

The Metropolitan Scale

Abstract

The growth of metropolitan areas is reshaping the urban landscape and governance around the world, producing new challenges but also opportunities for sustainable development and the management of territories. The ‘metropolitan scale’ is now internationally recognised as a key concept and perspective through which we should consider various socio-economic, spatial and political dimensions. However, our understanding of metropolitan dynamics is curtailed by a substantial lack of information at this scale. Global databases on metropolitan areas are very limited. To tackle the void, this paper employs simple definitions and heuristics to collect and present comparable data for 58 metropolises from five continents. There is a clear trade-off between the accuracy of the data and the comprehensiveness of datasets. We reflect on the experience to emphasise the obstacles that lie ahead of both scholars and policy-makers at all levels of government. A strong system of cities and metropolitan areas and the appropriate governance of these scales may provide the basis for a balanced socio-economic development – but first we will need to know more about these territories and communities.

Keywords: data; functional area; governance; metropolis.

1. Introduction: the metropolis

Cities, urban areas, urban agglomerations, megacities, metropolises, metropolitan areas, metropolitan statistical areas, functional areas, city-regions, urban regions, commuter belts, conurbations (...)

We often use these terms interchangeably to allude to the same realities on the ground. We do this because most of these concepts are fairly ambiguous in a global context. ‘City’, for example, may refer to a human settlement with specific characteristics, for instance linked to: certain population or employment densities, cultures and subcultures, the built environment and the prevalence of infrastructure systems, among others. Or it may refer to a territory within a boundary which, for similar or completely different reasons, has historically been considered a ‘city’ (e.g. the presence of a certain temple or government institution). These are not necessarily mutually exclusive categories. However, the former understanding of ‘city’ tends to be more useful as a context for analysis.

The notion of metropolitan area (or metropolis, and so on) suffers from similar issues. However, though it may simply be used to denote a large city, it is more often deliberately employed to designate an organically and dynamically-defined territory that extends beyond the core city (d’Albergo and Lefèvre, 2018). These large human settlements may or may not have any correspondence to administrative boundaries. Beyond political or institutional frameworks, what unifies the different jurisdictions and locales that constitute a metropolitan area are the economic and social relationships that occur within it, as well as the features of the built environment and infrastructures that enable these relationships (d’Albergo et al., 2018).

This mismatch between socio-political institutions and socio-technical systems and metropolitan areas challenges the effective management of territories (Guzman et al., 2017; Tomlinson, 2017). And, more broadly, these conceptual struggles present challenges for research and practice. First and

foremost, there is a substantial lack of information at the metropolitan scale (especially when it comes to metrics that are essential for the governance of these territories or to the Global South in general). The scarcity of international databases stifles comparative research on metropolitan activities and governance. As an attempt to tackle this basic problem, this article presents a set of metropolitan indicators – including new and existing metrics – and the corresponding data for 58 of the members of Metropolis.¹ We discuss the methodological steps taken in order to (1) select the indicators and data sources, (2) set the boundaries for the targeted metropolitan areas, and (3) build this comprehensive dataset. Our approach allows analysts and decision-makers to use existing data rather than calling on local authorities to collect and publish data at a different scale. While we briefly showcase some of the data collected, the emphasis is placed on the wider implications of the current state of empirical evidence on metropolitan areas.

The significance of urbanisation and urban areas for the 21st century society is now widely acknowledged and does not need to be condoned here (Burdett and Rode, 2018). But why is it important to focus on and collect data at the metropolitan scale? One of the key reasons was already alluded to above. The frequent absence of metropolitan-wide governments – or of effective and democratic coordination mechanisms between the municipalities within a metropolitan area – challenges territorial management, policy-making and the delivery of public services, infrastructure and amenities. Even in the cases where these organisations or governments do exist, they tend to have few powers and responsibilities (Ahrend et al., 2014). The realities of how a metropolis works are connected to issues of taxation and voice and representation. Residents from other municipalities may heavily use local infrastructure services in the core city without paying their taxes there. ‘Metropolitan citizens’ residing outside the core city may not have the same rights of the ones living within its administrative limits – for example, when city-owned utilities operate in an extended territory and citizens residing outside the boundaries of the city cannot punish/reward incumbents with their vote (da Cruz et al., 2013). Generating data at the metropolitan scale is not *sufficient* to resolve these issues – that requires appropriate territorial governance and strategic management – but it certainly is *necessary*. We ought to understand the realities of *where* and *how* people live, work and play for urban policy to be coordinated and effective and so that institutions may work properly.

There are, however, other reasons. While administrative or political boundaries tend to be rigid, metropolitan areas are dynamic in their spatial, social, economic and environmental dimensions. Urban expansion hampers the bridging of geographical scales – in various senses, from the statistical to the institutional – because they keep shifting (Angel et al., 2012; Angel, 2017; da Cruz et al., 2019). Promoting functional integration is particularly difficult in rapidly urbanising areas of the Global South where the swift population growth tends to occur at the peripheries and urban hinterlands. This is significant because the relationships between cities and their surrounding areas can have a major influence on the location of economic activities, (national) growth, environmental performance and quality of life. Metropolitan areas compete for many resources in our globalised economy. And even when economic competitiveness is not a political priority, cities/metropolises may wish to identify their ‘peers’ around the globe to potentiate knowledge transfer. This requires a certain degree of standardisation. Finally, more than simply an issue of scale, it is an issue of fairness. Inequalities within metropolitan areas – e.g. in terms of access to income, services and amenities – can be more extreme than at other geographical scales (Phelps, 2010).

In the second section, we briefly review the state-of-the-art, tackle the challenges of selecting metropolitan level metrics and describe our approach to select a set of 37 indicators. In the third section, we revisit the most common procedures to define the boundaries of metropolitan areas and present our own pragmatic approach. Section four outlines the data collection process and section five presents a summary of the results. The sixth section concludes the paper.

¹ Metropolis is the largest global network of major cities and metropolitan areas (most with at least one million inhabitants). This organisation serves as a hub and platform for 138 urban agglomerations to connect, share experiences, and mobilise on a wide range of local and global issues, in addition to being the focal point of worldwide experience and expertise on metropolitan governance.

2. Information at the metropolitan scale

2.1 Brief review of the state of the art

In 2015, the United Nations prominently included ‘cities’ into the Sustainable Development Goals (SDGs) framework with the aim of making them more “inclusive, safe, resilient and sustainable”. But, despite this recognition by global development actors and efforts, several challenges persist in terms of measuring the status quo of cities and tracking their development (Klopp and Petretta, 2017). Not having a robust framework for defining cities (as we have seen in the previous section, what do they even mean?) and measuring their key attributes, including processes to select indicators and collect data, suggests that it is impractical to assess how the current multilateral efforts to tackle urban problems have progressed. Still, various attempts have been made at the global level, particularly in the last decade.

The first version of Global Urban Indicators Data published in 1996 by UN-Habitat was an important milestone as the first attempt to provide an overview of cities all over the world, but successors to this initiative were infrequent for some time. By reviewing 17 studies that measured the sustainability of cities, Tanguay et al. (2010) concluded that they tended to only cover European and North American cases – except for a handful of initiatives such as the Urban Indicators for Managing Cities published by the Asian Development Bank in 2001 and focusing on Asian cities (Westfall and de Villa, 2001). In general, cities from the Global South have been excluded from many international databases (particularly in Africa and Southeast Asia). However, more inclusive initiatives have gradually been deployed, such as: UN-Habitat’s City Prosperity Index, Siemens’ Green City Index, and ISO 37120 on sustainable development of communities, which were announced in 2012, 2012 and 2014, respectively. There has also been more intensive activity around specific policy sectors or concerns, for example: UITP’s Mobility in Cities Database of metropolitan areas, C40’s interactive dashboard on city greenhouse gas emissions, UN-Habitat’s Global Municipal Finance Database, Igarapé Institute’s Fragile Cities initiative and, most recently, the Inter-American Development Bank’s Urban Dashboard.

Two major theoretical challenges have been commonly discussed in the literature: *what* to measure and *how* to do it (Hoorweg et al., 2007). It should by now be common knowledge that crafting or choosing indicators is not merely a technical but also a political process (Klopp and Petretta, 2017). Which voices get to be heard and perspectives get to be valued? Who decides what gets measured, what does not get measured, and how it should be done? This is not to say that there are no areas for which measurement at the metropolitan level truly makes more technical sense. The rationale for demographic (e.g. population density and diversity), land use (e.g. green, industrial and commercial areas) and economic (e.g. employment and wealth distribution) data is fairly self-evident. As is the value of having metropolitan-level data on sectors with clear economies of scale – above all, urban infrastructure services such as transport, wastewater treatment, and municipal waste management.² Naturally, better definitions and more data at the metropolitan scale would not by themselves contribute necessarily to a more balanced development. This would require appropriate governance mechanisms. On this point, there is recent literature referring to the benefits of having strategic governance at the metropolitan scale, particularly in the transport and spatial planning sectors (OECD, 2015; Rode et al., 2019).

There are also practical obstacles to the ‘whats’ and ‘hows’ of measurement, mostly connected to data availability and data collection capability (Klopp and Petretta, 2017). While notable progress has been made to meet theoretical challenges – e.g. standardisation of indicators such as ISO 37120 – practical challenges have been difficult to surmount. For example, when looking into the World Council on

² In addition to the economies of scale (and scope and density), transport infrastructure sets up patterns of urban growth (Baum-Snow, 2007) and enact considerable path dependencies and lock-in effects that impact future development (Stern and Zenghelis, 2018).

City Data (WCCD)'s Global Cities Registry™, except for Buenos Aires and some cities in Mexico, no African or other Latin America and Caribbean cities have been able to collect all data for its 46 core indicators since its inception in 2014 (Gómez-Álvarez et al., 2017). The analysis of the latest version of the United Nation's World Cities Report published in 2016 is still partially based on data measured in the 1990s. Moving from the city to the metropolitan scale exacerbates these challenges. Due to the inconsistent definitions of city and metropolis, the (lack of) comparability of indicators across geographies is a key issue (furthermore, existing city and metropolitan-level indicators and indices rarely specify at which scale they correspond to). Indicators to measure urban areas at the global level need to be chosen carefully, ensuring the feasibility of data collection in cities of the developing world.

2.2 Selection of indicators

The research reported here aimed to develop a system of indicators and collect the corresponding data for a set of major cities and metropolitan areas. The goal was going beyond the city proper in order to promote a better overview of metropolitan dynamics. The first step was selecting indicators for the six priority areas set out by Metropolis (these categories reflect the association's vision of metropolitan governance), namely: (A) context and governance, (B) economic development, (C) social cohesion, (D) gender equality, (E) environmental sustainability, and (F) quality of life. To do this, we first performed an extensive review of the academic literature and of dozens of global reports, indexes and datasets produced by various organisations (e.g. C40, CIPPEC, GIZ, IDB, Lincoln Institute, OECD, Oxford Economics, UCLG, UN-Habitat, and so on). The initial ambition was to select around 30 indicators balanced across the six blocks of information.

In the selection process – and always in consultation with Metropolis – we prioritised indicators that have an established/published methodology with publicly available data. Reflecting Metropolis' concerns, we also tried to adopt a gender perspective during this process, by giving preference to indicators and sources with data disaggregated by sex, whenever possible. The other guiding concerns were data availability across all continents and comparability/rationality of collecting metropolitan data in diverse urban contexts. In the end, we singled out the 38 indicators shown in Table 1. Data for 35 of these indicators was obtained through desk research – i.e. international bodies/observatories, national statistic offices, city authorities' data, academic references or NGOs and associations – and the remaining three are newly developed and fed through a survey sent to government representatives of the 58 metropolises (namely, A4, B6 and D4 in Table 1).

Table 1. Set of metropolitan indicators (detailed sources, methodology and units available online as supplementary material).

A. Context & governance	B. Economic development	C. Social cohesion	D. Gender equality	E. Environmental sustainability	F. Quality of life
1. Metropolitan population**	1. GDP per capita	1. Literacy*	1. Share of elected women	1. Air quality	1. Life expectancy*
2. Metropolitan area	2. Employment share by sector	2. Poverty rate**	2. Women in workforce	2. Carbon emissions	2. Affordability of housing
3. Density	3. Economic prominence	3. Income inequality	3. Female school-aged population enrolled	3. Car ownership	3. Access to public transport
4. Leadership of policy sectors ^a	4. Unemployment**	4. Foreign born population*	4. Measures and tools on gender equality ^a	4. Green space	4. Higher education enrolment*
5. Fiscal decentralisation	5. Economic density	5. Murder rate*	5. Gender pay gap	5. Waste generated per capita	5. Fragile Cities Index
6. Territorial fragmentation	6. Informal economy ^a			6. Wastewater collection coverage	
7. Metropolitan coordination				7. Renewable energy use	
8. National prominence					
9. Fiscal autonomy					
10. Total budget per capita					

^a the data for these indicators was collected through an online survey

* data disaggregated by sex

3. Defining metropolitan areas

As mentioned in the introduction, there is no clear and mutually agreed definition for a ‘metropolis’ (European Commission, 2014; ESPON, 2018). Even in remote sensing mapping efforts, there is no generally accepted standard for what configures ‘urban land’ (Potere et al., 2009; Taubenböck et al., 2019). By definition, without coherent and rigorous delineation of metropolitan areas, a dataset for a specific location is, strictly speaking, not comparable to another. Four main approaches have been commonly adopted to address this problem and develop metropolitan databases. All of these approaches – summarised in Table 2 – have advantages and disadvantages in terms of feasibility, cost, and potential to capture different metropolitan dynamics. Indeed, setting the metropolitan boundaries is a complex and potentially contentious task in itself. But the extent to which one can access data that fits those boundaries is also a key issue.

Table 2. Units of analysis used for measuring urban areas.

Unit of analysis	Guiding perspective	Description	Examples of studies
Administrative boundary	Political	Territory contained within the legally defined municipal boundaries.	WCCD (2017)
Urban agglomeration / urbanised area	Demographic	Area contained within a contiguous territory inhabited at certain population density levels (threshold varies by country) without regard to administrative boundaries.	Rozenfeld et al. (2008)
Urban footprint	Physical	Extent of contiguous built-up area, measured using high-resolution satellite data.	Sharma et al. (2016)
Metropolitan area/functional urban area	Functional	Large urban core plus adjacent areas with a high degree of economic and social integration, most commonly measured using population density, employment, and commuting data (thresholds vary by country) – areas tend to include communities that are physically separated from the main urban core.	OECD (2019); US OMB (2010)
Hybrid	Demographic, physical and functional	A mix of the approaches above.	Angel et al. (2016); Burdett and Rode (2018); UN (2018)

By comparing the population living within the administrative boundaries controlled by a sub-national government (typically a local government led by a mayor), and the extent of the ‘wider functional metropolitan’ area of 35 cities, Burdett et al. (2014) concluded that only three cases showed a ‘perfect’ fit between the two (see Figure 1). Due to this general discrepancy and the difficult task of standardising data collection and treatment, many metrics and measurement efforts misconstrue the dynamics of urban areas by only providing a snapshot of the socio-economic conditions of the city proper.

To tackle this problem, the OECD undertook a major study to identify and analyse 1,148 functional urban areas beyond their administrative boundaries and set up the OECD Metropolitan database for 649 metro areas in 33 OECD countries (OECD, 2012 and 2019). However, OECD’s sophisticated three-step method to define metropolitan areas requires detailed commuting and labour market data. In practice, it is extremely difficult (and/or costly) to apply this approach to identify metro areas in jurisdictions where there is data scarcity – and it is even more difficult to collect other data at the scale of these custom-made geographies. Producing a generic database that encompasses metropolitan areas from all over the world, may require simpler, less ambitious approaches to the definition of the metropolitan boundaries.



Figure 1. Fit between the political city and functional area. City population in red, lowest estimate of metropolitan population in light grey and highest estimate of metropolitan population in dark grey (source: Burdett et al., 2014).

In this study, we aimed to develop a simple heuristic process, applicable to metropolises from all continents, that allowed us to capture these dynamics in a quick and inexpensive way and to push beyond the limitations of existing global databases. In a nutshell, the intention was to find a ‘good enough’ fit between administrative (and/or statistical) and metropolitan areas. By doing this, we hope to facilitate access to government-produced information and official statistics at a suitable geographic level. The first thing we did in this regard was to estimate the ‘metropolitan populations’ of the 58 members of Metropolis (see the Appendix) by averaging the data from various sources that use different methodologies. The global metropolitan databases we have used include the Atlas of Urban Expansion, Oxford Economics, United Nations Population Division, OECD Metropolitan eXplorer, Demographia, Citymayors.com and Africapolis. We used at least five sources for each metropolis³, which allowed us to obtain a better estimation of the ‘real’ metropolitan population.

We then adopted a four-step procedure to identify the most relevant boundaries of the 58 metropolises – the detailed process is illustrated in Figure 2. In Steps 1 to 3, we test different administrative and statistical areas by comparing their populations with the average metropolitan populations. If these areas can ‘sufficiently’ represent the metropolises, this should expedite the data collection for the 38

³ Except for Antananarivo and Jakarta with only four sources.

indicators mentioned in the previous section whilst also minimising biases to the realities of the 58 metropolitan areas. If the population of an administrative/statistical area is within the range of 75% to 125% of the estimated metropolitan population, we consider it as the proxy of the metropolitan area.⁴ In Step 4, we bundle adjacent administrative areas to delineate a suitable metropolitan area. To decide which neighbouring areas should be included, we reviewed relevant literature and considered the urban population of each area from the census data (the 75% to 125% limits were also adopted in this Step).

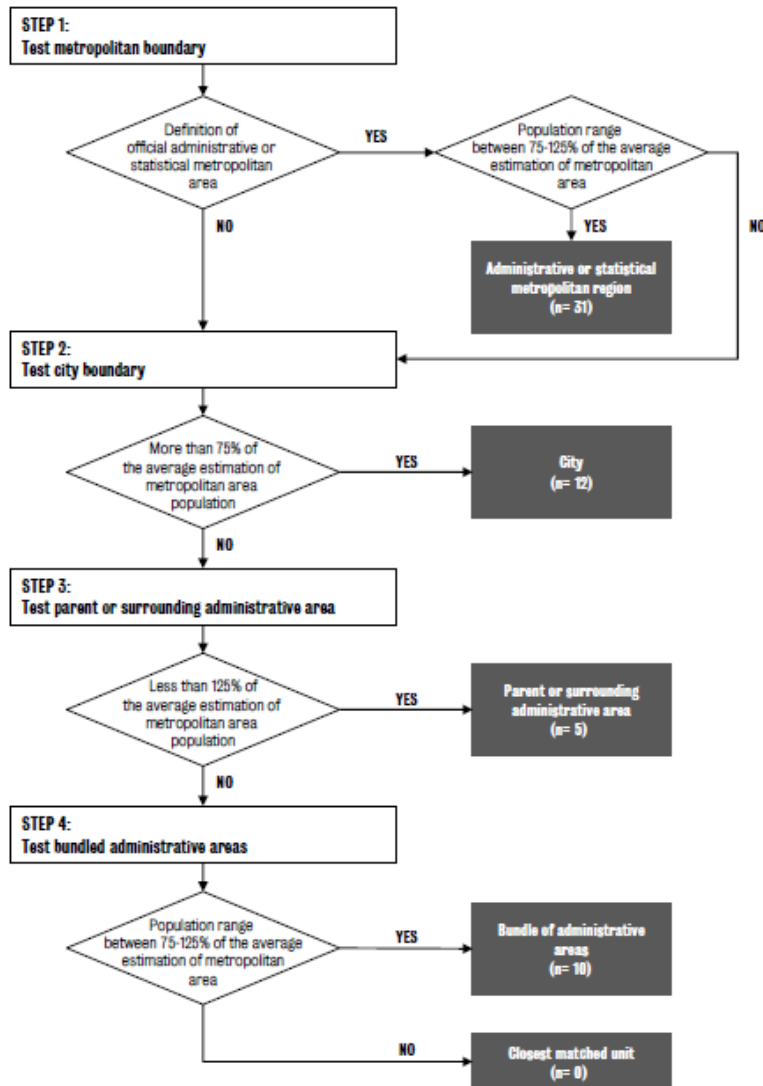


Figure 2. Heuristic process for defining metropolitan areas.

Following this methodology, we defined 31 metropolitan areas in Step 1, 12 in Step 2, five in Step 3, and 10 in Step 4 (see the Appendix for detail about each metropolis). Indeed, the boundaries set through this approach often coincide with the administrative or statistical boundaries that were set out nationally for the metropolitan area. However, there are a few cases for which this is not adequate (e.g. if a large percentage of the metropolitan population lives outside those administrative or statistical boundaries). There are also many instances where no nationally-defined administrative/statistical metropolitan area exists. In some cases, the ‘project boundaries’ correspond to the boundaries of the authority that holds membership in the Metropolis network. In other cases, the administrative/political boundaries of the members of Metropolis differ from the ones of the

⁴ The $\pm 25\%$ range was arbitrarily chosen by the research team. Smaller ranges (e.g. $\pm 10\%$ or $\pm 5\%$) would be more restrictive and lead to more metropolitan areas defined through Step 4.

targeted metropolitan areas. The procedure always returns a solution to the boundaries of the metropolises. Even in cases for which it is impossible to find administrative/statistical areas or bundles of areas that contain 75% to 125% of the estimate of the ‘metropolitan population’, Step 4 makes sure we obtain a proxy for the metropolitan area (by returning the closest bundle of areas, e.g. 74% or 127% of the population).

The heuristic developed here does not configure a sophisticated approach to define the boundaries of metropolitan or ‘functional’ areas. But it is particularly useful to collect ‘some’ data at the metropolitan scale and to provide an overview of various socioeconomic aspects of these human settlements around the globe. Other methods using urban footprints and various thresholds may provide more precise or meaningful boundaries for metropolitan areas – but such methods are also resource-intensive and are not capable of circumventing problems of data scarcity, which are very significant in various jurisdictions. After deciding which ‘urban cells’ should be included in the metropolitan area one needs to collect the data for those cells and, whichever the method (census, surveys, local monitors and sensors, remote sensing, etc.), data collection entails a significant financial investment. There is a clear trade-off that needs to be negotiated in metropolitan empirical research: the accuracy of the data *versus* the comprehensiveness of dataset.

4. Data collection

The set of 58 metropolises included in this study was jointly decided with Metropolis (see the Appendix for a full list). There was an attempt to target more members from the Global South – for which less data is usually available – but the overall feasibility of the process was also a key factor which we have considered. We collected data for 17 metropolises from Africa, 14 from Asia, 11 from Europe, 13 from Latin America and the Caribbean, and three from Northern America (Metropolis does not have members in Oceania).

After developing a method for setting the boundaries of metropolitan areas, we needed to adopt a procedure to prioritise urban datasets and collect the most relevant data at the metropolitan scale. As discussed above, we had to strike a balance between the comparability and the comprehensiveness of the data (and also the overall feasibility of the process, given the resource constraints). We always searched for the closest possible boundary or level of information for each indicator, namely: if the information was not available at the metropolitan level, we looked for that data point at the city level; if it was also not available at the city level, we looked for it at the regional level; if it was also not available at the regional level, we looked for it at the national level. A missing data point means that the information was not available at any of those scales. In terms of data sources, with the exception of the three indicators fed through the survey, the following prioritisation was adopted (in an attempt to minimise the problems of different standards/methodologies in different countries or jurisdictions):

1. International bodies/observatories;
2. National statistics offices;
3. City authorities’ data;
4. Academic references;
5. NGOs and associations.

This strategy allowed us to build a very comprehensive dataset with less than 10% missing data points (if we exclude the survey data). However, due to data (un)availability, some indicators have different boundaries (or levels of information) within a particular metropolis and, in a few cases, different metropolises will have different boundaries for the same indicators. Still, 61% of the data was collected at the metropolitan level, and only 14% corresponds to the city level, 3% to the regional level, and 22% to the national level. This means that the data collected is also highly ‘comparable’ across metropolises, especially if we take into account that 75% of the data is ‘urban’ (city and metro-level). Given the breadth of metropolises included in the database, the information is also surprisingly

up-to-date: around 80% of the data relates to the last five years (and around 95% was collected after 2010).

The data was collected one indicator at a time (instead of all indicators for each metropolis). With this approach, we avoided coding biases and saved time when the data was retrieved from the same international databases for different metropolises. After all data was collected, representatives from each of the 58 metropolises received a three-page ‘data profile’ so that the members of Metropolis could validate the accuracy of the data, sources and methods.⁵ In a few cases, the representatives sent suggestions for more up-to-date or accurate figures for certain indicators (which we accepted, in most instances).

5. Overview of data and potential for analysis

All the data collected for this study is available online at the ‘Metropolitan Observatory’ platform (<https://indicators.metropolis.org>). In this section, we present the results for a handful of the indicators and explore the value of the assembled dataset for metropolitan governance and sustainable development stakeholders. Naturally, given the limitations mentioned above (the presence of different scales, years and methodologies), the use of sophisticated statistical methods is not advisable. But testing the relationships (or lack thereof) between two or more indicators to understand the interactions between various phenomena may be useful for actors concerned with particular policy sectors. In addition, as illustrated in Figure 3, the dataset allows for the rendering of global snapshots of metropolitan dynamics.

Mapping the results helps to hypothesise about the (non-)existence of patterns across metropolitan areas from different parts of the world. For example, as Figure 3 shows, European and Northern American metropolitan areas may be wealthier, but they also have considerably smaller population sizes when compared to their counterparts in Latin America and Asia. African metropolises are among the poorest and are still relatively small – but the continent’s population will more than double in 30 years, representing more than half of the anticipated growth in global population (UN, 2017b). Despite being poorer in per capita terms, our data also shows that metro areas in Africa, Asia and Latin America produce a significant share of the national economic output (indicator B3). Taken together, it becomes quite clear that boosting the economic development of metropolitan areas in the South should be a global priority as it would have a substantial impact on the wellbeing on a very large share of the planet’s population.

With regards to indicators that could explain the outcomes measured through other indicators, our data could at least serve as a ‘conversation starter’ for more in-depth analyses. For example, income inequality seems to be a much better predictor of murder rate (correlation coefficient: 0.3351, p-value: 0.0101) than poverty (correlation coefficient: 0.1556, p-value: 0.2435) or unemployment (correlation coefficient: 0.152, p-value: 0.2548) in the 58 metropolitan areas (see Figure 4). This type of finding may be critical for policy-makers set out to design responses for particular challenges.

⁵ Alongside the ‘data profiles’ each member of Metropolis also received (1) the raw data for their metropolis (where they were able to check the sources of the data and the units, scale and year of each data point), (2) the methodology we adopted to set the boundaries, and (3) the description of the indicators (see the online supplementary material).

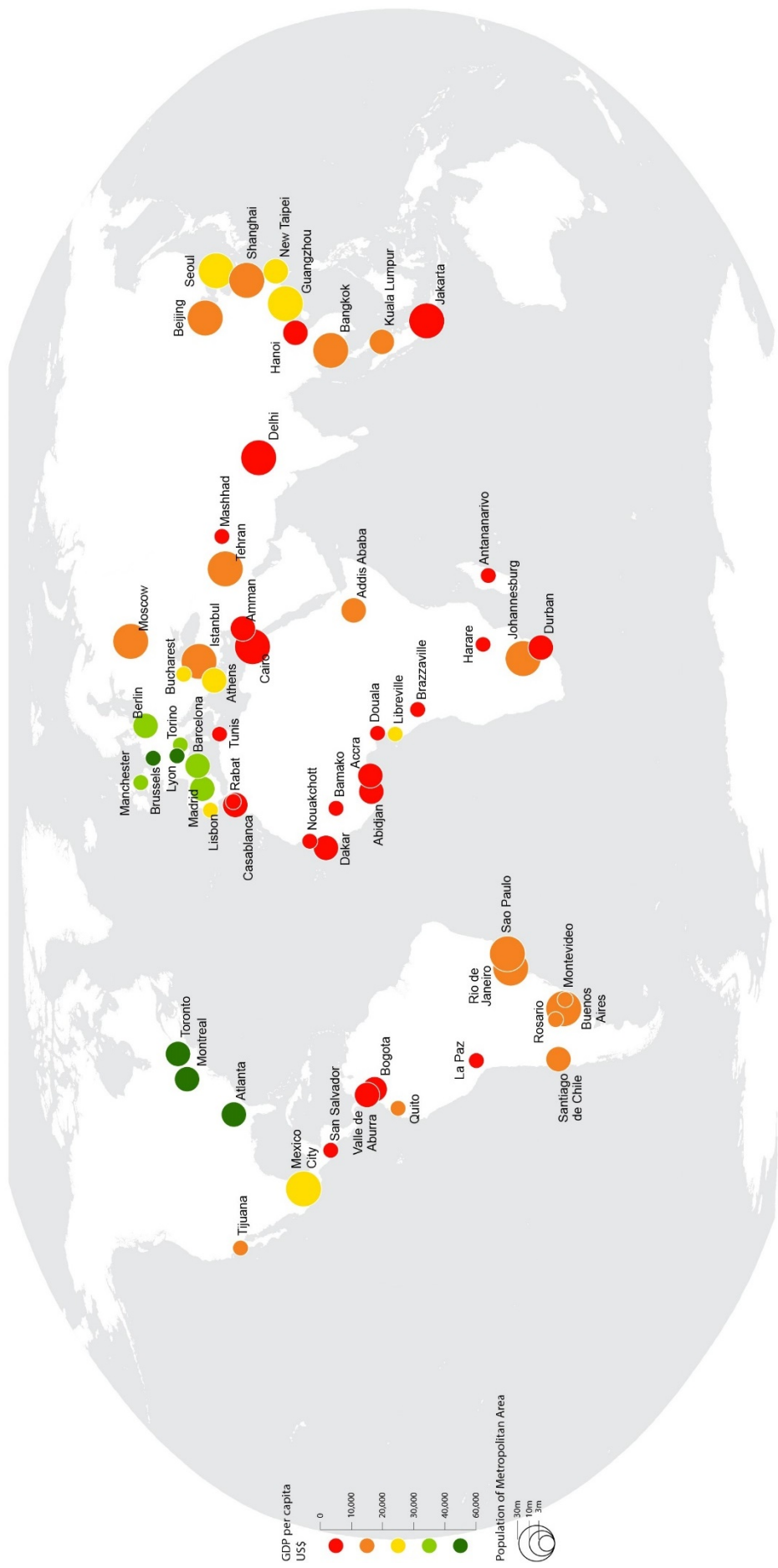


Figure 3. Metropolitan population and GDP per capita of the metropolises in the dataset.

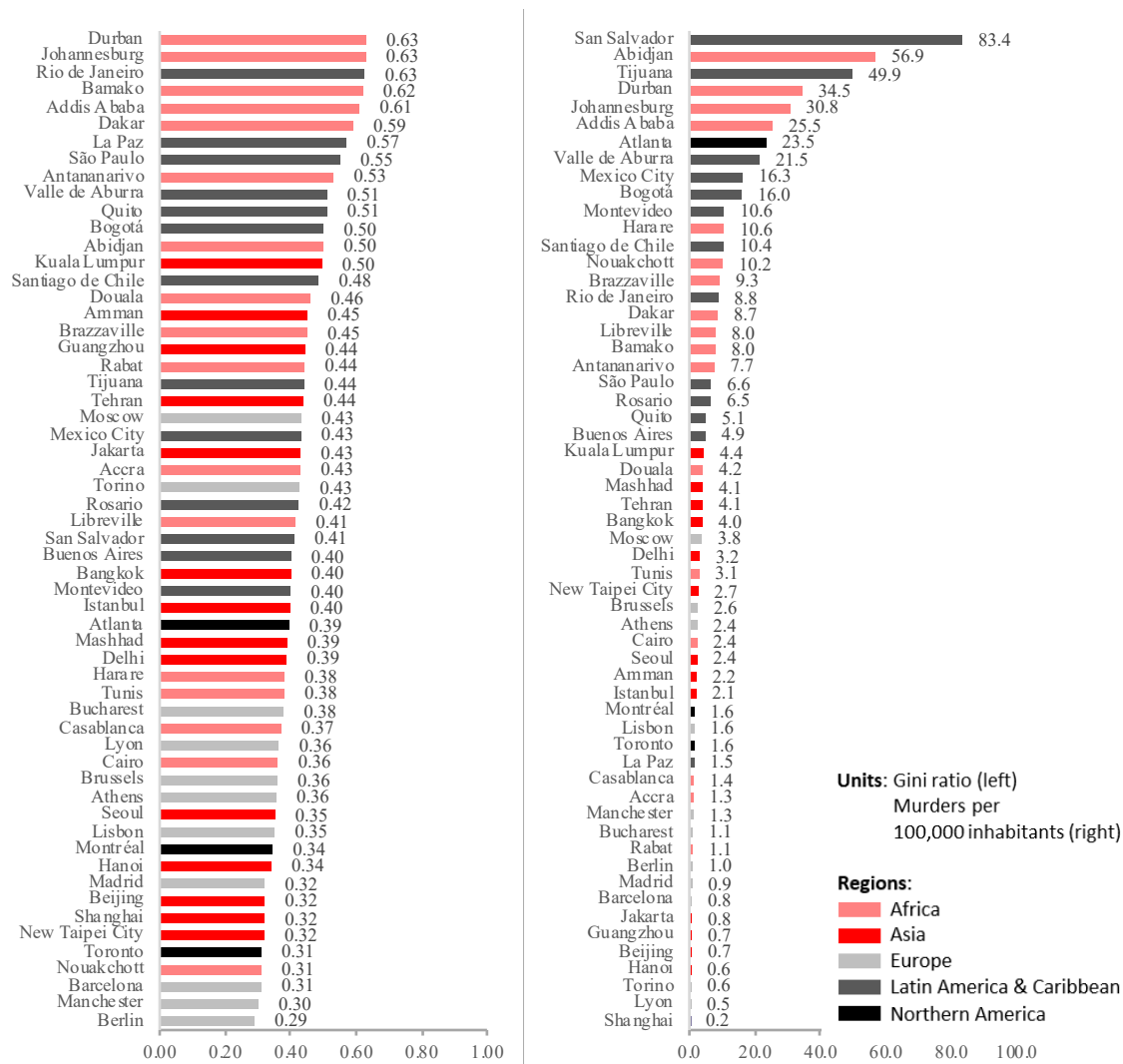


Figure 4. Income inequality (left) and murder rate (right) comparison.

Some of the results may also challenge preconceptions, for example, regarding the divide between high-income and low-income countries. Considering the share of elected women in local government (D1), if we look into the top quintile (12 metros) of the 58 metropolitan areas, we obtain five metro areas from Latin America and the Caribbean and two from Africa (with none from Asia). This suggests that, in certain aspects, metropolitan areas in low-income countries may have better practices than ones in high-income countries. Still, according to the data collected through a survey for indicator A4, gender equality seems to be the area in which metropolitan-level governments are less able to influence policy (see Figure 5). Tackling gender inequality requires cooperation across tiers of government.

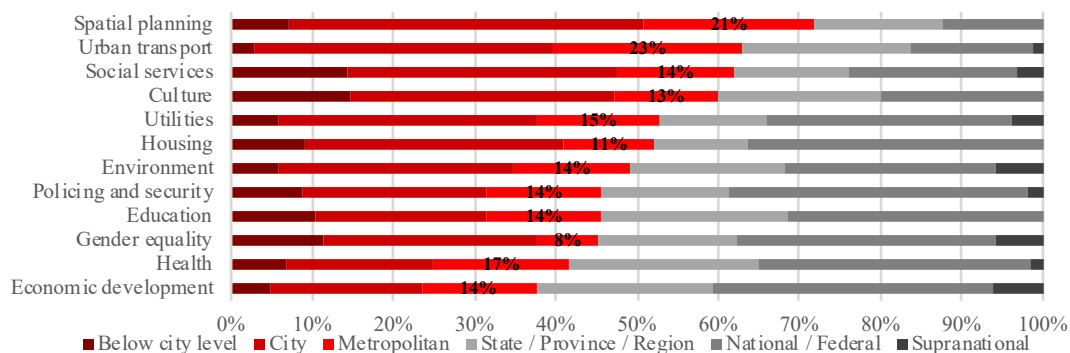
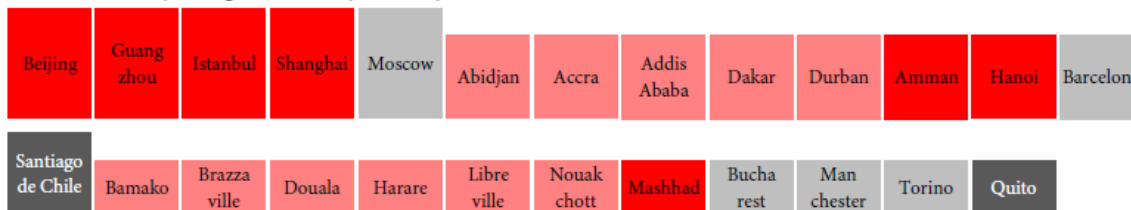


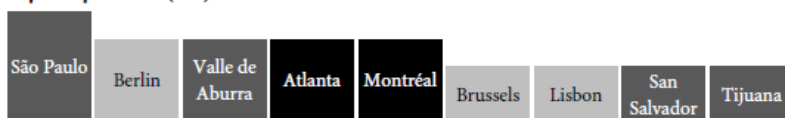
Figure 5. “Please rate the level of influence that different tiers of government have over decision-making in your metropolis for the following policy sectors.”

The results shown in Figure 5 and the ones obtained for indicator A7 (see Figure 6) call for more research into and a better understanding of metropolitan governance frameworks around the world. The level of coordination varies widely among the metropolitan areas irrespective of their region and size. Likewise, the ability of metropolitan-level governments to influence policy differs from sector to sector. Metropolises have considerable influence on spatial planning and urban transport issues but, in addition to gender equality, have relatively less power on issues such as housing, economic development and education. To enhance our understanding of the way governance works in cities and metropolitan areas, we will first need to generate new methodologies and empirical insights that capture its multi-scalar nature (da Cruz et al., 2019).

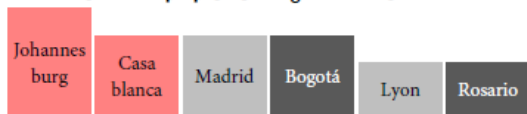
5: There is a metropolitan government/supra municipal structure (n=25)



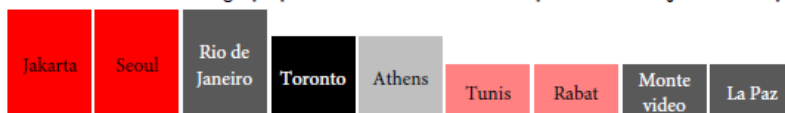
4: There is a multipurpose/strategic mechanism for formal cooperation and all jurisdictions within the metro area participate on it (n=9)



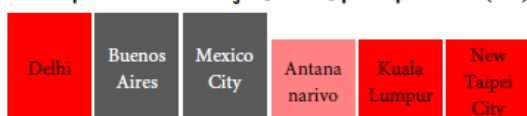
3: There is a multi-purpose/strategic mechanism for formal cooperation but not all jurisdictions participate on it (n=6)



2 = There is a sectoral/single purpose mechanism for formal cooperation and all jurisdictions participate (n=9)



1 = There is a sectoral/single purpose mechanism for formal cooperation but not all jurisdictions participate at all (n=6)



0 = No formal coordination mechanism (n=3)

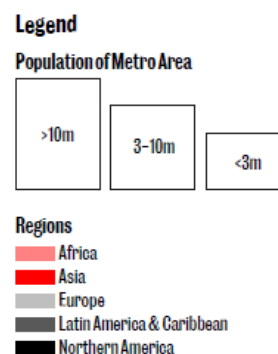


Figure 6. Metropolitan coordination.

6. Conclusion

Presumably due to the ambiguity of the concept of ‘metropolitan area’, international databases with information at this territorial scale are very limited. At the same time, there is a global recognition that metropolitan management and governance matters, and that better data and measurement

harmonisation are urgently needed – a concern that was broadly emphasised, for example, during the Habitat III process and in the final text of the New Urban Agenda (UN, 2017a). Behind this concern lies the belief that the creation of a strong system of cities and metropolitan areas can contribute to a balanced regional socio-economic development. To achieve this, the various stakeholders will require access to a vast array of relevant and comparable data – otherwise, the current expectations being placed onto cities and metropolitan areas may clash with the realities in terms of their aptitudes and capacities.

This essay illustrates the complexities behind gathering data for metropolises from across the globe. We highlight these challenges by collecting data on 38 indicators for 58 metropolitan areas. An integral part of this process was the development of effective heuristics to cope with the challenges, namely: setting the boundaries of the metropolitan areas and collecting data from diverse sources and jurisdictions. It is very important to note that these issues – boundaries and indicators that capture metropolitan dynamics and inequalities – are not merely technical. For example, in Addis Ababa, the planned expansion of the metropolitan boundaries into surrounding territories – which was foreseen in the previous version of the Ethiopian capital’s ‘masterplan’ – resulted in violent clashes that claimed many lives (Chala, 2016). In a starkly different way, there has been some support in Malmö and the Swedish province of Skåne to rebrand that territory as ‘Greater Copenhagen’, the capital of Denmark (Crouch, 2015). Different yet, Transport for London, the metropolitan transport authority of London, pushed for taking over national rail services that expand into the wider region. Metropolitan boundaries and their socio-economic dynamics are intrinsically political.

The resulting dataset has several limitations. It may not be appropriate for robust quantitative academic inquiries. However, it provides an invaluable starting point for a far-reaching empirical research agenda on metropolitan areas and governance. It can also offer preliminary insights into how different socio-economic aspects of metropolitan areas are interlinked. This paper contributes to the literature in two main ways: 1) by providing a simple heuristic to define ‘good enough’ metropolitan areas and collect data at that level, and 2) by highlighting the limitations of current empirical research and knowledge about metropolitan dynamics and, consequently, the uncertainty that surrounds their ability to face the intractable challenges of our times. Finally, it is important to stress that, whilst necessary, collecting data at the ‘right’ scale is not sufficient to re-scale urban governance (Brenner, 1999). Furthermore, this re-scaling is contentious and can be of different types (OECD, 2015) – there are no ‘one-size-fits-all’ solutions for governance reforms.

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Appendix

Set of metropolises included in the database

Metropolis	UN Region	Country	Metropolitan area adopted
Douala	Africa	Cameroon	Communauté Urbaine de Douala (Step 2)
Brazzaville	Africa	Congo	Commune de Brazzaville (Step 2)
Cairo	Africa	Egypt	Cairo, Giza, and Qalyubia Governorates (Step 4)
Addis Ababa	Africa	Ethiopia	Addis Ababa City Government (Step 2)
Libreville	Africa	Gabon	Commune de Libreville (Step 2)
Accra	Africa	Ghana	Greater Accra Region (Step 3)
Abidjan	Africa	Ivory Coast	District Autonome d'Abidjan (Step 1)
Antananarivo	Africa	Madagascar	Antananarivo-Renivohitra, Antananarivo-Avaradrano and
Bamako	Africa	Mali	Antananarivo-Atsimondrano Districts (Step 4)
Casablanca	Africa	Morocco	Gouvernorat du District de Bamako (Step 2)
Rabat	Africa	Morocco	Préfecture de Casablanca, Province de Mediouna, Province de
Nouakchott	Africa	Mauritania	Nuaceur and Préfecture de Mohammedia (Step 4)
Dakar	Africa	Senegal	Prefecture de Rabat, Prefecture de Sale and Prefecture de
Durban	Africa	South Africa	Skhirat-Temara (Step 4)
Johannesburg	Africa	South Africa	Nouakchott City (Step 2)
Tunis	Africa	Tunisia	Région de Dakar (Step 1)
Harare	Africa	Zimbabwe	Ethekwini Municipality (Step 1)
Beijing	Asia	China	City of Johannesburg, City of Ekurhuleni, and City of
Guangzhou	Asia	China	Tshwane (Step 4)
Shanghai	Asia	China	Tunis, Ben Arous, Ariana and Manouba Governorates (Step
Delhi	Asia	India	4)
Jakarta	Asia	Indonesia	Harare Province (Step 3)
Mashhad	Asia	Iran	Beijing Municipality (Step 2)
Tehran	Asia	Iran	Guangzhou Municipality (Step 2)
Amman	Asia	Jordan	Shanghai Province (Step 1)
Kuala Lumpur	Asia	Malaysia	Delhi NCT, Jhajjar, Sonipat, Gurgaon, Faridabad, Baghpat,
Seoul	Asia	South Korea	Ghaziabad, Gautam Buddha Nagar (Step 4)
New Taipei City	Asia	Taiwan	Jabodetabek (Step 1)
Bangkok	Asia	Thailand	Mashhad Municipality (Step 2)
Istanbul	Asia	Turkey	Tehran, Karaj, Shahriar, Baharestan, Qods, Fardis,
Hanoi	Asia	Vietnam	Eslamshahr, and Pardis counties (Step 4)
Brussels	Europe	Belgium	Greater Amman Municipality (Step 1)
Lyon	Europe	France	W. P. Kuala Lumpur, W. P. Putrajaya and Selangor (Step 3)
Berlin	Europe	Germany	Capital Region of Korea (Step 1)
Athens	Europe	Greece	Taipei, New Taipei and Keelung (Step 4)
Torino	Europe	Italy	Bangkok, Nakhon Pathom, Nonthaburi, Pathum Thani, Samut
Lisbon	Europe	Portugal	Prakarn, Samut Sakhon (Step 4)
Bucharest	Europe	Romania	Province of Istanbul (Step 1)
Moscow	Europe	Russia	Government of Hà Noi (Step 2)
Barcelona	Europe	Spain	Region de Bruxelles-Capitale and Provincie Vlaams-Brabant
Madrid	Europe	Spain	(Step 3)
Manchester	Europe	United Kingdom	Rhône, including Métropole de Lyon and Département du
Buenos Aires	Latin American & the Caribbean	Argentina	Rhône (Step 3)
Rosario	Latin American & the Caribbean	Argentina	Landes Berlin (Step 1)
La Paz	Latin American & the Caribbean	Bolivia	Region of Attica (Step 1)
Rio de Janeiro	Latin American & the Caribbean	Brazil	Citta metropolitana di Torino (Step 1)
São Paulo	Latin American & the Caribbean	Brazil	Área Metropolitana de Lisboa (Step 1)
Santiago de Chile	Latin American & the Caribbean	Chile	General Council of Bucharest (Step 2)
Bogotá	Latin American & the Caribbean	Colombia	Government of Moscow (Step 2)
Medellin	Latin American & the Caribbean	Colombia	Government of Moscow (Step 2)
Quito	Latin American & the Caribbean	Ecuador	Àmbit Metropolità de Barcelona (Step 1)
San Salvador	Latin American & the Caribbean	El Salvador	Comunidad de Madrid (Step 1)
Mexico City	Latin American & the Caribbean	Mexico	Greater Manchester (Step 1)
Tijuana	Latin American & the Caribbean	Mexico	Gran Buenos Aires (Step 1)
Montevideo	Latin American & the Caribbean	Uruguay	Área Metropolitana Rosario (Step 1)
Montréal	Northern America	Canada	Región Metropolitana de La Paz (Step 1)
Toronto	Northern America	Canada	Região Metropolitana do Rio de Janeiro (Step 1)
Atlanta	Northern America	United States	Região Metropolitana de São Paulo (Step 1)
			Región Metropolitana de Santiago de Chile (Step 1)
			Bogotá Capital District (Step 1)
			Área Metropolitana del Valle de Aburrá (Step 1)
			Distrito Metropolitano de Quito (Step 1)
			Área Metropolitana de San Salvador (Step 1)
			Metropolitan Zone of the Valle de México (Step 1)
			Zona Metropolitana de Tijuana (Step 1)
			Área Metropolitana de Montevideo (Step 1)
			Montreal Census Metropolitan Area (Step 1)
			Toronto Census Metropolitan Area (Step 1)
			Atlanta-Sandy Springs-Roswell, GA Metropolitan Statistical
			Area (Step 1)