Assessing the potential of free tropospheric water vapour isotopologue satellite observations for improving the analyses of

latent heating convective events

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Abstract. Satellite-based observations of free tropospheric water vapour isotopologue ratios (δD) with good global and temporal coverage have become recently available. We investigate the potential of these observations for constraining the uncertainties of the atmospheric analyses fields of specific humidity (q), temperature (T), and δD and of variables that capture important properties of the atmospheric water cycle, namely the vertical velocity (ω), the latent heating rate (Q_2), and the precipitation

- 5 rate (Prcp). Our focus is on the impact of the δD observations if used in addition to the relative to the impact achieved by the observation of q and T, which are much easier to be observed by satellites and routinely in use for atmospheric analyses. For our investigations we use an Observing System Simulation Experiment, i.e. simulate the satellite observations of q, T, and δD with known uncertainties , then use them and coverage (e.g. observations are not available for cloudy conditions, i.e. at locations where the atmosphere is vertically unstable). Then we use the simulated observations within a Kalman fil-
- 10 ter based assimilation framework in order to evaluate their potential for improving the quality of atmospheric analyses. The study is made for low latitudes (30°S to 30°N) and for 40 days between mid-July and end of August 2016. We find that the assimilation of q and T observations alone well constrains the atmospheric q and observations have generally the largest impacts on the analyses quality, and that T fields (analyses skills in the free troposphere of up to 60%), and moderately constrains the fields of observations have overall stronger impacts than δD , ω , Q_2 , and Prep (analyses skills of 20%-40%). The additional
- 15 assimilation of observations. We show that there is no significant impact of δD observationsfurther improves the quality of the analyses of all variables. We use Q_2 as proxy for the presence of condensation and evaporation processes, and we show that the additional improvement is rather weak when evaporation or condensation are negligible (additional analyses skills of

generally below 5%), and strongest for high condensation rates (additional skills of about 15% and above) D observations for stable atmospheric conditions; however, for very unstable conditions the impact of δD observations is significant and even

- 20 <u>slightly stronger than the respective impact of *T* observations</u>. The very high condensation rates (identified by large positive Q_2 values) unstable conditions are rare, but related to extreme events (very high ω and Prep) that are not well captured in the analyses (for these extreme events also the analyses uncertainties of ω , Q_2 , and Prep are very largee, g, storm, flooding), i.e. the additional assimilation of δD observations significantly improves the analyses of the water cycle related variables for the events when an improvement is most important. In real world satellite datasets impact on the analyses quality of the events that have
- 25 the largest societal impact. At the location and time of these unstable atmospheric conditions, no observations are available indicating to a unique remote impact of elsewhere available δD observations on the analyses of convective events. Concerning real world applications, we conclude that the situation of δD observations affected by such strong latent heating events are frequently available, suggesting that the here demonstrated additional satellite observations is very promising, but that further improving the model's linkage between convective processes and the larger scale δD impact for the simulated world is also a
- 30 realistic scenario for a real world data assimilation fields might be needed for optimising the assimilation impact of real world δD observations.

1 Introduction

Clouds and water vapour control atmospheric radiative heating/cooling and condensation or evaporation of water determines where latent heating or latent heat consumption takes placeheat is released or consumed. The heating patterns then drive at-

- 35 mospheric circulation, thereby causing whereby in particular vertical transport causes additional evaporation/condensation and impacting on impacts the distribution of water vapour and clouds, which in turn again modify the latent and radiative heating patterns of the atmosphere. This strong coupling between moisture pathways, diabatic heating and atmospheric circulation is responsible for important climate feedback mechanisms (e.g. Sherwood et al., 2014; Bony et al., 2015) and often is is often connected to the evolution of severe weather events (e.g. Fink et al., 2012; Evans et al., 2017). In this context, it is rather
- 40 worrisome that the diabatic heating rates and the realted vertical transport obtained from different current global reanalyses show significant inconsistencies (e.g. Chan and Nigam, 2009; Ling and Zhang, 2013).

For the generation of daily and global scale analyses, the operational assimilaton systems assimilate the outgoing microwave or infrared radiation (e.g. Eyre et al., 2022). This radiation contains information on the atmospheric state (mostly atmospheric specific humidity, q, and temperature, T). There are many different satellites that measure this spectrally-resolved radiation, in-

45 cluding operational weather satellites, like the European Meteosat- and Metop-series (https://www.eumetsat.int/our-satellites/ meteosat-series and https://www.eumetsat.int/our-satellites/metop-series, respectively).

In this study, we investigate the information that free tropospheric δD isotopologue ratio observations can provide in addition to the information provided by the observations of q and T for improving the analyses. The ratio is calculated between the heavy isotopologue HD¹⁶O and the main isotopologue H¹⁶₂O in the vapour phase

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$$\delta D = \frac{HD^{16}O/H_2^{16}O}{R_{VSMOW}} - 1,$$
 (1)

where $H_2^{16}O$ and $HD^{16}O$ are the concentrations of the respective isotopologues and $R_{VSMOW} = 3.1152 \times 10^{-4}$ is the Vienna Standard Mean Ocean Water ratio of the two isotopologues (this is a standard ratio typically encountered in Ocean water, Craig, 1961). Our particular interest is in δD , because it is very promising for improving the analysed <u>vertical transport and</u> latent heating rates: firstly, it can be observed with a reasonable precision by satellite on a daily and almost global scale (e.g. Diek-

55 mann et al., 2021a) and secondly, it is affected by condensation/evaporation and thus closely linked to latent heating processes (e.g. Galewsky et al., 2016)strongly affected by vertical transport and convective processes (e.g. Bony et al., 2008; Risi et al., 2008; Noone

During the last 15 years, tropospheric δD products have been developed for different satellite sensors (e.g. Worden et al., 2007; Frankenberg et al., 2009; Schneider and Hase, 2011; Lacour et al., 2012; Boesch et al., 2013; Worden et al., 2019; Schnei-

60 der et al., 2020). Meanwhile, different weather and climate models have the water isotopologues and the relevant physical processes implemented and can provide modelled isotopologue fields on a global and regional scale at different horizontal resolutions (e.g. Yoshimura et al., 2008; Risi et al., 2010; Werner et al., 2011; Pfahl et al., 2012; Eckstein et al., 2018)(e.g. Yoshimura et al., 200

. This offers advanced opportunities for studying atmospheric moisture processes with water isotopologues. The tropospheric water vapour isotopologue composition has been used for investigating water cycle related biases in atmo-

- 65 spheric models (e.g. Risi et al., 2012; Field et al., 2014; Schneider et al., 2017), elouds and/or precipitation involving processes processes involving clouds or precipitation (e.g. Webster and Heymsfield, 2003; Worden et al., 2007; Blossey et al., 2010; Field et al., 2010; Bailey et al., 2015; Diekmann et al., 2021b), local diurnal-scale moisture transport (Noone et al., 2011; González et al., 2016), and large-scale moisture transport (e.g. Noone, 2012; González et al., 2016; Lacour et al., 2017; Dahinden et al., 2021).
- We use a data assimilation framework together with an OSSE (Observation System Simulation Experiment) to document the added value of the free tropospheric δD satellite observations, i.e. we simulate satellite observations and then evaluate the theoretical impact of assimilating the observations. This assimilation framework was presented in Yoshimura et al. (2014) and has already been applied by Toride et al. (2021) and Tada et al. (2021). Here we simulate the observations in line with the temporal and horizontal coverage achieved by the IASI (Infrared Atmospheric Sounding Interferometer, Clerbaux et al., 2009)
- satellite sensor. We simulate the IASI data of q, T, and δD as generated for the free troposphere by using the retrieval processor MUSICA (MUlti-platform remote Sensing of Isotopologues for investigating the Cycle of Atmospheric water, Schneider et al., 2016, 2022). We evaluate the analyses of the atmospheric fields of q, T, δD , the vertical velocity (ω), the latent heating rate (Q_2), and the precipitation rate (Prcp). The latter three are strongly coupled and linked to climate feedbacks and weather events. The atmospheric dynamics (expressed among others by ω) is coupled to Q_2 , which in turn affects the vertical thermal structure
- and thus dynamics. Prcp describes the removal of moisture from the atmosphere, which in turn affects the Q_2 and radiative heating potential.

This study is complementary to Toride et al. (2021), where observations from different platforms and different temporal and spatial coverages were used (satellite, radiosonde and surface observations). The different observational techniques provide diverse information; however, using observations that have a spatial and temporal coverage that differs from the coverage of

85 the IASI δD data, makes it difficult to understand whether an improvement in the analysis is due to the complementarity of the

information provided by δD or from the complementary coverage (the coverage of IASI δD is much better than the coverage of radiosonde data and more homogeneous than the coverage of the surface data and data from geostationary satellites, see Figs. S4 and S5 in the Supporting Information of Toride et al., 2021). In our OSSE all observations have the same spatial and temporal coverage (the coverage of the MUSICA IASI water isotopologue satellite data, Diekmann et al., 2021a), which assures that any

- improvement in the analysis by an additional assimilation of δD is due to the complementarity of the information provided by 90 δD and not affected by different coverages. Furthermore, we investigate the assimilation of δD in addition to the assimilation of satellite observations of q and T. The latter (i.e. IASI observations of T_{satellite observations}) were not considered in Toride et al. (2021), despite the fact that they are available with very good quality. Moreover, in addition to the general impact study given by Toride et al. (2021), this work investigates the situations when the isotopologue observations can make a unique contribution (versus the situations when they have no significant impact).
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In Tada et al. (2021) real IASI δD observations (only δD observations) were assimilated and it was shown that such assimilation leads to a better agreement with the ERA5 reanalyses (Hersbach et al., 2020) than not assimilating any data. However, they did not investigate the much larger impact that can already be achieved by assimilating more easily observable data like q and T. In this context, our study has a very different focus: we first estimate the impact of assimilating use the assimilation of the easily observable data (q and T) and then as the reference and evaluate the impact of additionally assimilating δD observations.

The manuscript is structured as follows: section 2 describes the simulated data and the OSSE, the performed assimilation experiments, and the analysed atmospheric variables and the methods used for evaluating their quality. In Sect. 3, we give an overview on the analyses quality improvements achieved by the different assimilation experiments. Section 4 examines in detail the atmospheric events when the additional assimilation of for what atmospheric conditions the δD has observations have 105 the strongest impact on the analyses and it briefly discusses the possibilities of achieving such impacts also some challenges that have to be overcome for achieving an optimal δD assimilation impact for real world analyses. A summary of the study is given in Sect. 5.

2 Data and evaluation

Data simulations 2.1

110 We use the isotopologue enabled atmospheric general circulation model IsoGSM (Yoshimura et al., 2008) and simulate the atmospheric state for the two months of July and August 2016, in 6 hour time steps, with a spectral model grid resolution T62 (about 200 km horizontal resolution and 28 vertical sigma levels). We use these simulations as the truth and refer to it in the following as the nature data $(x_{n_{i,j}})$, where the index *i* indicates the time step and the index *j* the location).

For our OSSE we interpolate the $x_{n_{i,j}}$ data to the locations and time step where satellite observations are available. However,

MUSICA IASIdata resampled to the IsoGSM grid points are used, which strongly reduces the number of observational data: 115 while there are typically 30 high quality observations each day in a consider that a thermal infrared sensor like IASI offers no free tropospheric trace gas products in the presence of mid- and high-level clouds, so we limit the observational data availability to time steps and locations where the model is free of mid- and high-level clouds. Furthermore, we take into

Table 1. Table summarizing the typical free tropospheric observational error (σ_o) used for the assimilation experiments. The σ_o values are calculated as the root-squares-sum of MUSICA IASI retrieval noise error (σ_r) and the spatial representativeness error (σ_s , due to resampling the horizontally highly-resolved small MUSICA IASI data ground pixels onto the relatively coarse 200 × 200 km IsoGSM grid).

Observation	σ_o	σ_r	σ_s
q	0.30 g/kg	0.12 g/kg	0.27 g/kg
T	$1.2\mathrm{K}$	$1.0\mathrm{K}$	$0.7\mathrm{K}$
δD	14%0	10%0	10%0

account IASI's high horizontal resolution (ground pixel diameter of about 12 km at nadir), which is much finer than the 200 km horizontal resolution of IsoGSM. Typically there are about 10-20 high quality MUSICA IASI observations each 12 hours in the 200 × 200 km area(Diekmann et al., 2021a), in the OSSE used here this number is reduced to typically 1-2, that is represented by IsoGSM (Diekmann et al., 2021a). We simulate MUSICA IASI observations of q, T, and δ D in the middle troposphere (at about 550 hPa). The observational error variances and consider the different horizontal representations of model and observation when setting up the observational error variance (σ_o^2)are estimated. For this purpose, we estimate σ_o^2 as the sum

125 of a spatial representativeness error variance (σ_s^2) and a retrieval error variance (σ_r^2) . The σ_r value is the mean error estimated for the MUSICA IASI data within a IsoGSM grid box (it is typically 0.12 g/kg, 1.0 K, and 10‰ for q, T, and δ D, respectively, Diekmann et al., 2021a). For the σ_s values we use the standard deviations of the MUSICA IASI data within the IsoGSM grid box, which is generally of a similar order as σ_r . Table 1 gives a summary of the typically assumed observational errors.

The In addition to the nature data, the data belonging to the different ensemble members are calculated by have to be

130 simulated. This is done with IsoGSM but with initialisations that are slightly different to the initialisation used from different time steps within the same season of the nature run. These initial conditions are considered independent from the nature run (for more details see Toride et al., 2021). We calculate an ensemble with 96 members , i.e. for each time step we make 96 additional IsoGSM runs ((i.e. $N_{ens} = 96$).

2.2 Data assimilation with a Kalman filter

135 For the data assimilation we use the Local Ensemble Transform Kalman Filter (LETKF, e.g. Hunt et al., 2007) method as developed for its use with water isotopologue data by Yoshimura et al. (2014). The Kalman filter based data assimilation technique optimally combines a model forecast with an observation by considering the respective model and observational uncertainties (Kalman, 1960). The result is a best estimate of the atmospheric state (the analyses state vector, x^a):

$$\boldsymbol{x}^{a} = \boldsymbol{x}^{b} + \mathbf{K}(\boldsymbol{y} - \mathbf{H}\boldsymbol{x}^{b}), \tag{2}$$

140 where x^b is the so-called background state (the model forecast), y the observation vector, and **H** the observational operator (a matrix operator which maps the model state into the observation space). The matrix operator **K** is the Kalman gain:

$$\mathbf{K} = \mathbf{B}\mathbf{H}^{T}(\mathbf{H}\mathbf{B}\mathbf{H}^{T} + \mathbf{R})^{-1}$$

= $(\mathbf{H}^{T}\mathbf{R}^{-1}\mathbf{H} + \mathbf{B}^{-1})^{-1}\mathbf{H}^{T}\mathbf{R}^{-1},$ (3)

where the first and second line are the so-called *m*- and *n*-forms, respectively (whose equivalence is shown, for instance,
in Chapt. 4 of Rodgers, 2000). The matrix **B** is the uncertainty covariance of the background state (it is calculated as the covariance of the different ensemble runs and thus captures the uncertainty of the model forecasts). Its inverse (**B**⁻¹) is in the following also referred to as the background knowledge information matrix (it is a measure for the knowledge about the atmospheric state including the statistical dependency of different atmospheric state variables). The matrix **R** is the uncertainty covariance of the observational state (it captures the uncertainties of the observations). If we substitute in Eq. (2) **K** by the
second line of Eq. (3) and *y* by **H***x* (the observation *y* is the actual atmospheric state *x* mapped to the observational domain) we get:

$$\boldsymbol{x}^{a} = \boldsymbol{x}^{b} + (\boldsymbol{H}^{T}\boldsymbol{R}^{-1}\boldsymbol{H} + \boldsymbol{B}^{-1})^{-1}\boldsymbol{H}^{T}\boldsymbol{R}^{-1}\boldsymbol{H}(\boldsymbol{x} - \boldsymbol{x}^{b}),$$
(4)

which reveals that the Kalman filter weights the impact of the background and the observation on the analyses reciprocally according to their respective uncertainties. More details on the used LETKF settings, like the localization, the covariance inflation or ensemble size choice, are given in Text S2 of the supplement of Toride et al. (2021).

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Our assimilation experiments use observations of specific humidity (q), atmospheric temperature (T) and the isotopologue ratio of water vapour (δ D) at about 550 hPa, which is the pressure level, where the MUSICA IASI products have generally a very good quality (high sensitivity and low uncertainty). An overview on the performed different assimilation experiments is given by Table 2.

160 2.3 Evaluation of the analyses quality

In the assimilation step the ensemble members are corrected according to the information provided by the observation. This results in an ensemble of analysed data. For convenience we interpolate the analyses fields to a regular $2.5^{\circ} \times 2.5^{\circ}$ horizontal grid and to 17 vertical pressure levels between 1000 and 10 hPa. We use the mean value of these analysed data (i.e. the ensemble mean values) as representative for the analysis. For a time step *i* and a location *j* this ensemble mean is

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$$\bar{x}_{i,j} = \frac{1}{N_{\text{ens}}} \sum_{m=1}^{N_{\text{ens}}} x_{m_{i,j}},$$
 (5)

where $x_{m_{i,j}}$ is the ensemble member m at time step i and location j. For each location and time step (each event) we calculate the difference of the ensemble mean and the nature data.

$$\Delta_{i,j} = \bar{x}_{i,j} - x_{n_{i,j}}. \tag{6}$$

This $\Delta_{i,j}$ captures the uncertainty for the single event corresponding to time step *i* and location *j*. This is what we want to evaluate.

Table 2. Table summarizing the different assimilation experiments used in this study. The column "Assimilated observations" lists the observations used for the experiment, the column "Symbol" shows the symbol used in the following when referring the respective experiment, the column "Corresponding $\Delta_{i,j}$ " shows the symbol used for the corresponding $\Delta_{i,j}$ value, calculated according to Eq. (6), and the column "Corresponding RMSD" shows the symbol used for the corresponding RMSD value, calculated according to Eq. (7).

Assimilated observations	Symbol	Corresponding $\Delta_{i,j}$	Corresponding RMSD
No observations	{}	$\Delta_{i,j}\{\}$	$RMSD\{\}$
q	$\{q\}$	$\Delta_{i,j}\{q\}$	$\operatorname{RMSD}\{q\}$
$T \{T\} \Delta_{i,j}\{T\} \operatorname{RMSD}\{T\}\delta D \{\delta D\} \Delta_{i,j}\{\delta D\} \operatorname{RMSD}\{\delta D\}q$ and T	$\{q,T\}$	$\Delta_{i,j}\{q,T\}$	$\mathrm{RMSD}\{q,T\}$
q and δD	$\{q, \delta \mathbf{D}\}$	$\Delta_{i,j}\{q, \delta \mathbf{D}\}$	$\mathrm{RMSD}\{q,\delta\mathrm{D}\}$
$\underline{T} \text{ and } \delta \mathbf{D}_{\mathbf{x}}$	$\{T, \delta D\}$	$\Delta_{i,j}$ { $T, \delta D$ }	$\underbrace{\mathrm{RMSD}}_{T,\delta\mathrm{D}}$
q , T and δD	$\{q,T,\delta \mathbf{D}\}$	$\Delta_{i,j}\{q,T,\delta \mathbf{D}\}$	$\mathrm{RMSD}\{q,T,\delta\mathrm{D}\}$

Table 3. Table with skill values discussed in this study. The column "Description of skill" outlines what assimilation experiments are used for calculating the skills (the evaluated experiment and the reference experiment, with respect to which the evaluation is performed), the column "Symbol" shows the symbol used in the text when referring the respective skill, and the column "Skill calculation" describes how the skill is calculated according to Eq. (8).

Description of skill	Symbol	Skill calculation
<u>{q}_wrt {}_</u>	fafor	$\frac{\text{RMSD}\{\}-\text{RMSD}\{q\}}{\text{RMSD}\{\}-\text{RMSD}\{q\}}$
$\{q,T\}$ wrt $\{\}$	$\{q,T\}_{th}$	$\xrightarrow{\text{RMSD}\{\}-\text{RMSD}\{q,T\}}{\sim}$
$\{q, T, \delta D\}$ wrt $\{\}_{\sim}$	$\{q,T,\delta D\}_{j,k}$	$\frac{\text{RMSD}\{\}-\text{RMSD}\{q,T,\delta D\}}{\text{RMSD}\{\}}$
$\{T, \delta D\}$ wrt $\{q, T, \delta D\}$, i.e. the q observation impact	$\{T, \delta D\}_{a.T,\delta D}$	$\frac{\text{RMSD}\{q, T, \delta D\} - \text{RMSD}\{T, \delta D\}}{\sim} $
$\{q, \delta D\}$ wrt $\{q, T, \delta D\}$, i.e. the T observation impact	$\{q, \delta D\}_{\{g, T, \delta D\}}$	$\frac{\text{RMSD}\{q,T,\delta D\} - \text{RMSD}\{q,\delta D\}}{\sim}$
$\{q,T\}$ wrt $\{q,T,\delta D\}$, i.e. the δD observation impact	$\{q,T\}_{\{g,T,\delta D\}}$	$\frac{\text{RMSD}\{q,T,\delta D\} - \text{RMSD}\{q,T\}}{\sim}$

We then calculate the root-mean-squares of the $\Delta_{i,j}$ uncertainties (root-mean-squares-differences, RMSD) for all events belonging to a group of events A:

$$RMSD = \sqrt{\sum_{(i,j)\in A} \Delta_{i,j}^2 / \sum_{(i,j)\in A} 1}.$$
(7)

The group of events A can include all events (sum over all time steps and locations) or only selected events that fulfil certain
175 criteria. The RMSD values is a statistically robust metric representing the uncertainty of the analyses data for the events that belong to the group of events A.

From the RMSD values we then determine determine the skill of an assimilation experiment as:

$$Skill = \frac{RMSD\{ref\} - RMSD\{exp\}}{RMSD\{ref\}},$$
(8)

where RMSD{exp} corresponds to the experiment we want to evaluate and RMSD{ref} to the reference experiment with

- 180 respect to which we want do do to do to do the evaluation. So the skill informs about the relative change of the RMSD value obtained from an assimilation experiment with respect to a reference assimilation experiment, i.e. it documents the relative improvement of the analyses data with respect to a reference. We use this the skill value throughout the paper for evaluating the quality of the analyses obtained by the the different assimilation experiments. Table 3 gives an overview on the different kind of skill values that are discussed
- 185 We calculate two different types of skill values. For the first type, we use no data assimilation as the reference assimilation experiment. Here positive values document the relative improvement of the analyses when assimilating observations with respect to using no observations. The first three items in Table 3 correspond to the respective skill values used in this study. Table with skill values discussed in this study. The column "Description of skill" outlines what assimilation experiments are used for calculating the skills (the evaluated experiment and the reference experiment, with respect to which the evaluation is
- For this study, we consider the analyses of the variables specific humidity. For the second type, we use the assimilation of all observations (q), atmospheric temperature (, T), and water vapour isotopologue ratio (, and δD). For these variables we have also observations that are assimilated (see Sect. 2.2). In addition, we evaluate the analyses of variables that describe the water cycle, but we do not assimilate observations of these variables. The analysed atmospheric water cycle variables are vertical velocity (ω), latent heating rate (Q₂) and precipitation rate (Prep). together) as the reference, and estimate the degradation of the analyses quality (or "loss of skill") by removing one type of observation. By doing so, we can quantitatively compare the impact of the different observation types on the analyses quality. In the following we refer to this skill value as the observation impact, which is the higher negative the stronger the respective observation impact. The respective skill values that are discussed in

this study are listed as the three last items in Table 3.

The Q_2 values are calculated according to the budget analysis (Yanai et al., 1973):

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$$Q_2 = -L\left(\frac{\partial q}{\partial t} + \boldsymbol{v} \cdot \nabla q + \omega \frac{\partial q}{\partial p}\right),\tag{9}$$

where L is the latent heat of net condensation, q is the specific humidity, v is the horizontal wind vector, ω is the vertical velocity, and p is the pressure.

We work with <u>daily mean 6 hourly</u> analyses data (for the 30° S - 30° N region) of July and August 2016. The ensemble simulations are made using 96 different initial conditions. Therefore, the The ensemble mean at the beginning of the simulation



Figure 1. Cumulative distribution functions (cdf) of the analysed parameters as obtained from all nature data (black) and from the nature data belonging to cloud-free events (green). (a) For specific humidity $(q)_{2}$ (b) for temperature $(T)_{2}$ (c) for the isotopologue ratio $(\delta D)_{2}$ (d) for vertical velocity $(\omega)_{2}$ (e) for latent heating $(Q_{2})_{2}$ and (f) for precipitation (Prcp). The 50th percentile is indicated by the black line.

210 period represents climatology. The first three weeks of the simulation (beginning of July) is a "spin-up" period, when the analyses gradually approximate the nature data by assimilating enough observations. In order to avoid impacts of this "spin-up" period, respective data are excluded from the the evaluation study, which is then made for the mid-July to end of August period (covering 4040.75 days).

Figure 1 shows the cumulative distribution functions (cdfs) of the variables q, T, δD, ω, Q₂, and Prcp, calculated for the
evaluated period from the nature data for all time steps and locations (black, full data set) and from the nature data belonging to a location and time step for which we can assimilate observations (green, data subset representing cloud-free conditions only). Only for T and δD, the full data set and the cloud-free data subset show similar cdfs. Concerning q, ω, Q₂, and Prcp, the respective cdfs are significantly different. For q all percentiles in the cloud-free data subset are shifter toward drier values if compared to the full data set. For ω, Q₂, and Prcp, all distributions up to 50th percentiles are comparable for the full data set and the cloud-free data subset. This shows that we do not assimilate observations that directly represent the atmosphere of the locations and time steps where these extreme events take place.

3 Overview on assimilation impacts

This section gives an overview on the assimilation impacts. For this purpose we calculate the RMSD values using all events (averaging is performed over all time steps and locations). Equation (7) can then be written as:

$$RMSD = \sqrt{\frac{1}{N_{loc}N_{tim}}\sum_{j=1}^{N_{loc}}\sum_{i=1}^{N_{tim}}\Delta_{i,j}^2},$$
(10)

where $N_{\rm loc}$ is the number of all locations (here we investigate the 30°S-30°N region with a 2.5° × 2.5° resolution, i.e. $N_{\rm loc} = 3600$), and $N_{\rm tim}$ is the number of all time steps (here we work with 246 h time steps covering 40.40.75 days, i.e. $N_{\rm tim} = 40 N_{\rm tim} = 163$).

Because for this calculation data of, continuous time series are used, we cannot assume independence of the different data

230 when estimating the uncertainty of the RMSD values. For this reason, we use the circular block bootstrap method (e.g. Wilks, 2019) for the RMSD uncertainty estimation (the method is also explained in the supplement of Toride et al., 2021). We resample these data 10000 times, which provides a representative distribution of possible RMSD values. Here we use the half of the difference between the respective 15.9th and 84.1th percentile estimates as the 1σ uncertainty of the RMSD value, which we then propagate to the skill values.

235 3.1 Standard observations and single type of observations Skill with respect to no data assimilation

Observations of free tropospheric q and T contain important information on the atmospheric state (among others on the water cycle variables ω , Q_2 , and Prcp) and are available as standard products from different satellite data processors at global scale, daily coverage, and with good precision. Recently, respective observations of free tropospheric δD have become available. We use the experiments that assimilate these observations in order to understand which level of analyses quality can be

240 already achieved by assimilating the easily observable variables to what extent these observations help to constrain the model uncertainty.

First we calculate the skills achieved when assimilating q observations only using no data assimilation as the reference, i.e. here RMSD_{ref} of Eq. (8) is for ensemble means $(\bar{x}_{i,j})$ obtained without assimilating any observation (no data assimilation step). This skill is referred to in the following as the $\{q\}_{\{\}}$ skill (see Table 3). The light blue black lines in Figure 2a-e show

- 245 the vertical dependency of the $\{q\}_{\{\}}$ skills (for Prcp there is naturally no vertical dependency, Fig. 2f). The grey area around the lines indicates the 1σ uncertainty of the skills. Because we assimilate the observations of q at about 550 hPa, highest skill values are generally achieved in the free troposphere around 550 hPa. The dotted lines correspond to the pressure levels at 775 and 350 hPa, which delimits the vertical range we use as representative for the free troposphere and for which we perform dedicated evaluations in Sect.4.
- In a second experiment we assimilate observations of q together with T, which comes very close to an assimilation of relative humidity data. For the evaluation we again calculate the skills with respect to no data assimilation (in the following referred to as the $\{q, T\}_{\{\}}$ skill, see Table 3). The black-magenta lines in Figs. 2a-f give an overview on the achieved $\{q, T\}_{\{\}}$ skills. Compared to the $\{q\}_{\{\}}$ skills, these skills are larger in particular for T around 550 hPa (Fig. 2b), because T at 550 hPa is



Figure 2. Vertical profiles of the skills achieved by assimilating the standard observations (only qor, q together with and T) or only one type of observation (only, and q, T or only, and δD) versus assimilating no observations. Light blue: $\{q\}_{\{\}}$ skill; Black/grey: $\{q,T\}_{\{\}}$ skill; redmagenta: $\{T\}_{\{\}}, \{q,T\}_{\{\}}, \{q,T\}_{\{\}}$

the additional observation we assimilate. The additional assimilation of T has also significantly positive impacts on q and Q_2 above 700 hPa and on δD between 500 and 300 hPa.

By assimilating the standard observations q and T, we achieve skills of up to 60% for q and T around 600 hPa. Also for the other variables (δD , ω , Q_2 , and Prcp) – for which no respective observations are assimilated – we get skills that are often between between 30% and 4010% and 30%.

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In a third and fourth experiment we test the impact when assimilating only q, T or only, and δD observations together. For the evaluation we again calculate the skills with respect to no data assimilation (in the following referred to as the $\{T\}_{\{\}}$ or $\{\delta D\}_{\{\}}$ skills $\{q, T, \delta D\}_{\{\}}$ skill, respectively, see Table 3). The red bright blue lines in Figs. 2a-f give an overview on the achieved $\{T\}_{\{\}}$ $\{q, T, \delta D\}_{\{\}}$ skills. The values are up to 20% for q (Fig. 2a) and up to 10% for further improved if compared to the $\{q, T\}_{\{\}}$ skills: significantly for the δD , ω , Q_2 , and Prep (Figs(Fig. 2e-f), which is significantly smaller than the $\{q\}_{\{\}}$ skill values. Even for T around 550c, the respective δD skill is above 50% at 600 hPa, the $\{T\}_{\{\}}$ skills are only slightly larger 265 than the $\{q\}_{\{\}}$ skills (Fig. 2 b), i.e. even for the pressure level where the observations are assimilated,), but only slightly for the other variables.

3.2 Observation impacts of q, T, and δD

Figure 2 reveals that assimilating qobservations has almost the same positive impact on the , T analyses as assimilating T observations . For the $\{\delta D\}_{\{\}}$ skills (dark yellow lines in Figs. 2a-f)the situation is similar. For and δD observations at about

- 270 550 hPa well constrains the uncertainty of free tropospheric q, T, and δD simulations between about 775 and 350 hPa (skill values up to 60%). There is also a significant improvement for the simulated water cycle variables ω, Q₂and Prep, , and Prep (skill values above 20%). In this subsection, we examine the importance of the skills are of a similar order as the {T}_{} skills and significantly smaller than the {q}_{} skills (Figs. 2a, d-f). For the different types of observation (q, Tanalyses the {δD}_{} skills are smaller than the {T}_{} skills (Fig. 2b) and for the , and δDanalyses it is vice versa (Fig. 2c). For the δD analyses around 550 hPa, the {δD}_{} skill is close to 40%, which is , however, only slightly larger than the respective {q}_{} skill.
 - 3.3 Complementarity of additional observations

The previous subsection reveals that already assimilating the standard observations (, respectively) for achieving this analyses quality.

- For this purpose we use the experiment that assimilates q and T)strongly improves the analyses and that the individual impact of q observations is stronger than the individual impact of , T and , and δD observations (the latter two have a similar impact). In this subsection, we investigate the complementarity of assimilating T D observations together as the reference, i.e. RMSD_{ref} of Eq. (8) is for ensemble means ($\bar{x}_{i,j}$) obtained when assimilating observation of q, T, and δD observations on top of q observations and of assimilating δD observations on top of q and T observationsD together. Then we compare this reference to an experiment for which one observation type has been removed and calculate the respective "loss of skill" values (see
- 285 last three items of Table 3). A large negative "loss of skill" value means that the respective observation is very important for achieving the analyses quality of the reference experiment, i.e. the respective observation has a strong impact on the analyses quality. Our particular interest is in the complementarity comparing the impact of δD , whose observations observations, that have become only recently available on global scale and daily coverage. In order to evaluate the complementarity, we calculate the additional skills using the assimilation of the standard observations (, to the respective impacts of the traditionally used
- 290 <u>observations of q or q together with and T</u>) as the reference, i.e. RMSD_{ref} of Eq. (8) is for ensemble means $(\bar{x}_{i,j})$ obtained when assimilating observation of q or when assimilating observations of q together with T.

Figure 3a-f shows the same as Fig. 2, but for the additional skills. In order to document the values achieved typically for additional skills, we these observation impacts. We first evaluate the additional skills for assimilating observations of T in addition to observations of impact of the q only, i.e. we calculate the skill of assimilating observations of q together

295 with observations, which we determine by calculating the loss of skill when assimilating only observations of T using the assimilation of and δD instead of assimilating all three observation types (i.e. the q observations only as the reference (in the following referred to as the $\{q,T\}_{\{q\}}$ skill observation impact is quantified by the $\{T, \delta D\}_{\{q,T,\delta D\}}$ skill value, see Table 3). The



Figure 3. Same as Fig. 2, but for the skills achieved by assimilating observations on top-Vertical profiles of the standard observations observation impacts (q and T"loss of skill" by removing one observation type from the reference experiment that considers all three observation types). Dark yellow: Magenta: impact of q, represented by the $\{q,T\}_{\{q\}}$ $\{T,\delta D\}_{\{q,T,\delta D\}}$ skill; olivered: $\{q,\delta D\}_{\{q,T,\delta D\}}$ skill; blue: $\{q,T,\delta D\}_{\{q,T\}}$ impact of δD , represented by the $\{q,T\}_{\{g,T,\delta D\}}$ skill. The panels (a)-(f) represent the different atmospheric variables as in Fig. 2.

{q,T}_{{q}} skills q observation impacts are represented by the magenta dark yellow line (and the shaded area is the respective 1σ uncertainty of the skill). We observe that, when adding T as an additional observation, the additional skill is highest for T and close to 40% removing q observations, we loose a lot of skill for all atmospheric variables, i.e. the q observations are important and have a strong impact on all the analysed variables. For the analyses of q the impact is strongest at 500-600 hPa (loss of skill of up to -50%); for other vertical pressure levels it is smaller , but still the impact is smaller with loss of skill values above or close to 20-20% (Fig. 3b). Additional observations of T also improve a). There is also a significant impact of q observations on the analyses of qT, δD, Q₂, ω and Prep (although less than for Tq): the {q,T}_{{q}} {T, δD}_{q,T,δD} skills are close to 15% above 800-20% above 700 hPa for qT (Fig. 3a)and close to 10b), close to -15% around 600 hPa for δD and ω (Figs. 3c+d), above 500 hPa for) and close to -10% for free tropospheric ω and Q₂ (FigFigs. 3e), and d+e) as well as for Prep (Figs. 3f). By significant, we mean that the calculated loss of skill value is smaller than the estimated 1σ uncertainty of the skill, which is represented by the shaded area around the red line.

The green-red line in Fig. 3 represents the additional skills for assimilating observations of δD in addition to observations of

- 310 q, i.e. the skill is calculated for assimilating T observation impact, which we quantify by the "loss of skill" when assimilating only observations of q and δD observations using the assimilation of q observations only as the reference (in the following referred to as the $\{q, \delta D\}_{\{q\}}$ skill instead of assimilating all three observation types (the $\{q, \delta D\}_{\{q, T, \delta D\}}$ skill value, see Table 3). For q, we see a significant skill of about 10% for pressure levels above 500 hPa and for The T, a significant positive skill is only achieved for the 600 observation impact is highest for T. At 500-600 hPa pressure level the respective loss of skill values
- 315 are about -40% and for other vertical pressure levels they are close to -15% (Fig. 3a+b, with significant we mean here that the calculated skill value is larger than the estimated 1σ uncertainty of the skill, which is represented by the shaded area around the green line). For b). The *T* observations have also a significant impact on the analyses of *q*, δ D, the additional skill is clearly significant and up to 25% around Q_{2} , ω and Prcp (although less than for *T*): the $\{q, \delta D\}_{\{q,T,\delta D\}}$ skill values are close to -10%above 600 hPa, and typically above 10% for other the pressure levels above 400 hPa for *q* (Fig. 3c), which is reasonable
- 320 because the additional observation of a) and close to -10% at 500-700 hPa for δD is well suited for improving the quality of the δD analyses. For ω and Q_2 , the $\{q, \delta D\}_{\{q\}}$ skills are significant over a large part of the free troposphere, but generally smaller than the respective $\{q, T\}_{\{q\}}$ skills (Figs(Fig. 3d+ec). For Prep, the $\{q, \delta D\}_{\{q\}}$ free tropospheric ω and $\{q, T\}_{\{q\}}$ are very similar and close to 10% (Fig Q_2 as well as for Prep the values are still about -5% (Figs. 3f). d-f). However, for Prep this impact is not significant.
- In a final assimilation experiment setup we investigate the additional skills for assimilating observations of δD in addition to observations of loss of skills when assimilating only observations of q and T instead of all three observation types, i.e. we calculate the $\{q, T\}_{\{q,T,\delta D\}}$ skill as a measure for the skill is calculated for assimilating q, T, and δD observations using the assimilation of q and T observations as the reference (in the following referred to as the $\{q,T,\delta D\}_{\{q,T\}}$ skill, observation impact (see Table 3). The overview for the respective additional skills δD observation impact is shown as blue lines in
- 330 FigsFig. 3a-f. Concerning the analyses of T, the additional assimilation of . The strongest impact is observed for the δD observations cannot further improve the analyses quality as already achieved by assimilating observations q and T, i.e. the respective $\{q, T, \delta D\}_{\{q, T\}}$ skills are not significant analyses with respective loss of skill values of close to -40% around 600 hPa, and about -10 to -30% for other pressure levels above 400 hPa (Fig. 3b). For c), which is reasonable because observation of δD are important for achieving a high quality of the δD analyses. Concerning the q and T analyses, the
- 335 $\{q,T,\delta D\}_{\{q,T\}}$ are weakly positive and significant between 400 and 800 δD observations have a significant impact above 500 hPa (skills are generally below 5%, Fig. 3afor 900-500 hPa the loss of skill values are about -5%). For lower pressure levels the δD , the $\{q,T,\delta D\}_{\{q,T\}}$ skills are as large as the $\{q,\delta D\}_{\{q\}}$ skills (up to 25% around 600 hPaobservation impact on the *q* and *T* analyses is not significant (the loss of skill value is smaller than the estimated uncertainty, Fig. 3e), i.e. the δD observations are much more important than the *T* observations for improving the analysis quality of $\delta Da+b$). For ω and Q_2 ,
- 340 there are weak significant the δD observation impact is small and not significant ($\{q, T\}_{\{q, T, \delta D\}}$ skills of about 5% between 600 and 700 hPa (Fig-2.5% only, Figs. 3d+e)and for Prep, the skill is close the 10% and weakly significant (. For Prep, we observe a loss of skill value of -5%, which suggests that the δD observation impact on the Prep analyses is slightly stronger than the respective T observation impact; however, it is not significant (compare red and blue lines in Fig. 3f). This means that

 δD observations provide information on top of q and T observations, that is useful for further improving the quality of the ω , 345 Q_2 , and Prep analyses.

4 The complementarity of δD observations

The overview study of the previous section reveals a positive (although weak) impact due to the additional assimilation of that the δD observation impact is overall weak and generally much smaller than the respective impacts of the *q* and *T* observations. Theoretically the δD data contain unique information on phase transitions, i.e. it might be expected that δD observations can in particularly particular improve the quality of the analyses for atmospheric conditions that involve strong and/or repeated cycles of condensation (or evaporation) processes. In this section we examine the adequacy of this hypothesis in more detail and focus on the analyses of data averaged over a free tropospheric pressure range (775-350 hPa, indicated by the dotted lines

in Figs. 2 and 3).

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4.1 Analyses quality and atmospheric latent heating vertical velocity

355 If water condenses latent heat is released to the atmosphere and for the evaporation of water energy is needed (heat is removed from the atmosphere, i.e. negative latent heating) For vertically unstable atmospheric conditions repeated cycles of condensation and evaporation take place. For this reason we can use the latent heating rate (Q₂examine the aforementioned hypothesis by investigating the dependency of the δD observation impact on atmospheric vertical stability and we use the mass weighted average between 775 and 350 hPa of vertical velocity (free tropospheric ω) as a proxy for phase transitions atmospheric vertical stability.

Figure 4 depicts the dependency of the free tropospheric analyses errors (the $\Delta_{i,j}$ values, see Eq. (6)) on the free tropospheric latent heating rate ($Q_2 - \omega_c$ as simulated by the nature run). As in Figs. 2 and 3, we investigate the analyses of the atmospheric variables $q, T, \delta D, \omega, Q_2$, and Prcp. We examine the low latitudes (30°S - 30°N, with a 2.5° × 2.5° horizontal resolution) for 40 days with a 6 hourly time resolution, i.e. in total we have 144000-586800 events. In order to visualize the

- 365 distribution of these large amount of data points, we calculate the data densities as follows: we generate 60 equidistant $Q_2 \omega$ bins covering all occurring $Q_2 \omega$. Then we calculate the density distribution of the $\Delta_{i,j}$ values in each $Q_2 \omega$ -bin and sum up the number of data points belonging to the highest $\Delta_{i,j}$ densities until we consider 98% of all the $\Delta_{i,j}$ values occurring for the considered $Q_2 \omega$ -bin. These 98% areas are depicted in Fig. 4. The grey filled area represents the $\Delta\{\}$ distribution (i.e. for the $\Delta_{i,j}$ values when no observations are assimilated), and the magenta and blue lines the 98% contour lines for the $\Delta\{q, T\}$
- and $\Delta\{q, T, \delta D\}$ distributions (i.e. for the $\Delta_{i,j}$ values achieved when we assimilate q and T observations and q, T and δD observations, respectively).

Figures 4a-c show the distributions of the $\Delta_{i,j}$ values for the variables q, T, and δD . There is a weak correlation between the analyses uncertainties and the Nature(ω) data, i.e. the $\Delta(q)_{i,j}$ values tend to be positive for stable atmospheric conditions (positive ω) and negative for unstable atmospheric conditions (strongly negative ω). A weak dependency is also observed in

375 $\Delta(T)_{i,j}$ and to an even smaller extent in $\Delta(\delta D)_{i,j}$: in both cases the largest positive values occur for stable conditions (positive



Figure 4. Dependency of the free tropospheric analyses errors (mass weighted averages between 775 and 350 hPa) on the free tropospheric heating rate vertical velocity (Nature(Q_2)Nature(ω)). Shown are the areas that contain 98% of all the $\Delta_{i,j}$ values for a given Nature(Q_2) Nature(ω) value. Grey: 98% area for no data assimilation (the $\Delta\{\}_{i,j}$ data distribution). Magenta line: 98% contour line for the $\Delta\{q, T\}_{i,j}$ data distribution. Blue line: 98% contour line for the $\Delta\{q, T, \delta D\}_{i,j}$ data distribution. (a) for specific humidity (q), (b) for temperature (T), (c) for the isotopologue ratio (δD), (d) for vertical velocity (ω), (e) skill-for latent heating (Q_2), and (f) for precipitation (Prep-e).

 ω) and negative values are more frequent for unstable conditions (negative ω). The assimilation of q and T observations approximates the $\Delta_{i,j}$ values to the respective Δ -zero lines. Concerning the q analyses the additional assimilation of δD observations further reduces the uncertainty in particular for strongly unstable conditions (for highly negative Nature(ω) the blue contour line better approximates the Δ -zero line than the magenta contour line, Fig. 4a). For T the additional impact when assimilating δD observation seems also to be slightly larger for unstable conditions (Fig. 4b). For δD the additional impact of assimilating δD observations is most pronounced for stable conditions (blue contour lines better approximates the Δ -zero line

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than the magenta contour for positive ω values, Fig. 4c). When no observations are assimilated, the latent heating events strength of atmospheric stability can hardly be identified and the Q_2 uncertainty ω error is almost as large as the actual $Q_2 \omega$ value (the Δ {} distribution in Fig. 4e d aligns very closely with the black dashed anti-diagonal diagonal). The Δ {q,T} and Δ { q,T,δ D} distributions dissipate from the anti-diagonal diagonal and approximate better the Δ (Q_2) Δ (ω)-zero line. This reduction of the Q_2 uncertainty is significantly ω uncertainty is more

pronounced for the $\Delta\{q, T, \delta D\}$ than for the $\Delta\{q, T\}$ distributions, and largest for high actual $Q_2 \omega$ values. However, despite the significant correction, the uncertainty is still largest for the highest $Q_2 \omega$ values. This means that, although the events of strong latent heating vertically unstable atmospheric conditions can be much better identified by assimilating the observations, the absolute strength of the heating instability is still underestimated.

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For the analyses of vertical velocity (ω latent heating (Q_2 , Fig. 4de) and precipitation rate (Prcp, Fig. 4f), the results are very similar to those of $Q_2\omega$: without assimilating any data (Δ {} densities), the analyses are very uncertain for high heating rates (distribution of $\Delta_{i,j}$ values is far away from the respective Δ -zero lines). Actually vertical velocity, precipitation rate, and heating rate are strongly correlated, which means that the events with strong upward motion of air latent heating and/or with high precipitation rates are almost not identified. By assimilating q and T observations, this these uncertainties can be strongly reduced. A further significant reduction (in particular for events when the uncertainty is very high) can be achieved by assimilating δ D observations in addition to the observations of q and T.

Figures 4 a-c show the distributions of the $\Delta_{i,j}$ values for the variables

4.2 The unique δD assimilation impact

- 400 Figure 4 suggests that when assimilating q, and T, and together with δD . There are only weak correlations between the analyses uncertainties and the Nature(Q_2) data. Although the $\Delta(q)_{i,j}$ values tend to be positive for negative heating rates and negative for positive heating rates, this dependency is much weaker as what is observed for ω , Q_2 , and Prep. A rather weak dependency is also observed in $\Delta(T)_{i,j}$ and to an even smaller extent in $\Delta(\delta D)_{i,j}$: in both cases the largest positive or negative values occur for negative Q_2 . The assimilation of we get smaller analyses uncertainties for unstable atmospheric conditions
- 405 than when only assimilating q and T observations approximates the $\Delta_{i,j}$ values to the respective Δ -zero lines. Concerning the q analyses the additional assimilation of δD observations further reduced the uncertainty in particular for events with high latent heating rates (for high Nature(Q_2) the blue contour line better approximates the Δ -zero line than the magenta contour line, Fig. 4a). For T the additional impact when assimilating δD observation seems also to be slightly larger for high positive or negative heating rates (Fig. 4b). For δD an the additional impact of assimilating δD observations seems to be largely
- 410 independent on the heating rates (blue contour lines better approximates the Δ -zero line than the magenta contour for all Q_2 values, Fig. 4c).

4.3 The unique δD assimilation impact

In order to better quantitatively document the dependency between the latent heating rate and the additional impact of assimilating δD observations, we investigate in this subsection how the skills. In this subsection we quantify how the observation impacts depend on the Q_2 nature data atmospheric vertical stability.

Figure ?? 5 shows the abundances of events corresponding to 13 different Q_2 heating rate free tropospheric vertical velocity (ω) bins. We have the highest abundances for heating rates ω values that are close to zero. The three bins corresponding to Nature($Q_2\omega$) values between -8.3 and $+6.5 \times 10^3 - 0.09$ and +0.06 kJPa/(m^2 day) comprise together 108282 s comprise together 441253 events, which is $\frac{108282}{144000} = 75.20 \frac{441253}{586800} = 75.20\%$ of all events. Extreme heating rates vertical instabilities



Figure 5. Abundance chart showing the number of events for each of the 13 $Q_2\omega$ -bins used for classifying different latent heating strengthsatmospheric vertical stability conditions.

- are rare, e.g. the bin bins corresponding to Nature (Q_2) values between +110.5 and $+262.5 \times 10^3 \omega$) values smaller than 420 -0.38 kJPa/(m²day) only comprises 188 s only comprises 5363 events, i.e. only $\frac{188}{144000} = 0.13 \frac{5363}{588800} = 0.91\%$ of all the data points. However, these extreme events are responsible for almost all the intense precipitation events, and it is very important to improve the analyses in a way that allows a better identification of these extreme events.
- We use the binning from Fig. 2.5 for evaluating the skill dependence on Q_2 . Therefore, we calculate the dependence of 425 the observation impacts on ω . As in Sect. 3, we quantify the observation impacts by the loss of skill values according to the last three items in Table 3. We calculate the respective RMSD values according to Eq. (7) for 13 different groups of events A. Each of the groups comprises the events showing heating rates free tropospheric ω values as defined by the 13 different bins of Fig. ??. Then for each of the bins we calculate the skill according to Eq. (8). 5. Because the events with high Q_2 values strongly negative ω values (convective events) are generally individual events occurring on a single day, the respective groups of events do not consist of continuous time series. For the error estimation, we thus assume that the events of a certain $Q_2 \omega$ 430
- group are independent (in the circular block bootstrap method the block size is reduced to only one event).

Figure ?? depicts the skills 6 depicts the observation impacts obtained for the 13 Nature($Q_2\omega$)-bins. The colours are as in Fig. 3. Concerning the additional skill for assimilating T observations on top of The q observations $({q,T}_{q})$ skill observation impact (quantified by the $\{T, \delta D\}_{\{q, T, \delta D\}}$ skill value, represented by magenta colour), we cannot identify a clear dependency

on the Q_2 values. The skills are more or less the same the dark yellow lines) is strong for all analysed variables (as already 435 shown in Fig. 3). The highest q observation impact is found for q analyses at stable atmospheric conditions (loss of skill value of about -60% for the 775 to 350 Nature(ω) > +0.1 hPa altitude range (overview examination of all events together): highest skills of between 20% and 30% are observed for T (Fig. ??b), skills between 10% and 15% are seen for q (Pa/s, Fig. ??a), and for 6a). For the T and δD , analyses the g observation impact is strongest, and for the analyses of ω , Q_2 , and Prepthe skills are generally below 10%, it is weakest for $Nature(\omega)$ close to zero (Fig. ??c-f).

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The $\{q, \delta D\}_{\{q\}}$ skills (additional skills for assimilating δD observations on top of 6b+c and Fig. 6d-f, respectively). In summary, there is no clear systematic dependency of the q observations, represented by olive colour)show a clear dependency on the Q_2 values. For q, observation impact on atmospheric vertical stability.



Figure 6. Dependency of the skills free tropospheric observation impacts (mass weighted averages between 775 and 350 hPa) on the free tropospheric latent heating rate-vertical velocity (Nature(Q_2)Nature(ω)). The skills are calculated for colours (dark yellow, red, and blue) and the Q_2 -bins-panels (a-f) are as depicted in Fig. ??. Shown are the skills achieved by assimilation observations on top of the standard observations3: Magenta: they represent the $\{q, T\}_{q}$ skill; olive: $\{q, \delta D\}_{q}$ skill; blue: $\{q, T, \delta D\}_{\{q, T\}}$ skill. The areas around the lines indicate the 1 σ uncertainties of the skills. (a) For specific humidity (q), (b) for temperature (T), (c) for the isotopologue ratio (δD), (d) for vertical velocity (ω), (e) for latent heating (Q_2), different observation impacts and (f) for precipitation (Prep)different analysed atmospheric variables, respectively.

The red lines represent the T, ω, Q₂, and Prep (Figs. ??a,b,d-f)and for low heating rates (Nature(Q₂) between -15.5
and +36.0 × 10³ observation impact (quantified by the {q, δD}_{{q,T, δD}</sub> skill value). It is strongest for the T analyses at stable atmospheric conditions (loss of skill value of about -60% for Nature(ω) > +0.1 kJPa/(m²day), these skills are smaller than the respective {q,T}_{{q}} skills. The respective Q₂ bins comprise 97% of all examined events, which explains that this finding is similar to the one from the overview plot (s, Fig. 3), except for Prep where in the overview plot there is no clear difference between the {q, δD}_{{q}} and {q,T}_{{q}} skills. However, for the rare occasions of high heating rates (negative or positive, Q₂
below -20 × 10³ kJ/(m²day) or above +70 × 10³ kJ/(m²day)) the {q, δD}_{{q}} skills get as large or even larger than 6b). Concerning the analyses of the other atmospheric variables, the {q,T}_{{q}} skills. For T observation impact shows generally a

weak decrease with decreasing $Nature(\omega)$, i.e. the impact tends to be slightly stronger for stable than unstable atmospheric conditions (Figs. 6a,c-f).

The {q,T}_{{q,T,\deltaD}} skill values quantify the δD (Fig. ??e)the {q, δD}_{{q}} skills are for all Q₂ values larger than the {q,T}_{{q}}
skills, naturally because the assimilation of δD observations is most important for improving observation impact and they are depicted as blue line in Fig. 6. The strongest δD observation impact is found for the δD analyses for stable atmospheric conditions (for Nature(ω) > -0.05 Pa/s the respective loss of skill value is beyond -25%, Fig. 6c).

The {q,T,δD}_{{q,T}} skills (additional skills for assimilating δD observations on top of q and T observations, represented by blue colour)show a clear dependency on the Q₂ values. For the analyses of all the other variables, the skills are highest for
strongly positive or strongly negative Nature(Q₂)values. For Nature(Q₂)close to zero, the skills are very small (often below 5%, except for δD where itis never below 20%). Because D observation impact tends to be stronger for unstable if compared to stable atmospheric conditions (Fig. 6a,b,d-f), thus showing the opposite behaviour as the T observation impact. While for relatively stable atmospheric conditions (Nature(ω) > -0.2 Pa/s), the T observation impact is significantly stronger than the δD observation impact, for unstable conditions (Nature(ω) < -0.4 Pa/s), the δD observation impact becomes as strong as the

- 465 T observation impact or even slightly exceeds it. Because the large majority of events the heating rates are close to zero (see discussion above correspond to relatively stable atmospheric conditions (Nature(ω) > -0.2 Pa/s), the overview study reveals generally small { $q, T, \delta D$ }_{{q,T}} skill values as shown in Fig. 3 reveals an overall weak δD observation impact. However, δD observations become very important for for the infrequently occurring events corresponding to extreme heating rates. Then assimilating the unstable atmospheric conditions, δD observations on top of q and become at least as important as T observa-
- 470 tionsean strongly improve the quality of the analyses of all variables (skills of 15% and above). This is in particularly important for the analyses of ω , Q_2 , and Prcp, because these variables are relatively poorly constrained by the q and T observations. The the most extreme ω , Q_2 , and Prcp values are relatively poorly identified by assimilating only q and T observations; a better identification of these events is achieved by the additional assimilating assimilation of δD (compare the magenta and blue contour lines in Fig. 4d-f).

475 4.3 Discussion and outlook

Different $\{q, \delta D\}$ -pair distributions as seen in the data from the nature run. Shown are the areas that contain 80% of all the data. Dark grey: 80% area when considering all data. Green line: 80% contour line when considering data with $Nature(Q_2) < -10 \times 10^3 \text{ kJ/(m^2 day)}$ and $Nature(Q_2) < +1 \times 10^3 \text{ kJ/(m^2 day)}$. Red line: 80% contour line when considering data with $Nature(Q_2) > -10 \times 10^3 \text{ kJ/(m^2 day)}$ and $Nature(Q_2) < +1 \times 10^3 \text{ kJ/(m^2 day)}$. Red line: 80% contour line when considering data with $Nature(Q_2) > +10 \times 10^3 \text{ kJ/(m^2 day)}$. The dotted grey line is a

- 480 typical tropical Rayleigh line, assuming the following atmospheric condition over the ocean source location: $T = 25^{\circ}$ C, RH = 80%, and $\delta D = -80$. The Moreover, the relatively strong δD observation impact occurs for conditions when there are no observations assimilated: a thermal infrared sensor like IASI offers no free tropospheric products for mid- or high-level clouds, which are typically present for Nature(ω) < -0.4 Pa/s (see Fig. 1d). This suggests that the distinct free tropospheric δD signals caused by atmospheric convection (e.g. Risi et al., 2008; Diekmann et al., 2021b) are well conserved in the δD fields modelled
- 485 for cloud-free locations outside of the convective area. At the cloud-free location the observations can then be exploited by the

assimilation system and allow for improving the analyses of the convective area. The δD observations seems to have a unique remote impact on the analyses of convective regions.

4.3 Simulations versus real world data

In order to link our OSSE study to the real world, we examine similarities and differences of the $\{q, \delta D\}$ -pair distributions

- 490 between the simulated data used in this study and actual MUSICA IASI observational data. The {q,δD}-pair distributions can give valuable insight into the dominating atmospheric processes (mixing, shallow cloud formation and rain-out, convection and extreme precipitation events, Noone, 2012). Figure 7 shows different {q,δD}-pair distributions as obtained from the nature simulation runthese distributions for different data (sub-)sets. Shown are the areas where the {q,δD}-pairs have the highest densities and sum up to 80%-90% (thick contour lines) and 50% (thin contour lines) of all the available data. The
 495 distributions are determined for all 144000 events and for three different subsets of events belonging to three different latent
- heating categories. data.

The dark grey area comprises the area where 80% of all the 144000 data points are located Concerning the OSSE data, the black lines show the distribution for the full nature run data set (586800 events for the studied 40 days and the 30°S-30°N area). The thick grey dotted line represents a typical tropical Rayleigh line (starting conditions: T = 25°C, RH = 80%, and $\delta D =$

- 500 $-80\% c_{\overline{c}}$). We observe that for most of the events the the $\{q, \delta D\}$ -pairs are located above well distributed around the Rayleigh line, indicating that mixing processes very importantly affect the nature simulations (Noone et al., 2011; González et al., 2016)
 - ÷

The green contour line comprises 80% of all the events with strongly negative latent heating rates (Nature(Q_2) below -10×10^3 kJ/(m^2 day)). These subset of events is more affected by mixing between dry /depleted and humid/enriched water

- 505 masses than the average of all events (indicated by a $\{q, \delta D\}$ -pair distribution moving to lower q, but higher δD values). For the subset that comprises the events where Q_2 is negligible (within $\pm 1 \times 10^3$ kJ/(m²day), blue contour line), mixing between dry/depleted and. For dry conditions, the data points tend to lie above the Rayleigh line, which indicates that the respective humidity levels are largely controlled by mixing between humid/enriched end members is also important, but in difference to the green contour lines, here we have a significant number of $\{q, \delta D\}$ -pairs with very low q and low δD . This strong depletion
- 510 together with low humidity suggest that the and dry/depleted end member is dominating the mixed water mass. We found that this is correlated to the events with the highest positive vertical velocity values, i.e. strong downwelling of upper tropospheric air into the middle troposphere. This typically happens in the subtropics and makes the free tropospheric air very dry (very low relative humidities), i.e. neither condensation nor evaporation is happening, and there is no latent heating.

The red contour line comprises the events with strongly positive latent heating rates (Nature(Q_2) above $+30 \times 10^3$ kJ/(m²day)).

515 The $\{q, \delta D\}$ -pair corresponding to these events are generally located water masses (Noone et al., 2011; González et al., 2016) . For humid conditions, the data points tend to be situated below the Rayleigh line, i.e. in the super-Rayleigh domain (Noone, 2012). This strong depletion together with high humidity is caused by recurring evaporation and condensation, i.e. the same water mass experiences several condensation/evaporation processes in the atmosphere. This is a typical free tropospheric $\{q, \delta D\}$ -



Figure 7. Distributions of $\{q, \delta D\}$ -pairs at about 600 hPa as derived from the different data sets. Shown are the contour lines for the highest $\{q, \delta D\}$ -pair data density (thick and thin lines show the areas containing 90% and 50% of all the data, respectively). Black: all data from the nature run; green line: cloud-free data from the nature run, i.e. data used as observations during the assimilation step; pink: nature run data corresponding to unstable atmospheric conditions (Nature(ω) < -0.2 Pa/s). Bright blue: actual IASI observation data. The dotted grey line is a typical tropical Rayleigh line, assuming the following atmospheric condition over the ocean source location: $T = 25^{\circ}$ C, RH = 80%, and $\delta D = -80\%$.

pair signal for convective activity (e.g. Blossey et al., 2010; Diekmann et al., 2021b). According to (e.g. Risi et al., 2008; Blossey et al., 20 520 .

The green contour lines represent the distribution for the atmospheric conditions, for which observations are assimilated in our assimilation studies. These are the cloud-free and stable atmospheric conditions. For this data subset, the $\{q, \delta D\}$ -pairs are mostly located below q = 6 g/kg and above the Rayleigh line, caused by the aforementioned dry air mass mixing.

The pink contour line comprises the events corresponding to unstable atmospheric conditions (Nature(ω) < -0.2 Pa/s). 525 The respective { $q, \delta D$ }-pairs are generally located in the aforementioned super-Rayleigh domain, which suggests convective activity. From Figs. 4 and ??, it is 6 we can conclude that the δD observations made in have the strongest impact on the analyses of the events with Nature(ω) < -0.2 Pa/s, i.e. for events where the { $q, \delta D$ }-pairs show this super-Rayleigh domain, that most importantly improve the analyses. distribution. However, this is very different from the distribution of the assimilated data (green contour lines), revealing again that we do not assimilate observation of convective atmospheres (recall the discussion in 520 the context of Fig. 1)

530 the context of Fig. 1).

These findings enable us to give some outlook on the possibility of improving real world analyses with real world

The {q, δD}-pair satellite data, similarly to the improvements as demonstrated here theoretically with our OSSE. In the MUSICA IASI distribution obtained from actual MUSICA IASI observations is represented by the bright blue contour lines in Fig. 7 for the same period and locations as the OSSE data. This real world data are only available for a cloud-free atmosphere.
 Obviously, there is a significant difference between the {q, δD}-pair satellite data super-Rayleigh conditions are often observed.

They are, for instance, reported for the central Pacific distribution simulated for cloud-free conditions and the actually observed distributions (compare green and bright blue contour lines in Fig. 11 of Schneider et al. (2017) or for West Africa in Fig. 14 of Dickmann et al. (2021a) and in Chapt. 7). Whereas the *q* values in the simulations and the real world observations are very similar, the respective δD values are systematically by about 50-100% lower in the observations if compared to the simulations

- 540 (which is significantly larger than the systematic uncertainty estimated for the MUSICA IASI data after its calibration to in-situ aircraft provide the simulations the large majority of the $\{q, \delta D\}$ -pairs is located above the Rayleigh line, in the observations about half is above and the other half is below the Rayleigh line, i.e. a super-Rayleigh distribution is regularly observed in the real world but very rare in the simulations. Section 6.3 of Diekmann (2021). They are also reported for the isotopologue data Diekmann (2021) documents that the MUSICA IASI $\{q, \delta D\}$ -pair data observed in the context of the West African Monsoon
- are generally located below the Rayleigh line if a convective event was happening shortly before the observation, which highlights the strong link between the regularly observed super-Rayleigh distributions and convective processes. This link seems to be weaker in the simulations, i.e. there the convective processes leave a significantly weaker $\{q, \delta D\}$ -pair signature on the nearby cloud-free atmosphere.

4.4 Outlook on assimilating real world δD observations

- 550 Current state-of-the-art satellite sensors allow the observation of δD with high quality and resolution (e.g. Worden et al., 2007; Frankenberg . Furthermore, $\{q, \delta D\}$ -pair super-Rayleigh distributions have been observed in data sets generated from measurements of the TES (Tropospheric Emission Spectrometer) instrument (e.g. in Sect. 4 of Noone, 2012). This demonstrates, that current state-of-the-art infrared satellite instruments like IASI or TES can deliver water vapour isotopologue data for those conditions, under which they are most impacting on the analyses. The satellite instrument (e.g. Noone, 2012) and in the MUSICA IASI data
- (Schneider et al., 2017; Diekmann et al., 2021a; Diekmann, 2021). As discussed in the previous subsection, these super-Rayleigh distributions contain valuable information on convective processes. In this context, the IASI instrument and thus the MUSICA IASI data set is in particular promisingin this context: measurements of IASI (or IASI-NG, the successor instrument of IASI) offer a very high horizontal and spatial coverage, and are guaranteed at least for the next two decades in the framework of the Metop and Metop-SG missions of EUMETSAT (European Organisation for the Exploitation of Meteorological Satel-
- 560 lites, https://www.eumetsat.int/our-satellites/metop-series), i.e. respective $\{q, \delta D\}$ -pair observations are guaranteed for the next decades.

In addition to the availability of observations linked to the most impacting convective conditionsHowever, in order to optimally use these δD observations in data assimilation approaches, we need atmospheric isotopologue enabled models that capture as much as possible details of a convective atmosphere. The here used model IsoGSM (IsoGSM model used here

with 200×200 km horizontal resolution)-does generally a good job, which has been demonstrated in different model validation studies (e.g. Yoshimura et al., 2008; Schneider et al., 2010). However, a higher horizontal resolution and a convection permitting model setup (instead of parametrising convection as in IsoGSM) might further improve the capability of a model for correctly capturing the real world multi-scale impact of convective events (e.g. Pante and Knippertz, 2019). Fig. 7 reveals that IsoGSM systematically underestimates the impact of convective events on the { q, δ D}-pair distribution of a cloud-free

- 570 free troposphere, which in turn suggests that in our OSSE study we might underestimate the real remote impact of δD observations on convective events. For achieving the optimal benefit from the real world δD observations via a data assimilation approach, improving the modelled linkage between convective processes and the free tropospheric $\{q, \delta D\}$ -pair distribution might be an important next step. In this context, the ongoing development of including water isotopologue simulations into different highly resolving models also used for operational weather forecasting (e.g. Pfahl et al., 2012; Eckstein et al., 2018)
- 575 (e.g. Pfahl et al., 2012; Eckstein et al., 2018; Tanoue et al., 2023) is very encouraging. In future work the LETKF assimilation approach could be used together with a model resolution that comes close to the horizontal resolution of the MUSICA IASI data (10-20 km). Then the model might A higher horizontal resolution and a convection permitting model setup (instead of parametrising convection as in IsoGSM) might further improve the capability of a model for correctly capturing the real world multi-scale impact of convective events (e.g. Pante and Knippertz, 2019) and thus better capture many details of the
- 580 convective atmosphere and moreover, all MUSICA IASI observations could be used (no averaging/aggregation onto a coarser model grid would be required). On the other hand, using an Ensemble Kalman Filter together with a highly-resolving model is computationally very expensive, because the high resolution simulations have to be performed for all the different ensemble members, convective processes (including the simulation of super-Rayleigh distributions).

5 Summary

- 585 We evaluate in detail the quality of the analyses of low latitudinal free tropospheric specific humidity (q), temperature (T), and water vapour isotopologue ratio (δD) , as well as of the three water cycle variables free tropospheric latent heating rate $(Q_2 \text{vertical velocity}(\omega))$, free tropospheric vertical velocity (ω latent heating rate (Q_2)), and precipitation rate (Prcp). We investigate the impact of assimilating free tropospheric specific humidity and temperature (which can be easily observed by many different techniques) and the possibility of further improving the analyses by additionally assimilating free tropospheric water 590 isotopologue data (δD) , for which nowadays also reliable observations with good horizontal and temporal coverage exist. We
 - assume that the observations are only available for cloud-free conditions.

First, we make a statistical overview overall evaluation considering all locations and time steps. The assimilation of q and T observations strongly improves the analyses data quality of q and T with skill values of up to 60%, if compared to no data assimilation. Concerning the analyses of the other variables (δD , ω , Q_2 , and Prcp) we also achieve a strong improvement with skill values of 30%-4010%-30%. Assimilating δD on top of q and T does does strongly further improve the analyses of δD

(very strongly, with additional skills leading to a skill value of up to 25%)50%). However, the further improvement of the other variables $(q, \text{ of } T, \omega, Q_2, \text{ and Prcp})$ is weak and we found that the overall impact of δD observations on the analyses quality is much smaller than the large impact caused by the observations of q and Prep (with additional skills of about 5% and 10%, respectively), and of T and ω (by less than 10% additional skills and an estimated skill uncertainty being slightly larger than

600 the estimated skill value).

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In a second evaluation we investigate how the additional assimilation impact of δD observation impact depends on the occurrence of phase transitions (condensation and evaporation leaves unique signatures on δD)atmospheric conditions. We

use atmospheric latent heating (Q_2 vertical velocity (ω) as proxy for phase transitions. The analyses uncertainty of ω , Q_2 , and Prep is the highest when strong latent heating occurs. We demonstrate that under these conditions the additional assimilation

- 605 of stable and unstable atmospheric conditions. The large majority of events represent stable conditions, for which the δD observations impact is generally negligible; however, for the rare convective conditions with strongly negative ω , the δD on top of q and T has also the largest additional skills (up to 15% for observation impact is significant and for the analyses of the water cycle variables (ω , Q_2 , and Prcp). Because these strong latent heating events are very rare, the strong impact of the additional δD data assimilation is less clear in an overview evaluation, that considers all events (the large majority of events corresponds)
- 610 to heating rates close to zero, where the addition of δD observations have a very weak impact). However, the rare but strong latent heating events even slightly stronger than the *T* observation impact. Although being rare, the very unstable conditions dominate the total yearly-averaged precipitation amounts in many regions and they are also responsible for related to extreme events (e.g. storms, flooding). Better capturing these events in the analyses has thus a strong that are not well captured in the analyses (for these extreme events also the analyses uncertainties of ω, Q₂, and Prcp are very large). This means that the δD observations offer potential for better capturing the events with the largest societal impact.

For high heating rates, the additional assimilation of At the location and time of these unstable atmospheric conditions we assimilate no observations in our study. This indicates to a unique remote impact of elsewhere available δD observations can also further improve on the analyses of free tropospheric q and T. This improvement is high in relative metrics (skill values), but small in absolute values, because already the assimilation of q and T well constrains the q and T analyses. convective

620 events.

The conditions when the additional assimilation of

A super-Rayleigh $\{q, \delta D\}$ -pair distribution means high humidity and at the same time strong HDO depletion, and this distribution is linked to convective processes. We think that the conservation of these depleted signals outside of the convecting area (where it can be measured) is essential for the unique remote impact of the δD observations makes an important and

- 625 unique contribution can be identified in $\{q, \delta D\}$ -pairs located in the on the analyses of convective processes. In this context, we interpret the regular observation of super-Rayleigh domain. These super-Rayleigh $\{q, \delta D\}$ -pair distributions are often observed distributions in the MUSICA IASI satellite data. We interpret this data as a promising indication for the possibility of improving the analyses achieving the unique remote impact of δD in the real world similarly to the improvement demonstrated here with our OSSE our OSSE study for a simulated world. Moreover, the A real world δD assimilation works best, if the used model
- 630 <u>correctly captures the depleted signals of convection. The</u> availability of a growing number of high resolution atmospheric isotopologue enabled models does importantly support importantly supports further progress in this field.

Data availability. The nature data and the ensemble mean data of the different assimilation experiments used for this study are available at https://radar.kit.edu/radar/en/dataset/PJeqXmWlLYSGBkJJ?token=rejHyXlETzWLGeopwLNq. The MUSICA IASI water vapour isotopologue data set is available at https://doi.org/10.35097/415.

- 635 *Author contributions.* Kei Yoshimura developed the isotopologue assimilation framework. Kinya Toride made all the data assimilation experiments. Matthias Schneider developed the ideas for evaluating the analyses improvements achievable by adding δD observations and made the respective calculations, whereby he was supported by Kinya Toride and Farahnaz Khosrawi. Frank Hase, Benjamin Ertl, and Christopher J. Diekmann provided important contribution for the design of this study. All authors supported the generation of the final version of this manuscript.
- 640 *Competing interests.* At least one of the (co-)authors is a member of the editorial board of Atmospheric Measurement Techniques.

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