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## Information and Notices

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<sup>(1)</sup> Text with EEA relevance

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<sup>(1)</sup> Text with EEA relevance

## I

(Resolutions, recommendations and opinions)

## RECOMMENDATIONS

## EUROPEAN CENTRAL BANK

## RECOMMENDATION OF THE EUROPEAN CENTRAL BANK

of 28 January 2015

on dividend distribution policies

(ECB/2015/2)

(2015/C 51/01)

THE GOVERNING COUNCIL OF THE EUROPEAN CENTRAL BANK,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 127(6) and Article 132 thereof,

Having regard to the Statute of the European System of Central Banks and of the European Central Bank, and in particular Article 34 thereof,

Having regard to Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions<sup>(1)</sup>, and in particular Article 4(3) thereof,

Having regard to Regulation (EU) No 468/2014 of the European Central Bank of 16 April 2014 establishing the framework for cooperation within the Single Supervisory Mechanism between the European Central Bank and national competent authorities and with national designated authorities (SSM Framework Regulation) (ECB/2014/17)<sup>(2)</sup>,

Whereas:

- (1) Regulation (EU) No 1024/2013 establishes the Single Supervisory Mechanism (SSM) composed of the European Central Bank (ECB) and the national competent authorities (NCAs) of participating Member States.
- (2) Credit institutions need to continue preparing for a timely and full application of Regulation (EU) No 575/2013 of the European Parliament and of the Council<sup>(3)</sup> and Directive 2013/36/EU of the European Parliament and of the Council<sup>(4)</sup> in a challenging macroeconomic and financial environment that exerts pressure on credit institutions' profitability and, as a result, on their capacity to build up their capital bases. Moreover, while credit institutions need to finance the economy, a conservative distribution policy is part of an adequate risk management and sound banking system.
- (3) Against that background, credit institutions need to establish dividend policies using conservative and prudent assumptions in order, after any distribution, to satisfy the applicable capital requirements.

<sup>(1)</sup> OJ L 287, 29.10.2013, p. 63.

<sup>(2)</sup> OJ L 141, 14.5.2014, p. 1.

<sup>(3)</sup> Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms and amending Regulation (EU) No 648/2012 (OJ L 176, 27.6.2013, p. 1).

<sup>(4)</sup> Directive 2013/36/EU of the European Parliament and of the Council of 26 June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms, amending Directive 2002/87/EC and repealing Directives 2006/48/EC and 2006/49/EC (OJ L 176, 27.6.2013, p. 338).

- (i) Credit institutions are obliged to satisfy the applicable minimum capital requirements (the 'Pillar 1 requirements') at all times. This includes a Common Equity Tier 1 capital ratio of 4,5 %, a Tier 1 capital ratio of 6 % and a total capital ratio of 8 % as provided for by Article 92 of Regulation (EU) No 575/2013, together with the countercyclical capital and systemic buffers referred to in Article 128(2) and (3) of Directive 2013/36/EU, and all other buffers which have been adopted <sup>(5)</sup> by national competent and designated authorities.
- (ii) Moreover, credit institutions are obliged to satisfy at all times the capital requirements that are imposed as a result of the applicable Decision on the Supervisory Review and Evaluation Process in application of Article 16(2)(a) of Regulation (EU) No 1024/2013 and which go beyond the Pillar 1 requirements (the 'Pillar 2 requirements').
- (iii) Credit institutions are also obliged to satisfy their required 'fully loaded' Common Equity Tier 1 capital ratio, their Tier 1 capital ratio and their total capital ratio by the applicable full phase-in date. This refers to the full application of the abovementioned ratios after application of transitional provisions, as well as to that of the countercyclical capital and systemic buffers referred to in Article 128(2) and (3) of Directive 2013/36/EU, and all other buffers which have been adopted <sup>(6)</sup> by national competent and designated authorities. The transitional provisions are set out in Title XI of Directive 2013/36/EU and in Part Ten of Regulation (EU) No 575/2013.

These requirements are to be met both on a consolidated level and on an individual basis unless the application of prudential requirements has been waived on an individual basis, as provided for in Articles 7 and 10 of Regulation (EU) No 575/2013,

HAS ADOPTED THIS RECOMMENDATION:

## I.

For the payment of dividends <sup>(7)</sup> in 2015 for the financial year 2014, the ECB recommends that:

**Category 1:** Credit institutions which satisfy the applicable capital requirements as referred to in recital 3(i) and (ii), and which have already reached their fully loaded ratios as referred to in recital 3(iii) as at 31 December 2014, should only distribute their net profits in dividends in a conservative manner to enable them to continue to fulfil all requirements even in the case of deteriorated economic and financial conditions;

**Category 2:** Credit institutions which satisfy the applicable capital requirements as referred to in recital 3(i) and (ii) as at 31 December 2014 but which have not reached their fully loaded ratios as referred to in recital 3(iii) as at 31 December 2014 should only distribute their net profits in dividends in a conservative manner to enable them to continue to fulfil all requirements, even in the case of deteriorated economic and financial conditions. In addition, they should in principle only pay out dividends to the extent that, at a minimum, a linear <sup>(8)</sup> path towards the required fully loaded ratios as referred to in recital 3(iii) is secured;

**Category 3:** Credit institutions <sup>(9)</sup> which under the 2014 comprehensive assessment have a capital shortfall that would not be covered by capital measures by 31 December 2014, or credit institutions in breach of the requirements referred to in recital 3(i) or (ii), should in principle not distribute any dividend <sup>(10)</sup>.

<sup>(5)</sup> This includes for example the buffers referred to in Articles 458, 459 and 500 of Regulation (EU) No 575/2013, and any buffer already adopted by national competent and designated authorities but with a later implementation date, to the extent they are applicable at the time of dividend distribution.

<sup>(6)</sup> See footnote 5.

<sup>(7)</sup> Credit institutions may have various legal forms, e.g. listed companies and non-joint stock companies such as mutuals, cooperatives or savings institutions. The term 'dividend' as used in this recommendation refers to any type of cash pay-out that is subject to the approval of the General Assembly.

<sup>(8)</sup> In practice, this means that over a period of 4 years, credit institutions should in principle retain at least 25 % per year of the gap towards their fully loaded Common Equity Tier 1 capital ratio, their Tier 1 capital ratio and their total capital ratio, as referred to in recital 3(iii).

<sup>(9)</sup> Including less significant supervised entities that were subject to the comprehensive assessment.

<sup>(10)</sup> Credit institutions that consider that they are legally required to pay out dividends exceeding this amount should contact their joint supervisory team without delay. Less significant supervised entities that consider that they are legally required to pay out dividends exceeding this amount should contact their national competent authority without delay.

**II.**

This recommendation is addressed to significant supervised entities and significant supervised groups as defined in Article 2(16) and (22) of Regulation (EU) No 468/2014 (ECB/2014/17).

This recommendation is also addressed to the national competent and designated authorities with regard to less significant supervised entities and less significant supervised groups. The national competent and designated authorities are expected to apply this recommendation to such entities and groups, as deemed appropriate.

Done at Frankfurt am Main, 28 January 2015.

*The President of the ECB*

Mario DRAGHI

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**RECOMMENDATION OF THE EUROPEAN CENTRAL BANK**  
**of 3 February 2015**  
**to the Council of the European Union on the external auditors of Latvijas Banka**  
**(ECB/2015/3)**  
**(2015/C 51/02)**

THE GOVERNING COUNCIL OF THE EUROPEAN CENTRAL BANK,

Having regard to the Statute of the European System of Central Banks and of the European Central Bank, and in particular Article 27.1 thereof,

Whereas:

- (1) The accounts of the European Central Bank (ECB) and national central banks of the Member States whose currency is the euro, are audited by independent external auditors recommended by the ECB's Governing Council and approved by the Council of the European Union.
- (2) The mandate of Latvijas Banka's current external auditors will end following the audit for the financial year 2014. It is therefore necessary to appoint external auditors from the financial year 2015.
- (3) Latvijas Banka has selected KPMG Baltics SIA as its external auditors for the financial years 2015 to 2019,

HAS ADOPTED THIS RECOMMENDATION:

It is recommended that KPMG Baltics SIA should be appointed as the external auditors of Latvijas Banka for the financial years 2015 to 2019.

Done at Frankfurt am Main, 3 February 2015.

*The President of the ECB*

Mario DRAGHI

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## II

*(Information)*INFORMATION FROM EUROPEAN UNION INSTITUTIONS, BODIES, OFFICES  
AND AGENCIES

## EUROPEAN COMMISSION

**Non-opposition to a notified concentration****(Case M.7416 — RREEF/ECE/Palaisquartier)****(Text with EEA relevance)**

(2015/C 51/03)

On 18 November 2014, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004<sup>(1)</sup>. The full text of the decision is available only in the German language and will be made public after it is cleared of any business secrets it may contain. It will be available:

- in the merger section of the Competition website of the Commission (<http://ec.europa.eu/competition/mergers/cases/>). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,
- in electronic form on the EUR-Lex website (<http://eur-lex.europa.eu/homepage.html?locale=en>) under document number 32014M7416. EUR-Lex is the online access to European law.

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<sup>(1)</sup> OJ L 24, 29.1.2004, p. 1.

**Non-opposition to a notified concentration****(Case M.7297 — Dolby/Doremi/Highlands)****(Text with EEA relevance)**

(2015/C 51/04)

On 27 October 2014, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004<sup>(1)</sup>. The full text of the decision is available only in English language and will be made public after it is cleared of any business secrets it may contain. It will be available:

- in the merger section of the Competition website of the Commission (<http://ec.europa.eu/competition/mergers/cases/>). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,
- in electronic form on the EUR-Lex website (<http://eur-lex.europa.eu/homepage.html?locale=en>) under document number 32014M7297. EUR-Lex is the online access to the European law.

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<sup>(1)</sup> OJ L 24, 29.1.2004, p. 1.

**Non-opposition to a notified concentration****(Case M.7370 — Ineos/Styrolution)****(Text with EEA relevance)**

(2015/C 51/05)

On 6 October 2014, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004<sup>(1)</sup>. The full text of the decision is available only in the English language and will be made public after it is cleared of any business secrets it may contain. It will be available:

- in the merger section of the Competition website of the Commission (<http://ec.europa.eu/competition/mergers/cases/>). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,
- in electronic form on the EUR-Lex website (<http://eur-lex.europa.eu/homepage.html?locale=en>) under document number 32014M7370. EUR-Lex is the online access to European law.

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<sup>(1)</sup> OJ L 24, 29.1.2004, p. 1.

**Non-opposition to a notified concentration****(Case M.7415 — Värde/Banco Popular/E-COM)****(Text with EEA relevance)**

(2015/C 51/06)

On 10 December 2014, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004<sup>(1)</sup>. The full text of the decision is available only in the English language and will be made public after it is cleared of any business secrets it may contain. It will be available:

- in the merger section of the Competition website of the Commission (<http://ec.europa.eu/competition/mergers/cases/>). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,
- in electronic form on the EUR-Lex website (<http://eur-lex.europa.eu/homepage.html?locale=en>) under document number 32014M7415. EUR-Lex is the online access to European law.

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<sup>(1)</sup> OJ L 24, 29.1.2004, p. 1.



## IV

(Notices)

## NOTICES FROM EUROPEAN UNION INSTITUTIONS, BODIES, OFFICES AND AGENCIES

## EUROPEAN COMMISSION

Euro exchange rates <sup>(1)</sup>

12 February 2015

(2015/C 51/07)

1 euro =

Currency	Exchange rate	Currency	Exchange rate		
USD	US dollar	1,1328	CAD	Canadian dollar	1,4228
JPY	Japanese yen	135,72	HKD	Hong Kong dollar	8,7849
DKK	Danish krone	7,4445	NZD	New Zealand dollar	1,5373
GBP	Pound sterling	0,73760	SGD	Singapore dollar	1,5397
SEK	Swedish krona	9,6298	KRW	South Korean won	1 255,70
CHF	Swiss franc	1,0559	ZAR	South African rand	13,3760
ISK	Iceland króna		CNY	Chinese yuan renminbi	7,0730
NOK	Norwegian krone	8,7425	HRK	Croatian kuna	7,7230
BGN	Bulgarian lev	1,9558	IDR	Indonesian rupiah	14 518,77
CZK	Czech koruna	27,702	MYR	Malaysian ringgit	4,0874
HUF	Hungarian forint	307,76	PHP	Philippine peso	50,208
PLN	Polish zloty	4,1916	RUB	Russian rouble	75,2935
RON	Romanian leu	4,4375	THB	Thai baht	37,037
TRY	Turkish lira	2,8210	BRL	Brazilian real	3,2565
AUD	Australian dollar	1,4761	MXN	Mexican peso	17,0866
			INR	Indian rupee	70,5469

<sup>(1)</sup> Source: reference exchange rate published by the ECB.

## NOTICES FROM MEMBER STATES

## INFORMATION NOTE

**Council Regulation (EC) No 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items: Information on measures adopted by Member States in conformity with Articles 5, 6, 8, 9, 10, 17 and 22**

(2015/C 51/08)

Articles 5, 6, 8, 9, 10, 17 and 22 of Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items <sup>(1)</sup> (hereunder 'the Regulation') foresee that measures taken by Member States in implementation of the Regulation should be published in the *Official Journal of the European Union*.

**1. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 5(2) OF THE REGULATION (EXTENSION OF BROKERING CONTROLS)**

Article 5(2) in conjunction with Article 5(4) of the Regulation requires the Commission to publish measures taken by Member States to extend the application of Article 5(1) to non-listed dual-use items for uses referred to in Article 4(1) and to dual-use items for military end use and destinations referred to in Article 4(2).

The table below provides an overview of the measures taken by Member States and that have been notified to the Commission. The detailed measures as notified to the Commission are set out immediately thereafter.

Member State	Has the application of brokering controls set out in Article 5(1) been extended in relation with Article 5(2)?
BELGIUM	NO
BULGARIA	YES
CZECH REPUBLIC	YES
DENMARK	NO
GERMANY	NO
ESTONIA	YES
IRELAND	YES
GREECE	YES
SPAIN	YES
FRANCE	NO
CROATIA	YES
ITALY	NO

<sup>(1)</sup> OJ L 134, 29.5.2009, p. 1.

Member State	Has the application of brokering controls set out in Article 5(1) been extended in relation with Article 5(2)?
CYPRUS	NO
LATVIA	YES
LITHUANIA	NO
LUXEMBOURG	NO
HUNGARY	YES
MALTA	NO
NETHERLANDS	YES
AUSTRIA	YES
POLAND	NO
PORTUGAL	NO
ROMANIA	YES
SLOVENIA	NO
SLOVAKIA	NO
FINLAND	YES
SWEDEN	NO
UNITED KINGDOM	NO

### 1.1. Bulgaria

An authorisation shall be required for the brokering of dual-use items:

1. listed in Annex I to the Regulation, when the items are or may be intended for the uses specified in Article 4(2) of that Regulation;
2. not listed in Annex I to the Regulation, when the items are or may be intended for the uses specified in Article 4(1) of that Regulation;

(Article 34, par. 4 of the Defence-Related Products and Dual-Use Items and Technologies Export Control Act, State Gazette No 26/29.3.2011, effective 30.6.2012).

### 1.2. Czech Republic

An authorisation shall be required for the brokering of dual-use items if the Ministry informs the broker that:

1. dual-use items not listed in Annex I to the Regulation are or could be intended, wholly or in part, for use pursuant to Article 4(1) of that Regulation;
2. dual-use items are or could be intended, wholly or in part, for military end-uses specified in Article 4(2) of the Regulation;

(par. 3 of Act No 594/2004 Coll., Implementing the European Community Regime for the Control of Exports, Transfer, Brokering, and Transit of Dual-Use Items (as amended)).

**1.3. Estonia**

An authorisation shall be required for the brokering of dual-use items which have characteristics of strategic goods because of their end-use or end-user, public security or human rights consideration, although they have not been entered in the list of strategic goods (par. 6(7) of the Strategic Good Act).

**1.4. Ireland**

An authorisation shall be required for the brokering of dual-use items not listed in Annex I to the Regulation, for any of the uses referred to in Article 4(1) of that Regulation and for dual-use items for military end-uses and destinations referred to in Article 4(2) of the Regulation, (Sections 8(a) and (b) of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).

**1.5. Greece**

An authorisation shall be required for the brokering of listed dual-use items for military end-uses and destinations referred to in Article 4(2) of the Regulation (par. 3.2.3 of 'Ministerial Decision No 121837/e3/21837/28-9-2009').

**1.6. Spain**

An authorisation shall be required for the brokering of listed dual-use items for military end-uses and destinations referred to in Article 4(2) of the Regulation (Article 2(6) of the Royal Decree 679/2014, of 1 August 2014 on the control of external trade in defence material, other material and dual-use goods and technologies).

**1.7. Croatia**

An authorisation shall be required for the brokering of dual-use items not listed in Annex I to the Regulation, if the Ministry of Foreign and European Affairs informs the broker that dual-use items are or may be, in whole or in part, used for the purposes set forth in Article 4(1) and (2) of the Regulation (Act on Control of dual-use items (OG 80/11 i 68/2013)).

**1.8. Latvia**

In accordance with the Latvian Law on the Circulation of Strategic Goods, all brokering transactions are controlled for dual-use items regardless of their use.

**1.9. Hungary**

An authorisation shall be required for the brokering of dual-use items:

1. listed in Annex I to the Regulation, when the items are or may be intended for the uses specified in Article 4(2) of that Regulation;
2. not listed in Annex I to the Regulation, when the items are or may be intended for the uses specified in Article 4(1) and (2) of that Regulation.

(par. 17.1 of Government Decree No 13 of 2011 on the foreign trade authorisation of dual-use items).

**1.10. Netherlands**

An authorisation shall be required for the brokering of dual-use items:

1. listed in Annex I to the Regulation, when the items are or may be intended for the uses specified in Article 4(2) of that Regulation;
2. not listed in Annex I to the Regulation, when the items are or may be intended for the uses specified in Article 4(1) and (2) of that Regulation.

(Strategic Services Act — Wet strategische diensten, Official Journal Stb 445 of 29 September 2011).

**1.11. Austria**

An authorisation shall be required for the brokering of dual-use items if the Federal Minister for Science, Research and Economy notifies the broker that the items in question are or may be intended for uses referred to in Article 4(1) and (2) of the Regulation (Article 15.1 of the Foreign Trade Act (Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011).

**1.12. Romania**

An authorisation shall be required for the brokering of dual-use items not listed in Annex I to the Regulation if the items in question are or may be intended, in their entirety or in part, for any uses referred to in Article 4(1) and (2) of the Council Regulation (Article 14(2) of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) on the 'control regime for operations concerning dual-use items').

**1.13. Finland**

An authorisation shall be required for brokering of dual-use items:

1. listed in Annex I to the Regulation, if the broker has been notified by the Ministry for Foreign Affairs that the items are or may be intended wholly or partly for the uses specified in Article 4(2) of that Regulation;
2. not listed in Annex I to the Regulation, if the broker has been notified by the Ministry for Foreign Affairs that the items are or may be intended wholly or partly for the uses specified in Article 4(1) of that Regulation;

(par. 3(2) and 4(1) of Law 562/1996 (as amended)).

**2. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 5(3) OF THE REGULATION (EXTENSION OF BROKERING CONTROLS)**

Article 5(3) in conjunction with Article 5(4) of the Regulation requires the Commission to publish measures taken by Member States imposing an authorisation requirement on the brokering of dual-use items, if the broker has grounds for suspecting that these items are or may be intended for any of the uses referred to in Article 4(1).

The table below provides an overview of the measures taken by Member States and that have been notified to the Commission. The detailed measures as notified to the Commission are set out immediately thereafter.

Member State	Have brokering controls been extended in relation with Article 5(3)?
BELGIUM	NO
BULGARIA	YES
CZECH REPUBLIC	YES
DENMARK	NO
GERMANY	NO
ESTONIA	YES

Member State	Have brokering controls been extended in relation with Article 5(3)?
IRELAND	YES
GREECE	YES
SPAIN	NO
FRANCE	NO
CROATIA	YES
ITALY	NO
CYPRUS	NO
LATVIA	YES
LITHUANIA	NO
LUXEMBOURG	NO
HUNGARY	YES
MALTA	NO
NETHERLANDS	NO
AUSTRIA	YES
POLAND	NO
PORTUGAL	NO
ROMANIA	YES
SLOVENIA	NO
SLOVAKIA	NO
FINLAND	YES
SWEDEN	NO
UNITED KINGDOM	NO

**2.1. Bulgaria**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (Article 47 of the Defence-Related Products and Dual-Use Items and Technologies Export Control Act (Promulgated, State Gazette No 26/29.3.2011)).

**2.2. Czech Republic**

If a broker has grounds for suspecting that dual-use items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the competent authority, which may decide to impose an authorisation requirement (par. 3(4) of the Act No 594/2004 Coll. 'Implementing the European Community Regime for the Control of Exports, Transfer, Brokering, and Transit of Dual-Use Items' (as amended)).

**2.3. Estonia**

If a broker has grounds for suspecting that dual-use goods are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the Strategic Goods Commission (SGC), police authorities or security authorities immediately thereof. After such notification, the SGC may decide to impose an authorisation requirement (par. 77 of the Strategic Goods Act).

**2.4. Ireland**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (Section 9 of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).

**2.5. Greece**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (par. 3.2.2 of the Ministerial Decision No 121837/e3/21837/28-9-2009).

**2.6. Croatia**

If a broker has grounds for suspecting that dual-use goods not listed in Annex I to the Regulation, are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) and (2) of the Regulation, the broker shall notify the Ministry of Foreign and European Affairs, which may decide to impose an authorisation requirement (par. 3 of the Act on Control of dual-use items (OG 80/11 i 68/2013)).

**2.7. Latvia**

In accordance with the Latvian Law on the Circulation of Strategic Goods, all brokering transactions are controlled for dual-use items regardless of their use.

**2.8. Hungary**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (par. 17(2) of Government Decree No 13 of 2011 on the foreign trade authorisation of dual-use items).

**2.9. Austria**

If a broker has grounds for suspecting that dual-use items, which are not listed in Annex I to the Regulation, are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the competent authority, which may decide to impose an authorisation requirement (Article 5 of the 2011 First Foreign Trade Regulation (Erste Außenwirtschaftsverordnung 2011), BGBl. II Nr. 343/2011, published on 28 October 2011).

**2.10. Romania**

An authorisation shall be required for brokering of dual-use items if the broker has grounds for suspecting that the items are wholly or in part intended for any of the uses listed in Article 4(1) of the Regulation (par. 3 of Article 14 of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) on the control regime for operations concerning dual-use items).

### 2.11. Finland

If a broker has grounds for suspecting that dual-use items are or may be intended, wholly or in part, for any of the uses listed in Article 4(1) of the Regulation, the broker shall notify the Ministry for Foreign Affairs, which may decide to impose an authorisation requirement (par. 3.2 and 4.4 of law 562/1996 (as amended)).

### 3. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 6(2) OF THE REGULATION (EXTENSION OF TRANSIT CONTROLS)

Article 6(2) in conjunction with Article 6(4) of the Regulation requires the Commission to publish measures taken by Member States empowering their competent authorities to impose in individual cases an authorisation requirement for the specific transit of dual-use items listed in Annex I if the items are or maybe intended, in their entirety or in part, for uses referred to in Article 4(1).

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

Member State	Have the transit control provisions of Article 6(1) been extended in relation with Article 6(2)?
BELGIUM	Partly YES
BULGARIA	YES
CZECH REPUBLIC	NO
DENMARK	NO
GERMANY	YES
ESTONIA	YES
IRELAND	YES
GREECE	YES
SPAIN	NO
FRANCE	NO
CROATIA	YES
ITALY	NO



Member State	Have the transit control provisions of Article 6(1) been extended in relation with Article 6(2)?
CYPRUS	NO
LATVIA	NO
LITHUANIA	NO
LUXEMBOURG	NO
HUNGARY	YES
MALTA	NO
NETHERLANDS	YES
AUSTRIA	YES
POLAND	NO
PORTUGAL	NO
ROMANIA	YES
SLOVENIA	NO
SLOVAKIA	NO
FINLAND	YES
SWEDEN	NO
UNITED KINGDOM	YES

### 3.1. Belgium

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation, in the Flemish and the Walloon Region (Articles 6 and 7 of the Flemish Government Decree of 14 March 2014 regulating export, transit and transfer of dual-use and the delivery of technical assistance (Belgian Official Gazette of 2 May 2014), Articles 5 and 6 of the Walloon Government Decree of 6 February 2014 regulating export, transit and transfer of dual-use items and technology (Belgian Official Gazette of 19.2.2014)).

### 3.2. Bulgaria

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Articles 48-50 of the 'Defence-Related Products and Dual-Use Items and Technologies Export Control Act', State Gazette No 26/29.3.2011).

**3.3. Germany**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Section 44 of the German Foreign Trade and Payments Regulation — Aussenwirtschaftsverordnung — AWW).

**3.4. Estonia**

An authorisation for transit of listed (and non-listed) dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (par. 3, 6 and 7 of the Strategic Goods Act (SGA)).

**3.5. Ireland**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Section 10 of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).

**3.6. Greece**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (par. 3.3.2 of the Ministerial Decision No 121837/e3/21837/28-9-2009).

**3.7. Croatia**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Act on Control of dual-use items (OG 80/11 i 68/2013). The Ministry of Foreign and European Affairs can prohibit transit according to Article 6(1) of the Regulation, based on proposals by the Commission established in Article 12 of the Act. Prior to the decision to prohibit the transit, in special cases, the Ministry may impose a requirement for a Special Transit Licence.

**3.8. Hungary**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (par. 18 of Government Decree No 13 of 2011 on the foreign trade authorisation of dual-use items).

**3.9. The Netherlands**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Article 4(a)(1) of the Decree for Strategic Goods (Besluit strategische goederen)).

**3.10. Austria**

An authorisation for transit of listed dual-use items shall be required, by the Federal Minister for Science, Research and Economy, when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Article 15 of the 2011 Foreign Trade Act — Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011).

**3.11. Romania**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (par. 1 of Article 15 of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) 'on the control regime for operations concerning dual-use items').

**3.12. Finland**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (par. 3.3 of law 562/1996).

**3.13. United Kingdom**

An authorisation for transit of listed dual-use items shall be required when the items are or may be intended for the uses specified in Article 4(1) of the Regulation (Article 8(1) and Articles 17 and 26 of the Export Control Order 2008, as amended by The Export Control (Amendment) (No 3) Order 2009 (S.I. 2009/2151).

**4. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 6(3) OF THE REGULATION (EXTENSION OF TRANSIT CONTROLS)**

Article 6(3) in conjunction with Article 6(4) of the Regulation requires the Commission to publish measures taken by Member States extending the application of Article 6(1) to non-listed dual-use items for uses referred to in Article 4(1) and to dual-use items for military end use and destinations referred to in Article 4(2).

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

Member State	Have the transit control provisions set out in Article 6(1) been extended in relation with Article 6(3)?
BELGIUM	Partly YES
BULGARIA	NO
CZECH REPUBLIC	YES
DENMARK	NO
GERMANY	NO
ESTONIA	YES
IRELAND	YES
GREECE	YES
SPAIN	YES
FRANCE	NO
CROATIA	YES
ITALY	NO
CYPRUS	YES
LATVIA	NO
LITHUANIA	NO

Member State	Have the transit control provisions set out in Article 6(1) been extended in relation with Article 6(3)?
LUXEMBOURG	NO
HUNGARY	YES
MALTA	NO
NETHERLANDS	YES
AUSTRIA	YES
POLAND	NO
PORTUGAL	NO
ROMANIA	YES
SLOVENIA	NO
SLOVAKIA	NO
FINLAND	YES
SWEDEN	NO
UNITED KINGDOM	YES

#### 4.1. Belgium

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) in the Flemish and the Walloon Region (Articles 6 and 7 of the Flemish Government Decree of 14 March 2014 regulating export, transit and transfer of dual-use items and the delivery of technical assistance (Belgian Official Gazette of 2 May 2014), Articles 5 and 6 of the Walloon Government Decree of 6 February 2014 regulating export, transit and transfer of dual-use items and technology (Belgian Official Gazette of 19.2.2014).

#### 4.2. Czech Republic

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (par. 13b of the Act No 594/2004 Coll. 'Implementing the European Community Regime for the Control of Exports, Transfer, Brokering, and Transit of Dual-Use Items' (as amended))(1)

**4.3. Estonia**

An authorisation shall be required by the Strategic Goods Commission's (SGC) for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (par. 3, 6 and 7 of the Strategic Goods Act (SGA)).

**4.4. Ireland**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Section 11 of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).

**4.5. Greece**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (par. 3.3.3. of the Ministerial Decision No 121837/e3/21837/28-9-2009).

**4.6. Spain**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 11 of Act 53/2007).

**4.7. Croatia**

An authorisation shall be required by the Ministry of Foreign and European Affairs for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Act on Control of dual-use items (OG 80/11 i 68/2013)).

**4.8. Cyprus**

An authorisation shall be required by the Ministry of Commerce, Industry and Tourism for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 5(3) of Ministerial Order 312/2009).

**4.9. Hungary**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (par. 18 of the Government Decree No 13 of 2011 'on foreign trade authorisation of dual-use items').

**4.10. The Netherlands**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 4(a)(2) of the Decree for Strategic Goods (Besluit strategische goederen)).

**4.11. Austria**

An authorisation shall be required by the Federal Minister for Science, Research and Economy for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 15 of the 2011 Foreign Trade Act (Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011)).

**4.12. Romania**

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Article 15(2) of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010)).

#### 4.13. Finland

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (par. 3.3 and 4.1 of law 562/1996 (as amended) stipulate as follows:

— Par. 3.3

Transit of dual-use items listed in Annex I to the Regulation shall be subject to authorisation if the transit operator has been notified by the Ministry for Foreign Affairs that the items in question are or could be wholly or partly intended for a use listed in Article 4(1) or (2) of the Regulation.

— Par. 4.1

If the intention is the export, brokering, transit or transfer of products, services or other items that are not on the list in the Annex to the Regulation, an authorisation must be presented on export, brokering, transit or transfer if the exporter, broker, transit operator or transfer operator has been notified by the Ministry for Foreign Affairs that the item in question is or could be wholly or partly intended for use in connection with the development, manufacture, handling, operation, maintenance, storage, detection, identification or distribution of chemical and biological weapons or nuclear weapons, or the development, production, maintenance or storage of missiles capable of delivering weapons covered by non-proliferation regimes.)

#### 4.14. United Kingdom

An authorisation shall be required for transit of non-listed dual-use items for uses referred to in Article 4(1) and of dual-use items for military end use and destinations referred to in Article 4(2) (Articles 8(2) and 17(3) and Article 26 of The Export Control Order 2008, as amended by the Export Control (Amendment) (No 3) Order 2009 (S.I.2009/2151)).

### 5. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 8 OF THE REGULATION (EXTENSION OF CONTROLS TO NON-LISTED ITEMS FOR REASONS OF PUBLIC SECURITY OR HUMAN RIGHTS CONSIDERATIONS)

Article 8(4) of the Regulation requires the Commission to publish measures taken by Member States prohibiting or imposing an authorisation requirement on the export of dual-use items not listed in Annex I for reasons of public security or human rights considerations.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

Member State	Have additional controls been implemented concerning non-listed goods for reasons of public security or human rights considerations in relation with Article 8(1)?
BELGIUM	NO
BULGARIA	YES
CZECH REPUBLIC	YES
DENMARK	NO
GERMANY	YES
ESTONIA	YES

Member State	Have additional controls been implemented concerning non-listed goods for reasons of public security or human rights considerations in relation with Article 8(1)?
IRELAND	YES
GREECE	NO
SPAIN	NO
FRANCE	YES
CROATIA	NO
ITALY	NO
CYPRUS	YES
LATVIA	YES
LITHUANIA	NO
LUXEMBOURG	NO
HUNGARY	NO
MALTA	NO
NETHERLANDS	YES
AUSTRIA	YES
POLAND	NO
PORTUGAL	NO
ROMANIA	YES
SLOVENIA	NO
SLOVAKIA	NO
FINLAND	NO
SWEDEN	NO
UNITED KINGDOM	YES

### 5.1. Bulgaria

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration, by an act of the Council of Ministers (Article 34(1), par. 3 The Export Control Act).

### 5.2. Czech Republic

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited, by Government Order, for reasons of public security or human rights consideration (par. 3(1)(d) of the Act No 594/2004 Coll).

### 5.3. Germany

(1) The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Section 8 (1) Nr. 2 of the Foreign Trade and Payments Regulation (Aussenwirtschaftsverordnung — AWV)). The measure applies to the following National Numbers in the Export Control List (in 2013):

— 2B909 Flow forming machines and machines with combined flow forming and spin-forming functions, other than those controlled by 2B009, 2B109 or 2B209 in the framework of the Regulation as amended, having all of the following characteristics, and specially designed components therefor:

(a) which, according to the manufacturer's technical specification, can be equipped with numerical control units, computer control or play-back control; and

(b) a roller force of more than 60 kN, if the purchasing country or country of destination is Syria.

— 2B952 Equipment capable of use in handling biological substances, other than that controlled by 2B352 in the framework of the Regulation as amended, if the purchasing country or country of destination is Iran, North Korea or Syria:

(a) fermenters, capable of cultivation of pathogenic 'micro-organisms' or viruses, or capable of toxin production, without the propagation of aerosols and having a total capacity of 10 l or more;

(b) agitators for fermenters controlled by 2B352(a) in the framework of the Regulation as amended.

*Technical note:*

*Fermenters include bioreactors, chemostats and continuous-flow systems.*

— 2B993 Equipment for the deposition of metallic overlays for non-electronic substrates as follows, and specially designed components and accessories therefor, if the purchasing country or country of destination is Iran:

(a) chemical vapour deposition (CVD) production equipment;

(b) electron beam physical vapour deposition (EB-PVD) production equipment;

(c) production equipment for deposition by means of inductive or resistance heating.

— 5A911 Base stations for digital 'trunked radio' if the purchasing country or country of destination is Sudan or South Sudan.

*Technical note:*

*'Trunked radio' is a cellular radio communications procedure with mobile subscribers who are assigned frequency trunks for communication. Digital 'trunked radio' (e.g. TETRA, terrestrial trunked radio) uses digital modulation.*



- 5D911 'Software' specially designed or modified for the 'use' of equipment, which is controlled by item 5A911, if the purchasing country or country of destination is Sudan or South Sudan.
  - 6A908 Radar-based navigation or surveillance systems for vessel or airborne traffic control, not controlled by items 6A008 or 6A108 in the framework of the Regulation as amended, and specially designed components therefor, if the purchasing country or country of destination is Iran.
  - 6D908 'Software', specially developed or modified for the 'development', 'production' or 'use' of the equipment controlled by 6A908, if the purchasing country or country of destination is Iran.
  - 9A991 Ground vehicles not covered by Part I A of the Export Control List, as follows:
    - (a) flatbed trailers and semitrailers with a payload exceeding 25 000 kg and less than 70 000 kg, or having one or more military features and being capable of transporting vehicles controlled by 0006 in Part I A as well as traction vehicles capable of their transportation and having one or more military features if the purchasing country or country of destination is Iran, Libya, Myanmar, North Korea, Pakistan, Somalia or Syria;
 

*Note: Traction vehicles within the meaning of 9A991a comprise all vehicles with primary traction function;*
    - (b) other trucks and off-road vehicles having one or more military features, if the purchasing country or country of destination is Iran, Libya, Myanmar, North Korea, Somalia or Syria.
 

*Note 1: Military features as defined by 9A991 include:*

      - (a) *fording capability of 1,2 m or more;*
      - (b) *mountings for guns and weapons;*
      - (c) *mountings for camouflage netting;*
      - (d) *roof lights, round with sliding or swinging cover;*
      - (e) *military enamelling;*
      - (f) *hook coupling for trailers in conjunction with a so-called NATO-socket.*

*Note 2: 9A991 does not control ground vehicles when accompanying their users for their own personal use. 9A992 Trucks, as follows:*

      - (a) *all-wheel-drive trucks with a payload exceeding 1 000 kg, if the purchasing country or country of destination is North Korea;*
      - (b) *trucks with three or more axles and a maximum permissible gross laden weight of more than 20 000 kg, if the purchasing country or country of destination is Iran or Syria.*
  - 9A993 Helicopters, helicopter power transfer systems, gas turbine engines and auxiliary power units (APUs) for use in helicopters, and specially designed components therefor, if the purchasing country or country of destination is Cuba, Iran, Libya, Myanmar, North Korea, Somalia or Syria.
  - 9A994 Air-cooled power units (aero-engines) with a cubic capacity of 100 cm<sup>3</sup> or more and 600 cm<sup>3</sup> or less, capable of use in unmanned 'air vehicles', and specially designed components therefor, if the purchasing country or country of destination is Iran.
  - 9E991 'Technology' according to the General Technology Note for the 'development' or 'production' of equipment controlled by 9A993, if the purchasing country or country of destination is Cuba, Iran, Libya, Myanmar, North Korea, or Syria.
- (2) The export authorisation requirement under Section 5(d) AWV for non-listed goods continues to apply in section 9 AWV.
- (3) Under Section 6 of the Foreign Trade and Payments Act (Aussenwirtschaftsgesetz — AWG), transactions, legal transactions and actions can be restricted or obligations to act can be imposed by administrative act in order to avert a danger pertaining in an individual case to the interests e.g. the essential security interests of the Federal Republic of Germany, the peaceful coexistence of nations, the foreign relations of the Federal Republic of Germany, the public order or security of the Federal Republic of Germany.

**5.4. Estonia**

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited, by decision of the Strategic Goods Commission, for reasons of public security or human rights consideration (par. 2(11) and 6(2) of the Strategic Goods Act).

**5.5. France**

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Decree No 2010-292). National controls on exports of dual-use items have been adopted, as set out in following orders:

- Ministerial Order of 31 July 2014 concerning the export of certain helicopters and their spare parts to third countries, published in the *Official Journal of the French Republic* of 8 August 2014.
- Ministerial Order of 31 July 2014 on the export of tear gas and riot control agents to third countries, published in the *Official Journal of the French Republic* of 8 August 2014.

**5.6. Ireland**

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Section 12(2) of Statutory Instrument 443 of 2009, Control of Exports (Dual-Use Items) Order 2009, as amended).

**5.7. Cyprus**

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited by the Ministry of Commerce, Industry and Tourism for reasons of public security or human rights consideration (Articles 5(3) and 10(c) of Ministerial Order 312/2009).

**5.8. Latvia**

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited by the Control Committee for Strategic Goods for reasons of public security or human rights consideration (Regulation of the Cabinet of Ministers No 645 of 25 September 2007 — 'Regulation on the National List of Strategic Goods and Services' (issued in accordance with the 'Law on the Handling of Strategic Goods', Article 3, Part One). National controls on exports of dual-use items apply to the National List of Strategic Goods and Services (Annex to Regulation No 645) as follows:

## NATIONAL LIST OF STRATEGIC GOODS AND SERVICES

Part No	Name of goods
10A901	Rimfire weapons, their parts, accessories and ammunition
10A902	<p>10A902 Aircraft components, equipment and spare parts</p> <p><i>Note: Licence is necessary for the import, export, transit and transfer from/to EU countries of those aircraft components, equipment and spare parts, which can be used in both — military and civil aircrafts.</i></p> <p>Exceptions:</p> <p>10A902 does not control aircraft components, equipment and spare parts, which are designed for the repair and maintenance purposes of International Civil Aircraft companies for the use on civil aircraft.</p>

Part No	Name of goods
	<p>10A902 does not control import, export and transfer from/to EU countries of such aircraft components, equipment and spare parts, which are designed for the repair and maintenance purposes of civil aircraft, if the mentioned civil aircraft is situated within the territory of the Republic of Latvia.</p> <p>10A902 does not control import, export, transit and transfer from/to EU countries of such aircraft components, equipment and spare parts, which are designed for the repair and maintenance purposes of civil aircraft, if the mentioned civil aircraft is being used in EU, UN and NATO missions.</p> <p>10A902 does not control passenger salon and serving facilities.</p>
10A903	Air guns with energy greater than 12 joules
10A904	<p>Pyrotechnical devices of classes 2, 3 and 4</p> <p><i>Technical note: The class of the pyrotechnical device shall be determined by the Department of Criminology of the State Police.</i></p>
10A905	<p>Tools, equipment, components and software designed or modified for special clandestine operations:</p> <p><i>NB: See also Category 5, Part Two 'Information Security'</i></p> <p>a. devices and equipment for clandestine obtaining of audio information:</p> <ol style="list-style-type: none"> <li>(1) special microphones;</li> <li>(2) special transmitters;</li> <li>(3) special receivers;</li> <li>(4) special coders;</li> <li>(5) special decoders;</li> <li>(6) wide frequency range receivers (frequency scanners);</li> <li>(7) special re-transmitters;</li> <li>(8) special amplifiers; and</li> <li>(9) special reflected 'laser' beam listening devices;</li> </ol> <p>b. devices and equipment for clandestine monitoring or video recording:</p> <ol style="list-style-type: none"> <li>(1) video cameras;</li> <li>(2) special video transmitters;</li> <li>(3) special video receivers; and</li> <li>(4) mini video recorders;</li> </ol> <p><i>Technical note: 10A905.b.1. includes wired and wireless video cameras and TV cameras.</i></p> <p>c. devices and equipment for clandestine retrieving of digital or mobile voice telecommunications or other information from technical means or channels of communication;</p>

Part No	Name of goods
	<p>d. devices and equipment for 'clandestine entering' into premises, means of transport or other objects:</p> <p><i>Technical note: For the purposes of 10A905 'clandestine entering' means clandestine opening of mechanical, electronic or other locks or cracking of codes.</i></p> <p>(1) special x-ray equipment for looking into locks;</p> <p>(2) master keys;</p> <p>(3) tools for opening locks; and</p> <p>(4) electronic devices for cracking the lock codes;</p> <p>e. countermeasure equipment and devices against special operations:</p> <p><i>NB: See also 'EU Common Military List'</i></p> <p>(1) special indicators;</p> <p>(2) special locators;</p> <p>(3) scanners;</p> <p>(4) scramblers;</p> <p>(5) special frequency meters;</p> <p>(6) wide frequency range noise generators.</p>
10A906	Night vision scopes and components.
10A907	<p>Antipersonnel mines</p> <p><i>Note: The export of antipersonnel mines is forbidden.</i></p>
10D	Software
10D901	<p>'Software' specially designed for special operational activities and for acquiring the information from computers and computer networks or other information systems or for clandestine change or destruction of such information.</p> <p><i>Note: 10D901 controls the export, import, 'production', 'use', 'development' and storage of the above-mentioned 'software'.</i></p>
10E	Technology
10E901	Technology for development, production and use of equipment mentioned in 10A905

Part No	Name of goods
10E902	<p data-bbox="486 320 675 349">Military assistance</p> <p data-bbox="486 367 1410 483"><i>Note: Military assistance includes any technical support related to the production, development, maintenance, testing and construction of military items, as well as any kind of technical services, such as instructions, training, transfer of practical skills, consultations, including in oral form.</i></p> <p data-bbox="486 497 603 526">Exceptions:</p> <p data-bbox="486 544 1410 600">(1) Military assistance to EU Member States, NATO Member States, Australia, Canada, New Zealand, Japan and Switzerland.</p> <p data-bbox="486 618 1410 674">(2) Military assistance is in the public domain or in the form of fundamental scientific research information.</p> <p data-bbox="486 692 1410 748">(3) Military assistance is oral and not related to items controlled by one or more international export control regimes, conventions or agreements.</p>

#### 5.9. The Netherlands

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited by the Minister of Foreign Affairs for reasons of public security or human rights consideration. National controls have been adopted for the export and brokering of chemicals and items for internal repression to Syria and for the export of items for internal repression to Egypt and Syria: (Decree Strct. 2013 No 25632 published on 13 September 2013).

#### 5.10. Austria

The export or transit of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited by the Federal Minister for Science, Research and Economy for reasons of public security or human rights consideration (Article 20 of the 2011 Foreign Trade Act (Außenwirtschaftsgesetz 2011, BGBl. I Nr. 26/2011)).

#### 5.11. Romania

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Article 7 of the Emergency Order No 119 of 23 December 2010 (GEO No 119/2010) on the control regime for operations concerning dual-use items).

#### 5.12. United Kingdom

The export of dual-use items not listed in Annex I to the Regulation may be subject to authorisation or prohibited for reasons of public security or human rights consideration (Export Control Order 2008). The list of UK controlled dual-use items is set out in Schedule 3 of the Export Control Order 2008 as amended by the Export Control (Amendment) (No 2) Order 2010 (S.I. 2010/2007).

#### SCHEDULE 3

#### **Schedule referred to in Articles 2 and 4 of the Export Control Order 2008**

#### UK CONTROLLED DUAL-USE GOODS, SOFTWARE AND TECHNOLOGY

*Note: In this Schedule, defined terms are printed in quotation marks.*

#### **Definitions**

In this Schedule:

‘development’ means all stages prior to ‘production’ (e.g. design, design research, design analyses, design concepts, assembly and testing of prototypes, pilot production schemes, design data, process of transforming design data into goods, configuration design, integration design, layouts);

'energetic materials' means substances or mixtures that react chemically to release energy required for their intended application; 'explosives', 'pyrotechnics' and 'propellants' are subclasses of energetic materials;

'explosive signatures' are features which are characteristic of explosives in any form prior to their initiation, as detected using technology including, but not limited to, ion mobility spectrometry, chemiluminescence, fluorescence, nuclear, acoustic or electromagnetic techniques;

'explosives' means solid, liquid or gaseous substances or mixtures of substances which, in their application as primary, booster, or main charges in warheads, demolition and other applications, are required to detonate;

'improvised explosive devices' means devices fabricated or intended to be placed in an improvised manner incorporating destructive, lethal, noxious, 'pyrotechnic' or incendiary chemicals designed to destroy, disfigure or harass; they may incorporate military stores, but are normally devised from non-military components;

'lighter-than-air vehicles' means balloons and airships that rely on hot air or on lighter-than-air gases such as helium or hydrogen for their lift;

'previously separated' means the application of any process intended to increase the concentration of the controlled isotope;

'production' means all production stages (e.g. product engineering, manufacture, integration, assembly (mounting), inspection, testing, quality assurance);

'propellants' means substances or mixtures that react chemically to produce large volumes of hot gases at controlled rates to perform mechanical work;

'pyrotechnic(s)' means mixtures of solid or liquid fuels and oxidisers which, when ignited, undergo an energetic chemical reaction at a controlled rate intended to produce specific time delays, or quantities of heat, noise, smoke, visible light or infrared radiation; pyrophorics are a subclass of pyrotechnics, which contain no oxidisers but ignite spontaneously on contact with air;

'required' as applied to 'technology', refers to only that portion of 'technology' which is peculiarly responsible for achieving or exceeding the controlled performance levels, characteristics or functions. Such 'required' 'technology' may be shared by different goods and the intended use of 'technology' is irrelevant to whether it is 'required';

'technology' means specific 'information' necessary for the 'development', 'production' or 'use' of goods or 'software';

*Technical Note:*

*'Information' may take forms including, but not limited to: blueprints, plans, diagrams, models, formulae, tables, 'source code', engineering designs and specifications, manuals and instructions written or recorded on other media or devices (e.g. disk, tape, read-only memories);*

*'source code' (or source language) is a convenient expression of one or more processes which may be turned by a programming system into equipment executable form.*

'use' means operation, installation (e.g. on-site installation), maintenance, checking, repair, overhaul and refurbishing;

'vaccines' are medicinal products in a pharmaceutical formulation licensed by, or having marketing or clinical trial authorisation from, the regulatory authorities of either the country of manufacture or of use, which is intended to stimulate a protective immunological response in humans or animals in order to prevent disease in those to whom or to which it is administered.

**Explosive-related goods and technology**

PL8001 The export or 'transfer by electronic means' of the following goods or 'technology' is prohibited to any destination outside all of the following: 'the customs territory', Australia, New Zealand, Canada, Norway, Switzerland, United States of America and Japan:

a. Equipment and devices, other than those in Schedule 2 or in 1A004.d, 1A005, 1A006, 1A007, 1A008, 3A229, 3A232 or 5A001.h in Annex I to 'the dual-use Regulation', for detection of or use with 'explosives' or for dealing with or protecting against 'improvised explosive devices', as follows, and specially designed components therefor:

1. Electronic equipment designed to detect 'explosives' or 'explosive signatures'; NB: See also 1A004.d in Annex I to 'the dual-use Regulation'.

*Note: PL8001.a.1 does not control equipment requiring operator judgement to establish the presence of 'explosives' or 'explosive signatures'.*

2. Electronic jamming equipment specially designed to prevent the detonation by radio remote control of 'improvised explosive devices';

*NB: See also 5A001.h. in Annex I to 'the dual-use Regulation'.*

3. Equipment and devices specially designed to initiate explosions by electrical or nonelectrical means, (e.g., firing sets, detonators and igniters);

*NB: See also 1A007, 1A008, 3A229 and 3A232 in Annex I to 'the dual-use Regulation'.*

*Note: PL8001.a.3 does not control:*

*a. Equipment and devices specially designed for a specific commercial use consisting of the actuation or operation by explosive means of other equipment or devices the function of which is not the initiation or creation of explosions;*

*b. pressure controlled equipment specially designed for down-hole oilfield equipment applications and which are incapable of use at atmospheric pressure; and*

*c. detonating cord.*

4. Equipment and devices, including, but not limited to: shields and helmets, specially designed for the disposal of 'improvised explosive devices';

*NB: See also 1A005, 1A006 and 5A001.h. in Annex I to 'the dual-use Regulation'. Note: PL8001.a.4 does not control bomb blankets, mechanical handling equipment for manoeuvring or exposing 'improvised explosive devices', containers designed for holding 'improvised explosive devices' or objects suspected of being such devices or other equipment specially designed to temporarily protect against 'improvised explosive devices' or objects suspected of being such devices.*

a. Linear cutting explosive charges other than those listed at entry 1A008 of Annex I to 'the dual-use Regulation';

b. 'Technology' 'required' for the 'use' of goods in PL8001.a and PL8001.b.

*NB: See Article 18 of this Order for exceptions from the controls on 'technology'.*

**Materials, chemicals, micro-organisms and toxins**

PL9002 The export of the following goods is prohibited to any destination:  
'Energetic materials', as follows, and mixtures containing one or more thereof:

- a. Nitrocellulose (containing more than 12,5 % nitrogen);
- b. Nitroglycol;
- c. Pentaerythritol tetranitrate (PETN);
- d. Picryl chloride;
- e. Trinitrophenylmethylnitramine (tetryl);
- f. 2,4,6-Trinitrotoluene (TNT).

*Note: PL9002 does not control single, double and triple base 'propellants'.*

PL9003 The export of the following goods is prohibited to any destination:  
'Vaccines' for protection against:

- a. *bacillus anthracis*;
- b. botulinum toxin.

PL9004 The export of the following goods is prohibited to any destination:  
'Previously separated' americium-241, -242 m or -243 in any form.

*Note: PL9004 does not control goods with an americium content of 10 grams or less.*

**Telecommunications and related technology**

PL9005 The export or 'transfer by electronic means' of the following goods or 'technology' is prohibited to any destinations in Iran:

- a. Tropospheric scatter communication equipment using analogue or digital modulation techniques and specially designed components therefor;
- b. Technology for the 'development', 'production' or 'use' of goods specified in PL9005.a.

*NB: See Article 18 of this Order for exceptions from the controls on 'technology'.*

**Detection equipment**

PL9006 The export of 'electro-statically powered' equipment for detecting 'explosives', other than detection equipment specified in Schedule 2, PL8001.a.1 or in 1A004.d. in Annex I to 'the dual-use Regulation', is prohibited to any destination in Afghanistan or Iraq.

*Technical Note:*

*'Electro-statically powered' means using electro-statically generated charge.*

**Vessels and related software and technology**

PL9008 The export or 'transfer by electronic means' of the following goods, 'software' or 'technology', is prohibited to any destination in Iran:

- a. Vessels, inflatable craft and 'submersible vehicles', and related equipment and components, as follows, other than those specified in Schedule 2 to this Order or Annex I to 'the dual-use Regulation':
  1. Marine 'vessels' (surface or underwater), inflatable craft and 'submersible vehicles';



2. Equipment and components designed for 'vessels', inflatable craft and 'submersible vehicles' as follows:
  - a. Hull and keel structures and components;
  - b. Propulsive engines designed or modified for marine use and specially designed components therefor;
  - c. Marine radar, sonar and speed log equipment, and specially designed components therefor;
3. 'Software' designed for the 'development', 'production' or 'use' of goods specified in PL9008.a;
4. 'Technology' for the 'development', 'production' or 'use' of goods or 'software' specified in PL9008.a or PL9008.b.

*NB: See Article 18 of this Order for exceptions from the controls on 'technology'.*

*Technical Note:*

*'Submersible vehicles' include manned, unmanned, tethered or untethered vehicles.*

### **Aircraft and related technology**

PL9009 The export or 'transfer by electronic means' of the following goods or 'technology' is prohibited to any destination in Iran:

- a. 'Aircraft', 'lighter-than-air vehicles' and steerable parachutes, and related equipment and components, as follows, other than those specified in Schedule 2 to this Order or Annex I to 'the dual-use Regulation':
  1. 'Aircraft', 'lighter-than-air vehicles' and steerable parachutes;
  2. Equipment and components designed for 'aircraft' and 'lighter-than-air vehicles', as follows:
    - a. Airframe structures and components;
    - b. Aero-engines and auxiliary power units (APUs) and specially designed components therefor;
    - c. Avionics and navigation equipment and specially designed components therefor;
    - d. Landing gear and specially designed components therefor, and aircraft tyres;
    - e. Propellers and rotors;
    - f. Transmissions and gearboxes, and specially designed components therefor;
    - g. Unmanned aerial vehicle (UAV) recovery systems;
    - h. Not used;
    - i. Technology/for the 'development', 'production' or 'use' of goods specified in PL9009.a.

*NB: See Article 18 of this Order for exceptions from the controls on 'technology'.*

*Note: PL9009.c. does not control technical data, drawings or documentation for maintenance activities directly associated with calibration, removal or replacement of damaged or unserviceable goods that are necessary for the continuing airworthiness and safe operation of civil 'aircraft'.*

6. **INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 9(4)(B) OF THE REGULATION (NATIONAL GENERAL EXPORT AUTHORISATIONS)**

Article 9(4)(b) of the Regulation requires the Commission to publish measures taken by Member States with regard to any national general export authorisations issued or modified.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

Member State	Has your Member State issued or modified any national general export authorisation in relation with Article 9?
BELGIUM	NO
BULGARIA	NO
CZECH REPUBLIC	NO
DENMARK	NO
GERMANY	YES
ESTONIA	NO
IRELAND	NO
GREECE	YES
SPAIN	NO
FRANCE	YES
CROATIA	NO
ITALY	YES
CYPRUS	NO
LATVIA	NO
LITHUANIA	NO
LUXEMBOURG	NO
HUNGARY	NO

Member State	Has your Member State issued or modified any national general export authorisation in relation with Article 9?
MALTA	NO
NETHERLANDS	YES
AUSTRIA	YES
POLAND	NO
PORTUGAL	NO
ROMANIA	NO
SLOVENIA	NO
SLOVAKIA	NO
FINLAND	NO
SWEDEN	NO
UNITED KINGDOM	YES

#### 6.1. **Germany**

There are five National General Export Authorisations in force in Germany:

- (1) General Authorisation No 9 for graphite;
- (2) General Authorisation No 10 for computers and related equipment;
- (3) General Authorisation No 12 for the export of certain dual-use goods below a certain value threshold;
- (4) General Authorisation No 13 for the export of certain dual-use goods in certain circumstances;
- (5) General Authorisation No 16 for telecommunications and data security.

#### 6.2. **Greece**

A National General Export Authorisation applies for export of certain dual-use items to the following destinations: Argentina, Croatia, Republic of Korea, Russian Federation, Ukraine, Turkey and South Africa (Ministerial Decision No 125263/e3/25263/6-2-2007).

### 6.3. France

There are six National General Export Authorisations in force in France:

- (1) National General Export Authorisation for industrial goods, as defined in the decree of 18 July 2002 concerning the export of industrial goods subject to strategic control in the European Community [as published in the *Official Journal of the French Republic* No 176 of 30 July 2002 (text 11) and as amended by the decree of 21 June 2004 concerning the enlargement of the European Union as published in the *Official Journal of the French Republic* of 31 July 2004 (text 5)].
- (2) National General Export Authorisation for chemical products, as defined in the decree of 18 July 2002 concerning the export of dual-use chemical products [as published in the *Official Journal of the French Republic* No 176 of 30 July 2002 (text 12) and as amended by the decree of 21 June 2004 concerning the enlargement of the European Union as published in the *Official Journal of the French Republic* of 31 July 2004 (text 6)].
- (3) National General Export Authorisation for graphite, as defined in the decree of 18 July 2002 concerning the export of nuclear quality graphite [as published in the *Official Journal of the French Republic* No 176 of 30 July 2002 (text 13) and as amended by the decree of 21 June 2004 concerning the enlargement of the European Union as published in the *Official Journal of the French Republic* of 31 July 2004 (text 7)].
- (4) National General Export Authorisation for biological products, as defined in the decree of 14 May 2007 and amended by the decree of 18 March 2010 concerning the export of certain genetic elements and genetically modified organisms [as published in the *Official Journal of the French Republic* of 20 March 2010].
- (5) National General Export Authorisation for certain dual-use items for French armed forces in third countries (Ministerial Order of 31 July 2014, published in the *Official Journal of the French Republic* of 8 August 2014).
- (6) National General Authorisation for the export or transfer within the EU of certain dual-use items for exhibition or fair (Ministerial Order of 31 July 2014 published in the *Official Journal of the French Republic* of 8 August 2014).

The specific items covered by the authorisations are set out in the relevant decrees.

### 6.4. Croatia

A National General Export Authorisation for the export of dual-use items in accordance with Article 9(4) of the Regulation may be issued by the Ministry of Foreign and European Affairs (Act on Control of Dual-Use Items (OG 80/11 i 68/2013)).

### 6.5. Italy

A National General Export Authorisation applies for export of certain dual-use items to the following destinations: Antarctica (Italian bases), Argentina, Republic of Korea, Turkey (decree of 4 August 2003 published in the *Official Journal* No 202 of 1 September 2003).

### 6.6. The Netherlands

A National General Export Authorisation applies for export of certain dual-use items to all destinations, with the exception of:

- Australia, Canada, Japan, New Zealand, Norway, USA, Switzerland (which are covered already by Annex II Part 3 to the Regulation);
- Afghanistan, Burma/Myanmar, Iraq, Iran, Libya, Lebanon, North Korea, Pakistan, Sudan, Somalia and Syria.

(NL002, *Official Journal* scrt-2009-18172 of 26 November 2009).

### 6.7. Austria

A National General Export Authorisation applies for export of certain dual-use items re-exported to the originating country without modification within three months after their import into the EU, or where items of the same quantity and quality are exported to the originating country within three months after the import. The conditions of use are the same as laid down in Annex II to the Regulation concerning the use of EU001 (Details of the authorisation are set out in Article 3 of the First Foreign Trade Regulation BGBl. II No 343/2011 of 28 October 2011).

## 6.8. United Kingdom

There are 15 National General Authorisations (OGELs) in force in the United Kingdom:

- 1 OGEL (Chemicals)
- 2 OGEL (Cryptographic Development)
- 3 OGEL (Export After Exhibition Dual-Use Items)
- 4 OGEL (Export After Repair/Replacement Under Warranty: Dual-Use Items)
- 5 OGEL (Export For Repair/Replacement Under Warranty: Dual-Use Items)
- 6 OGEL (Dual-Use Items: Hong Kong Special Administrative Region)
- 7 OGEL (International Non-Proliferation Regime De-controls: Dual-Use Items)
- 8 OGEL (Low Value Shipments)
- 9 OGEL (OIL and GAS Exploration Dual-Items)
- 10 OGEL (Technology for Dual-Use Items)
- 11 OGEL (Turkey)
- 12 OGEL (X)
- 13 OGEL (Military and Dual-Use Goods: UK forces deployed in embargoed destinations)
- 14 OGEL (Military and Dual-Use Goods: UK forces deployed in non-embargoed destinations)
- 15 OGEL (Exports of Non-Lethal Military and Dual-Use goods: To Diplomatic Missions or Consular Posts)

All UK National General Authorisations for dual-use items, including the lists of permitted items and destinations and the terms and conditions attached to each, are available to view and download from <https://www.gov.uk/dual-use-open-general-export-licences-explained>

## 7. **INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 9(6)(A) AND (B) AND ARTICLE 10(4) OF THE REGULATION (NATIONAL AUTHORITIES EMPOWERED TO GRANT EXPORT LICENCES IN THE MEMBER STATES, NATIONAL AUTHORITIES EMPOWERED TO PROHIBIT THE TRANSIT OF NON-COMMUNITY DUAL-USE ITEMS AND NATIONAL AUTHORITIES EMPOWERED TO GRANT AUTHORISATIONS FOR THE PROVISION OF BROKERING SERVICES — RESPECTIVELY)**

Article 9(6)(a) of the Regulation requires the Commission to publish the list of authorities empowered to grant export authorisations for dual-use items.

Article 9(6)(b) of the Regulation requires the Commission to publish the list of authorities empowered to prohibit the transit of non-Community dual-use items.

Article 10(4) of the Regulation requires the Commission to publish the list of authorities empowered to grant authorisation for the provision of brokering services.

**7.1. Belgium**

*For the Brussels Capital Region (localities with postal codes 1000 to 1299)*

Service Public Régional de Bruxelles Brussels International -  
Cellule licences — Cel vergunningen

Mr Cataldo ALU

City-Center

Boulevard du Jardin Botanique 20

1035 Bruxelles/Brussel

BELGIQUE/BELGIË

Tel. +32 28003727

Fax +32 28003824

E-mail: [calu@sprb.irisnet.be](mailto:calu@sprb.irisnet.be)

Website: <http://www.bruxelles.irisnet.be/travailler-et-entreprendre/permis-licences-autorisations/armes-et-technologies-a-double-usage>

*For the Walloon Region (localities with postal codes 1300 to 1499 and 4000 to 7999)*

Service public de Wallonie

Direction Générale de l'Économie, de l'Emploi et de la Recherche

Direction des Licences d'Armes

Mr Michel Moreels

Chaussée de Louvain 14

5000 Namur

BELGIQUE

Tel. +32 81649751

Fax +32 81649759/60

E-mail: [licences.dgo6@spw.wallonie.be](mailto:licences.dgo6@spw.wallonie.be)

Website: [http://economie.wallonie.be/Licences\\_armes/Accueil.html](http://economie.wallonie.be/Licences_armes/Accueil.html)

*For the Flanders Region (localities with postal codes 1500 to 3999 and 8000 to 9999)*

Flemish Department of Foreign Affairs

Strategic Goods Control Unit

Mr Michael Peeters

Boudewijnlaan 30, bus 80

1000 Brussel

BELGIË

Tel. +32 25534880

Fax +32 25536037

E-mail: [csg@iv.vlaanderen.be](mailto:csg@iv.vlaanderen.be)

Website: [www.vlaanderen.be/csg](http://www.vlaanderen.be/csg)

**7.2. Bulgaria**

Interministerial Commission for Export Control and Non-Proliferation of Weapons of Mass Destruction with the  
Minister for Economy and Energy

12 Knyaz Alexander I Str.

1000 Sofia

BULGARIA

Tel. +359 29407771, +359 29407681

Fax +359 29880727

E-mail: [h.atanasov@mee.government.bg](mailto:h.atanasov@mee.government.bg) and [i.bahchevanova@mee.government.bg](mailto:i.bahchevanova@mee.government.bg)

Website: [www.exportcontrol.bg](http://www.exportcontrol.bg), <http://www.mee.government.bg/eng/ind/earms.html>

**7.3. Czech Republic**

Ministry of Industry and Trade Licensing Office  
Na Františku 32  
110 15 Prague 1  
CZECH REPUBLIC  
  
Tel. +420 224907638  
Fax +420 224214558 or +420 224221811  
  
E-mail: leitgeb@mpo.cz or dual@mpo.cz  
Website: www.mpo.cz

**7.4. Denmark**

Exportcontrols  
Danish Business Authority  
Langelinie Allé 17  
2100 Copenhagen  
DENMARK  
  
Tel. +45 35291000  
Fax +45 35466632  
  
E-mail: eksportkontrol@erst.dk  
Website: in English: www.exportcontrols.dk; in Danish: www.eksportkontrol.dk

**7.5. Germany**

Federal Office of Economics and Export Control (*Bundesamt für Wirtschaft und Ausfuhrkontrolle*)  
Frankfurter Strasse 29-35  
65760 Eschborn  
GERMANY  
  
Tel. +49 6196908-0  
Fax +49 6196908-900  
  
E-mail: ausfuhrkontrolle@bafa.bund.de  
Website: <http://www.ausfuhrkontrolle.info>

**7.6. Estonia**

Strategic Goods Commission, Ministry of Foreign Affairs  
Islandi väljak 1  
15049 Tallinn  
ESTONIA  
  
Tel. +372 6377192  
Fax +372 6377199  
  
E-mail: stratkom@vm.ee  
Website: in English: <http://www.vm.ee/?q=en/taxonomy/term/58>; in Estonian: <http://www.vm.ee/?q=taxonomy/term/50>

**7.7. Ireland**

Licensing Unit  
Department of Jobs, Enterprise and Innovation  
23, Kil dare Street  
Dublin 2  
IRELAND  
Contact: Claire Pyke  
  
Tel. +353 16312530  
  
E-mail: [claire.pyke@djei.ie](mailto:claire.pyke@djei.ie), [exportcontrol@djei.ie](mailto:exportcontrol@djei.ie)  
Website: <http://www.djei.ie/trade/marketaccess/exports/index.htm>

**7.8. Greece**

Ministry of Development, Competitiveness  
General Directorate for International Economic Policy  
Directorate of Import-Export Regimes and Trade Defence Instruments  
Export Regimes and Procedures Unit  
Kornarou 1 str  
105 63 Athens  
GREECE  
Contact point: O. Papageorgiou  
Tel. +30 2103286047/56/22/21  
Fax +30 2103286094  
E-mail: opapageorgiou@mnec.gr

**7.9. Spain**

The General Secretariat for Foreign Trade (Secretaría General de Comercio Exterior), the Customs Department and the Foreign Office Ministry are the authorities empowered to grant licences and to decide to prohibit the transit of non-Community dual-use items.

Contact point in the Licensing Office: Mr Ramón Muro Martínez. Subdirector General.

Ministerio de Economía y Competitividad  
Paseo de la Castellana, 162, 7a  
28046 Madrid  
SPAIN  
Tel. +34 913492587  
Fax +34 913492470  
E-mail: RMuro@comercio.mineco.es; sgdefensa.sccc@comercio.mineco.es  
Website: <http://www.comercio.gob.es/es-ES/comercio-exterior/informacion-sectorial/material-de-defensa-y-de-doble-uso/Paginas/conceptos.aspx>

**7.10. France**

Ministère de l'Économie, des Finances et de l'Industrie  
Direction Générale de la Compétitivité, de l'Industrie et des Services  
Service des biens à double usage  
DGCIS1/SI/SBDU  
61, Boulevard Vincent-Auriol  
Télédoc 151 Bâtiment 4 Sieyès  
75703 Paris Cedex 13  
FRANCE  
Tel. +33 144970937  
Fax +33 144970990  
E-mail: Doublusage@finances.gouv.fr  
Website: <http://www.industrie.gouv.fr/pratique/bdousage/index.php>

**7.11. Croatia**

Ministry of Foreign and European Affairs  
Sector for Trade Policy and Economic Multilateral Relations  
Licencing Division  
Trg N. Š. Zrinskog 7-8  
10000 Zagreb  
CROATIA  
Tel. +385 1644625/626/627/628, +385 14569964  
Fax +385 1644601, +385 14551795  
E-mail: kontrola.izvoza@mvep.hr  
Website: <http://gd.mvep.hr/hr/kontrola-izvoza/>



**7.12. Italy**

Ministry of Economic Development  
Direction General for International Trade Policy  
Export Control Unit  
Viale Boston, 25  
00144 Roma  
ITALY

Tel. +39 0659932439  
Fax +39 0659647506

E-mail: polcom4@mise.gov.it, massimo.cipolletti@mise.gov.it  
Website: <http://www.mincomes.it/dualuse/dualuse.htm>

**7.13. Cyprus**

Ministry of Commerce, Industry and Tourism  
6, Andrea Araouzou  
1421 Nicosia  
CYPRUS

Tel. +357 22867100/22867332/22867197  
Fax +357 22375120/22375443

E-mail: Perm.sec@mcit.gov.cy, pevgeniou@mcit.gov.cy, xxenopoulos@mcit.gov.cy  
Website: <http://www.mcit.gov.cy/ts>

**7.14. Latvia**

Control Committee for Strategic Goods  
Chairman of the Committee: Mr Andris Teikmanis  
Executive Secretary: Ms Agnese Kalnina  
Ministry of Foreign Affairs  
3, K. Valdemara street  
Riga, LV-1395  
LATVIA

Tel. +371 67016426  
Fax +371 67284836

E-mail: agnese.kalnina@mfa.gov.lv  
Website: [www.mfa.gov.lv/lv/dp/DrosibasPolitikasVirzieni/EksportaKontrole/likumdosana](http://www.mfa.gov.lv/lv/dp/DrosibasPolitikasVirzieni/EksportaKontrole/likumdosana)

**7.15. Lithuania**

*Authorities empowered to grant export authorisations for dual-use items and authorities empowered to grant authorisations for the provision of brokering services:*

Ministry of Economy of the Republic of Lithuania  
Gedimino ave. 38/Vasario 16 st.2  
LT-01104 Vilnius  
LITHUANIA

Contact details:  
Export Division  
Department of Investment and Export

Tel. +370 70664680

E-mail: [vienaslangelis@ukmin.lt](mailto:vienaslangelis@ukmin.lt)

*Authority empowered to prohibit the transit of non-Community dual-use items:*

Customs Department under the Ministry of Finance of the Republic of Lithuania  
A. Jaksto str. 1/25  
LT-01105 Vilnius  
LITHUANIA

Contact details:  
Customs Criminal Service

Tel. +370 52616960

E-mail: [budetmd@cust.lt](mailto:budetmd@cust.lt)

**7.16. Luxembourg**

Ministère de l'Économie  
Office des licences/Contrôle à l'exportation  
19-21, boulevard Royal  
2449 Luxembourg  
LUXEMBOURG  
Postal address:  
BP 113  
2011 Luxembourg  
LUXEMBOURG

Tel. +352 226162  
Fax +352 466138

E-mail: [office.licences@eco.etat.lu](mailto:office.licences@eco.etat.lu)

Website: [http://www.eco.public.lu/attributions/dg1/d\\_commerce\\_exterieur/office\\_licences/index.html](http://www.eco.public.lu/attributions/dg1/d_commerce_exterieur/office_licences/index.html)

**7.17. Hungary**

Hungarian Trade Licensing Office  
Authority of Defence Industry and Export controls  
Magyar Kereskedelmi Engedélyezési Hivatal  
Haditechnikai és Exportellenőrzési Hatóság  
Budapest  
Németvölgyi út 37-39.  
1124  
HUNGARY

Tel. +36 14585583  
Fax +36 14585869

E-mail: [eei@mkeh.gov.hu](mailto:eei@mkeh.gov.hu)

Website: [www.mkeh.gov.hu](http://www.mkeh.gov.hu)

**7.18. Malta**

Commerce Department  
Mr Brian Montebello  
Trade Services  
MALTA

Tel. +356 25690214  
Fax +356 21240516

E-mail: [brian.montebello@gov.mt](mailto:brian.montebello@gov.mt)

Website: [http://www.commerce.gov.mt/trade\\_dualitems.asp](http://www.commerce.gov.mt/trade_dualitems.asp)

**7.19. The Netherlands**

Ministry for Foreign Affairs  
Directorate-General for International Relations  
Department for Trade Policy and Economic Governance  
PO Box 20061  
2500 EB The Hague  
THE NETHERLANDS

Tel. +31 703485954

Dutch Customs/Central Office for Import and Export  
PO Box 30003  
9700 RD Groningen  
THE NETHERLANDS

Tel. +31 881512400  
Fax +31 881513182

E-mail: [DRN-CDIU.groningen@belastingdienst.nl](mailto:DRN-CDIU.groningen@belastingdienst.nl)

Website: [www.rijksoverheid.nl/exportcontrole](http://www.rijksoverheid.nl/exportcontrole)

**7.20. Austria**

Federal Ministry of Science, Research and Economy  
Division for Foreign Trade Administration  
Stubenring 1  
1010 Vienna  
AUSTRIA

Tel. +43 1711002335

Fax +43 1711008366

E-mail: [werner.haider@bmwfw.gv.at](mailto:werner.haider@bmwfw.gv.at), [POST.C29@bmwfw.gv.at](mailto:POST.C29@bmwfw.gv.at)

Website: [www.bmwfw.gv.at](http://www.bmwfw.gv.at)

**7.21. Poland**

Minister for Economy  
Plac Trzech Krzyży 3/5  
00-950 Warszawa  
POLAND

Tel. +48 226935171

Fax +48 226934033

E-mail: [sekretariatDKE@mg.gov.pl](mailto:sekretariatDKE@mg.gov.pl)

Website: [www.mg.gov.pl/Gospodarka/DKE](http://www.mg.gov.pl/Gospodarka/DKE), [www.mg.gov.pl/DKE/EN](http://www.mg.gov.pl/DKE/EN)

**7.22. Portugal**

Autoridade Tributária e Aduaneira  
Customs and Taxes Authority  
Rua da Alfândega, 5  
1049-006 Lisboa  
PORTUGAL  
Director: Luísa Nobre; Licence Officer: Maria Oliveira

Tel. +351 218813843

Fax +351 218813986

E-mail: [dsl@at.gov.pt](mailto:dsl@at.gov.pt)

Website: [http://www.dgaiec.min-financas.pt/pt/licenciamento/bens\\_tecnologias\\_duplo\\_uso/bens\\_tecnologias\\_duplo\\_uso.htm](http://www.dgaiec.min-financas.pt/pt/licenciamento/bens_tecnologias_duplo_uso/bens_tecnologias_duplo_uso.htm)

**7.23. Romania**

Ministry of Foreign Affairs  
Department for Export Controls — ANCEX  
Str. Polonă nr. 8, sector 1  
010501 București  
ROMANIA

Tel. +40 374306950

Fax +40 374306924

E-mail: [sara.constantinescu@ancex.ro](mailto:sara.constantinescu@ancex.ro), [dsmarian@ancex.ro](mailto:dsmarian@ancex.ro)

Website: [www.ancex.ro](http://www.ancex.ro)

**7.24. Slovenia**

Ministry of Economic Development and Technology  
Kotnikova 5  
SI-1000 Ljubljana  
SLOVENIA

Tel. +386 14003521

Fax +386 14003611

E-mail: [gp.mg@gov.si](mailto:gp.mg@gov.si), [dvojna-raba.mg@gov.si](mailto:dvojna-raba.mg@gov.si)

Website: [http://www.mgrt.gov.si/si/delovna\\_podrocja/turizem\\_in\\_internacionalizacija/sektor\\_za\\_internacionalizacijo/internacionalizacija/nadzor\\_nad\\_blagom\\_in\\_tehnologijami\\_z\\_dvojno\\_rabo/](http://www.mgrt.gov.si/si/delovna_podrocja/turizem_in_internacionalizacija/sektor_za_internacionalizacijo/internacionalizacija/nadzor_nad_blagom_in_tehnologijami_z_dvojno_rabo/)

**7.25. Slovakia**

For the purposes of Article 9(6)(a) and Article 10(4) of the Regulation:

Ministry of Economy of the Slovak Republic  
Department of Trade Measures  
Mierová 19  
827 15 Bratislava 212  
SLOVAKIA  
  
Tel. +421 248547019  
Fax +421 243423915  
  
E-mail: [jan.krocka@economy.gov.sk](mailto:jan.krocka@economy.gov.sk)  
Website: [www.economy.gov.sk](http://www.economy.gov.sk)

For the purpose of Article 9(6)(b) of the Regulation:

Criminal Office of the Financial Administration  
Department of Drugs and Hazardous materials  
Coordination Unit  
Bajkalská 24  
824 97 Bratislava  
SLOVAKIA  
  
Tel. +421 258251221  
  
E-mail: [Jozef.Pullmann@financnasprava.sk](mailto:Jozef.Pullmann@financnasprava.sk)

**7.26. Finland**

Ministry for Foreign Affairs of Finland  
Export Control Unit  
Laivastokatu 22  
FI-00160 HELSINKI  
Postal address:  
PO Box 428  
FI-00023 GOVERNMENT  
FINLAND  
  
Tel. +358 295350000  
  
E-mail: [vientivalvonta.um@formin.fi](mailto:vientivalvonta.um@formin.fi)  
Website: <http://formin.finland.fi/vientivalvonta>

**7.27. Sweden**

1. Inspectorate of Strategic Products (ISP) Inspektionen för strategiska produkter

Visiting address:

Gullfossgatan 6, Kista  
SE-164 90 Stockholm  
SWEDEN  
  
Tel. +46 84063100  
Fax +46 84203100  
  
E-mail: [registrator@isp.se](mailto:registrator@isp.se)  
Website: <http://www.isp.se/>

ISP is empowered to grant authorisations in all cases except those listed under 2 below

2. Swedish Radiation Safety Authority (Strålsäkerhetsmyndigheten) Section of Nuclear Non-proliferation and Transport.

Solna strandväg 96  
SE-171 16 Stockholm  
SWEDEN

Tel. +46 87994000  
Fax +46 87994010

E-mail: [registrator@ssm.se](mailto:registrator@ssm.se)  
Website: <http://www.ssm.se>

The Swedish Radiation Safety Authority is empowered to grant authorisations on and prohibit transit of products in Annex 1, Category 0, to the Regulation.

#### 7.28. United Kingdom

Department for Business, Innovation and Skills (BIS)  
Export Control Organisation  
1 Victoria Street  
London SW1H 0ET  
UNITED KINGDOM

Tel. +44 2072154594  
Fax +44 2072154539

E-mail: [eco.help@bis.gov.uk](mailto:eco.help@bis.gov.uk)  
Website: <https://www.gov.uk/government/organisations/export-control-organisation>

#### 8. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 17 OF THE REGULATION (SPECIALLY EMPOWERED CUSTOMS OFFICES)

Article 17 requires Member States to inform the Commission if they have availed themselves of the option to have customs formalities for the export of dual-use items completed only at customs offices empowered to that end.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

Member State	Have specific customs offices been designated, in relation with Article 17(1), in which customs formalities for the export of dual-use items may be completed?
BELGIUM	NO
BULGARIA	YES
CZECH REPUBLIC	NO
DENMARK	NO
GERMANY	NO

Member State	Have specific customs offices been designated, in relation with Article 17(1), in which customs formalities for the export of dual-use items may be completed?
ESTONIA	YES
IRELAND	NO
GREECE	NO
SPAIN	NO
FRANCE	NO
CROATIA	NO
ITALY	NO
CYPRUS	NO
LATVIA	YES
LITHUANIA	YES
LUXEMBOURG	NO
HUNGARY	NO
MALTA	NO
NETHERLANDS	NO
AUSTRIA	NO
POLAND	YES
PORTUGAL	NO
ROMANIA	YES
SLOVENIA	NO
SLOVAKIA	NO
FINLAND	NO
SWEDEN	NO
UNITED KINGDOM	NO

### 8.1. Bulgaria

The territorial customs offices of the Republic of Bulgaria for strategic goods have been approved by the General Director of the Customs Agency under Ministry of Finance Order No 157 of 20 May 2008 (Official Gazette 59/2008). The list of customs offices in Bulgaria through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following websites:

[http://www.exportcontrol.bg/docs/Customs\\_posts\\_of\\_the\\_Republic\\_of\\_Bulgaria\\_for\\_defence-related%20\\_products\\_DU.pdf](http://www.exportcontrol.bg/docs/Customs_posts_of_the_Republic_of_Bulgaria_for_defence-related%20_products_DU.pdf)

<http://www.mi.government.bg/en/themes/evropeisko-i-nacionalno-zakonodatelstvo-v-oblastta-na-eksportniya-kontrol-i-nerazprostranieneto-na-or-225-338.html>

### 8.2. Estonia

The list of customs offices in Estonia through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following website:

<http://www.emta.ee/index.php?id=24795>

### 8.3. Latvia

The list of customs offices in Latvia through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following website:

<http://www.vid.gov.lv/dokumenti/muita/muitas%20kontroles%20punkti/aktual%20mkp%20saraksts%2026.02.2009.xls>

### 8.4. Lithuania

Territorial customs offices of the Republic of Lithuania for strategic goods were approved by Order Nr. 1B393 of the Director-General of the Customs Department under the Ministry of Finance dated 11 June 2010. The list of customs offices in Lithuania through which dual-use items and technologies may leave or enter the EU customs territory is as follows:

#### 1. VILNIUS CUSTOMS DISTRICT

- 1.1. VILNIUS AIRPORT POST, RODŪNIOS KELIAS 2, VILNIUS (VA10/LTVA1000)
- 1.2. VILNIUS POST OFFICE POST, RODŪNIOS KELIAS 9, VILNIUS (VP10/LTVP1000)
- 1.3. KENA RAILWAY POST, KALVELIŲ K., VILNIAUS R. (VG10/LTVG1000)
- 1.4. VAIDOTAI RAILWAY POST, EIŠIŠKIŲ PLENTAS 100, VILNIUS (VG20/LTVG2000)
- 1.5. MEDININKAI ROAD POST, KELIAS A3, VILNIAUS R. (VK20/LTVK2000)
- 1.6. ŠALČININKAI ROAD POST, KELIAS 104, ŠALČININKŲ R. (VK30/LTVK3000)
- 1.7. VILNIUS-KIRTIMAI CARGO POST, METALO G. 2A, VILNIUS (VR30/LTVR3000)
- 1.8. VILNIUS-SAVANORIAI CARGO POST, SAVANORIŲ PR. 174A, VILNIUS (VR10/LTVR1000)
- 1.9. UTENA CARGO POST, PRAMONĖS G. 5, UTENA (PR40/LTPR4000)

**2. KAUNAS CUSTOMS DISTRICT**

- 2.1. KAUNAS AIRPORT POST, KARMĖLAVA, KAUNO R. (KA10/LTKA1000)
- 2.2. KYBARTAI RAILWAY POST, KUDIRKOS NAUMIESČIO G. 4, KYBARTAI, VILKAVIŠKIO R. (KG30/LTKG3000)
- 2.3. KYBARTAI ROAD POST, KELIAS A7, J.BASANAVIČIAUS G. 1, KYBARTAI, VILKAVIŠKIO R. (KK20/LTKK2000)
- 2.4. KAUNAS-CENTRE CARGO POST, JOVARŲ G. 3, KAUNAS (KR10/LTKR1000)
- 2.5. PANEVĖŽIS CARGO POST, RAMYGALOS G. 151, PANEVĖŽYS (PR20/LTPR2000)

**3. KLAIPĖDA CUSTOMS DISTRICT**

- 3.1. PALANGA AIRPORT POST, LIEPOJOS PL. 1, PALANGA (LA10/LTLA1000)
- 3.2. PANEMUNĖ ROAD POST, KELIAS A12, DONELAIČIO G., PANEMUNĖ, ŠILUTĖS R. (LK40/LTLK4000)
- 3.3. KLAIPĖDA CARGO POST, ŠILUTĖS PL. 9, KLAIPĖDA (LR10/LTLR1000)
- 3.4. MALKAI SEAPORT POST, PERKĖLOS G. 10, KLAIPĖDA (LU90/LTLU9000)
- 3.5. MOLAS SEAPORT POST, NAUJOJI UOSTO G. 23, KLAIPĖDA (LUA0/LTLUA000)
- 3.6. PILIS SEAPORT POST, NEMUNO G. 24, KLAIPĖDA (LUB0/LTLUB000)
- 3.7. ŠIAULIAI AIRPORT POST, LAKŪNŲ G. 4, ŠIAULIAI (SA10/LTSA1000)
- 3.8. RADVILIŠKIS RAILWAY POST, GELEŽINKELIO KALNELIS, RADVILIŠKIS (SG30/LTSG3000)
- 3.9. ŠIAULIAI CARGO POST, METALISTŲ G. 4, ŠIAULIAI (SR10/LTSR1000)

**8.5. Poland**

The list of customs offices in Poland through which dual-use items and technologies may leave or enter the EU customs territory is as follows:

No	Chamber Office Branch	Identification code
I	IZBA CELNA W BIAŁEJ PODLASKIEJ	
1	Urząd Celny w Białej Podlaskiej	
a	Oddział Celny w Białej Podlaskiej	301010
b	Oddział Celny w Małaszewiczach	301020
c	Oddział Celny w Koroszczynie	301040



No	Chamber Office Branch	Identification code
2	Urząd Celny w Lublinie	
a	Oddział Celny w Lublinie	302010
b	Oddział Celny w Puławach	302020
c	Oddział Celny w Chełmie	302040
d	Oddział Celny w Dorohusku	302050
e	Oddział Celny Drogowy w Dorohusku	302060
3	Urząd Celny w Zamościu	
a	Oddział Celny w Zamościu	303010
b	Oddział Celny w Hrebennem	303020
c	Oddział Celny w Hrubieszowie	303030
II	IZBA CELNA W BIAŁYMSTOKU	
1	Urząd Celny w Białymstoku	
a	Oddział Celny w Białymstoku	311010
b	Oddział Celny Kolejowy w Kuźnicy	311020
c	Oddział Celny Drogowy w Kuźnicy	311030
d	Oddział Celny w Czeremsze	311040
e	Oddział Celny w Siemianówce	311050
f	Oddział Celny w Bobrownikach	311070
2	Urząd Celny w Łomży	
a	Oddział Celny w Łomży	312010
3	Urząd Celny w Suwałkach	
a	Oddział Celny w Suwałkach	313010

No	Chamber Office Branch	Identification code
III	IZBA CELNA W GDYNI	
1	Urząd Celny w Gdyni	
a	Oddział Celny 'Basen V' w Gdyni	321010
b	Oddział Celny 'Dworzec Morski' w Gdyni	321020
c	Oddział Celny 'Baza Kontenerowa' w Gdyni	321030
e	Oddział Celny 'Basen IV' w Gdyni	321050
f	Oddział Celny 'Nabrzeże Bułgarskie' w Gdyni	321070
2	Urząd Celny w Gdańsku	
a	Oddział Celny 'Opłotki' w Gdańsku	322010
b	Oddział Celny 'Nabrzeże Wiślane' w Gdańsku	322020
c	Oddział Celny 'Basen im. Władysława IV' w Gdańsku	322030
e	Oddział Celny Port Lotniczy Gdańsk-Rębiechowo	322050
f	Oddział Celny w Tczewie	322060
g	Oddział Celny w Kwidzynie	322070
h	Oddział Celny 'Terminal Kontenerowy' w Gdańsku	322080
i	Oddział Celny Pocztowy w Pruszczu Gdańskim	322090
3	Urząd Celny w Słupsku	
a	Oddział Celny w Słupsku	323010

No	Chamber Office Branch	Identification code
IV	IZBA CELNA W KATOWICACH	
1	Urząd Celny w Katowicach	
a	Oddział Celny w Chorzowie	331010
b	Oddział Celny w Tychach	331020
c	Oddział Celny w Sławkowie	331030
d	Oddział Celny Port Lotniczy Katowice-Pyrzowice	331040
2	Urząd Celny w Rybniku	
a	Oddział Celny w Gliwicach	332010
b	(uchylona)	
c	Oddział Celny w Raciborzu	332030
d	Oddział Celny Pocztowy w Zabrze	332040
3	Urząd Celny w Częstochowie	
a	Oddział Celny w Częstochowie	333010
4	Urząd Celny w Bielsku-Białej	
a	Oddział Celny w Czechowicach-Dziedzicach	335010
b	Oddział Celny w Cieszynie	335030
V	IZBA CELNA W KIELCACH	
1	Urząd Celny w Kielcach	
a	Oddział Celny w Kielcach	341010
b	Oddział Celny w Starachowicach	341020

No	Chamber Office Branch	Identification code
VI	IZBA CELNA W KRAKOWIE	
1	Urząd Celny w Krakowie	
a	Oddział Celny I w Krakowie	351010
b	Oddział Celny II w Krakowie	351020
c	Oddział Celny Port Lotniczy Kraków-Balice	351030
2	Urząd Celny w Nowym Targu	
a	Oddział Celny w Nowym Targu	352010
b	Oddział Celny w Andrychowie	352020
3	Urząd Celny w Nowym Sączu	
a	Oddział Celny w Nowym Sączu	353010
b	Oddział Celny w Tarnowie	353030
VII	IZBA CELNA W ŁODZI	
1	Urząd Celny I w Łodzi	
a	Oddział Celny I w Łodzi	361010
b	Oddział Celny w Sieradzu	361030
2	Urząd Celny II w Łodzi	
a	Oddział Celny II w Łodzi	362010
b	Oddział Celny w Kutnie	362030
3	Urząd Celny w Piotrkowie Trybunalskim	
a	Oddział Celny w Piotrkowie Trybunalskim	363010

No	Chamber Office Branch	Identification code
VIII	IZBA CELNA W OLSZTYNIE	
1	Urząd Celny w Olsztynie	
a	Oddział Celny w Olsztynie	371010
b	Oddział Celny w Korszach	371020
c	Oddział Celny w Bezledach	371030
d	Oddział Celny w Ełku	371050
2	Urząd Celny w Elblągu	
a	Oddział Celny w Elblągu	372010
b	Oddział Celny w Braniewie	372020
c	Oddział Celny w Iławie	372040
IX	IZBA CELNA W OPOLU	
1	Urząd Celny w Opolu	
a	Oddział Celny w Opolu	381010
b	Oddział Celny w Kędzierzynie-Koźlu	381030
c	Oddział Celny w Nysie	381040
X	IZBA CELNA W POZNANIU	
1	Urząd Celny w Poznaniu	
a	Oddział Celny w Poznaniu	391010
b	Oddział Celny 'MTP' w Poznaniu	391020
c	Oddział Celny Port Lotniczy Poznań-Ławica	391030
d	Oddział Celny w Gądkach	391040

No	Chamber Office Branch	Identification code
2	Urząd Celny w Pile	
a	Oddział Celny w Pile	392010
3	Urząd Celny w Lesznie	
a	Oddział Celny w Lesznie	393010
b	Oddział Celny w Nowym Tomysłu	393020
4	Urząd Celny w Kaliszu	
a	Oddział Celny w Kaliszu	394010
b	Oddział Celny w Koninie	394020
XI	IZBA CELNA W PRZEMYŚLU	
1	Urząd Celny w Przemyślu	
a	Oddział Celny w Przemyślu	401010
b	Oddział Celny w Medyce	401030
c	Oddział Celny Medyka-Żurawica	401040
d	Oddział Celny w Korczowej	401060
e	Oddział Celny w Werchracie	401070
2	Urząd Celny w Rzeszowie	
a	Oddział Celny w Rzeszowie	402010
b	Oddział Celny Port Lotniczy Rzeszów-Jasionka	402020
c	Oddział Celny w Stalowej Woli	402050
d	Oddział Celny w Mielcu	402060
3	Urząd Celny w Krośnie	
a	Oddział Celny w Krośnie	404010

No	Chamber Office Branch	Identification code
XII	IZBA CELNA W RZEPINIE	
1	Urząd Celny w Zielonej Górze	
a	Oddział Celny w Zielonej Górze	411010
b	Oddział Celny w Olszynie	411020
2	Urząd Celny w Gorzowie Wielkopolskim	
a	Oddział Celny w Gorzowie Wielkopolskim	412010
b	Oddział Celny w Świecku	412020
XIII	IZBA CELNA W SZCZECINIE	
1	Urząd Celny w Szczecinie	
a	Oddział Celny w Szczecinie	421010
b	Oddział Celny 'Nabrzeże Łasztownia' w Szczecinie	421030
c	Oddział Celny Port Lotniczy Szczecin-Goleniów	421050
d	Oddział Celny w Stargardzie Szczecińskim	421060
e	Oddział Celny w Świnoujściu	421080
f	Oddział Celny w Lubieszynie	421090
2	Urząd Celny w Koszalinie	
a	Oddział Celny w Koszalinie	422010
b	Oddział Celny w Kołobrzegu	422020
c	Oddział Celny w Szczecinku	422030

No	Chamber Office Branch	Identification code
XIV	IZBA CELNA W TORUNIU	
1	Urząd Celny w Bydgoszczy	
a	Oddział Celny II w Bydgoszczy	431020
2	Urząd Celny w Toruniu	
a	Oddział Celny w Toruniu	432010
b	Oddział Celny we Włocławku	432030
c	Oddział Celny w Grudziądzu	432040
XV	IZBA CELNA W WARSZAWIE	
1	Urząd Celny I w Warszawie	
a	Oddział Celny IV w Warszawie	441040
2	Urząd Celny II w Warszawie	
a	Oddział Celny VI w Warszawie	442020
3	Urząd Celny III 'Port Lotniczy' w Warszawie	
a	Oddział Celny Osobowy w Warszawie	443010
b	Oddział Celny Towarowy I w Warszawie	443020
c	Oddział Celny Towarowy II w Warszawie	443030
d	Oddział Celny Towarowy III w Warszawie	443040
4	Urząd Celny w Radomiu	
a	Oddział Celny w Radomiu	444010



No	Chamber Office Branch	Identification code
5	Urząd Celny w Pruszkowie	
a	Oddział Celny I w Pruszkowie	445010
b	Oddział Celny w Błoniu	445030
5a	Urząd Celny w Siedlcach	
a	Oddział Celny w Siedlcach	446010
b	Oddział Celny w Garwolinie	446020
6	Urząd Celny w Ciechanowie	
a	Oddział Celny w Ciechanowie	447010
XVI	IZBA CELNA WE WROCŁAWIU	
1	Urząd Celny we Wrocławiu	
a	Oddział Celny I we Wrocławiu	451010
b	Oddział Celny Towarowy Port Lotniczy Wrocław-Strachowice	451030
c	Oddział Celny Osobowy Port Lotniczy Wrocław-Strachowice	451040
2	Urząd Celny w Legnicy	
a	Oddział Celny w Legnicy	452010
b	Oddział Celny w Polkowicach	452020
c	Oddział Celny w Żarskiej Wsi	452030
3	Urząd Celny w Wałbrzychu	
a	Oddział Celny w Wałbrzychu	454010
b	Oddział Celny w Jeleniej Górze	454040

### 8.6. Romania

The list of customs offices in Romania through which dual-use items and technologies may leave or enter the EU customs territory can be found on the following websites: <http://www.customs.ro/UserFiles/File/nela%20petrescu/anexa%20ordin%20modif%209710.pdf>

### 9. INFORMATION PROVIDED BY MEMBER STATES IN CONFORMITY WITH ARTICLE 22(5) OF THE REGULATION (INTRA-COMMUNITY TRANSFERS)

Article 22(5) stipulates that Member States imposing an authorisation requirement for the transfer from their territory to another Member State of items not listed in Annex IV to the Regulation (Annex IV lists items which do not benefit from freedom of movement in the single market) must inform the Commission, which must in turn publish this information in the *Official Journal of the European Union*.

The table below provides an overview of the measures taken by Member States, as notified to the Commission. Details on the measures are provided thereafter.

Member State	Have specific measures been taken to extend intra-EU transfer controls in relation with Article 22(2)?
BELGIUM	NO
BULGARIA	YES
CZECH REPUBLIC	YES
DENMARK	NO
GERMANY	YES
ESTONIA	YES
IRELAND	NO
GREECE	YES
SPAIN	NO
FRANCE	NO
CROATIA	NO
ITALY	NO

Member State	Have specific measures been taken to extend intra-EU transfer controls in relation with Article 22(2)?
CYPRUS	NO
LATVIA	NO
LITHUANIA	NO
LUXEMBOURG	NO
HUNGARY	YES
MALTA	NO
NETHERLANDS	YES
AUSTRIA	NO
POLAND	NO
PORTUGAL	NO
ROMANIA	NO
SLOVENIA	NO
SLOVAKIA	YES
FINLAND	NO
SWEDEN	NO
UNITED KINGDOM	YES

#### 9.1. Bulgaria

Bulgaria has extended intra-EU transfer controls as set out in Article 22(2) of the Regulation and has introduced a requirement for additional information to be provided to the competent authorities concerning certain intra-EU transfers as set out in Article 22(9) of the Regulation.

(Article 51, par. 8 and 9 of the Defence-Related Products and Dual-Use Items and Technologies Export Control Act, State Gazette No 26/29.3.2011, effective 30.6.2012).

**9.2. Czech Republic**

Act No 594/2004 Coll. extends controls with regard to intra-EU transfers from the Czech Republic as set out in Article 22(2) of the Regulation.

**9.3. Germany**

Section 11 of the Foreign Trade and Payments Regulation of 2 August 2013 (Aussenwirtschaftsverordnung — AWV) extends controls with regard to intra-EU transfers from Germany as set out in Article 22(2) of the Regulation.

**9.4. Estonia**

The Strategic Goods Act §3(6) extends controls with regard to intra-EU transfers as stipulated in Article 22(2) of the Regulation.

**9.5. Greece**

Section 3.4 of Ministerial Decision No 121837/E3/21837 of 28 September 2009 extends controls with regard to intra-EU transfers from Greece as set out in Article 22(2) of the Regulation.

**9.6. Hungary**

Par. 16 of the Government Decree No 13 of 2011 'on the foreign trade authorisation of dual-use items' adopts licensing requirement on listed dual-use items for transfers within the EU if the conditions stipulated in Article 22(2) of the Regulation apply.

**9.7. The Netherlands**

An authorisation requirement for intra-EU transfers may be imposed in individual cases with regard to dual-use items not listed in Annex IV to the Regulation.

**9.8. The Slovak Republic**

Par. 23(2) of the Act No 39/2011 Coll. extends controls with regard to intra-EU transfers from the Slovak Republic, as set out in Article 22(2) of the Regulation.

**9.9. United Kingdom**

Article 7 of the Export Control Order 2008 extends controls with regard to intra-EU transfer from the UK, as set out in Article 22(2) of the Regulation.

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**List of approved facilities for the treatment of foods and food ingredients with ionising radiation in the Member States**

*(According to Article 7(4) of Directive 1999/2/EC of the European Parliament and of the Council on the approximation of the laws of the Member States concerning foods and food ingredients treated with ionising radiation <sup>(1)</sup>)*

*(This text cancels and replaces the text published in the Official Journal of the European Union C 265 of 1 September 2012, p. 3)*

(2015/C 51/09)

Member State	Approved irradiation facilities	
	Source, Reference No, Name, Address	Further details of approval
AT	None	
BE	Source: <sup>60</sup> Co-gamma irradiation Reference No: 2110/91/0004 Sterigenics SA Zoning industriel 6220 Fleurus BELGIQUE/BELGIË	Approval for food in accordance with Article 7(2) of Directive 1999/2/EC.
BG	Source: <sup>60</sup> Co-gamma irradiation (a) Reference No: 1/23.5.2008 Bulgamma, Sopharma Ltd Iliensko Shosse 16 Sofia BULGARIA (b) Reference No: 2/26.10.2010 GITAVA Ltd 'Kalina' Town of Stamboliyski Hristo Botev str.-prolongation Municipality Stamboliyski Plovdiv district BULGARIA	Approval for dried aromatic herbs and spices and dried vegetable seasoning in accordance with Article 7(2) of Directive 1999/2/EC.
CY	None	
CZ	Source: <sup>60</sup> Co-gamma irradiation Reference No: IR-02-CZ Bioster a.s. Tejny 621 664 71 Veverská Bítýška ČESKÁ REPUBLIKA	Approval for dried aromatic herbs spices, seasoning in accordance with Article 7(2) of Directive 1999/2/EC.
DE	Source: <sup>60</sup> Co-gamma irradiation (a) Reference No: SN 01 Synergy Health Radeberg GmbH Juri-Gagarin-Str. 15 01454 Radeberg DEUTSCHLAND	Approval for dried aromatic herbs, spices, seasoning in accordance with Article 7(2) of Directive 1999/2/EC.

<sup>(1)</sup> OJ L 66, 13.3.1999, p. 16.

Member State	Approved irradiation facilities	
	Source, Reference No, Name, Address	Further details of approval
	<p>(b) Reference No: BY FS 01/2001</p> <p>Synergy Health Allershausen GmbH Kesselbodenstr. 7 85391 Allershausen DEUTSCHLAND</p> <p>(c) Reference No: NRW-GM 01</p> <p>BGS Beta-Gamma-Service GmbH &amp; Co. KG Fritz-Kotz-Str. 16 51674 Wiehl DEUTSCHLAND</p> <p>Source: accelerated electron irradiation</p> <p>(a) Reference No: D-BW-X-01</p> <p>Beta-Gamma-Service GmbH &amp; Co. KG John-Deere-Str. 3 76646 Bruchsal DEUTSCHLAND</p> <p>(b) Reference No: NRW-GM 02</p> <p>BGS Beta-Gamma-Service GmbH &amp; Co. KG Fritz-Kotz-Str. 16 51674 Wiehl DEUTSCHLAND</p>	
DK	None	
EE	<p>Source: <sup>60</sup>Co-gamma irradiation</p> <p>Reference No: 2835</p> <p>Scandinavian Clinics Estonia OÜ Kurvi tee 406a, Alliku küla 76403 Saue vald, Harjumaa EESTI/ESTONIA</p>	Approval for dried aromatic herbs, spices, seasoning in accordance with Article 7(2) of Directive 1999/2/EC.
ES	<p>Source: accelerated electron irradiation</p> <p>(a) Reference No: 500001/CU</p> <p>Ionmed Esterilización SA Santiago Rusiñol, 12 28040 Madrid ESPAÑA</p> <p>Antigua Ctra Madrid-Valencia, Km 83,7 16400 Tarancón (Cuenca) ESPAÑA</p> <p>(b) Reference No: 5.00002/B</p> <p>Aragogamma SA Salvador Mundi 11, bajos 08017 Barcelona ESPAÑA</p> <p>Carretera Granollers a Cardedeu, km 3,5 08520 Les Franqueses del Vallès (Barcelona) ESPAÑA</p>	Approval for dried aromatic herbs, spices, seasoning in accordance with Article 7(2) of Directive 1999/2/EC

Member State	Approved irradiation facilities	
	Source, Reference No, Name, Address	Further details of approval
	<p>(c) Reference No: 5.000005/SO</p> <p>Mevion Technology S.L. Avda de Espana, 1 Pol.Industrial Emiliano Revilla, Olvega, Soria ESPAÑA</p>	
FI	None	
FR	<p>Source: <sup>60</sup>Co-gamma irradiation</p> <p>(a) Reference No: 13 055 F</p> <p>Synergy Health Rue Jean Queillau, Marché des Arnavaux 13014 Marseille Cedex 14 FRANCE</p> <p>(b) Reference No: 72 264 F</p> <p>Ionisos SA Zone industrielle de l'Aubrée 72300 Sablé-sur-Sarthe FRANCE</p> <p>(c) Reference No: 85 182 F</p> <p>Ionisos SA Zone industrielle Montifaud 85700 Pouzauges FRANCE</p> <p>Source: accelerated electron irradiation</p> <p>(a) Reference No: 10 093 F</p> <p>Ionisos SA Zone Industrielle 10500 Chaumesnil FRANCE</p> <p>(b) Reference No: 01 142 F</p> <p>Ionisos SA Zone industrielle les Chartinières 01120 Dagneux FRANCE</p>	Approval for food in accordance with Article 7(2) of Directive 1999/2/EC
GR	None	
HU	<p>Source: <sup>60</sup>Co-gamma irradiation</p> <p>Reference No: EU-AIF 04-2002</p> <p>Agroster Besugárzó Részvénytársaság Budapest Jászberényi út 5 1106 MAGYARORSZÁG/HUNGARY</p>	Approval in accordance with Article 7(2) of Directive 1999/2/EC
IE	None	

Member State	Approved irradiation facilities	
	Source, Reference No, Name, Address	Further details of approval
IT	Source: <sup>60</sup> Co-gamma irradiation Reference No: RAD 1/04 IT Gammarad Italia SPA Via Marzabotto 4 Minerbio (BO) ITALIA	Approval in accordance with Article 7(2) of Directive 1999/2/EC
LU	None	
LT	None	
LV	None	
MT	None	
NL	Source: <sup>60</sup> Co-gamma irradiation (a) Reference No: GZB/VVB-991393 Ede, VWS dossier 368959 Synergy Health Morsestraat 3 6716 AH Ede NEDERLAND (b) Reference No: GZB/VVB-991393 Etten-Leur, VWS dossier 368959 Synergy Health Soeverinstraat 2 4879 NN Etten-Leur NEDERLAND	Approval for treatment of dried fruits, pulses, dehydrated vegetables, flakes from cereals, herbs, spices, shrimps, poultry, frog legs, gum arabic and egg products in accordance with Article 7(2) of Directive 1999/2/EC
PL	Source: <sup>60</sup> Co-gamma irradiation Reference No: GIS-HZ-4434-W.-2/MR/03 Międzyresortowy Instytut Techniki Radiacyjnej Wydział Chemiczny Politechniki Łódzkiej ul. Wróblewskiego 15 39-590 Łódź POLSKA/POLAND	Approval for treatment of onion, garlic, mushrooms, dried spices, dried mushrooms, dried vegetables
	Source: accelerated electron irradiation Reference No: GIS-HZ-4434-W.-3/MR/03 Instytut Chemii I Techniki Jądrowej ul. Dorodna 16 03-195 Warszawa POLSKA/POLAND	Approval for treatment of potatoes, onion, garlic, mushrooms, dried spices, dried mushrooms, dried vegetables
PT	None	
RO	Source: <sup>60</sup> Co-gamma irradiation Instalație de iradiere cu scopuri multiple Departamentul de iraderi tehnologice IRASM Institutul național de cercetare-dezvoltare pentru fizică și inginerie nucleară – Horia Hulubei Str. Atomistilor nr. 407 Căsuța poștală MG-6 Măgurele, județul Ilfov ROMÂNIA	Approval in accordance with Article 7(2) of Directive 1999/2/EC



Member State	Approved irradiation facilities	
	Source, Reference No, Name, Address	Further details of approval
SE	None	
SI	None	
SK	None	
UK	Source: $^{60}\text{Co}$ -gamma irradiation Reference No: EW/04 Synergy Health Moray Road Elgin Industrial Estate Swindon Wiltshire SN2 8XS UNITED KINGDOM	Approval for certain herbs and spices in accordance with Article 7(2) of Directive 1999/2/EC

## V

(Announcements)

PROCEDURES RELATING TO THE IMPLEMENTATION OF COMPETITION  
POLICY

EUROPEAN COMMISSION

**Prior notification of a concentration**

**(Case M.7492 — BBVA/Garanti)**

**Candidate case for simplified procedure**

**(Text with EEA relevance)**

(2015/C 51/10)

1. On 5 February 2015, the Commission received a notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 <sup>(1)</sup> by which Banco Bilbao Vizcaya Argentaria S.A. ('BBVA', Spain) acquires within the meaning of Article 3(1)(b) of the Merger Regulation control of the whole of Turkiye Garanti Bankasi A.S. ('Garanti', Turkey) by way of purchase of shares.
2. The business activities of the undertakings concerned are:
  - BBVA is a global banking group that offers individual and corporate customers a range of financial and non-financial products and services,
  - Garanti is a Turkish listed bank that provides retail, commercial, corporate and small and medium size enterprises banking services.
3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved. Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under Council Regulation (EC) No 139/2004 <sup>(2)</sup> it should be noted that this case is a candidate for treatment under the procedure set out in this Notice.
4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. Observations can be sent to the Commission by fax (+32 22964301), by e-mail to COMP-MERGER-REGISTRY@ec.europa.eu or by post, under reference number M.7492 — BBVA/Garanti, to the following address:

European Commission  
Directorate-General for Competition  
Merger Registry  
1049 Bruxelles/Brussel  
BELGIQUE/BELGIË

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<sup>(1)</sup> OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').

<sup>(2)</sup> OJ C 366, 14.12.2013, p. 5.

**Prior notification of a concentration**  
**(Case M.7524 — Lone Star/Hanson Building Entities)**  
**Candidate case for simplified procedure**  
**(Text with EEA relevance)**  
(2015/C 51/11)

1. On 5 February 2015, the Commission received a notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 <sup>(1)</sup> by which Lone Star Funds belonging to the Lone Star Group acquire within the meaning of Article 3(1)(b) of the Merger Regulation control of the whole of the undertakings Hanson Brick Ltd (Canada), Hanson Brick America, Inc. (U.S.), Hanson Pipe & Precast LLC (U.S.), Hanson Building Products Limited (UK) and Hanson Pipe & Precast Ltd (Canada) (altogether 'Hanson Building Entities') by way of purchase of shares and securities.
2. The business activities of the undertakings concerned are:
  - for Lone Star Group: investment in financial and other investment assets and investments in financially oriented and other operating companies,
  - for Hanson Building Entities: manufacture and trading of facing, engineering and special bricks, concrete and light-weight blocks and other concrete products, block paving and urban drainage systems and structural insulated panels.
3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved. Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under Council Regulation (EC) No 139/2004 <sup>(2)</sup> it should be noted that this case is a candidate for treatment under the procedure set out in this Notice.
4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. Observations can be sent to the Commission by fax (+32 22964301), by email to COMP-MERGER-REGISTRY@ec.europa.eu or by post, under reference number M.7524 — Lone Star/Hanson Building Entities, to the following address:

European Commission  
Directorate-General for Competition  
Merger Registry  
1049 Bruxelles/Brussel  
BELGIQUE/BELGIË

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<sup>(1)</sup> OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').

<sup>(2)</sup> OJ C 366, 14.12.2013, p. 5.

**Prior notification of a concentration**  
**(Case M.7490 — Macquarie/Wren House/E.On Spain)**

**Candidate case for simplified procedure**

(Text with EEA relevance)

(2015/C 51/12)

1. On 5 February 2015, the Commission received a notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 <sup>(1)</sup> by which the Macquarie European Infrastructure Fund 4 LP ('MEIF4', United Kingdom) belonging to the Macquarie Group Limited ('Macquarie', Australia) and Wren House Infrastructure Management Ltd ('Wren House', United Kingdom) belonging to the Kuwait Investment Authority ('KIA', Kuwait) acquire within the meaning of Article 3(1)(b) and Article 3(4) of the Merger Regulation joint control over E.On Group's Spanish business ('E.On Spain', Spain) by way of purchase of shares.

2. The business activities of the undertakings concerned are:

- Macquarie is an Australian-based investment company with interests in a number of companies in various industry sectors, including but not limited to interests in energy utilities in Europe,
- Wren House is active in investments in infrastructure on a global level,
- E.On Spain is active in the generation and wholesale supply of electricity as well as the retail supply of electricity and gas in Spain.

3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved. Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under the Council Regulation (EC) No 139/2004 <sup>(2)</sup> it should be noted that this case is a candidate for treatment under the procedure set out in this Notice.

4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. Observations can be sent to the Commission by fax (+32 22964301), by e-mail to COMP-MERGER-REGISTRY@ec.europa.eu or by post, under reference number M.7490 — Macquarie/Wren House/E.On Spain, to the following address:

European Commission  
Directorate-General for Competition  
Merger Registry  
1049 Bruxelles/Brussel  
BELGIQUE/BELGIË

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<sup>(1)</sup> OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').

<sup>(2)</sup> OJ C 366, 14.12.2013, p. 5.







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