

# Greenness indices from digital cameras predict the timing and seasonal dynamics of canopy-scale photosynthesis

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# 43 **ABSTRACT**

44 The proliferation of digital cameras co-located with eddy covariance instrumentation provides 45 new opportunities to better understand the relationship between canopy phenology and the 46 seasonality of canopy photosynthesis. In this paper we analyze the abilities and limitations of 47 canopy color metrics measured by digital repeat photography to track seasonal canopy 48 development and photosynthesis, determine phenological transition dates, and estimate intra-49 annual and interannual variability in canopy photosynthesis. We used 59 site-years of camera 50 imagery and net ecosystem exchange measurements from 17 towers spanning three plant 51 functional types (deciduous broadleaf forest, evergreen needleleaf forest and grassland/crops) to 52 derive color indices and estimate gross primary productivity (GPP). GPP was strongly correlated 53 with greenness derived from camera imagery in all three plant functional types. Specifically, the 54 beginning of the photosynthetic period in deciduous broadleaf forest and grassland/crops and the 55 end of the photosynthetic period in grassland/crops were both correlated with changes in 56 greenness; changes in redness were correlated with the end of the photosynthetic period in 57 deciduous broadleaf forest. However, it was not possible to accurately identify the beginning or 58 ending of the photosynthetic period using camera greenness in evergreen needleleaf forest. At 59 deciduous broadleaf sites, anomalies in integrated greenness and total GPP were significantly 60 correlated up to 60 days after the mean onset date for the start of spring. More generally, results 61 from this work demonstrate that digital repeat photography can be used to quantify both the 62 duration of the photosynthetically active period as well as total GPP in deciduous broadleaf 63 forest and grassland/crops, but that new and different approaches are required before comparable 64 results can be achieved in evergreen needleleaf forest.

65

- **Keywords**: PhenoCam, digital repeat photography, phenology, photosynthesis, gross primary
- 67 productivity, seasonality, deciduous broadleaf forest, evergreen needleleaf forest, grassland

# 68 **INTRODUCTION**

69 Climate change impacts on vegetation phenology have been widely documented across a range 70 of biomes and plant functional types (Richardson et al. 2013). In particular, long-term records of 71 leaf and flower phenology in temperate and boreal forest indicate that spring onset is occurring 72 earlier (Miller-Rushing and Primack 2008, Thompson and Clark 2008, Aono and Kazui 2009, 73 Linkosalo et al. 2009), and more generally, that growing seasons are becoming longer on decadal 74 to millennial scales (Menzel 2000). Studies using satellite remote sensing have documented 75 trends towards longer growing seasons over large regions of in mid- and high-latitude 76 ecosystems of the Northern Hemisphere (Myneni et al. 1997, Zhang et al. 2007, Jeong et al. 77 2011, Xu et al. 2013). At lower latitudes, warmer temperatures have led to earlier spring 78 phenology and longer growing seasons in Mediterranean ecosystems (Penuelas et al. 2002, 79 Gordo and Sanz, 2010), while desert plant communities have experienced shifts in species 80 composition in response to changes in the timing of winter precipitation (Kimball et al. 2010). 81

82 While a large number of studies have identified widespread patterns of change, the impacts of 83 changes in phenology on ecosystem function and feedbacks to the climate system remain poorly 84 understood and quantified (Richardson et al. 2013). For example, multi-site comparisons show 85 that growing season length is positively correlated with net ecosystem productivity (NEP; 86 Churkina et al. 2005, Baldocchi 2008), but spatial patterns observed across sites are not identical 87 to temporal patterns at individual sites, which are driven primarily by interannual variability in 88 weather (Richardson et al. 2010). Warmer springs and longer growing seasons have been shown 89 to increase annual carbon uptake in boreal deciduous forest (Barr et al. 2004, 2007), mixed 90 temperate forest (Dragoni et al. 2011) and evergreen needleleaf forest (Richardson et al. 2009a,

91 2010). In subalpine forest, on the other hand, longer growing seasons can lead to lower NEP if 92 warmer temperatures (Sacks et al. 2007) or shallower spring snowpacks (Hu et al. 2010) reduce 93 soil moisture sufficiently to create drought conditions. Similarly, drought conditions in grassland 94 can also shorten the growing season length, thereby lowering annual NEP (Flanagan and 95 Adkinson 2011).

96

97 Because phenology is a key regulator of ecosystem function, substantial effort has recently been 98 devoted to expanding networks that track seasonal vegetation dynamics (Morisette et al., 2009). 99 Methods to monitor phenology fall into two broad categories: visual observations and remote 100 sensing. Visual observations provide the oldest and longest running phenology records in 101 existence (e.g., Aono and Kazui 2008), but visual observations are labor intensive to collect, and 102 the spatial extent of observations collected by an individual is inherently limited. Spaceborne 103 remote sensing, which provides synoptic and global views of land surface phenology and its 104 responses to natural climatic variability, helps to address this limitation (Piao et al. 2006, 105 Dragoni and Rahman 2012, Elmore et al. 2012). However, imagery from remote sensing 106 platforms such as the Moderate Resolution Imaging Spectradiometer (MODIS) is often collected 107 at coarse spatial resolutions (250-500m) that encompass considerable landscape heterogeneity 108 within each pixel. An additional weakness is the relatively low temporal resolution of some 109 space-borne remote sensing instruments. While coarse spatial resolution sensors such as MODIS 110 provide observations with repeat intervals of 1-2 days, moderate spatial resolution sensors such 111 as Landsat provide a revisit frequency of 16 days, a relatively long interval for capturing rapid 112 changes during seasonal transition periods. In both cases, persistent cloud cover can significantly 113 reduce the frequency of useable observations, which can substantially decrease the utility of

114 space-borne remote sensing for observing and characterizing the timing of key phenological 115 transitions.

116

117 Digital repeat photography, a form of near-surface remote sensing, provides data at higher 118 temporal frequency and finer spatial scale than satellite remote sensing (Richardson et al. 119 2009b). Specifically, digital repeat photography can provide imagery that is nearly continuous in 120 time, rarely obscured by clouds, and robust to variation in illumination conditions (Sonnentag et 121 al. 2012). Exploiting this, color indices derived from digital repeat photography have been used 122 to characterize the phenology of diverse plant communities and functional types (PFT) including 123 deciduous broadleaf forest (Richardson et al. 2007, Ahrends et al. 2008, Ide and Oguma 2010, 124 Sonnentag et al. 2012, Hufkens et al. 2012, Dragoni et al. 2011), evergreen broadleaf forest 125 (Zhao et al. 2012), evergreen needleleaf forest (Richardson et al. 2009b, Ide and Oguma 2010, 126 Bater et al. 2011), desert shrublands (Kurc and Benton 2010), bryophyte communities (Graham 127 et al. 2006) and invasive plants (Sonnentag et al. 2011). Several studies have used these data to 128 evaluate uncertainties in satellite-based phenological monitoring (Graham et al. 2010, Hufkens et 129 al. 2012, Elmore et al. 2012, Klosterman et al. 2014).

130

131 Color indices derived from digital repeat photography have also been correlated with canopy 132 photosynthesis in deciduous broadleaf forest (Richardson et al. 2007, 2009a, Ahrends et al. 2009, 133 Mizunuma et al. 2012), grasslands (Migliavacca et al. 2012), and desert shrublands (Kurc and 134 Benton 2010). However, each of these studies was limited to one or two sites and it is unclear 135 how well results from these efforts generalize within and across PFTs at regional to continental 136 scales. Further, a large proportion of previous studies have focused on temperate deciduous



160 comprehensive analysis of canopy development and photosynthesis using digital repeat

161 photography to date, and provides useful new understanding regarding the ability of camera-

162 derived color indices to track the seasonality of GPP across space and time.

163

#### 164 **METHODS**

#### 165 **Study Sites**

166 The study spanned 13 geographically distinct research sites, including 17 flux towers in total

167 (Table 1; Appendix A). We used all possible sites that were members of both the PhenoCam

168 (http://phenocam.sr.unh.edu/) and the AmeriFlux (http://ameriflux.ornl.gov/) or Canadian

169 Carbon Program (http://fluxnet.ornl.gov/site\_list/Network/3) networks. In addition, we included

170 four towers, managed by the University of Illinois (UI), that were not members of either

171 network. Each site was dominated by one of three PFTs: deciduous broadleaf forest (DBF),

172 evergreen needleleaf forest (ENF), and grassland/crops (GRS; Table 1). The Groundhog site in

173 Ontario is most accurately described as mixed ENF/DBF; here, we group it with ENF sites

174 because conifer species are dominant. Together, measurements from these sites comprised 59

175 site-years of concurrent flux and camera data, with 26, 11, and 22 site-years in DBF, ENF and

176 GRS PFTs, respectively. Most sites had 2-5 years of data. One notable exception, however, is the

177 ARM site in Oklahoma, where data were collected nearly continuously from 2003-2011. One of

178 the UI sites featured a crop rotation from maize to soybean in the second year (out of two),

179 which caused significant changes in the magnitude of carbon fluxes. To address this, we treat the

180 two site-years (2009 vs. 2010) as separate sites: UI Maize and UI Soy.

181

#### 182 **Digital repeat photography**

183 On each eddy covariance tower, the digital camera was installed in a fixed position, with a view 184 across the top of the canopy. Cameras were pointed north to minimize shadows and lens flare, 185 enclosed in commercial waterproof housings, and inclined up to 20° below horizontal. Most 186 cameras collected photos, which were saved in 24-bit JPEG format, at 30-60 minute intervals, 187 12-24 hours a day. Exceptions include Bartlett (10-20 minute intervals, 12:00-14:00) and ARM 188 Oklahoma (1 midday photo). Half of the towers used StarDot NetCam XL or SC cameras 189 (StarDot Technologies, Buena Park, CA), while the other sites used cameras from a variety of 190 manufacturers (Table 1). To minimize the impact of variation in scene illumination (e.g. clouds 191 and aerosols), auto white/color balance was turned off, and exposure adjustment for each camera 192 was set to automatic mode. Note, however, that Vaira was an exception in this regard. To correct 193 for variability induced by auto color balancing at this site, we used a grey reference panel in the 194 camera field of view (e.g., Jacobs et al. 2009).

195

196 Images were either archived by the site investigator or automatically transferred to the 197 PhenoCam server *via* file transfer protocol (FTP). Time series were first visually inspected for 198 camera shifts and changes in field of view. Noting these changes, we processed the image 199 archives to extract regions of interest (ROI) that encompassed all portions of the full canopy 200 within the foreground (Fig. 1). At Vaira, the ROI was restricted to the grass portion of the image, 201 excluding distant oak trees from analysis. To quantify canopy greenness, we calculated the green 202 chromatic coordinate (GCC), which is widely used to monitor canopy development and identify 203 phenological phase changes (Richardson et al. 2007, Ahrends et al. 2009, Sonnentag et al. 2012, 204 Zhao et al. 2012):

$$
GCC = \frac{DN_G}{DN_R + DN_G + DN_B} \tag{1}
$$



224 We used nonlinear least squares regression to fit logistic functions to GCC, RCC and ExG time 225 series, which were then used to estimate phenophase transition dates from DBF and GRS sites 226 (*e.g.,* Fisher et al. 2007, Richardson et al. 2009b). For GRS sites, we used separate logistic 227 functions in spring and fall:

228 
$$
GCC(t) = a_s + \frac{b_s}{1 + e^{(c_s - d_s t)}}
$$
 (3a)

$$
GCC(t) = a_f + \frac{b_f}{1 + e^{(c_f + d_f t)}}
$$
(3b)

230 where *t* is the day-of-year and the remaining terms are empirically estimated coefficients. For 231 DBF sites, we used the modified logistic function presented by Elmore et al. (2012), which 232 includes an additional parameter  $(a_2)$  that accounts for "summer greendown" that is widely 233 observed in DBF greenness time series (Keenan et al., 2014):

234 
$$
GCC(t) = a_1 + (b - a_2 \times t) \left( \frac{1}{1 + e^{(c_s - d_s t)}} - \frac{1}{1 + e^{(c_f - d_f t)}} \right)
$$
(4)

235 Note that in Equation 4,  $a_1 + b$  denotes the early summer maximum GCC, while the minimum 236 summer GCC value preceding fall coloring is given by  $(b - a_2 * t)$ . Coefficients in Equations 3 237 and 4 were estimated using the Levenberg-Marquardt method.

238

239 Following a widely used remote sensing approach (e.g., Zhang et al. 2003), phenophase 240 transitions were determined by calculating local minima and maxima in the curvature change 241 rate of Equations 3 and 4. In spring, maxima correspond to dates of leaf unfolding (start of 242 spring) and maximum greenness (end of spring). In autumn, the onset of fall coloring (start of 243 senescence) and leaf abscission (end of fall) correspond to the timing of minima. The midpoints 244 of each season, middle of spring and middle of fall, were identified using the local minimum and 245 maximum, respectively. We also tested one additional method to estimate the end of fall in DBF 246 sites based on the timing of maximum fall coloring (Richardson et al. 2009b), which was 247 determined using the date of the maximum RCC value in the second half of the growing season. 248 249 Early analysis indicated that the logistic function provided a poor representation of GCC 250 dynamics at many ENF sites; a separate method was needed to explore links between GCC and

251 GPP seasonality in evergreen sites. Hence, we calculated splines along GCC curves and

252 examined correlations between dates at which a range of GCC thresholds (5–75% of seasonal

253 amplitude, in 5% intervals) were reached, and dates at which a similar range of GPP thresholds were 254 reached.

255

# 256 **Eddy covariance data**

257 To assess the ability of camera-based indices to capture seasonal dynamics in carbon fluxes, we 258 compared color indices with estimates of GPP derived from eddy covariance measurements. 259 To do this we used 30-minute non-gap-filled NEE data to estimate GPP, except at the Harvard 260 Forest and Morgan Monroe sites, where only hourly data were available. NEE was partitioned 261 into GPP (µmol  $CO_2$  m<sup>-2</sup> s<sup>-1</sup>) using the  $Q_{10}$  method (Raich and Schlesinger 1994):

$$
GPP = NEE - Reco = NEE - Rref \times Q_{10}^{(T-Tref)/10}
$$
 (5)

263 where *Rref* is a scaling parameter, *Q10* is the temperature sensitivity of ecosystem respiration 264 ( $R_{eco}$ ), and  $T_{ref}$  (= 10°) is the base temperature where  $R_{eco} = R_{ref}$ . Friction velocity (*u*<sup>\*</sup>) filtering 265 was used to remove nocturnal NEE measurements when there was insufficient turbulence using 266 site-specific *u*\* values. The *Q10* function was estimated independently for every site-year, 267 yielding 30-minute estimates of R<sub>eco</sub> and GPP. When available, we compared our GPP estimates 268 with estimates provided by site investigators. Results from this comparison showed that the 269 estimates were in close agreement (mean  $R^2 = 0.95$ ; range: 0.91-0.98).

270

271 To make the GPP data comparable to the camera-based color indices, we calculated the mean 272 daily-integrated GPP (g C m<sup>-2</sup> d<sup>-1</sup>) across the three-day periods over which the camera data were 273 processed. In addition, we also calculated mean daytime instantaneous flux rates (calculated 274 across all daytime hours, defined as PPFD  $\geq$  5 µmol m<sup>-2</sup> s<sup>-1</sup>), as well as estimates of the light-



298 middle of spring, middle of fall, end of fall) extracted from the GCC and RCC time series, we 299 performed geometric mean regression between camera-derived dates and a range of flux 300 amplitudes (1-90%). Goodness-of-fit was evaluated using the coefficient of determination and 301 the slope of the regression. Bias was quantified using the mean deviation, and accuracy was 302 evaluated using the root mean square deviation (RMSD) between transition dates estimated from 303 GCC data and transition dates estimated from GPP data.

304

305 To explore these relationships at the GRS sites, we pooled data from the four UI sites and 306 performed a parallel analysis. The ARM site in Oklahoma was excluded because both the flux 307 data and the camera data included mixtures of differing phenological patterns associated with 308 multiple crop cycles. We also excluded the Vaira site because it is characterized by 309 asynchronous seasonality (winter active vs. summer active elsewhere) relative to the rest of the 310 sites in our analysis of transition dates. To compare the timing of maximum greenness  $(GCC_{90\%})$ 311 and carbon flux (GPP<sub>90%</sub>), we determined the dates when each metric reached 90% of the 312 maximum annual value at each site using only complete site-years.

313

314 Because the rates of spring increase and fall decrease in daily GPP or GCC can vary between 315 years (see Richardson et al. 2010), dates corresponding to the start and end of the growing season 316 may not fully characterize patterns of interannual variability in phenology. To assess this, we 317 tested the hypothesis that during the spring or fall transition periods time-integrated GCC values 318 provide more information about anomalies in GPP than start-of-season or end-of-season dates 319 estimated from GCC time series. To do this, we first re-scaled the GCC and GPP data to account 320 for differences across sites in the magnitude of carbon fluxes and canopy greenness. This

321 provided normalized time series of daily GPP and GCC, both on a scale from 0 to 1. We then fit 322 splines to the normalized GPP and GCC values over 60 day-periods following the earliest start of 323 spring and preceding the latest end of fall, and calculated the integral under each spline curve 324 using numerical approximation. These integrals were then converted to anomalies relative to 325 each site-level mean and used to calculate linear correlations between integrated GCC anomalies 326 and integrated GPP anomalies. To determine whether integrated GCC values provide greater 327 explanatory power than discrete dates such as the start of spring, we compared these results with 328 linear correlations between phenophase transition date anomalies and integrated GPP anomalies. 329 Lastly, we tested whether spring and fall greenness anomalies were correlated with integrated 330 annual GPP anomalies via multiple linear regression, using spring and fall normalized integrated 331 GCC anomalies as independent variables and annual GPP anomalies as the response variable.

332

### 333 **RESULTS**

# 334 **Canopy development and photosynthesis – patterns among plant functional types**

335 Time series of GCC and daily GPP (Fig. 2; Appendix B) demonstrate broadly consistent 336 relationships within each of the three PFTs, with some notable exceptions. DBF and GRS sites 337 exhibited clear seasonality in both GCC and GPP, with high values during the photosynthetically 338 active season and low values during the inactive season. GRS sites exhibited shorter but well-339 defined growing seasons compared to those in DBF (Fig. 2c). In ENF sites, the annual cycle in 340 GCC was roughly sinusoidal, with a relatively short period of minimum values in winter (Fig. 341 2b). Relationships between GCC and GPP in both the active and dormant seasons were phase-342 shifted, with spring increases in GCC preceding those in GPP, and autumn decreases in GCC 343 lagging behind GPP.

345 We also noted distinct differences among the PFTs with regard to the amplitude and range of 346 GCC values. In DBF and GRS, GCC time series were characterized by low values (0.33-0.36) 347 during the winter and high values (0.40 to 0.50) in peak growing season (Table 1; Appendix B). 348 In contrast, the dynamic range of ENF was much smaller (e.g., seasonal amplitude was 0.04 349 GCC units for Chibougamau vs. 0.08 GCC units for Harvard, Figs. 2b and 2a, respectively). The 350 smallest range was observed for Wind River, where GCC values varied by just 0.03 throughout 351 the year. There was also a wide range in GPP among PFTs owing to differences in ecosystem 352 productivity arising from factors such as species composition, leaf area, and local climate. 353 Across all sites and PFTs, daily GPP values showed strong seasonal patterns, but there was 354 substantial day-to-day variation caused by changes in short term environmental conditions (e.g. 355 clouds, vapor pressure deficits, and soil moisture) that limit short-term productivity, and by 356 extension, decrease correlation between GPP and GCC on short (i.e., hours to days) time scales. 357 358 **Canopy development and photosynthesis – patterns within plant functional types** 

359 DBF sites exhibited two primary modes of variation in GCC during the photosynthetically active 360 season. First, over the course of two or three weeks in late spring, GCC tended to exhibit a 361 distinct late-spring "green peak" that was not observed in either ENF or GRS. Second, following 362 this peak, GCC tended to gradually decline over roughly three months, leading to a decrease in 363 GCC of about 30% relative to the seasonal amplitude. At the onset of leaf coloration, GCC 364 tended to decrease rapidly, leading into the annual winter minimum. Daily GPP, by contrast, 365 increased more slowly throughout the spring, reaching its maximum value 2-4 weeks after the

366 GCC peak. And, whereas GCC remained high during the summer months, daily GPP tended to 367 decline almost immediately after its peak, well in advance of the fall decline in GCC.

368

369 As we noted above, daily GPP exhibited substantial day-to-day variability in all PFTs. At the 370 Missouri Ozarks site in 2007, however, daily GPP decreased sharply in July, nearly two months 371 before the autumn decrease in GCC, likely in response to moisture stress (Yang et al. 2010). 372 Otherwise, covariance between daily GPP and GCC for DBF sites was generally strong overall  $( R^2 = 0.50 - 0.79;$  Table 2; Fig. 3a; Appendix C) and tended to be linear at lower values of GCC. 374 At higher values of GCC, however, there was little or no relationship between daily GPP and 375 GCC for most DBF sites, which reflects the fact that daily GPP during mid-summer is controlled 376 by day-to-day variation in weather that does not affect canopy greenness on short time scales. 377 Correlations between daily GPP and GCC were comparable with those between GCC and GPP<sub>30</sub> 378 (Table 2), indicating that GCC-GPP relationships are robust and independent of seasonal 379 changes in day length.

380

381 ENF sites were characterized by unique patterns of seasonality in GCC and GPP. Most notably, 382 the period associated with minimum GCC values during winter dormancy was short lived. At 383 most ENF sites GCC continued to decline into early winter, even when daily GPP was near zero, 384 before rising again in late winter well in advance of the spring onset of photosynthesis. This 385 pattern was not observed at the Wind River site, which was photosynthetically active throughout 386 almost the whole year (Appendix B). Among all ENF sites, the summertime peak in GCC 387 occurred close to the peak in daily GPP. Overall, correlations between daily GPP and GCC were 388 almost as strong  $(R^2 = 0.53 - 0.76$ ; Appendix C; Table 2) as those for DBF sites. As with DBF,

389 correlation between GCC and GPP<sub>30</sub> were comparable with those between GCC and daily GPP 390 (Table 2).

391

For all but one GRS site, correlations between daily GPP and GCC were high  $(R^2 = 0.80 - 0.90$ ; 393 Table 2; Appendix C), and the relationship was linear. Similar to the ENF sites, GCC at GRS 394 sites exhibited a short summer plateau. At the UI Switchgrass and UI Prairie sites, GCC was 395 modestly phase shifted, with GCC leading daily GPP in spring and lagging daily GPP in fall. 396 Covariance between GPP and GCC at the ARM Oklahoma site, where the growing season 397 extends well beyond that at most other sites, was substantially higher between GCC and GPP $_{30}$ 398 than between GCC and daily GPP (Table 2). 399

400 For DBF and GRS, relationships between GPP and ExG were similar to those observed for GCC

401 (Table 2). At ENF sites, correlations between ExG and GPP were marginally higher than those

402 between GCC and GPP, but the magnitude of these differences was site-specific. At Wind River,

403 in particular, ExG accounted for about 15% more variance in daily GPP than GCC because of

404 the greater stability (less day-to-day noise) in ExG. Similar (but less pronounced) increases were

405 also observed at Chibougamau, Howland, and Niwot.

406

#### 407 **Camera and flux-based phenophase transitions**

408 Using a combination of greenness (GCC) and redness (RCC) indices, digital repeat photography

409 facilitated accurate determinations of the start and end of the photosynthetic period for DBF and

410 GRS. In ENF sites, however, the lack of a discernible winter baseline prevented accurate

411 estimation of the start and end of canopy photosynthesis. In ENF and GRS, GCC provided a

412 relatively accurate estimation of the date of maximum photosynthesis; however, the relationship 413 in ENF was statistically insignificant. In the section below we elaborate on these themes,

414 discussing four camera-based phenology metrics – start of spring, middle of spring, middle of

415 fall, and end of fall – and their relationship with the seasonality of GPP.

416

417 At DBF sites, camera-derived spring and fall phenophase transition dates successfully captured 418 spatiotemporal variability in the beginning and end of the photosynthetic period. Start of spring, 419 estimated using Equation 4 fit to the GCC time series, was most highly correlated with the day of 420 vear corresponding to when flux amplitudes were between 24-30% of maximum GPP ( $R^2 = 0.62$ ;  $421$  n = 17). Mean deviation (MD) and RMSD between start of spring from GCC and GPP was 422 smallest at 20% and 24% of GPP amplitudes, respectively (Fig. 4a). Results were even stronger 423 (Fig. 4b) for the "middle of spring" (the date on which 50% of the seasonal amplitude in GCC 424 was reached), which corresponded to 30-40% of the spring amplitude in GPP ( $R^2 = 0.82$ ). In 425 contrast, GCC was a relatively poor predictor of the date of maximum photosynthesis (GPP $_{90\%}$ ) 426 in DBF sites, with the date of  $GCC_{90\%}$  consistently preceding the date of  $GPP_{90\%}$  by more than 427 three weeks, on average. Note, however, that the magnitude of this bias was disproportionately 428 influenced by one site-year (Harvard Forest in 2010), in which a late summer increase in GPP 429 delayed the 90% threshold significantly (Fig. 5A).

430

431 Correlations between the date at which 50% of the seasonal amplitudes in GCC and GPP were 432 reached in fall was relatively weak  $(R^2 = 0.43; Fig. 4D)$ . Similarly, correspondence between 433 GCC- and GPP-derived end of fall dates was also weak. Canopy redness (RCC), rather than 434 greenness, provided the best indicator of the end of the photosynthetically active period, with the 435 date of peak RCC strongly correlated to the date when GPP amplitude reached 14% ( $R^2 = 0.69$ ; 436 Fig. 4c).

437

438 At GRS sites, GCC provided more information about seasonal dynamics in photosynthesis 439 during spring than in fall. GCC was a good indicator of the beginning of the photosynthetically 440 active period, with high correlation between both the start and middle of spring derived from 441 GCC time series and the date corresponding to a wide range of amplitudes in spring GPP (Fig. 442 6a, b). Relative to  $GPP_{90\%}$ ,  $GCC_{90\%}$  was less biased at GRS sites than that at DBF sites (Fig. 5c). 443 Similar to patterns observed in spring, the timing of both the middle and end of fall from GCC 444 showed significant (but lower relative to spring) correlations across a broad range of GPP 445 amplitudes (Fig. 6c).

446

447 At ENF sites, GCC typically started to increase prior to the onset of the growing season, when 448 GPP was still zero, and continued to decrease late in the year after GPP had returned to zero. 449 Thus, at both the start and end of the growing season, significant variations in GCC occur that 450 are not associated with dynamics in GPP. Indeed, correlations between the timing of changes in 451 GCC and GPP across a wide range of spring and fall amplitude thresholds (5–75% of seasonal 452 amplitude, in 5% intervals) were statistically insignificant at  $p \le 0.05$ . It would appear, therefore, 453 that camera-based GCC time series cannot be used to predict the beginning or end of the 454 photosynthetically active period for ENF sites. It is worth noting, however, that GCC did provide 455 a rough indication of the date of maximum GPP. While the correlation between the dates on 456 which 90% of the spring amplitudes in GCC and GPP were reached was statistically insignificant 457  $(R^2 = 0.32, p = 0.11)$ , the mean bias (across all site-years) was less than one day  $(0.3 \pm 10 \text{ d})$ .

# 459 **Integrated GCC and GPP**

460 In the final element of our analysis, we investigated whether spring and fall time-integrated sums 461 of daily GCC provide additional or complementary information regarding interannual variation 462 in GPP relative to phenophase transition dates estimated from the GCC time series. To do this, 463 we first focused on the Barlett Forest site and calculated springtime integrated daily GPP and 464 GCC from 2006 to 2012 (Fig. 7). Starting on DOY 115 (selected to precede the earliest observed 465 green-up day, DOY 118), we integrated both GCC and GPP over successively longer time 466 segments at 5-day increments (e.g., DOY 115-120, 115-125, etc.). Results from this analysis 467 showed that springtime integrated GCC anomalies were strongly and significantly correlated 468 with integrated GPP anomalies for up to 30 days ( $R^2 = 0.56$ -0.88; n = 7), by which time 469 cumulative photosynthetic uptake had reached nearly 150 g C  $m<sup>2</sup>$  in some years. GCC and GPP 470 integrals beyond DOY 145 did not show statistically significant correlations. In fall, integrated 471 GCC anomalies computed for time segments spanning 30 days preceding the end of fall (DOY 472 290) were moderately correlated with corresponding GPP anomalies ( $R^2 = 0.47$ ; p = 0.09; data 473 not shown). For comparison, start- and middle-of-spring transition dates were modestly 474 correlated with integrated GPP anomalies over the period from DOY 115 to 145 ( $R^2$  = 0.69 and 475 0.43), while GCC-based middle and end-of-fall transition dates were highly correlated with time 476 integrals of GPP over the period from DOY 265 to 290 ( $R^2 = 0.96$ , 0.70). Thus, at Bartlett, GCC 477 integrals provide more information about flux anomalies than do individual phenological 478 transition dates in the spring, but less information in the fall.

480 We then extended this analysis to include all DBF and three of the four Illinois GRS sites (we 481 excluded the UI Maize and UI Soy notes, for which only a single year of data was available). For 482 the DBF sites, we found moderate correlation (as high as  $R^2 = 0.49$ ; n = 19 after 30 days) 483 between normalized GCC integral anomalies and normalized GPP integral anomalies up to 60 484 days after green-up (Fig. 8). Over this period, anomalies of up to 158 gC m<sup>-2</sup> (Harvard), or  $\sim$ 8% 485 of the annual total GPP, were observed. Correlations based on time integrals extending beyond 486 60 days after the earliest green-up were not statistically significant. In contrast to results at 487 Bartlett Forest, start- and middle-of-spring transition date anomalies were more highly correlated 488 with normalized GPP integral anomalies ( $R^2 = 0.71$  and 0.60 at 20 and 30 days, respectively). In 489 fall, correlations between GCC integral anomalies and GPP anomalies were not statistically 490 significant, whereas end-of-fall transition date anomalies were weakly correlated with 491 normalized GPP integral anomalies ( $R^2 = 0.30$ ). Multiple linear regression analysis showed that 492 about half the variance in annual GPP integral anomalies is explained by a combination of spring 493 and fall GCC anomalies ( $R^2 = 0.54$ ). By comparison, a linear model using anomalies in the start-494 of-spring and end-of-fall transition dates determined from the GCC time series explained less 495 than one-third of the variance in annual GPP integral anomalies ( $R^2 = 0.30$ ). Thus, it is not clear 496 whether integrated GCC provides more information related to interannual variation in GPP than 497 specific transition dates.

498

499 At GRS sites we found strong correlation between normalized GCC anomalies and normalized 500 GPP anomalies up to 60 days after the start of spring  $(R^2 = 0.97; n = 6; Fig. 9)$  and during the 501 period 20-50 days preceding the end of the growing season ( $R^2 = 0.83$ ). While these results are 502 promising, it is important to note that the sample size is small  $(n = 6)$  and each tower is

503 represented by only two site-years. As at DBF sites, information related to interannual variation 504 in fall GPP from time-integrated GCC values was comparable to that provided from transition 505 dates, but provided less information related to spring GPP variations. For example, correlation of 506 start-of-spring with spring GPP anomalies was lower than that for GCC anomalies ( $R^2 = 0.85$ ) 507 while correlation of end-of-fall with fall GPP anomalies ( $R^2 = 0.81$ ) was equivalent to that of the 508 integrated GCC anomaly.

509

# 510 **DISCUSSION**

# 511 **Canopy development and photosynthesis**

512 Results from this study demonstrate that canopy greenness is correlated with rates of

513 photosynthesis in both forest and grassland. Consistent with results from previous studies,

514 canopy greenness and GPP were correlated across DBF sites (Ahrends et al. 2009, Richardson et

515 al. 2007, 2009). For reasons that are unclear, we found a stronger relationship between

516 greenness and photosynthesis in grassland than Migliavacca et al. (2012). At ENF sites, our

517 results are consistent with those obtained by Richardson et al. (2009b) and showed moderate to

518 strong correlation between canopy greenness and GPP across all of the sites we examined. This

519 was particularly true for ExG, suggesting that camera-based modeling of GPP in ENF should be

520 based on this index. Specifically, ExG was less sensitive than GCC to variation in illumination

521 conditions. Thus, ExG appears to minimize the impact of shadows, which are prominent and

522 highly variable in conifer canopies.

523

524 Our analysis also revealed several limitations of canopy greenness as a predictor of GPP. For

525 example, there was a pronounced peak in GCC at the end of spring in DBF sites (also noted by

526 Sonnentag et al. 2012, Mizunuma et al. 2012) that preceded the peak in GPP by several weeks. 527 Peak GCC is caused by seasonal variation in foliage pigments (e.g., Sims and Gamon, 2002) and 528 is accentuated by the oblique viewing angle used by the cameras in this study (Keenan et al. 529 2014). As a result,  $GCC_{90\%}$  tended to occur several weeks before GPP<sub>90%</sub>. Data from the 530 Missouri Ozarks site also demonstrated limitations of GCC during drought conditions when 531 photosynthesis was reduced by moisture stress, but canopy color was unaffected. As a result, 532 GPP and GCC became decoupled as GPP dropped rapidly while GCC remained high (Appendix 533 B). Even though ENF sites exhibited well-defined seasonality in greenness, GCC was only 534 weakly correlated to GPP at these sites. Conifers undergo seasonal changes in chlorophyll 535 content, with winter minima approximately 40% lower than summer maxima (Billow et al. 1994, 536 Ottander et al. 1995). Hence, seasonal variation in chlorophyll concentrations at sites with long 537 winters (Chibougamau, Groundhog, Niwot) may be driving observed patterns in canopy 538 greenness, even during the non-photosynthetic period (Fig. 2; Appendix C).

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# 540 **Phenophase transitions and integrated GCC-GPP**

541 Start of spring and end of fall, determined based on GCC and RCC, provided biased estimates for the beginning and cessation of the photosynthetically active period (*i.e.* GPP  $> 0 \text{ g C m}^{-2} d^{-1}$ ). 543 In deciduous broadleaf forest and grassland/crops sites, the MD and RMSD for the start of spring 544 were lowest for GPP values between 20-26% of the spring amplitude, while for end of fall, 545 deviations were lowest for GPP at 14-16% of the fall amplitude. Local maxima in the change in 546 curvature rate, which is used to identify the start of spring and end of fall (Zhang et al. 2003), 547 occurs above wintertime minimum values, when GCC reach ~10% and 90% of the amplitude of 548 equations 3 and 4, respectively. In DBF, an additional source of disagreement between the

549 timing of GCC and GPP is early season photosynthesis from sub-dominant evergreen trees,

550 which can increase ecosystem GPP well before leaf emergence in deciduous trees.

551

552 Garrity et al. (2011) tested 13 metrics of canopy phenology (excluding cameras) and found that 553 no single source provided adequate characterization of the full seasonality of carbon flux 554 phenology. Notably, the beginning of the photosynthetic period was generally well characterized 555 while the end of the photosynthetic period was poorly characterized, and the timing of maximum 556 GPP was not significantly correlated with any radiometric or remotely sensed variable (Garrity et 557 al. 2011). Although we found similar patterns for DBF sites, there was relatively close 558 association between  $GPP_{90\%}$  and  $GCC_{90\%}$  in GRS. Other researchers have found that maximum 559 GCC at DBF sites precedes maximum GPP (Ahrends et al. 2009, Richardson et al. 2009b), leaf 560 area index (Keenan et al., 2014), and leaf chlorophyll content (Nagai et al. 2011) by several 561 weeks to two months. Likewise, leaf-level studies indicate long periods (50-80 days) between 562 green-up and maximum photosynthesis (Reich et al. 1991, Bassow and Bazzazz 1998, Morecroft 563 et al. 2003). Thus, it is perhaps not surprising that we found that changes in GCC tend to lead 564 changes in GPP in both spring and autumn in DBF.

565

566 A particularly important conclusion from this work is that repeat digital photography not only 567 allows us to identify when photosynthesis begins and ends, but also helps us estimate how much 568 of an impact phenological variability has on seasonal and annual carbon budgets. Using an 569 independent measure of canopy phenology, we showed how changes in the timing of green leaf 570 phenology in the spring and fall affects cumulative photosynthesis. Among DBF sites, we also

571 found significant correlation between combined spring-fall GCC anomalies and anomalies in 572 annual GPP.

573

# 574 **Impacts and future work**

575 By examining relationships between camera-derived metrics of greenness and GPP across a large 576 set of sites spanning multiple years and three plant functional types, this research provides an 577 improved foundation for using digital repeat photography to model the impact of phenological 578 dynamics on the carbon cycle of terrestrial ecosystems. Key contributions of this study are (1) 579 demonstration of relatively general relationships between GPP and GCC, and (2) quantification 580 of spatiotemporal variability in canopy development and GPP among and across three major 581 PFTs. More generally, results from this study highlight the role that cameras can play in refining 582 and calibrating phenological sub-routines in Earth System models, which vary widely in their 583 representation of green leaf phenology (e.g., Richardson et al. 2012). The Community Land 584 Model, for instance, includes seven PFTs (Bonan et al. 2002), four of which were represented in 585 our study: deciduous broadleaf forest (DBF), coniferous evergreen forest (ENF), grasses (GRS), 586 and crops (GRS). Our study did not include broadleaf evergreen forest, deciduous and evergreen 587 shrubs, and we are not aware of any studies that have compared camera-based phenology and 588 carbon fluxes in broadleaf evergreen forest, (but see Doughty and Goulden 2007 for radiometry-589 based phenology). However, given the major role of humid tropical forest in the global carbon 590 cycle, there is a clear need for camera-based studies in this biome.

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592 Although our study was focused on canopy-scale phenology, digital repeat photography also has 593 significant potential as a tool for bridging the gap between canopy-to-landscape scale processes

594 and organismal-level observations of leafing and flowering phenology. Digital repeat 595 photography can also play an essential role in scaling organismal- and canopy-level observations 596 to the synoptic scale provided by remote sensing (Hufkens et al. 2012). As networks of spatially 597 referenced online camera imagery rapidly expand (Graham et al. 2010, Sonnentag et al. 2012, 598 Abrams and Pless 2013), opportunities to leverage these networks to monitor and calibrate 599 models of terrestrial phenology are likely to increase. Exploiting this, future work will explore 600 how such camera networks can be used to characterize spatiotemporal variability in phenology 601 and determine the environmental drivers (e.g. temperature, precipitation, photoperiod, snow 602 cover) that regulate canopy development and senescence at regional to continental scales.

603

#### 604 **CONCLUSIONS**

605 In this study, we demonstrate the strengths and limitations of camera-based canopy greenness for 606 monitoring the phenology of photosynthesis in three PFTs: deciduous broadleaf forest, evergreen 607 needleleaf forest and grassland/crops. We encountered key differences among PFTs in the 608 relationship between canopy development, expressed as greenness, and the seasonality of carbon 609 fluxes. These differences were also evident in the detection of discrete phenophase transitions. 610 Canopy greenness proved effective at detecting the beginning and end of the photosynthetically 611 active period in GRS sites. In DBF sites, greenness was effective for detecting the beginning of 612 the photosynthetic period, whereas redness was most effective for detecting the end. A key 613 finding of this study was that integrated GCC was significantly correlated with total GPP during 614 the first 30-60 days following green-up, in both DBF and GRS. In some cases, integrated GCC 615 was a better predictor of summed spring/fall GPP than discrete transitions dates. Further, in DBF 616 there was a moderate correlation between combined spring-fall GCC anomalies and the annual



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# **Appendices**

- **Appendix A.** Map of PhenoCam sites.
- **Appendix B**. Time series of daily GPP ( $gC \text{ m}^{-2} d^{-1}$ ) and GCC for all deciduous broadleaf forest
- 941 (DBF) evergreen needleleaf forest (ENF) and grassland (GRS) sites, listed by plant functional
- 942 type. One characteristic year of data is featured in each sub-plot.
- **Appendix C**. Scatter plots of daily GPP ( $gC \text{ m}^{-2} d^{-1}$ ) vs. GCC for all deciduous broadleaf forest
- 944 (DBF) evergreen needleleaf forest (ENF) and grassland (GRS) sites, listed by plant functional
- 945 type. Linear (blue) and quadratic regression lines (red) are superimposed (see Table 2 for
- 946 coefficients of determination). All years of data are featured in each sub-plot.

 

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972 Table 1. Summary of camera/eddy covariance sites used in this study, arranged by plant functional type. DBF = broadleaf deciduous







989					<b>Table 2</b> . Coefficients of determination for linear $(R^2)$ and quadratic regression $(R^2_{quad})$ of GCC
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994 Harvard (deciduous broadleaf forest), (b) Chibougamau (evergreen needleleaf forest), and (c) UI 995 miscanthus (grassland). Polygons indicate the Region of Interest for extracting image greenness. **Prigure 2.** Time series of daily GPP (blue circles,  $gC \text{ m}^{-2} d^{-1}$ ) and GCC (green diamonds) for 997 deciduous broadleaf forest (DBF; a) evergreen needleleaf forest (ENF; b) and grassland/crops 998 (GRS; c). Two characteristic years of data are featured in each sub-plot. **Figure 3**. Scatter plots of daily GPP ( $gC \text{ m}^{-2} d^{-1}$ ) vs. GCC for deciduous broadleaf forest (DBF; 1000 a) evergreen needleleaf forest (ENF; b) and grassland (GRS; c). Linear (blue) and quadratic 1001 regression lines (red) are superimposed (see Table 2 for coefficients of determination). All years 1002 of data are featured in each sub-plot. 1003 **Figure 4**. Four metrics comparing estimates of DOY for start of spring (a), middle of spring (b), 1004 and middle of fall (d) using dates extracted from GCC curve fitting and % of maximum GPP. 1005 The plots represent 19 DBF site-years. End of fall (c) camera dates are derived from date of 1006 maximum RCC. On left axes,  $R^2 (0.0 - 1.0)$  and slope for geometric mean regression. On right 1007 axes, mean deviation (MD) and root mean square deviation (RMSD) of estimates; units are days. 1008 **Figure 5**. Comparisons of derived dates (DOY) of maximum greenness and fluxes **–** GCC90% 1009 and GPP<sub>90%</sub>, respectively – for deciduous broadleaf forest (a), evergreen needleleaf forest (b) and 1010 grassland/crops (C) sites. 1011 **Figure 6.** Four metrics comparing estimates of DOY of start of spring (a), middle of spring (b), 1012 end of fall (c) and middle of fall (d) using dates extracted from GCC curve fitting and % of

993 **Figure 1.** Examples of webcam photographs, representing the three plant functional types: (a)

1013 maximum GPP. Plots represent 8 GRS site-years. On left axes,  $R^2$  and slope for geometric mean



a.

Chibougamau Webcam Tue Jun 01 10:32:36 2010 EST Exposure: 295<br>Camera temp 42,5 °C Air temp 12.0 °C<br>RH 0% — Pressure 967.0 mb

0.

















