

Lawrence Berkeley National Laboratory

LBL Publications

Title

Investigation of a “coupling model” of coordination between low-carbon development and urbanization in China

Permalink

<https://escholarship.org/uc/item/25131083>

Authors

Song, Qijiao
Zhou, Nan
Liu, Tianle
[et al.](#)

Publication Date

2018-10-01

DOI

10.1016/j.enpol.2018.05.037

Peer reviewed

1 Investigation of a “coupling model” of coordination between
2 low-carbon development and urbanization in China

3 Author list: Qijiao Song, Nan Zhou, Tianle Liu, Stephanie Ohshita, Lynn Price, Ye Qi

4 Authors' information:

5 Qijiao Song

6 School of Public Policy and Management, Tsinghua University, Beijing 100086, PR

7 China; Email: alliswellthu@gmail.com

8 Nan Zhou

9 China Energy Group, Energy Analysis and Environmental Impacts Department,

10 Environmental Energy Technologies Division, Lawrence Berkeley National

11 Laboratory, 1 Cyclotron Road, MS 90R4000, Berkeley, CA 94720, United

12 States; Email: NZhou@lbl.gov

13 Tianle Liu

14 School of Public Policy and Management, Tsinghua University, Beijing 100086, PR

15 China; Email: liu-tl16@mails.tsinghua.edu.cn

16 Stephanie Ohshita

17 China Energy Group, Energy Analysis and Environmental Impacts Department,
18 Environmental Energy Technologies Division, Lawrence Berkeley National
19 Laboratory, 1 Cyclotron Road, MS 90R4000, Berkeley, CA 94720, United States;
20 Department of Environmental Science, College of Arts and Sciences, University of
21 San Francisco, 2130 Fulton Street, San Francisco, CA 94117,
22 USA;Email:SBOhshita@lbl.gov

23 Lynn Price

24 China Energy Group, Energy Analysis and Environmental Impacts Department,
25 Environmental Energy Technologies Division, Lawrence Berkeley National
26 Laboratory, 1 Cyclotron Road, MS 90R4000, Berkeley, CA 94720, United States;
27 Email:LKPrice@lbl.gov

28 Ye Qi(corresponding author)

29 School of Public Policy and Management,Tsinghua University, Beijing 100086, PR
30 China;Email: qi@tsinghua.edu.cn

31 Abstract:

32 Based on data from 30 provinces in China, this paper builds evaluation models for a
33 carbon emission-urbanization system that explores how to achieve low-carbon
34 development during a rapid urbanization phase. Through mathematical and statistical
35 analysis, principal component analysis and entropy evaluation methods are applied to
36 calculate index weights for a comprehensive evaluation index of carbon
37 emission-urbanization. A coordination degree model and a coupling coordination
38 degree model (CCDM) are investigated as well. Scenario analyses on the coupling
39 coordination degree of each province in different scenarios were applied to explain
40 what would happen in different scenarios with the two systems. Case studies of four
41 provinces were considered to illustrate the results, which show five basic conclusions.
42 1) Low-carbon development doesn't require eliminating energy consumption
43 completely during urbanization since the overall coupling coordination level of
44 low-carbon development and urbanization is not high in China. 2) The average level
45 of urbanization in the 30 provinces examined is relatively low owing to the large
46 disparities among provinces and the provinces' economic development. At the same

47 time, though the development of low-carbon in the 30 provinces is generally rapid,
48 the gap between the highest provinces and the lowest provinces is relatively large
49 because of their different socio-economic features. 3) Much more attention should be
50 paid to CO₂ emissions *per capita*. In doing so, the quality of public social service
51 should be improved, and basic and medical insurance coverage for the elderly should
52 be expanded. In addition, there is a need to focus on community service coverage in
53 infrastructure—to strengthen resources optimization and environmental protection,
54 and especially to encourage green design during urban construction. 4) The
55 coordination of low-carbon development and new urbanization is closely related to
56 the different development stages and geographic locations of each province. 5) For
57 different types of provinces with different degrees of coupling coordination in the
58 low-carbon development-new urbanization system, there is a need to explore different
59 development directions.

60

61 **Key words:** low carbon cities; city carbon emissions; new pattern urbanization;
62 coupling coordination

63 1.Introduction

64 Low-carbon development and urbanization are increasingly important issues in the
65 field of climate change. Low carbon development is a mode of development which
66 aims to achieve a low carbon economy through a process of de-carbonization, while
67 contributing to sustainable development and tackling climate change(Feng,2015).

68 Urbanization is the only way of modernization, an important way to solve the
69 problem of agricultural and rural farmers and to expand domestic demand and
70 promote industrial upgrading, a strong support to promote regional coordinated
71 development. The urbanization in this paper refers to the new urbanization, which
72 lead to more scientific layout and cleaner environment than the traditional
73 urbanization. The rapid pace and intensity of urbanization, along with the urgent need
74 for reducing carbon emissions (i.e., the greenhouse gases carbon dioxide (CO₂) and
75 methane (CH₄)), has raised attention to these issues in academic and government
76 circles, particularly in China. It is essential to explore methods to keep this rapid
77 development sustainable, maintaining a high quality of living by coordinating
78 urbanization and low-carbon development at the same time (Li et al., 2012). As a

79 result, this paper, which analyzes recent trends in low-carbon development and
80 urbanization in China, aims at exploring how to achieve a win-win situation between
81 low-carbon development and urbanization. China's *National New Urbanization Plan*
82 (2014 - 2020), promulgated March 27, 2014, defines the basic principles of a new
83 type of urbanization as "ecological civilization—green and low carbon," and it
84 proposes a new urbanization evaluation system. Urbanization, along with lifestyle
85 changes of urban dwellers in China, has led to a substantial increase in both energy
86 consumption *per capita* and greenhouse gas emissions in absolute terms. The
87 consequence is that the urban environment is also facing increasing pressure from it.
88 In addition to direct energy consumption by growing urban populations, the related
89 construction, transportation, and industrial production are using high levels of energy
90 and contributing to higher concentrations of GHG in the atmosphere (Guo et al.,
91 2010).

92 Different patterns of urbanization yield varying levels of carbon emissions. In
93 appropriate or inefficient urbanization practices result in higher carbon emissions
94 (Gu et al., 2009). Thus it is crucial to develop a scientific method for evaluating how

95 urbanization practices can minimize energy use and carbon emissions. This paper
96 evaluates case studies from 13 municipalities in Jiangsu Province, evaluating five
97 aspects of urbanization: economics, spatial patterns, population, lifestyles, and quality
98 of life (Ou et al., 2004). In doing so, Zenglin Han (2009) examined the index of
99 urbanization quality, which includes economic development, infrastructure,
100 employment, citizen life, social development, environment, land use quality,
101 innovation quality, and urban-rural income gap (Han et al., 2009). The *National New*
102 *Urbanization Plan* strongly promotes aggressive urbanization policy, supporting and
103 encouraging sustainable development, by providing best practices for the environment
104 of low-carbon urban centers. This effort shows the current Chinese government's
105 focus on achieving sustainable development, finding a way to use low-carbon
106 development in the trend to urbanization. In 2009, Zhilin Liu provided a new model
107 of sustainable urbanization for China, which integrated both elements of low-carbon
108 economy and low-carbon society (Liu et al., 2009). Boqiang Lin (2010) also made
109 amendments to Kaya identity (an identity stating that the total emission level of the
110 greenhouse gas carbon dioxide can be expressed as the product of four factors: human

111 population, GDP per capita, energy intensity, and carbon intensity) by introducing the
112 effect of urbanization to the factors affecting carbon dioxide emissions in the current
113 development stage (Lin et al., 2010).

114 Low-carbon development is necessary to offset the negative impacts of increased
115 urbanization in China. Theoretical and empirical works in the past do not reach a
116 solution/conclusion about the relationship between low-carbon development and
117 urbanization. This paper seeks to fill this research gap by using 2013's China
118 province-level dataset. First of all, this article has theoretically enriched the
119 relationship between urbanization and low-carbon development. Most of the existed
120 researches paid more attention to the relationship between the speed of urbanization
121 and the amount of carbon emissions, while urbanization and low-carbon
122 development need more attention on quality. This paper is based on the quantitative
123 evaluation of the relationship between urbanization level and low carbon
124 development level, which are more scientific. Secondly, this paper uses the CCDM
125 model to calculate the relationship between the low carbon development level and the
126 urbanization level, which is the first use of CCDM methods for research on

127 low-carbon development and urbanization. Thirdly, the evaluation of the urbanization
128 level in the past is based on the individual influencing factors, this article, which use
129 evaluated criteria in the 2014 *National New Urbanization Planning* for urbanization
130 assessment, is relatively objective and scientific. And for the evaluation of low-carbon
131 development level, the previous study had some shortages. For example, some studies
132 were only focus on carbon intensity and energy intensity but ignore the economic
133 factors (Zhu, 2010), some had more variables and incomplete data (Ma and Luo,
134 2011), and some used expert scoring method to determine the subjective weight of the
135 index (Chen, 2016). And this article use LBNL indicators, can clearly reflect
136 low-carbon development level in transportation, construction, industry, and society,
137 and effectively avoid the above problems. To sum up, this article expands the current
138 research of the relationship between low-carbon development level and urbanization
139 level from the theoretical and methodological index system construction.

140 “Coupling,” a phenomenon originating in the physical sciences, is when two or
141 more systems influence each other through various interactions. Coupling is now
142 widely used in studies of climate change and urbanization (Li et al., 2016; Li et al.,

143 2012). Additionally, empirical studies have focused on the nonlinear relationship
144 between urbanization, environmental Kuznet curves (EKC), and the environment.
145 However, a lack of data is an obstacle for research on the relationship between carbon
146 emissions and urbanization, especially in China.

147 The coupling coordination degree model (CCDM) proposed in this study was
148 designed to: 1) reveal the current average development level of carbon emissions and
149 urbanization in the 30 provinces; 2) identify the indicators which made the greatest
150 contribution to the two systems in the CCDM, balancing low carbon development and
151 urban development during macro policy-making to increase carbon emission and
152 urbanization quality rather than the rate of urbanization; 3) evaluate the current level
153 and development of the coupling of low carbon and urbanization; 4) explore different
154 influences on the parameters of the coupling model in different provinces.

155 2.Data and Methodology

156 Many researchers have studied the urbanization index system and presented
157 different evaluated indicators. Using three indicators from the index—systemic,
158 integrity, and availability of data—Zenglin Han and Tianbao Liu evaluated

159 urbanization quality from 10 aspects, such as economic development, infrastructure
160 contribution, employment, urban residents' living, social development, ecological
161 environment, land quality, innovation quality, and coordination of urban and rural
162 (Han and Liu , 2009).

163 Here, according to the quantitative indicators, an indicator system that can
164 measure the quality of urbanization, outlined in *National New Urbanization Planning*
165 (2014-2020), this study evaluated indicators including demographic aspects
166 (urbanization rate), public social services (unemployment rate, basic pension
167 insurance coverage in urban resident population, basic medical insurance coverage in
168 urban resident populations), infrastructure (public transportation accounts for the
169 largest proportion of transport, urban public water supply coverage, urban sewage
170 treatment capacity, living garbage treatment capacity, urban households broadband
171 access broadband subscribers, community service coverage), and environment (urban
172 green land share, urban construction land *per capita*). Entropy value for each index
173 was used to determine an urbanization score. Considering the suitability and
174 availability of data, the unemployment rate rather than the compulsory education and

175 basic vocational skills training coverage of migrant workers' children is used in our
176 paper. Because there is no index data at the provincial level for affordable housing,
177 renewable energy consumption, and green buildings, and alternative indicators
178 were not identified, these are not mentioned in the evaluation system.

179 Many domestic and foreign researchers have measured Chinese low-carbon
180 development using various index systems. For example, the low-carbon city index
181 system (LCCC)(Chinese Academy of Social Science, 2013) contains five major
182 categories and 15 indicators that can measure low-carbon development, including
183 aspects such as economy (carbon productivity, energy intensity and decoupling index),
184 energy (non-fossil energy proportion, renewable energy consumption *per capita* and
185 carbon energy intensity), establishment (public buildings' carbon emissions per unit
186 of area, public transport accounts), environment (air quality, urban public water
187 supply, forest coverage), and society (income ratio between urban and rural residents,
188 carbon emissions *per capita* and urban low-carbon management system).

189 Due to the availability of data at the provincial level, this article used the
190 evaluation system created by the Lawrence Berkeley National Laboratory

191 (LBNL)(Zhou et al., 2012; Zhou et al., 2015; Zhou and Williams, 2013).The system
192 has a clear vision of what defines a low carbon development. Those selected
193 indicators reflect the connection to different low carbon vision(economy (energy
194 consumption per unit of gross domestic produce or GDP), population (CO₂
195 emissions *per capita*), residence (residential final energy), commerce (commercial
196 final energy), industry (industrial final energy), transportation (transportation final
197 energy) and electricity (CO₂ per power produced)). They are based on data
198 availability and given consideration of local situation. They are embedded to the
199 governance structure and institutional capability so the implementation is not only
200 possible but also sustainable. With careful examination and detailed comparison, we
201 use a comprehensive, comparable, and adaptive indicator system which developed by
202 LBNL to evaluate the low carbon development.

203 Table 1. The index of low-carbon development system and urbanization system

System	Sub-System	Index
Low-Carbon Development	Economy	Energy consumption per unit of GDP(Tce/ten thousand yuan)

System	Population	CO ₂ emissions <i>per capita</i> (tons per person)
	Residence	Residential Final Energy/Capita(Tce/sqm)
	Commerce	Commercial Final Energy/Employee*(Tce/per person)
	Industry	Industrial Final Energy/Industry GDP(Tce/Yuan)
	Transportation	Transportation Final Energy/Capital(Tce/per person)
	Electricity	CO ₂ per power produced(KWh/ten thousand yuan)
Urbanization System	Demographic aspects	Urbanization rate(%)
	Public social service	Unemployment rate(%)
		Urban resident population of basic pension insurance coverage(%)
		The resident population of the basic medical insurance coverage(%)
Infrastructure	Public transportation's proportion in city	

		transportation(%)
		Urban public water supply coverage (cubic meters / day))
		Urban sewage treatment capacity (ten thousand cubic meters)
		Life garbage treatment capacity (tons / day)
		urban households broadband access broadband Subscribers (million)
		Community service coverage (%)
	Environment	Urban green land share(%)
		urban construction land per capital(m ² /per capita)

204

205 The required data were collected from the statistical yearbooks (2014) of 30
206 provinces and the *China Energy Statistical Yearbook 2014*. Data was standardized
207 using formulas (1) and (2) and eliminated the influence of dimension, magnitude, and
208 positive and negative orientation.

209 Positive indicator : $y_j = (x_j - x_{j_{\max}}) / (x_{j_{\max}} - x_{j_{\min}})$ (1)

210 Negative indicator: $y_j = (x_{j_{\max}} - x_j) / (x_{j_{\max}} - x_{j_{\min}})$ (2)

211 where x_j represents the value of indicator j, and $x_{j_{\max}}$ and $x_{j_{\min}}$ indicate the
212 maximum and minimum value of the indicator, respectively. Meanwhile, to ensure a
213 bigger system index score to represent a better index level, two methods were
214 chosen—the positive indicator and the negative indicator—for data processing.

215 The steps to get the index weight by entropy value method are as follows:

216

217 Firstly, to calculate the sample index weight:

$$218 \quad p_{ij} = x_{ij} / \sum_{i=1}^m x_{ij} \quad (3)$$

219 In the formula above, m is the total number of samples.

220

221 Secondly, to calculate the entropy of j indicator:

$$222 \quad e_j = -k \sum_{i=1}^m p_{ij} \cdot \ln p_{ij} \quad (4)$$

223 In the formula, the constant k is related to the sample m: $k=1/\ln m$, then $0 \leq e \leq 1$.

224

225 Thirdly, to calculate the utility value of each index:

$$226 \quad d_j = 1 - e_j \quad (5)$$

227 The larger d_j is, the more valuable the index x_j is, and its weight is accordingly
228 greater.

229

230 Finally, to calculate the index weight of x_j .

$$231 \quad w_j = d_j / \sum_{j=1}^n d_j \quad (6)$$

232

233 **1.The coordination of urbanization systems and low-carbon development** 234 **systems**

235

236 The level of urbanization and low-carbon development systems is the result of
237 coordination between these two systems. And the usual coordination measuring
238 method is to measure the size of of the distance between the static system and judge
239 the coordination degree. Here F and G are selected, which respectively represent the
240 urbanization system and the low-carbon development system. F(x) and G(x) measure

241 the development level, with the index x representing the urbanization system, and the
 242 index y representing the low-carbon development system. The coordination degree of
 243 these systems refers to the relative dispersion coefficient. When the relative dispersion
 244 coefficient is smaller, the coordination degree is higher. Formulas (7) and (8):

245

$$246 \quad c = \frac{2|F(x,t) - G(y,t)|}{F(x,t) + G(y,t)} \quad (7)$$

247 Transforms formula (7) to formula (8)

$$248 \quad c = 2 \sqrt{1 - \frac{F(x,t) \times G(y,t)}{\left[\frac{F(x,t) + G(y,t)}{2}\right]^2}} \quad (8)$$

$$249 \quad cl_1 = \frac{F(x,t) \times G(y,t)}{\left[\frac{F(x,t) + G(y,t)}{2}\right]^2} \quad (9)$$

250 With the calculation $F(x,t) \times G(y,t) \leq \left[\frac{F(x,t) + G(y,t)}{2}\right]^2$, a conclusion results: when cl_1 is
 251 bigger, c is smaller, and the coordination between F and G are greater. Obviously
 252 when $F(x)=G(x)$, the two systems are in the same relative level, and the index cl_1 get
 253 maximum score and the index $c=0$, which means the relative dispersion coefficient
 254 get a minimum score and F and G are in the best coordinate situation. Therefore, the

255 model is simplified, and the coordination level of these two systems is measured
256 through the score of the index cl_1 .

257

258 **2. Assessment of the degree of the coupling and coordination of urbanization**
259 **and low-carbon development systems**

260

261 The concept of coupling function originates from the physical sciences, and it can
262 be used to compare multiple systems by establishing the coupling degree model of the
263 interaction between multiple systems. Variable U_i ($i=1,2,\dots,n$) was used to represent
264 the system and promote the coupling degree model of the interaction between
265 multiple systems.

$$c_n = n \left[\frac{(u_1 u_2 \dots u_n)}{\prod (u_i + u_j)} \right]^{1/n} \quad (10)$$

266

267 where the numerator is the arithmetic product of each subsystem's overall
268 contribution (U_i), the denominator is the arithmetic product of the sum of every two
269 subsystems' overall contribution; then, the quotient extracts "n" roots. Through these
270 mathematical calculations, the subsystems' mutual relationships are combined, which

271 reveals the system's degree of coupling. The degree of coupling is decided by the
272 score of each subsystem U_i . In this paper, there are two subsystems in the coupling
273 analysis, so $n=2$, as the formula (11) shows:

$$c_2 = 2 \left[\frac{u_1 u_2}{(u_1 + u_2)^2} \right]^{1/2} \quad (11)$$

274
275 Compare the index cl_1 with index c_2 in this case, and the coordination degree of the
276 urbanization system and low-carbon system can be defined as formula (12)

$$cl = \left(\frac{F \times G}{\left(\frac{F+G}{2}\right)^2} \right)^2 \quad (12)$$

277
278 the index cl infers by c_2 and cl_1 . Considering the relative dispersion coefficient
279 formula, cl is selected to represent the coordination level that will increase the
280 layering of the data.

281 According to formula (12), $0 \leq cl \leq 1$ becomes clear, which means the coupling
282 score is between 0 and 1. When $cl=1$, the degree of coupling is the largest, and the
283 system has benign resonance and can work into a orderly new structure. When $cl=0$,
284 the degree of coupling is the smallest, and one subsystem and another (or one element
285 and another) will be uncorrelated; the coupling system will tend to be disordered.

286 Based on a review of relevant research, the median partition method was used.

287 When $0 < cl \leq 0.4$, the urbanization and low-carbon development subsystems are in the

288 low-level coupling state; when $0.4 < cl \leq 0.7$, the urbanization and low-carbon

289 development subsystems are in the rivaling state; and when $0.7 < cl \leq 1$, the

290 urbanization and low-carbon development subsystems are in the high-level coupling

291 state.

292 The index cl can represent the coordination level of urbanization and low-carbon

293 systems. However, when the development degree of these two systems are not in the

294 same level, it is difficult for this model to represent the actual level between the two

295 systems. For example, in some provinces, when urbanization system levels and

296 low-carbon system levels are both low, and the coordination degree is higher than the

297 situation that the urbanization system level is high and the low-carbon system level is

298 low. This conclusion varies from expectation, because the goal of this study was to

299 reflect the rapid and harmonious development of urbanization and low-carbon

300 systems through the coordination degree. To avoid the emergence of this situation, the

301 development level of two subsystems was input into this model to construct the

302 coupling coordination degree model of urbanization system and low-carbon
303 development system. Thus, the coupling coordination degree of two systems in
304 different provinces can be evaluated and the coupling coordination degree can reflect
305 the relatively level of the two systems at the same time. For this specific model, a new
306 variable T was added to represent the comprehensive evaluation index of the
307 urbanization and low-carbon development system, which can be calculated as
308 follows:

$$309 \quad T = \alpha F(x,t) + \beta G(y,t) \quad (13)$$

$$310 \quad D = \sqrt{cl \times T} \quad (14)$$

311 Where D is the degree of coordination, cl is the degree of coupling, and T is the
312 comprehensive coordinating index of urbanization and low-carbon development,
313 which reflects the effect or contribution of integrated synergy of urbanization and
314 low-carbon development. Both α and β are weights to be determined. Three situation
315 are compared in the model: (1) $\alpha=\beta=0.5$ (2) $\alpha=1/3, \beta=2/3$ (3) $\alpha=2/3, \beta=1/3$.

316 According to the distribution of F and G, the value of the comprehensive efficacy
317 of the subsystem, or the value of the degree of coordination D, is between 0 and 1.

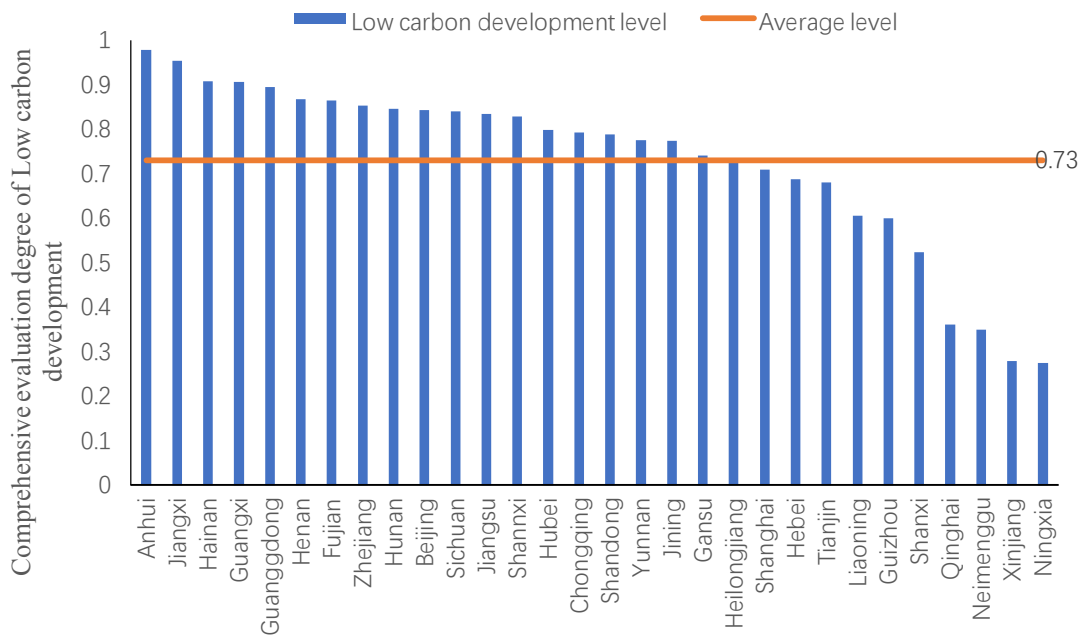
318 The higher the comprehensive efficacies that the urbanization and low-carbon
319 development subsystems contribute to the whole system, the higher the value of the
320 degree of coupling and coordination will be. Additionally, the better the urbanization
321 and low-carbon development subsystems, the more harmonious their relationship is.

322 3.Results

323 3.1 The level of low-carbon development and urbanization in each province

324 1. The low-carbon development level of each province

325 As shown in Figure 1, Anhui, Jiangxi, and Hainan are the top three cities and
326 Inner Mengolia, Xinjiang, and Ningxia are at the bottom in the rank of level of
327 low-carbon development. The average level is 0.73, a relatively high level, which
328 means that the provinces in the eastern China are at a higher level, while the
329 provinces in western China are at a lower level. Except for the last four provinces, the
330 difference is not significant.

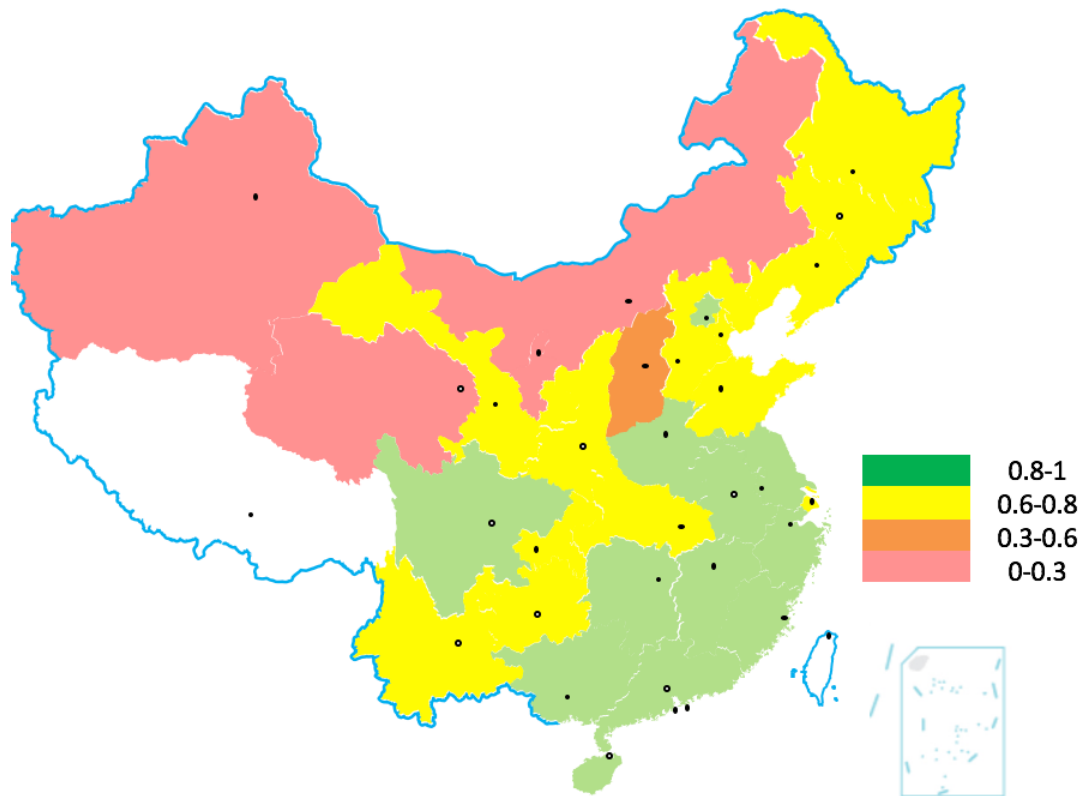


331

332

Fig 1. Levels of low-carbon development in different provinces

333



335

336

Fig 2. Levels of low-carbon development in China

337

338 2. The urbanization development level of each province

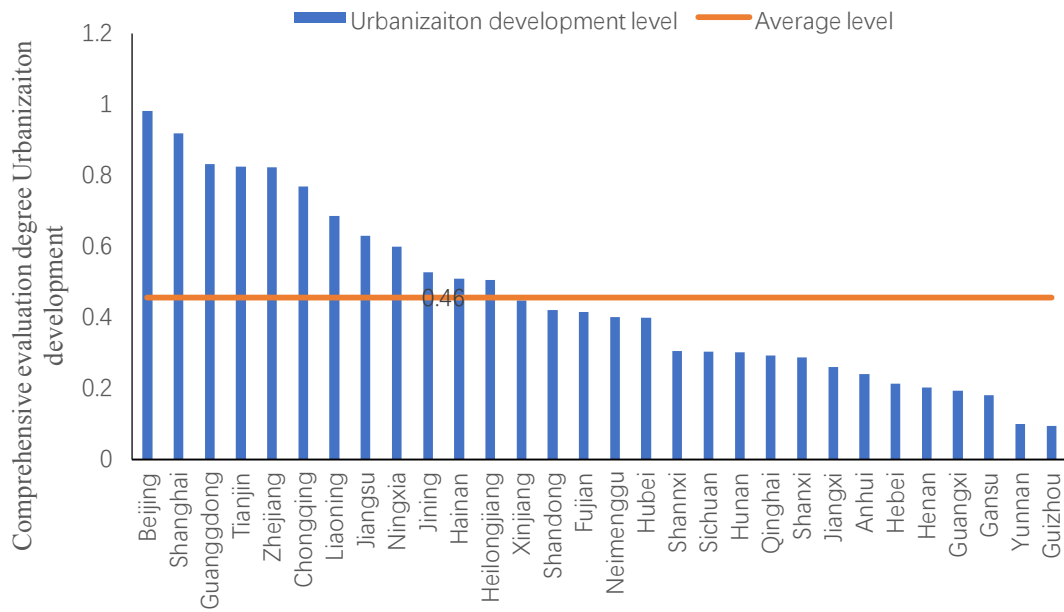
339 As shown in Figure 2, levels of low-carbon development vary significantly

340 across provinces. Beijing ranks the first, Shanghai and Guangdong follow, and

341 Guizhou, Yunnan, and Gansu rank the last in the level of urbanization development.

342 The average of level of urbanization development is 0.46, a relatively low level,

343 which shows that, for the provinces with a higher GDP, urbanization development
344 levels are higher as well.

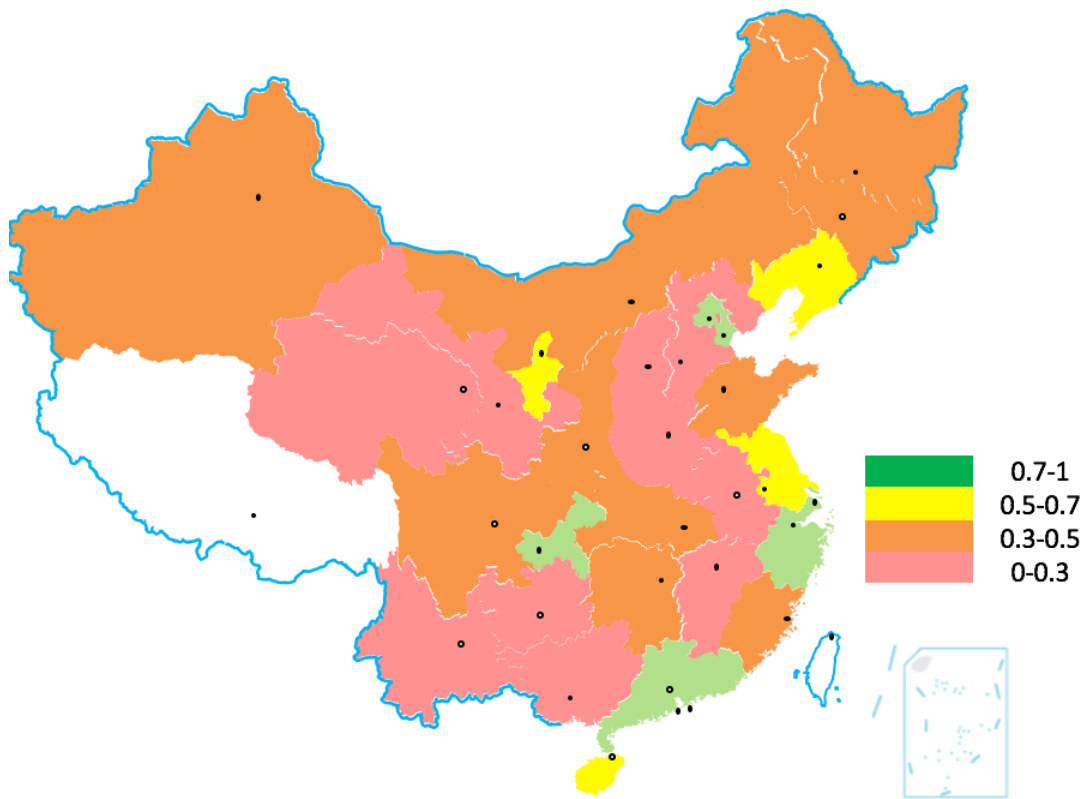


345

346

Fig 3. Level of urbanization development in different provinces

347



349

350

Fig 4. Level of urbanization in China

351

352 **3.2 Coupling coordination degree of each province**

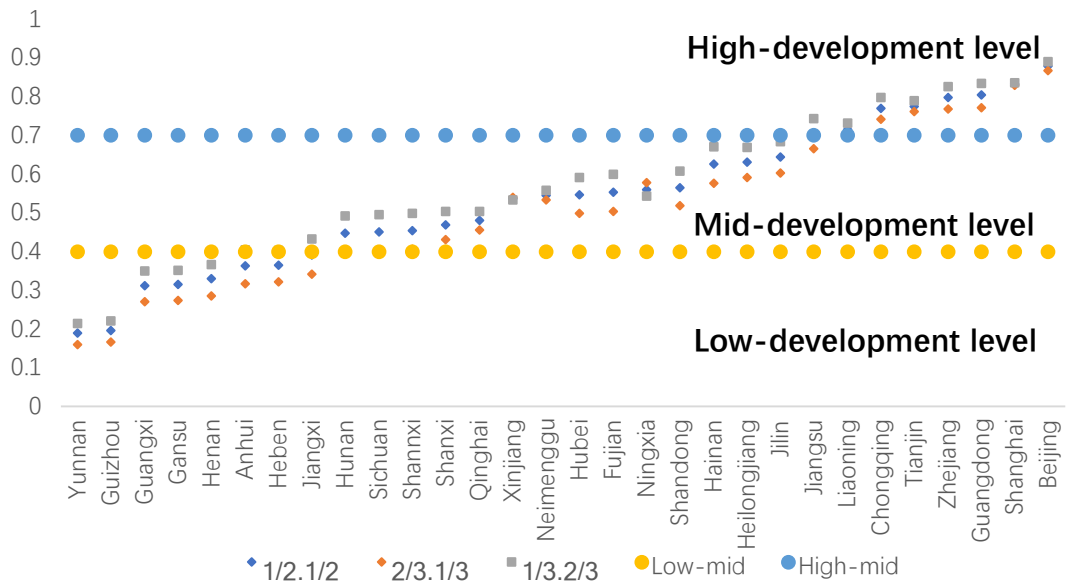
353 According to the level of urbanization and low-carbon development, different

354 weights are used to try to reduce the influence of the artificial weight assignment

355 method on the experimental results. This study uses three kinds of situations to

356 discuss urbanization and low-carbon city development: $\alpha = 1/2, \beta = 1/2$; $\alpha = 1/3, \beta =$

357 $2/3$; $\alpha = 2/3, \beta = 1/3$.

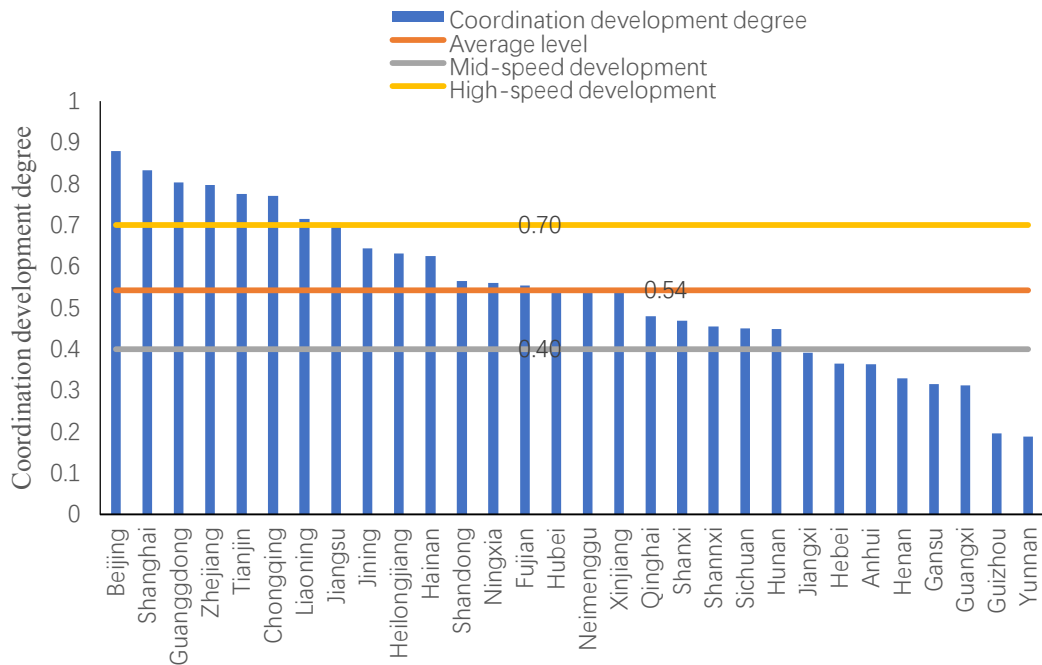


358

359

Fig 5. Coupling coordination degree in three development levels

360



361

362

Fig 6. Level of coupling coordination in different provinces

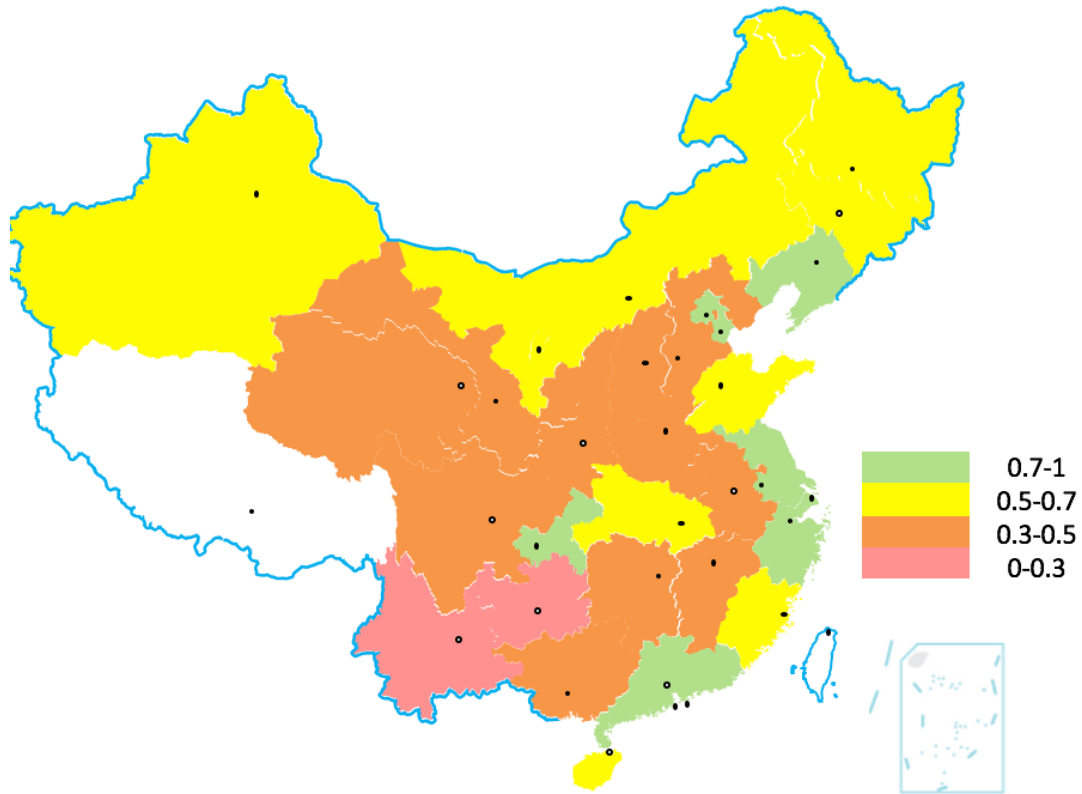


Fig 7. Level of coupling coordination in China

363

364

365

366

From the above figure, we can draw the following conclusions:

367

1. Under the three weighting methods, except for Ningxia, other provinces'

368

and cities' degrees of coordinated development will reach the maximum when $\alpha =$

369

$1/3$ and $\beta = 2/3$, and will reach the minimum value when $\alpha = 2/3$, $\beta = 1/3$. The

370

above conclusion indicates that the greater the proportion of low-carbon

371

development, urbanization and low-carbon development are, the higher degree of

372

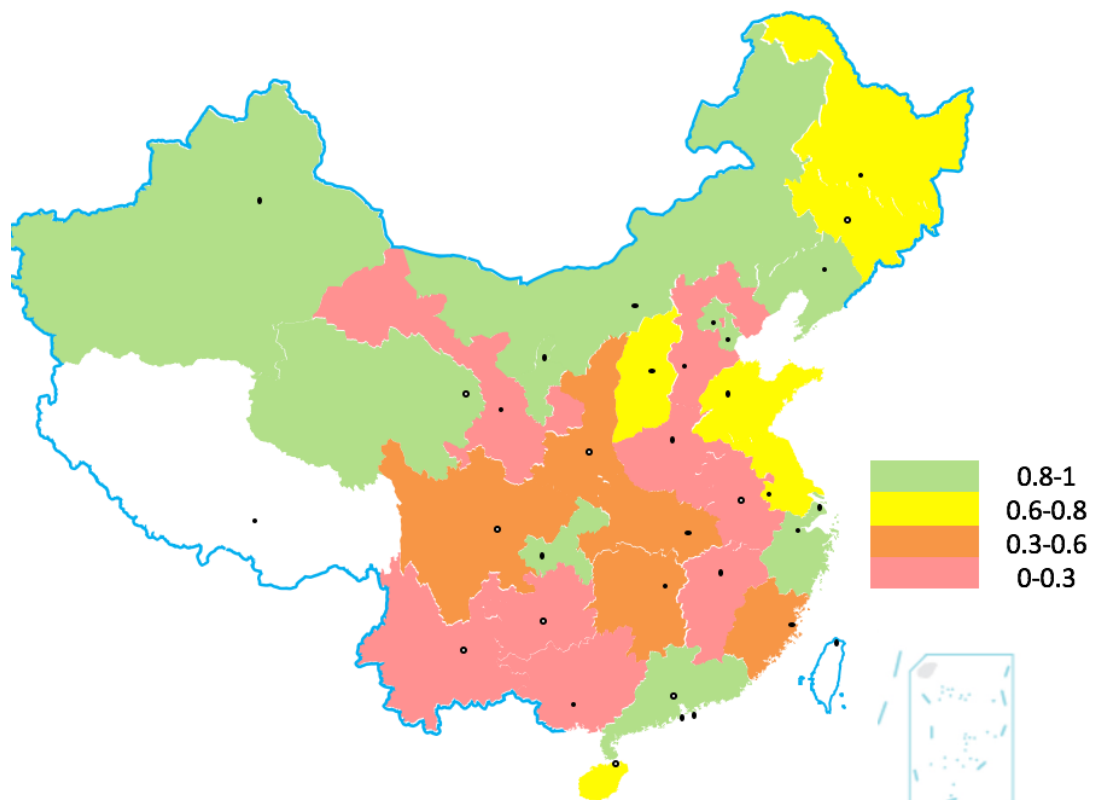
coordinated development is. Low-carbon development system insert a great

373 impact on a province's degree of coordinated development between urbanization
374 and low-carbon development. Taking Ningxia as a special case, we will discuss
375 the case in the fourth part.

376 2. Among the three levels of coordination, the majority of the provinces
377 belong to the moderate degree of coordinated development. Beijing, Guangdong,
378 Shanghai, Zhejiang, Jiangsu, Liaoning, Tianjin and Chongqing all have a high
379 degree of coordinated development. The results show that the degree of
380 coordination between the urbanization and low-carbon is lower than 0.4 in the
381 three provinces of Guizhou, Yunnan, Gansu and Guangxi. The results also show
382 that the degree of coordination is lower than 0.4, its urbanization and low-carbon
383 construction level is low, or different.

384 3. Coupling coordination degree can better reflect the two systems'
385 comprehensive development. The results show that Xinjiang, Inner Mongolia, and
386 Ningxia's urbanization system and low-carbon construction system coordination
387 are the highest: the coupling coordination degree is between 0.4 and 0.7. The
388 reason is that urbanization levels in these three provinces are very close to the

389 low-carbon construction level, but they are all at the low development level.
390 Therefore, their scores are relatively high when calculating the coordination
391 degree. The comprehensive score of the two systems is included in the final
392 calculation method. Due to the low score of the system, the degree of coordination
393 in the three provinces is reduced by a grade of coordination, which brings the
394 levels back to a moderate degree of coordinated development. Figure 8 the
395 coupling degree in the coordination model is more objective to reflect the
396 comprehensive development degree of two systems in a province, it is the key
397 point that we need attention and promotion.



399

Fig 8. Level of coordination degree in China

400

401 **3.3 The influence factor of low-carbon urbanization a development system**

402 Through the pre-processing data and entropy method, the weight of each index in

403 the system becomes clear, as shown in Table 2.

404 Table 2. Low-carbon development and urbanization

System	Sub-System	Index	Contribution
Low-Carbon Development System	Economic	Energy consumption per unit of GDP(Tce/ten thousand yuan)	0.2068
	Population	CO ₂ emissions per capita(Tons/s/per person)	0.2188
	Residential	Residential Final Energy/Capita*(Tce/sqm)	0.0725
	Commercial	Commercial Final Energy/Employee*(Tce/per person)	0.1596
	Industrial	Industrial Final Energy/Industry	0.0769

		GDP(Tce/Yuan)	
	Transportation	Transportation Final Energy/Capital(Tce/per person)	0.1006
	Electricity	CO ₂ per power produced(KWh/ten thousand yuan)	0.1648
Urbanization System	Demographic aspects	Urbanization rate(%)	0.0883
	Public social service	unemployment rate(%)	0.0637
		Urban resident population of basic pension insurance coverage(%)	0.0651
		The resident population of the basic medical insurance coverage(%)	0.1463
	Infrastructure	Public transport accounts for the proportion of transport(%)	0.0454
		Urban public water supply coverage (cubic meters / day))	0.0671

		Urban sewage treatment capacity (ten thousand cubic meters)	0.0719
		Life garbage treatment capacity (tons / day)	0.0692
		urban households broadband access broadband subscribers (million)	0.0215
		Community service coverage (%)	0.1773
	Environment	urban green land share(%)	0.1308
		urban construction land per capital(sq.m/per person)	0.0533

405

406 Table 2 shows seven sub-indicators. In the low-carbon development system, the
407 weight of CO₂ emissions *per capita* is 0.2188, and energy consumption per unit of
408 GDP is weighted at 0.2068. This means that CO₂ emissions *per capita* and energy
409 consumption per unit of GDP have had a profound effect on the low
410 carbon-urbanization development system. For other indicators, CO₂ per power

411 produced, Commercial Final Energy/Employee and Transportation Final
412 Energy/Capital, Industrial Final Energy/Industry GDP and Residential Final
413 Energy/square respectively are weighted at 0.1648,0.1596, 0.1006, 0.0769, and
414 0.0725. In the urbanization system, and in sub-system public social service,
415 unemployment and urban resident population of basic pension insurance coverage
416 have the biggest effect. Public transport's proportion of transport and urban
417 households broadband access broadband subscribers account highly for the
418 infrastructure sector.

419 While community service coverage weights 0.1773 and the resident population of
420 the basic medical insurance coverage, and urban green land share weight 0.1463,
421 0.1308, respectively, community service coverage, the resident population of the basic
422 medical insurance coverage and urban green land share dramatically affected the low
423 carbon development - urbanization development system.

424 4. Case study

425 4.1 Coupling coordination

426 As shown, the average urbanization level is 0.46. This proves that most provinces'
427 urbanization comprehensive score in China are still in the low levels, and there is
428 room for further development. The urbanization levels of the four provinces of
429 Guangxi, Gansu, Yunnan, and Guizhou are below 0.2. Their development indicators
430 are in the low level, indicating that the level of urbanization needs to be improved. At
431 the same time, these four provinces are also located in the low level of coordinated
432 development among the four provinces, showing that a low level of urbanization
433 development largely affected the degree of their harmonious development in the final
434 estimates.

435 The average low-carbon development level is 0.73, and this result is contrary to
436 our expectations. Most of the provinces and cities with low-carbon development have
437 achieved good results. Anhui, Jiangxi, Hainan, Guangxi, and other provinces'
438 integrated levels of low-carbon development have reached more than 0.9. This may be
439 related to the inclination of promoting low-carbon city construction in these

440 provinces., with an aim to reach carbon dioxide emissions peak target by the year
441 2030. The provinces and cities, driven by national goals combined with their own
442 efforts, quickly developed low-carbon city construction practices. The five provinces
443 with best low-carbon development scores are Shaanxi, Qinghai, Inner Mongolia,
444 Xinjiang, and Ningxia. These five provinces are located in the northwest, with dry
445 climates in desert and Gobi areas, which may be good locations for the development
446 of heavy industry. Such industry would cause the high-level carbon emission.

447 Four typical case studies are presented below: 1) Ningxia: high level of
448 urbanization but low level of low-carbon construction; 2) Anhui: low-carbon
449 construction level is relatively high but low level of urbanization; 3) Beijing: level of
450 urbanization and low-carbon construction both at high levels; 4) Guizhou:
451 urbanization and low-carbon construction both at low levels.

452 Ningxia is located in the northwest of China and is a gathering area for ethnic
453 minorities. In recent years, Ningxia's autonomous region has been adhering to new
454 industrialization, information technology, and agricultural modernization. The region
455 has been promoting new urban construction, constructing new infrastructure,

456 promoting industrial development, starting trade logistics projects, and accelerating
457 population, industry, resources and other elements for urban development. Due to the
458 non-agricultural population reduction, urbanization levels also tend to be improved.
459 At the same time, Ningxia's geographical location has led to issues such as serious
460 soil erosion, desertification, air pollution, water pollution, soil pollution, and heavy
461 metal pollution. Therefore, the low-carbon development level is low, and there is still
462 a long way to go. Through new urbanization and optimization of energy consumption
463 and other measures, low-carbon levels can be improved.

464 Anhui is located in the middle and lower reaches of the Yangtze River
465 Basin, which is near to Jiangsu, Zhejiang, and other developed provinces in the east of
466 China. There are many mountainous areas in Anhui Province, with great forests,
467 providing great potential for forestry and agriculture development—and playing an
468 important role in promoting the development of low-carbon economy in Anhui
469 Province. At the same time, automobile manufacturing constitutes the main economic
470 development industry in Anhui. In recent years, reduced fuel consumption in civilian
471 cars, and new cars with low-emissions, energy savings, and environmental protection

472 have improved the efficiency of new vehicles, playing a significant role in upgrading
473 the low-carbon development level of Anhui. Thus, the low-carbon development level
474 in Anhui Province is high. Considering the level of urbanization, as well as terrain
475 restrictions and regional restrictions, Anhui's population and industrial development
476 pace is inconsistent, industrial restructuring has not yet formed industry development
477 effects, the secondary industry doesn't reach the national average level, the
478 development of third industry is slow, and urban and rural dual structure and more
479 stringent household registration management systems also curb the process of
480 urbanization in Anhui Province. Therefore, Anhui Province has been in a relatively
481 low level of urbanization compared to the level of the low-carbon development.

482 Beijing, located in the eastern developed areas, is the capital of China, and is also
483 China's political and cultural center. Beijing's better infrastructure and more
484 reasonable urban industrial structure, the percentage of tertiary industry in Beijing is
485 80.3%, 30% higher than the national average level respectively, lead to a higher level
486 of urbanization. Similarly, total energy consumption in Beijing is growing more
487 slowly than the GDP. Beijing's overall development is relatively energy efficient, with

488 energy consumption, industrial and *per capita* carbon emissions, carbon productivity,
489 and other indicators at very high levels. Beijing is also one of the first national
490 low-carbon pilot cities, and capital investment and policy support have made its
491 low-carbon development levels higher, surpassing the national average.

492 Guizhou is located in the southwest of China. It has a low level of industrial
493 development, and the agricultural population is relatively large. Its economic level is
494 low because it is located in the Yunnan-Guizhou Plateau where transportation is
495 difficult to develop and land for industrial development is lacking. Its infrastructure
496 construction is poor, too, so that the level of urbanization is accordingly lower.
497 Although the forest coverage in Guizhou is higher than some other provinces, energy
498 consumed for economic and social development as well as industrial energy
499 consumption has increased rapidly because of the low level of urbanization. This has
500 led to an increase in *per capita* carbon emissions and other indicators; the province's
501 energy structure and energy efficiency is still inadequate. Therefore, low-carbon
502 development level is also low.

503 4.2 Impacts of the coordination degree

504 For the low-carbon development system, the three most important indices are
505 carbon dioxide *per capita*, energy consumption per unit of GDP, and CO₂ per unit of
506 electricity produced.

507 Carbon dioxide consumption *per capita* is the ratio of the total carbon dioxide
508 emissions to the population of the province, which reflects the emission levels of all
509 aspects of social life. In data from 30 provinces, the best performance comes from
510 Jiangxi Province, with its 4.96 tons of emission *per capita*. Inner Mongolia, as an
511 industrial and powerful generation province, has the highest CO₂ emissions *per*
512 *capita* in the country (22.52 tons), compared with the national average emission level
513 (10.88 tons). The difference between the maximum value and the minimum value is
514 significant, which indicates that development levels in each province and the *per*
515 *capita* emission control situations are quite different. In the northwest area such as
516 Inner Mongolia, Ningxia, and Xinjiang, where are the base for energy production and
517 supply for the entire country, including coal, oil and natural gas, vegetation is poor
518 and industrial emissions is high. There is much room for improvement.

519 Energy consumption per unit of GDP is also an important index for evaluating
520 low-carbon level, which comprehensively reflects the energy consumption situation in
521 economic growth. In 2014, the national average energy consumption per unit of GDP
522 in China was 1.006 tons per 10,000 Yuan, 2.2 times the world average, despite in
523 Beijing, Shanghai, Guangdong, and other developed eastern provinces, the index
524 scores are better. The index score in Beijing is 0.437, basically the same as the
525 world's average. At the same time, Qinghai, Ningxia, Xinjiang, and other northwest
526 provinces result in higher energy consumption per unit of GDP due to heavy industry,
527 coal-fired power generation, and other reasons. The index score in Ningxia reached
528 2.206, two times the national average level. The poorer-performing provinces should
529 focus on improving the energy consumption through the development of clean energy,
530 industrial transformation, and other methods.

531 Carbon dioxide emissions per unit of electricity production shows the relationship
532 between power generation and carbon dioxide emissions, and is also an index of the
533 provincial power generation structure. The share of the fossil energy power generation
534 in a power structure directly affects carbon dioxide emissions. Renewable and nuclear

535 energy development can greatly reduce the value of this index. At present, average
536 emissions in China's 30 provinces is 1.037 kg / kWh; emissions in Beijing, Jilin,
537 and Tianjin show better performance. The emission in Beijing is 0.461 kg / kWh.
538 Emissions in Qinghai, Ningxia, Xinjiang, and other northwestern regions are high due
539 to the coal-fired power generation and larger transport processes. Emissions in
540 Qinghai are 3.186 kg / kWh, three times the national average. These provinces need to
541 focus on improvement.

542 For urbanization, the three indicies with the greatest influence are: basic medical
543 insurance coverage rate, community service organization(containing Nursing homes
544 and community hospitals and so on) coverage rate, and the built-up area green-land
545 coverage rate, among which the community service organization coverage ratio is the
546 largest.

547 Community service refers to the public service and other material, cultural, and
548 life services provided by the government, community neighborhood committee, and
549 other forces directly for the members of society, including medical and health services,
550 life services, children and children services and social welfare services. It is an

551 important part of the process of urbanization, and provides an important part of the
552 quality of life of community residents. According to the data in 2014, comprehensive
553 urban community service in China is 40%, lower than the world average, and the
554 difference between regions in China is very large. The coverage rate in Guangdong is
555 175% (considering different types of community services), which is the highest in the
556 country, and Yunnan, Qinghai, and other western provinces have community service
557 coverage still less than 10%, mainly due to the mountainous rural population
558 (community services in remote areas can not meet normal living requirements).
559 Therefore, the proportion of community services can reflect the level of urbanization
560 and has become an important factor in urbanization evaluation.

561 Basic medical insurance coverage refers to the proportion of urban residents who
562 purchased medical insurance. The medical security is the most important part of the
563 social security system, which is related to the personal health of the residents. Medical
564 resources is important in the process of urbanization development. According to 2014
565 data, the coverage rate of medical insurance in Chongqing, Guangdong, and Zhejiang
566 provinces has reached 100%, while the coverage rate of basic medical insurance for

567 resident population in southern China such as Guizhou, Guangxi, and Fujian is still
568 less than 60%. One of the key issues in the next stage of development should be
569 health coverage for migrant workers.

570 Urban green coverage rate refers to the coverage ratio of plants, including
571 shrubs and herbs, in urban areas. Under the background of new urbanization
572 construction and low-carbon urbanization development, green coverage rates directly
573 affect living comfortability and have a great influence on urban residents' quality of
574 life. In 2014, the greening rate in urban areas in 30 Chinese provinces was about
575 38.79%; the greening coverage rate in Beijing reached 47.1%, the highest level
576 among 30 provinces. The greening coverage rate in Jilin and Qinghai provinces is
577 only 31.2%. In recent years, little attention has been paid to protecting vegetation
578 during rapid expansion of urban centers, resulting in shrinking areas of urban greenery.
579 This indicator is also closely associated with the low-carbon combination, showing
580 that low-carbon living during the process of urbanization also occupies an important
581 position.

582

583 5. Key findings and discussion

584

585 Since no development can be done entirely without energy consumption during

586 urbanization, the coupling coordination level of low-carbon development and

587 urbanization is not high in China. Of 30 provinces, 23% are at a relatively high level,

588 higher than 0.7, 27% of 30 provinces are at a relatively low level, lower than 0.4, and

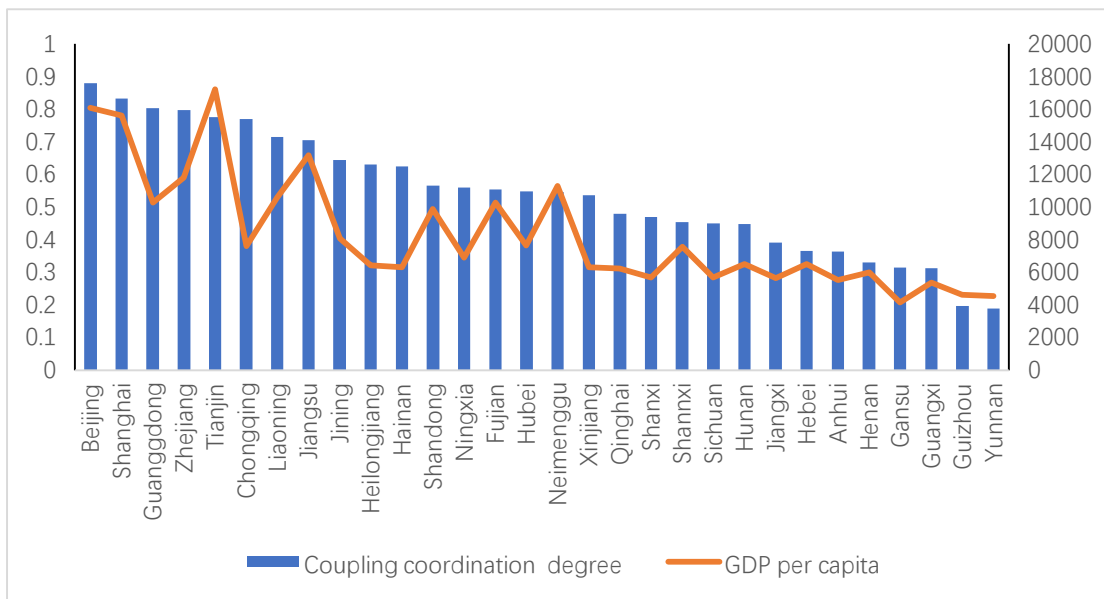
589 50% of provinces occupy the midium level, between 0.4 and 0.7. The provinces with

590 the midum and low levels accout for 77%, which shows that development should

591 ensure minmimal dependence on energy consumption during urbanization.

592

593



594

595 Figure 9. The coupling coordination development and GDP per capita in 30 provinces

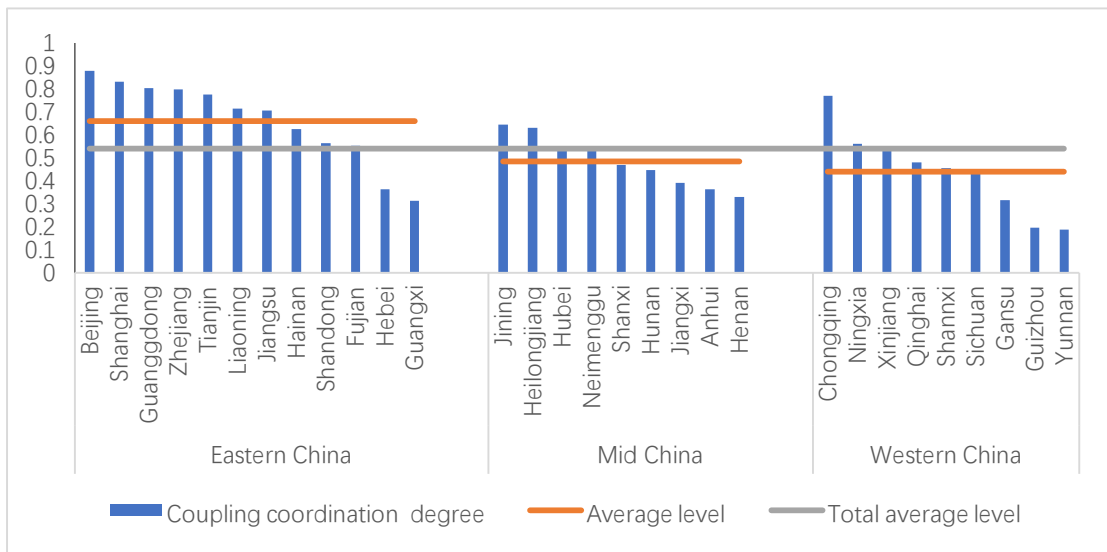
596

597 Overall urbanization levels in the 30 provinces are relatively low, and there is a
598 large disparity among those provinces owing to varying levels of economic
599 development. The average level of urbanization in the provinces is 0.45; only 12
600 provinces are higher than the average level, which accounts for 40% of all provinces.

601 Low-carbon development in the 30 provinces is generally high, but the gap
602 between the highest-scoring provinces and the lowest-scoring provinces is relatively
603 large because of their different geographical features. The highest-scoring area is
604 Beijing, with an index of 0.98, and the lowest is Ningxia, with an index of 0.27. Most
605 cities' low-carbon development level is higher than the average urbanization level,
606 which indicates that low-carbon development has a leading role in urbanization.

607 To further develop China's low-carbon urbanization, in addition to strengthening
608 policy design and the control of carbon emission intensity in the carbon emission
609 management system, attention should be paid to the CO₂ emissions *per capita*. In the
610 process of urbanization, the quality of public social service should be improved, and

611 medical coverage for seniors should be expanded. In addition, there is a need to
 612 improve community service coverage in infrastructures, to strengthen resource
 613 optimization and environmental protection, and especially to improve the green
 614 design in urban construction.



615

616 Figure 10. The coupling coordination development in different regions

617

618 The coordination of low-carbon development and new urbanization in a province
 619 is closely related to its developmental stage and geographic location. China's eastern
 620 provinces have the highest degree of coordinated development; western provinces are
 621 at the lowest degree of coordinated development. The reason is that urbanization
 622 levels of western provinces are lower, but the dependence of economic development

623 on energy consumption is higher. In addition, the level of coupling coordination in the
624 eastern provinces and western provinces, compared to provinces in the same region, is
625 different, which indicates that the two regions of the provinces in the low-carbon
626 development have significant gaps between the urbanization and low-carbon
627 development. Therefore, more attention should not only be paid to the middle east and
628 west provinces located in different stages of development and geographical location,
629 but also to explore and study the convergence and differences in the construction of
630 low-carbon urbanization in different provinces of the same region.

631 For provinces with different degrees of coupling coordination in the low-carbon
632 development - new urbanization system , there is a need to explore in different
633 developmental directions. Since most low-carbon-low-urbanization provinces, such as
634 Guizhou and Gansu, are located in western regions, their economic development
635 and urbanization processes are over-reliant on energy consumption. Sufficient funds
636 and policy support in resource and environment optimization are also in shortage in
637 these provinces, thus causing an extreme lack of coordination between low-carbon
638 development the new urbanization system. This is an unsustainable development

639 process, indicating an urgent need to change. For these provinces, the promotion of
640 economic development still plays a dominant role. Therefore, to promote economic
641 growth, refine economic restructuring, lay a sound foundation for economic growth,
642 and to contribute to low-carbon development, it is necessary to construct "low-carbon
643 supporting industries" based on the specific needs of the province. The low- and
644 medium-carbon urbanization provinces, such as Shandong and Hubei, are currently
645 undergoing low-carbon development and new urbanization, and the economic
646 structure is already under transformation. For these provinces, the bottleneck of
647 economic development through industrial restructuring need to be broken. Meanwhile,
648 in addition to improving the quality of public services and infrastructure construction,
649 the carrying capacity of resources and environment is also important to avoid
650 high-development–low-coordination. On the other hand,
651 low-carbon-high-urbanization provinces, such as Tianjin and Liaoning, will have to
652 drive low-carbon development through urbanization in the future. For high-low
653 carbon-low urbanization provinces, such as Anhui and Jiangxi, their future focus on
654 construction should be improving the quality of urbanization and strengthening public

655 services, infrastructure construction, and environmental resources protection. The
656 provinces with high and low carbon-high urbanization, such as Beijing and
657 Guangdong, are more economically developed and are more coordinated in
658 addressing the relationship between new urbanization and low-carbon development.
659 Under the circumstances of developed economy, there are sufficient funds to
660 improve the quality of urbanization with basic public services and infrastructure
661 construction, but then the carrying capacity of resources and environment in the
662 process of urbanization becomes an issue, to reduce the dependence on carbon
663 emissions to get a sustainable development model.

664 6.Acknowledgements

665 Ye Qi is the corresponding author of this article. The authors are grateful to all the
666 researchers in LBNL who shared their knowledge and contributed to the authors'
667 work, and to the editors and referees for their valuable comments to an earlier version
668 of this article.

669

670 Reference

- 671 Chen,Y.,2016. Research on Urban Low-Carbon Development Level Evaluation
672 and Case Studies. Environmental Science & Management.
- 673 Feng,S.,2015.Low-carbon City and New-type Urbanization Proceedings of
674 Chinese Low-carbon City Development International Conference.
- 675 Gu,C.,et al.,2009 Research Progress of Climate Change, Carbon Emission and Low
676 - carbon Urban Planning. Journal of Urban Planning, 38-45.
- 677 Guo,W.,Huang,J.,Yang,W.,Zhang,J.,2010.Low-carbon Urbanization: New
678 Coordinate of Urban Development in China, China Opening Journal.20-25.
- 679 Han,Z.,Liu,T.,2009.Analysis of the characteristics and spatial differences of
680 urbanization quality of cities at prefecture level and above in China. Geographical
681 Research 28, 1508-1515.
- 682 Lin,B.,Liu,X.,2010.China's Carbon Dioxide Emissions under the Urbanization
683 Process: Influence Factors and Abatement Policies [J]. Economic Research Journal
684 8, 66-78.
- 685 Li,Q.,Wei,L.,Dong,Y.,2016. Coupling analysis of China' s urbanization and carbon
686 emissions: example from Hubei Province. Natural Hazards 81, 1333-1348.
- 687 Li,Y., Li,Y., Zhou,Y., Shi,Y., Zhu,X.,2012. Investigation of a coupling model of
688 coordination between urbanization and the environment. Journal of
689 environmental management 98, 127-133.
- 690 Liu,Z.,Dai,Y.,Dong.C.,Qi,Y.,2009.Low carbon city concept and international
691 experience.. Urban Studies, 1-7+12.
- 692 Ma,L.,Luo,T.,2011.Comprehensive Evaluation and Analysis of Urban Low - carbon
693 Development Level in China. China Market Think Tank, 121-123.
- 694 Ou,M., Li,W., Liu,X., Chen,M., 2004. Comprehensive measurement of district's
695 urbanization level--A Case Study of Jiangsu Province. Resources and
696 Environment in the Yangtze Basin 13, 407-412.
- 697 Reconstruction of China low-carbon city evaluation indicator system : a
698 methodological guide for application. (Social Science Academic Press, 2013).
- 699 Zhou,N.,He,G.,Williams, C., 2012. China's Development of Low-Carbon Eco-Cities
700 and Associated Indicator Systems.

701 Zhou,N., He, G., Williams, C., Fridley, D., 2015. ELITE cities: A low-carbon eco-city
702 evaluation tool for China. Ecological Indicators 48, 448-456.

703 Zhou,N.,Williams, C., 2013. An International Review of Eco-City Theory, Indicators,
704 and Case Studies. Technical Report.

705 Zhu,X.,2010 A Comparative Analysis of Low Carbon Development Level in the
706 World.China Opening Journal, 44-47.

707

708