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## Legislation

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<sup>(1)</sup> Text with EEA relevance

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Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.  
The titles of all other acts are printed in bold type and preceded by an asterisk.

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## I

*(Acts whose publication is obligatory)*

**DECISION No 884/2004/EC OF THE EUROPEAN PARLIAMENT  
AND OF THE COUNCIL  
of 29 April 2004**

**amending Decision No 1692/96/EC on Community guidelines  
for the development of the trans-European transport network**

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular the first paragraph of Article 156 thereof,

Having regard to the proposals from the Commission <sup>1</sup>,

Having regard to the Opinion of the European Economic and Social Committee <sup>2</sup>,

Having regard to the Opinion of the Committee of the Regions <sup>3</sup>,

Acting in accordance with the procedure laid down in Article 251 of the Treaty <sup>4</sup>,

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<sup>1</sup> OJ C 362 E, 18.12.2001, p. 205 and OJ C 20 E, 28.1.2003, p. 274.

<sup>2</sup> OJ C 125, 27.5.2002, p. 75.

<sup>3</sup> OJ C 278, 14.11.2002, p. 7.

<sup>4</sup> Opinions of the European Parliament of 30 May 2002 (OJ C 187 E, 7.8.2003, p. 130) and of 11 March 2004 (not yet published in the Official Journal), Council Common Position of 14 April 2004 (not yet published in the Official Journal) and Position of the European Parliament of 21 April 2004 (not yet published in the Official Journal).

Whereas:

- (1) Decision No 1692/96/EC of the European Parliament and of the Council <sup>1</sup> laid down Community guidelines for the trans-European transport network, identifying projects of common interest intended to contribute to the development of that network and, in Annex III, identifying the specific projects to which the European Council attached particular importance at its meetings in Essen in 1994 and in Dublin in 1996.
- (2) Growth in traffic, in particular due to the growing share of heavy goods vehicles, has resulted in increased congestion and bottlenecks on international transport corridors. In order to ensure international mobility of goods and passengers, it is therefore necessary to optimise the capacity of the trans-European transport network.
- (3) The Gothenburg European Council in 2001 invited the Community institutions to adopt revised guidelines for the trans-European transport network, with a view to giving priority, where appropriate, to infrastructure investment for railways, inland waterways, short sea shipping, intermodal operations and effective interconnections. In this framework, the contribution of inland waterways ports and regional airports to the objectives of the trans-European transport network should not be underestimated.
- (4) The forthcoming enlargement of the European Union and the objective of shifting the balance between transport modes and achieving an infrastructure network capable of meeting growing needs, together with the fact that it could take over ten years to complete some of the priority projects, call for re-examination of the list of projects in Annex III to Decision No 1692/96/EC.

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<sup>1</sup> OJ L 228, 9.9.1996, p. 1. Decision as last amended by Decision No 1346/2001/EC (OJ L 185, 6.7.2001, p. 1).

- (5) Bulgaria, the Czech Republic, Cyprus, Estonia, Hungary, Latvia, Lithuania, Malta, Poland, Romania, the Slovak Republic, Slovenia, and Turkey have concluded association agreements and Europe agreements and have applied for membership of the European Union. The transport administrations of eleven of these countries have carried out, with the support of the Commission, a transport infrastructure needs assessment aimed at defining a network according to the same principles as laid down in Decision No 1692/96/EC.
- (6) The Barcelona European Council in 2002 underlined the objective of reducing bottlenecks in regions such as the Alps, the Pyrenees and the Baltic Sea.
- (7) The Brussels European Council in December 2003 stressed that the priority projects identified in the guidelines are crucial to the strengthening of Internal Market cohesion, especially in view of the forthcoming enlargement of the Union and of the need to remove bottlenecks and/or complete missing links for the movement of goods (transit) across natural or other barriers or across borders.
- (8) The second Pan-European Transport Conference in Crete in 1994 and the third Pan-European Transport Conference in Helsinki in 1997 identified ten pan-European transport corridors and four pan-European areas as priorities for cooperation between the European Community and the third countries concerned.

- (9) In its report submitted to the Commission on 30 June 2003, the High-Level Group on the trans-European transport network (hereinafter "the High-Level Group") identified a limited number of priority projects using a methodology based on criteria which include, in particular, their potential economic viability, the degree of commitment on the part of the Member States concerned to keeping to a timetable agreed in advance in the programming of projects, their impact on the mobility of goods and persons between Member States, and their impact on cohesion and sustainable development. The report also includes projects in the new Member States which will join the Union on 1 May 2004. The results of such cooperation should be taken into account.
- (10) Environmental protection requirements should be integrated into the definition and implementation of Community policy in the field of the trans-European networks in accordance with Article 6 of the Treaty. This entails the promotion as a priority of infrastructure for transport modes that cause less damage to the environment, namely rail transport, short sea shipping and inland waterways shipping.
- (11) It is necessary to implement the central objective of decoupling the negative effects of transport growth from growth in GDP, as the Commission proposed in its communication on a European Union strategy for sustainable development.

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- (12) Environmental assessment pursuant to Directive 2001/42/EC of the European Parliament and of the Council of 27 June 2001 on the assessment of the effects of certain plans and programmes on the environment <sup>1</sup> will in the future be carried out for all plans and programmes leading to projects of common interest. Funding for transport infrastructure should also be conditional on compliance with the provisions of Community environmental legislation, in particular Council Directive 85/337/EEC of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment <sup>2</sup> and Council Directives 79/409/EEC of 2 April 1979 on the conservation of wild birds <sup>3</sup> and 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora <sup>4</sup>.
- (13) The Commission White Paper on the European transport policy calls for an integrated approach combining, inter alia, measures to revitalise the rail sector, in particular for freight services, to promote inland waterway shipping and short sea shipping, to encourage greater complementarity between high speed rail and air transport and to promote the development of interoperable intelligent transport systems in order to ensure increased network efficiency and safety.

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<sup>1</sup> OJ L 197, 21.7.2001, p. 30.

<sup>2</sup> OJ L 175, 5.7.1985, p. 40. Directive as last amended by Directive 2003/35/EC of the European Parliament and of the Council (OJ L 156, 25.6.2003, p. 17).

<sup>3</sup> OJ L 103, 25.4.1979, p. 1. Directive as last amended by Regulation (EC) No 807/2003 (OJ L 122, 16.5.2003, p. 36).

<sup>4</sup> OJ L 206, 22.7.1992, p. 7. Directive as last amended by Regulation (EC) No 1882/2003 of the European Parliament and of the Council (OJ L 284, 31.10.2003, p. 1).

- (14) The efficiency of the common transport policy depends, inter alia, on the coherence of measures to revitalise the rail sector and to develop the rail infrastructure.
- Directive 2001/12/EC of the European Parliament and of the Council of 26 February 2001 amending Council Directive 91/440/EEC on the development of the Community's railways<sup>1</sup> provides for a Trans-European Rail Freight Network open to international freight transport services after 2003. The lines of the Trans-European Rail Freight Network should be considered as part of the rail network defined by the guidelines set out in Decision No 1692/96/EC so that they can benefit from investments and attract traffic from the road.
- (15) Within the general objective of ensuring the sustainable mobility of persons and goods, mechanisms should be put in place to support the development of motorways of the sea between Member States in order to reduce road congestion and/or improve access to peripheral and island regions and States. Establishment of such mechanisms, backed up, inter alia, by tendering procedures, should be transparent and geared to needs and should in no way prejudice the Community rules on competition or on public procurement.
- (16) Support for the development of the motorways of the sea should be seen as complementary to the provision of Community aid as an incentive to the development of short sea shipping operations under the Marco Polo Programme established by Regulation (EC) No 1382/2003 of the European Parliament and of the Council of 22 July 2003 on the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo Programme)<sup>2</sup> and should be based on the same criteria. However, the granting of Community financial assistance under the two instruments should not be cumulative.

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<sup>1</sup> OJ L 75, 15.3.2001, p. 1.

<sup>2</sup> OJ L 196, 2.8.2003, p. 1.

- (17) There is a need for declaring priority projects to be of European interest, for concentrating Community financing on such projects and for introducing mechanisms to encourage coordination between Member States in order to facilitate completion of the projects within the desired timetable.
- (18) In accordance with Article 154 of the Treaty, the trans-European network policy should help to strengthen economic and social cohesion within the Community. In order to achieve this objective, efforts should be made to maximise consistency between the Community guidelines for the trans-European transport network and the programming of the relevant financial instruments available at Community level.
- (19) *A posteriori* evaluation of the priority projects should facilitate future revisions of the guidelines and of the list of priority projects and should help improve the *a priori* evaluation methods practised by the Member States.
- (20) A situation in which national procedures for the assessment of the environmental and socio-economic impact of a project are carried out separately by Member States may prove to be inappropriate to the transnational dimension of projects declared to be of European interest. In order to resolve this, coordinated evaluation and public consultation procedures or transnational enquiry procedures covering the different Member States concerned and focusing on the socio-economic and environmental aspects should be developed, in addition to joint evaluation methods. These procedures should apply without prejudice to the obligations imposed by the Community legislation on environmental protection.



- (21) Closer coordination between the States involved in projects on the same route may be necessary to improve the return on investments and to make it easier to synchronise investments and to put together a funding package.
- (22) Cross-border sections should be identified by Member States on the basis of criteria to be defined by the Committee set up under Article 18(2) of Decision No 1692/96/EC. The existing references to cross-border sections of the priority projects included in Annex III to that Decision should not prejudge the definition of cross-border sections according to these criteria.
- (23) The Commission has conducted an analysis of the impact of the recommendations made by the High-Level Group. The results show that carrying out the projects identified by the Group, combined with several of the measures under the Common Transport Policy, such as charging for the use of infrastructure and opening up rail freight to competition, would produce significant benefits in terms of time savings, lower emissions and less congestion, better access to peripheral Member States and to the new Member States, and greater general well-being.
- (24) In order to comply with the objectives of the trans-European transport network and to meet the transport challenges of enlargement, a considerable increase in appropriations for the trans-European networks is needed.

(25) The Commission might decide to propose to the European Parliament and the Council that it is necessary to take forward projects other than those included in Annex III of Decision No 1692/96/EC in order to pursue objectives to boost growth, to better integrate an enlarged Europe and to improve the productivity and competitiveness of European business on global markets, as well as to contribute to the objective of economic, social and territorial cohesion and to intermodality. These projects should be given appropriate priority within the context of Community financing instruments.

(26) Decision No 1692/96/EC should therefore be amended accordingly,

HAVE ADOPTED THIS DECISION:

#### Article 1

Decision No 1692/96/EC is hereby amended as follows:

- 1) in Article 2(1), the date "2010" shall be replaced by "2020";
- 2) Article 3(2) shall be replaced by the following:

"2. The transport infrastructure shall comprise road, rail and inland waterway networks, motorways of the sea, seaports and inland waterway ports, airports and other interconnection points between modal networks.";

3) Article 5 shall be replaced by the following:

"Article 5

Priorities

Taking into account the objectives set out in Article 2 and the broad lines of measures set out in Article 4, the priorities shall be:

- (a) establishment and development of the key links and interconnections needed to eliminate bottlenecks, fill in missing sections and complete the main routes, especially their cross-border sections, cross natural barriers, and improve interoperability on major routes;
- (b) establishment and development of infrastructure which promotes the interconnection of national networks in order to facilitate the linkage of islands, or areas similar to islands, and landlocked, peripheral and outermost regions on the one hand and the central regions of the Community on the other, in particular to reduce the high transport costs of these areas;
- (c) the necessary measures for the gradual achievement of an interoperable rail network, including, where feasible, routes adapted for freight transport;
- (d) the necessary measures to promote long-distance, short sea and inland shipping;
- (e) the necessary measures to integrate rail and air transport, especially through rail access to airports, whenever appropriate, and the infrastructures and installations needed;

- (f) optimisation of the capacity and efficiency of existing and new infrastructure, promotion of intermodality and improvement of the safety and reliability of the network by establishing and improving intermodal terminals and their access infrastructure and/or by deploying intelligent systems;
  - (g) integration of safety and environmental concerns in the design and implementation of the trans-European transport network;
  - (h) development of sustainable mobility of persons and goods in accordance with the objectives of the European Union on sustainable development.";
- 4) Article 8 shall be replaced by the following:

"Article 8

Environmental Protection

1. When projects are planned and carried out, environmental protection must be taken into account by the Member States by carrying out, pursuant to Council Directive 85/337/EEC, environmental impact assessments of projects of common interest which are to be implemented and by applying Council Directives 79/409/EEC of 2 April 1979 on the conservation of wild birds \* and 92/43/EEC.

As from 21 July 2004 an environmental assessment of the plans and programmes leading to such projects, especially where they concern new routes or other important nodal infrastructure development, shall be carried out by Member States pursuant to Directive 2001/42/EC of the European Parliament and of the Council of 27 June 2001 on the assessment of the effects of certain plans and programmes on the environment. \*\*. Member States shall take the results of this environmental assessment into account in the preparation of the plans and programmes concerned, in accordance with Article 8 of that Directive.

2. By 21 July 2004, the Commission shall, in agreement with Member States, develop suitable methods for implementing the strategic environmental assessment with the objective of ensuring, inter alia, appropriate coordination, avoiding duplication of effort, and achieving simplification and acceleration of planning processes for cross-border projects and corridors.

The results of this work and of the environmental assessment of the TEN projects carried out by Member States pursuant to Directive 2001/42/EC shall be taken into account, as appropriate, by the Commission in its report on the guidelines and the possible accompanying legislative proposals to revise the guidelines provided for in Article 18(3) of this Decision.

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\* OJ L 103, 25.4.1979, p. 1. Directive as last amended by Regulation (EC) No 807/2003 (OJ L 122, 16.5.2003, p. 36).

\*\* OJ L 197, 21.7.2001, p. 30.";

5) in Article 9, paragraph 3 shall be replaced by the following:

"3. The network shall also include infrastructure for traffic management, user information, dealing with incidents and emergencies and electronic fee collection, such infrastructure being based on active cooperation between traffic management systems at European, national and regional level and providers of travel and traffic information and value added services, which will ensure the necessary complementarity with applications whose deployment is facilitated under the trans-European telecommunications networks programme.";

6) Article 10 shall be replaced by the following:

"Article 10

Characteristics

1. The rail network shall comprise high-speed rail lines and conventional rail lines.

2. The high-speed rail network, whether using current or new technology, shall comprise:

(a) specially built high-speed lines equipped for speeds generally equal to or greater than 250 km/h;

- (b) specially upgraded high-speed lines equipped for speeds of the order of 200 km/h;
- (c) specially upgraded high-speed lines or lines specially built for high speed and connected to the high-speed rail network which have special features as a result of topographical or environmental, relief or town-planning constraints, on which speed must be adapted individually.

The high-speed rail network shall consist of the lines indicated in Annex I. Essential requirements and technical specifications for interoperability applicable to high-speed rail lines using current technology shall be defined in accordance with Council Directive 96/48/EC of 23 July 1996 on the interoperability of the trans-European high-speed rail system \*. Member States shall give the Commission prior notice of the opening of any high-speed line and of the line's technical characteristics.

3. The conventional rail network shall comprise lines for the conventional transport by rail of passengers and freight, including the rail segments of the trans-European combined transport network referred to in Article 14, access links to sea and inland ports of common interest and those freight terminals which are open to all operators. Essential requirements and technical specifications for interoperability applicable to the conventional rail lines are defined in accordance with Directive 2001/16/EC of the European Parliament and of the Council of 19 March 2001 on the interoperability of the trans-European conventional rail system \*\*.

4. The rail network shall include the infrastructures and the facilities which enable rail and road and, where appropriate, maritime services and air transport services to be integrated. In this regard, particular attention shall be paid to the connection of regional airports to the network.

5. The rail network shall fulfil at least one of the following functions:

- (a) play an important role in long-distance passenger traffic;
- (b) permit interconnection with airports, where appropriate;
- (c) permit access to regional and local rail networks;
- (d) facilitate freight transport by means of the identification and development of trunk routes dedicated to freight or routes on which freight trains have a priority;
- (e) play an important role in combined transport;
- (f) permit interconnection via ports of common interest with short sea shipping and inland waterways.

6. The rail network shall offer users a high level of quality and safety, by virtue of its continuity and of the gradual implementation of its interoperability, which shall be brought about in particular by technical harmonisation and the ERTMS harmonised command and control system recommended for the European railway network. To this end, a deployment plan, coordinated with national plans, shall be established by the Commission in consultation with the Member States.

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\* OJ L 235, 17.9.1996, p. 6. Directive as last amended by Regulation (EC) No 1882/2003 of the European Parliament and of the Council (OJ L 284, 31.10.2003, p. 1).

\*\* OJ L 110, 20.4.2001, p. 1.";

7) Article 11 shall be amended as follows:

(a) the following paragraph shall be inserted:

"3b. The inland ports of the network equipped with transshipment facilities for intermodal transport or with an annual freight traffic volume of at least 500 000 tonnes are shown in Annex I.";

(b) paragraph 4 shall be replaced by the following:

"4. The network shall also include the traffic management infrastructure. This shall entail in particular the establishment of an interoperable, intelligent traffic and transport system known as the "River Information Services" intended to optimise the existing capacity and safety of the inland waterway network and to improve interoperability with other modes of transport.";

8) the following Article shall be inserted:

"Article 12a

Motorways of the sea

1. The trans-European network of motorways of the sea is intended to concentrate flows of freight on sea-based logistical routes in such a way as to improve existing maritime links or to establish new viable, regular and frequent maritime links for the transport of goods between Member States so as to reduce road congestion and/or improve access to peripheral and island regions and States. Motorways of the sea should not exclude the combined transport of persons and goods, provided that freight is predominant.



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2. The trans-European network of motorways of the sea shall consist of facilities and infrastructure concerning at least two ports in two different Member States. The facilities and infrastructure shall include elements, in at least one Member State, such as the port facilities, electronic logistics management systems, safety and security and administrative and customs procedures, as well as infrastructure for direct land and sea access, including ways of ensuring year-round navigability, in particular the availability of facilities for dredging and icebreakers for winter access.
3. Waterways or canals, as identified in Annex I, which link two European motorways of the sea, or two sections thereof, and make a substantial contribution to shortening sea routes, increasing efficiency and saving shipping time shall form part of the trans-European network of motorways of the sea.
4. The projects of common interest of the trans-European network of motorways of the sea shall be proposed by at least two Member States and shall be geared to actual needs. The projects proposed shall in general involve both the public and private sectors in accordance with procedures which, before aid granted from the national budgets can be supplemented, if necessary, by aid from the Community, provide for a tendering process in one of the following forms:
- (a) a public call for tenders organised jointly by the Member States concerned, intended to establish new links from the category A port, as defined in Article 12(2), which they select in advance within each sea area, as referred to in project No 21 in Annex III;
  - (b) in so far as the location of the ports is comparable, a public call for tenders organised jointly by the Member States concerned and targeting consortia bringing together at least shipping companies and ports located in one of the sea areas, as referred to in project No 21 in Annex III.

5.. The projects of common interest of the trans-European network of motorways of the sea:

- shall focus on the facilities and infrastructure which make up the network of motorways of the sea,
- may include, without prejudice to Articles 87 and 88 of the Treaty, start-up aid if, as a result of the tendering process referred to in paragraph 4, public support is deemed necessary for the financial viability of the project. Start-up aid shall be limited to two years and shall be granted only in support of duly justified capital costs. The aid may not exceed the minimum estimated amount required to start up the links concerned. The aid may not lead to distortions of competition in the relevant markets contrary to the common interest,
- may also include activities which have wider benefits and are not linked to specific ports, such as making available facilities for ice-breaking and dredging operations, as well as information systems, including traffic management and electronic reporting systems.

6. The Commission shall, within three years, submit to the Committee referred to in Article 18 an initial list of specific projects of common interest, thereby putting the concept of the motorways of the sea into concrete form. This list shall also be communicated to the European Parliament.

7. The projects of common interest of the trans-European network of motorways of the sea shall be submitted to the Commission for approval.";

9) the following paragraph shall be added to Article 13:

"3. International and Community connecting points shall be gradually linked to the high-speed lines of the rail network, where appropriate. The network shall include the infrastructures and the facilities which permit the integration of air and rail transport services and, where appropriate, maritime transport services.";

10) the following Section shall be inserted:

"SECTION 10a

COORDINATION BETWEEN MEMBER STATES

Article 17a

European Coordinator

1. In order to facilitate the coordinated implementation of certain projects, in particular cross-border projects or sections of cross-border projects included among the projects declared to be of European interest referred to in Article 19a, the Commission may designate, in agreement with the Member States concerned, and after having consulted the European Parliament, a person called the "European Coordinator". The European Coordinator shall act in the name of and on behalf of the Commission. The remit of the European Coordinator shall normally relate to a single project, especially in the case of a cross-border project, but may, if necessary, be extended to cover the whole of a major axis. The European Coordinator shall draw up together with the Member States concerned a work plan for his/her activities.
2. The European Coordinator shall be chosen, in particular, on the basis of his/her experience of European institutions and knowledge of issues relating to the financing and the socio-economic and environmental evaluation of major projects.
3. The Commission decision designating the European Coordinator shall specify how he/she is to perform the tasks referred to in paragraph 5.
4. The Member States concerned shall cooperate with the European Coordinator and give him/her the information required to perform the tasks referred to in paragraph 5.

5. The European Coordinator shall:

- (a) promote, in cooperation with the Member States concerned, joint methods for the evaluation of projects and, where appropriate, advise project promoters on the financial package for the projects;
- (b) draw up a report every year for the European Parliament, the Commission and the Member States concerned on progress achieved in the implementation of the project(s) for which he/she is responsible, new regulatory or other developments which could affect the characteristics of the projects and any difficulties and obstacles which may result in a significant delay in relation to the dates indicated in Annex III;
- (c) consult, together with the Member States concerned, regional and local authorities, operators, transport users, and representatives of civil society with a view to gaining fuller knowledge of the demand for transport services, the possibilities of investment funding and the type of services that must be provided in order to facilitate access to such funding.

6. Without prejudice to the applicable procedures laid down in Community and national law, the Commission may request the opinion of the European Coordinator when examining applications for Community funding for projects or groups of projects for which the European Coordinator is responsible.";

11) Article 18 shall be amended as follows:

- (a) the title shall be replaced by the following:

"Committee for monitoring guidelines and the exchange of information";

- (b) Paragraph 1 shall be replaced by the following:

"1. The Member States shall provide the Commission with abstracts of national plans and programmes which they are drawing up with a view to development of the trans-European transport network, in particular in relation to the projects declared to be of European interest referred to in Article 19a. Once adopted, the Member States shall send the national plans and programmes to the Commission for information.";

- (c) Paragraph 3 shall be replaced by the following:

"3. The Commission shall report every two years to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on the implementation of the guidelines described in this Decision. The Committee set up under paragraph 2 shall assist the Commission with drawing up the report. The report shall be accompanied where necessary by legislative proposals to revise the guidelines; these legislative proposals may, if necessary, include amendments to the list of priority projects in Annex III or the addition to that list of projects that are in conformity with Article 19(1). The revision shall have particular regard to projects that contribute to the territorial cohesion of the European Union in accordance with Article 19(1)(e).";

12) Article 19 shall be replaced by the following:

"Article 19

Priority projects

1. The priority projects shall be projects of common interest referred to in Article 7 where examination confirms that they:
  - (a) are intended to eliminate a bottleneck or complete a missing link on a major route of the trans-European network, in particular projects which are cross-border projects, cross natural barriers or have a cross-border section;
  - (b) are on such a scale that long-term planning at European level will help significantly;
  - (c) present, overall, potential socio-economic net benefits and other socio-economic advantages;
  - (d) significantly improve the mobility of goods and persons between Member States and thus also contribute to the interoperability of national networks;
  - (e) contribute to the territorial cohesion of the European Union by integrating the networks of the new Member States and improving connections with the peripheral and island regions;

- (f) contribute to the sustainable development of transport by improving safety and reducing environmental damage caused by transport, in particular by promoting a modal shift towards railways, intermodal transport, inland waterways and maritime transport;
- (g) demonstrate commitment on the part of the Member States concerned to carrying out studies and evaluation procedures in time to complete the work in accordance with a date agreed in advance, based upon national plans or any other equivalent document relating to the project in question.

2. The priority projects on which work is due to start before 2010, the sections thereof and the dates agreed for completing the work referred to in paragraph 1(g) are set out in Annex III.

3. By 2010 the Commission shall draft a progress report and, if necessary, propose amendments to the list of priority projects identified in Annex III in line with paragraph 1.";

13) the following Articles shall be inserted:

"Article 19a

Declaration of European interest

1. The priority projects identified in Annex III are declared to be of European interest. This declaration is made solely in accordance with the procedure laid down in the Treaty and in the legal acts based thereon.

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2. Without prejudice to the legal basis of the Community financial instrument in question:
- (a) when submitting their projects under the Cohesion Fund, in accordance with Article 10 of Regulation (EC) No 1164/94 \*, the Member States shall give appropriate priority to the projects declared to be of European interest;
  - (b) when submitting their projects under the budget for the trans-European networks, in accordance with Articles 9 and 10 of Regulation (EC) No 2236/95 \*\*, the Member States shall give appropriate priority to the projects declared to be of European interest;
  - (c) the Commission shall encourage the Member States to take into account the projects declared to be of European interest when planning the programming of the Structural Funds, in particular in regions covered by Objective 1, having regard to national transport plans falling within the scope of existing Community support frameworks;
  - (d) the Commission shall ensure that the countries qualifying for the Instrument for Structural Policies for Pre-accession give appropriate priority, when submitting their projects under that instrument in accordance with Articles 2 and 7 of Regulation (EC) No 1267/1999 \*\*\*, to the projects declared to be of European interest.
3. When forecasting its financial needs, the Commission shall give appropriate priority to the projects declared to be of European interest.



4. In the event of a significant delay, in relation to the deadline of 2010, in starting work on one of the projects declared to be of European interest, the Commission shall ask the Member States concerned to give the reasons for the delay within three months. On the basis of the reply given, the Commission shall consult all the Member States concerned in order to solve the problem which has led to the delay.

The Commission may, in consultation with the Committee set up under Article 18(2), and as part of its active monitoring of the implementation of the project declared to be of European interest and having due regard to the principle of proportionality, decide to adopt appropriate measures. The Member States concerned shall be given the opportunity to submit observations on such measures before their adoption.

The European Parliament shall be informed immediately of any measure taken.

In adopting these measures, the Commission shall take due account of the share of responsibility for the delay of each Member States concerned and shall refrain from taking measures that would affect the realisation of the project in Member States not responsible for the delay.

5. In the event that a project declared to be of European interest is not substantially completed within a reasonable period of time after the expected date of its completion indicated in Annex III, and all Member States concerned are responsible for the delay, the Commission shall review the project, in accordance with the procedure referred to in paragraph 4, with a view to withdrawing its classification as a project declared to be of European interest by means of the revision procedure referred to in Article 18(3). The Commission shall, in any event, review the project at the end of a period of fifteen years after it has been declared to be of European interest within the meaning of this Decision.

6. Five years after completion of a project declared to be of European interest or of one of the sections thereof, the Member States concerned shall carry out an assessment of its socio-economic impact and its impact on the environment, including its impact on trade and the free movement of persons and goods between Member States, on territorial cohesion and on sustainable development. Member States shall inform the Commission of the results of this assessment.

7. Where a project declared to be of European interest includes a cross-border section which is technically and financially indivisible, the Member States concerned shall coordinate their procedures for assessing the socio-economic effects thereof and use their best endeavours to conduct a transnational enquiry prior to granting construction permission and within the existing framework.

8. Other sections of projects of European interest will be coordinated bilaterally or multilaterally by the Member States on a case by case basis.

9. The coordinated actions or transnational enquiries referred to in paragraph 7 shall apply without prejudice to the obligations imposed by the Community legislation on environmental protection, and particularly those relating to environmental impact assessment. The Member States concerned shall inform the Commission when such coordinated actions or transnational enquiries are launched and of the results. The Commission shall include that information in the report referred to in Article 18(3).

### Article 19b

#### Cross-border sections

In the context of certain priority projects, cross-border sections between two Member States, including motorways of the sea, shall be identified by the Member States on the basis of criteria defined by the Committee set up under Article 18(2) and notified to the Commission. These will be, notably, sections which are technically and financially indivisible or to which the Member States concerned commit themselves jointly and for which they put in place a common structure.

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- \* Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1). Regulation as last amended by Regulation (EC) No 1265/1999 (OJ L 161, 26.6.1999, p. 62).
  - \*\* Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1). Regulation as amended by Regulation (EC) No 1655/1999 of the European Parliament and of the Council (OJ L 197, 29.7.1999, p. 1).
  - \*\*\* Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73). Regulation as last amended by Regulation (EC) No 2500/2001 (OJ L 342, 27.12.2001, p. 1).";

- 14) Articles 20 and 21 shall be deleted.
- 15) The Annexes shall be amended as follows:
1. In Annex I, sections 2, 3, 4 and 6 and the attached maps shall be replaced as indicated in Annex I to this Decision.
  2. In Annex III:
    - (a) the title shall be replaced by the following: "Priority projects on which work is due to start before 2010";
    - (b) the content shall be replaced by the text set out in Annex II to this Decision.

#### Article 2

This Decision shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

Article 3

This Decision is addressed to the Member States.

Done at Strasbourg, 29 April 2004.

For the European Parliament

The President

P. COX

For the Council

The President

M. McDOWELL

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**ANNEX I**

Annex I to Decision No 1692/96/EC shall be amended as follows:

1. Sections 2, 3 and 4 shall be replaced by the following:

"Section 2: Road Network

2.0. Europe	2.4. Greece	2.8. Italy.	2.12. Portugal
2.1. Belgium	2.5. Spain	2.9. Luxembourg	2.13. Finland
2.2. Denmark	2.6. France	2.10. Netherlands	2.14. Sweden
2.3. Germany	2.7. Ireland	2.11. Austria	2.15. United Kingdom

Section 3: Rail Network

3.0. Europe	3.4. Greece	3.8. Italy.	3.12. Portugal
3.1. Belgium	3.5. Spain	3.9. Luxembourg	3.13. Finland
3.2. Denmark	3.6. France	3.10. Netherlands	3.14. Sweden
3.3. Germany	3.7. Ireland	3.11. Austria	3.15. United Kingdom

Section 4: Inland Waterways network and Inland Ports"

2. Section 6 shall be replaced by the following:

"Section 6: Airports network

6.0. Europe	6.4. France
6.1. Belgium/Denmark/Germany/ Luxembourg/Netherlands/Austria	6.5. Ireland/United Kingdom
6.2. Greece	6.6. Italy
6.3. Spain/Portugal	6.7. Finland/Sweden"

3. The following maps shall replace the corresponding maps in Decision No 1692/96/EC.

**ANNEX II**

Annex III of Decision No 1692/96/EC shall be replaced by the following:

"ANNEX III

PRIORITY PROJECTS ON WHICH WORK IS DUE TO START BEFORE 2010

1. Railway axis Berlin-Verona/Milano-Bologna-Napoli-Messina-Palermo
  - Halle/Leipzig-Nürnberg (2015);
  - Nürnberg-München (2006);
  - München-Kufstein (2015);
  - Kufstein-Innsbruck (2009);
  - Brenner tunnel (2015), cross-border section;
  - Verona-Napoli (2007);
  - Milano-Bologna (2006);
  - Rail/road bridge over the Strait of Messina-Palermo (2015).
  
2. High-speed railway axis Paris-Bruxelles/Brussel-Köln-Amsterdam-London
  - Channel tunnel-London (2007);
  - Bruxelles/Brussel-Liège-Köln (2007);
  - Bruxelles/Brussel-Rotterdam-Amsterdam (2007) <sup>1</sup>.

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<sup>1</sup> Including the two high-speed train stations in Rotterdam and Amsterdam which were not included in the project endorsed by the Essen European Council in 1994.

3. High-speed railway axis of south-west Europe

- Lisboa/Porto-Madrid (2011) <sup>1</sup>;
- Madrid-Barcelona (2005);
- Barcelona-Figueras-Perpignan (2008);
- Perpignan-Montpellier (2015);
- Montpellier-Nîmes (2010);
- Madrid-Vitoria-Irún/Hendaye (2010);
- Irún/Hendaye-Dax, cross-border section (2010);
- Dax-Bordeaux (2020);
- Bordeaux-Tours (2015).

4. High-speed railway axis east

- Paris-Baudrecourt (2007);
- Metz-Luxembourg (2007);
- Saarbrücken-Mannheim (2007).

5. Betuwe line (2007).

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<sup>1</sup> Including links Lisboa-Porto (2013), Lisboa-Madrid (2010) and Aveiro-Salamanca (2015).



6. Railway axis Lyon-Trieste-Divača/Koper-Divača-Ljubljana-Budapest-Ukrainian border <sup>1</sup>

- Lyon-St Jean de Maurienne (2015);
- Mont-Cenis tunnel (2015-2017), cross-border section;
- Bussoleno-Torino (2011);
- Torino-Venezia (2010);
- Venezia-Ronchi Sud-Trieste Divača (2015);
- Koper- Divača -Ljubljana (2015)
- Ljubljana-Budapest (2015).

7. Motorway axis Igoumenitsa/Patra-Athina-Sofia-Budapest

- Via Egnatia (2006);
- Pathe (2008);
- Sofia-Kulata-Greek/Bulgarian border motorway (2010), with Promahon-Kulata as cross-border section;
- Nadlac-Sibiu motorway (branch towards Bucuresti and Constanța) (2007).

8. Multimodal axis Portugal/Spain-rest of Europe <sup>2</sup>

- Railway La Coruña-Lisboa-Sines (2010);
- Railway Lisboa-Valladolid (2010);
- Railway Lisboa-Faro (2004);
- Lisboa-Valladolid motorway (2010);
- La Coruña-Lisboa motorway (2003);
- Sevilla-Lisboa motorway (completed 2001);
- New Lisboa airport (2015).

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<sup>1</sup> Parts of this route correspond to pan-European corridor V.

<sup>2</sup> Including upgrade of ports and airports (2015) as in accordance with the contents endorsed by the Essen/Dublin European Council.

9. Railway axis Cork-Dublin-Belfast-Stranraer <sup>1</sup> (2001)
10. Malpensa (completed 2001) <sup>2</sup>
11. Öresund fixed link (completed 2000) <sup>3</sup>
12. Nordic triangle railway/road axis
  - Road and railway projects in Sweden <sup>4</sup> (2010);
  - Helsinki-Turku motorway (2010);
  - Railway Kerava-Lahti (2006);
  - Helsinki-Vaalimaa motorway (2015);
  - Railway Helsinki-Vainikkala (Russian border) (2014).
13. UK/Ireland/Benelux road axis (2010)
14. West coast main line (2007)
15. Galileo (2008)

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<sup>1</sup> A further increase in capacity on this line was decided in 2003 and added as a separate project.

<sup>2</sup> Project completed.

<sup>3</sup> Project completed.

<sup>4</sup> A few short sections of road and railway line will be completed between 2010 and 2015.

16. Freight railway axis Sines-Madrid-Paris

- New high-capacity rail axis across the Pyrenees;
- Railway Sines-Badajoz (2010).
- Railway line Algeciras-Bobadilla (2010)

17. Railway axis Paris-Strasbourg-Stuttgart-Wien-Bratislava

- Baudrecourt-Strasbourg-Stuttgart (2015) with the Kehl bridge as cross-border section;
- Stuttgart-Ulm (2012);
- München-Salzburg (2015), cross-border section;
- Salzburg-Wien (2012);
- Wien-Bratislava (2010), cross-border section.

18. Rhine/Meuse-Main-Danube inland waterway axis <sup>1</sup>

- Rhine-Meuse (2019) with the lock of Lanaye as cross-border section;
- Vilshofen-Straubing (2013);
- Wien-Bratislava (2015) cross-border section;
- Palkovicovo-Mohács (2014);
- Bottlenecks in Romania and Bulgaria (2011).

19. High-speed rail interoperability on the Iberian peninsula

- Madrid-Andalucía (2010);
- North-east (2010);
- Madrid-Levante and Mediterranean (2010);
- North/North-west corridor, including Vigo-Porto (2010);
- Extremadura (2010).

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<sup>1</sup> Part of this route corresponds to the definition of pan-European corridor VII.

## 20. Fehmarn Belt railway axis

- Fehmarn Belt fixed rail/road link (2014);
- Railway for access in Denmark from Öresund (2015);
- Railway for access in Germany from Hamburg (2015);
- Railway Hannover-Hamburg/Bremen (2015).

## 21. Motorways of the sea

Projects of common interest identified in accordance with Article 12a and concerning the following motorways of the sea:

- Motorway of the Baltic Sea (linking the Baltic Sea Member States with Member States in Central and Western Europe, including the route through the North Sea/Baltic Sea Canal (Kiel Canal) (2010));
- Motorway of the sea of western Europe (leading from Portugal and Spain via the Atlantic Arc to the North Sea and the Irish Sea) (2010);
- Motorway of the sea of south-east Europe (connecting the Adriatic Sea to the Ionian Sea and the Eastern Mediterranean to include Cyprus) (2010);
- Motorway of the sea of south-west Europe (western Mediterranean), connecting Spain, France, Italy and including Malta, and linking with the motorway of the sea of south-east Europe <sup>1</sup> (2010).

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<sup>1</sup> Including to the Black Sea.

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22. Railway axis Athina-Sofia-Budapest-Wien-Praha-Nürnberg/Dresden <sup>1</sup>

- Railway Greek/Bulgarian border-Kulata-Sofia-Vidin/Calafat (2015);
- Railway Curtici-Brasov (towards Bucuresti and Constanta) (2010);
- Railway Budapest-Wien (2010), cross-border section;
- Railway Břeclav-Praha-Nürnberg (2010), with Nürnberg-Praha as cross-border section.
- Railway axis Praha-Linz (2016).

23. Railway axis Gdansk-Warszawa-Brno/Bratislava-Wien <sup>2</sup>

- Railway Gdansk-Warszawa-Katowice (2015);
- Railway Katowice-Břeclav (2010);
- Railway Katowice-Zilina-Nove Mesto n.V. (2010).

24. Railway axis Lyon/Genova-Basel-Duisburg-Rotterdam/Antwerpen

- Lyon-Mulhouse-Mülheim <sup>3</sup>, with Mulhouse-Mülheim as cross-border section (2018);
- Genova-Milano/Novara-Swiss border (2013);
- Basel-Karlsruhe (2015);
- Frankfurt-Mannheim (2012);
- Duisburg-Emmerich (2009) <sup>4</sup>;
- "Iron Rhine" Rheidt-Antwerpen, cross-border section (2010).

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<sup>1</sup> This major route largely corresponds to the definition of pan-European corridor IV.

<sup>2</sup> This major route largely corresponds to the definition of pan-European corridor VI.

<sup>3</sup> Including the TGV Rhin-Rhône, minus the western branch.

<sup>4</sup> Project No 5 (Betuwe line) links Rotterdam and Emmerich.

25. Motorway axis Gdansk-Brno/Bratislava-Wien <sup>1</sup>

- Gdansk-Katowice motorway (2010);
- Katowice-Brno/Zilina motorway (2010), cross-border section;
- Brno-Wien motorway (2009), cross-border section.

## 26. Railway/road axis Ireland/United Kingdom/continental Europe

- Road/railway axis linking Dublin with the North (Belfast-Larne) and South (Cork) (2010) <sup>2</sup>;
- Road/railway axis Hull-Liverpool (2015);
- Railway Felixstowe-Nuneaton (2011);
- Railway Crewe-Holyhead (2008).

## 27. "Rail Baltica" axis Warsaw-Kaunas-Riga-Tallinn-Helsinki

- Warsaw-Kaunas (2010);
- Kaunas-Riga (2014);
- Riga-Tallinn (2016).

## 28. "Eurocaprail" on the Brussels-Luxembourg-Strasbourg railway axis

- Brussels-Luxembourg-Strasbourg (2012).

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<sup>1</sup> This major route largely corresponds to the definition of pan-European corridor VI.

<sup>2</sup> Including Essen project No 13: road axis Ireland/United Kingdom/Benelux.

29. Railway axis of the Ionian/Adriatic intermodal corridor

- Kozani-Kalambaka-Igoumenitsa (2012);
- Ioannina-Antirrio-Rio-Kalamata (2014).

30. Inland waterway Seine-Scheldt.

- Navigability improvements Deulemont-Gent (2012-2014-2016);
- Compiègne-Cambrai (2012-2014-2016).

The date, agreed in advance, for completing the work is shown in brackets. The dates for completing the work for projects 1 to 20 and 30 and the details of the sections are as indicated in the High-Level Group's report where these have actually been identified."

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**DIRECTIVE 2004/54/EC OF THE EUROPEAN PARLIAMENT  
AND OF THE COUNCIL  
of 29 April 2004**

on minimum safety requirements for tunnels in the  
Trans-European Road Network

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 71(1) thereof,

Having regard to the proposal from the Commission,

Having regard to the Opinion of the European Economic and Social Committee <sup>1</sup>,

Having regard to the Opinion of the Committee of the Regions <sup>2</sup>,

Acting in accordance with the procedure laid down in Article 251 of the Treaty <sup>3</sup>,

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<sup>1</sup> OJ C 220, 16.9.2003, p. 26.

<sup>2</sup> OJ C 256, 24.10.2003, p. 64.

<sup>3</sup> Opinion of the European Parliament of 9 October 2003 (not yet published in the Official Journal), Council Common Position of 26 February 2004 (OJ C 95 E, 20.4.2004, p. 31) and Position of the European Parliament of 20 April 2004 (not yet published in the Official Journal).



Whereas:

- (1) In its White Paper of 12 September 2001 on "European transport policy for 2010: time to decide" the Commission announced that it would propose minimum safety requirements for tunnels belonging to the Trans-European Road Network.
- (2) The transport system, notably the Trans-European Road Network defined in Decision No 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of the trans-European transport network<sup>1</sup>, is of paramount importance in supporting European integration and ensuring a high level of well-being among Europe's citizens. The European Community has the responsibility of guaranteeing a high, uniform and constant level of security, service and comfort on the Trans-European Road Network.
- (3) Long tunnels of over 500 m in length are important structures which facilitate communication between large areas of Europe and play a decisive role in the functioning and development of regional economies.
- (4) The European Council has on several occasions, and notably at its meeting on 14 and 15 December 2001 in Laeken, underlined the urgency of taking measures to improve tunnel safety.
- (5) On 30 November 2001, the Transport Ministers of Austria, France, Germany, Italy and Switzerland met in Zurich and adopted a Common Declaration recommending the alignment of national legislations on the most recent harmonised requirements for improving safety in long tunnels.

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<sup>1</sup> OJ L 228 of 9.9.1996, p. 1. Decision as amended by Decision No 1346/2001/EC (OJ L 185, 6.7.2001, p. 1).

- (6) Since the objective of the proposed action, namely the achievement of a uniform, constant and high level of protection for all European citizens in road tunnels, cannot be sufficiently achieved by the Member States and can therefore, by reason of the level of harmonisation required, be better achieved at Community level, the Community may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Directive does not go beyond what is necessary in order to achieve that objective.
- (7) Recent accidents in tunnels emphasise their importance in human, economic and cultural terms.
- (8) Some tunnels in Europe, put into operation a long time ago, were designed at a time when technical possibilities and transport conditions were very different from those of today. There are thus disparate safety levels and this must be rectified.
- (9) Safety in tunnels requires a number of measures relating, amongst other things, to the geometry of the tunnel and its design, safety equipment, including road signs, traffic management, training of the emergency services, incident management, the provision of information to users on how best to behave in tunnels, and better communication between the authorities in charge and emergency services such as the police, fire-brigades and rescue teams.
- (10) As the work of the United Nations Economic Commission for Europe (UNECE) has already made clear, the conduct of road users is a decisive aspect of tunnel safety.

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- (11) Safety measures should enable people involved in incidents to rescue themselves, allow road users to act immediately so as to prevent more serious consequences, ensure that emergency services can act effectively and protect the environment as well as limit material damage.
  - (12) The improvements brought about by this Directive will improve safety conditions for all users, including disabled persons. However, as disabled persons have more difficulty in escaping in an emergency, particular consideration should be given to their safety.
  - (13) In order to implement a balanced approach and due to the high cost of the measures, minimum safety equipment should be defined taking into account the type and the expected traffic volume of each tunnel.
  - (14) International bodies such as the World Road Association and the UNECE have for a long time been making invaluable recommendations to help improve and harmonise safety equipment and traffic rules in road tunnels. However, as these recommendations are not binding, their full potential can only be maximised if the requirements they identify are made mandatory through legislation.
  - (15) Maintaining a high safety level requires proper maintenance of the safety facilities in tunnels. An exchange of information on modern safety techniques and accident/incident data between the Member States should be systematically organised.
  - (16) In order to ensure that the requirements of this Directive are properly applied by Tunnel Managers, Member States should designate one or more authorities at national, regional or local level with responsibility for ensuring that all aspects of tunnel safety are assured.

- (17) A flexible and progressive timetable is needed for implementation of this Directive. This will allow for completion of the most urgent works without creating major disturbances in the transport system or bottlenecks in public works in the Member States.
- (18) The cost of refurbishing existing tunnels varies considerably from one Member State to another, particularly for geographical reasons, and Member States should be allowed to stagger any refurbishment works needed to meet the requirements of this Directive where the density of tunnels on their territory is well in excess of the European average.
- (19) For tunnels already in operation or tunnels whose design has been approved but which have not been opened to the public within 24 months following the entry into force of this Directive, Member States should be allowed to accept the adoption of risk reduction measures as an alternative to application of the requirements of the Directive, where the tunnel does not allow for structural solutions to be implemented at reasonable cost.
- (20) Further technical progress is still necessary to improve tunnel safety. A procedure should be introduced to allow the Commission to adapt the requirements of this Directive to technical progress. That procedure should also be used to adopt a harmonised risk analysis method.
- (21) The measures necessary for the implementation of this Directive should be adopted in accordance with Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission <sup>1</sup>.

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<sup>1</sup> OJ L 184, 17.7.1999, p. 23.

- (22) Member States should submit a report to the Commission on the measures they plan to adopt to meet the requirements of this Directive, with a view to synchronising works at Community level in order to reduce traffic disturbances,
- (23) Where the requirements of this Directive necessitate the construction of a second tube for a tunnel in the design or construction phase, this second tube to be constructed should be considered as a new tunnel. The same applies if the requirements of this Directive necessitate the opening of new legally binding planning procedures, including planning permission hearings for all related measures.
- (24) Work should continue in the appropriate fora in order to arrive at a large degree of harmonisation as regards the signs and pictogrammes used on variable message signs in tunnels. Member States should be encouraged to harmonise the user interface for all tunnels on their territory.
- (25) Member States should be encouraged to implement comparable safety levels for road tunnels located in their territory that do not form part of the Trans-European Road Network and consequently do not fall within the scope of this Directive.
- (26) Member States should be encouraged to develop national provisions aiming at a higher tunnel safety level,

HAVE ADOPTED THIS DIRECTIVE:

### Article 1

#### Subject matter and scope

1. This Directive aims at ensuring a minimum level of safety for road users in tunnels in the Trans-European Road Network by the prevention of critical events that may endanger human life, the environment and tunnel installations, as well as by the provision of protection in case of accidents.
2. It shall apply to all tunnels in the Trans-European Road Network with lengths of over 500 m, whether they are in operation, under construction or at the design stage.

### Article 2

#### Definitions

For the purposes of this Directive, the following definitions shall apply:

- 1) "Trans-European Road Network" means the road network identified in Section 2 of Annex I to Decision No 1692/96/EC and illustrated by maps and/or described in Annex II to that Decision.
- 2) "Emergency services" means all local services, whether public or private or part of the tunnel staff, which intervene in the event of an accident, including police services, fire brigades and rescue teams.
- 3) "Tunnel length" means the length of the longest traffic lane, measured on the fully enclosed part of the tunnel.

### Article 3

#### Safety Measures

1. Member States shall ensure that tunnels in their territory, falling within the scope of this Directive meet the minimum safety requirements laid down in Annex I.
2. Where certain structural requirements laid down in Annex I can only be satisfied through technical solutions which either cannot be achieved or can be achieved only at disproportionate cost, the Administrative Authority as referred to in Article 4 may accept the implementation of risk reduction measures as an alternative to application of those requirements, provided that the alternative measures will result in equivalent or improved protection. The efficiency of these measures shall be demonstrated through a risk analysis in conformity with the provisions of Article 13. Member States shall inform the Commission of the risk reduction measures accepted as an alternative and provide justification therefor. This paragraph shall not apply to tunnels at the design stage as referred to in Article 9.
3. Member States may specify stricter requirements, provided that they do not contravene the requirements of this Directive.

### Article 4

#### Administrative Authority

1. Member States shall designate (an) administrative authority(ies), hereinafter referred to as "the Administrative Authority", which shall have responsibility for ensuring that all aspects of the safety of a tunnel are assured and which shall take the necessary steps to ensure compliance with this Directive.

2. The Administrative Authority may be set up at national, regional or local level.
  
3. Each tunnel in the Trans-European Road Network located on the territory of a single Member State shall fall under the responsibility of a single Administrative Authority. For each tunnel located on the territory of two Member States, each Member State shall designate an Administrative Authority or, alternatively, the two Member States shall designate a joint Administrative Authority. If there are two different Administrative Authorities, the decisions of either taken in the exercise of its respective competencies and responsibilities with regard to tunnel safety shall be adopted with the prior agreement of the other Authority.
  
4. The Administrative Authority shall commission tunnels in accordance with the procedure laid down in Annex II.
  
5. Without prejudice to further arrangements on this subject at national level, the Administrative Authority shall have power to suspend or restrict the operation of a tunnel if safety requirements are not met. It shall specify the conditions under which normal traffic may be resumed.
  
6. The Administrative Authority shall ensure that the following tasks are performed:
  - (a) testing and inspecting tunnels on a regular basis and drawing up safety requirements pertaining thereto;
  
  - (b) putting in place organisational and operational schemes (including emergency response plans) for the training and equipping of emergency services;



- (c) defining the procedure for immediate closure of a tunnel in an emergency;
- (d) implementing the necessary risk reduction measures.

7. Where bodies designated as Administrative Authorities existed prior to the designation referred to in this Article, those Administrative Authorities may continue their previous activities provided that they comply with this Directive.

#### Article 5

##### Tunnel Manager

1. For each tunnel located on the territory of one Member State, whether it is in the design, construction or operating stage, the Administrative Authority shall identify as Tunnel Manager the public or private body responsible for the management of the tunnel at the stage in question. The Administrative Authority itself may perform this function.
2. For each tunnel located on the territory of two Member States, the two Administrative Authorities or the joint Administrative Authority shall recognise only one body in charge of the operation of the tunnel.
3. Any significant incident or accident occurring in a tunnel shall be the subject of an incident report prepared by the Tunnel Manager. This report shall be forwarded to the Safety Officer referred to in Article 6, to the Administrative Authority and to the emergency services within a maximum period of one month.

4. Where an investigation report is drawn up analysing the circumstances of the incident or accident referred to in paragraph 3 or the conclusions that can be drawn from it, the Tunnel Manager shall forward this report to the Safety Officer, the Administrative Authority and the emergency services no later than one month after he receives it himself.

#### Article 6

##### Safety Officer

1. For each tunnel, the Tunnel Manager shall, with the prior approval of the Administrative Authority, nominate one Safety Officer who shall coordinate all preventive and safeguards measures to ensure the safety of users and operational staff. The Safety Officer may be a member of the tunnel staff or the emergency services, shall be independent in all road tunnel safety issues and shall not be under instructions from an employer in respect of those issues. A Safety Officer may perform his tasks and functions at several tunnels in a region.

2. The Safety Officer shall perform the following tasks/functions:

- (a) ensure coordination with emergency services and take part in the preparation of operational schemes;
- (b) take part in the planning, implementation and evaluation of emergency operations;
- (c) take part in the definition of safety schemes and the specification of the structure, equipment and operation in respect of both new tunnels and modifications to existing tunnels;

- (d) verify that operational staff and emergency services are trained, and he shall take part in the organisation of exercises held at regular intervals;
- (e) give advice on the commissioning of the structure, equipment and operation of tunnels;
- (f) verify that the tunnel structure and equipment are maintained and repaired;
- (g) take part in the evaluation of any significant incident or accident as referred to in Article 5(3) and (4).

#### Article 7

##### Inspection Entity

Member States shall ensure that inspections, evaluations and tests are carried out by Inspection Entities. The Administrative Authority may perform this function. Any entity performing the inspections, evaluations and tests must have a high level of competence and high quality procedures and must be functionally independent from the Tunnel Manager.

#### Article 8

##### Notification of the Administrative Authority

The Member States shall notify the Commission of the name and address of the Administrative Authority by .....\*. In the event of a change in this information they shall notify the Commission thereof within three months.

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\* 24 months after the date of entry into force of this Directive.

### Article 9

Tunnels whose design has not yet been approved

1. Any tunnel whose design has not been approved by the responsible authority by [...] \* shall be subject to the requirements of this Directive.
2. The tunnel shall be commissioned in accordance with the procedure laid down in Annex II.

### Article 10

Tunnels whose design has been approved but which are not yet open

1. In the case of tunnels whose design has been approved but which have not been opened to public traffic by [...] \*, the Administrative Authority shall assess their compliance with the requirements of this Directive, with specific reference to the safety documentation provided for in Annex II.
2. Where the Administrative Authority finds that a tunnel does not comply with the provisions of this Directive, it shall notify the Tunnel Manager that appropriate measures must be taken to increase safety and shall inform the Safety Officer.
3. The tunnel shall then be commissioned in accordance with the procedure laid down in Annex II.

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\* 24 months after the date of entry into force of this Directive.

### Article 11

#### Tunnels already in operation

1. In the case of tunnels which are already open to public traffic by [...] <sup>\*</sup>, the Administrative Authority shall have until [...] <sup>\*\*</sup> to assess their compliance with the requirements of this Directive, with specific reference to the safety documentation provided for in Annex II and on the basis of an inspection.
2. The Tunnel Manager shall, if necessary, propose to the Administrative Authority a plan for adapting the tunnel to the provisions of this Directive and the remedial measures he intends to put in place.
3. The Administrative Authority shall give its approval to the remedial measures or ask for them to be modified.
4. Thereafter, if the remedial measures include any substantial modification in the construction or operation, then once these measures have been taken, the procedure laid down in Annex II shall be implemented.
5. Member States shall by ..... <sup>\*\*\*</sup> submit a report to the Commission on how they plan to meet the requirements of this Directive, on planned measures, and, where appropriate, on the consequences of opening or closing the main access roads to the tunnels. In order to minimise disturbances to traffic at European level, the Commission may comment on the timetable of the work intended to ensure that tunnels comply with the requirements of this Directive.

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<sup>\*</sup> 24 months after the date of entry into force of this Directive.

<sup>\*\*</sup> 30 months after the date of entry into force of this Directive.

<sup>\*\*\*</sup> 36 months after the date of entry into force of this Directive.

6. The refurbishment of tunnels shall be carried out according to a schedule and shall be finished by [...] \*.

7. Where the total tube length of existing tunnels divided by the total length of the part of the Trans-European Road Network located on their territory exceeds the European average, Members States may extend the period stipulated in paragraph 6 by five years.

### Article 12

#### Periodic inspections

1. The Administrative Authority shall verify that regular inspections are carried out by the Inspection Entity to ensure that all tunnels falling within the scope of this Directive comply with its provisions.

2. The period between two consecutive inspections of any given tunnel shall not exceed six years.

3. Where, on the basis of the report of the Inspection Entity, the Administrative Authority finds that a tunnel does not comply with the provisions of this Directive, it shall notify the Tunnel Manager and the Safety Officer that measures to increase tunnel safety must be adopted. The Administrative Authority shall define the conditions for continuing to operate the tunnel or for re-opening the tunnel which will apply until the remedial measures and any further relevant restrictions or conditions are implemented.

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\* 10 years after the date of entry into force of this Directive.

4. If the remedial measures include any substantial modification in the tunnel's construction or operation, then once these measures have been taken, the tunnel shall be made the subject of a new authorisation to operate in accordance with the procedure laid down in Annex II.

### Article 13

#### Risk analysis

1. Risk analyses, where necessary, shall be carried out by a body which is functionally independent from the Tunnel Manager. The content and the results of the risk analysis shall be included in the safety documentation submitted to the Administrative Authority. A risk analysis is an analysis of risks for a given tunnel, taking into account all design factors and traffic conditions that affect safety, notably traffic characteristics and type, tunnel length and tunnel geometry, as well as the forecast number of heavy goods vehicles per day.
2. Member States shall ensure that, at national level, a detailed and well-defined methodology, corresponding to the best available practices, is used and shall inform the Commission of the methodology applied; the Commission shall make this information available in electronic form to other Member States.
3. By [...] \* the Commission shall publish a report on the practice followed in the Members States. Where necessary, it shall make proposals for the adoption of a common harmonised risk analysis methodology in accordance with the procedure referred to in Article 17(2).

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\* Five years after the date of entry into force of this Directive.

#### Article 14

##### Derogation for innovative techniques

1. In order to allow the installation and use of innovative safety equipment or the use of innovative safety procedures which provide an equivalent or higher level of protection than current technologies, as prescribed in this Directive, the Administrative Authority may grant a derogation from the requirements of the Directive on the basis of a duly documented request from the Tunnel Manager.
2. If the Administrative Authority intends to grant such a derogation, the Member State shall first submit a derogation application to the Commission containing the initial request and the opinion of the Inspection Entity.
3. The Commission shall notify the application to the Member States within one month of receipt.
4. If, within a period of three months, neither the Commission nor a Member State formulates objections, the derogation shall be treated as granted and the Commission shall inform all Member States accordingly.
5. If objections are expressed, the Commission shall make a proposal in accordance with the procedure referred to in Article 17(2). Where the decision is negative, the Administrative Authority shall not grant the derogation.



6. After an examination in accordance with the procedure referred to in Article 17(2), a decision to grant a derogation may allow this derogation to be applied to other tunnels.

7. Whenever justified by the derogation requests submitted to it, the Commission shall publish a report on the practice followed in the Member States and, if necessary, make proposals for amendment of this Directive.

### Article 15

#### Reporting

1. Every two years, Member States shall compile reports on fires in tunnels and on accidents which clearly affect the safety of road users in tunnels, and on the frequency and causes of such incidents, and shall evaluate them and provide information on the actual role and effectiveness of safety facilities and measures. These reports shall be transmitted to the Commission by the Member States before the end of September of the year following the reporting period. The Commission shall make the reports available to all Member States.

2. Member States shall make a plan which includes a timetable for the gradual application of the provisions of this Directive to tunnels already in operation as described in Article 11 and notify it by [...] \* to the Commission. Thereafter, Member States shall inform the Commission every two years of the state of implementation of the plan and of any changes made to it, until the end of the period referred to in Article 11(6) and (7).

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\* 30 months after the date of entry into force of this Directive.

### Article 16

#### Adaptation to technical progress

The Commission shall adapt the Annexes to this Directive to technical progress, in accordance with the procedure referred to in Article 17(2).

### Article 17

#### Committee procedure

1. The Commission shall be assisted by a Committee.
2. Where reference is made to this paragraph, Articles 5 and 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months.

3. The Committee shall adopt its rules of procedure.

### Article 18

#### Transposition

1. Member States shall bring into force the laws, regulations and administrative provisions necessary to comply with this Directive by [...]\*. They shall immediately forward to the Commission the text of those provisions, together with a table correlating those provisions with this Directive.

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\* 24 months after the date of entry into force of this Directive.

2. The provisions adopted by the Member States shall contain a reference to this Directive or be accompanied by such a reference on the occasion of their official publication. Member States shall determine how such reference is to be made.

Article 19

Entry into force

This Directive shall enter into force on the date of its publication in the Official Journal of the European Union.

Article 20

This Directive is addressed to the Member States.

Done at Strasbourg, 29.4.2004.

For the European Parliament

The President

P. COX

For the Council

The President

M. McDOWELL

**ANNEX I**

## Safety measures as referred to in Article 3

1. Basis for deciding on safety measures
  - 1.1. Safety parameters
    - 1.1.1. Safety measures to be implemented in a tunnel shall be based on a systematic consideration of all aspects of the system composed of the infrastructure, operation, users and vehicles.
    - 1.1.2. The following parameters shall be taken into account:
      - tunnel length,
      - number of tubes,
      - number of lanes,
      - cross-sectional geometry,
      - vertical and horizontal alignment,
      - type of construction,
      - uni-directional or bi-directional traffic,
      - traffic volume per tube (including its time distribution),
      - risk of congestion (daily or seasonal),
      - access time for the emergency services,
      - presence and percentage of heavy goods vehicles,
      - presence, percentage and type of dangerous goods traffic,
      - characteristics of the access roads,
      - lane width,
      - speed considerations,
      - geographical and meteorological environment.

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- 1.1.3. Where a tunnel has a special characteristic as regards the aforementioned parameters, a risk analysis shall be carried out in accordance with Article 13 to establish whether additional safety measures and/or supplementary equipment is necessary to ensure a high level of tunnel safety. This risk analysis shall take into consideration possible accidents, which clearly affect the safety of road users in tunnels and which might occur during the operating stage and the nature and magnitude of their possible consequences.
- 1.2. Minimum requirements
- 1.2.1. The safety measures required by the following paragraphs shall be implemented at a minimum in order to ensure a minimum level of safety in all the tunnels covered by the Directive. Limited derogations from these requirements may be allowed provided that the following procedure is completed successfully. Member States or the Administrative Authority shall send to the Commission information on the following:
- the limited derogation(s) envisaged,
  - the imperative reasons underlying the limited derogation envisaged,
  - the alternative risk reduction measures which are to be used or reinforced in order to ensure at least an equivalent level of safety, including proof therefor in the form of an analysis of relevant risks.

The Commission shall transmit any request for a limited derogation to the Member States as soon as possible, and in any case within one month of receipt.

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If, within a period of three months after receipt of the request by the Commission, neither the Commission nor a Member State formulates objections, the limited derogation shall be deemed granted and the Commission shall inform all Member States accordingly. If objections are expressed, the Commission shall make a proposal in accordance with the procedure referred to in Article 17(2). Where the decision is negative, the limited derogation shall not be allowed.

1.2.2. In order to provide a unified interface in all tunnels to which this Directive applies, no derogation from the requirements of the following paragraphs shall be allowed regarding the design of the safety facilities at the disposal of the tunnel users (emergency stations, signs, lay-bys, emergency exits, radio re-broadcasting when required).

1.3. Traffic volume

1.3.1. Where "traffic volume" is mentioned in this Annex, it refers to the annual average daily traffic through a tunnel per lane. For the purpose of determining the traffic volume, each motor vehicle shall be counted as one unit.

1.3.2. Where the number of heavy goods vehicles over 3,5 t exceeds 15% of the annual average daily traffic, or seasonal daily traffic significantly exceeds the annual average daily traffic, the additional risk will be assessed and taken into account by increasing the traffic volume of the tunnel for the application of the following paragraphs.

## 2. Infrastructure measures

### 2.1. Number of tubes and lanes

2.1.1. The main criteria for deciding whether to build a single or a twin-tube tunnel shall be projected traffic volume and safety, taking into account aspects such as the percentage of heavy goods vehicles, gradient and length.

2.1.2. In any case, where, for tunnels at the design stage, a 15-year forecast shows that the traffic volume will exceed 10 000 vehicles per day per lane, a twin-tube tunnel with unidirectional traffic shall be in place at the time when this value will be exceeded.

2.1.3. With the exception of the emergency lane, the same number of lanes shall be maintained inside and outside the tunnel. Any change in the number of lanes shall occur at a sufficient distance in front of the tunnel portal; this distance shall be at least the distance covered in 10 seconds by a vehicle travelling at the speed limit. When geographic circumstances prevent this, additional and/or reinforced measures shall be taken to enhance safety.

### 2.2. Tunnel geometry

2.2.1. Special consideration shall be given to safety when designing the cross-sectional geometry and the horizontal and vertical alignment of a tunnel and its access roads, as these parameters have a significant influence on the probability and severity of accidents.

- 2.2.2. Longitudinal gradients above 5% shall not be permitted in new tunnels, unless no other solution is geographically possible.
- 2.2.3. In tunnels with gradients higher than 3%, additional and/or reinforced measures shall be taken to enhance safety on the basis of a risk analysis.
- 2.2.4. Where the width of the slow lane is less than 3,5 m and heavy goods vehicles are allowed, additional and/or reinforced measures shall be taken to enhance safety on the basis of a risk analysis.
- 2.3. Escape routes and emergency exits
- 2.3.1. In new tunnels without an emergency lane, emergency walkways, elevated or not, shall be provided for use by tunnel users in the event of a breakdown or an accident. This provision does not apply if the construction characteristics of the tunnel do not allow it or allow it only at disproportional cost and the tunnel is unidirectional and is equipped with a permanent surveillance and lane closure system.



- 2.3.2. In existing tunnels where there is neither an emergency lane nor an emergency walkway, additional and/or reinforced measures shall be taken to ensure safety.
- 2.3.3. Emergency exits allow tunnel users to leave the tunnel without their vehicles and reach a safe place in the event of an accident or a fire and also provide access on foot to the tunnel for emergency services. Examples of such emergency exits are:
- direct exits from the tunnel to the outside,
  - cross-connections between tunnel tubes,
  - exits to an emergency gallery,
  - shelters with an escape route separate from the tunnel tube.
- 2.3.4. Shelters without an exit leading to escape routes to the open shall not be built.
- 2.3.5. Emergency exits shall be provided if an analysis of relevant risks, including how far and how quickly smoke travels under local conditions, shows that the ventilation and other safety provisions are insufficient to ensure the safety of road users.
- 2.3.6. In any event, in new tunnels, emergency exits shall be provided where the traffic volume is higher than 2 000 vehicles per lane.

- 2.3.7. In existing tunnels longer than 1 000 m, with a traffic volume higher than 2 000 vehicles per lane, the feasibility and effectiveness of the implementation of new emergency exits shall be evaluated.
- 2.3.8. Where emergency exits are provided, the distance between two emergency exits shall not exceed 500 m.
- 2.3.9. Appropriate means, such as doors, shall be used to prevent smoke and heat from reaching the escape routes behind the emergency exit, so that the tunnel users can safely reach the outside and the emergency services can have access to the tunnel.
- 2.4. Access for emergency services
- 2.4.1. In twin-tube tunnels where the tubes are at the same level or nearly, cross-connections suitable for the use of emergency services shall be provided at least every 1 500 m.
- 2.4.2. Wherever geographically possible, crossing of the central reserve (median strip) shall be made possible outside each portal of a twin- or multi-tube tunnel. This measure will allow emergency services to gain immediate access to either tube.
- 2.5. Lay-bys

- 2.5.1. For new bi-directional tunnels longer than 1 500 m where traffic volume is higher than 2 000 vehicles per lane, lay-bys shall be provided at distances which do not exceed 1 000 m, if emergency lanes are not provided.
- 2.5.2. In existing bi-directional tunnels longer than 1 500 m with a traffic volume higher than 2 000 vehicles per lane but no emergency lane, the feasibility and effectiveness of the implementation of lay-bys shall be evaluated.
- 2.5.3. If the construction characteristics of the tunnel do not allow it or allow it only at disproportionate cost, lay-bys do not have to be provided if the total tunnel width which is accessible to vehicles, excluding elevated parts and normal traffic lanes, is at least equal to the width of one normal traffic lane.
- 2.5.4. Lay-bys shall include an emergency station.
- 2.6. Drainage
- 2.6.1. Where the transport of dangerous goods is permitted, the drainage of flammable and toxic liquids shall be provided for through well-designed slot gutters or other measures within the tunnel cross sections. Additionally, the drainage system shall be designed and maintained to prevent fire and flammable and toxic liquids from spreading inside tubes and between tubes.

2.6.2. If in existing tunnels that requirement cannot be met or can be met only at disproportionate cost, this shall be taken into consideration when deciding whether to allow the transport of dangerous goods on the basis of an analysis of relevant risks.

## 2.7. Fire resistance of structures

The main structure of all tunnels where a local collapse of the structure could have catastrophic consequences, e.g. immersed tunnels or tunnels which can cause the collapse of important neighbouring structures, shall ensure a sufficient level of fire resistance.

## 2.8. Lighting

2.8.1. Normal lighting shall be provided so as to ensure appropriate visibility day and night for drivers in the entrance zone as well as in the interior of the tunnel.

2.8.2. Safety lighting shall be provided to allow a minimum visibility for tunnel users to evacuate the tunnel in their vehicles in the event of a breakdown of the power supply.

2.8.3. Evacuation lighting, such as evacuation marker lights, at a height of no more than 1,5 m, shall be provided to guide tunnel users to evacuate the tunnel on foot, in the event of emergency.

## 2.9. Ventilation

- 2.9.1. The design, construction and operation of the ventilation system shall take into account:
- the control of pollutants emitted by road vehicles, under normal and peak traffic flow,
  - the control of pollutants emitted by road vehicles where traffic is stopped due to an incident or an accident,
  - the control of heat and smoke in the event of a fire.
- 2.9.2. A mechanical ventilation system shall be installed in all tunnels longer than 1 000 m with a traffic volume higher than 2 000 vehicles per lane.
- 2.9.3. In tunnels with bi-directional and/or congested unidirectional traffic, longitudinal ventilation shall be allowed only if a risk analysis according to Article 13 shows it is acceptable and/or specific measures are taken, such as appropriate traffic management, shorter emergency exit distances, smoke exhausts at intervals.
- 2.9.4. Transverse or semi-transverse ventilation systems shall be used in tunnels where a mechanical ventilation system is necessary and longitudinal ventilation is not allowed under point 2.9.3. These systems must be capable of evacuating smoke in the event of a fire.
- 2.9.5. For tunnels with bi-directional traffic, with a traffic volume higher than 2 000 vehicles per lane, longer than 3 000 m and with a control centre and transverse and/or semi-transverse ventilation, the following minimum measures shall be taken as regards ventilation:
- air and smoke extraction dampers shall be installed which can be operated separately or in groups,

- the longitudinal air velocity shall be monitored constantly and the steering process of the ventilation system (dampers, fans, etc.) adjusted accordingly.

## 2.10. Emergency stations

2.10.1. Emergency stations are intended to provide various items of safety equipment, in particular emergency telephones and extinguishers, but are not intended to protect road users from the effects of fire.

2.10.2. Emergency stations can consist of a box on the sidewall or preferably a recess in the sidewall. They shall be equipped with at least an emergency telephone and two fire extinguishers.

2.10.3. Emergency stations shall be provided near the portals and inside at intervals which for new tunnels shall not exceed 150 m and which in existing tunnels shall not exceed 250 m.

## 2.11. Water supply

A water supply shall be provided for all tunnels. Hydrants shall be provided near the portals and inside at intervals which shall not exceed 250 m. If a water supply is not available, it is mandatory to verify that sufficient water is provided otherwise.

## 2.12. Road signs

Specific signs shall be used for all safety facilities provided for tunnel users. Signs and panels for use in tunnels are given in Annex III.

## 2.13. Control centre

2.13.1. A control centre shall be provided for all tunnels longer than 3 000 m with a traffic volume higher than 2 000 vehicles per lane.

2.13.2. Surveillance of several tunnels may be centralised at a single control centre.

## 2.14. Monitoring systems

2.14.1. Video monitoring systems and a system able to automatically detect traffic incidents (such as stopping vehicles) and/or fires shall be installed in all tunnels with a control centre.

2.14.2. Automatic fire detection systems shall be installed in all tunnels which do not have a control centre where the operation of mechanical ventilation for smoke control is different from the automatic operation of ventilation for the control of pollutants.

## 2.15. Tunnel closing equipment

- 2.15.1. In all tunnels longer than 1 000 m, traffic signals shall be installed before the entrances so that the tunnel can be closed in case of an emergency. Additional means, such as variable message signs and barriers, can be provided to ensure that instructions are obeyed.
- 2.15.2. Inside all tunnels longer than 3 000 m, with a control centre and a traffic volume higher than 2 000 vehicles per lane, equipment to stop vehicles in the event of an emergency is recommended at intervals not exceeding 1 000 m. This equipment shall consist of traffic signals and possibly additional means, such as loudspeakers, variable message signs and barriers.
- 2.16. Communication systems
- 2.16.1. Radio re-broadcasting equipment for emergency service use shall be installed in all tunnels longer than 1 000 m with a traffic volume higher than 2 000 vehicles per lane.
- 2.16.2. Where there is a control centre, it must be possible to interrupt radio re-broadcasting of channels intended for tunnel users, if available, in order to give emergency messages.
- 2.16.3. Shelters and other facilities where evacuating tunnel users must wait before they can reach the outside shall be equipped with loudspeakers for the provision of information to users.
- 2.17. Power supply and electrical circuits



2.17.1. All tunnels shall have an emergency power supply capable of ensuring the operation of safety equipment indispensable for evacuation until all users have evacuated the tunnel.

2.17.2. Electrical, measurement and control circuits shall be designed in such a way that a local failure, such as one due to a fire, does not affect unimpaired circuits.

2.18. Fire resistance of equipment

The level of fire resistance of all tunnel equipment shall take into account the technological possibilities and aim at maintaining the necessary safety functions in the event of a fire.

2.19. Table displaying informative summary of minimum requirements

The table set out hereafter gives a summary of the minimum requirements laid down in the previous paragraphs. The minimum requirements are those set out in the operative text of this Annex.

- mandatory for all tunnels
- \* mandatory with exceptions
- not mandatory
- ◐ recommended

SUMMARY OF  
MINIMUM REQUIREMENTS

			Traffic ≤ 2 000 veh. per lane		Traffic > 2 000 vehicles per lane			Additional conditions for implementation to be mandatory, or comments
			500-1 000 m	>1 000 m	500-1 000 m	1 000-3 000 m	>3 000 m	
Structural Measures	2 tubes or more	§2.1						Mandatory where a 15-year forecast shows that traffic > 10 000 veh./lane.
	Gradients ≤ 5 %	§2.2	*	*	*	*	*	Mandatory unless not geographically possible.
	Emergency walkways	§2.3.1 §2.3.2	*	*	*	*	*	Mandatory where there is no emergency lane, unless the condition in §2.3.1 is respected. In existing tunnels where there is neither an emergency lane, nor an emergency walkway additional / reinforced measures shall be taken.
	Emergency exits at least every 500 m	§2.3.3 - §2.3.9	○	○	*	*	*	Implementation of emergency exits in existing tunnels to be evaluated case-by-case.
	Cross-connections for emergency services at least every 1 500 m	§2.4.1	○	○ / ●	○	○ / ●	●	Mandatory in twin-tube tunnels longer than 1 500 m.
	Crossing of the central reserve outside each portal	§2.4.2	●	●	●	●	●	Mandatory outside twin- or multi-tube tunnels wherever geographically possible.
	Lay-bys at least every 1 000 m	§2.5	○	○	○	○ / ●	○ / ●	Mandatory in new bi-directional tunnels >1 500 m without emergency lanes. In existing bi-directional tunnels >1 500 m: depending on analysis. For both new and existing tunnels, depending on extra usable tunnel width.
	Drainage for flammable and toxic liquids	§2.6	*	*	*	*	*	Mandatory where transport of dangerous goods is allowed.
	Fire resistance of structures	§2.7	●	●	●	●	●	Mandatory where a local collapse can have catastrophic consequences.

- mandatory for all tunnels
- \* mandatory with exceptions
- not mandatory
- ◐ recommended

SUMMARY OF  
MINIMUM REQUIREMENTS

			Traffic ≤ 2 000 veh. per lane		Traffic > 2 000 vehicles per lane			Additional conditions for implementation to be mandatory, or comments
			500- 1 000 m	>1 000 m	500- 1 000 m	1 000- 3 000 m	>3 000 m	
Lighting	Normal lighting	§2.8.1	●	●	●	●	●	
	Safety lighting	§2.8.2	●	●	●	●	●	
	Evacuation lighting	§2.8.3	●	●	●	●	●	
Ventilation	Mechanical ventilation	§2.9	○	○	○	●	●	
	Special provisions for (semi-) transverse ventilation	§2.9.5	○	○	○	○	●	Mandatory in bi-directional tunnels where there is a control centre.
Emergency stations	At least every 150 m	§2.10	*	*	*	*	*	Equipped with telephone and 2 extinguishers. A maximum interval of 250 m is allowed in existing tunnels.
Water supply	At least every 250 m	§2.11	●	●	●	●	●	If not available, mandatory to provide sufficient water otherwise.
Road signs		§2.12	●	●	●	●	●	For all safety facilities provided for tunnel users (see Annex III).
Control centre		§2.13	○	○	○	○	●	Surveillance of several tunnels may be centralised into a single control centre.
Monitoring systems	Video	§2.14	○	○	○	○	●	Mandatory where there is a control centre.
	Automatic incident detection and/or fire detection	§2.14	●	●	●	●	●	At least one of the two systems is mandatory in tunnels with a control centre.
Equipment to close the tunnel	Traffic signals before the entrances	§2.15.1	○	●	○	●	●	
	Traffic signals inside the tunnel at least every 1 000 m	§2.15.2	○	○	○	○	◐	Recommended if there is a control centre and the length exceeds 3 000 m.

SUMMARY OF  
MINIMUM REQUIREMENTS

			Traffic ≤ 2 000 veh. per lane		Traffic > 2 000 vehicles per lane			Additional conditions for implementation to be mandatory, or comments
			500- 1 000 m	>1 000 m	500-1 000 m	1 000- 3 000 m	>3 000 m	
Communication systems	Radio re-broadcasting for emergency services	§2.16.1	○	○	○	●	●	
	Emergency radio messages for tunnel users	§2.16.2	●	●	●	●	●	Mandatory where radio is re-broadcasted for tunnel users and where there is a control centre
	Loudspeakers in shelters and exits	§2.16.3	●	●	●	●	●	Mandatory where evacuating users must wait before they can reach the outside.
Emergency power supply		§2.17	●	●	●	●	●	To ensure the functioning of indispensable safety equipment at least at during evacuation of tunnel users.
Fire resistance of equipment		§2.18	●	●	●	●	●	Shall aim to maintain the necessary safety functions.

### 3. Measures concerning operations

#### 3.1. Operating means

Tunnel operation shall be organised and dispose of such means as will ensure the continuity and safety of the traffic through the tunnel. The personnel involved in the operation as well as the emergency services shall receive appropriate initial and continuing training.

#### 3.2. Emergency planning

Emergency response plans shall be available for all tunnels. In tunnels starting and finishing in different Member States, a single bi-national emergency response plan shall involve the two countries.

#### 3.3. Works in tunnels

Complete or partial closure of lanes due to construction or maintenance works planned in advance shall always begin outside the tunnel. Variable message signs, traffic signals and mechanical barriers may be used for this purpose.

#### 3.4. Management of accidents and incidents

In the event of a serious accident or incident, all appropriate tunnel tubes shall be closed immediately to traffic.

This shall be done by simultaneous activation not only of the abovementioned equipment before the portals, but also of variable message signs, traffic signals and mechanical barriers inside the tunnel, if available, so that all the traffic can be stopped as soon as possible outside and inside the tunnel. Tunnels of less than 1 000 m may be closed by other means. Traffic shall be managed in such a way that unaffected vehicles can quickly leave the tunnel.

The access time for emergency services in the event of an incident in a tunnel shall be as short as possible and shall be measured during periodic exercises. In addition, it may be measured during incidents. In major bi-directional tunnels with high traffic volumes, a risk analysis in accordance with Article 13 shall establish whether emergency services shall be stationed at the two extremities of the tunnel.

### 3.5. Activity of the control centre

For all tunnels requiring a control centre, including those starting and finishing in different Member States, a single control centre shall have full control at any given time.

### 3.6. Tunnel closure

In the event of tunnel closure (long or short-term), users shall be informed of the best alternative itineraries, by means of easily accessible information systems.

Such alternative itineraries shall form part of systematic contingency plans. They should aim to maintain traffic flow as much as possible and minimise secondary safety effects on the surrounding areas.

Member States should make all reasonable efforts to avoid a situation in which a tunnel located on the territory of two Member States can not be used due to the consequences of bad weather conditions.

### 3.7. Transport of dangerous goods

The following measures shall be applied concerning access to tunnels for vehicles transporting dangerous goods, as defined in the relevant European legislation regarding the transport of dangerous goods by road:

- perform a risk analysis in accordance with Article 13 before the regulations and requirements regarding the transportation of dangerous goods through a tunnel are defined or modified,
- place appropriate signs to enforce the regulation before the last possible exit before the tunnel and at tunnel entrances, as well as in advance so as to allow drivers to choose alternative routes,
- consider specific operating measures designed to reduce the risks related to some or all of the vehicles transporting dangerous goods in tunnels, such as declaration before entering or passage in convoys escorted by accompanying vehicles, on a case by case basis further to the aforementioned risk analysis.

### 3.8. Overtaking in tunnels

A risk analysis shall be carried out in order to decide whether heavy goods vehicles should be allowed to overtake in tunnels with more than one lane in each direction.

### 3.9. Distances between vehicles and speed

The appropriate speed of vehicles and the safe distance between them are especially important in tunnels and shall be given close attention. This shall include advising tunnel users of appropriate speeds and distances. Enforcement measures shall be initiated as appropriate.

Road users driving passenger cars should under normal conditions maintain a minimum distance from the vehicle in front of them equivalent to the distance travelled by a vehicle in 2 seconds. For heavy goods vehicles this distance should be doubled.

When traffic stops in a tunnel, road users should maintain a minimum distance of 5 metres from the vehicle in front, except if this is not possible due to an emergency stop.



#### 4. Information campaigns

Information campaigns regarding safety in tunnels shall be regularly organised and implemented in conjunction with interested parties on the basis of the harmonised work of international organisations. These information campaigns shall cover the correct behaviour of road users when approaching and driving through tunnels, especially in connection with vehicle breakdown, congestion, accidents and fires.

Information on the safety equipment available and proper road user behaviour in tunnels shall be provided in convenient places for tunnel users (for example at rest areas before tunnels, at tunnel entrances when the traffic is stopped or on the internet).

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**ANNEX II**

Approval of the design, safety documentation,  
commissioning of a tunnel, modifications and periodic exercises

1. Approval of the design
  - 1.1. The provisions of this Directive shall be applied from the preliminary design stage onward.
  - 1.2. Before any construction work begins, the Tunnel Manager shall compile the safety documentation described under points 2.2 and 2.3 for a tunnel at the design stage and shall consult the Safety Officer. The Tunnel Manager shall submit the safety documentation to the Administrative Authority and attach the opinion of the Safety Officer, and/or of the Inspection Entity when available.
  - 1.3. The design, as appropriate, shall be approved by the responsible authority, which shall inform the Tunnel Manager and the Administrative Authority of its decision.
2. Safety documentation
  - 2.1. The Tunnel Manager shall compile safety documentation for each tunnel and keep it permanently up-to-date. He shall provide a copy of the safety documentation to the Safety Officer.

- 2.2. The safety documentation shall describe the preventive and safeguard measures needed to ensure the safety of users, taking into account people with reduced mobility and disabled people, the nature of the route, the configuration of the structure, its surroundings, the nature of the traffic and the scope for action by the emergency services defined in Article 2 of the Directive.
- 2.3. In particular, the safety documentation for a tunnel at the design stage shall include:
- a description of the planned structure and access to it, together with the plans necessary for understanding its design and anticipated operating arrangements,
  - a traffic forecast study specifying and justifying the conditions expected for the transport of dangerous goods, together with the risk analysis requested by point 3.7 of Annex I,
  - a specific hazard investigation describing possible accidents which clearly affect safety of road users in tunnels which might occur during the operating stage and the nature and magnitude of their possible consequences; this investigation must specify and substantiate measures for reducing the likelihood of accidents and their consequences,
  - an opinion on safety from an expert or organisation specialising in this field, which could be the Inspection Entity.

2.4. The safety documentation for a tunnel which is at the commissioning stage shall include in addition to the documentation required at the design stage:

- a description of the organisation, human and material resources and instructions specified by the Tunnel Manager to ensure operation and maintenance of the tunnel,
- an emergency response plan drawn up jointly with the emergency services which also takes into account people with reduced mobility and disabled people,
- a description of the system of permanent feedback of experience through which significant incidents and accidents can be recorded and analysed.

2.5. The safety documentation for a tunnel which is in operation shall include in addition to the documentation required at the commissioning stage:

- a report and analysis on significant incidents and accidents, which have taken place since the entry into force of this Directive,
- a list of the safety exercises carried out and an analysis of the lessons learned from them.

### 3. Commissioning

3.1. The initial opening of a tunnel to public traffic shall be subject to authorisation by the Administrative Authority (commissioning) in accordance with the following procedure.

- 3.2. This procedure also applies to the opening of a tunnel to public traffic after any major change in construction or operation or any substantial modification work on the tunnel which might significantly alter any of the constituent components of the safety documentation.
- 3.3. The Tunnel Manager shall transmit the safety documentation mentioned in point 2.4 to the Safety Officer, who shall give his opinion on the opening of the tunnel to public traffic.
- 3.4. The Tunnel Manager shall forward this safety documentation to the Administrative Authority, and shall attach the opinion of the Safety Officer. The Administrative Authority shall decide whether or not to authorise the opening of the tunnel to public traffic, or whether to do so with restrictive conditions, and shall notify this to the Tunnel Manager. A copy of this decision shall be forwarded to the emergency services.
4. Modifications
- 4.1. For any substantial modification in the structure, equipment or operation, which might significantly alter any of the constituent components of the safety documentation, the Tunnel Manager shall ask for a new authorisation of operation following the procedure described under point 3).

- 4.2. The Tunnel Manager shall inform the Safety Officer of any other change in construction and operation. Furthermore, prior to any modification work on the tunnel, the Tunnel Manager shall provide the Safety Officer with documentation detailing the proposals.
- 4.3. The Safety Officer shall examine the consequences of the modification and in any event give his opinion to the Tunnel Manager, who shall send a copy to the Administrative Authority and to the emergency services.
5. Periodic exercises

The Tunnel Manager and the emergency services shall, in cooperation with the Safety Officer, organise joint periodic exercises for tunnel staff and the emergency services.

Exercises:

- should be as realistic as possible and should correspond to the defined incident scenarios,
- should yield clear evaluation results,

- should prevent any damage to the tunnel,
  - may also, in part, be conducted as table top or computer simulation exercises for complementary results.
- (a) Full scale exercises under conditions that are as realistic as possible shall be conducted in each tunnel at least every four years. Tunnel closure will only be required if acceptable arrangements can be made for diverting traffic. Partial and/or simulation exercises shall be conducted every year in between. In areas where several tunnels are located in close proximity to each other, a full scale exercise must be conducted in at least one of those tunnels.
- (b) The Safety Officer and the emergency services shall evaluate jointly these exercises draw up a report and make appropriate proposals.
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**ANNEX III**

## Signing for tunnels

## 1. General requirements

The following are road signs and symbols to be used for tunnels. Road signs mentioned in this section are described in the Vienna Convention on Road Signs and Signals of 1968, unless otherwise specified.

In order to facilitate international understanding of signs, the system of signs and signals prescribed in this Annex is based on the use of shapes and colours characteristic of each class of sign and, wherever possible, on the use of graphic symbols rather than words. Where Member States consider it necessary to modify the signs and symbols prescribed, the modifications made shall not alter their essential characteristics. Where Member States do not apply the Vienna Convention, the prescribed signs and symbols may be modified, provided that the modifications made shall not alter their essential intent.

## 1.1. Road signs shall be used to designate the following safety facilities in tunnels:

Lay-bys;

Emergency exits: the same sign shall be used for all kinds of emergency exits;

Escape routes: the two nearest emergency exits shall be signed on the sidewalls at distances of no more than 25 m, at a height of 1,0 to 1,5 m above escape route level, with an indication of the distances to the exits;



Emergency stations: signs to indicate the presence of emergency phones and fire extinguishers.

1.2. Radio:

In tunnels where users can receive information via their radio, appropriate signs placed before the entrance shall inform users on how to receive this information.

1.3. Signs and markings shall be designed and positioned so that they are clearly visible.

2. Description of signs and panels

Member States shall use appropriate signs, if necessary, in the advance warning area of the tunnel, inside the tunnel and after the end of the tunnel. When designing the signs for a tunnel, local traffic and construction conditions as well as other local conditions shall be considered. Signs according to the Vienna Convention on Road Signs and Signals shall be used, except in Member States which do not apply the Vienna Convention.

## 2.1. Tunnel sign



The following sign shall be put at each entrance of the tunnel:

Sign E11A for Road Tunnels of the Vienna Convention;  
The length shall be included either in the lower part of the panel or on an additional panel H2.

For tunnels over 3 000 m, the remaining length of the tunnel shall be indicated every 1 000 m.

The name of the tunnel may also be indicated.

## 2.2. Horizontal signing

Horizontal delineation should be used at the roadside edge.

In the case of bi-directional tunnels, clearly visible means should be used along the median line (single or twin) separating the two directions.

## 2.3. Signs and panels for signing of facilities

Emergency stations

Emergency stations shall bear informative signs, which shall be F signs according to the Vienna Convention and indicate the equipment available to road users, such as:

Emergency telephone



Extinguisher

In emergency stations which are separated from the tunnel by a door, a clearly legible text, written in appropriate languages, shall indicate that the emergency station does not ensure protection in case of fire. An example is given below:

## "THIS AREA DOES NOT PROVIDE PROTECTION FROM FIRE

Follow signs to emergency exits"

Lay-bys

The signs to indicate lay-bys should be E signs according to the Vienna Convention. Telephones and fire extinguishers shall be indicated by an additional panel or incorporated in the sign itself.



## Emergency exits

The signs to indicate "Emergency exits" should be G signs according to the Vienna Convention. Examples are shown below:



It is also necessary to sign the two nearest exits on the sidewalls. Examples are shown below.



## Lane signals

These signs can be circular or rectangular



## Variable message signing

Any variable message signs shall have clear indications to inform tunnel users of congestion, breakdown, accident, fire or any other hazards.

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