

18 **Highlights:**

- 19 Developed a new multi-scale daily SPI suitable for different drought types.
- 20 The drought events monitored by the new daily SPI are basically consistent with
- 21 historical records.
- 22 The characteristics of drought events in mainland China do not increase
- 23 significantly.
- 24 The multi-scale daily SPI data set is freely available to the public.
- 25
- 26

Abstract:

 With the increasing shortage of water resources, drought has become one of the hot issues in the world. The standardized precipitation index (SPI) is one of the widely used drought assessment indicators because of its simple and effective calculation method, but it can only assess drought events more than one month. We developed a new multi-scale daily SPI dataset to make up for the shortcomings of the commonly used SPI and meet the needs of drought types at different time scales. Taking three typical stations in Henan, Yunnan and Fujian Province as examples, the drought events identified by SPI with different scales were consistent with the historical drought events recorded. Meanwhile, we took the 3-month scale SPI of soil and agricultural drought as an example, and analyzed the characteristics of drought events in 484 stations in Chinese mainland. The results showed that most of the drought events the mainland China did not increase significantly, and some parts of the northwestern Xinjiang and Northeast China showed signs of gradual relief. In short, our daily SPI data set is freely available to the public on the website https://doi.org/10.6084/m9.figshare.14135144, and can effectively capture drought events of different scales. It can also meet the needs of drought research in different fields such as meteorology, hydrology, agriculture, social economy, etc.

Keyword: Drought, Water Deficit, SPI, China, dataset

1. Introduction

 Drought is the most frequent, complex, chronic, and severe natural disasters worldwide (Wang et al., 2014; Wang et al., 2015; Zhong et al., 2019). Drought areas caused by water deficit have significantly spread in the past several decades over China because of climate change (Chang et al., 2016), drought situation in China will exacerbate in the future decades (Chen and Sun, 2017), the northwestern China is suffer to severe water resources crises and drought risk (Yao et al., 2018). Drought can lead to the adverse effects on drinking water, water resources availability, agricultural production and yield, and ecological environment and ecosystems stability (Passioura, 2007; Heim Jr, 2002; Ledger et al., 2011). drought is also one of the most significant stress factors that greatly result in reduction of agricultural production and crop yield, further causes food security issues and even starvation (Farooq et al., 2016). Drought have induced the severe economic impacts (Wang et al., 2014; Wang et al., 2015), annual approximately 221 billion dollarsloss are caused by the drought worldwide from 1960 to 2016 according to statistics of the International Disaster Database (EM-DAT), and drought in China brought in direct economic losses of about USD 10 billion annually between 2004 and 2013 (Hao et al., 2020). Drought monitoring and evaluation have become the hot topics of discussion and attracted the attention from hydrologists, ecologist, geographer, meteorologists, and other the non-scientists (Todisco et al., 2013; Osorio and Galiano, 2012), there are evidences that drought are intensifying in this century in spatial and temporal terms under climate change (Solomon et al., 2007). it lacks to assess the evolution and spatial-temporal characteristics of drought resulting

 from water anomalies at the country scale (Wang et al., 2015; Wang et al., 2014). Therefore, it is imperative to evaluate and monitor and assess the drought characteristics using the long time series data at the large scale, this can play the important role in water resources management, responses to alleviating drought and drought risks management.

 Drought definition is diversified, a common definition of drought is the water deficiency and shortage of precipitation in certain a period (Kim et al., 2018), however, American Meteorological Society (AMS) considers the different drought definition, and divides droughts into four main categories including meteorological drought, agricultural (soil moisture) drought, hydrological drought, and socioeconomic drought (Ams, 1997; Malakiya and Suryanarayana, 2016). Drought indices are developed as the effective tools to monitor and evaluate the spatial-temporal characteristics of different type drought (Ding and Peng, 2020; Wang et al., 2015), because the indices can facilitate communication between water deficit (or anomalies) and numerous stakeholders (or user audiences) (Abeysingha and Rajapaksha, 2020). In the past, the three most popular and representative drought indices are the standardized precipitation index (SPI) (Mckee et al., 1993), the Palmer drought-severity index (PDSI) (Palmer, 1965), and the standardized precipitation evapotranspiration index (SPEI) (Vicente- Serrano et al., 2010b). The other widely used indices include the surface water supply index (SWSI) (Valipour, 2013), the evaporative demand drought index (EDDI) (Hobbins et al., 2016), the Vegetation Condition Index (VCI) (Kogan, 1990), the temperature condition index (TCI) (Kogan, 1995), the vegetation TCI (VTCI) (Wang

 et al., 2001), the crop moisture index (CMI) (Palmer, 1968), the standardized streamflow index (SSI) (Vicente-Serrano et al., 2012), and the Standardized Soil Moisture Index (SSMI) (Hao and Aghakouchak, 2013). Theses drought indices are calculated by the hydrometeorological variables or remote sensing data (Zhiña et al., 2019), the indices except of SPI and SPEI lack multi-time scale characteristics for monitoring the different type drought (Vicente-Serrano et al., 2010b). Although SPEI considers the water balance (the difference between precipitation and evapotranspiration) with the multi-time scale (Wang et al., 2015), its calculation requires the reference evapotranspiration parameter of the research areas or stations, the results of SPEI varied because of the different method in calculating reference evapotranspiration with the same input data (Beguería et al., 2014; Vicente-Serrano et al., 2010b). SPI has the advantage of the simplicity of calculation procedure and flexibility of the different time scale (Mckee et al., 1993), it has been adopted by the World Meteorological Organization as global tool to monitor characteristics since 2009 in the 'Lincoln declaration on drought indices' (Hayes et al., 2011; Mckee et al., 1993). Thus, SPI is effective tool and index to monitor the different kinds of drought and to enable early drought warnings.

 The value of SPI standardized the deviation from the mean of precipitation, and can allow to compare the dry (water deficit) or wet (water surplus) condition (Mckee et al., 1993). SPI not only has simplicity of calculation and spatial comparability in humid and arid zones (Guttman, 1998; Vicente-Serrano et al., 2010b), but also has the capability to obtain and recur the drought events detected by other indices (Maccioni et

 Our primary aim is to produce and provide a daily drought index dataset with long time series (1961-2018) at the observation meteorological stations over the mainland China, the dataset can be used to monitor and evaluate the different kind of drought

2. Data Sources and Methods

2.1 Data Sources

 We used the daily precipitation data of 484 meteorological stations in mainland China from 1961 to 2018 provided by the China Meteorological Data Sharing Service Platform to calculate the SPI dataset (http://data.cma.cn/). These data have undergone strict quality control on the platform and have been widely used in the calculation of various drought indices and drought assessments (Li et al., 2019). The platform provides free meteorological data of 839 meteorological stations in mainland China. In order to ensure the continuity and completeness of data records, we selected precipitation data from 484 stations for calculation and analysis. The distribution of

156 these 484 stations is shown in Fig 1.

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158

159 **Figure 1.** The distribution of meteorological stations across the mainland China,

160 including three typical stations (station 53898 in the Henan, station 56856 in Yunnan,

161 and station 58847 in Fujian).

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163 **2.2 Daily SPI Calculation**

 The daily SPI can be obtained by fitting and normalizing precipitation data with different probability distribution functions. Many studies have explored the effects of different probability distribution functions on SPI calculation (Sienz et al., 2012; SoˇLáková et al., 2013). The commonly used probability distribution functions for calculating SPI are the gamma distribution Weibull distribution, Gumbel distribution and so on. Among them, the gamma distribution is the best distribution in SPI calculation for its relatively flexible shape parameter (Stagge et al., 2015). Before calculating the probability distribution, we need to obtain the cumulative precipitation series of different time scales. In this study, we used the following functionsto construct the daily precipitation series at different time scales (30 days as an example):

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$$
X_{i,j}^k = \sum_{l=31-k+j}^{30} P_{i-1,l} + \sum_{l=1}^j P_{i,l} \quad , \quad \text{if } j < k \text{ and}
$$

175
$$
X_{i,j}^k = \sum_{l=j-k+1}^j P_{i,l} , \quad \text{if } j \ge k
$$

176 Where, the $X_{i,j}^k$ is the cumulative precipitation in a given day j and year i at time scale k (days). $P_{i,l}$ is daily precipitation in day j and year i .

178 Then, we introduced gamma probability distribution function to calculate the 179 probability distribution of accumulated precipitation series. The probability density 180 function is as follow:

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$$
f(x) = \frac{1}{\beta^{\gamma} \Gamma(\gamma)} x^{\gamma - 1} e^{-\frac{x}{\beta}}, \quad x > 0
$$

182
$$
\Gamma(\gamma) = \int_0^\infty x^{\gamma - 1} e^{-x} dx
$$

183 Where, the random variable x is the cumulative precipitation series in a certain time

184 scale. $\beta > 0$ and $\gamma > 0$ are scale and shape parameters respectively, which can be

185 calculated by the maximum likelihood estimation method as follow:

186
$$
\hat{\gamma} = \frac{1 + \sqrt{1 + \frac{4}{3}A}}{4A}
$$

$$
\hat{\beta} = \frac{\bar{x}}{\hat{\gamma}}
$$

$$
A = \lg \bar{x} - \frac{1}{n} \sum_{i=1}^{n} \lg x_i
$$

189 Where, x_i is the cumulative precipitation series in a certain time scale. *n* refers to the 190 number of the precipitation series sample. \bar{x} refers to the average of the precipitation 191 series sample.

192 Suppose the precipitation x_0 at a certain time scale, the probability that the 193 random variable x is less than x_0 is:

$$
P(x < x_0) = \int_0^{x_0} f(x) \, dx
$$

195 Since the domain of the gamma function does not include the case of $x = 0$, while

196 the actual precipitation may be 0, the piecewise probability distribution is then:

197
$$
P(x) = \begin{cases} P_0 + (1 - P_0)F(x) & x > 0 \\ \frac{m+1}{2(n+1)} & x = 0 \end{cases}
$$

198 Where, P_0 refers to the historical ratio of periods with zero precipitation. $F(x)$ is the 199 probability distribution for samples with detectable accumulated precipitation. n and 200 m represent the number of samples and the number of samples where total 201 precipitation equals zero.

202 Next, the gamma probability distribution is normalized:

203
$$
P(x < x_0) = \frac{1}{\sqrt{2\pi}} \int_0^{x_0} e^{-\frac{z^2}{2}} dz
$$

204 Finally, we can get the SPI value:

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$$
SPI = z = S \frac{c_0 + W - c_1 W - c_2 W^2}{1 + d_1 W + d_2 W^2 + d_3 W^3}
$$

$$
W = \sqrt{\ln \frac{1}{P^2} \begin{cases} P = 1 - F(x) & , S = -1 \\ P = 1 - P & , S = 1 \end{cases}} \quad F(x) \le 0.5
$$

207 Where, the constants are c_0 = 2.515517, c_1 =0.802853, c_2 =0.010328, d_1 = 1.432788,

208 d_2 =0.189269, and d_3 =0.001308.

 Based on the commonly used monthly SPI, we developed daily SPI in different time scales (1-month, 3-months, 6-months, 9-months and 12-months) by the method described above. Referring to the classification standard of meteorological drought in China, SPI is divided into 9 categories as shown in Table 1.

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216 **2.3 Theory of Runs**

 threshold. Drought duration refers to the duration of a certain level of drought event from the beginning to the end. Drought severity refers to the sum of SPI during drought events. Drought intensity is the average value of SPI in a certain level of drought event, and equal to the drought severity divided by the drought duration. The total number of drought events in a certain period is defined as drought frequency. Fig. 2 shows the definition and relationship between drought events and their attribute characteristics.

 Figure 2. Schematic diagram of drought levels. Different colors represent different levels of drought and wet events.

 In addition, we used three typical stations as examples to analyze the characteristics of drought events in different regions. As shown in Fig 1, the three typical regional sites include site 53898 in the Henan, site 56856 in Yunnan, and site 58847 in Fujian. In order to better compare and analyze the characteristics of drought events at China, we took the 3-month scale as an example to calculate the annual total drought intensity (ATDS), annual total drought duration (ATDD), and annual total

- drought frequency (ATDF) of all sites (Wang et al., 2021b).
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2.4 Statistical methods

3. Results

3.1 Analysis of drought characteristics of typical stations

 Fig 3-5 shows the SPI time series curves at the station 53898 (Henan), 58847 (Fujian), 56856 (Yunnan) from 1962 to 2018 at different time scales. In general, the shorter the time scale, the more sensitive the SPI is to short-term precipitation, and the greater the range of SPI value changes. Periodic changes in the SPI value can be observed in the curve of a shorter time scale. The peaks of the curve are mostly concentrated during the rainy season from April to September each year.

274

273 2018.

- 275 According to *the Yunnan Volume of the Chinese Dictionary of Meteorological*
- 276 *Disasters*, the years of severe drought in Yunnan from 1949 to 2000 include 1963, 1987,
- 277 1988, 1992, and 1998, where Fig 4 shows the trough of the SPI curve. In addition,

285 **Figure 4.** SPI curves of different time scales at station 56856 (Yunnan) from 1962 to

286 2018.

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288 Compared with Yunnan and Henan, Fujian has plenty of rainfall, but droughts still 289 occur frequently. As shown in Fig 5, the SPI curve at the monthly scale was greatly

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296

297 **Figure 5.** SPI curves of different time scales at station 58847 (Fujian) from 1962 to

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298 2018.

300 **3.2 Spatial Distribution of Drought Characteristics**

301 Fig 6 shows the spatial distribution of variables ATDS and its trends of 484 stations

302 in mainland China. The lower the ATDS value, the stronger the drought severity

 accumulated over the years and the more severe the drought suffered by the station. The ATDS values of most stations are concentrated between -130 and -121. The Xinjiang region in northwestern China and the provinces of Hebei and Shanxi in the central part of China suffered more severe droughts, with The ATDS values between -155 and -526. In general, the drought in northern China is more severe than in the south. However, compared with other areas in northern China, the drought in Heilongjiang and Jilin in the northeast is relatively mild (Fig 6a). The multi-year trend of variable ATDS in the study area is not very significant. The drought in Xinjiang, Qinghai and other places in northwestern China has eased, and the trend value is more than 30, *P*<0.05 (Fig 6b).

Figure 6. (a) The distribution of ATDS in the study area. (b) The distribution of the

changing trends of ATDS ("***" means *P*-value < 0.001, "**" means *P*-value <0.01,

The variable ATDD represents the duration of the annual average drought event at

 each station, and has similar spatial distribution characteristics to the variable ATDS. Among them, the ATDD value of some stations in the Xinjiang region of northwestern China ranges from 196 to 279. Even in the southern regions with abundant rainfall, the ATDD values of most stations are between 103 and 112, which shows that most sites are suffering from drought (Fig 7a). In addition, the multi-year trend of ATDD shows that the drought duration of some stations in the southwest, southeast and northeast regions of China has been significantly reduced, while the drought duration of some stations in the central and southwestern regions has increased significantly (Fig 7b).

 As shown in Fig 8, the spatial distribution pattern of variable ATDF is different from ATDS and ATDD. The frequency of drought in some stations in the Xinjiang

 region of northwest China is not high with a low ATDF, while the stations in the northeastern and southwestern regions show a higher frequency of drought events and higher ATDF values. Combining the characteristics of the ATDS and ATDD variables, we can see that the drought events at some stations in the Xinjiang region of northwest China are characterized by high severity, long duration but low frequency, while the drought events at some stations in the northeastern region are characterized by low severity, short duration but high frequency (Fig 8a). In general, the multi-year trend of ATDF is not significant (Fig 8b).

 Figure 8. (a) The distribution of ATDF in the study area. (b) The distribution of the changing trends of ATDF ("***" means *P*-value < 0.001, "**" means *P*-value <0.01,

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347 \t\t and ``\t\t" means P-value < 0.05.
$$

4. Discussion

SPI is the most commonly used indicator worldwide for detecting and

 To verify the validity of our daily SPI dataset, we selected three typical stations in different regions, including 53898 (Henan), 58847 (Fujian), 56856 (Yunnan), and analyzed the characteristics of drought events at different stations and different time scales. The results show that the SPI curve of a longer time scale captured drought events lasted longer, which mainly because that the long-time scale SPI curve was not sensitive to short-term precipitation. In short, the drought events captured by the new SPI we developed were consistent with those recorded in *the Chinese Disaster Dictionary* and *the Chinese Disaster Yearbook*, and can be applied to drought research in many different fields such as meteorology, agriculture, hydrology, and society.

 In short, drought has a profound impact on human beings, and modern societies are less inclined to accept the conventional risks of drought, so it is necessary to make as accurate an assessment and monitoring of drought as possible. The estimation of drought will continue to occupy the attention of ecologist, meteorologist, hydrologist,

6. Summary

 Using multi-year daily precipitation data from 484 stations in mainland China, combined with the commonly used monthly SPI algorithm, a daily SPI data set was established for the first time. Our research fills the gaps in daily SPI research and makes up for the lack of monthly SPI in capturing short-term droughts. The research results showed that the drought events detected by our new daily SPI were consistent with the records. Taking the 3-month time scale of SPI as an example, the spatial distribution characteristics of drought events at each site were also consistent with the results of previous studies. In short, the new daily SPI data can be applied to various types of

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