is the following. We introduce the notion of matching width of a graph. Then we provide two statements regarding this notion. The first statement (Lemma 2) claims a linear in rk lower bound for the matching width of graphs  $CT_{r,k}$  underlying the considered class  $F_{r,k}$  (the proof of the lemma is provided in the next section). The second statement (Lemma 3) claims that if a graph G has a matching width t then any permutation of the variables of CNF(G) can be partitioned into a suffix and a prefix so that there are at least  $2^t$  subfunctions of CNF(G)resulting from instantiation of variables of the prefix. The proof of Lemma 3 constitutes the essential part of this section. Finally, we provide a proof of Theorem 1. In this proof we notice that according to the approach outlined in the Preliminaries section, Lemma 3 together with Proposition 1 implies that the size of an OBDD of CNF(G) is at least  $2^t$ . Taking  $CT_{r,k}$  as G and substituting the lower bound claimed by Lemma 2, we obtain the desired lower bound for  $F_{r,k} = CNF(CT_{r,k}).$ 

The matching width is defined as follows. Let SV be a permutation of the set V=V(G) of vertices of a graph G. Let  $S_1$  be a prefix of SV (i.e. all vertices of  $SV\setminus S_1$  are ordered after  $S_1$ ). Let us call the matching width of  $S_1$ , the largest matching (that is, a set of edges not having common ends) consisting of the edges between  $S_1$  and  $V\setminus S_1$  (we take the liberty to use sequences as sets, the correct use will be always clear from the context). Further on, the matching width of SV is the largest matching width of a prefix of SV. Finally the matching width of SV, denoted by SV, is the smallest matching width of a permutation of SV.

**Example 1** Consider a path of 10 vertices  $v_1, \ldots, v_{10}$  so that  $v_i$  is adjacent to  $v_{i+1}$  for  $1 \leq i < 10$ . The matching width of permutation  $(v_1, \ldots, v_{10})$  is 1 since between any suffix and prefix there is only one edge. However, the matching width of the permutation  $(v_1, v_3, v_5, v_7, v_9, v_2, v_4, v_6, v_8, v_{10})$  is 5 as witnessed by the partition  $\{v_1, v_3, v_5, v_7, v_9\}$  and  $\{v_2, v_4, v_6, v_8, v_{10}\}$ . Since the matching width of a graph is determined by the permutation having the smallest matching width, and, since the graph has edges, there cannot be a permutation of matching width 0, we conclude that the matching width of this graph is 1

**Lemma 2** For any r, the matching width of  $CT_{r,k}$  is at least rk/2.

The proof of Lemma 2 is provided is the next section.

**Remark.** The above definition of matching width is a special case of a more general notion of *maximum matching width* as defined in (Vatshelle 2012). In particular our notion of matching width can be seen as a variant of maximum matching width of (Vatshelle 2012) where the tree *T* involved in the definition is a caterpillar.

We are now showing that for CNFs of form CNF(G), a large matching width of G is sufficient for establishing a strong lower bound.

**Lemma 3** Let G be a graph having matching width t. Denote CNF(G) by F. Then any permutation SF of Var(F) has a prefix  $SF_1$  such that there are at least  $2^t$  different functions of form  $F_{S_1}$  such that  $S_1$  is a truth assignment to the variables of  $SF_1$ .

**Proof.** Let us partition Var(F) into sets VV of variables corresponding to the vertices of G and EV of variables corresponding to the edges of G. Let SV be the permutation of VV ordered in the way as they are ordered in SF. Let  $SV_1$  be a prefix of SV witnessing the matching width t of SV. (Recall that the matching width of SV is at least the matching width of G.) The word 'witnessing' in this context means that there is a matching  $M = \{\{u_1, v_1\}, \dots, \{u_t, v_t\}\}$  between  $SV_1$  and  $V(G) \setminus SV_1$ . Let  $SF_1$  be the prefix of SF ending with the last element of  $SV_1$ . Thus the variables  $X_{u_1}, \dots X_{u_t}$  corresponding to  $u_1, \dots, u_t$  belong to  $SF_1$  while the variables  $X_{v_1}, \dots, X_{v_t}$  corresponding to  $v_1, \dots, v_t$  do not. We denote the set of clauses  $(X_{u_i} \vee X_{u_i, v_i} \vee X_{v_i})$  by TCL.

In the rest of the proof we essentially show that  $2^t$  different assignments to variables  $X_{u_1}, \ldots X_{u_t}$  produce  $2^t$  different subfunctions of F thus confirming the lemma. Roughly speaking, this is done by showing that by a careful fixing the assignments to *the rest* of the variables of  $SF_1$  we can achieve the effect that an assignment to  $X_{u_i}$  does not 'influence' an assignment to  $X_{v_j}$  for  $i \neq j$ . As a result no two assignments to  $X_{u_1}, \ldots, X_{u_t}$  can have the same effect on  $X_{v_1}, \ldots, X_{v_t}$  and this guarantees that desired large set of subfunctions.

We start from defining a set of  $2^t$  assignments for which we then claim that any two assignments induce two distinct subfunctions of F. In particular, let  $\mathbf{S}$  be the set of all assignments to the variables of  $SF_1$  that assign the variables  $X_{u_i,v_i}$  (of course, those of them that belong to  $SF_1$ ) with false and the rest of variables except  $X_{u_1},\ldots,X_{u_t}$  with true. It is easy to see by construction that  $\mathbf{S}$  is in a natural one-to-one correspondence with the set of possible assignments to  $X_{u_1},\ldots,X_{u_t}$ . In particular, each  $S \in \mathbf{S}$  corresponds to the assignment A to  $X_{u_1},\ldots,X_{u_t}$  contained in it. Indeed, the assignments of the rest of the variables are fixed in  $\mathbf{S}$  by construction. It follows that the size of  $\mathbf{S}$  is  $2^t$ .

We are going to show that for any distinct  $S_1, S_2 \in \mathbf{S}$ ,  $F_{S_1} \neq F_{S_2}$ , confirming the lemma. Due to the correspondence established above, we can specify  $u_i$  such that  $S_1$  and  $S_2$  assign  $X_{u_i}$  with distinct values. Assume w.l.o.g. that  $X_{u_i}$  is assigned with true by  $S_1$  and with false by  $S_2$ . Observe that F does not have a satisfying assignment including  $S_2$  and assigning both  $X_{u_i,v_i}$  and  $X_{v_i}$  with false. Indeed, as a result, the clause  $(X_{u_i} \lor X_{u_i,v_i} \lor X_{v_i})$  is falsified. We are going to show that both  $X_{u_i,v_i}$  and  $X_{v_i}$  can be assigned with false in a satisfying assignment of F including  $S_1$ . Indeed, assign all the variables of  $Var(F) \setminus (Var(S_1) \cup$  $\{X_{u_i,v_i}, X_{v_i}\}\)$  with true and see that the resulting assignment together with  $S_1$  satisfies all the clauses of F. Indeed, if a clause  $(X_u \vee X_{u,v} \vee X_v)$  does not belong to TCL then  $X_{u,v}$  is assigned with true (by construction, the only 'edge' variables assigned by false are  $X_{u_i,v_i}$ , that is those that occur in the clauses of TCL). Furthermore, for any clause  $(X_{u_j} \lor X_{u_j,v_j} \lor X_{v_j})$  of TCL such that  $i \neq j$ ,  $X_{v_j}$  is assigned with true. Finally  $X_{u_i}$  is assigned with true by  $S_1$ . It follows that indeed all the clauses of F are satisfied.

Assume that  $X_{u_i,v_i} \notin Var(S_1)$ . Then, by the reasoning as above,  $F_{S_1}$  has a satisfying assignment including  $\{\neg X_{u_i,v_i}, \neg X_{v_i}\}$  while  $F_{S_2}$  does not implying that  $F_{S_1} \neq F_{S_2}$ . Otherwise, if  $X_{u_i,v_i} \in Var(S_1)$ , it is assigned with false in both  $S_1$  and  $S_2$ , by construction. It follows that  $F_{S_1}$  has a satisfying assignment including  $\neg X_{v_i}$  while  $F_{S_2}$  does not. It follows again that  $F_{S_1} \neq F_{S_2}$ .

**Remark.** Notice the role of variables  $X_{u,v}$  in the proof of Lemma 3. They allow the values of  $X_{u_i}$  to not influence the values of  $X_{v_j}$  for  $i \neq j$  and thus keep the number of different subfunctions up to the desired bound. Due to the same reason, it is important that the edges  $\{u_1, v_1\}, \ldots, \{u_r, v_r\}$  constitute a matching, i.e. have disjoint ends.

**Proof of Theorem 1** Lemma 3 combined with Proposition 1 says that if G has matching width at least t then for any permutation of Var(CNF(G)) the corresponding OBDD has at least  $2^t$  nodes. In other words,  $2^t$  is a lower

bound on the OBDD size for CNF(G). Taking  $G = CT_{r,k}$  and hence  $CNF(G) = F_{r,k}$  and substituting rk/2 for t according to Lemma 2, we obtain a lower bound of  $2^{rk/2}$  on the OBDD size of  $F_{r,k}$ , as required.

## **Proof of Lemma 2**

This section is organized as follows. First, we introduce the notion of *induced permutation*. Then we provide proof of Lemma 2 for k=1. After that, we outline how to upgrade this special case to a complete proof. Finally, we provide the complete proof. Note that the proof of the special case and the following outline are *technically* redundant. However, the reader may find them useful as they provide a *sketch* reflecting the proof idea.

The notion of induced permutation is defined as follows. Let  $P_1$  be a permutation of elements of a set  $S_1$  and let  $S_2 \subseteq S_1$ . Then  $P_1$  induces a permutation  $P_2$  of  $S_2$  where the elements of  $S_2$  are ordered exactly as they are ordered in  $P_1$ . For example, let  $S_1 = \{1, \dots, 10\}$  and let  $S_2$  be the subset of even numbers of  $S_1$ . Let  $P_1 = (1, 8, 2, 9, 5, 6, 7, 3, 4, 10)$ . Then  $P_2 = (8, 2, 6, 4, 10)$ .

**Proof of the special case of Lemma 2 for** k=1 We are going to prove that for an odd r, the matching width of  $T_r$  is at least (r+1)/2. For an even r we can simply take a subgraph of  $T_r$  isomorphic to  $T_{r-1}$  (it is not hard to see that the matching width of a graph is not less than the matching width of its subgraph).

The proof goes by induction on r. For r = 1, this is clear, so consider the case r > 1. Imagine  $T_r$  rooted in the natural way, the root being its centre. Then  $T_r$  has 4 grandchildren, the subtree rooted by each of them being  $T_{r-2}$ . Denote these grandchildren by  $T^1, \ldots, T^4$ . Let PVbe any permutation of the vertices of  $T_r$ . This permutation induces respective permutations  $PV_1, \dots, PV_4$  of vertices of  $T^1, \ldots, T^4$  being ordered exactly as in PV. By the induction assumption, we know that each of  $PV_1, \ldots, PV_4$ can be partitioned into a prefix and a suffix so that the edges between the prefix and the suffix induce graph having matching of size at least (r-1)/2. Each of these prefixes naturally corresponds to the prefix of PV ending with the same vertex. Since  $PV_1, \ldots, PV_4$  are pairwise disjoint, this correspondence supplies 4 distinct prefixes  $P_1^*, \dots, PV_4^*$  of PV. Moreover, for each  $PV_i^*$  we know that the graph  $G_i^*$  induced by the edges between the vertices of  $PV_i^*$  and the rest of the vertices has a matching of size (r-1)/2 consisting *only* of the edges of  $T^i$ . In order to 'upgrade' this matching by 1 and hence to reach the required size of (r+1)/2, all we need to show is that in an least one  $G_i^*$  there is an edge both ends are not vertices of  $T^i$  and hence this edge can be safely added to the matching.

At this point we make a notational assumption that does not lead to loss of generality and is convenient for the further exposition. By construction,  $PV_1^*,\ldots,PV_4^*$  are linearly ordered by containment and we assume w.l.o.g. that the ordering is by the increasing order of the subscript, that is  $PV_1^* \subset PV_2^* \subset PV_3^* \subset PV_4^*$ . We claim that the upgrade to the matching as specified above is possible for  $PV_2^*$ .

Indeed, observe that  $T_r \setminus T^2$  is a connected graph. Thus

all we need to show is that at least one vertex of  $T_r \setminus T^2$  gets into  $PV_2^*$  and at least one vertex of  $T_r \setminus T^2$  gets outside  $PV_2^*$ , that is in  $V(T_r) \setminus PV_2^*$ .

For the former, recall that  $PV_1^* \subset PV_2^*$  and that by construction,  $PV_1^*$  contains (r-1)/2 vertices of  $T^1$  being a subgraph of  $T_r \setminus T^2$ . Thus we conclude that  $PV_2^*$  contains vertices of  $T_r \setminus T^2$  For the latter, observe that since  $PV_2^* \subset PV_3^*$ ,  $V(T_r) \setminus PV_3^* \subset V(T_r) \setminus PV_2^*$ . Furthermore, by construction,  $V(T_r) \setminus PV_3^*$  contains (r-1)/2 vertices of  $T^3$  being a subgraph of  $T_r \setminus T^2$ . Thus we conclude that  $V(T_r) \setminus PV_2^*$  contains vertices of  $T_r \setminus T^2$  as well, thus finishing the proof.

A proof for the general case of Lemma 2 proceeds by induction on r similarly to the special case above. Of course we need to keep in mind that instead of nodes of  $T_r$  we have cliques of size k. The consequence of this substitution is that at the inductive step of moving from  $T_{r-2}$  to  $T_r$  we can increase the matching width by k rather than by 1 as above. The auxiliary Lemma 4 allows us to demonstrate the possibility of this upgrade essentially in the same way as we did for k=1: we just show that the considered prefix and suffix of the given permutation both contain at least k vertices outside the grandchild serving the part of the matching guaranteed by the induction assumption.

**Lemma 4** Let T be a tree with at least 2 nodes and let k be a positive integer. Let CT be a graph obtained from T by associating with each vertex of T a clique of an arbitrary size  $k' \geq k$  and making the vertices of cliques associated with adjacent vertices of T mutually adjacent. Let W, B standing for 'white' and 'black' be a partition of V(CT) such that  $|W| \geq k$  and  $|B| \geq k$ . Then CT has a matching of size k formed by edges with one white and one black end.

**Proof.** The proof is by induction on the number of nodes of T. It is clearly true when there are 2 nodes. Assume that the tree has n>2 nodes and let u be a leaf of T and v be its only neighbour.

Let  $k' \geq k$  be the size of the clique VU associated with u in CT. Assume w.l.o.g. that  $|W \cap VU| \leq |B \cap VU|$ . Denote  $|W \cap VU|$  by  $k_1$ . Clearly, the  $k_1$  vertices of  $W \cap VU$  can be matched with the vertices of  $B \cap VU$ . If  $k_1 \geq k$ , we are done. Next, if  $|B \setminus VU| \geq k - k_1$ , then the lemma follows by induction assumption applied on  $T \setminus u$ .

Consider the remaining possibility where  $|B \setminus VU| = k - k_1 - t$  for some t > 0. Observe that  $t \le k' - 2k_1$ . Indeed, the total number of vertices of B is  $k' - k_1 + k - k_1 - t$  so,  $t > k' - 2k_1$  will imply |B| < k, a contradiction.

Let VV be the clique associated with the neighbour v of u. It follows from our assumption that  $|W \cap VV| \geq k_1 + t$  because at most  $k-k_1-t$  vertices of VV can be black. Match  $k_1$  vertices of  $W \cap VU$  with vertices of  $B \cap VU$  (this is possible due to our assumption that  $|W \cap VU| \leq |B \cap VU|$ ). Match t unmatched vertices of  $B \cap VU$  (there are  $k'-2k_1$  unmatched vertices of  $B \cap VU$  and we have just shown that  $t \leq k'-2k_1$ ) with t vertices of VV0. We are in the situation where in VV1 where are at least VV2 vertices of VV3, at least VV4 vertices of VV5 and the size of each associated clique is clearly at least VV6. Hence, the lemma follows by the induction assumption.

**Proof of Lemma 2.** We prove that for an odd r, the matching width of  $CT_{r,k}$  is at least (r+1)k/2. For an even r, it will be enough to consider a subgraph of  $CT_{r,k}$  being isomorphic to  $CT_{r-1,k}$ . The proof is by induction on r. Assume first that r=1. Then the lemma holds according to Lemma 4.

For r>1, let us view  $T_r$  as a rooted tree with its centre rt being the root. Let  $T^1,\ldots,T^4$  be the 4 subtrees of  $T_r$  rooted by the 'grandchildren' of rt. Let  $K_1,\ldots,K_4$  be the subgraphs of  $CT_{r,k}$  'corresponding' to  $T^1,\ldots,T^4$ . That is, each  $K_i$  is a subgraph of  $CT_{r,k}$  induced by (the vertices of) cliques associated with the vertices of  $T^i$ . It is not hard to see that each  $T^i$  is isomorphic to  $T_{r-2}$  and each  $K_i$  is isomorphic to  $CT_{r-2,k}$  and that  $K_1,\ldots,K_4$  are pairwise disjoint.

Let PV be an arbitrary permutation of  $V(CT_{r,k})$ . Let  $PV_1, \ldots, PV_4$  be the respective permutations of  $V(K_1), \ldots, V(K_4)$  induced by PV. By the induction assumption for each  $PV_i$  there is a prefix  $PV'_i$  such that the edges of  $K_i$  with one end in  $PV'_i$  and the other end in  $PV_i \setminus PV_i'$  induce a graph having matching of size at least (r-1)k/2. Let  $u_1, \ldots, u_4$  be the last vertices of  $PV'_1, \dots PV'_4$ , respectively. Assume w.l.o.g. that these vertices occur in PV in exactly this order. Let PV' be the prefix of PV with final vertex  $u_2$ . We are going to show that the subgraph of  $CT_{r,k}$  induced by the edges between PV'and  $PV \setminus PV'$  has matching of size at least (r+1)k/2. In fact, as specified above, we already have matching of size (r-1)k/2 if we confine ourself to the edges between  $PV' \cap PV_2$  and  $(PV \setminus PV') \cap PV_2$ . Thus, it only remains to show the existence of matching of size k in the subgraph of  $CT_{r,k}$  induced by the edges between  $PV_1^* = PV' \setminus PV_2$ and  $PV_2^* = (PV \setminus PV') \setminus PV_2$ . Observe that  $PV_1^*, PV_2^*$ is a partition of vertices of  $CT_{r,k} \setminus K_2$ . Therefore, it is sufficient to show that  $|PV_1^*| \ge k$  and  $|PV_2^*| \ge k$  and then the existence of the desired matching of size k will follow from

Due to our assumption that  $u_1$  precedes  $u_2$  in PV, it follows that  $PV_1'$  is contained in PV'. Moreover, since  $K_1$  and  $K_2$  are disjoint,  $PV_1'$  is disjoint with  $PV_2$  and hence  $PV_1' \subseteq PV_1^*$ . Recall that by the induction assumption, the vertices of  $PV_1'$  serve as ends of a matching of size (r-1)k/2 with no two vertices sharing the same edge of the matching. That is  $|PV_1'| \ge (r-1)k/2$ . Since r>1 by assumption, we conclude that  $|PV_1'| \ge k$  and hence  $|PV_1^*| \ge k$ .

The proof that  $|PV_2^*| \geq k$  is symmetrical. By our assumption,  $u_2$  precedes  $u_3$  is PV and hence  $PV_3 \setminus PV_3'$  is contained in  $PV \setminus PV'$  and due to the disjointness of  $K_2$  and  $K_3$ ,  $PV_3 \setminus PV_3'$  is in fact contained in  $PV_2^*$ . That  $|PV_3 \setminus PV_3'| \geq k$  is derived analogously to the proof that  $|PV_1'| \geq k$ .

# **OBDDs parameterized by the treewidth of the** incidence graph

Recall that the incidence graph of the given  ${\tt CNF}\ F$  has the set of vertices corresponding to its variables and clauses and a variable vertex is adjacent to a clause vertex if and only

if the corresponding variable occurs in the corresponding clause. The upper bound of (Ferrara, Pan, and Vardi 2005) does not straightforwardly apply to the case of incidence graphs because there are classes of CNFs having constant treewidth of the incidence graph and unbounded treewidth of the primal graph. Indeed, consider, for example a CNF with one large clause. Nevertheless, we show in this section that the  $O(n^k)$  upper bound on the size of OBDD holds if k is the treewidth of the incidence graph of the considered CNF.

As in (Ferrara, Pan, and Vardi 2005), we show that if p is the pathwidth of the *incidence graph* G of the given CNF F then the function of F can be realized by an OBDD of size  $O(2^p n)$  implying (through the k = O(p \* logn)) the  $O(n^k)$  upper bound where k is the treewidth of G. The resulting OBDD is seen as a DAG whose nodes are partitioned into layers, each layer consisting of nodes labelled by the same variable. The main technical lemma shows that under the right permutation of variables the nodes of each layer correspond to  $O(2^p)$  subfunctions of F. Consequently,  $O(2^p)$  nodes per layer are sufficient, which in turn, immediately implies the desired upper bound.

Let us start from fixing the notation. Let F be a CNF and G be its incidence graph, whose nodes are  $X_1,\ldots,X_n$  (corresponding to the variables of F) and  $C_1,\ldots,C_m$  (corresponding to the clauses of F) and  $X_i$  and adjacent to  $C_j$  if and only if  $X_i$  occurs in  $C_j$  (for the sake of brevity, we identify the vertices of G with the corresponding variables and clauses). Let  $(P,\mathbf{B})$  be a path decomposition of G. Fix an end vertex of P and enumerate the vertices of P along the path starting from this fixed vertex. Let  $v_1,\ldots,v_r$  be the enumeration. For each  $X_i$ , let  $f(X_i)$  be the smallest  $f(X_i)$  such that  $f(X_i)$  we call a linear ordering  $f(X_i)$  or ordering  $f(X_i)$  an ordering  $f(X_i)$  an ordering  $f(X_i)$  an ordering  $f(X_i)$ 

Now we are ready to prove the main technical lemma.

**Lemma 5** Let SV be an ordering respecting f. Let  $SV_1$  be a prefix of SV. Then the number of distinct  $F_S$  such that S is an assignment to  $SV_1$  is at most  $1 + 2 * 2^p$  where p is the width of  $(P, \mathbf{B})$ .

**Proof.** Let X be the last variable of  $SV_1$ . Denote f(X) by q. We assume w.l.o.g. that all the clauses of F are pairwise distinct and hence identify a CNF with its set of clauses. Partition F into three sets of clauses: FP, consisting of those that appear in some  $B(v_j)$  for j < q and do not appear in  $B(v_q)$ ; FC, consisting of those that appear in  $B(v_q)$  and FF consisting of those that appear in  $B(v_j)$  for some j > q and do not appear in  $B(V_q)$ . Observe that this is indeed a partition of clauses. Indeed, otherwise  $FP \cap FF \neq \emptyset$  as all other possibilities contradict the definition of the sets FP, FC, FF. Then due to the connectedness property of  $(P, \mathbf{B})$ , either  $FP \cap B(v_q) \neq \emptyset$  or  $FF \cap B(v_q) \neq \emptyset$ . However, both these possibilities contradict the definition of FP and FF. We conclude that FP, FC, FF indeed partition the clauses of F.

Denote by **FS** the set of all functions  $F_S$  such that S is an assignment to  $SV_1$ . Denote by **FPS**, **FCS**, **FFS** the analogous sets regarding FP, FC, and FF, respectively.

Let us compute the sizes of the latter 3 sets. Let C be a

clause of FP. By definition Var(C) is a subset of variables appearing in the bags  $B(v_j)$  for j < q. By definition, these variables are ordered *before* X. It follows that  $Var(C) \subset Var(SV_1)$  and hence any assignment to  $SV_1$  either satisfies or falsifies C. Consequently  $FP_S$  is either true or false.

It is not hard to see that  $FC_S$  is obtained from FC by removal of all the clauses that are satisfied by S and removal of the occurrences of Var(S) from the rest of the clauses. It follows that if  $FC_{S_1}$  and  $FC_{S_2}$  have the same set of satisfied clauses then  $FC_{S_1} = FC_{S_2}$  in other words,  $FC_S$  is completely determined by a set of satisfied clauses. Hence  $|\mathbf{FCS}|$  is bounded above by the number of subsets of clauses of FCS, i.e. it is at most  $2^{t_1}$  where  $t_1$  is the number of clauses of FCS.

Finally let  $SV^* = SV_1 \cap Var(FF)$ . It is not hard to see that for an assignment S to  $SV_1$ ,  $FF_S$  is completely determined by the subset of S assigning the variables of  $SV^*$ . Therefore, the number of distinct functions  $FF_S$  is at most as the number of distinct assignments to  $SV^*$ , which is  $2^{t_2}$  where  $t_2 = |SV^*|$ .

Let S be an assignment on  $SV_1$ . It is not hard to see that  $F_S = FP_S \wedge FC_S \wedge FF_S$ . If  $FP_S = false$  then  $F_S = false$ . Otherwise,  $FP_S = true$  and hence  $F_S = FC_S \wedge FF_S$ . In other words,  $F_S$  is either false or there are  $F_1 \in \mathbf{FCS}$  and  $F_2 \in \mathbf{FFS}$  such that  $F_S = F_1 \wedge F_2$ . That is  $|\mathbf{FS}| \leq 1 + 2^{t_1 + t_2}$ .

We claim that  $t_1+t_2 \leq p+1$  implying the lemma. Indeed, the clauses of FC all belong to  $B(v_q)$  by definition. Observe that  $SV^* \subseteq B(v_q)$  as well. Indeed, let  $Y \in SV^*$ . Since Y is either X or ordered before X, there must be  $j_1 \leq q$  such that  $Y \in B(v_{j_1})$ . On the other hand, by definition of FF, there must be  $j_2 > q$  such that  $Y \in B(v_{j_2})$ . By the connectedness property  $Y \in B(v_q)$ . Since FC and  $SV^*$  are clearly disjoint being a set of 'clause vertices' and a set of 'variable vertices', the size of their union is the sum of their sizes and the size of their union cannot be larger that  $|B(v_q)| \leq p+1$ , as required.  $\blacksquare$ 

The upper bound can now be formally stated.

**Theorem 2** Let F be a CNF with n variables and the pathwidth p of its incidence graph. Then F can be compiled into an OBDD of size  $O(2^p n)$ .

**Proof.** In fact we prove that the  $O(2^p n)$  upper bound holds even for *uniform* OBDDs where each path from the root to a leaf includes *all* the variables. Notice that the uniformity is not required by the definition of the OBDD, only the order of variables along a computational path is essential. For instance, the OBDD shown in Figure 1 is not uniform.

Let SV be an ordering respecting f as above. Let Z be a smallest possible uniform OBDD of F with SV being the underlying ordering. It is well known that the subgraph of Z induced by any internal node u and all the vertices reachable from u (the labels on vertices and edges are retained) is an OBDD whose function is  $F_{A(P)}$  where P is an arbitrary path from the root to u. Moreover, the minimality of Z implies that all the nodes marked with the same variable represent distinct functions. Indeed, if there are 2 nodes representing the same function then one of them can be removed, with the in-edges of the removed node becoming the in-edges of an-

other node associated with the same function and with possible removal of some nodes that become not reachable from the root. This produces another uniform OBDD implementing the same function and having a smaller size in contradiction to the minimality of Z.

By construction the function of a node labeled with a variable x of F is a subfunction of F obtained by an assignment to the variables preceding x in SV. According to Lemma 5 the number of such subfunctions is  $O(2^p)$ . Since distinct nodes labeled by x are associated with distinct subfunctions, there are  $O(2^p)$  nodes labeled by x. Multiplying this by the number x of variables of x, we obtain the desired  $O(2^p n)$  bound on the number of nodes of x.

**Corollary 2** A CNF with n variables and having treewidth k can be compiled into an OBDD of size  $O(n^k)$ .

We close this section with discussion of yet another parameter of CNFs, introduced in (Huang and Darwiche 2004), whose fixed value guarantees a linear size OBDD. In (Huang and Darwiche 2004) this parameter has not been given a name so, let us name it *combined width*. Let SV be a linear ordering on variables of the given CNF F. For each variable x in this ordering we define the *cutwidth* of x (w.r.t. to SV) as the number of clauses with one variable ordered before xand one variable ordered after x in SV. Further on, we define the pathwidth of x (w.r.t. to SV) as the number of variables ordered before x that occur in clauses having at least one occurrence of a variable ordered after x. The combined width of x is the minimum of the cutwidth and the pathwdith of x. The combined width of SV is the maximum over all the combined widths of the variables. Finally, the combined width of F is the minimum of combined widths of all possible orders of the variables of F. It is shown in (Huang and Darwiche 2004) that a CNF of combined width w can be complied into an OBDD of size  $O(2^w n)$ .

The combined width of F is a mixture of two parameters of the primary graph of F: the cutwidth (maximum cutwidth of a variable in the given permutation taken minimum over all permutations) and the pathwidth. Moreover, the combined width is not just their minimum but can in fact be much smaller than both cutwidth and pathwidth. Consider for example a CNF  $F = F_1 \wedge F_2$  where  $F_1$  and  $F_2$  are CNFs defined as follows.  $F_1 = (x \vee x_1) \wedge \ldots \wedge (x \vee x_m)$  and  $F_2 = (y_1, \dots, y_m)$  We assume that the variables of  $F_1$  are disjoint with the variables of  $F_2$  and that m can be arbitrarily large. The primary graph of  $F_1$  has a large cutwidth. Indeed, for any ordering of variables of  $F_1$  there is a subset V' of  $\{x_1, \ldots, x_m\}$  of size at least m/2 that are either all smaller than x or all larger than x. Specify a variable  $y \in V'$  that is a 'median' of V' according to the considered order. Then the cutwidth of this variable will be about m/4. Furthermore, the pathwidth of the primary graph of  $F_2$  is large because this graph is just one big clique. On the other hand, the combined width of  $F_1$  and  $F_2$  is small. Indeed, order the variables as follows:  $x, x_1, \ldots, x_m, y_1, \ldots, y_m$ . Then the pathwidth index of the first m+1 variables is 1 and hence the combined width will be at most 1 as well. Further, the cutwidth of the last m variable is 1 and hence the combined width of these variables is 1 as well. Thus the combined width of this order is 1 and hence the combined width of  $F_1 \wedge F_2$  is at most 1 which is clearly much smaller than the minimum of the pathwdith and the cutwidth of F (determined by the respective connected components of the primary graph of F). We leave the relationship between the incidence graph treewidth and the combined width as an open question.

## **Discussion**

In this paper we have demonstrated an infinite class of CNFs of primal graph treewidth at most k their primal graphs for which the sizes of respective OBDDs are at least  $f(k)n^{k/4}$  for some function f. This result rules out the possibility of compiling a CNF into an OBDD of fixed-parameter size parameterized by the primal graph treewidth of the CNF. Our second result shows that a CNF of incidence graph treewidth at most k can be compiled into an OBDD of size at most  $O(n^k)$ .

Two open questions naturally arise from these results. For the first question recall that the Free Binary Decision Diagram FBDD (a.k.a. read-once branching program) is a generalization of OBDD that allows querying variables in different orders along different computational paths. Does the above lower bound hold for FBDDs realizing CNFs of bounded treewidth? The second question is: what is the space complexity of SDD parameterized by the *incidence graph treewidth* of the input CNF?

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