



# Seasonal variations of stable carbon isotopic composition and biogenic tracer compounds of water-soluble organic aerosols in a deciduous forest

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**Abstract.** To investigate the seasonal changes in biogenic water-soluble organic carbon (WSOC) aerosols in a boreal forest, aerosol samples were collected continuously in the canopy of a deciduous forest in northern Japan during 2009–2010. Stable carbon isotopic composition of WSOC ( $\delta^{13}\text{C}_{\text{WSOC}}$ ) in total suspended particulate matter (TSP) exhibited a distinct seasonal cycle, with lower values from June through September ( $-25.5 \pm 0.5\%$ ). This cycle follows the net  $\text{CO}_2$  exchange between the forest ecosystem and the atmosphere, indicating that  $\delta^{13}\text{C}_{\text{WSOC}}$  likely reflects the biological activity at the forest site. WSOC concentrations showed the highest values in early summer and autumn. Positive matrix factorization (PMF) analysis indicated that the factor in which biogenic secondary organic aerosols (BSOAs) dominated accounted for  $\sim 40\%$  of the highest concentrations of WSOC, where BSOAs mostly consisted of  $\alpha$ -/ $\beta$ -pinene SOA. In addition, primary biological aerosol particles (PBAPs) made similar contributions ( $\sim 57\%$ ) to the WSOC near the forest floor in early summer. This finding indicates that the production of both primary and secondary WSOC aerosols is important during the growing season in a deciduous forest. The methanesulfonic acid (MSA) maximum was also found in early summer and had a distinct vertical gradient with larger concentrations near the forest floor. Together with the similar vertical gradients found for WSOC and  $\delta^{13}\text{C}_{\text{WSOC}}$  as well as the  $\alpha$ -/ $\beta$ -pinene SOA tracers, our results indicate that the forest floor, including ground vegetation and soil, acts as a significant source of WSOC in TSP within a forest canopy at the study site.

## 1 Introduction

Forest ecosystems act as a major sink of atmospheric  $\text{CO}_2$  and a source of atmospheric organic aerosols (Kavouras et al., 1998; Kulmala et al., 2004; Tunved et al., 2006). Forest vegetation contributes substantially to emissions of a variety of biogenic volatile organic compounds (BVOCs) via processes that are closely linked to photosynthesis (e.g., Peñuelas and Staudt, 2010). In boreal forests, photosynthesis is inhibited in winter and occurs predominantly in sunlight during the growing season. Although forest–atmosphere interactions are potentially important for climate change assessments (Kulmala et al., 2004; Mahowald, 2011), such interactions are complicated and poorly represented in current global models (Bonan, 2008).

Newly formed particles in forested areas contain a large fraction of water-soluble organic carbon (WSOC) (e.g., Cavalli et al., 2006; Hallquist et al., 2009), which can significantly alter the hygroscopic property of aerosols and act as cloud condensation nuclei (CCN). Nucleation events were frequently observed in a European boreal forest, and investigation of these events demonstrate a straightforward relation between monoterpene emissions and gas-to-particle formation (Tunved et al., 2006). However, previous observations have often been made in limited periods during intensive campaign studies. Long-term continuous measurements in forested regions are lacking and simultaneous ecosystem-scale measurements of WSOC and  $\text{CO}_2$  fluxes over several months to years are particularly scarce. Clarifying the seasonal evolution of WSOC in relation to forest

biological activity is crucial for a better understanding of the WSOC formation processes in forests. Besides biogenic secondary organic aerosol (BSOA) fractions, primary biological aerosol particles (PBAPs) (Medeiros et al., 2006; Rogge et al., 2007; Jia et al., 2010) contribute to the budget of WSOC in aerosols, although this budget is still poorly characterized. In addition, the relative importance of the canopy floor within forests is not well understood in terms of WSOC formation.

The stable carbon isotope ratio ( $\delta^{13}\text{C}$ ) is a powerful tool for source determination based on the distinctive signals of different aerosol carbon fractions. For example, C3 plants, which use the Calvin-Benson cycle as a metabolic pathway for carbon fixation in photosynthesis, have  $\delta^{13}\text{C}$  values typically in the range of  $-23$  to  $-30\text{‰}$  (Gelencsér, 2004). All trees and most shrubs, grasses, and sedges in mid-latitude and boreal regions belong to the C3 class of plants. The most common application of carbon isotopic measurements for aerosols is for the measurement of total carbon (TC) (e.g., Cachier et al., 1986; Turekian et al., 2003). In contrast, very few studies have used the  $\delta^{13}\text{C}$  of WSOC for source apportionment (Fisseha et al., 2009; Kirillova et al., 2010). The WSOC-specific  $\delta^{13}\text{C}$  analysis, combined with biogenic molecular markers, allows for source apportionment of aerosol WSOC in forested areas.

In this paper, we present seasonal changes in biogenic WSOC within the canopy of a broadleaf deciduous forest over an 18-month period. We provide measurements of WSOC and  $\delta^{13}\text{C}_{\text{WSOC}}$  in relation to the net  $\text{CO}_2$  exchange between the forest ecosystem and the atmosphere. We also present the relative contributions of potential sources to WSOC formation in the forest canopy using  $\delta^{13}\text{C}_{\text{WSOC}}$ , BSOA tracers, and PBAP tracers. Moreover, methanesulfonic acid (MSA) was found at the forest site, and its possible sources are discussed. MSA is formed by the photooxidation of dimethylsulfide (DMS) and is typically found in marine-biologically influenced aerosols; measurements of MSA in terrestrial regions are limited (e.g., Lukács et al., 2009). On the basis of these data sets, we discuss the relative importance of the forest floor in WSOC formation at a deciduous forest site.

## 2 Experimental

### 2.1 Aerosol sampling

Aerosol sampling was conducted at the Sapporo forest meteorology research site ( $42^\circ 59' \text{N}$ ,  $141^\circ 23' \text{E}$ ,  $182 \text{ m a.s.l.}$ ), which is a secondary hardwood forest site located in the western part of Hokkaido, the northernmost major island of Japan. The experimental site is covered with broadleaf deciduous trees in a transitional stage from mature birch (*Betula platyphylla*) to climax species, mainly Mizunara oak (*Quercus crispula*). Needle-leaf evergreen trees are also found in the surrounding area. The understory consists of evergreen

Sasa bamboo (*Sasa kurilensis* and *Sasa senanensis*), which widely covers the ground surface. Nakai et al. (2003) examined the seasonal variations in the leaf area index (LAI) at this forest site, showing that the initial foliating period is completed by the end of June, after which the LAI remains almost constant until September. They also reported that the evolution pattern of the LAI at this site is similar to that at other temperate deciduous forests (e.g., Greco and Baldocchi, 1996). The soil is loamy, originating from volcanic ash. The mean canopy height at the site is approximately  $20 \text{ m}$  (Nakai et al., 2003). Snow cover reaches approximately  $1 \text{ m}$  in depth and continues for more than 120 days per year, from December to mid-April.

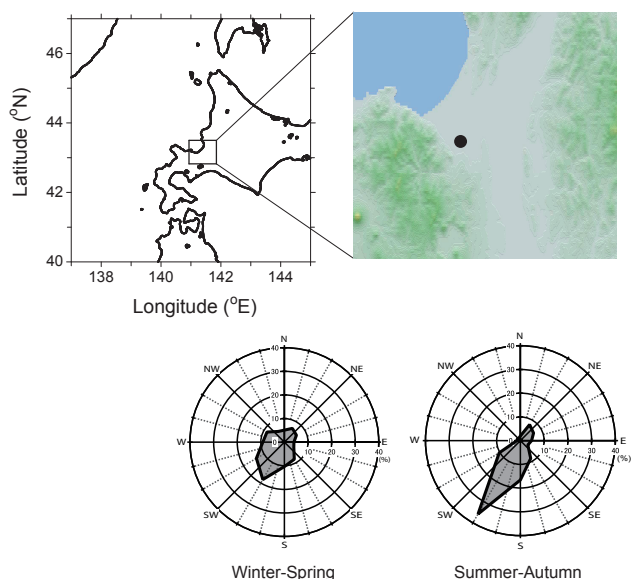
Total suspended particulate matter (TSP) samplings were conducted continuously using high-volume air samplers. The samples were collected using prebaked quartz fiber filters ( $25 \times 20 \text{ cm}$ ) at a flow rate of  $40 \text{ m}^3 \text{ h}^{-1}$  at two levels above the forest floor:  $\sim 2 \text{ m}$  (June 2009–December 2010) and  $\sim 15 \text{ m}$  (June 2010–December 2010). Each aerosol sample was integrated usually over a 1-week period, corresponding to a sampled air volume of approximately  $6700 \text{ m}^3$ . Local meteorological parameters were measured every 10 min by a weather transmitter (WXT-510, Vaisala, Helsinki, Finland).

Figure 1 shows the location of the sampling site and the surrounding area, as well as the observed frequencies of local wind directions with wind speed greater than  $0.5 \text{ m s}^{-1}$  at the sampling site. The predominant local wind direction in summer and autumn (May–October) was from the south to southwest, corresponding to the forested area. Moreover, the meteorological data show that 68 % of the local wind speed was  $< 0.5 \text{ m s}^{-1}$ . Consequently, the majority of aerosol sampled during this period was likely influenced by emissions from forested areas. In contrast, fractions of air transported from the northwest (sea and urban region) existed in winter and spring (November–April), with 64 % of the local wind speed  $> 0.5 \text{ m s}^{-1}$ .

### 2.2 Chemical analysis

To determine the WSOC concentration, a filter cut of  $1.54 \text{ cm}^2$  was extracted with ultrapure Milli-Q water using an ultrasonic bath. The total extracts were then filtrated with a disc filter (Millex-GV,  $0.22 \mu\text{m}$ , Millipore, Billerica, MA, USA) followed by injection of dissolved OC in the extracts into a total organic carbon analyzer (Model TOC-Vcsh, Shimadzu, Kyoto, Japan) (Miyazaki et al., 2011). The WSOC value for a filter punch of a field blank corresponds to  $\sim 7\%$  of the average WSOC concentration of the ambient samples. All WSOC data presented here have been corrected against field blanks.

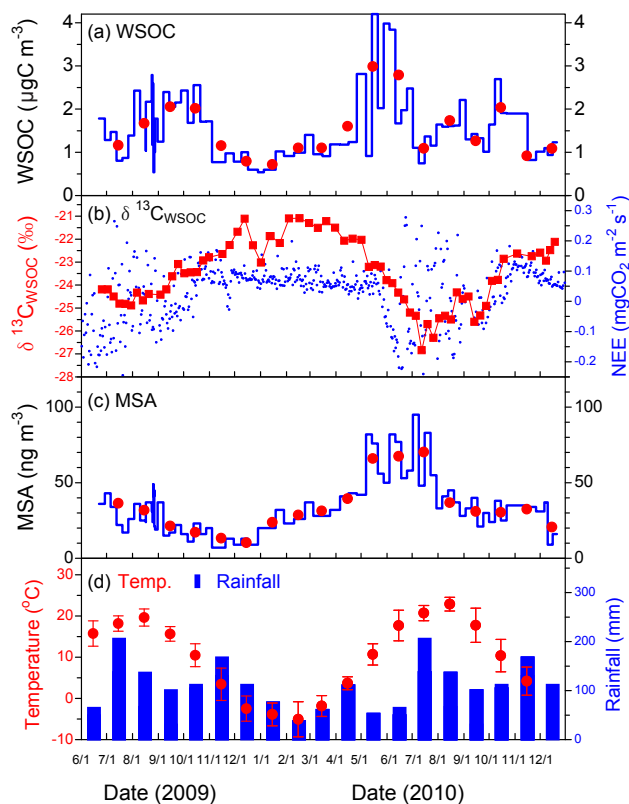
For the determination of  $\delta^{13}\text{C}_{\text{WSOC}}$ , a filter ( $14.13 \text{ cm}^2$ ) for each sample was acidified to pH 2 with hydrochloric acid (HCl) to remove inorganic carbon prior to extraction. The samples were then dried with a nitrogen stream for



**Fig. 1.** Location of the sampling site (the Sapporo forest meteorology research site). Also shown is the observed frequency of local wind directions with wind speed  $>0.5 \text{ m s}^{-1}$  in winter–spring (November–April) and summer–autumn (May–October).

approximately 2 h. WSOC was extracted from the decarbonated filters in 20 ml of Milli-Q water, using the same method as described above for measuring the WSOC concentration. The extracted samples were concentrated by rotary evaporation, and 40  $\mu\text{l}$  of each sample were transferred to be absorbed onto 10 mg of pre-combusted Chromosorb in a pre-cleaned tin cup. The  $\delta^{13}\text{C}_{\text{WSOC}}$  was then measured using an elemental analyzer (EA) (NA 1500, Carlo Erba, Milan, Italy) interfaced to an isotope ratio mass spectrometer (Finnigan MAT Delta Plus, Thermo Finnigan, San Jose, CA, USA) (Kawamura et al., 2004). The recoveries of WSOC using chemical standards (oxalic acid and Suwannee River fulvic acid) and ambient aerosols after the analytical steps were 90 % and 82 %, respectively.

We also determined the concentrations of BSOA tracers for  $\alpha$ -/ $\beta$ -pinene (3-methyl-1,2,3-butanetricarboxylic acid; 3-MBTCA, 3-hydroxyglutaric acid; 3-HGA, pinic acid, and pinonic acid) and those for isoprene (2-methylerythritol and 2-methylthreitol). In addition, sucrose and trehalose were identified as possible tracers for PBAPs. Briefly, a filter cut was extracted with dichloromethane/methanol and the -COOH and -OH functional groups in the extracted samples were converted to TMS esters and TMS ethers, respectively. The TMS derivatives were then analyzed for the above compounds using a gas chromatograph (HP GC6890N, Hewlett-Packard, Palo Alto, CA, USA) equipped with a fused silica capillary column (DB-5MS, Agilent Technologies, Santa Clara, CA, USA) and coupled to a mass spectrometer (5973 MSD, Agilent Technologies, Santa Clara, CA, USA) (Fu et al., 2009).



**Fig. 2.** Temporal variations of (a) WSOC, (b)  $\delta^{13}\text{C}_{\text{WSOC}}$  (red solid squares) and NEE (blue dots), (c) MSA, and (d) ambient temperature and rainfall near the ground surface at a boreal forest site during the period June 2009–December 2010. The red solid circles in (a), (c), and (d) indicate average monthly values. Negative values of NEE in (b) indicate uptake of  $\text{CO}_2$  by the forest ecosystem.

For determination of inorganic ions, another filter cut was extracted with Milli-Q water. The total extract was filtrated through a membrane disc filter, and major anions and cations as well as MSA were determined using a Metrohm ion chromatograph (Model 761 compact IC; Metrohm, Herisau, Switzerland) (Miyazaki et al., 2009).

### 2.3 Net ecosystem exchange of $\text{CO}_2$

Besides the aerosol measurements, we derived the net  $\text{CO}_2$  exchange between the forest ecosystem and the atmosphere (net ecosystem exchange, NEE) to characterize the carbon content in the aerosols in terms of forest biological activity. NEE is defined as the sum of the eddy covariance fluxes at 28.5 m height above the canopy and the change in  $\text{CO}_2$  storage in the volume from the ground to the height of the eddy covariance system. The eddy-covariance fluxes were obtained in a flux tower using a three-dimensional (3-D) sonic anemometer and an infrared  $\text{CO}_2/\text{H}_2\text{O}$  analyzer (Li-6262; LiCor, Lincoln, NE, USA) (Nakai et al., 2003). To quantify the storage of  $\text{CO}_2$  below the altitude level of the

eddy measurement, vertical profiles of CO<sub>2</sub> concentrations were measured at five altitude levels (2.7, 10.5, 16.3, 20.1, and 29.6 m above the ground surface) via sampling inlets mounted on the tower. Inside a shelter at the bottom of the tower, the sample air from each altitude was introduced into the CO<sub>2</sub>/H<sub>2</sub>O analyzer by rotation using an automatically controlled solenoid valve manifold. In the present study, an upward CO<sub>2</sub> flux is considered to be positive, which means that a negative NEE value indicates net uptake of CO<sub>2</sub> by the forest ecosystem. Further details of the NEE derived here are given elsewhere (Nakai et al., 2003).

### 3 Results and discussion

#### 3.1 Temporal variations of WSOC and $\delta^{13}\text{C}_{\text{WSOC}}$

Figure 2a and b show the time series of WSOC concentrations and  $\delta^{13}\text{C}_{\text{WSOC}}$  near the forest floor over an 18-month period. The WSOC concentrations peaked in early summer (May–June) and autumn (September–October), with averages of  $2.9 \pm 1.5 \mu\text{gC m}^{-3}$  and  $1.7 \pm 0.6 \mu\text{gC m}^{-3}$ , respectively. To our knowledge, this is the first report on a full annual cycle of WSOC and  $\delta^{13}\text{C}_{\text{WSOC}}$  in forest aerosols. The  $\delta^{13}\text{C}_{\text{WSOC}}$  exhibited a pronounced seasonal cycle, with higher ratios during December–April and lower ratios during June–September. The average  $\delta^{13}\text{C}_{\text{WSOC}}$  in summer was  $-25.6 \pm 0.7 \text{‰}$ , which is within the range (between  $-25$  and  $-27 \text{‰}$ ) of standard compounds for biogenic tracers (*cis*-pinonic acid and sucrose) and of ambient WSOC aerosols mostly of contemporary biogenic C3 plant origin (Kirillova et al., 2010). The  $\delta^{13}\text{C}_{\text{WSOC}}$  value is also similar to those of plant wax *n*-alcohols and *n*-acids in aerosols largely influenced by the temperate North American biosphere (Conte and Weber, 2002). Although  $\delta^{13}\text{C}_{\text{WSOC}}$  alone cannot differentiate between primary and secondary WSOC in our data, the results indicate a dominant input of precursor molecules from C3 plants to WSOC from May to October, as generally expected in boreal forest. On the basis of the seasonal cycle of the  $\delta^{13}\text{C}_{\text{WSOC}}$ , the period during which the highest WSOC concentrations were obtained can be characterized as the growing season for forest vegetation at this site.

It should be noted that some anthropogenic sources have  $\delta^{13}\text{C}$  values similar to those of C3 plants in aerosols (e.g., Widory et al., 2006). However, an insignificant contribution of anthropogenic sources to the observed aerosols in the growing season is suggested by the substantially low concentrations of anthropogenic tracers (such as hopanes some of which were not detected). Moreover, the predominant local wind direction and speed in this season indicated that the sampled aerosols originated mostly from the forested area, as discussed in Sect. 2.1. The low concentrations of anthropogenic tracers together with the local wind data support the idea that the  $\delta^{13}\text{C}$  values in the growing season are

attributable mostly to C3 plants, rather than anthropogenic sources.

Figure 2b also presents the time series of NEE together with  $\delta^{13}\text{C}_{\text{WSOC}}$ . In 2010, uptake of CO<sub>2</sub> due to photosynthesis by the forest ecosystem began in May and increased (corresponding to negative values of NEE) rapidly to a maximum from late June through July. The estimated respiration by the forest ecosystem increased after the disappearance of snow cover in April (data not shown). The seasonal cycle of  $\delta^{13}\text{C}_{\text{WSOC}}$  closely followed that of NEE ( $r^2 = 0.44$ ). Even though NEE can be interpreted as whole-ecosystem CO<sub>2</sub> uptake and may not be fully comparable to our  $\delta^{13}\text{C}_{\text{WSOC}}$ , the covariant temporal trends of  $\delta^{13}\text{C}_{\text{WSOC}}$  and NEE suggest that  $\delta^{13}\text{C}_{\text{WSOC}}$  was closely linked to the CO<sub>2</sub> uptake by forest biological activity at this site. This indicates that the aerosols collected here may be representative of the studied forest area.

Notably, the seasonal variation of MSA also showed a maximum during May and July (Fig. 2c). Possible sources of this MSA maximum will be discussed later in Sect. 3.3.

#### 3.2 Source apportionment of WSOC using positive matrix factorization

##### 3.2.1 Source profiles

To better understand the seasonal changes in the contribution of possible sources to the observed WSOC, positive matrix factorization (PMF) (Paatero and Tapper, 1994) was applied. PMF can be used to identify underlying covariance among chemical parameters. The PMF analysis was performed for the collected samples using tracer compounds for BSOA, PBAP, and other biologically derived tracer compounds as well as inorganic species.

The analysis resulted in five interpretable factors, which were characterized by the enrichment of each tracer compound and reproduced more than 92 % of the measured WSOC. Figure 3 shows composition profiles for the five factors resolved by PMF. Factor 1 was dominated by 2-methylerythritol (i.e., 86 % of 2-methylerythritol is in Factor 1) and 2-methylthreitol (87 %), both of which are isoprene-SOA tracers (Claeys et al., 2004). Factor 2 was characterized by 3-HGA (49 %) (Claeys et al., 2007), pinic acid (38 %) (e.g., Yu et al., 1999), and 3-MBTCA (34 %) (Szmigielski et al., 2007), indicating that WSOC was significantly influenced by  $\alpha$ -/ $\beta$ -pinene SOA. On the basis of these characteristics of each source profile, Factors 1 and 2 are referred to here as “isoprene-SOA-rich WSOC” and “ $\alpha$ -/ $\beta$ -pinene-SOA-rich WSOC”, respectively.

Factor 3 was dominated by sucrose (89 %), whereas Factor 4 was characterized by trehalose (57 %). Saccharides have recently been proposed as unique molecular tracers for PBAPs such as spores, pollens, and fungi (e.g., Medeiros et al., 2006). Sucrose is an important primary saccharide of pollen grains (Pacini, 2000). On the other hand, trehalose is

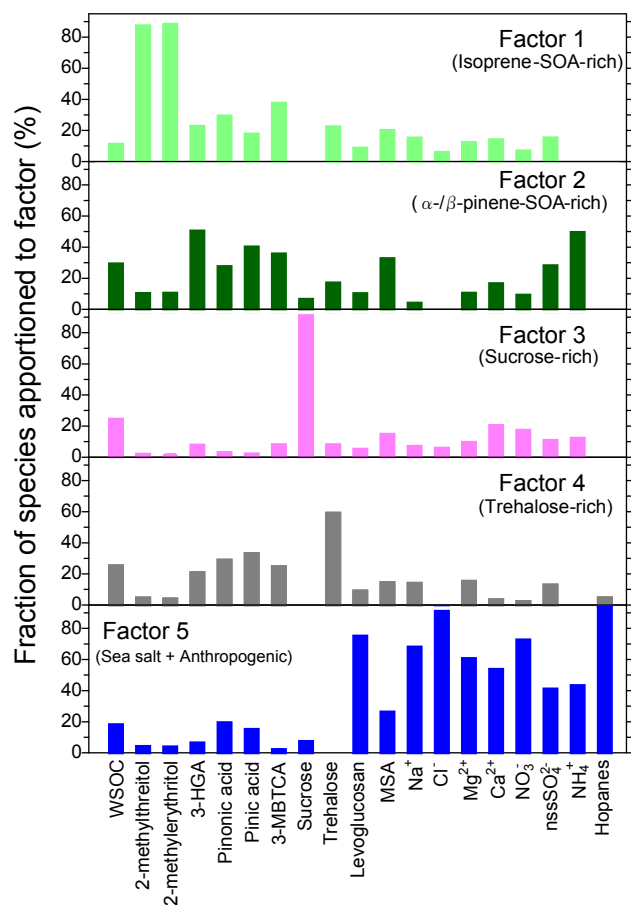


Fig. 3. Five compositional WSOC source factors isolated by PMF.

known to be a fungal metabolite as well as a stress protectant for the soil microbial community, and has been proposed as a marker compound for fungitive dust from biologically active surface soils (Rogge et al., 2007). Factors 3 and 4 are difficult to convincingly attribute to a specific source, given the possibility that these saccharides originated from either PBAPs or other biologically derived sources such as resuspended soil dust and associated biota (e.g., Graham et al., 2003). However, each factor showed different contributions to WSOC in terms of seasonal characteristics, as discussed below. Thus, these two source factors are labeled here as “sucrose-rich WSOC” and “trehalose-rich WSOC,” respectively. Factor 5 showed enhanced contributions of both  $\text{Na}^+$  and anthropogenic tracers (e.g., hopanes), which could be a mixture of sea salt and anthropogenic aerosols transported from upwind regions.

### 3.2.2 Relative contributions of each factor to WSOC

Figure 4 shows the seasonal changes in the contribution of each factor to WSOC as resolved by PMF. Average concentrations and ratios of each parameter are also summarized in Table 1. In early summer,  $\alpha$ -/ $\beta$ -pinene-SOA-rich

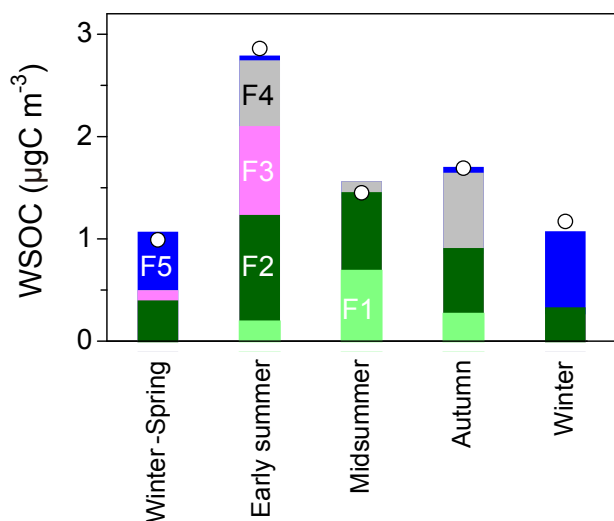


Fig. 4. Estimated relative contributions of different sources to WSOC concentrations resolved by PMF in each season. Open circles indicate the average concentrations of the measured WSOC.

Factor 2 accounted for 36 % of WSOC, whereas isoprene-SOA-rich Factor 1 contributed only 4 %. Factor 2 was also a significant contributor to WSOC in autumn (35 %), as expected from the peaks of  $\alpha$ -/ $\beta$ -pinene SOA tracers in the two seasonal categories (Table 1). Among the measured  $\alpha$ -/ $\beta$ -pinene SOA tracers, 3-HGA showed the highest concentrations ( $2.1$ – $9.3 \text{ ng m}^{-3}$ ) throughout the study period, followed by pinic acid. Kourtchev et al. (2009) also reported that 3-HGA showed the highest concentrations (with a median value of  $16.8 \text{ ng m}^{-3}$ ) among the  $\alpha$ -/ $\beta$ -pinene SOA tracers for  $\text{PM}_{2.5}$  aerosols collected at a mixed coniferous/deciduous forest site in K-pusztá, Hungary, during summer 2003.

Conversely, Factor 3 contributed 35 % of WSOC in early spring but was not apparent in autumn. The sucrose peak obtained in early summer (Table 1) is generally in good agreement with previous reports of the spring/early summer maxima of sucrose at boreal forests and rural sites (e.g., Medeiros et al., 2006; Jia et al., 2010). The large fraction of sucrose-rich Factor 3 suggests that pollen or pollen fragment sources contributed significantly to WSOC in this season. Trehalose-rich Factor 4 accounted for 24 % and 50 % of WSOC in early summer and autumn, respectively. This implies that biologically derived sources such as resuspended soil dust and associated biota contributed to WSOC in early summer and autumn. In fact, the trehalose concentration showed positive correlations with the concentrations of arabinol ( $r^2=0.55$ ) and mannitol ( $r^2=0.77$ ), which are major fungal polyols in many green algal lichens (Lewis and Smith, 1967; Dahlman et al., 2003) and are well-known constituents of bacteria, fungi, and lower plants (Bielecki, 1982). The result supports the idea that fungal spores and fragments are the primary source of trehalose. The relative enhancement of WSOC in

**Table 1.** Averages with standard deviations of each parameter near the forest floor in each season during 2010.

	Jan–Apr (Winter–Spring)	May–Jun (Early Summer)	Jul–Aug (Summer)	Sep–Oct (Autumn)	Nov–Dec (Winter)
WSOC ( $\mu\text{gC m}^{-3}$ )	1.0 $\pm$ 0.3	2.9 $\pm$ 1.5	1.5 $\pm$ 0.4	1.7 $\pm$ 0.6	1.2 $\pm$ 0.4
$\delta^{13}\text{C}_{\text{WSOC}}$ (‰)	–21.9 $\pm$ 0.7	–24.0 $\pm$ 0.8	–25.6 $\pm$ 0.7	–24.7 $\pm$ 0.8	–22.6 $\pm$ 0.1
MSA ( $\text{ng m}^{-3}$ )	30 $\pm$ 8	64 $\pm$ 15	52 $\pm$ 23	30 $\pm$ 7	27 $\pm$ 12
$\alpha$ -/ $\beta$ -Pinene SOA ( $\text{ng m}^{-3}$ )					
3-HGA <sup>a</sup>	2.1 $\pm$ 0.9	9.3 $\pm$ 2.0	8.7 $\pm$ 2.8	9.1 $\pm$ 4.9	3.4 $\pm$ 2.8
pinic acid	1.6 $\pm$ 0.5	4.4 $\pm$ 1.2	4.0 $\pm$ 1.0	5.2 $\pm$ 1.8	2.6 $\pm$ 1.3
3-MBTCA <sup>b</sup>	0.1 $\pm$ 0.2	1.1 $\pm$ 0.3	1.3 $\pm$ 0.5	1.3 $\pm$ 0.7	0.4 $\pm$ 0.4
pinonic acid	0.2 $\pm$ 0.1	0.4 $\pm$ 0.2	0.6 $\pm$ 0.2	0.6 $\pm$ 0.2	0.5 $\pm$ 0.4
Isoprene SOA ( $\text{ng m}^{-3}$ )					
2-methylerythritol	0.1 $\pm$ 0.1	3.9 $\pm$ 3.8	24.8 $\pm$ 14.1	16.2 $\pm$ 12.3	0.2 $\pm$ 0.1
2-methylthreitol	0.1 $\pm$ 0.1	1.6 $\pm$ 1.4	6.7 $\pm$ 3.1	4.0 $\pm$ 4.3	0.1 $\pm$ 0.1
Sucrose ( $\text{ng m}^{-3}$ )	25.0 $\pm$ 53.9	176.5 $\pm$ 220.1	2.8 $\pm$ 2.1	3.2 $\pm$ 1.6	1.0 $\pm$ 0.4
Trehalose ( $\text{ng m}^{-3}$ )	0.7 $\pm$ 0.3	173.4 $\pm$ 84	128.5 $\pm$ 93.0	188.3 $\pm$ 122.0	1.7 $\pm$ 1.2
nss-SO <sub>4</sub> <sup>2-</sup> ( $\mu\text{g m}^{-3}$ )	3.3 $\pm$ 1.2	4.6 $\pm$ 2.0	3.8 $\pm$ 2.0	2.5 $\pm$ 1.6	3.0 $\pm$ 0.6
Ambient Temperature ( $^{\circ}\text{C}$ )	–1.7 $\pm$ 4.4	14.1 $\pm$ 4.7	21.8 $\pm$ 2.0	14.0 $\pm$ 5.5	1.5 $\pm$ 4.6

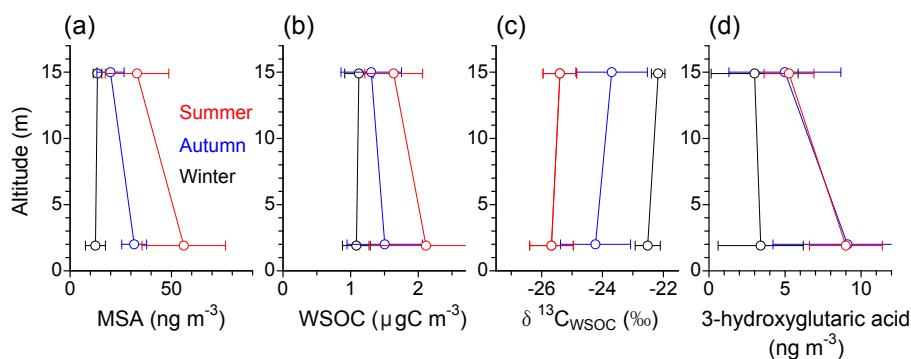
<sup>a</sup>3-HGA: 3-hydroxyglutaric acid. <sup>b</sup>3-MBTCA: 3-methyl-1,2,3-butanetricarboxylic acid.

autumn was likely due to enhanced contributions from microbially degraded materials during the period of leaf senescence and decay in this season (Nakai et al., 2003). Given that Factors 3 and 4 are both associated with the PBAPs fractions of WSOC, PBAPs can account for 57 % and 50 % of the WSOC peaks in the two seasons, respectively. In summary, the present results indicate that at this forest site, the SOA formations associated mainly with  $\alpha$ -/ $\beta$ -pinene oxidation and the primary emissions from biological sources contribute almost equally to the peak of WSOC in TSP in the growing season of early summer as well as in autumn.

The isoprene-SOA-rich factor (Factor 1) had different seasonality, with peaks in midsummer (July–August) accounting for 40 % of WSOC. This seasonal pattern is mainly attributable to the fact that isoprene originates only from photosynthetic tissues (i.e., shoots of ground vegetation) (Guenther et al., 2006); emissions are typically highest in midsummer (Aaltonen et al., 2011), when photosynthesis becomes most active. Note that the average WSOC was relatively low in July both in 2009 and 2010, even though the  $\delta^{13}\text{C}_{\text{WSOC}}$  values indicate a dominant contribution of precursor molecules from C3 plants and intense photochemical activity. This may have been partly caused by an enhanced scavenging of aerosols because relatively large amounts of rainfall (>200 mm month<sup>–1</sup>) were recorded (Fig. 2d). Nevertheless, the PMF analysis indicates that biogenic SOA production (46 % from isoprene SOA and 54 % from  $\alpha$ -/ $\beta$ -pinene SOA) was the dominant source of WSOC in TSP in the midsummer period.

### 3.3 Possible sources of MSA

As described in Sect. 3.1, MSA exhibited a distinct seasonal variation with maximum values during May and July as shown in Fig. 2c. The concentrations of MSA (9–95 ng m<sup>–3</sup>) are similar to the ranges reported in previous studies (~10–100 ng m<sup>–3</sup>) in marine aerosols (e.g., Ayers and Gras, 1991). The increase in the MSA concentrations may have been due to either enhanced contributions of marine air masses advectively transported from the sea or the oxidation of terrestrial biogenic DMS (Lamb et al., 1987). In fact, emissions of sulfur gases (DMS, H<sub>2</sub>S, and CS<sub>2</sub>) have been reported for plant canopies (deciduous trees and pines) (Andreae et al., 1990) and mollisol and histisol soil (Lamb et al., 1987). Fig. 5 shows vertical profiles of several parameters within the forest canopy in summer, autumn, and winter. The difference in the MSA at two heights is statistically significant in summer and autumn (Fig. 5a): the concentration was higher near the forest floor (42 $\pm$ 16 ng m<sup>–3</sup>) than at the 15-m level (30 $\pm$ 15 ng m<sup>–3</sup>) throughout these seasons. The vertical gradient of MSA indicates an upward mass flux. Similar vertical profiles were also found for WSOC in summer and autumn (Fig. 5b). In contrast, MSA showed an anti-correlation with Na<sup>+</sup> during summer and autumn, with enhanced concentrations occurring in the two seasons when  $\delta^{13}\text{C}_{\text{WSOC}}$  decreased (Fig. 5c) near the ground. Moreover, according to the analysis of the local wind analysis, the majority of the observed aerosols in summer and autumn were likely influenced by emissions from major forested regions rather than marine sources, as shown in Fig. 1. In combination, these findings indicate that the enhanced concentrations of MSA in summer were most likely due to production from DMS



**Fig. 5.** Vertical profiles of (a) MSA, (b) WSOC, (c)  $\delta^{13}\text{C}_{\text{WSOC}}$ , and (d) 3-hydroxyglutaric acid (HGA) in summer (June–August 2010), autumn (September–November 2010), and winter (December 2010) at the study site. Values shown are averages with standard deviations at two heights. Note that the vertical profiles are not necessarily linear.

that originated from the forest floor rather than transport of MSA from oceanic regions.

### 3.4 Implications for WSOC production near the forest floor

Negative vertical gradients were also found for the  $\alpha$ -/ $\beta$ -pinene SOA tracers determined here (e.g., 3-HGA shown in Fig. 5d) both in summer and autumn. The average concentrations of 3-HGA were about two times higher near the forest floor than at the 15-m level in the two seasons. Aaltonen et al. (2011) recently reported that BVOC emissions from a boreal forest, mostly consisting of monoterpenes, also peaked in early summer and autumn. They attributed the seasonal variations to changes in the amount and types of litter, soil microbial activity, and physiological stages of plants, pointing out that the boreal forest floor can be a significant source of BVOC. Furthermore, MSA showed a statistically significant correlation with 3-HGA ( $r^2=0.50$ ) and 3-MBTCA ( $r^2=0.55$ ) in our data. The result indicates that the canopy floor in a boreal forest is likely a significant source of WSOC in summer and autumn.

It should be noted that turbulent exchange of air between the forest and the atmosphere above can partly cause the lower concentrations of WSOC and the other tracers at the 15-m level. However, the vertical gradient of  $\delta^{13}\text{C}_{\text{WSOC}}$  was insignificant (Fig. 5c) in the two seasons as well as in winter. Moreover, several samples taken only at night, when the forest and the air above the canopy would be decoupled, also showed the significant vertical gradient of WSOC (data not shown). It is also noted that trapping of WSOC beneath the canopy, which is significant mainly at night (e.g., Holzinger et al., 2005), can partly explain the negative vertical gradient. However, the time scale for each sample in our study is on the order of a week. Our data show averaged vertical profiles of WSOC including the data during the day when WSOC might be vertically well-mixed within the canopy. In addition, even when the vertical gradients of  $\delta^{13}\text{C}_{\text{WSOC}}$  and potential tem-

perature were insignificant (i.e., when vertical mixing was likely significant within the canopy), the vertical gradients of  $\alpha$ -/ $\beta$ -pinene tracers and WSOC remained significant. These results support possible sources near the forest floor. More data including both BSOA tracers and VOCs near the forest floor over a time scale of a few years are needed to discuss the representativeness of the data at this site in future study.

In addition to the source strength, photochemical activity is also an important factor to control the concentrations of secondarily formed WSOC. Zhang et al. (2010) recently found an Arrhenius-type correlation between the 3-MBTCA concentration and inverse temperature with a temperature range of 275–300 K based on ambient aerosol samples in central Europe. They suggested that the temperature dependence of 3-MBTCA is largely due to enhanced photochemical production by hydroxyl radicals (OH), indicating that 3-MBTCA can be used as a tracer for chemical aging of BSOA by OH. Considering that 3-MBTCA is formed by OH-initiated oxidation of *cis*-pinonic acid (PA) (Szmigielski et al., 2007), the 3-MBTCA/PA ratio can be an indicator of photochemical aging of BSOA. In our samples, the 3-MBTCA/PA ratio was highest in early summer (2.59) compared with other seasons (0.72–2.38) (Table 1). This result implies that photochemical activity as well as intense emissions of BVOC from the forest floor can contribute to an increase in WSOC concentrations in early summer.

### 3.5 Possible sources of WSOC in winter

In winter, Factor 5, in which a mixture of sea salt and anthropogenic aerosols dominated, was a major contributor to WSOC (~56–83 %). This is consistent with the  $\delta^{13}\text{C}_{\text{WSOC}}$ , which exhibited higher values ( $-21.9\pm 0.7$ ‰) from December through April, similar to a typical  $\delta^{13}\text{C}$  range of OC in seawater and in marine aerosols ( $-20$ ‰ to  $-22$ ‰) (Turekian et al., 2003; Miyazaki et al., 2011). Additionally, the local wind direction supports that the air mass was influenced by the upwind marine region during this period

(Fig. 1). These results indicate a predominant contribution of marine aerosols from an upwind oceanic region during this period. Note that an effect of some minor isotopic fractionation on  $\delta^{13}\text{C}_{\text{WSOC}}$  cannot be ruled out a priori. This process may occur during oxidation of VOCs and partitioning of WSOC to the aerosol phase (Fisseha et al., 2009). However, reported isotopic effects on  $\delta^{13}\text{C}$  during aerosol formation and reactions relevant to  $\delta^{13}\text{C}_{\text{WSOC}}$  are on the order of 0–2‰ (e.g., Rudolph et al., 2003; Widory, 2006; Fisseha et al., 2009), which is lower than the difference in the  $\delta^{13}\text{C}_{\text{WSOC}}$  between summer and winter ( $\Delta \sim 4$ ‰). Therefore, such processes would not have significantly affected the source apportionment ability of  $\delta^{13}\text{C}_{\text{WSOC}}$  in this study.

The vertical gradients of WSOC in winter were fairly weak compared to those in other seasons, likely due to the snow coverage from mid-December until March at the site. In boreal forest soils, lower temperature and snow cover in winter may suppress, but not completely halt, microbial activity (Kähkönen et al., 2001). The current results imply that the forest floor (ground vegetation and/or soil) acts as a significant source of WSOC within the forest canopy.

#### 4 Conclusions

This paper presents the seasonal changes in biogenic WSOC in the canopy of a deciduous forest based on the aerosol samples collected in 2009–2010. The  $\delta^{13}\text{C}_{\text{WSOC}}$  in aerosols exhibited a distinct seasonal cycle, with depleted ratios from June through September ( $-25.5 \pm 0.5$ ‰). The  $\delta^{13}\text{C}_{\text{WSOC}}$  in summer and autumn is within that reported for contemporary biogenic C3 plant origin, indicating a dominant input of precursor molecules from C3 plant ecosystems to WSOC. The seasonal cycle of  $\delta^{13}\text{C}_{\text{WSOC}}$  corresponds to that of the  $\text{CO}_2$  uptake by the forest ecosystem from the atmosphere, indicating that  $\delta^{13}\text{C}_{\text{WSOC}}$  reflected the degree of biological activity at this forest site.

The WSOC concentrations showed peaks in early summer and autumn. PMF analysis indicated that factors in which BSOA (mostly  $\alpha$ -/ $\beta$ -pinene SOA) dominated ( $\sim 40$ %) and PBAPs dominated ( $\sim 57$ %) made similar contributions to the maximum WSOC near the forest floor in early summer. The findings suggest that the growing season of forest vegetation is important for both primary and secondary formation of WSOC in a deciduous forest. In contrast, the PMF analysis indicated that biogenic SOA production was the dominant source of WSOC in midsummer. We found that MSA concentrations were also highest in early summer, with a distinct vertical gradient. Together with the similar vertical gradients of WSOC and  $\delta^{13}\text{C}_{\text{WSOC}}$  as well as the  $\alpha$ -/ $\beta$ -pinene SOA tracers, our results imply that the forest floor, including ground vegetation and soil, is a significant source of WSOC in TSP within a forest canopy.

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