

All authors' responses GC-2019-22

Weather and Climate Science in the Digital Era, Martine G. de Vos et al.

First revision

Reviewer Comment 1

General:

The paper is an interesting read on an important and timely topic. The length is appropriate, and the authors represent a broad spectrum of subjects within Geosciences. However, the paper could improve significantly if the text would be more specific and if more specific examples would be provided for the claims in the text (see list of points below but there are many more places in the text).

We agree with the reviewer that we could be more specific on how we have collected our observations and how these support the claims in the text. We will add a dedicated 'Methods' section (see below for the suggested text). Besides, throughout the paper we will rephrase text to be more specific on our observations and how these support our story.

“ Methods : The focus of the conference session was on data and compute intensive approaches that are applied in weather and climate science. The session comprised 10 oral abstract presentations, one keynote talk, and 6 short poster pitches. The 16 participants were either presenters or involved in the organization of the session, and represented domain science, as well as computer and data sciences.

The first part of the session was dedicated to the presentations. The second part was interactive. In three groups of each 5 or 6 persons the participants discussed the "challenges and opportunities regarding open weather and climate science" and noted their findings on a flipchart. The findings of each group were presented and discussed in a following plenary session. Observations and insights from the plenary discussion were documented. The observations in this paper are based on both the insights from the studies presented in the session, and the notes made during the interactive part of the session. The majority of the participants from the session also contributed to this paper. As such this paper represents a shared view of the participants, i.e., a group of experts in weather and climate science, on the digital and open science developments in their field.”

I think that it would make the paper much more credible if the authors would provide a list of action points to improve the situation at the end of the paper. However, I leave this for the authors to decide.

We thank the reviewer for this great suggestion. At the end of the paper, we will provide a list of action points or conclusions that are described in the different sections of the paper.

The English should be improved.

We agree with the reviewer and get a native speaker to edit the manuscript

Specific comments:

• *II.6-9: How is this shown?*

We will rephrase the paragraph to clarify its meaning and add concrete examples that illustrate the importance of shared data and software:

“The majority of studies (roughly 80 %) presented in the conference session depended in some way or another on shared data and software. For example, many studies included open datasets from disparate sources to improve accuracy of forecasts on the local scale, or to extend analyses beyond the domain of weather and climate. Furthermore, shared software is a prerequisite for the studies that presented systems like a model coupling framework or a digital collaboration platform. Although these studies showed that sharing code and data is important, the consensus among the participants was that this is not sufficient to achieve open weather and climate science and that there are important issues to address.”

• *II. 10-14: What is special about the origin, scalability and legal barriers?*

For instance, many data sources come from private industry who may see a competitive advantage to maintaining privacy. But those data may prove useful to the weather community for improving initial conditions of forecast models. Such corundums may be solved by signing nondisclosure agreements and allow weather service to act as trusted agents who use the data for the public good without disclosing their details.

We will include this explanation in the abstract and in the corresponding sections.

• *II. 10-14: Why does the complexity limit collaboration? Can you give examples?*

We will elaborate the text in the abstract and the corresponding section. Please see the last comment on software platforms for the text suggestion.

• *I. 14: Why is there a need for new roles?*

Data management and programming have become an integral part of current research practice, and these activities require specific digital skills. It is therefore important to acknowledge and define roles, responsibilities and mandates concerning data stewardship and research software engineering.

The aforementioned trusted agents can also be considered a new role

We will include this explanation in the corresponding sections.

• *I. 36: Was this really both short and long wave? If you refer to the 90s, you should also cite the original papers by Chevallier et al.*

We can confirm that neural nets have been used for both short and long wave radiation. We will rephrase the sentence and add the corresponding references.

• *I. 56: Lagging behind whom? Can you give an example?*

The reviewer rightly points out that it is not clear who, or which field we compare to. In fact, open sharing of data, software and vocabularies is only true common practice in a few fields such as astronomy and genomics. Most scientific fields, including weather and climate science, can be considered lagging behind. Furthermore, the actual point was to show that these weather and climate science are mature in terms of applying digital technologies, while the implementation of open science methodologies is less advanced

We will rephrase the corresponding paragraph accordingly.

• *End of section 1: It would be good to give a hint about the structure of the following. The reader does not know what to expect from the rest of the paper.*

We adopt the advice of the reviewer and will clarify the structure of the rest of the paper at the end of the introduction section

• *I. 71-74: Which or at least how many countries? How many Funders and Research institutes? Can you give examples?*

We will try to be more specific and add examples and references to this paragraph.

“Europe and the United States have made efforts to adapt legal frameworks and implement policy initiatives greater openness in scientific research (OECD, 2015; National Science Foundation, 2018). Several countries provide digital infrastructure based on rich metadata for the resources in the research environment, that support their optimal re-use (Mons, 2017). Examples include the European Open Science Cloud in Europe (Directorate-General,2018) , NIH Data Commons projects in the United States, AARnet in Australia (AARNet, 2018) and the African Data Intensive Research Cloud in South Africa (Simmonds,2016). Funders and research institute have announced policies encouraging, mandating, or specifically financing open research practices (Mckiernan et al.,2016; Wilkinson et al, 2016). Examples include the National Science Foundation (NSF) in the United States (National Science Board,2011), CERN in Switzerland (CERN, 2014), the Netherlands Organization for Scientific Research (NWO) (NWO, 2019) and the United Nations Educational, Scientific and Cultural Organization (UNESCO) (Unesco, 2013).”

Unfortunately, we are not able to provide quantitative information.

Mons, B., Neylon, C., Velterop, J., Dumontier, M., Da Silva Santos, L. O. B., & Wilkinson, M. D. (2017). Cloudy, increasingly FAIR; Revisiting the FAIR Data guiding principles for the European Open Science Cloud. *Information Services and Use*, 37(1), 49–56. <https://doi.org/10.3233/ISU-170824>

Directorate-General for Research and Innovation. (2018). Prompting an EOSC in practice. Final report and recommendations of the Commission 2nd High Level Expert Group on the European Open Science Cloud (EOSC). <https://doi.org/10.2777/112658>

AARNet. (2018). ANNUAL REPORT / 2018 DATA CONNECTOR FOR THE FUTURE. Chatswood, Australia.

CERN-OPEN-2014-049. (2014). Open Access Policy for CERN Physics Publication.

R. Simmonds, Taylor, R., Horrell, J., Fanaroff, B., Sithole, H., Rensburg, S. J. van, & Al., E. (2016). The African data intensive research cloud. IST - Africa Week Conference.

National Science Board. (2011). Digital Research Data Sharing and Management.

NWO executive board. (2019). Connecting Science and Society - NWO strategy 2019-2022.

UNESCO Executive board. (2013). Open Access Policy concerning UNESCO publications.

• *I.82: As you elaborate later on, OpenIFS is not Open Source as it has a (free) license.*
The reviewer is right. We will rephrase the sentence.

• *End of section 2: You could also mention Reanalysis data here.*

We adopt the reviewers suggestion and will add the following text:

“Already since the 1990s the international meteorological and climate research communities started sharing data. Examples of data sharing with common file and metadata formats are reanalysis data, starting with NCEP/NCAR reanalysis and ECMWFs ERA reanalysis data products (e.g. Dee et al 2011, Kalnay et al. 1996) and coupled model intercomparison projects (Taylor et al. 2012).”

• *I.108: “clearly enrich their research” Can you give an example how?*

Examples include the various reanalysis datasets published by the ECMWF and NOAA/NCAR that are made freely available to the community and application of the open models, such as WRF.

We will add these examples to the section.

• *I. 114: What is “CF”?*

CF conventions provide guidelines for the use of metadata in the netCDF file. We will rephrase the paragraph and include the meaning and use of CF

• *I. 124: What do you mean by “performance scalability”. Software tools that allow to evaluate data at scale on supercomputers? How is data interoperable?*

• *I. 132: Which tools? Can you name them?*

We will rephrase the corresponding sentences to clarify the challenges of producing FAIR weather and climate model data:

“Regarding open and interoperable weather and climate model data, i.e. data and metadata that are formatted according to community standards (CF, CMIP, WMO), we consider performance scalability as the foremost technological challenge. Whereas high-resolution weather and climate data is predominantly produced on large clusters using many compute nodes, subsequent data processing and analysis is often still confined to a single CPU, and hence does not scale easily with, e.g., increased model resolution. Producing FAIR model data via traditional post-processing pipelines is quickly becoming unfeasible for high-resolution climate model data due to the sheer volume and complexity of the model output as noted above.”

• I. 144: *Which Journals? Can you name them?*

We will add some examples to the text:

“Data journals, like Geoscience data journal (Royal Meteorological Society), Scientific Data (Springer Nature) and Earth System Data (Copernicus Publications), are a partial remedy, as these provide open access platforms where scientific data can be peer-reviewed and formally published.”

• I. 150: *Can you outline some of the examples in more detail?*

We will elaborate the examples and rephrase the paragraph as follows:

“The conference session provided excellent examples of tools and approaches that were developed and made openly available to the research community. For example, approaches to reduce the computational or post processing costs of existing simulation models (Stringer et al., 2018; Behrens et al., 2018; van den Oord et al., 2018, Jansson et al., 2018) and approaches to integrate data sets from different sources (van Haren et al., 2018; Schultz et al., 2018). Several of the studies in the session presented an approach for which open data and software is a prerequisite, for example because these comprise a model coupling framework or a digital collaboration platform (Pelupessy et al., 2018; Ramamurthy, 2018; Hut et al., 2018; Bendoukha, 2018).”

• . *“The studies show that use of machine learning methods has added value because models are built with data beyond standard meteorological data. For example, local conditions related to the natural and built environment that cannot be captured easily in simulation models can be taken into account through trained models.” I do not understand this. Can you rephrase?*

This paragraph is about the use of data beyond the standard meteorological datasets. We will rephrase the paragraph to clarify this.

• I. 177: *Can you name examples for hardware and software platforms. And can you define what you mean by “platform” in this context?*

These platforms refer to digital platforms that use cloud technologies to create a virtual research

environment where scientific end-users can store, analyze and share their data. In the conference session several of these platforms were presented. An example of a current platform is the Open geospatial Consortium. We will rephrase the paragraph to clarify this.

- *“data such as that of the environment and citizen science sources.” I do not know which data sets you are referring to here.*

This sentence is referring to the data sets described in the section on open data, i.e., social media posts and observations from amateur weather stations. We will rephrase the sentence to make this clear

- *“The increase in accuracy and skill of forecasts at local scales are shown, improved consistency of data products and improved efficiency and skill of simulations, often crossing different disciplines.” Again, I do not understand this. Do you mean “show” instead of “are shown,”?*

The reviewer is right, it should have been “show”. We will rephrase the sentence accordingly.

- *I. 194: Which issues?*

This term refers to the issues described in the next paragraphs in the same section. The reviewer rightly points out that this should be clear from the text. We will rephrase the text in the section correspondingly.

- *“Technologically, the promise of using modern digital technologies is not always met due to the complexity of software platforms.” I do not understand this.*

The cloud appears to be a potential avenue, as it enables individual researchers to gain access to high computing resources, vast amounts of storage and a suite of software tools. In our session, several digital platforms were presented, that use cloud technologies to create a virtual research environment where scientific end-users can store, analyze and share their data. The participants also observed, however, that current platforms, like the Open Geospatial Consortium and JRC Earth Observation Data and Processing Platform, do not seem to increase the extent of scientific collaboration, especially across disciplines. This may be partly due to the fact that these platforms each have implemented their own set of standards for both data formats and interfaces to access these data. Since scientists are required to invest time and effort in working with a specific platform, the heterogeneity poses hurdles to their collaboration with researchers on another platform.

We will rephrase the paragraph to clarify this:

Minor points:

- *I. 9: Rephrase: “that here are”*

We will rephrase the sentence

• I. 32: Rephrase “since ensured”

We will rephrase the sentence

• I.45: Rephrase: “use of using”

We will rephrase the sentence

Reviewer Comment 2

Major Points

1. *There are a number of typographical mistakes, albeit mainly subtle. So please get a native english speaker to proof-read the manuscript. Namely, I have not attempted to pick up all typos.*

We adopt the advice of the reviewer and get a native speaker to edit the manuscript

2. *The methodology (i.e. what was done in the session) needs to be clarified e.g. (i) were specific questions/topics posed for this research exercise [which it was], (ii) elicitation by sticky notes or hands in the air or by the co-authors making notes of what the group said? I think the observational data are (i) L57-558 - a specific session to discuss (by unstated means) the issues (unspecified in detail), and (ii) L20 insights from the work in the rest of the conference (by unstated means). A 'Methods' section needs to be added, which is one place where the questions asked at the session could be stated.*

3. *The 'novelty' (i.e. what is reported here that is not stated elsewhere) is difficult to distinguish, although a Methods section and taking care to phrase the results/discussion in terms of the evidential basis of insights should fix this.*

4. *The Abstract portrays all the thoughts as entirely new, rather than emerging from a context. e.g. L8 'we observed' - we reaffirm? we agree with the informal subject-wide consensus? Please rephrase where appropriate. As an editor of GC, I note that this was submitted as a review article, but it may be better classified as a standard paper.*

The approach followed in the session was similar to a 'focus group' approach where experts in share views and experiences. This paper is not a classical science paper addressing a well posed problem, but synthesizes those experiences from arguably a wide range of specialists. We agree with the reviewer that both the context and type of this research, and the methodology deserve clarification. We adopt the advice of adding a dedicated 'Methods' section (see below for the suggested text). Besides, throughout the paper we will rephrase text to correctly reflect our methodology.

“ **Methods** : The focus of the conference session was on data and compute intensive approaches that are applied in weather and climate science. The session comprised 10 oral abstract presentations,

one keynote talk, and 6 short poster pitches. The 16 participants were either presenters or involved in the organization of the session, and represented domain science, as well as computer and data sciences.

The first part of the session was dedicated to the presentations. The second part was interactive. In three groups of each 5 or 6 persons the participants discussed the "challenges and opportunities regarding open weather and climate science" and noted their findings on a flipchart. The findings of each group were presented and discussed in a following plenary session. Observations and insights from the plenary discussion were documented. The observations in this paper are based on both the insights from the studies presented in the session, and the notes made during the interactive part of the session. The majority of the participants from the session also contributed to this paper. As such this paper represents a shared view of the participants, i.e., a group of experts in weather and climate science, on the digital and open science developments in their field."

Minor Points

Title - The paper's contents are about open access, not digital (see L2&3). Suggest changing title to reflect this.

We agree with the reviewer that this paper is about open science. In fact, we think we really do include both open science and the digital era. We suggest that we include both terms in the title, i.e., Open Weather and Climate Science in the Digital Era. In the introduction we will point out what we mean by "digital era".

L6 - 'the studies in the conference session showed' - How exactly?

We will rephrase the paragraph to clarify its meaning and add concrete examples that illustrate the importance of shared data and software:

"The majority of studies (roughly 80 %) presented in the conference session depended in some way or another on shared data and software. For example, many studies included open datasets from disparate sources to improve accuracy of forecasts on the local scale, or to extend analyses beyond the domain of weather and climate. Furthermore, shared software is a prerequisite for the studies that presented systems like a model coupling framework or a digital collaboration platform. Although these studies showed that sharing code and data is important, the consensus among the participants was that this is not sufficient to achieve open weather and climate science and that there are important issues to address."

L8 - 'we observed' - how (in)formally was this done?

L62 - A brief comment on the limitations/benefits of the approach used to bring together the information for this paper appears necessary in the Methods section.

L99 & 103 - Session/sessions? One 'session' with multiple time blocks?

L103 - A hint of what was done. Good, but please expand in a Methods section. Using the standard Method/Results/Discussion format might help the clarity of the work. Having everything merged into thematic section currently makes determining what this paper adds difficult, although by clearly stating which evidence comes from where and moving from data to discussion within the existing sections might also work.

L104 - 'Discussed'. Please elaborate. e.g. who is 'we'. The co-authors of this paper? How was it determined what are 'common findings' and 'highlights'?

L118 - Example of where evidential basis could be clarified. 'we recognized': we as co-authors discussing and concluding, we in the session, and how was this recognized (e.g. large majority in room, or someone mentioned, or did all participants agree to a circulated notes/minutes?).

We agree with the reviewer that both the context and type of this research, and the methodology deserve clarification. We adopt the advice of adding a dedicated 'Methods' section (see our reply in 'major points' for the suggested text). Besides, throughout the paper we will rephrase text to correctly reflect our methodology.

L9 - Typo - 'there' not here

We will rephrase the sentence

L11 - 'primarily due to'? i.e. either these were refined from a list for some reason, or is this the complete list of possibilities?

This statement refers to the section where these barriers are described in more detail. For instance, many data sources come from private industry who may see a competitive advantage to maintaining privacy. But those data may prove useful to the weather community for improving initial conditions of forecast models. Such corundums may be solved by signing nondisclosure agreements and allow weather service to act as trusted agents who use the data for the public good without disclosing their details.

We will include this explanation in the abstract and in the corresponding section.

L19-20 - It is claimed that 'much faster progress' is being made as 'observed from the studies presented in the conference'. This is quite a leap of logic, and is one illustration of how the manuscript could be more tightly argued and/or presented. If this is simply the authors impression, this is fine, but should be clarified by adding 'we believe' or similar. If written as a statement, and evidential basis should be provided in the new data collected. If this is simply a confirmation of what is in the existing literature (i.e. L52-53) then this should be also clarified.

The reviewer rightly points out that this is the authors' view. We will rephrase the sentence accordingly.

L21 - Typo - .. computationally intensive ...

We will rephrase the sentence

L22 - Introduction. A wide range of topics and issues are introduced here. They are placed in historical context, which is good. But, the treatment of these becomes quite vague when the actual session is mentioned (L58- 59)

The introduction discusses the role and use of technology in weather and climate science in history as well as the 'digital era'. We will clarify this as mentioned in the reply on the first comment.

We will move the description of the session to the new Methods section.

L39-48 - This paragraph is currently un-referenced. Please add these.

We adopt the reviewers suggestion and will add the following references to the paragraph:

Bauer, P., Thorpe, A., & Brunet, G. (2015). The quiet revolution of numerical weather prediction. *Nature*, 525(7567), 47–55. <https://doi.org/10.1038/nature14956>

Huntingford, C., Jeffers, E. S., Bonsall, M. B., Christensen, H. M., Lees, T., & Yang, H. (2019). Machine learning and artificial intelligence to aid climate change research and preparedness. *Environmental Research Letters*, 14(12), 124007. <https://doi.org/10.1088/1748-9326/ab4e55>

Schneider, T., Lan, S., Stuart, A., & Teixeira, J. (2017). Earth System Modeling 2.0: A Blueprint for Models That Learn From Observations and Targeted High-Resolution Simulations. *Geophysical Research Letters*, 44(24), 12,396-12,417. <https://doi.org/10.1002/2017GL076101>

Reichstein, M., Camps-Valls, G., Stevens, B., Jung, M., Denzler, J., Carvalhais, N., & Prabhat. (2019). Deep learning and process understanding for data-driven Earth system science. *Nature*, 566(7743), 195–204. <https://doi.org/10.1038/s41586-019-0912-1>

Ruti, P., Tarasova, O., Keller, J., Carmichael, G., Hov, Ø., Jones, S., ... Yamaji, M. (2019). Advancing Research for Seamless Earth System Prediction. *Bulletin of the American Meteorological Society*, (August 2019), 23–35. <https://doi.org/10.1175/bams-d-17-0302.1>

L40 - 'exascale' - I don't know this word. Please add a reference or two so that non-specialists can inform themselves.

The term 'exascale' computing refers to 10^{18} operations per second, a factor of 1000 beyond current machines.

We will explained the term in the text and add a reference to the sentence:

Reed, D. A., & Dongarra, J. (2015). Exascale computing and big data. *Communications of the ACM*, 58(7), 56–68. <https://doi.org/10.1145/2699414>

L62 - Open science. This appears to be a literature review, unrelated to the session mentioned. Was the session simply used as a brainstorming exercise to get the information together for such literature reviews? If so, again this is fine, but include a Methods section to state this, even if it's only a paragraph long. When the paper is revised, I would expect to distinguish whether the information is (i) in the literature, and being brought together here (ii) views of people in the room etc And, this will allow the contribution of this paper to be clarified/determined. If this

section is a review, say 'review' not 'explore', but my Methods points still stand w.r.t later sections.

The reviewer rightly points out that this section contains a literature review on open science. We will clarify this both in this section, i.e., rephrase the 'explore' sentence, and at the end of the introduction section, where we explain the structure of the paper. We will also add a dedicated 'Methods' section (see our reply in 'major points' for the suggested text).

L106 - Please try to be specific. Does 'many' mean 5, 50% or something different? It should be possible to give numbers for papers in your session, or you might randomly sample the conference in a desk-based exercise.

We agree with the reviewer and throughout the paper we will rephrase text to be more specific on our method of data collection.

General - Is there scope for a table of key points, or graphic to present the most important findings? I am a bit ambivalent about saying this as us readers shouldn't be lazy, but this could usefully highlight the key detailed points. Example of how this could be done - each co-author gets 3 votes, and size of coloured blob relates to number of votes in the graphic.

We thank the reviewer for this great suggestion. At the end of the paper, we will provide a list of action points or conclusions that are described in the different sections of the paper.

Short Comment 1

P2, L 45. There is a line that talks about the "third development". The construction of this paragraph could be slightly modified to explicitly present the three developments, for a better flow.

We adopt the suggestion of the reviewer and will modify the construction of the paragraph

P3, L 63. Section 2, Consider eliminating too many "and" conjunctions.

We adopt the suggestion of the reviewer and will check the text for unnecessary "and" conjunctions

P4, L 94-96. Examples or relevant references cited will improve the effectiveness of this statement.

In fact, open sharing of data, software and vocabularies is only true common practice in a few fields such as astronomy and genomics. Most scientific fields, including weather and climate science, can be considered lagging behind. We will add a few references to support this.

P4, L 106 onwards. Some parts in 3.1 Open Data seem to fall under 3.2 Open software. But, this could also mean they are very coupled. No changes necessarily needed here.

P5, L 118. While interpreting , “Making data and software findable..”, software may include tools that lead to the data. I think some level of paraphrasing may be required in this paragraph to make the message from the paper more evident, about making all the components adhere to FAIR goal as a whole.

The reviewer is right, data and software in are connected and both should adhere to the FAIR principles. We will modify the text of this paragraph (and if necessary other parts of the paper) to clarify this message.

P5, L 126. This paragraph does provide good insights. But, the final message is not translated well enough as to how this affects open data/science.

P5, L 131. Just a note- Removing the need for post-processing by incorporating as many steps as possible within the model itself can make the model computationally even more expensive. Thus, when there is a use-case to share model source code, one may still find it challenging, though open. Though there is one helpful cloud computation reference cited, I would have expected to see more bits about cloud computing in this paper, in this particular section.

We agree with the reviewer that the impact on open data/science can be stated more clearly. We included a more elaborate description that producing FAIR model data is necessary, but can not be achieved through traditional post-processing pipelines.

Furthermore, we agree with the reviewer that cloud computing technologies, like xarray, Dask, and Apache SPARK, could be useful, since data processing and analysis pipelines usually do not require communication between parallel jobs. One of the key aspects, however, is the capability of the developer, usually a meteorologist or climate scientist, to adopt a new programming paradigm that allows the parallel execution of the workflow on cloud infrastructure. Here research software engineers may play a key role by, e.g., building useful tooling on top of existing low-level platforms like Apache Spark or Dask.

We will rephrase the paragraph accordingly.

P6, L 161. Punctuation. Add comma after conference.

We will rephrase the sentence

P6, L 178 The message/action item here seems to have not translated well here. It does sound contradictory, but the essence of the message might be lost, regarding the technical challenges and reduced scope for multi-discipline collaboration. Please paraphrase this to improve the paragraph.

We will rephrase the paragraph to clarify the message:

“The use of software as presented above, motivated by open science principles, requires a suitable digital infrastructure. The cloud appears to be a potential avenue, as it enables individual researchers to gain access to high computing resources,

vast amounts of storage and a suite of software tools. In our session, several digital platforms were presented, that use cloud technologies to create a virtual research environment where scientific end-users can store, analyze and share their data. The participants also observed, however, that current platforms, like the Open Geospatial Consortium and JRC Earth Observation Data and Processing Platform, do not seem to increase the extent of scientific collaboration, especially across disciplines. This may be partly due to the fact that these platforms each have implemented their own set of standards for both data formats and interfaces to access these data. Since scientists are required to invest time and effort in working with a specific platform, the heterogeneity poses hurdles to their collaboration with researchers on another platform.”

P7, L 194 Punctuation. Replace “here” with “there.

We will rephrase the sentence

P7, L 216 This statement is well put in terms of sharing knowledge. I hope this can be reflected more in the paper.

We thank the reviewer for this comment. Throughout the paper we will rephrase text to be more specific on our observations and how these support our story. At the end of the paper, we will compile a list of action points or conclusions, i.e., to improve the current situation, that are described in the different sections of the paper.

Second revision

Reviewer 1

-I would recommend to shorten the second half of the abstract.

We agree with the reviewer that a concise abstract is more clear and convenient. However, as we also want to be specific and concrete in our information, which in our case includes providing examples, we have chosen not to shorten the second half of abstract.

- "weighting of those multiple models" should be rephrased

We have rephrased the sentence (line 46)

- "10¹⁸"

We have rephrased the sentence (line 53)

- "three orders of magnitude greater than the speed of current machines" This is incorrect.

We have rephrased the sentence to : Exascale (i.e., 10¹⁸ operations per second) is the next proxy in the long trajectory of exponential performance increases that has continued for more than half a century (Reed,2015) (lines 53-55)

- *"In the section Towards Open Weather and Climate Science" Maybe use italic to highlight that this includes the actual title.*

We have adopted the suggestion (line 154)

- *"In the Methods section" Is there actually a methods section? This section seems to be present in the diff document but missing in the main document.?*

The reviewer is right, we have forgotten to include this new section in the main document. We have included the Methods section in this second revision of the manuscript (lines 82-96)

- *"depending as it does on its intended use" should be reformulated*

We have rephrased the sentence (line 282)

- *"this is true for hardware and software-hardware interaction as well" I do not understand what this means in this context.*

We have rephrased the sentence to : this is true for hardware and the software run by these hardware as well (line 285)

Reviewer 2:

Firstly, I would like to comment that the authors' response is not particularly kind to reviewers in our attempt to determine if the requested changes have been made. Whilst areas of change have been highlighted, none of the responses are linked to the changes (e.g. line numbers in new document), and my major comments 2-4 with a single comment that is essentially 'Read the new Methods section, and we've made a selection of changes throughout'. I request that, in future, the authors please empathize more with the reviewers.

We apologize for not being clear to the reviewer how we responded to your valuable comments. We include a more extensive response and references to line numbers now.

I appreciate the addition of a Methods section; this is simplistic, but GC allows for a pragmatic and ad hoc data collection methodology. Attention has been paid to my detailed comment. I am afraid, however, that the authors have failed to make progress with respect to my criticism about 'novelty'. In short, it is not clear from the writing that the outputs of a similar workshop, with identical findings, was not published last year in the Journal of XXXXXX. Our manuscript reflects the current discourse on research software, infrastructure and open science in weather and climate research and the opportunities for sharing and combining data, software and infrastructure. This is an ongoing debate in the community of which aspects are discussed in isolation. Here we report on these discussions as part of eScience developments. Elements have been discussed in literature, e.g. in Ruti et al (2020) on strategic programming level, in Righi et al (2020) on a generic software tool for Earth system model data diagnostics, the open software platform PANGEO (<https://pangeo.io/>), and community simulation model as the regional model WRF and CESM (Skamarock,2019; Hurrell, 2013). Additionally, these aspects are discussed in Climate Informatics workshops (<http://climateinformatics.org/>), workshops held as part of the European Network on Earth System Modelling (ENES, <https://portal.enes.org/>), workshops of operational centres as the European Centre for Medium-Range Weather Forecasting (e.g. the bi annual High Performance Computing workshop) to name a few. Our

approach in this paper goes further in a) discussion of open science aspects which is developing recently in our field (e.g. FAIR principles on data and research software) and b) the direct relation with compute infrastructures. These aspects make the approach novel.

This could be fixable relatively simply through a number of actions - see detail below (i) a few sentences, (ii) a little stylistic tweaking in places including the abstract, and (iii) some detail to your recommendations. But, this remains a major point in terms of the presentation/framing of the work. In light of how the response was presented, I started re-reading the abstract, including re-reading the initially submitted abstract. At the end, despite various changes e.g. a 'list of concrete recommendations' I still have no idea about the novelty in this work. To be specific: How many of these recommendations are new, and how many are simply repeats of previous similar workshops? In reality, if none are new, and all are simply repeats of suggestions in previous work (i.e. our problems have not gone away since 2015), this is fine. But, I personally believe it is necessary to give due credit to past 'state-of-the-subject' workshops and similar if they exist, or be clear if they do not.

See response above, we believe two aspects are novel: 1) the emphasis on open science in weather and climate research, which hardly received attention so far and 2) the consideration of the integration of new developments in data, software and hardware and the challenges and opportunities that come about.

Indeed, this type of paper, unlike a scientific paper where a hypothesis is developed and tested using data, this paper reflects more the expert opinions of the authors. The following bullets are suggestions, which I intend to be constructive to fix the 'novelty' issue on the assumption it is presentational (i.e. there isn't a recent similar identical paper).

- *Is it that there are no previous/recent/relevant attempts to summarize views on this subject? If so, please state this. If there are, please add a couple of sentences to outline what they are, giving references.*

We have added references and descriptions to related studies and discussions to the OPEN SCIENCE section (lines 122-129)

- *Is the contribution of this paper that it has a small but convenient literature review that 'describes the progress of open weather and climate science in the context of open science developments in general'? (OPEN SCIENCE section). This could have value.*
- *Is the contribution a snapshot of expert opinion? (TOWARDS OPEN WEATHER AND CLIMATE SCIENCE section). This will have value if it isn't re-inventing the wheel, with a few sentences are no added to demonstrate/assert that this is not the case.*

We have formulated the contribution and novelty of the paper in paragraphs in both the abstract (lines 22-25) and the discussion (lines 245-250)

- *You could partially for my concerns about a disconnect/lack of awareness of previous views of challenges/issues by adding some kind of categorization to your list of recommendations (e.g. N =new, R = recent - perhaps last 2 years, O =*

ngoing/long-term). A simple round-robin e-mail to the coauthors asking them to assign these, then going with the majority, would work.

We have added a reflection on novelty per recommendation and add a reference when it is ongoing work.

I apologise if the tone of this review is 'grumpy'. I think it is fair, but it highlights the potential value in making life easy for reviewers (i.e. some of them may not make the effort to get over their initial mood).

The review is fair and it is critical for the quality of the peer review system that reviewers are critical.

Most relevant changes in manuscript

For detailed information on all changes made in the manuscript, please see the point by point response to the reviewers' comments

- Modified title
- More emphasis on the added value of this work; in the abstract
- Explanation of the paper structure; in the introduction section
- Inclusion of a dedicated method section
- Additional references and concrete examples to place our work in context of international initiatives and similar studies in the domain; in the 'open science' section
- Additional concrete examples and quantitative observations to be more explicit about our methodology; in the section 'towards open weather and climate science'
- Additional explanations on several topics (i.e., machine learning, performance scalability, agile, software platforms); in the section 'towards open weather and climate science'
- Reflect on our methodology; in the 'discussion' section
- Inclusion of a list of action points/ key observations; at the end of the paper

Open Weather and Climate Science in the Digital Era

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Abstract. The need for open science has been recognized by the communities of meteorology and climate science. While these domains are mature in terms of applying digital technologies, the implementation of open science methodologies is less advanced. In a session on “Weather and Climate Science in the Digital Era” at the 14th IEEE International eScience Conference domain specialists and data and computer scientists discussed the road towards open weather and climate science.

- 5 Roughly 80% of the studies presented in the conference session showed the added value of open data and software. These studies included open datasets from disparate sources in their analyses, or developed tools and approaches that were made openly available to the research community. Furthermore, shared software is a prerequisite for the studies which presented systems like a model coupling framework or digital collaboration platform. Although these studies showed that sharing code and data is important, the consensus among the participants was that this is not sufficient to achieve open weather and climate
- 10 science and that there are important issues to address.

At the level of technology, the application of the FAIR principles to many datasets used in weather and climate science remains a challenge. This may be due to scalability (in the case of high-resolution climate model data, for example), legal barriers such as those encountered in using weather forecast data, or issues with heterogeneity (for example, when trying to make use of citizen data). In addition, the complexity of current software platforms often limits collaboration between

- 15 researchers and the optimal use of open science tools and methods.

The main challenges we observed, however, were non-technical and impact the practice of science as a whole. There is a need for new roles and responsibilities in the scientific process. People working at the interface of science and digital technology - e.g., data stewards and research software engineers - should collaborate with domain researchers to ensure the optimal use of open science tools and methods. In order to remove legal boundaries on sharing data, non-academic parties such as meteorological institutes should be allowed to act as trusted agents. Besides the creation of these new roles, novel policies regarding open weather and climate science should be developed in an inclusive way in order to engage all stakeholders.

Although there is an ongoing debate on open science in the community, the individual aspects are usually discussed in isolation. Our approach in this paper takes the discourse further by focusing on ‘open science in weather and climate research’ as a whole. We consider all aspects of open science and discuss the challenges and opportunities of recent open science developments in data, software and hardware. We have compiled these into a list of concrete recommendations that could bring us closer to open weather and climate science. We acknowledge that the development of open weather and climate science requires effort to change, but the benefits are large. We have observed these benefits directly in the studies presented in the conference and believe that it leads to much faster progress in understanding our complex world.

1 INTRODUCTION

In this article we describe the main findings of a conference session on “Weather and Climate Science in the Digital Era” with a special focus on the implementation of open science methodologies.

Meteorology and climate sciences are data- and computationally-intensive areas of research by tradition. Being primarily a physical science, empirical data collection has always been important and meteorology was one of the first fields that standardized data collection from the advent of systematic instrumental observations in the mid-1800s (e.g. Maury, 1853; Quetelet, 1874). In addition, the production of meteorological forecasts was one of the first applications to be developed for electronic computers, following decades during which the calculations were performed by hand (we recall that “computer” originally meant “one who computes”, and that the adjective “electronic” was introduced to distinguish the machine from the human). Numerical weather prediction (NWP) has advanced from the first operational predictions in the 1950s (Charney et al., 1950), aided by increased computing capability and the growing supply of observational data to generate initial conditions for assimilation into the model state. Climate research has benefitted from the same developments (see e.g. Lynch, 2008, for an overview). The assimilation of observational data into NWP models has been a turning point for the development of high-resolution gridded information of the atmosphere and ocean state (e.g. Kalnay et al., 1996; Dee et al., 2011). The use of this methodology for reanalysis - that is, generating a comprehensive and physically consistent record of how the weather is changing over time - has ensured a baseline for climate research and triggered the development of downstream climate services.

Meteorologists have been using machine learning to post-process model output, blend multiple models, and optimize the weighting of models for over 20 years (Haupt et al., 2018). Neural nets were used in the 90s to speed up the calculation of outgoing longwave radiation in climate models (Chevallier et al., 1999), and for both short- and long-wave radiation parameterization in the National Center for Atmospheric Research (NCAR) Community Atmospheric Model (CAM) (Krasnopolsky

et al., 2007). Present and future strategies feature an Earth System approach for assimilating environmental data into a more comprehensive coupled system including the atmosphere, ocean, biosphere and sea-ice (Penny and Hamill, 2017).

The influence and application of digital technologies has shown no sign of abatement in recent times. Three technological developments are having a strong effect on meteorology and climate research (Ruti et al., 2019). First, the increase of computing power. Exascale (i.e., 10^{18} operations per second) is the next proxy in the long trajectory of exponential performance increases that has continued for more than half a century (Reed and Dongarra, 2015) and provides unprecedented opportunities with regard to the finer resolution of scales in time and space, and/or the coupling of more components that represent different parts of the Earth system. However, it also poses large software development and data management challenges, such as the impact of increasing numerical model resolution, increasing code complexity, and the volumes of data that are handled (Bauer et al., 2015; Sellar et al., 2020). A second development concerns the open availability of standard meteorological data and data from a variety of sources, including citizen science projects and low-cost sensors. Modern data management tools enable handling these data sources. Thirdly, there has been increasing use of machine learning, in particular so-called deep learning. A plethora of machine learning methods have been and are being applied to problems of weather and climate prediction, from emulating unresolved processes in numerical models to calibrating forecasts produced with numerical models and the production of forecasts based on data and machine learning methods only (Huntingford et al., 2019; Schneider et al., 2017; Reichstein et al., 2019).

Digital technologies enable new research methods, accelerate the growth of knowledge, and spur the creation of new means of communicating such knowledge amongst researchers and within the broader scientific community. As such, these technologies have reshaped the scientific enterprise and are strongly connected to open science (OECD, 2015; Bourne et al., 2012). Open science methodologies such as open access publications, open source software development and FAIR data (see below) stimulate the use of data and software resources and lead to more reproducible research (Wilkinson et al, 2016; Munafò et al., 2017). The need for open research practices has been recognized by the communities of meteorology and climate science. Nonetheless, whilst these domains are mature in terms of the application of digital technologies, the implementation of open science methodologies is less advanced.

In a session on “Weather and Climate Science in the Digital Era” at the 14th IEEE International eScience Conference, domain specialists and data and computer scientists discussed the road towards open weather and climate science. This paper describes the main findings and insights from this conference session.

The remainder of this paper is organized as follows: In the Methods section we describe the set-up of the conference session in detail, since the insights and claims in this paper are based on the observations made during the session. The Open Science section contains a small literature review which describes the progress of open weather and climate science in the context of open science developments in general. In the section Towards Open Weather and Climate Science we discuss the challenges and opportunities of open data and open software. The last section provides a synthesis of the issues that should be addressed in order to achieve open weather and climate science.

2 METHODS

The “Weather and Climate Science in the Digital Era” conference session examined some of the data and compute intensive approaches which are used in weather and climate science. The session comprised ten oral abstract presentations, one keynote talk, and six short poster pitches. Contributions were selected after a peer review on their scientific merit and innovative nature and published in the conference proceedings (Bari, 2018; Behrens et al., 2018; Bendoukha, 2018; Brangbour et al., 2018; Garcia-Marti et al., 2018; Haupt et al., 2018; Hut et al., 2018; Jansson et al., 2018; Pelupessy et al., 2018; Ramamurthy, 2018; Schultz et al., 2018; Stringer et al., 2018; van Haren et al., 2018; van den Oord et al., 2018). The sixteen session participants were either presenters or involved in the organization of the session, and represented disparate science domains, as well as computer and data sciences.

Following the first part of the session which was dedicated to the presentations, the participants broke into three groups to discuss "challenges and opportunities regarding open weather and climate science". The findings of each group were presented and discussed in a final plenary session, during which observations and insights were documented.

The observations in this paper are based on both the insights from the studies presented in the session, and the notes made during the discussion. The majority of the participants in the session also contributed to this paper. As such, this represents a shared view of a group of experts in weather and climate science on digital and open science developments in their field.

3 OPEN SCIENCE

Based on a small literature review, this section describes the progress of open weather and climate science in the context of open science developments in general.

Open science refers to open research practices, and includes but is not limited to public access to the academic literature, sharing of data and code (Mckiernan et al., 2016). However, the interpretation of the concept of open science varies between different schools of thought (Fecher and Friesike, 2014). In general, open science concerns various stakeholders: besides scholars, these include institutes, research funders, librarians and archivists, publishers and decision makers (Bourne et al., 2012; OECD, 2015; Fecher and Friesike, 2014).

It has been shown that the adoption of open research practices leads to significant benefits for researchers: specifically, increases in citations, media attention, potential collaborators, job opportunities and funding opportunities (Mckiernan et al., 2016). Europe and the United States have made efforts to adapt legal frameworks and implement policy initiatives for greater openness in scientific research (OECD, 2015; National Science Foundation, 2018). Several countries provide digital infrastructure based on rich metadata that support the optimal re-use of resources in the research environment (Mons et al., 2017). Examples include the European Open Science Cloud in Europe (Directorate-General for Research and Innovation, 2018), NIH Data Commons projects in the United States, AARnet in Australia (AARNet, 2018) and the African Data Intensive Research Cloud in South Africa (R. Simmonds et al., 2016). Funders and research institutes have announced policies encouraging, mandating, or specifically financing open research practices (Mckiernan et al., 2016; Wilkinson et al, 2016) - for example, the National Science Foundation in the United States (National Science Board, 2011), CERN in Switzerland (CERN-OPEN-2014-

115 049, 2014), the Netherlands Organization for Scientific Research (executive board, 2019) and the United Nations Educational, Scientific and Cultural Organization (board, 2013).

The need for open research practices has been recognized by the communities of meteorology and climate science and has even entered into the political arena. For instance, in its report on the so-called “Climatic Research Unit email controversy” in 2009 the Science and Technology Committee of the UK House of Commons stated that climate science is a matter of
120 great importance and that the quality of the science should be irreproachable. The committee called for the climate science community to become more transparent by publishing raw data and detailed methodologies (House of Commons, 2010).

There are many examples of open access, open data and open source software in meteorology and climate science. The United States has a long history of making meteorological observations, model source codes and model output an open public commodity, available to all. The WRF regional model, MPAS global model, and the CESM climate model (Skamarock
125 et al., 2019; Hurrell, J.W. et al., 2013) are good examples of shared numerical weather and climate model codes. Output from NOAA weather and climate prediction models are freely available. The European Center for Medium-range Weather Forecasts (ECMWF) provides researchers with a free, and easy-to-use version of the Integrated Forecasting System (IFS), which is one of the main global NWP systems (Carver, 2019). It allows IFS to be used by a much wider community and the academic community contributes to improving the forecast model with new developments. The UK Earth System model (Sellar
130 et al., 2019), a joint development between the National Environment Research Council (NERC) and the UK Met Office, has been made available to the research community in a similar fashion. In addition, co-ordinated coupled model intercomparison projects (CMIP) (Taylor et al., 2012; Eyring et al., 2016) are excellent examples of the climate modeling community working together. The construction of multi-model comparisons and statistics forces research groups to accept common input forcings, provide detailed documentation of the numerical schemes in their model and produce open, standardized output data (Sellar
135 et al., 2020, see e.g.). The result is a better understanding of climate change arising from natural, unforced variability or in response to changes in radiative forcing in a multi-model context.

The international meteorological and climate research communities have been sharing data since the 1990s, using common file and metadata formats. Besides CMIP (Taylor et al., 2012), examples include the sharing of reanalysis data, starting with NCEP/NCAR reanalysis and ECMWFs ERA reanalysis data products (Dee et al., 2011; Kalnay et al., 1996, e.g.).

140 There is an ongoing debate on open science in the meteorology and climate research community, but in literature the individual open science practices are discussed separately. Elements have been discussed in literature, e.g. in Ruti et al. 2019 on strategic programming level, in Eyring et al 2020 2020 on a generic software tool for Earth system model data diagnostics, the open software platform PANGEO (Pangeo), and community simulation model as the regional model WRF and CESM (Skamarock et al., 2019; Hurrell, J.W. et al., 2013). Additionally, these aspects are discussed in Climate Informatics work-
145 shops (Cli), workshops held as part of the European Network on Earth System Modelling (ENES)(Modelling), workshops of operational centres as the European Centre for Medium-Range Weather Forecasting (e.g. the bi annual High Performance Computing workshop) to name a few.

The examples described above show that open research practices are growing in popularity and necessity. However, widespread adoption of these practices has not yet been achieved, which is also true for meteorology and climate science. In fact, sharing

150 of data, software and vocabularies is only common practice in a few fields such as astronomy and genomics (Consortium, 2004; Borgman, 2012; Shamir et al., 2013, e.g.). Recent studies show that transparency and reproducibility are still a matter of concern to the scientific community as a whole. It requires that all stakeholders work together to create a more open and robust system (Baker, 2016; Munafò et al., 2017; Gil et al., 2016).

4 TOWARDS OPEN WEATHER AND CLIMATE SCIENCE

155 In the following section we present our perspective on the challenges and opportunities regarding open weather and climate science.

4.1 OPEN DATA

About 50% of the studies reported in the proceedings of the conference session include open data from different sources in their analyses. Examples include the use of open satellite data, geolocated data via OpenStreetMap and openly available in-situ 160 meteorological observations (Haupt et al., 2018; Garcia-Marti et al., 2018; Bari, 2018; Schultz et al., 2018, and references therein). Two studies include data that are not common in meteorological or climate research. Citizen data such as social media posts (Brangbour et al., 2018) and observations from amateur weather stations (van Haren et al., 2018) can lead to new perspectives on local conditions beyond data from traditional meteorological stations.

At least 50% of the studies use common file formats and standard protocols to facilitate the exchange and use of data. Van den 165 Oord et al. 2018 use CF-netCDF formats. The CF conventions provide guidelines for the use of metadata in the netCDF file and are increasingly used in climate studies. Behrens et al. 2018, Pelupessy et al. 2018, Schultz et al. 2018 and Stringer et al. (2018) all use standard protocols for inter-process communication (like MPI and REST) in their numerical codes. Furthermore, the use of common file formats and standard protocols is a prerequisite for the digital collaboration platforms which were presented in the session (Ramamurthy, 2018; Hut et al., 2018; Bendoukha, 2018).

170 The session participants recognized that in the current weather and climate science community the focus is primarily on making data and software findable and accessible, often via web portals. Although these are necessary first steps towards open science, we acknowledge that these steps are not sufficient. Data and software that are findable and accessible may still be hard to obtain in practice or may be disseminated in a way that it is still difficult to interpret and use. Wilkinson and colleagues (2016) defined guidelines to ensure the transparency, reproducibility, and reusability of scientific data. These state that data 175 - and also the algorithms, tools, and workflows that led to these data - should be Findable, Accessible, Interoperable and Reusable (FAIR). The FAIR guidelines put specific emphasis on enhancing the ability of machines to automatically find and use the data, in addition to supporting its reuse by individuals.

In order to make the output from weather and climate models open and interoperable, i.e. formatted according to standards such as CF-netCDF, including all necessary metadata, we consider performance scalability as the foremost technological 180 challenge. Whereas the simulation models are predominantly run on large clusters using many compute nodes, subsequent processing and analysis of the output is often still confined to a single CPU and does not scale easily with (say) increased

model resolution. Thus, producing FAIR model output via traditional post-processing pipelines is quickly becoming infeasible for advanced simulation models due to the sheer volume and complexity of their output.

For simulation models, this trend is a consequence of the advance of processor speed and model scalability compared to storage bandwidth, and can be countered with two strategies. The first is removing the need for post-processing by incorporating as many steps as possible within the application itself. This will make the model more expensive, especially in terms of memory usage, but the overhead may often be mitigated by offloading the post-processing to a small extra set of dedicated high-memory compute nodes. This approach requires a technical effort from the data providers in the community, and it can only solve the data problem to a limited extent, since there will always be extra manipulations required for many scientific analyses. Hence we need a second strategy on the data users' side to increase parallelism in the climate data processing toolchain. Existing cloud computing technologies, like Apache SPARK (Zaharia et al., 2016) or Dask (Team, 2016), may provide a suitable basis, since data processing and analysis pipelines can usually be represented by task graphs with a large degree of parallelism (over grid points, over multiple variables, over ensemble members, etc.). One of the key aspects, however, is the capability of the developer, usually a meteorologist or climate scientist, to adopt a new programming paradigm which facilitates the parallel execution of the workflow on cloud infrastructure. Here, research software engineers may play a key role by - for instance - developing higher-complexity algorithms for efficient processing of distributed climate data and adopting tools like xarray (Hoyer and Hamman, 2017) and Iris (Office, 2010).

In addition to these technological issues, we observe that some important challenges for open data arise from the political or legal context, and as such require additional efforts beyond the scientific domain. Weather services and commercial entities can see their data as a business advantage and be reluctant to make these open. Various resolutions by the World Meteorological Organisation (e.g. Resolution 40, 25 and 60) promote open access and exchange of data in order to better manage the risks from weather and climate-related hazards, but leave room for additional conditions. These resolutions have no legal status and national legislation may lead to restricted access to data and charges (Sylla, 2018). Also, policies to promote open data are less mature than those to promote open access to scientific publications (OECD, 2015). Another way to solve these issues is by signing nondisclosure agreements and allow the weather services to act as trusted agents who use the data for the public good without disclosing their details. These trusted agents should be considered as occupying a new role in the scientific process.

Furthermore, data need to be hosted and maintained, and their quality should be ensured. These requirements are well-addressed for large operational data services, such as the European Copernicus program, but this is not usually the case for research data of individual scientists, despite the increasing attention being paid to data management. Currently, data providers have no clear policy (such as - for example - the FAIR principles) to follow in their hosting and management of data. Publications such as Geoscience Data Journal, Scientific Data and Earth System Data, are a partial remedy as these provide open access platforms where scientific data can be peer-reviewed and formally published. Some funding agencies - for example NWO in the Netherlands - are now requiring that, for all projects they fund, software becomes open source and the data are archived and findable unless there are strong reasons not to do so (e.g. privacy). Also, research funded by the European Commission should adhere to FAIR principles and data management plans need to be in place.

4.2 OPEN SOFTWARE

The conference session provided excellent examples of tools and approaches that were developed and made openly available to the research community. For example, approaches to reduce the computational or post processing costs of existing simulation models (Stringer et al., 2018; Behrens et al., 2018; van den Oord et al., 2018; Jansson et al., 2018) and approaches to integrate data sets from different sources (van Haren et al., 2018; Schultz et al., 2018). Four studies in the session presented an approach for which open data and software is a prerequisite, as these comprise a model coupling framework or a digital collaboration platform (Pelupessy et al., 2018; Jansson et al., 2018; Ramamurthy, 2018; Hut et al., 2018; Bendoukha, 2018).

We strongly support open publication of code, even if this code is under development, and especially when this code is used in a paper to support research findings. Open code can be inspected and reused by peers; this improves the reproducibility and quality of the corresponding research. Code sharing is crucial to science and to climate research in particular, since local and global policies depend on the scientific results. Open publication, however, requires the code to be documented and tested, which is a time-consuming effort. This level of documentation and testing is not yet standard practice, partially because there is no incentive to do so. There is a need for open science practices where incentives are developed to share scientific information beyond the final result in a scientific paper. Agile (Fowler and Highsmith, 2001) is a well-known approach in the software engineering community, and may provide a means to achieve open scientific software in a feasible way. According to the Agile approach, software is developed in small increments every few weeks, which makes it possible to provide continuous feedback to the developers. With its focus on flexibility and communication, Agile lends itself naturally to scientific software projects which are characterized by frequent code alterations due to changing requirements, tight collaboration in small teams, and short planning horizons (Sletholt et al., 2012). Agile practices are used, for example, by the ECMWF to develop the Climate Data Store (Raoult et al., 2017) and the Met Office Hadley Centre to develop climate models (Easterbrook and Johns, 2009).

In four studies that were presented in the conference, machine learning technologies are used for data analysis and prediction (Haupt et al., 2018; Garcia-Marti et al., 2018; Bari, 2018; Schultz et al., 2018). Besides using standard meteorological datasets, these studies employed additional data to infer relationships that are relevant to the end user. For example, prediction of solar power output over a future time period requires the inclusion of historical and real-time solar energy production data (Haupt et al., 2018). It was observed that the use of machine learning approaches in weather and climate science is increasing. These approaches are powerful, for instance, in emulating processes that are not resolved in simulation models (because of computational costs), in calibrating or post-processing simulation results and in building models to describe or forecast meteorological and climatological events. The caveats, on the other hand, are that trained models are not transparent as models based on laws of physics and their results can be hard to interpret. Following the open science principle, machine learning approaches should be understandable and reusable by other researchers. Emerging fields like Explainable AI and knowledge based machine learning may provide approaches that help humans experts to understand how machine learning results are produced (Adadi and Berrada, 2018). Data-driven machine learning approaches should be combined with knowledge on physical processes (Dueben and Bauer, 2018; Reichstein et al., 2019) to gain further understanding of Earth system science problems. More broadly, machine learning methods should be accompanied by proper validation and verification.

250 This use of software, motivated by open science principles, requires a suitable digital infrastructure. The cloud appears to be a potential avenue as it enables individual researchers to gain access to high computing resources, vast amounts of storage and suites of software tools. In our session, three digital platforms were presented that use cloud technologies to create a virtual research environment in which scientific end-users can store, analyze and share their data (Ramamurthy, 2018; Hut et al., 2018; Bendoukha, 2018). The session participants also observed, however, that current platforms such as the Open Geospatial Consortium (D. Maidment et al., 2011) and JRC Earth Observation Data and Processing Platform (Soille et al., 2017), do not seem to increase the extent of scientific collaboration, particularly across disciplines. This may be partly due to the fact that these platforms have each implemented their own set of standards both for data formats and interfaces to access these data. Since scientists are required to invest time and effort in working with a specific platform, this heterogeneity can pose obstacles to their collaboration with researchers on another platform.

260 5 DISCUSSION

This paper reflects the current discourse on open science in weather and climate research and the opportunities for sharing and combining data, software and infrastructure. Although this is an ongoing debate in the community, the individual aspects are usually discussed in isolation. Our approach in this paper takes the discourse further by focusing on ‘open science in weather and climate research’ as a whole, a concept which hardly received attention so far. We consider all aspects of open science, among which compute infrastructures and stakeholders, and discuss the challenges and opportunities of recent open science developments in data, software and hardware. We are basing our claims on the insights and observations made during the conference session on “Weather and Climate Science in the Digital Era”. These observations are representative of what we are seeing in the field, although we recognize that our analysis is not complete. However, we believe that, given our experience, we have a solid view of the accomplishments of open science along with what still needs to be implemented.

270 The studies presented in the session show the value of sharing open data, and using and developing open source software and open platforms. Scientific advances are shown, for instance, through combining data sets and including non-standard meteorological data such as social media posts and observations from amateur weather stations. The increase in accuracy and skill of forecasts at local scales show improved consistency of data products and improved efficiency and skill of simulations, often crossing different disciplines. The utilisation of machine learning and increased computational capabilities have facilitated the use of disparate sources of data. In our conference session we concluded that sharing data and code offers many opportunities for scientific progress, leads to better reproducible science and vastly enhances the user base. However, we realized that open publication of data and code is not sufficient to achieve open weather and climate science and that there are important issues to address, which are described below.

The findability and accessibility of data increasingly receives attention in weather and climate research, and common file and metadata formats increase interoperability. However, for many data sets the implementation of the FAIR principles remains a challenge due to their origin, scalability issues or legal barriers. We also acknowledge that data quality can be difficult to

judge, depending on its intended use, or the reason for its generation. Addressing this data quality challenge requires continued discussion on what aspects of open data can be implemented generically and what aspects are specific.

285 Technologically, the promise of using modern digital technologies is not always met due to the complexity of software platforms. While this paper does not address hardware, this is true for hardware and the software run by these hardware as well. A further development of platforms should facilitate the ease-of-use and provenance. This also calls for more attention to research software engineering where collaboration and interaction between software engineers and domain researchers can lead to optimal use of open science tools and methods.

290 As mentioned before, open science concerns various stakeholders in addition to scholars. Data management and programming have become an integral part of current research practice, and these activities require specific digital skills (Akhmerov et al., 2019). It is therefore important to acknowledge and define roles, responsibilities and mandates concerning data stewardship and research software engineering. This requires institutional change as the personnel portfolio of academic institutions needs to become more diverse, and in addition, a broader consideration of the impact of academic work beyond scientific publications and teaching.

295 In order to remove legal boundaries on sharing data, it is important to also engage non-academic parties such as operational and commercial meteorological institutions in open science. New policies regarding open science should be developed in an inclusive way to engage all stakeholders. Open science strategies and policies facilitate a higher quality of scientific research, increased collaboration, and engagement between research and society, which in turn can lead to higher social and economic impacts of public research (OECD, 2015).

300 **6 CONCLUSION AND RECOMMENDATIONS**

Alongside the issues and challenges regarding open weather and climate science, this paper also discusses opportunities and possible solutions for these issues. We have compiled these into the following list of concrete recommendations which will bring us closer to open weather and climate science. Some of these recommendations are new, others are ongoing, but still hold.

recommendation**status**

Developers should include post-processing steps in their simulation models. Requires additional compute and memory.

ongoing

Users of simulation data should increase parallelism in the data processing tool chain. Requires additional expertise in cloud computing, parallel and distributed computing.

recent; see e.g. (Team, 2016; Zaharia et al., 2016; Hoyer and Hamman, 2017; Office, 2010)

Individual researchers should be encouraged to publish scientific data in dedicated data journals.

recent; see e.g. Geoscience Data Journal, Scientific Data and Earth System Data

Cloud technologies provide a suitable digital infrastructure for individual researchers to gain access to resources and tools and to collaborate with colleagues.

recent; see e.g.(D. Maidment et al., 2011; Soille et al., 2017)

Platforms for scientific collaboration should consider interoperability and user friendliness.

new

Nondisclosure agreements should be signed between scientific partners and weather services, which should act as trusted agents. Requires including trusted agents as new roles in the scientific process and as stakeholders in open science policies.

new

Funders should request researchers to adhere to FAIR principles.

recent

All stakeholders should acknowledge and define roles and responsibilities concerning data stewardship and research software engineering. Requires institutional change and a broader consideration of the impact of academic work.

new

Open science has implications for the stakeholders, the institutions and the system of science as a whole. It requires effort to change, but the benefits are large. Openly sharing data, code, and knowledge vastly enhances the user base, which means manifold growth of opportunities for new discoveries. As we observed from our conference session, this can lead to an improved understanding of our complex world.

310 *Author contributions.* MGdV and WH organized the conference session and were lead writers of the manuscript. All authors contributed to the presentations and discussion in the conference session and to the writing of the manuscript.

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