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A construction of pooling designs with surprisingly high degree of error correction

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ABSTRACT

It is well known that many famous pooling designs are constructed from mathematical structures by the “containment matrix” method. In this paper, we propose another method and obtain a family of pooling designs with surprisingly high degree of error correction based on a finite set. Given the numbers of items and pools, the error-tolerant property of our designs is much better than that of Macula’s designs when the size of the set is large enough.

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Pooling design is a mathematical tool to reduce the number of tests in DNA library screening [2–4]. A pooling design is usually represented by a binary matrix with columns indexed with items and rows indexed with pools. A cell (i, j) contains a 1-entry if and only if the i th pool contains the j th item. Biological experiments are notorious for producing erroneous outcomes. Therefore, it would be wise for pooling designs to allow some outcomes to be affected by errors. A binary matrix M is called s^e -disjunct if given any $s + 1$ columns of M with one designated, there are $e + 1$ rows with a 1 in the designated column and 0 in each of the other s columns. An s^0 -disjunct matrix is also called s -disjunct. An s^e -disjunct matrix is called *fully s^e -disjunct* if it is not $s_1^{e_1}$ -disjunct whenever $s_1 > s$ or $e_1 > e$. An s^e -disjunct matrix is $\lfloor e/2 \rfloor$ -error-correcting (see [5]).

For positive integers $k \leq n$, let $[n] = \{1, 2, \dots, n\}$ and $\binom{[n]}{k}$ be the set of all k -subsets of $[n]$.

Macula [10,11] proposed a novel way of constructing disjunct matrices by the containment relation of subsets in a finite set.

Definition 1. (See [10].) For positive integers $1 \leq d < k < n$, let $M(d, k, n)$ be the binary matrix with rows indexed with $\binom{[n]}{d}$ and columns indexed with $\binom{[n]}{k}$ such that $M(A, B) = 1$ if and only if $A \subseteq B$.

D'yachkov et al. [6] discussed the error-correcting property of $M(d, k, n)$.

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Theorem 1. (See [6].) For positive integers $1 \leq d < k < n$ and $s \leq d$, $M(d, k, n)$ is fully s^{e_1} -disjunct, where $e_1 = \binom{k-s}{d-s} - 1$.

Ngo and Du [13] constructed disjunct matrices by the containment relation of subspaces in a finite vector space. D'yachkov et al. [5] discussed the error-tolerant property of Ngo and Du's construction. Huang and Weng [9] introduced the comprehensive concept of pooling spaces, which is a significant addition to the general theory. Recently, many pooling designs have been constructed using the "containment matrix" method, see e.g. [1,7,8].

Next we shall introduce our construction.

Definition 2. Given integers $1 \leq d < k < n$ and $0 \leq i \leq d$. Let $M(i; d, k, n)$ be the binary matrix with rows indexed with $\binom{[n]}{d}$ and columns indexed with $\binom{[n]}{k}$ such that $M(A, B) = 1$ if and only if $|A \cap B| = i$.

Note that $M(i; d, k, n)$ and $M(d, k, n)$ have the same size, and $M(i; d, k, n)$ is an $\binom{n}{d} \times \binom{n}{k}$ matrix with row weight $\binom{d}{i} \binom{n-d}{k-i}$ and column weight $\binom{k}{i} \binom{n-k}{d-i}$. Since $M(d; d, k, n) = M(d, k, n)$, our construction is a generalization of Macula's matrix.

Let $B \in \binom{[n]}{k}$ and $C = [n] \setminus B$. Then, for any $D \in \binom{[n]}{d}$, $|D \cap B| = i$ if and only if $|D \cap C| = d - i$. Therefore, $M(i; d, k, n) = M(d - i; d, n - k, n)$ when $n > k + d - i$. Since $i \leq \lfloor d/2 \rfloor$ if and only if $d - i \geq \lfloor (d + 1)/2 \rfloor$, we always assume that $i \geq \lfloor (d + 1)/2 \rfloor$ in this case.

Theorem 2. Let $1 \leq s \leq i$, $\lfloor (d + 1)/2 \rfloor \leq i \leq d < k$ and $n - k - s(k + d - 2i) \geq d - i$. Then

- (i) $M(i; d, k, n)$ is an s^{e_2} -disjunct matrix, where $e_2 = \binom{k-s}{i-s} \binom{n-k-s(k+d-2i)}{d-i} - 1$;
- (ii) For a given k , if $i < d$, then $\lim_{n \rightarrow \infty} \frac{e_2 + 1}{e_1 + 1} = \infty$.

Proof. (i) Let $B_0, B_1, \dots, B_s \in \binom{[n]}{k}$ be any $s + 1$ distinct columns of $M(i; d, k, n)$. Then, for each $j \in [s]$, there exists an x_j such that $x_j \in B_0 \setminus B_j$. Suppose $X_0 = \{x_j \mid 1 \leq j \leq s\}$. Then $X_0 \subseteq B_0$, and $X_0 \not\subseteq B_j$ for each $j \in [s]$. Note that the number of i -subsets of B_0 containing X_0 is $\binom{k-|X_0|}{i-|X_0|} = \binom{k-|X_0|}{k-i}$. Since $\binom{k-|X_0|}{k-i}$ is decreasing for $1 \leq |X_0| \leq s$ and gets its minimum at $|X_0| = s$, the number of i -subsets of B_0 containing X_0 is at least $\binom{k-s}{k-i}$.

Let A_0 be an i -subset of B_0 containing X_0 . Then $|A_0 \cap B_j| < i$ for each $j \in [s]$. Let $D \in \binom{[n]}{d}$ satisfying $|D \cap B_0| = i$. If there exists $j \in [s]$ such that $|D \cap B_j| = i$, then $|B_0 \cap B_j| \geq |D \cap B_0 \cap B_j| \geq 2i - d$. Suppose $|B_0 \cap B_j| \geq 2i - d$ for each $j \in [s]$. Since $|\bigcup_{0 \leq j \leq s} B_j| \leq k + s(k + d - 2i)$, the number of d -subsets D of $[n]$ containing A_0 satisfying $|D \cap B_0| = i$ and $|D \cap B_j| \neq i$ for each $j \in [s]$ is at least $\binom{n-k-s(k+d-2i)}{d-i}$. Then the number of d -subsets D containing X_0 in $\binom{[n]}{d}$ satisfying $|D \cap B_0| = i$ and $|D \cap B_j| \neq i$ for each $j \in [s]$ is at least $\binom{k-s}{i-s} \binom{n-k-s(k+d-2i)}{d-i}$. Therefore, (i) holds.

(ii) is straightforward by (i) and Theorem 1. \square

Example 1. $M(5, 7, 50)$ is fully $1^{14}, 2^9$ and 3^5 -disjunct, but $M(3; 5, 7, 50)$ is $1^{9989}, 2^{2324}$ and 3^{299} -disjunct; $M(4, 5, 13)$ is fully 1^3 and 2^2 -disjunct, but $M(3; 4, 5, 13)$ is 1^{29} and 2^5 -disjunct.

Concluding remarks

(i) For given integers $d < k$ the following limit holds: $\lim_{n \rightarrow \infty} \frac{\binom{n}{d}}{\binom{n}{k}} = 0$. This shows that the test-to-item of $M(i; d, k, n)$ is small enough when n is large enough. By Theorem 2, our pooling designs are better than Macula's designs when n is large enough.

(ii) It seems to be interesting to compute e such that $M(i; d, k, n)$ is fully s^e -disjunct.

(iii) In [12], Nan and the first author discussed the similar construction of s^e -disjunct matrices in a finite vector space, but the number e is not well expressed. By the method of this paper, e may be larger. We will study this problem in a separate paper.

(iv) For positive integers $1 \leq d < k < n$, let I be a nonempty proper subset of $\{0, 1, \dots, d\}$, and let $M(I; d, k, n)$ be the binary matrix with rows indexed with $\binom{[n]}{d}$ and columns indexed with $\binom{[n]}{k}$ such that $M(A, B) = 1$ if and only if $|A \cap B| \in I$. How about the error-tolerant property of $M(I; d, k, n)$?

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