Responses to Editors and Reviewers

We appreciate the reviewers for the constructive and helpful comments, the incorporation of which has led to an improved manuscript. We have revised the manuscript appropriately and addressed the reviewer's comments in our point-by-point responses. As detailed below, the reviewer's comments are shown in black, our responses to the comments are in blue fonts, and the added/rewritten parts are presented in red fonts.

RC1: <u>'Comment on egusphere-2024-1638'</u>, Anonymous Referee #1, 31 Jul 2024 General Comments

The work by Gaojie Chen et al. is a well written study presenting two months of ambient observations in Southeast China and has two main components. First, the work introduces interesting evidence for the formation of ClNO₂ during the daytime by a recently suggested particulate nitrate mechanism. Second, the work discusses the implications for Cl radical production from ClNO₂ photolysis.

The first component has significant implications for the understanding of CINO₂ formation globally. However, a discussion of the traditional metrics of CINO₂ formation, the N₂O₅ uptake rate and CINO₂ yield, are completely absent from the paper. Without a discussion on this topic, the authors' conclusion that "NO₃⁻ photolysis contributed to daytime generation" is severely weakened. In fact, it is based only a machine learning output which gauges the "importance" of NO₃⁻ influence on CINO₂ as well as a linear regression of CINO₂ with NO₃⁻ ×jNO₂×aerosol S_a. In this joint correlation, insufficient evidence is provided to suggest that the photolysis component improves the correlation. As such, I request major revisions in which the authors justify their conclusion by demonstrating that the daytime observations of CINO₂ cannot be explained by traditional N₂O₅ and CINO₂ chemistry.

The second component is based on box modeling from the master chemical mechanism. Aside from a lack of detail on the parametrization used for N_2O_5 uptake and

ClNO₂ yield, the results presented are generally sound and informative. I request that the authors include their choice of parametrization in the main text.

Response: Thanks for your valuable comments. Your review comments and suggestions are benefit to improve the quality and readability of this manuscript. We have revised the manuscript appropriately and addressed the reviewer's comments point-by-point for consideration as below.

The first component: We have added the discussions on the N₂O₅ uptake coefficient (γ (N₂O₅)) and ClNO₂ yield (ϕ (ClNO₂)). Furthermore, we also provided the evidence showing that the daytime observations of ClNO₂ cannot be explained by traditional N₂O₅ and ClNO₂ chemistry. Please refer to our response to Specific Comment 4 for more details.

The second component: In this study, the box model is employed to evaluate the photochemical effects of CINO₂. The levels of CINO₂ in the box model were constrained by the observed levels of CINO₂ from our field measurements. This approach eliminates the necessity for parameterization of N_2O_5 uptake and CINO₂ yield to determine CINO₂ levels. Therefore, the parametrization for N_2O_5 uptake and CINO₂ yield was not utilized in the box model.

Specific Comments

1. Section 2: A description on the handling of N_2O_5 uptake and ClNO₂ yield is absent from the methods. A list of previous papers is provided but it is not clear how these two parameters are handled. Both N_2O_5 uptake and ClNO₂ yield will vary with the parameters investigated here (T, RH, etc.). See McDuffie et al.

McDuffie, E. E., Fibiger, D. L., Dubé, W. P., Lopez Hilfiker, F., Lee, B. H., Jaeglé, L., et al. (2018a). ClNO₂ yields from aircraft measurements during the 2015 WINTER campaign and critical evaluation of the current parameterization. Journal of Geophysical Research: Atmospheres, 123(22), 12994–13015. https://doi.org/10.1029/2018JD029358

McDuffie, E. E., Fibiger, D. L., Dubé, W. P., Lopez-Hilfiker, F., Lee, B. H., Thornton, J. A., et al. (2018b). Heterogeneous N₂O₅ uptake during winter: Aircraft measurements

during the 2015 WINTER campaign and critical evaluation of current parameterizations. Journal of Geophysical Research: Atmospheres, 123(8), 4345–4372. https://doi.org/10.1002/2018JD028336

Response: Thanks for your comment. In this study, the box model is employed to evaluate the photochemical effects of $CINO_2$. The levels of $CINO_2$ in the box model were constrained by observed levels of $CINO_2$ from our field measurements. This approach negates the need for parameterization of N_2O_5 uptake and $CINO_2$ yield to determine $CINO_2$ levels. Therefore, the parametrization for N_2O_5 uptake and $CINO_2$ suptake and $CINO_2$ yield was not utilized in the box model.

Added/rewritten: "Due to the levels of $CINO_2$ in the box model determined by observed levels of $CINO_2$, the parametrization for N_2O_5 uptake and $CINO_2$ yield was not utilized in the box model."

2. Section 3.1: There is no uncertainty presented with the observations in the main text. Please include the uncertainties as the uncertainties in the SI are non-negligible (~ 20 %).

Response: Thanks for your comment. We have included the uncertainties in the main text.

Added/rewritten: "The uncertainties of the ClNO₂ and N_2O_5 measurements were estimated to be ~20 %."

3. Figure 5: What is the interpretation of negative "importance factors"? During the daytime, N₂O₅ is a negative importance factor. Please discuss this in the main text.

Response: Thanks for your comment. In the XGBoost-SHAP model, SHAP values are used to quantify the contribution of each feature to the prediction values, with a negative SHAP value indicating a negative contribution. Generally, negative "importance factors" suggest that the presence of these factors contributes minimally or decreases the predicted values of the dependent variable. Therefore, in our study, negative SHAP values for N_2O_5 during the daytime indicate that the contribution of N_2O_5 chemistry to daytime CINO₂ levels was limited. We have added these discussions.

Added/rewritten: "Generally, negative "importance factors" suggest that the

presence of these factors contributes minimally or decreases the predicted values of the dependent variable. Therefore, in our study, negative SHAP values for N_2O_5 during the daytime indicate that the contribution of N_2O_5 chemistry to daytime ClNO₂ levels was limited."

4. Section 3.2: A discussion on the changes in aerosol content (particulate nitrate) and the effect on N₂O₅ uptake and ClNO₂ yield is absent. Such a discussion is critical here. Traditionally, one expects nitrate to reduce N₂O₅ uptake (the nitrate effect) which would limit the production of ClNO₂. Even so, ClNO₂ could be enhanced in a high nitrate case if the N₂O₅ uptake and ClNO₂ yield are substantially greater than low nitrate air masses. According to Figure 1, there are concurrent enhancements of pCl and pNO₃ during some time periods. As pCl increases the ClNO₂ yield will also increase which would then be (coincidentally?) concurrent with high pNO₃ Even more, these periods of concurrent pCl and pNO₃ appear to correlate with enhanced PM_{2.5} and thus, I assume, aerosol surface area. Increases in surface area would then increase N₂O₅ uptake further promoting ClNO₂ and pNO₃ production. Lastly, Figure 6 suggests that the correlation between ClNO₂ mixing ratio and pNO₃xjNO₂xSa is driven by pNO₃xSa while jNO₂ has a limited or no correlation (panel d). In other words, photolysis appears to have a limited role in the production of ClNO₂.

While the above may be speculative, it is an example of why a lack of discussion on the $CINO_2$ yield and N_2O_5 uptake significantly weakens the arguments made by the authors. As written, I believe there is insufficient evidence to conclude that " NO_3^- photolysis contributed to daytime [$CINO_2$] generation".

Response: Thanks for your valuable comments. The N₂O₅ uptake coefficient $(\gamma(N_2O_5))$ and ClNO₂ yield ($\phi(ClNO_2)$) were estimated using the observational data and parameterization. We derived the values of and $\phi(ClNO_2)$ based on increased rates of ClNO₂ and particle nitrate (NO_3^-) in the field observation (Phillips et al., 2016). Specially, $\gamma(N_2O_5)$ and $\phi(ClNO_2)$ were calculated by Eq. (1) and (2).

$$\gamma(N_2 O_5) = \frac{2 \times \left(P(\text{CINO}_2) + P(NO_3^-) \right)}{c N_2 O_5 S_a [N_2 O_5]}$$
(1)

$$\phi(\text{CINO}_2) = 2 \times \left(1 + \frac{P(NO_3^-)}{P(\text{CINO}_2)}\right)^{-1}$$
(2)

Here, P(ClNO₂) and P(NO₃⁻) represent the production rates of ClNO₂ and NO₃⁻ induced by N₂O₅ uptake, respectively. S_a denotes the aerosol surface area, and c(N₂O₅) is the mean molecular speed of N₂O₅. This method assumes that air masses remain relatively stable, and ClNO₂ and NO₃⁻ were produced through nighttime N₂O₅ heterogeneous uptake. More details on the method are provided elsewhere (Tham et al., 2018; Niu et al., 2022; Phillips et al., 2016). Using the method and selection criteria, we derived γ (N₂O₅) and ϕ (ClNO₂) during the whole measurement period.



Figure 1. The relationship between field-derived $\gamma(N_2O_5)$ (a), $\phi(CINO_2)$ (b) and NO₃⁻ concentrations.

The relative importance of NO₃⁻ derived from the XGBoost-SHAP result indicated that NO₃⁻ could play a vital role in affecting the concentrations of ClNO₂ besides N₂O₅. The high NO₃⁻ concentrations (> 3.7 µg·m⁻³) are accompanied by the elevation of ClNO₂, especially its concentrations reaching 6.2 µg·m⁻³. Previous studies declared that the increased concentrations of NO₃⁻ decreased γ (N₂O₅), which would limit the production of ClNO₂ (Wahner et al., 1998; Mentel et al., 1999; Bertram and Thornton, 2009). As depicted in Figure 1, the dependence of γ (N₂O₅) on NO₃⁻ concentrations follows the nitrate suppression effect, which subsequently hindered further ClNO₂ formation. Therefore, the importance of nighttime NO₃⁻ for ClNO₂ levels is that they are co-products from the processes of N₂O₅ heterogeneous uptake. During our filed observation, compared to low NO_3^- conditions, $CINO_2$ production was enhanced in high NO_3^- conditions. Especially in late autumn, the increased aerosol surface area and N_2O_5 levels enhanced N_2O_5 uptake, which further promoted both $CINO_2$ and NO_3^- production.

To evaluate the contribution of the heterogeneous N_2O_5 uptake to daytime ClNO₂ levels, we calculated ClNO₂ production using Eq. (3), considering the loss of ClNO₂ through photolysis. This method has been employed in a previous study (Tham et al., 2016).

$$\frac{d[ClNO_2]}{dt} = k(N_2O_5)[N_2O_5]\phi(ClNO_2) - JClNO_2[ClNO_2]$$
(3)
$$k(N_2O_5) = \frac{1}{4}cN_2O_5S_a\gamma(N_2O_5)$$
(4)

We used a $\gamma(N_2O_5)$ value of 0.06 and a $\phi(CINO_2)$ value of 1.0 in our calculations, which represented upper-end estimates based on previous field studies (Mcduffie et al., 2018a; Mcduffie et al., 2018b; Tham et al., 2016). However, as shown in Figure 2, a $\phi(CINO_2)$ of 1.0 with a $\gamma(N_2O_5)$ of 0.06 ($\phi\gamma = 0.06$) fails to reproduce the observed levels of daytime CINO₂. A larger $\gamma(N_2O_5)$ of 0.11 would be necessary, but such high uptake coefficients and yields are not supported by the current literature. Therefore, we believe that the observed daytime CINO₂ levels, particularly around noon, cannot be adequately explained by heterogeneous N₂O₅ uptake alone, suggesting the presence of additional sources contributing to the formation of daytime CINO₂.

Notably, the laboratory research had confirmed that NO_3^- photolysis can produce ClNO₂ (Dalton et al., 2023). In our study, machine learning analysis, which gauges the "importance" of NO_3^- in affecting daytime ClNO₂, as well as a linear regression of ClNO₂ against $NO_3^- \times JNO_2 \times S_a$, implied that NO_3^- photolysis contributed to daytime ClNO₂ concentrations at our study site. Although NO_3^- photolysis can produce ClNO₂, this does not necessarily mean that higher photolysis intensity will result in higher ClNO₂ concentrations. It is crucial to understand the dual role of photolysis intensity in determining daytime ClNO₂ levels. Photolysis can contribute to the generation of ClNO₂ by promoting NO_3^- photolysis, while also causing the rapid decomposition of ClNO₂. As reported in California (Mielke et al., 2013), reduced photolysis rates even

increased daytime ClNO₂ levels by decreasing ClNO₂ loss through photolysis. Additionally, in real atmospheric conditions, several factors beyond photolysis influence NO_3^- photolysis, including NO_3^- concentrations and particulate chloride levels.



Figure 2. Comparisons of daytime ClNO₂ levels between observation, and calculation using Eq. (4) with a ϕ (ClNO₂) of 1.0 and a γ (N₂O₅) of 0.06 ($\phi\gamma = 0.06$), or a ϕ (ClNO₂) of 1.0 and a γ (N₂O₅) of 0.11 ($\phi\gamma = 0.11$).

Added/rewritten: "N₂O₅ concentrations only presented a small peak after sunset, and declined to near the detection limit in the daytime. Previous studies indicated that abundant ClNO₂ could be transport from upper atmosphere or air mass, which contributed to ClNO₂ concentrations in the early morning (Tham et al., 2016; Xia et al., 2021; Jeong et al., 2019). However, the explanations for the concentrations of ClNO₂ around noon remained elusive. To evaluate the contribution of the heterogeneous N₂O₅ uptake to daytime ClNO₂ levels, we calculated ClNO₂ production using Eq. (3), considering the loss of ClNO₂ through photolysis. This method has been employed in a previous study (Tham et al., 2016). We used a $\gamma(N_2O_5)$ value of 0.06 and a $\phi(CINO_2)$ value of 1.0 in our calculations, which represent upper-end estimates based on previous field studies (Mcduffie et al., 2018a; Mcduffie et al., 2018b; Tham et al., 2016). However, as shown in Figure. 2R, a $\phi(CINO_2)$ of 1.0 with a $\gamma(N_2O_5)$ of 0.06 ($\phi\gamma = 0.06$) fails to reproduce the observed levels of daytime CINO₂. A larger $\gamma(N_2O_5)$ of 0.11 would be necessary, but such high uptake coefficients and yields are not supported by the current literature. Therefore, we believe that the observed daytime CINO₂ levels, particularly around noon, cannot be adequately explained by heterogeneous N₂O₅ uptake alone, suggesting the presence of additional sources contributing to the formation of daytime CINO₂."

"The relative importance of NO₃⁻ derived from the XGBoost-SHAP result indicated that NO₃⁻ could play a vital role in affecting the concentrations of CINO₂ besides N₂O₅. Moreover, according to Figure. 4b, the high NO₃⁻ concentrations (> 3.7 μ g·m⁻³) are accompanied by the elevation of CINO₂, especially its concentrations reaching 6.2 μ g·m⁻³. Previous studies declared that increased concentrations of NO₃⁻ decreased γ (N₂O₅), which would limit the production of CINO₂ (Wahner et al., 1998; Mentel et al., 1999; Bertram and Thornton, 2009). As depicted in Figure 1, the dependence of γ (N₂O₅) on NO₃⁻ concentrations follows the nitrate suppression effect, which subsequently hindered further CINO₂ formation. Therefore, the importance of nighttime NO₃⁻ for CINO₂ levels is that they are co-products from the processes of N₂O₅ heterogeneous uptake. During our field observation, compared to low NO₃⁻ conditions, CINO₂ production was enhanced in high NO₃⁻ conditions. Especially in late autumn, the increased aerosol surface area and N₂O₅ levels enhanced N₂O₅ uptake, which further promoted both CINO₂ and NO₃⁻ production."

"It is crucial to understand the dual role of photolysis intensity in determining daytime $CINO_2$ levels. Photolysis can contribute to the generation of $CINO_2$ by promoting NO_3^- photolysis, while also causing the rapid decomposition of $CINO_2$. As reported in California (Mielke et al., 2013), reduced photolysis rates even increased daytime $CINO_2$ levels by decreasing $CINO_2$ loss through photolysis."

Technical Comments

Line 76: tenths: tens

Response: Thanks for your comment. We have revised it.

Added/rewritten: "Since Osthoff et al. (2008) firstly detected over 1 ppb of CINO₂ in the urban outflows of America, significant production of CINO₂ was widely observed in the polluted coastal and inland areas with abundant anthropogenic emissions and chloride sources, and its concentrations were ranged from tens of ppt to several ppb."

Figure 3, 5 and 6: Please change the color scale to a colorblind friendly version.

Response: Thanks for your comment. We have changed the color scale in Figure 3, 5 and 6 to a colorblind friendly version. Additionally, due to N_2O_5 , NO_3^- , T, RH, and UV being the most important features of affecting ClNO₂ concentrations, we only compared their relative importance. Therefore, Figure 5 only presents the relative importance of N_2O_5 , NO_3^- , T, RH, and UV.





Figure 3. Relative importance of each feature to ClNO₂ using XGBoost-SHAP during the autumn observation period. The mean absolute SHAP value (a), summary plot of SHAP values of each feature (b).



Figure 5. The diurnal variations of the relative importance of the major five factors (including N₂O₅, NO₃⁻, T, RH, and UV) to ClNO₂ based on the SHAP values under the high (> $3.7 \ \mu g \cdot m^{-3}$) (a) and low (< $3.7 \ \mu g \cdot m^{-3}$) (b) ClNO₂ concentrations.



Figure 6. The relationship of daytime ClNO₂ concentrations (12:00-15:00 Local Time) and a proxy of nitrate (NO₃⁻) photolysis (NO₃⁻×JNO₂× S_a). The color of the dots denotes the NO₃⁻ (a), S_a (b), Cl⁻ (c), JNO₂ (d), respectively.

Line 215: averagely: average

Response: Thanks for your comment. We have corrected it.

Added/rewritten: "Therefore, the average daily concentrations of NO₃⁻ were classified as high (> $3.7 \ \mu g \cdot m^{-3}$) and low (< $3.7 \ \mu g \cdot m^{-3}$) NO₃⁻ cases to further elucidate the impacts of NO₃⁻ on the formation of ClNO₂."

Line 224: corrected: correlated

Response: Thanks for your comment. We have revised it.

Added/rewritten: "As depicted in Figure 6, it is observed that daytime CINO₂ concentrations correlated well (R = 0.62) with the product of a proxy of NO₃⁻ photolysis (NO₃⁻×JNO₂× S_a) on aerosol surfaces (S_a), implying that the photolysis of NO₃⁻ contributed to the daytime concentrations of CINO₂ at our study site."

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