Biomass Burning Emissions Analysis Based on MODIS AOD and AeroCom Multi-Model Simulations: Implications for Model Constraints and Emission Inventories

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Abstract. We assessed the biomass burning (BB) smoke aerosol optical depth (AOD) simulations of 11 global models that participated in the AeroCom Phase III BB emission experiment. By comparing multi-model simulations and satellite observations in the vicinity of fires over 13 regions globally, we: (1) assess model-simulated BB AOD performance as an indication of smoke source-strength, (2) identify regions where the common emission dataset used by the models might underestimate or overestimate smoke sources, and (3) assess model diversity and identify underlying causes as much as possible. Using satellite-derived AOD snapshots to constrain source strength works best where BB smoke from active sources dominates background, non-BB aerosol, such as in boreal forest regions and over South America and southern-hemisphere Africa. The comparison is inconclusive where the total AOD is low, as in many agricultural burning areas and where background is high, such as parts of India and China. Many inter-model BB AOD differences can be traced to differences in values for the mass ratio of organic aerosol to organic carbon, the BB aerosol mass extinction efficiency, and the aerosol loss-rate from each model. The results point to the need for increased numbers of available BB cases for study in some regions, and especially to the need for more extensive, regional-to-global-scale measurements of aerosol loss rates and of detailed particle microphysical and optical properties; this would both better constrain

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models and help distinguish BB from other aerosol types in satellite retrievals. More generally, there is the need for additional efforts at constraining aerosol source strength and other model attributes with multi-platform observations.

1 Introduction

Aerosol particles emitted from biomass burning (BB) play a significant role in both regional climate and air quality, and in aggregate, can contribute significantly to direct and indirect aerosol climate forcing (e.g., Andreae et al., 2004; Bowman et al., 2009; Gadhavi and Jayaraman, 2010; Ichoku et al., 2012; Lelieveld et al., 2015; Lu et al., 2018; Randerson et al., 2006; Solomos et al., 2015). One of the challenges of representing BB smoke in models that assess their environmental impacts is adequately characterizing the strength of BB sources.

Several approaches have been taken to estimate smoke source strength. A widely used set of methods involves calculating the product of burned area, available fuel load, combustion completeness and emission factors of primary aerosols and precursor gases (Seiler and Crutzen, 1980), where the latter three quantities are determined, to the extent possible, from field observations. Burned area is derived from reflectance changes in satellite imagery (e.g., Chen et al., 2023; Giglio et al., 2006; Roy et al., 2008; Soja et al., 2004; Vermote et al., 2009; Wiedinmyer et al., 2011, 2023), or deduced, with some assumptions, from space-based 4-micron brightness temperature anomaly (designated fire radiative power or FRP) measurements (Chen et al., 2023; Randerson et al., 2012; Van der Werf et al., 2006). Other approaches exploit correlations between FRP and combustion rate (Kaiser et al., 2009; Wooster et al., 2005). The active fire (FRP)-based methods are generally more sensitive to small fires than those relying on burned area estimates; however, FRP is more affected by observational gaps due to sampling frequency limitations and cloud cover, whereas burned area can be assessed for some time after active burning has ceased (e.g., Randerson et al., 2012).

Observations of FRP combined with the aerosol optical depth (AOD) of the smoke plume itself and/or the difference between the 4 and 11-micron brightness temperatures, all obtained from the NASA Earth Observing System's MODerate resolution Imaging Spectroradiometer (MODIS) instruments, have also been used directly to estimate smoke emissions (Ichoku and Ellison, 2014; Ichoku and Kaufman, 2005; Kaiser et al., 2012; Konovalov et al., 2014; Sofiev et al., 2009; Wooster et al., 2005). One implementation of this approach (Ichoku and Ellison, 2014) uses the plume AOD and area, divided by the advection time, estimated from the apparent length of the plume in the MODIS imagery and a wind speed obtained from a reanalysis product, and correlates this quantity with the FRP for multiple cases to derive ecosystem-specific coefficients, which, when multiplied by the observed FRP for individual fires, yields a smoke mass emission estimate.

Inverse modeling has also been applied in efforts to characterize aerosol source strength from large-scale maps of AOD (e.g., Chen et al., 2019; Dubovik et al., 2008; Vermote et al., 2009). With this approach, a version of an aerosol transport model is effectively run in reverse, initialized with a regional or global AOD distribution, to trace back to the locations and strengths of the aerosol sources. However, this approach requires all other aspects, e.g., transport, removal, chemical transformation, source location, and non-BB aerosols, and the assumptions made to constrain aerosol properties and processes to be adequately represented in the model.

Bottom-up inventories are derived from laboriously collected information about primary and secondary aerosol sources, both anthropogenic and natural, to estimate the resulting aerosol accumulation in the atmosphere (e.g., Anderson et al., 2024; Chen et al., 2019, 2023; Liousse et al., 2010; Petrenko et al., 2012; Schultz et al., 2008; Seiler and Crutzen, 1980; Van der Werf et al.,

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2010; van der Werf et al., 2017; Wiedinmyer et al., 2023). This approach has been an essential tool for approximating aerosol loading for times prior to global satellite observations and continues to be a key resource for estimating regional aerosol amounts and types, but it suffers from limited knowledge about source properties, as well as unknown sources that would be missing altogether.

Not surprisingly, there are significant discrepancies among the different estimates of BB aerosol source strength (e.g., Carter et al., 2020; Pan et al., 2020; Petrenko et al., 2012, henceforth P2012). In an effort to bring additional satellite-based constraints to bear on smoke source-strength estimates globally, P2012 adopted a forward-modeling approach that made explicit use of known smoke source locations and compared model-derived estimates of aerosol loading for varying aerosol source strength with satellite-derived AOD rather than using top-of-atmosphere brightness temperature itself to characterize smoke source strength. Region-specific summaries of the relationships between smoke emission rates used in the model and MODIS-retrieved snapshots of AOD for individual plumes were provided. In particular, in the P2012 study, the GOCART model (Chin et al., 2002, 2014) was initialized with varying BB sources as specified by a number of widely used smoke source emission inventories including the Global Fire Emission Database version 3 (GFED3) (Randerson et al., 2012, 2013; Van der Werf et al., 2010). The model was sampled at the time closest to that of satellite overpass, and the near-source AOD of the model was compared with that derived from coincident MODIS observations. One key observation from this study is that the model simulated AOD bias within a given geographic region is systematic, such that the model overestimated, underestimated, or approximately agreed with the observed AOD snapshots for nearly all plume cases within that region. This indicated that it might be possible to apply region- and/or biome-specific adjustment factors to the emission inventories to bring the model into agreement with the observations.

Petrenko et al. (2017; henceforth P2017) greatly expanded the database of smoke cases in P2012, and refined the model-observation comparisons (1) by using scaled AOD reanalysis values from the Modern-Era Reanalysis for Research and Applications (MERRAero) to fill AOD in those parts of plumes too optically thick to derive AOD from MODIS observations and in areas obscured by clouds, (2) by distinguishing to the extent possible the emitted BB aerosol from background aerosol generated by other sources, and (3) by assessing qualitatively the effect of small fires based on emissions from the GFED4.1s database (Giglio et al., 2013; Randerson et al., 2017; van der Werf et al., 2017) to account for fires too small to be detected by the standard, satellite-based methods used for GFED3. This analysis showed that the overall approach works best when both the total AOD and the BB fraction of total AOD are high, which occurs primarily for evergreen or deciduous forest fires. Ambiguities arise when either the background AOD is comparable to or larger than the BB contribution, generally in heavily polluted regions such as northern India and eastern China, or when the total AOD is low, which can occur in regions of sparse vegetation or agricultural burning.

The P2012 and P2017 studies looked only at results from the GOCART model, which provided a consistent set of results that were relatively straightforward to interpret in terms of emission source strength. However, those studies did not address the uncertainties associated with a range of underlying model assumptions that are not constrained by the choice of BB emission source strength alone. The current study expands upon this earlier work by examining the behavior of 11 global models that are part of the AeroCom community. The results highlight some of the leading model assumptions, not well-constrained by measurements, that affect model-simulated AOD even when the emission strength is specified.

AeroCom is an open international initiative providing a platform for multi-model intercomparison and comparisons between observations and models (https://aerocom.met.no/). AeroCom has a long history of performing multi-model experiments in which certain factors are controlled among the model runs, and comparative analysis yields insights into the impact of different model

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assumptions and parameterizations (e.g., Bian et al., 2017; Curci et al., 2015; Gliß et al., 2021; Huneeus et al., 2011; Kim et al., 2019; Kinne et al., 2006; Textor et al., 2006; Tsigaridis et al., 2014; Zhong et al., 2022). These efforts have produced a great many insights into the factors affecting model performance and have made it possible to isolate model-specific factors from issues associated with the external constraints. Following this tradition, and as part of the larger AeroCom Phase III Experiments, the Biomass Burning experiment aims to assess the emission source strength (BBESS) and injection heights (BBEIH) that are used in models, in the context of global satellite-derived constraints, and to identify any model-related issues that arise from the comparisons.

The current paper reports the results of the AeroCom BBESS experiment, for which the same BB emissions inventory from the Global Fire Emission Dataset version 3.1 (GFED3.1) is used in all participating models. The model-simulated results are evaluated region-by-region with the MODIS smoke plume reference database developed in P2012 and P2017. In the process, we also refined the set of geographic regions to better match areas showing distinct smoke behavior, as well as to correspond to the extent possible with the biomass burning regions defined by the GFED (Giglio et al., 2006b). The objectives of this study are: (1) to assess and quantify the AeroCom-model-simulated BB AOD performance as an indication of smoke source-strength provided by the common emissions inventory, (2) to identify regions where the emission inventory might underestimate or overestimate smoke sources based on the comparison between multi-model outputs and the satellite observations, and (3) to assess model diversity and identify underlying causes based on the model-measurement analysis. Note that the effects of using the satellite-derived smoke plume injection heights from the NASA Earth Observing System's Multi-angle Imaging Spectroradiometer (MISR) (Val Martin et al., 2018) on the BB AOD are currently being examined and evaluated in the BBEIH experiment and will be reported separately.

Section 2 describes the model experiment, reviews the individual model characteristics, and summarizes the techniques used to analyze the results. Section 3 presents the key results globally and by region and biome, with model-satellite comparisons based on the observational dataset of BB cases. Section 4 shifts focus from region specific analysis to global BB-related model characteristics and identifies the range of model assumptions for which better observational constraints are needed. Section 5 offers a discussion of the differences among model simulations, even when initialized with the same emissions. The paper concludes with a summary of results and provides a review of the strengths and limitations of the approach.

2 Experiment Overview and Analysis Approach

2.1. AeroCom model experiment

For the AeroCom-III BBESS experiment, eleven models submitted sufficient diagnostics to perform the analysis presented here. Information about model structure, and model settings relevant to BB aerosol simulation for this experiment are listed in Table 1. Additional information on sources of aerosols other than BB smoke, and assumed particle microphysical properties for the 11 models, are included in supplemental tables S1 and S2. The models represent a diversity of spatial resolutions, parameterizations, and assumed particle sizes and properties. For example, horizontal resolution ranges from about 0.5×0.625° (GEOS) to 4°×5° (GEOS-CHEM), and vertical layers from 30 (CAM5) to 85 (HadGEM). Meteorological fields were obtained from different reanalysis products. Although the modelers were asked to distribute BB emission within the model boundary layer, some models chose to prescribe other BB emission injection altitudes (Table 1). For example, CAM5 injected smoke evenly within the lowest 1 km, ECMWF-IFS-CY45R1 distributed the amount within the lowest 2 km, OsloCTM2 incorporated a geographically varying

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injection height with maximum height of 5 km, and ECHAM6-SALSA injected the smoke between 0 and 5 km, depending on the ecosystem.

The year 2008 was selected as the "benchmark year," with prescribed daily biomass burning emission from GFED3.1 for this study. Among the reasons for selecting this emission dataset and simulation time period were to examine the robustness of the analysis done for the single-model simulation presented in P2012 and P2017 and to evaluate the multi-model results with hundreds of satellite-observed cases compiled in these previous studies (summarized in section 2.3). Other aerosol emissions, including emissions from desert dust, fossil fuel combustion, and other anthropogenic and natural sources, were determined by the individual models.

In this study we are using model output from two simulations: a control run (BB1) with all sources including prescribed daily BB emissions from GFED3.1, anthropogenic emissions from a number of external emission inventories (Table S1) chosen by the modeling groups, and natural sources such as dust and sea salt calculated by the models, and a run with the same sources but with no BB emissions (BB0). The difference between BB1 and BB0 allows the BB contribution to be isolated from other contributions to aerosol load. In addition to these baseline simulations, the models performed three perturbation runs with the GFED3.1 daily emissions multiplied by factors of 0.5 (BB0p5), 2 (BB2), and 5 (BB5), respectively, to create an ensemble of four runs where multiples of GFED3.1 represent a range of possible emission estimates for the same fires. The models were run for the full year, preceded by a three-month "spin-up."

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Table 1. Participating models in this study with information relevant to biomass burning aerosols.

Model name	lat°× lon° × #lev	Type	Meteorol. fields	Injection height	Mixing state	Size distribution	OA/OC ratio	Primary references
CAM5 (v5.3)	1.9°×2.5° ×30	GCM nudged by reanalysis	ERA-Interim	Between 0-1 km	Internal (MAM3)	Log-normal for each mode	1.4	Liu et al., (2012); Ma et al. (2013); Neale et al. (2012); Wang et al. (2013); Zhang et al. (2014)
ECHAM6-SALSA (6.1)	1.9°×1.9° ×31	GCM nudged by reanalysis	ERA-Interim	Varying 0-6 km depend. on ecosys.	External	Log-normal	1.4	Bergman et al. (2012); Kokkola et al. (2018); Stevens et al. (2013)
ECMWF-IFS (CY45R1)	T255 (~80km) ×60	GCM nudged by reanalysis	MACC reanalysis	At 2 km	External	Log-normal	1.5	IFS Documentation (2024); Flemming et al. (2015); Morcrette et al. (2009); Rémy et al. (2019)
GEOS (5)	0.5°×0.625° ×72	GCM replay w/ reanalysis	MERRA-2	Within PBL	External	Log-normal	1.4	Bian et al. (2009); Chin et al. (2002); Colarco et al. (2010)
GEOS-CHEM (v9-02)	4°×5° ×72	CTM	GEOS-DAS	Within PBL	External	Log-normal	2.1	Bey et al. (2001); Park et al. (2004)
GISS ModelE-MATRIX	2.0°×2.5° ×40	GCM nudged by reanalysis	NCEP reanalysis	Within PBL	Internal (MATRIX)	Evolving with micro-physics	1.4	Bauer et al. (2008); Schmidt et al. (2014)
GISS ModelE OMA	2.0°×2.5° ×40	GCM nudged by reanalysis	NCEP reanalysis	Within PBL	External	Fixed particle size	1.4	Bauer et al. (2007); Koch et al. (2006); Schmidt et al. (2014); Tsigaridis et al. (2013)
GOCART	1°×1.25° ×72	CTM	MERRA	Within PBL	External	Log-normal	1.8	Chin et al. (2000, 2002, 2007, 2009, 2014)
HadGEM (3)	1.25°×1.875° ×85	GCM nudged by reanalysis	ERA-Interim	Between 0-3 km	Internal	Log-normal	1.4	Bellouin et al. (2013);Mulcahy et al. (2020)
OsloCTM2	2.8°×2.8° ×60	CTM	ERA-Interim	Varying 0-5 km (from RETRO)	Internal (for BB OA & BC)	Log-normal	2.6	(Myhre et al., 2007)
SPRINTARS (5.5)	1.125°×1.125° ×56	GCM nudged by reanalysis	ERA-Interim	Within sigma level > 0.74	External	Log-normal	2.6	Takemura et al. (2000, 2002, 2005, 2009)

2.2 The GFED BB Emissions

GFED is one of the most widely used BB emission inventories in the global modeling community. It is also continuously updated to include the latest findings in BB emission development studies (Chen et al., 2023; Giglio et al., 2013; Randerson et al., 2012, 2017; van der Werf et al., 2017). At the time when the AeroCom BB experiment was proposed, GFED3.1 (Mu et al., 2011; Randerson et al., 2013; Van der Werf et al., 2010) was the latest GFED version available. It was, therefore, used for the model runs performed for the current study. GFED3.1 provides daily biomass burning emissions of CO, SO_2, NO_x, NH_3, VOCs (volatile organic carbon), BC (black carbon), and OC (organic carbon). The map of 2008 annual GFED3.1 emission of OC, the most abundant primary aerosol species emitted from fire, is shown in Figure 1a.

The later version, GFED4.1s, became available after the model runs were performed, and we discuss the expected differences of using the newer GFED dataset in the Discussion section.

The global monthly BB emissions of BC and OA implemented in each model are shown in Figure 1b and 1c, respectively. Unlike the nearly identical BC emissions from all models (Figure 1b), the OC emissions provided by GFED3.1 had to be converted to organic aerosol mass (OA, a.k.a. organic matter or OM) by multiplying OC by an OA/OC ratio that is based on information from various observations. However, in reality, this ratio depends on the chemical age of OA, the particular OA species, and environmental conditions; it therefore can in general have a wide range of values, typically from a little over 1 to well above 2 (e.g., Aiken et al., 2008). As a result, although the same OC emissions are prescribed, the primary OA from BB emissions varies among the models by nearly a factor of 2, with OsloCTM2 and SPRINTARS having the highest values (2.6) and CAM5, GISS, GEOS, HadGEM3 and ECHAM6-SALSA having the lowest (1.4), as listed in Table 1 and illustrated in Figure 1c. Figure 1 also displays that a primary emission peak occurs in July-August when burning tends to favor northern mid-to-high latitudes and the southern subtropics; secondary peaks occur in December-January when burning occurs preferentially in the northern hemispheric tropics and in April when burning takes place mainly in Central America and southern Siberia (see Figure 2, and Giglio et al., 2006a).

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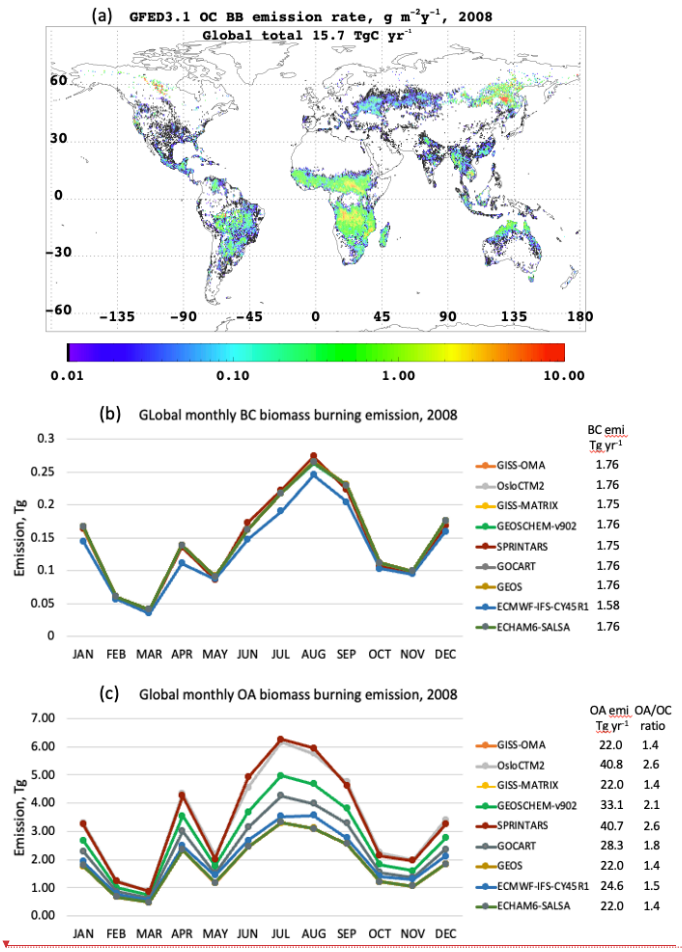

Figure 1: Global biomass burning emissions of carbonaceous aerosols. (a) Annual emission of OC in 2008 from GFED3.1, (b) monthly BC emissions implemented in the 9 out of 11 participating AeroCom models (BB emission emissions were not available from CAM5 and HadGEM3), and (c) same as (b) but for OA that is converted from OC with the OA/OC ratio of model's choice (listed in the legend to the figure). (Note: colored lines in 1b and 1c can overlap for models with identical emissions).

2.3 The MODIS BB plume AOD Dataset

We use the MODIS Collection 6 Level 2 AOD retrievals at 550 nm and 10 km resolution from the Terra and Aqua satellites as the key observational dataset to evaluate and constrain the models. The MODIS BB plume AOD dataset was introduced and refined in P2012 and P2017, respectively. Here, 447 fire/smoke cases in different biomass burning regions that fall within the benchmark year of 2008 are selected as the reference observational dataset, from about 900 identified in P2017. The main criteria for selecting BB cases are detailed in P2012 and P2017; briefly, these include: (1) plumes with at least one linear dimension of 100 km, to be

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useful for global modeling studies with fairly coarse resolution of 1˚ or larger (Table 1), (2) a coordinated pattern of elevated AOD, (3) a visible smoke plume in the satellite imagery, and (4) a fire signal in the MODIS thermal anomalies product (MOD14). The locations and seasonality of the cases in the database are shown in Fig. 2. Note that fire activity in Alaska, Indonesia, and South Australia was rather weak in 2008, so no cases were specified in these regions.

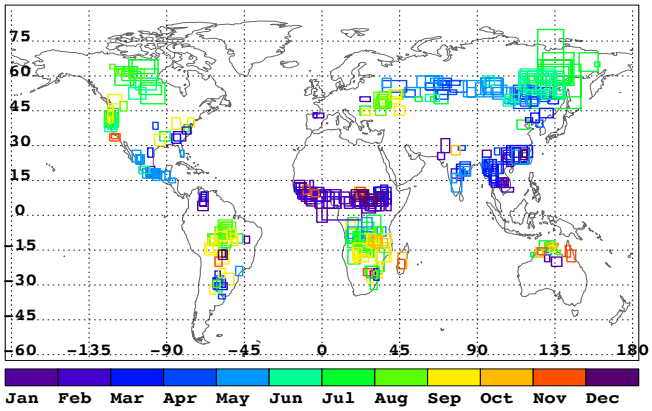

Figure 2: Locations and months of the fire case boxes in this study.

Comparison of the model instantaneous output matched to the snapshots of actual fires around the globe provides a a unique perspective, complementing the usual model-satellite intercomparison that apply some spatial and temporal averaging. This study presents a test of how well and how consistently the models perform in simulating actual fire events. The observational dataset of fire/smoke events at the time they actually occur in different BB regions and seasons provides a way to assess the models, distinct from typical model output analyses. That this study reaches coherent results and comes to some conclusions similar to those of previous studies but using different methods (e.g., Gliß et al., 2021) helps validate the effort. Other conclusions are obtained as well.

There is currently no algorithm, of which we are aware, to differentiate the BB portion of the AOD from the contributions of other aerosol types in the MODIS data (except possibly over dark water, based on assuming coarse-mode is essentially dust or sea salt, and fine-mode is BB or pollution, e.g., Kaufman et al., 2005). Therefore, to estimate BB AOD from MODIS, we first estimate the background AOD value, i.e., AOD from non-biomass burning sources for each case box (defined below), by determining the most frequent mean pixel AOD within the case box over the 16 years (2000-2015) of available MODIS Terra data during pre-burning-season month in that box, and then subtracting this value from all the MODIS AOD values in the box during the BB event, as done in P2017. Before subtracting this "background" values, missing MODIS AOD retrievals within the plumes are filled with MERRAero reanalysis values (Buchard et al., 2015) scaled to retrieved MODIS AOD values in immediately surrounding locations where both MODIS and model values are available. (Details are presented in P2017.)

Of course, this approach has limitations due to interannual variability of burning and the variability of other aerosol sources. There is also the need to correct for possible negatives in the BB AOD values obtained this way, as not all retrieved 10-km pixels are above the historically most frequent AOD background value. We set these to zero BB AOD, to obtain a physically meaningful

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AOD value; this possibly introduces a positive bias into the averaging process, though only the lowest AOD values in the distribution are affected. More detailed analysis of this BB AOD separation approach is presented in 2017; in summary, the "zeroing of negative BB AOD values" has the least effect in forested regions (such as those in group A – see below), and the largest number of negative BB AOD replaced by zero occurs in AUST, followed by NHSA and CEAS_W, which is consistent with the low MODIS AOD values in these regions.

2.4 Biomass burning regions

Based on the analysis in P2017 and the regional characteristics of fires, our analysis in the current study focuses on the same geographical regions. To better associate our analysis with other biomass-burning-focused studies (e.g., Giglio et al., 2006b; Mezuman et al., 2020; Pan et al., 2020; Rabin et al., 2015), we adopt the region names used by GFED (Giglio et al., 2006b), and assign our cases to these regions (Figure 3). In addition, we further divide the BOAS region into eastern (BOAS_E) and western (BOAS_W) subregions, and CEAS into eastern (CEAS_E) and western (CEAS_W) parts, mainly to account for observed differences in burning patterns within the broader GFED regions. In total, 13 regions/subregions are included in the current study. The regions are shown in Figure 3, and the BB cases within each region are displayed as symbols, with different symbol styles assigned to distinctive groups based on the degree of concurrence between the satellite and model BB estimates, as discussed in the next section.

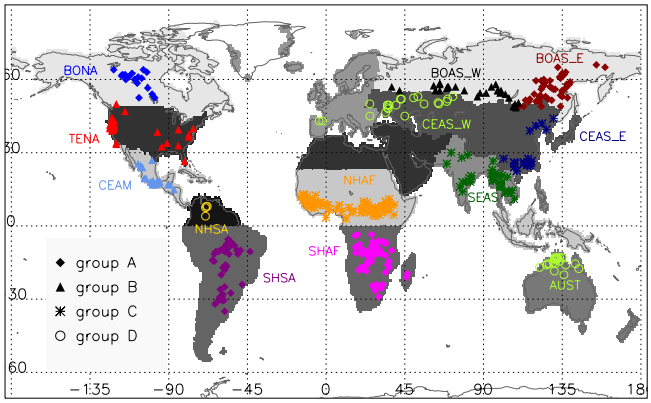

Figure 3: The 13 regions with the BB cases in each region. BONA = Boreal North America, TENA = Temperate North America, CEAM = Central America, NHSA = Northern Hemisphere South America, SHSA = Southern Hemisphere South America, NHAF = Northern Hemisphere Africa, SHAF = Southern Hemisphere Africa, BOAS_W = Boreal Asia West, BOAS_E = Boreal Asia East, CEAS_W = Central Asia West, CEAS_E = Central Asia East, SEAS = Southeast Asia, AUST = Australia. Symbols for BB cases mark the group (A, B, C or D) that the BB region belongs to. The groups of BB regions are explained in section 3.2.

2.5 Comparing average values

We first clarify that all AOD values in this paper refer to the AOD at 550 nm. In order to compare BB emissions and BB AOD between models, we obtain model BB AOD by subtracting results of the no-BB-aerosol simulation (BB0) from the control run with all emissions (BB1). The method for obtaining MODIS BB AOD is detailed in P2017 and is briefly summarized in section 2.3 above. We then use the instantaneous model output closest in time to the satellite observation to calculate case-average values.

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As each rectangular case box is defined by a set of latitude-longitude coordinates, the model output was sampled to include all the grid boxes for which the centers fall within the case box. Average values from MODIS and the models were then compared over the area of the box.

When comparing values in further analysis, we calculate average values in the following ways:

- **Case box average AOD** (also for BB AOD, load, loss, and extinction efficiency) is the arithmetic mean of all AOD values within a case box. For BB AOD, we first subtracted the background AOD (a fixed, pre-determined, case-specific value for MODIS, and the no-BB run for models) from all AOD pixels in the case box to obtain BB AOD, then set any negative BB AOD values to 0, and then averaged BB AOD over the case box.
- **Regional average** is the simple arithmetic mean of all average case values for cases assigned to the region.
- **All case average AOD** (or BB AOD) is the simple arithmetic mean of all average case AOD (or BB AOD) for all 447 cases in the study.
- **Global monthly values** include all grid boxes weighed by area, averaged over a month (used for model-to-model comparisons only).

When working with variables that represent ratios of values (such as model-to-satellite AOD ratios, loss rate, or mass extinction efficiency), the robust mean is often used to exclude any values falling beyond 4 standard deviations of the mean, to discard outliers. This approach ensures that, in regions with very low AOD values, the ratios of a few very small numbers do not skew the regional averages unreasonably. This treatment rejects 0-10% of the case values from contributing to the regional averages.

3 Results

3.1 Comparisons between MODIS and model BB AOD cases over biomass burning regions

Figure 4 shows the spatial distribution of simulated BB AOD relative to the estimated MODIS BB AOD described in section 2, covering all the individual cases for each model. The models are ordered from the highest to lowest overall BB AOD (when all cases are averaged, which is quantified in the "Multi-region Mean" row of table 2). Many common features among the models relative to MODIS appear in Figure 4. For example, the models report generally lower BB AOD than the MODIS estimates, except in some cases in central and southern Africa. However, most do fall within 50% (ratio between 0.67-1.5) of the MODIS-derived values over the boreal region of North America (BONA), southern and parts of central Africa (SHAF, NHAF), northern Venezuela/Columbia (NHSA), and northern Australia (AUST). The model BB AOD simulations tend to be much lower over the U.S. (TENA), Mexico (CENA), western boreal region of Asia (CEAS_W), central and southeast Asia, China (CEAS_E), and India (SEAS), generally by factors of 5 to >10.

These model-to-MODIS BB AOD ratios are enumerated in Table 2 for all models and all regions. To make discerning regional patterns easier, table cells are colored according to the color scheme in Fig. 4. These color clusters in Table 2 emphasize the spatial patterns described above. The third-to-last column of the table contains the multi-model BB AOD mean for the region (mean of model regional means in the corresponding row of the table), showing that models generally tend to output higher or lower AOD in the region, and the last two columns show the standard deviation and the diversity of the values from all the model means in the region, where lower diversity corresponds to greater consistency in model performance in the region. Here and subsequently, we calculate diversity as the ratio of standard deviation of the array and its mean, expressed in percent. The bottom rows of Table 2 contain the standard deviation and inter-regional diversity for each model, showing that some models, e.g., GISS-OMA and

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OsloCTM2, have an overall mean AOD ratio close to unity but higher variation between regions (higher st. dev.), whereas others, such as ECMWF-IFS-CY45R1 and ECHAM6-SALSA, are more consistently biased low across all region, though their relative diversity may be comparable.

The regions are further collected into groups A, B, C, and D as discussed in the next section. A deeper dive into the absolute values of BB variables for each model in each region is available in supplemental figure S3.

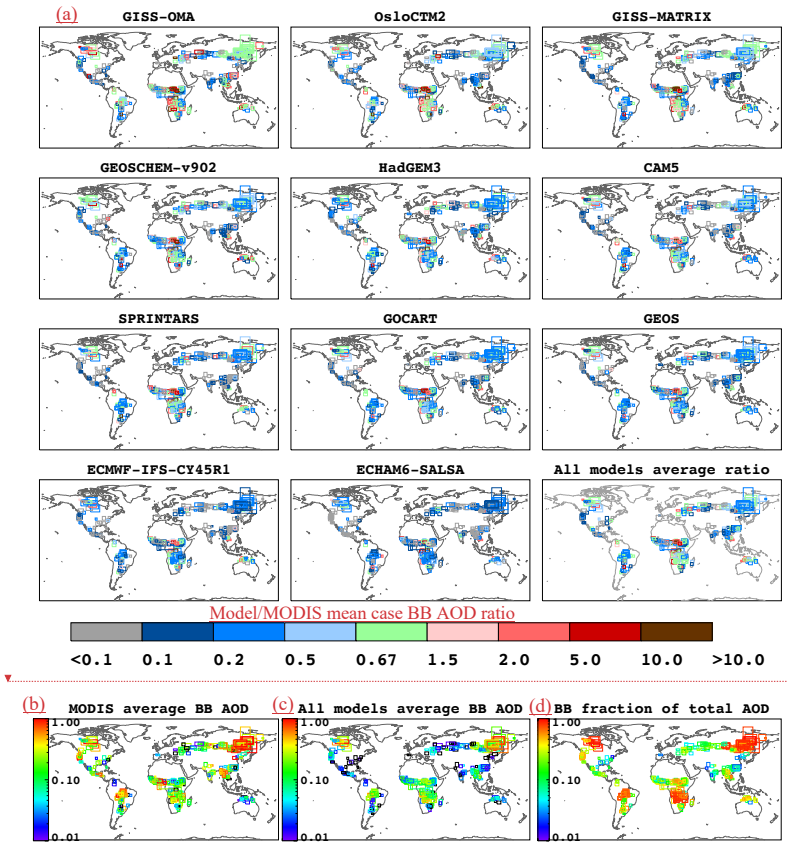

Figure 4: (a) Ratio of model-simulated BB AOD (from model experiment BB1 – BB0) to the BB AOD derived from MODIS, for all individual fire cases for each individual model, and (last panel) the multi-model average of these ratios for all study cases. (b) BB AOD derived from MODIS for reference (c) BB AOD averaged across all models, (d) BB AOD fraction of total AOD averaged across all models for all study cases.

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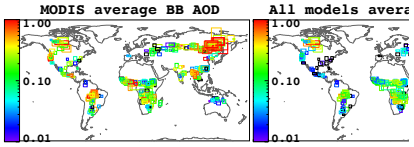

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Table 2: Ratios (r) of model calculated BB AOD to MODIS-derived BB AOD for cases within each of the 13 regions. Colors illustrate the bias of individual model relative to MODIS. The means, standard deviation, and diversity also tabulated. Regions are further grouped into A, B, C, and D based on the degree of the agreement between multiple models and MODIS to help discussion.

GROUP	REGIONS	GISS-OMA	OsloCTM2	GISS-MATRIX	GEOSCHEM-v902	HadGEM3	CAM5	SPRINTARS	GOCART	GEOS	ECMWF-IFS-CY45R1	ECHAM6-SALSA	Multi-model Mean	Multi-model St. Dev	Multi-model Diversity
A	BONA	2.57	1.33	1.67	0.84	0.93	1.45	0.76	1.21	1.49	0.53	0.62	1.22	0.59	48.1 %
A	SHAF	2.15	1.89	1.25	0.99	0.86	0.81	0.78	0.69	0.62	0.50	0.41	1.00	0.56	56.1 %
A	SHSA	0.67	0.76	0.53	0.54	0.49	0.44	0.53	0.41	0.39	0.34	0.23	0.48	0.15	30.6 %
A	BOAS_E	0.99	0.75	0.74	0.69	0.61	0.56	0.73	0.51	0.56	0.30	0.21	0.61	0.22	35.9 %
B	BOAS_W	0.46	0.37	0.48	0.48	0.21	0.16	0.24	0.24	0.29	0.12	0.13	0.29	0.14	47.7 %
B	CEAM	0.12	0.21	0.13	0.12	0.11	0.10	0.08	0.11	0.11	0.11	0.04	0.11	0.04	35.9 %
B	TENA	0.16	0.17	0.15	0.11	0.21	0.13	0.10	0.12	0.09	0.12	0.04	0.13	0.05	35.5 %
C	NHAF	1.02	1.92	1.35	1.02	0.85	1.17	1.16	1.05	1.09	0.56	0.44	1.06	0.39	37.0 %
C	SEAS	0.28	0.24	0.18	0.18	0.16	0.14	0.10	0.12	0.12	0.10	0.10	0.16	0.06	38.3 %
C	CEAS_E	0.65	0.13	0.17	0.14	0.20	0.07	0.07	0.07	0.11	0.06	0.16	0.16	0.17	100.5 %
D	CEAS_W	0.63	0.56	0.46	0.43	0.18	0.30	0.32	0.31	0.35	0.13	0.12	0.34	0.17	48.2 %
D	NHSA	1.44	2.20	0.84	1.08	1.32	1.85	2.02	1.02	0.80	0.63	0.52	1.25	0.57	45.8 %
D	AUST	1.65	2.07	0.91	1.54	1.36	1.23	1.04	1.15	0.80	1.09	0.61	1.22	0.42	34.0 %
Multi-region Mean		0.98	0.97	0.68	0.63	0.58	0.65	0.61	0.54	0.52	0.35	0.28	0.62		
Multi-region St. Dev		0.77	0.80	0.50	0.45	0.45	0.60	0.57	0.43	0.43	0.30	0.21			
Multi-region Diversity		77.9 %	82.5 %	73.9 %	71.3 %	77.9 %	92.5 %	93.0 %	80.4 %	81.9 %	85.2 %	76.1 %			

r < 0.1	0.1 ≤ r < 0.2	0.2 ≤ r < 0.5	0.5 ≤ r < 0.67	0.67 ≤ r ≤ 1.5	1.5 < r ≤ 2	2 < r ≤ 5	5 < r ≤ 10	r > 10

3.2 Separating BB regions into different groups

To compare multiple variables for 11 models over 13 regions comprehensively, we developed a multi-factor region-comparison approach. For example, in P2017 we considered the magnitudes of total MODIS and model AOD, biomass burning fraction of total AOD, and model/satellite BB AOD ratio, to assess how effectively our method of estimating source-strength by comparing modeled and measured AOD can be used in different BB regions.

We begin here by stratifying the regions into groups, according to several observation-based criteria that reflect the level of confidence in our ability to identify the MODIS and the model BB AOD components. The criteria for grouping regions are:

1. ***Total AOD from MODIS***. MODIS AOD retrieval uncertainties are much lower when the AOD is above about 0.1 (Levy et al., 2013). So, under the conditions when MODIS AOD is sufficiently high, if the total AOD discrepancy between the models and MODIS is large, it is likely an issue with the models, such as emission strength or model processes and assumptions. This provides important regional information in the context of the current study. If the total AOD from MODIS is low, then the relative uncertainty in the estimated MODIS BB AOD is expected to be high.

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2. ***Biomass burning AOD fraction from MODIS when total AOD is high***. If the BB AOD fraction (fBB) is also high (i.e., the estimated "background," non-BB AOD fraction is low), we have greater confidence in the MODIS BB AOD obtained by subtracting the estimated background AOD from total AOD. Otherwise, the estimated MODIS BB AOD is more uncertain.
3. ***Total AOD and BB AOD from models.*** If both total AOD and BB AOD fraction from models are relatively high, we are more certain that our constraints can be applied to assess the biomass burning emission source strength, as intended. Otherwise, more issues related to the model simulation of BB and other (background) aerosol types (e.g., pollution, dust, etc.) complicate interpretation of the results.

Figure 5 is a flowchart showing the process we applied to assign regions to particular groups, using the three criteria listed above. Overall, the 13 biomass burning regions in Figure 3 are associated with Group A, B, C, or D based upon the process described in Figure 5.

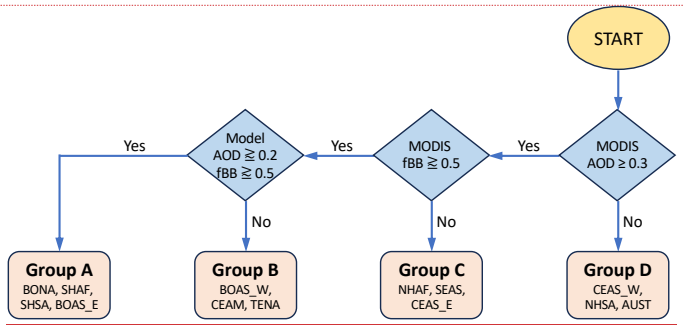

Figure 5: Flow chart of the procedure used to separate the 13 biomass burning regions into four groups having distinct characteristics in biomass burning intensity, fraction of smoke AOD w.r.t. total AOD (fBB), and differences between the quantities from MODIS and the multi-model mean. Regions in each group and their characteristics are shown in Fig. 6.

Quantitative representation of regional all-model means for these criteria is provided in Table 3. To make discerning regional patterns of factor magnitudes in Table 3 easier, we used bold font to show the values of the factors in the regions where they exceed the empirically chosen threshold. As such, the regular and bold fonts in the table represent qualitative criteria favorable for applying the satellite AOD to constrain emission source strength in the models, values above the threshold being more favorable, and below – less.

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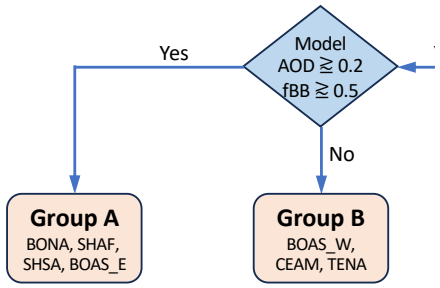

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Deleted: green being more favorable, and yellow – less. Mean values of all available model averages for the region also report the diversity, calculated as the ratio of standard deviation to the mean of all model means for this region, expressed as percentages. The last two columns show the importance of small fire correction in each region based on the approach described in P2017. The closer the number to unity, the less significant this correction is, meaning the emissions in the regions are fairly accurately estimated already and not many small fires were missed in creating the emission inventory.

Table 3: Multi-factor comparison of BB regions

Group	GFED name	P2017 name	# cases	MODIS total AOD	MODIS fBB	total AOD all-model mean	model fBB[a]
A	BONA	Canada	17	**0.34**	**0.57**	**0.31**	**0.86**
	SHAF	SAfrica	66	**0.31**	**0.57**	**0.23**	**0.72**
	SHSA[b]	SAmerica	45	**0.33**	**0.68**	**0.18**[b]	**0.49**[b]
	BOAS_E	Russia (E)	47	**0.65**	**0.73**	**0.38**	**0.75**
B	BOAS_W	Russia(W),Europe	27	**0.37**	**0.57**	0.16	0.23
	CEAM	LAmerica	23	**0.35**	**0.56**	0.10	0.20
	TENA	WUSA + EUSA	37	**0.40**	**0.65**	0.09	0.37
C	NHAF	NCAfrica	79	**0.30**	0.36	**0.43**	0.31
	SEAS[c]	SEAsia + India	37	**0.45**	**0.52**	**0.25**	0.21
	CEAS_E	China	20	**0.58**	0.24	**0.27**	0.06
D	CEAS_W	Europe	22	0.19	0.31	0.14	0.18
	NHSA	N of SAmerica	4	0.06	0.14	0.08	0.26
	AUST	NAustralia	22	0.06	0.58	0.10	0.36
	Values of each parameter larger than the cut-off value (same as in Fig. 5) are in bold			>= 0.3	>=0.5	>= 0.2	>=0.5

[a]fBB is fraction of total AOD attributed to biomass burning aerosol.

[b] Total model AOD and models fBB are rounded up to 0.2 and 0.5 respectively, putting SHSA in group A.

[c] Even though MODIS fBB in SEAS is higher than the cutoff threshold for group C, the complex aerosol mixture in this region makes our confidence in MODIS background AOD values (and thus in MODIS fBB of 0.52) rather low, and the combination of fairly high model AOD and low BB AOD fraction in the models puts this region in group C.

3.3 Broad view of MODIS and model comparisons in biomass burning regions and groups

We present a broad view of MODIS and model comparisons by region in Figure 6. The general model behavior is represented by the multi-model mean values of AOD and BB AOD. We show in Figure 6 top panel the total AOD (stacked bars) as well as BB and background AOD from MODIS (dark red and blue bars, respectively) and the corresponding multi-model mean values (light red and blue bars) averaged for cases that fall within each region. The 13 regions are divided into the four regional groups, designated earlier as A, B, C, and D based on physical criteria (Section 3.2). Also shown are the BB AOD fractions for MODIS and for the model means in dark and light red lines, respectively. Ratios of model mean total AOD, background AOD, and BB

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AOD to the corresponding MODIS quantities are shown in the bottom panel of Figure 6 with solid, dashed, and dot-dashed lines, respectively.

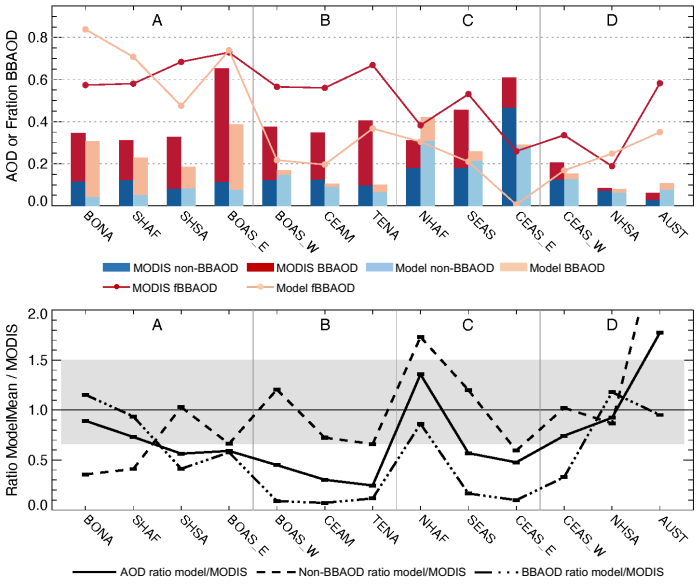
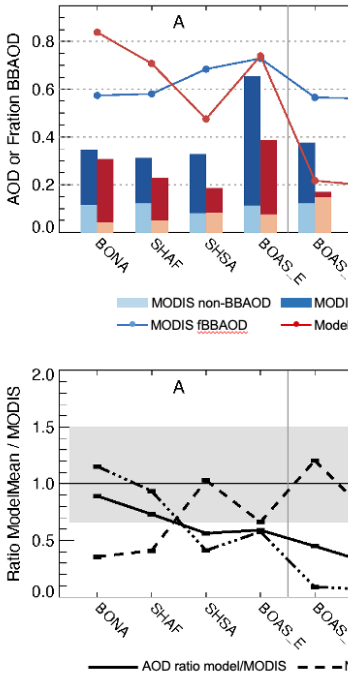


Figure 6: Top: Total AOD from MODIS (stacked dark red and blue-shaded bars) and from multi-model mean (light stacked red and blue-shaded bars), the corresponding BBAOD (red colors) and n on-BB background AOD (blue colors), and their BB AOD fractions (lines), averaged for cases in each of the 13 regions grouped by A, B, C, and D (see Fig. 5 and text). Bottom: Ratios of model mean to MODIS for total AOD (solid line), BB AOD (dot-dashed line, and non-BB background AOD (dashed line). The light gray shade indicates the range of model to MODIS ratio (R) within 50% (0.67 ≤ R ≤ 1.5).

Four regions (BONA, SHAF, SHSA, and BOAS_E) fall into Group A, where AOD and BB AOD fractions from both MODIS and model means are generally high (AOD ≥ 0.3 for MODIS and ≧ 0.2 for the model mean, BB AOD fraction ≧ 0.5 for both MODIS and the model mean). Tree cover dominates in these regions, with few other aerosol sources and typically well-defined fire plumes or major burning events (see also P2012 Table 4, and P2017 Fig 1a).

Unlike Group A, model mean AOD and BB AOD are both dramatically lower than MODIS in Group B by factors of 5-10 for AOD (solid line, bottom panel in Fig. 6) and around 20 for BB AOD (dot-dashed line, bottom panel in Fig. 6). However, for the Group B regions, the non-BB background AOD between MODIS and the model mean agrees to within 50%, with the ratio of model/MODIS for non-BB AOD = 0.67-1.2 (dashed line, bottom panel in Fig. 6). Given the high AOD and > 0.5 BB AOD fractions based on MODIS, and agreement between MODIS and model on background AOD, we are more confident to suggest that the GFED3.1 BB emission is systematically low or has missed significant sources in the group B regions. A high bias in MODIS total AOD and low bias in our MODIS background subtraction possibly also contribute, but this is less likely.



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Deleted: Fires in TENA (USA) are mostly in needle-leaf evergreen tree-covered areas, with many fire cases in complex mountainous terrain where both satellite AOD retrieval and model transport simulations are difficult. BOAS_W is mostly covered by sparce herbaceous and shrub vegetation, plus cultivated and managed areas; CEAM has a combination of fire cases in broadleaved evergreen tree cover and cultivated and managed lands. Small fires that are likely to be missed in the GFED inventory are common in sparsely vegetated, cultivated, and managed lands (Randerson et al., 2012).

Although total AOD from MODIS in Group C is of similar magnitude to that in Groups A and B, the fraction of BB AOD is much lower. Regions in Group C contain BB cases with a variety of trees and shrub/grass/cropland vegetation types but are heavily influenced by either dust (in NHAF) or high pollution (in SEAS and CEAS_E), making the MODIS background subtraction as well as the model-simulated BB contribution to the total AOD more uncertain for this group. Meanwhile, the non-BB background AOD is higher for both MODIS (0.18-0.46) and model mean (0.21-0.31) than for any other group. Such high non-BB AOD fractions reduce the confidence in our BB source-strength estimates in these regions.

In Group D, MODIS total AOD is the lowest among all groups, at 0.06-0.20, and the BB signal is very weak, resulting in estimated BB AOD at 0.015-0.08. As such, small errors in any aspect of the MODIS retrievals can produce large relative uncertainties. Among the regions in Group D, the AUST fire cases are mostly in areas with deciduous shrub-cover, and CEAS_W is dominated by cultivated and managed lands. There are only four cases for NHSA, so statistics for this region are not robust. Although the model mean AOD and BB AOD generally agree with the corresponding MODIS values within a factor of 2, the confidence in our source-strength estimates in the Group D regions is limited because of the low signal in the observations.

From the above analysis, we reach a few conclusions about biomass burning emissions of GFED3.1 used by the models. The biomass burning emissions are most likely to be realistic in Group A regions, but they should be increased by a factor of 2-10 in the Group B regions for the models to come into line with the satellite BB AOD based on the agreement between model and satellite data for the background non-BB AOD. Model results from the BB5 (BB emission increased by a factor of 5) run yield a model-to-MODIS BB AOD ratio of around 0.7 for TENA, 0.6 for CEAM and 2.5 for BOAS_W, suggesting that multiplying aerosol emissions by 2 in BOAW_W and almost 10 for TENA and CEAM would make model and MODIS BB AOD comparable. Because of the high non-BB (background) AOD fractions in Group C and the low total AOD and BB AOD in Group D, we do not have sufficient confidence to draw conclusion about biomass burning emission strength over regions within these groups.

4 Model diversity

4.1 Multi-model means of BB OA quantities in each region

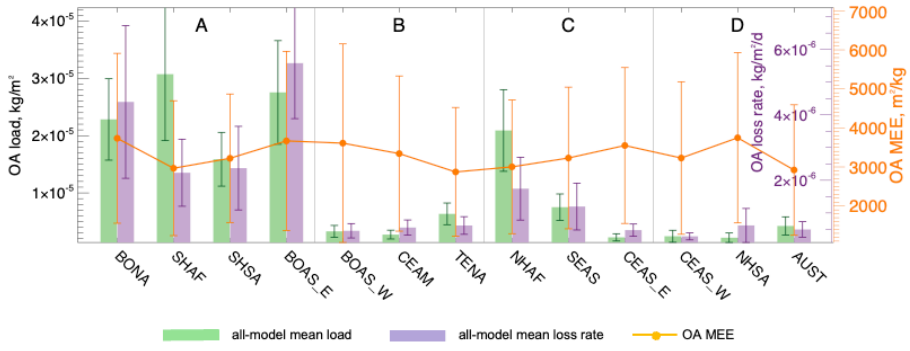

Figure 7: Multi-model mean BB OA load (kg/m²; green bars, left Y axis), multi-model mean BB OA loss rate (kg/m²-day; purple bars, right purple Y axis), and multi-model mean mass extinction efficiency of BB OA (m²/kg; orange points and whiskers, right orange Y

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axis) averaged for cases in each of the 13 regions grouped by A, B, C, and D (see Fig. 5 and text). Whiskers show standard deviations of the multi-model means, respectively.

We show in Figure 7 the multi-model mean quantities of BB OA mass load (green bars), loss rate (purple bars), and the mass extinction efficiency (MEE, orange line) that converts model BB OA mass to BB OA AOD, in all cases of each region. As expected, more and/or larger fires in the regions of group A correlate with higher BB aerosol loads. The residence time, related to OA removal from the atmosphere in each region, can be estimated by dividing the load by the loss rate; from the relative heights of the green and purple bars for each region in Figure 7, we estimate the different residence times of OA among regions. For example, in group A, OA residence time in boreal regions BONA and BOAS_E (higher purple bars than green) is shorter than that in SHAF and SHSA (higher green bars than purple), reflecting the differences in mass balance of smoke aerosol emission, deposition, and transport fluxes in each region. On the other hand, the multi-model mean OA MEEs, calculated as the ratio of BB AOD to BB load here, are similar across the regions in all groups (3000-4000 m^2/kg) despite large differences of BB OA mass or load in these regions. However, despite this region-by-region similarity of mean values (generally between 3000 and 400 m^2 kg^{-1}), MEE diversity among individual models is remarkable, as seen from the large MEE standard deviations in each region. Details of individuals model values by region are provided in supplemental Fig. S2. As much as Fig. 7 demonstrates the general aerosol and fire features in different BB regions and is based on the averages of individual specific BB cases, the characteristics of the models that would describe their performance is explored in the next section based on the global averages of variables.

4.2 Diversity in atmospheric processes and BB optical properties among models

Fundamentally, the column AOD reported by the models is derived from the aerosol mass loading in the atmosphere and the efficiency with which radiation is scattered and absorbed by the mass of a given aerosol species present, i.e., the MEE, under ambient atmospheric conditions. Globally, total aerosol mass load within the models is the result of total source (including primary aerosol emissions and secondary aerosol production), and removal processes (including dry and wet deposition and chemical loss). These factors control aerosol amount and lifetime in the atmosphere. On the other hand, the MEE depends upon aerosol composition, size distribution, shape, particle density, refractive indices, aerosol mixing state, and hygroscopicity that usually depend upon the ambient relative humidity.

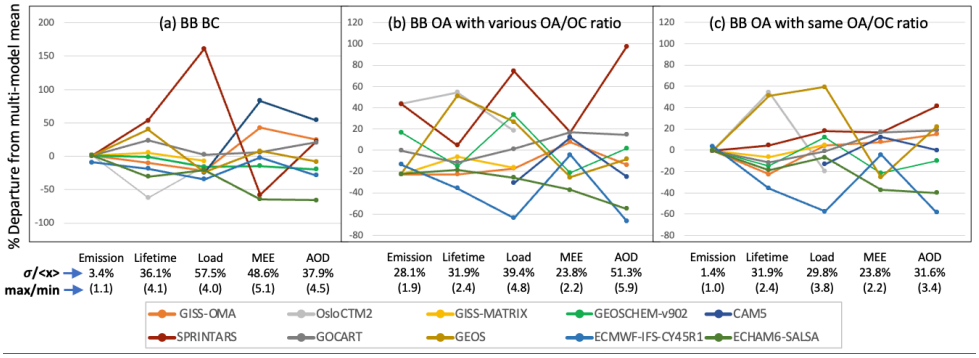

Figure 8: Differences among model-simulated key parameters determining the (a) BC AOD and (b) OA AOD from biomass burning sources expressed as the percentage departure of each model from the multi-model mean values. The quantities are derived from global mean values for 2008. The model diversity of each parameter, defined as % of standard deviation/multi-model mean, for each parameter

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is listed under the corresponding parameter. The spread of values, represented by the ratio of the largest of the model values for the corresponding parameter to the smallest is given in parentheses under corresponding diversity. (c) same as (b) but with OA/OC factors normalized across all models. (Note: emission and lifetime are not available from CAM5, and HadGEM3 is not included here for the lack of all budget terms).

Although the transport processes and regionally varying SOA production rates (Carter et al., 2020) that affect aerosol spatial distribution might explain some of the model differences regionally, comparisons among the global values of the key quantities determining the BB AOD can shed light on the model diversity that underlies regional differences relatively independent of the transport. Here, we compare the individual-model global values of five key biomass burning BC and OA quantities for 2008 in Figure 8: emission, lifetime, atmospheric mass loading, MEE, and BB AOD, expressed as the percentage departure of individual models from the multi-model mean, with the numerical value of the overall spread given below each parameter label. Among these quantities, lifetime is calculated as the aerosol mass load (kg m^{-2}) divided by the loss rate (kg m^{-2} day^{-1}) and MEE (m^2 kg^{-1}) is obtained by the ratio of AOD to mass load (kg m^{-2}). (Note that in taking the global mean of all the BB variables, we subtracted the BB0 from the BB1 model runs and then calculated global means, which effectively compares model characteristics in general, not just those assessed for the specific regions and cases that are considered elsewhere in this study.)

As shown in Fig. 1b, the BB BC emission rates implemented in the models are identical as prescribed from GFED3.1 except ECMWF-IFS-CY45R1, which is 10% lower than all other models, leading to a 3.4% model diversity of BB BC emission (Fig. 8a). In comparison, the diversity of the end-product of BB BC AOD is 38%, which is more than 10 times higher than that of emission. Considering that the AOD is the product of mass load and MEE, it is remarkable that the diversity of BB BC AOD is lower than the diversities associated with both associated mass (58%) and MEE (49%). This can be explained by some compensating factors that can be seen in Figure 8a. For example, SPRINTARS and GOCART have the same BC BB emission and the same BC BB AOD, but the BC load from SPRINTARS is 2.5 times larger and the BC MEE is 2.5 times smaller than the corresponding values for GOCART. Also notably, CAM5 has the highest MEE, making its simulated BC BB AOD the highest among the models, despite moderately low BC BB mass loading. The results in Fig. 8a illustrate that the inter-model difference of BB AOD cannot be explained by the difference in the emission but is driven by the differences in a) BC load, governed by the removal processes (thus lifetime), and b) MEE, determined by the particle physical and optical properties (including size, density, refractive indices, mixing state, hygroscopic growth). Currently, neither of these is well constrained, due primarily to a lack of adequate observations.

The propagation of the inter-model differences from emission to AOD can be further revealed in the BB OA cases (Fig. 8b and 8c). As discussed with Fig. 1c, although all models use the same BB emissions for OC from GFED3.1, the different OA/OC ratios chosen by individual models result in nearly a factor of two difference in OA emissions, producing an inter-model diversity of 28% at emission (Figure 8b). Higher BB emissions generally lead to higher BB AOD globally, but this is only part of the story, as the diversity of BB OA AOD among the models (51%) is much greater that of their corresponding BB emissions overall. Some of the difference can be traced to the disparity of the BB OA emission rates to begin with: OA emission from SPRINTARS and OsloCTM2 is 80% higher than that from ECMWF-IFS-CY45R1 and ECHAM6-SALSA because of the different OA/OC ratios assumed (see Figure 1b). On the other hand, some model behaviors are more difficult to explain. For example, globally, SPRINTARS and OsloCTM2 have the same OA emission rates, but the OA load from SPRINTARS is 60% higher despite having a 50% shorter lifetime than that from OsloCTM2. Among all models, SPRINTARS produces the highest BB OA AOD that is 100% higher than the multi-model mean, whereas ECMWF-IFS-CY45R1 and ECHAM6-SALSA are the lowest, about 60% lower than the multi-model mean.

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To address the inter-model difference of simulated BB OA AOD that is caused by the disparity of the OA/OC ratios, we normalize the BB emission, load, and AOD for OA to a fixed common OA/OC ratio then re-calculate each term displayed in Fig. 8b. The results are compared in Fig. 8c. In this case, the diversity of emission becomes 1.4% (emission from ECMWF-IFS-CY45R1 is 4% higher than all other models) and that for BB OA AOD is reduced to 31.6%, suggesting that using different OA/OC ratios by the participating models in this study contributes to nearly 20% of model diversity of BB OA AOD on global annual basis. Meanwhile, the diversity of the intensive properties, MEE and lifetime, remain the same as in Fig. 8b, as expected.

Another factor that adds diversity to models' treatment of OA is the simulation of secondary organic aerosol (SOA). Previous studies (e.g., Carter et al., 2020 and references therein) suggest that SOA amount varies regionally and is very challenging to estimate both due to large possible variation of the POA and the lack of consistent and conclusive observations to constrain SOA sources. Among the models in this study, all emissions shown in Fig.8 are for primary OA (POA), but BB OA AOD includes both primary and secondary organic aerosol (SOA) in the OsloCTM2, GEOSChem, and CAM5 models. In the attempt to work with total OA output provided by the models, whether the model includes SOA simulation or not, these three models also include SOA in their load and loss estimates, with BB SOA contributing around 5% to loads and AOD of BB OA in both CAM5 and OsloCTM2, and 15% in GEOSChem, with these fractions being much smaller than the SOA fraction of total (BB and non-BB) OA; further, these values varying greatly both seasonally and regionally in all the models. Note that some models such as GOCART and GEOS have SOA produced from non-biomass-burning sources that are included in the total OA but not in BB OA.

In summary, although consistency among the models does not necessarily indicate accurate representation of smoke plume properties and behavior, model diversity does provide at least a lower bound on uncertainty. Individual, significant outliers point to areas where specific questions about model assumptions might be asked, and more generally, observations are clearly needed to better constrain loss mechanisms and MEE.

5 Discussion

The multi-model diversity illustrated in Section 4 above highlights uncertainties of the key quantities in the model simulations of BB AOD, starting from emission and propagating through atmospheric processes and models' implementation of the aerosol physical and optical properties. These model uncertainties, compounded with uncertainty in the separation of MODIS BB and background AOD, limit the confidence with which any method combining satellite-retrieved AOD with model simulations can constrain source strength or other model attributes. However, having identified these limitations, we can at least apply the method in places offering the best conditions for assessing smoke source strength with this approach, i.e., the Group A and possibly Group B regions, with appropriate consideration of the uncertainties involved in these areas. On the other hand, the analysis presented here underlines the limitations of this method, especially in regions with high non-BB aerosol fractions, such as several regions in groups C and D. This calls for the application of satellite measurements with more reliable BB AOD separation methods, such as having multi-angle (e.g., Junghenn Noyes et al., 2022; Kahn et al., 2010) and possibly polarization as well as multi-spectral sensitivity (e.g., Dubovik et al., 2019) in global remote-sensing measurements.

Background AOD subtraction for BB AOD measurement estimation is likely to improve once tighter constraints on satellite-retrieved particle properties (e.g., Junghenn Noyes et al., 2022) become more widely available. Also, current global models may

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The diversity of BC BB AOD is less than that of BC load and MEE, partly due to some compensating factors that can be seen in Figure 8b.

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In our earlier study (P2017), we demonstrated that working with BB AOD rather than total AOD is essential to evaluate BB source strength. This requires MODIS total AOD to be separated into AOD from BB and non-BB aerosol. Until now, we are not aware of a reliable method for isolating the BB component in MODIS AOD. The approach we developed and described in P2017, and also applied in the present study, is rather crude. The multi-model

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best be used to compare coarser-resolution variables, e.g., averaged over larger areas and over weeks or months, rather than comparing individual events. Our study indicates that focusing on snapshots of single events might require obtaining a larger sampling of cases in some regions and/or having models offering finer spatial resolution. Also, there might be other, novel ways to run models that would better isolate specific sources, and thus improve inter-model and model-measurement comparisons. As applied here, the approach works best for large, well-defined smoke plumes in low-background environments.

With all models significantly underestimating both total and BB AOD but matching the MODIS background AOD values within 50% in regions of group B (TENA, CEAM, and BOAS_W), we infer that the aerosol source-strengths input to the models from the aerosol emission inventory are most likely too low in these regions. Regions of group B contain predominantly cultivated lands and mixed vegetation types. Both small fires and other factors likely contribute to the emissions deficit in these regions that are probably severely underestimated in the GFED3.1 emission the models used in this study. Although GFED has evolved since the model runs were performed to the newer version, GFED4.1s that includes aerosol emissions from small fires (van der Werf et al., 2017), BB emission from GFED4.1s of carbonaceous aerosol has increased only modestly (10-40%) in the group B regions (Pan et al., 2020), certainly far from the factor of > 10 increase needed for models to match the MODIS BB AOD. In that regard, some more aggressive BB emission estimates, such as the Quick Fire Emission Dataset, QFED2.4 (Darmenov and da Silva, 2015), which is based on the MODIS fire radiative power (FRP) and optimized with the MODIS observed AOD in the BB regions, could produce closer agreement between model and observations in some of the model-underestimated places, such as regions in Group B, as the QFED2.4 emissions are 4-16 times higher than GFED3.1 in these regions (Pan et al., 2020). Other aspects of model treatment of aerosol microphysical and optical properties, such as size distributions, mixing states, hygroscopic properties, and MEE will also affect the BB AOD calculations, but these effects are expected to be less significant in the BB source regions.

Another emission-related issue is the choice of OA/OC ratios by individual models that vary by a factor of 2 from 1.4-2.6 (Table 1 and Fig. 1c). This range is justified, as available observations show similar ranges of values, such as 1.4-2.1 (Turpin and Lim, 2001), 1.8 (Hand et al., 2012), 1.3-2.1 (Philip et al., 2014), and 2.2-2.5 (Hodzic et al., 2020). In reality, the ratio should change with space and time depending on the type of biome, OA composition (models do not deal with), aging process (models usually do not explicitly account for), and chemical production of SOA, rather than the simple, fixed ratios used by current models. At present, models do not have the capability to resolve these dynamic processes for OA, and the specific measurements required to provide constraints are also lacking.

The current study demonstrates that even with the same BB emissions going into the model, the resultant BB AOD varies considerably in all regions studied. Given the diversity in the results and the high dimensionality of the data, we could not identify any BB region or model that could be used as a benchmark for further comparison (or calibration) with confidence. In the absence of adequate observational constraints on both the particle properties and the processes involved, differences in processes and assumptions make it possible for models with very different aerosol loads and optical properties to arrive at the similar AOD values, and conversely. For future multi-model experiments aiming to understanding the inter-model disparities, we recommend implementing common tracers into all participating models, such as a transport tracer and a removal tracer, to help isolate the causes of model diversity in these key processes, as well as equalizing OA/OC ratios or adjusting for their diversity. Note, however, that this does replace the need for having agreed-upon values and other model assumptions based on actual measurements. In that regard, we also stress the importance of enabling the observability that can provide information to directly infer or indirectly derive aerosol loss rates and MEE, in order to further constrain the model calculated AOD.

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6 Conclusions

We have explored in some detail the strengths and limitations of an approach to constraining wildfire smoke source strength by comparing simulated AOD samples obtained from 11 AeroCom global models with AOD derived from space-based remote sensing. We observe a range of biomass-burning-related results, including significant differences in atmospheric load, lifetime, parameterized particle properties, and the resulting BB AOD among the 11 participating models, even when all models are initialized with the same BB emissions. This often points to differences in model treatment of physical and chemical processes such as plume injection height, aging time, removal mechanisms, and secondary aerosol formation, as well as aerosol microphysical and optical properties such as particle size distributions, mixing state, hygroscopic growth rates, and mass extinction efficiencies. For example, higher assumed ratios of BB OA/OC (Figure 1c) are reflected in higher BB AOD for many models (Figure 8a). Although in-situ observations do show a wide range of OA/OC ratios similar to the model adopted values, the ratio is not static but varies with conditions in space and time, which models are unable to simulate at present. More generally, some models generate lower BB AOD estimates consistently across biomes, compared to others.

Differences also appear between model BB AOD and that estimated from MODIS AOD measurements. Some of these differences are likely due to difficulty in distinguishing background aerosol vs. BB from specific sources in the interpretation of MODIS data. In this study, we estimate background AOD from MODIS statistically, based on retrieved AOD for months just prior to regional burning seasons, assessed over multiple years. Such estimates are quite uncertain, which matters primarily in regions where other aerosol sources or aged smoke dominate, or where the total AOD is low. We associate such regions with Groups C and D in the current study; both model and measurement estimates of BB AOD are more uncertain in these regions, resulting in poor BB source strength constraints using our method.

The most meaningful results from this method are obtained for regions where MODIS-based individual, optically thick smoke plumes occur and background AOD levels are low, such as in the Group A and B regions. The primary factors limiting source-strength-estimation results in regions more favorable to the method include uncertain MEE, aerosol loss frequency, and OA/OC mass ratio assumed in the models, and background AOD subtraction for the satellite AOD values. Model results and comparison with remote sensing data will improve greatly once the requisite measurements are acquired and are applied to constraining the models. In addition to the frequent, global AOD and aerosol type that can be provided by satellite aerosol remote-sensing, this necessitates systematic aircraft measurements of detailed microphysical properties for the major aerosol airmass types near-source as well as during transport and aging. This need is not adequately addressed by current research efforts, but is essential for refining the source-strength estimation approach applied here, and far more generally, for reducing the uncertainty in modeling aerosol effects on climate (e.g., Kahn et al., 2023).

As has also been shown in previous studies, the AeroCom consortium of modelers, especially in collaboration with the AeroSat community that contributes measurement expertise to such investigations, together offer a broad-based, effective, and collegial environment for pursuing advanced studies of aerosols and their impacts on climate. The great variety of assumptions, approaches, and characteristics represented by the models participating in the current study has allowed us to assess the efficacy of some key model choices.

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In summary, the observed, systematic patterns among models, and between models and estimated BB AOD from measurements, show that our approach of comparing a model AOD simulation with satellite-retrieved BB AOD can be useful for constraining the strength of natural BB aerosol sources in some regions, a quantity for which there are few other ways to estimate empirically. It also offers an example of how satellite measurements can help place aerosol-related climate modeling on more solid ground, and how currently lacking aerosol measurements that are best made by suborbital sampling would reduce model diversity and uncertainty, major reasons for acquiring such data.

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Differences also appear between model BB AOD and that estimated from MODIS AOD measurements. Some of these differences are likely due to difficulty in distinguishing background aerosol vs. BB from specific sources in the interpretation of MODIS data.

Moved up [4]: estimate background AOD from MODIS statistically, based on retrieved AOD for months just prior to regional burning seasons, assessed over multiple years. Such estimates are quite uncertain, which matters primarily in regions where other aerosol sources or aged smoke dominate, or where the total AOD is low. We associate such regions with Groups C and D in the current study; both model and measurement estimates of BB AOD are more uncertain in these regions, resulting in poor BB source strength constraints using our method. ¶
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Code and data availability

The data sets used in this work are publicly accessible and referenced in the text.
The GFED3.1 emission data set can be obtained from https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1191.
Output from individual models for Phase III BB experiment are stored in the AEROCOM repository, which can be accessed by request, as described at https://aerocom.met.no/data .
MODIS data sets can be obtained from Level-1 and Atmosphere Archive & Distribution System https://ladsweb.modaps.eosdis.nasa.gov
Coordinates and descriptions of all observational study cases for 2008 are included in the supplemental table S2 in Petrenko et al, 2017, doi/10.1002/2017JD026693.

Author contribution

Mariya Petrenko in close collaboration with Mian Chin and Ralph Kahn designed the experiment, ran the GOCART model, coordinated data collection, analyzed data, and prepared the manuscript. The rest of the co-authors ran the models, formatted and uploaded model output, participated in data analysis discussions, and provided constructive comments on the manuscript.

Competing interests

The authors have the following competing interests:
Xiaohong Liu is a Senior Editor (Subject: Aerosols),
Gunnar Myhre is an Editor (Subject Areas: Aerosols, Climate and Earth System, Clouds and Precipitation, Gases, Radiation),
Philip Stier is an Editor (Subject areas: Aerosols, Climate and Earth System, Clouds and Precipitation, Radiation),
Toshihiko Takemura is an Editor (Subject Areas: Aerosols, Climate and Earth System, Clouds and Precipitation, Radiation),
Kostas Tsigaridis is an Editor (Subject Areas: Aerosols, Climate and Earth System, Gases),
Hailong Wang is an Editor (Subject Areas: Aerosols, Climate and Earth System, Clouds and Precipitation, Dynamics),
Duncan Watson-Parris is an Editor (Subject Areas: Aerosols, Climate and Earth System, Clouds and Precipitation, Radiation).

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