



1 **Beijing Climate Center Earth System Model version 1 (BCC-ESM1):**

2 **Model Description and Evaluation**

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4 **Tongwen Wu^{1*}, Fang Zhang¹, Jie Zhang¹, Weihua Jie¹, Yanwu Zhang¹, Fanghua Wu¹,**
5 **Laurent Li^{1,2}, Xiaohong Liu³, Xiao Lu⁴, Lin Zhang⁴, Jun Wang⁵, Aixue Hu⁶**

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7 ¹Beijing Climate Center, China Meteorological Administration, Beijing, China

8 ²Laboratoire de Mééorologie Dynamique, IPSL, CNRS, Sorbonne Université École Normale
9 Supérieure, École Polytechnique, Paris, France

10 ³University of Wyoming, Laramie, WY, USA

11 ⁴Peking University, Beijing, China

12 ⁵University of Iowa, Iowa City, IA 52242, USA

13 ⁶National Center for Atmospheric Research, PO Box 3000, Boulder, Colorado 80307-3000,
14 USA

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18 *Correspondence to:* Tongwen Wu (twwu@cma.gov.cn)

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23 **Abstract.** BCC-ESM1 is the first version of a fully-coupled Earth System Model with
24 interactive atmospheric chemistry and aerosols developed by Beijing Climate Center, China
25 Meteorological Administration. Major aerosol species (including sulfate, organic carbon,
26 black carbon, dust and sea salt) and greenhouse gases are interactively simulated with a whole
27 panoply of processes controlling emission, transport, gas-phase chemical reactions, secondary
28 aerosol formation, gravitational settling, dry deposition, and wet scavenging by clouds and
29 precipitation. Effects of aerosols on radiation, cloud, and precipitation are fully treated. The
30 performance of BCC-ESM1 in simulating aerosols and their optical properties is
31 comprehensively evaluated as required by the Aerosol Chemistry Model Intercomparison
32 Project (AerChemMIP), covering the preindustrial mean state and time evolution from 1850
33 to 2014. The simulated aerosols from BCC-ESM1 are quite coherent with
34 CMIP5-recommended data and in-situ measurements from surface networks (such as
35 IMPROVE in the U.S. and EMEP in Europe). A comparison of the aerosol optical depth
36 (AOD) at 550 nm for all aerosols with the satellite AOD observations retrieved from MODIS
37 and MISR and surface AOD observations from AERONET shows reasonable agreement
38 between simulated and observed AOD. However, BCC-ESM1 seems to show weaker upward
39 transport of aerosols from the surface to the middle and upper troposphere, likely reflecting
40 the deficiency of representing deep convective transport of chemical species in BCC-ESM1.
41 With an overall good agreement between BCC-ESM1 simulated and observed aerosol
42 properties, it demonstrates a success of the implementation of interactive aerosol chemistry in
43 BCC-ESM1.

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45 1. Introduction

46 Atmosphere is a thin gaseous layer around the Earth, consisting of nitrogen, oxygen and
47 a large number of trace gases including important greenhouse gases (GHG) such as water
48 vapor, tropospheric ozone (O₃), carbon dioxide (CO₂), methane (CH₄), nitrous oxide (N₂O),
49 and chloro-fluoro-carbons (CFCs). Besides the gaseous components, atmosphere also
50 contains various aerosols, which are important for cloud formation and radiative transfer.
51 Atmospheric trace gases and aerosol particles are actually interactive components of the
52 climate system. Their inclusion in climate models is a significant enhancement for most
53 state-of-the-art climate models (Lamarque et al., 2013; Collins et al., 2017). Early attempts in
54 coupling global climate dynamics with atmospheric chemistry can be traced back to late
55 1970s, when 3D transport of ozone and simple stratospheric chemistry were firstly
56 incorporated into a GCM to simulate global ozone (O₃) production and transport (e.g.,
57 Cunnold et al. 1975; Schlesinger and Mintz 1979). Since mid-1980s, a large number of
58 on-line global climate/chemistry models have been developed to address issues of the
59 Antarctic stratospheric O₃ depletion (e.g., Cariolle et al. 1990; Austin et al. 1992; Solomon,
60 1999), tropospheric O₃ and sulfur cycle (e.g., Feichter et al. 1996; Barth et al. 2000),
61 tropospheric aerosol and its interactions with cloud (e.g., Chuang et al. 1997; Lohmann et al.
62 2000; Ghan and Easter, 2006; Jacobson 2012). Aerosols and chemically reactive gases in the
63 atmosphere exert important influences on global and regional air quality and climate (Collins
64 et al., 2017).

65 Since 2013, the Beijing Climate Center (BCC), China Meteorological Administration,
66 has continuously developed and updated its global fully-coupled climate model, the Beijing
67 Climate Center Climate System Model (BCC-CSM) (Wu et al., 2013). BCC-CSM version 1.1
68 was one of the comprehensive carbon-climate models participating to the phase five of the
69 Coupled Model Intercomparison Project (CMIP5, Taylor et al. 2012). When forced by
70 prescribed historical emissions of CO₂ from combustion of fossil fuels and land use change,
71 BCC-CSM1.1 successfully reproduced the trends of observed atmospheric CO₂ concentration
72 and global surface air temperature from 1850 to 2005 (Wu et al., 2013). During recent years,
73 BCC-CSM1.1 has been used in numerous investigations on soil organic carbon changes (e.g.
74 Todd-Brown et al., 2014), ocean biogeochemistry changes (e.g. Mora et al., 2013), and



75 carbon-climate feedbacks (e.g. Arora et al., 2013; Hoffman et al., 2014). BCC-CSM includes
76 main climate-carbon cycle processes (Wu et al., 2013) and the global mean atmospheric CO₂
77 concentration is calculated from a prognostic equation of CO₂ budget taking into account
78 global anthropogenic CO₂ emissions and interactive land-atmosphere and ocean-atmosphere
79 CO₂ exchanges.

80 In recent years, BCC has put much efforts in developing a global
81 climate-chemistry-aerosol fully-coupled Earth System Model (BCC-ESM) on the basis of
82 BCC-CSM. The objective is to interactively simulate global aerosols (e.g. sulfate, black
83 carbon, etc.) and main greenhouse gases (e.g. O₃, CH₄, NO₂ and CO₂) in the atmosphere and
84 to investigate feedbacks between climate and atmospheric chemistry. BCC-ESM1 is at the
85 point to be publicly released, and it is actively used in BCC for several CMIP6-endorsed
86 research initiatives (Eyring et al. 2016), including the Aerosol Chemistry Model
87 Intercomparison Project (AerChemMIP, Collins et al., 2017) and the Coupled Climate–
88 Carbon Cycle Model Intercomparison Project (C4MIP, Jones et al. 2016).

89 The purpose of this paper is to evaluate the performance of BCC-ESM1 to simulate
90 aerosols and their optical properties in the 20th century. The description of BCC-ESM1 is
91 presented in Section 2. The experimental protocol is shown in Section 3. Section 4 presents
92 evaluations of aerosol simulations with comparisons to CMIP5-recommended data and data
93 from both global surface networks and satellite observations. The regional and global
94 characteristics compared to observations and estimates from other studies are analyzed.
95 Simulations of aerosol optical properties in the 20th century are also analyzed in Section 4.
96 Conclusions and discussions are summarized in Section 5. Information about code and data
97 availability is shown in Section 6.

98 **2. Model description**

99 BCC-ESM1 is a fully-coupled global climate-chemistry-aerosol model. The atmospheric
100 component is BCC Atmospheric General Circulation Model version 3 (Wu et al., 2019) with
101 interactive atmospheric chemistry (hereafter BCC-AGCM3-Chem). The oceanic component
102 is the Modular Ocean Model version 4 with 40 levels (hereafter MOM4-L40). The land
103 component is BCC Atmosphere and Vegetation Interaction Model version 2.0
104 (BCC-AVIM2.0) with terrestrial carbon cycle. The sea ice component is Sea Ice Simulator



105 (SIS). Different components of BCC-ESM1 interact with each other through fluxes of
106 momentum, energy, water, carbon and other tracers at their interfaces. The coupling between
107 the atmosphere and the ocean is done every hour. BCC-AGCM3-Chem is able to simulate
108 global atmospheric composition and aerosols with anthropogenic emissions as forcing. It is
109 developed on the basis of the recent version 3 of the Beijing Climate Center atmospheric
110 general circulation model (hereafter BCC-AGCM3, Wu et al., 2019). The horizontal
111 resolution of BCC-AGCM3-Chem is T42 (approximately $2.8125^\circ \times 2.8125^\circ$ transformed
112 spectral grid). The model has 26 levels in a hybrid sigma/pressure vertical coordinate system
113 with the top level at 2.914 hPa. The land component BCC-AVIM2.0 is described in details in
114 Li et al. (2019). It includes biophysical, physiological, and soil carbon-nitrogen dynamical
115 processes, and the terrestrial carbon cycle operates through a series of biochemical and
116 physiological processes on photosynthesis and respiration of vegetation. Biogenic emissions
117 from vegetation are computed online in BCC-AVIM2.0 following the algorithm of the Model
118 of Emissions of Gases and Aerosols from Nature version 2.1 (MEGAN2.1, Guenther et al.,
119 2012). Details of oceanic component MOM4-L40 and sea-ice component SIS that are used in
120 BCC-ESM1 may be found in Wu et al. (2013) and Wu et al. (2019). MOM4-L40 used a
121 tripolar grid of horizontal resolution with 1° longitude by $1/3^\circ$ latitude between 30°S and 30°N
122 ranged to 1° latitude at 60°S and 60°N and 40 z-levels in the vertical. Carbon exchange
123 between the atmosphere and the ocean are calculated online in MOM4-L40 using a
124 biogeochemistry module that is based on the protocols from the Ocean Carbon Cycle Model
125 Intercomparison Project–Phase 2 (OCMIP2, <http://www.ipsl.jussieu.fr/OCMIP/phase2/>). SIS
126 has the same horizontal resolution as MOM4-L40 and three layers in the vertical, including
127 one layer of snow cover and two layers of equally sized sea ice.

128 The BCC-AGCM3-Chem combines 66 gas-phase chemical species and 13 bulk aerosol
129 compounds as listed in Table 1. Apart from 2 gas-phase species of dimethyl sulfide (DMS)
130 and SO_2 , the other 63 gas-phase species are the same as those in the “standard version” of
131 MOZART2 (Model for Ozone and Related chemical Tracers, version 2), a global chemical
132 transport model for the troposphere developed by the National Center for Atmospheric
133 Research (NCAR) driven by meteorological fields from either climate models or assimilations
134 of meteorological observations (Horowitz et al., 2003). Advection of all tracers in



135 BCC-AGCM3-Chem is performed through a semi-Lagrangian scheme (Williamson and
136 Rasch, 1989), and vertical diffusion within the boundary layer follows the parameterization of
137 Holtslag and Boville (1993). The gas-phase chemistry of the 63 MOZART2 gas-phase species
138 as listed in Table 1 is treated in the same way as in the “standard version” of MOZART2
139 (Horowitz et al., 2003). There are 33 photolytic reactions and 135 chemical reactions
140 involving 30 dry deposited chemical species and 25 soluble gas-phase species. Their dry
141 depositions are calculated following the resistance-in-series approach originally described in
142 Wesely (1989). Dry deposition velocities for the 15 trace gases including O₃, CO, CH₄,
143 CH₂O, CH₃OOH, H₂O₂, NO₂, HNO₃, PAN, CH₃COCH₃, CH₃COOOH, CH₃CHO,
144 CH₃COCHO, NO, and HNO₄ are directly interpolated from MOZART2 climatological
145 monthly mean deposition velocities, and those for the other 15 species are determined using a
146 weighted-combination of deposition velocities of ozone, CO, or CH₃CHO. Wet removals by
147 in-cloud scavenging for 25 soluble gas-phase species in the “standard version” of MOZART2
148 use the parameterization of Giorgi and Chameides (1985) based on their temperature
149 dependent effective Henry’s law constants. In-cloud scavenging is proportional to the amount
150 of cloud condensate converted to precipitation, and the loss rate depends on the amount of
151 cloud water, the rate of precipitation formation, and the rate of tracer uptake by the liquid
152 phase water. Other highly soluble species such as HNO₃, H₂O₂, ONIT, ISOPOOH,
153 MACROOH, XOOH, and Pb-210 are also removed by below-cloud washout as calculated
154 using the formulation of Brasseur et al. (1998). Below-cloud scavenging is proportional to the
155 precipitation flux in each layer and the loss rate depends on the precipitation rate. Vertical
156 transport of gas tracers and aerosols due to deep convection is not yet included in the present
157 version of BCC-AGCM3-Chem.

158 In the following sub-sections, we will describe the treatments in BCC-ESM1 for 2
159 gas-phase species of DMS and SO₂, 13 prognostic aerosol species including sulfate (SO₄²⁻), 2
160 types of organic carbon (hydrophobic OC1, hydrophilic OC2), 2 types of black carbon
161 (hydrophobic BC1, hydrophilic BC2), 4 categories of soil dust (DST01, DST02, DST03,
162 DST04), and 4 categories of sea salt (SSLT01, SSLT02, SSLT03, SSLT04). Concentrations
163 of all aerosols in BCC-ESM1 are mainly determined by advective transport, emission, dry
164 deposition, gravitational settling, and wet scavenging by clouds and precipitation, except for



165 SO_4^{2-} which gas-phase chemical reactions and aqueous phase conversion from SO_2 are also
166 considered. The present version of aerosols belongs to a bulk aerosol type of model, and the
167 nucleation and coagulation of aerosols are still ignored in the present version of
168 BCC-AGCM3-Chem of BCC-ESM1.

169 **2.1 SO_2 , DMS, and Sulfate**

170 SO_2 is a main sulfuric acid precursor to form aerosol sulfate SO_4^{2-} . Conversions of SO_2
171 to SO_4^{2-} occur by gas phase reactions (Table 2) and by aqueous phase reactions in cloud
172 droplets. The dry deposition velocity of SO_2 follows the resistance-in-series approach of
173 Wesely (1989) using the formula, $W_{\text{SO}_2} = 1/(r_a + r_c)$, in which r_a and r_c are the
174 aerodynamic resistance and the surface canopy layer resistance, respectively. The loss rate of
175 SO_2 due to wet deposition is computed following the scheme in the global Community
176 Atmosphere Model (CAM) version 4, the atmospheric component of the Community Earth
177 System Model (Lamarque, et al., 2012).

178 The sources of SO_2 mainly come from fuel combustion, industrial activities, and
179 volcanoes. SO_2 can also be formed from the oxidation of DMS as listed in Table 2. The main
180 source of DMS is from oceanic emissions via biogenic processes. It is prescribed using the
181 MOZART2 data package originated from the International Global Atmospheric
182 Chemistry/Global Emissions Inventory Activity (IGAC/GEIA, Benkovitz et al., 1996).

183 SO_4^{2-} is one of the prognostic aerosols in BCC-AGCM3-Chem. It is produced primarily
184 by the gas-phase oxidation of SO_2 (in Table 2) and by aqueous phase oxidation of SO_2 in
185 cloud droplets. The gas phase reactions, rate constants, and gas-aqueous equilibrium constants
186 are given by Tie et al. (2001). The heterogeneous reactions of SO_4^{2-} occur on all aerosol
187 surfaces. Their treatment follows a Bulk Aerosol Model (BAM) used in CAM4 (Neale et al.,
188 2010). The heterogeneous reactions depend strongly on pH values in clouds which are
189 calculated from the concentrations of SO_2 , HNO_3 , H_2O_2 , NH_3 , O_3 , HO_2 , and SO_4^{2-} . Only NH_3
190 is not a prognostic tracer in BCC-AGCM3-Chem and it is estimated using the assumption of
191 an NH_3 to SO_4^{2-} molar ratio of 2.0. SO_4^{2-} is assumed to be all in aqueous phase, although
192 Wang et al. (2008a) showed that ~34% of sulfate particles are in solid phase globally due to
193 the hysteresis effect of ammonium sulfate phase transition. However, in terms of radiative
194 forcing, consideration of solid sulfate formation process lowers the sulfate forcing by ~8% as



195 compared to consideration of all sulfate particles in aqueous phase (Wang et al., 2008b).
196 Future model development may consider the life cycle of NH_3 . The sulfate in- and
197 below-cloud scavenging follows Neu and Prather (2011). Washout of SO_4^{2-} is set to 20% of
198 the washout rate of HNO_3 following Tie et al. (2005) and Horowitz (2006). Its dry deposition
199 velocity of SO_4^{2-} is also calculated by the resistance-in-series approach.

200 **2.2 Aerosols of organic carbon and black carbon**

201 BCC-AGCM3-Chem treats two types of organic carbon (OC), i.e. water-insoluble tracer
202 OC1 and water-soluble tracer OC2, and two types of black carbon (BC), i.e. water-insoluble
203 tracer BC1 and water-soluble tracer BC2. As shown in Table 2, hydrophobic BC1 and OC1
204 can be converted to hydrophilic BC2 and OC2 with a constant rate of $7.1 \times 10^{-6} \text{ s}^{-1}$ (Cooke and
205 Wilson, 1996). The 4 tracers of organic carbon and black carbon are mainly from emissions
206 of anthropogenic activities including both fossil fuel and biomass burning, and are from the
207 CMIP6 data package (<https://esgf-node.llnl.gov/search/input4mips/>). Beside anthropogenic
208 emissions, hydrophilic organic carbon OC2 can also come directly from natural biogenic
209 volatile organic compound (VOC) emissions. They are calculated online in the land
210 component model BCC-AVIM2 and assumed to equal to 10% of monoterpenes emission
211 following the algorithm of Guenther et al. (1999).

212 Dry deposition velocities for all the 4 OC and BC tracers are set to $0.001 \text{ m} \cdot \text{s}^{-1}$. OC2 and
213 BC2 are soluble aerosols, and their sinks are primarily governed by wet deposition. Their in-
214 and below-cloud scavenging follows the scheme of Neu and Prather (2011) and the transfer of
215 soluble gases into liquid condensate is calculated with Henry's Law assuming equilibrium
216 between the gas and liquid phases.

217 **2.3 Sea salt aerosols**

218 As shown in Table 3, sea salt aerosols in the model are classified into four size bins (0.2–
219 1.0, 1.0–3.0, 3.0–10, and 10–20 μm) in diameter. They originate from oceans and are
220 calculated online by BCC-ESM1. The upward flux $F_{\text{sea-salt}}$ of sea salt productions for four
221 bins is proportional to the 3.41 power of the wind speed u_{10m} at 10 m height near the sea
222 surface (Mahowald et al., 2006) and is expressed as

$$223 \quad F_{\text{sea-salt}} = S \cdot (u_{10m})^{3.41}, \quad (1)$$

224 where S is a scaling factor prescribed for each bin of sea salt aerosol.



225 Dry deposition of sea salts depends on the turbulent deposition velocity in the lowest
226 atmospheric layer using aerodynamic resistance and the friction velocity, and the settling
227 velocity through the whole atmospheric column for each bin of sea salts. The turbulent
228 deposition velocity and settling velocity depend on particle diameter and density (listed in
229 Table 3). In addition, the fact that the size of sea salts changes with humidity is also
230 considered. The wet deposition of sea salts follows the scheme for soluble aerosols used in
231 CAM4, and depends on prescribed solubility and size-independent scavenging coefficients.

232 **2.4 Dust aerosols**

233 Dust aerosols behave in a similar way as sea salts. Their variations involve three major
234 processes: emission, advective transport, and wet/dry depositions. The dust emission is based
235 on a saltation-sandblasting process, and depends on wind friction velocity, soil moisture, and
236 vegetation/snow cover (Zender et al., 2003). The vertical flux of dust emission is corrected by
237 a surface erodible factor at each model grid cell which has been downloaded from NCAR
238 website (<https://ncar.ucar.edu/>). Soil erodibility is prescribed by a physically-based
239 geomorphic index that is proportional to the runoff area upstream of each source region
240 (Albani et al., 2014). Like sea salts, dry deposition includes gravitational and turbulent
241 deposition processes, while wet deposition results from both convective and large scale
242 precipitation and is dependent on prescribed size-independent scavenging coefficients.

243 **2.5 Effects of aerosols on radiation, cloud, and precipitation**

244 The mass mixing ratios of bulk aerosols are prognostic variables in BCC-ESM1 and
245 directly affect the shortwave radiative transfer in the atmosphere with their treatments
246 following the NCAR Community Atmosphere Model (CAM3, Collins et al., 2004). Indirect
247 effects of aerosols are taken into account in the present version of BCC-AGCM3-Chem (Wu
248 et al., 2019). Aerosol particles act as cloud condensation nuclei and exert influence on cloud
249 properties and the hydrological cycle, and ultimately impact precipitation. The liquid cloud
250 droplet number concentration is an important factor in determining the effective radius of
251 cloud droplets for radiative calculation and in calculating the precipitation efficiency. As
252 described for BCC-AGCM3 in Wu et al. (2019), it is parameterized as an empirical function
253 of cloud water content and bulk aerosol mass concentration (Boucher and Lohmann, 1995;
254 Quaas et al., 2006).



255 **3. Experiment design for the 20th century climate simulation**

256 To assess the ability of our model to simulate aerosols (mean and variability), we have
257 followed the historical simulation designed by AeroChemMIP. The AeroChemMIP historical
258 case is a simulation with emissions evolving from 1850 to 2014 and with specified GHG
259 concentrations of CH₄, N₂O, and CO₂ following the protocols defined by CMIP6. Other
260 historical forcing data include: (1) monthly zonally-mean CFC11 and CFC12 concentrations,
261 (2) yearly global gridded land-use forcing data sets, and (3) solar forcing. All these datasets
262 were downloaded from <https://esgf-node.llnl.gov/search/input4mips/>. The principal GHGs for
263 radiation calculation in BCC-AGCM-Chem1 include H₂O, O₃, CH₄, N₂O, CO₂, CFC11 and
264 CFC12. Only one GHG, O₃, is a prognostic variable at each model step and interacts with
265 radiations.

266 **3.1 Surface emissions**

267 Surface emissions of chemical species from different sources are summarized in Table 4.
268 They include anthropogenic emissions from fossil fuel burning and other industrial activities,
269 biomass burning (including vegetation fires, fuel wood and agricultural burning), biogenic
270 emissions from vegetation and soils, and oceanic emissions. Most historical emissions from
271 anthropogenic source (surface, airplane plus ships) and biomass burning in the period of 1850
272 to 2014 are CMIP6-recommended data (Hoesly et al., 2017; available at
273 <https://esgf-node.llnl.gov/search/input4mips/>). Anthropogenic or biomass burning sources of
274 some tracers not included in CMIP6 data are from the standard package of MOZART-2 or the
275 IPCC ACCMIP emission inventory (<http://accent.aero.jussieu.fr/ACCMIP.php>) covering the
276 period from 1850 to 2010 in 10-year time intervals. CMIP6-recommended emissions of black
277 carbon and organic carbon aerosols assume 80% in hydrophobic form and 20% in hydrophilic
278 form following Chin et al. (2002).

279 Seven tracers in Table 1 belong to biogenic volatile organic carbons (VOCs), i.e. ISOP,
280 ACET (CH₃COCH₃), C₂H₄, C₂H₆, C₃H₁₀, Terpenes (C₁₀H₁₆), and OC₂. As shown in Table 4,
281 those VOCs emissions are directly online calculated in BCC-ESM1 following the modeling
282 framework of the Model of Emissions of Gases and Aerosols from Nature version 2.1
283 (MEGAN2.1, Guenther et al., 2012) using simple mechanistic algorithms to account for major
284 known processes controlling biogenic emissions. The MEGAN emissions depend on current



285 and past surface air temperature and solar flux, and their calculation requires global maps of
286 plant functional type (PFT) and leaf area index (LAI) which is a prognostic variable from the
287 land model BCC-AVIM2.

288 **3.2 Volcano eruption, lightning and aircraft emissions**

289 Emissions of stratospheric SO₂ from volcanic eruption from 1850 to 2014 are prescribed
290 using the CMIP6-recommended data, although recent studies based on satellite observation of
291 SO₂ in 2006-2012 have revealed that this emission data may have a factor of 2-4 high bias in
292 average (Ge et al., 2016). Aircraft emissions are provided for NO₂, CO, CH₄, and SO₂ (Table
293 1). The emissions of NO from lightning are online calculated in BCC-AGCM3-Chem
294 following the parameterization in MOZART2. The lightning frequency depends strongly on
295 the convective cloud top height, and the ratio of cloud-to-cloud versus cloud-to-ground
296 lightning depends on the cold cloud thickness from 0°C to the cloud top (Price and Rind,
297 1992).

298 **3.3 Upper boundary of the atmosphere**

299 As no stratospheric chemistry is included in the present version of BCC-AGCM3-Chem,
300 it is necessary to ensure a proper distribution of chemically-active stratospheric species
301 including O₃, CH₄, N₂O, NO, NO₂, HNO₃, CO, and N₂O₅. Concentrations of those tracers at
302 the top two layers of the model are set to prescribed climatological values, and with a 10-day
303 time scale down to the tropopause. Climatological values of NO, NO₂, HNO₃, CO and N₂O₅
304 at the top two model levels use MOZART2 data package and are based on Study of Transport
305 and Chemical Reactions in the Stratosphere (STARS, Brasseur et al., 1997). Concentrations
306 of ozone, CH₄, and N₂O at the top two model levels are the zonally and monthly values
307 derived from the CMIP6 data package.

308 **3.4 The preindustrial model states**

309 The preindustrial state of BCC-ESM1 is obtained from a piControl simulation of over
310 600 years in which all forcings including emissions data are fixed at 1850 AD conditions. The
311 initial state of the piControl simulation itself is obtained through individual spin-up runs of
312 each component of BCC-ESM1 in order for the piControl simulation to run stably and fast to
313 reach its equilibrium. Figures 1(a-b) show the time series of surface air temperature and the
314 net energy budget at top of the model (TOM) from the piControl simulation for the last 450



315 years. It shows that the surface climate in BCC-ESM1 nearly reaches its equilibrium after 600
316 years piControl simulation. The whole system in BCC-CSM1 fluctuates around $+0.7 \text{ W m}^{-2}$
317 net energy flux at TOM without obvious trend in 600 years (Fig. 1b), and the global mean
318 surface air temperature shows only a small warming (Fig. 1a). During the last 450 years, there
319 are ($\pm 0.2 \text{ K}$ amplitude) oscillations of centennial scale for the whole globe, which are
320 certainly caused by internal variation of the system.

321 Figures 2a-2c show the time series of global annual total masses of SO_2 , DMS, and OH
322 in the troposphere (integrated from the surface to 100 hPa) in the last 450 years of the
323 piControl simulation. Without any anthropogenic source, the SO_2 amount in the troposphere
324 nearly varies around $0.0868 \pm 0.002 \text{ Tg}$ in the 450 years of the piControl simulation.
325 Tropospheric DMS varies around the value of $0.116 \pm 0.002 \text{ Tg}$. Tropospheric OH, as an
326 important gas species oxidizing SO_2 to form SO_4^{2-} (Table 2), keeps at a stable level in the
327 atmosphere. SO_4^{2-} also remains at a stable level of $0.556 \pm 0.004 \text{ Tg}$ in the atmosphere in the
328 whole period of the piControl simulation. Without any anthropogenic source, the amounts of
329 BC and OC in the troposphere vary around $0.0395 \pm 0.005 \text{ Tg}$ and $0.275 \pm 0.005 \text{ Tg}$,
330 respectively. Dust and sea salt aerosols are at the level of $22 \pm 1 \text{ Tg}$ and $11.7 \pm 1 \text{ Tg}$,
331 respectively. All those data are close to the CMIP5 recommended concentrations in year 1850
332 ($0.604 \text{ Tg SO}_4^{2-}$, 0.046 Tg BC , 0.30 Tg OC , 22.18 Tg dust , and $11.73 \text{ Tg sea salts}$).

333 Figure 3 shows the global spatial distributions of annual mean sulfate, organic carbon,
334 black carbon, dust, and sea salt aerosols in the whole atmospheric column averaged for the
335 last 100 years of the piControl simulation of BCC-ESM. We can compare them with CMIP5
336 recommended concentrations in year 1850, considered as the reference state in the
337 pre-industrial stage. Without any industrial and fossil fuel anthropogenic emissions of SO_2 ,
338 the SO_4^{2-} is mainly distributed over the tropical Pacific and Atlantic Oceans. There are several
339 centers of high values of black carbon and organic carbon in East and South Asia, Europe,
340 Southeast America, and in the tropical rain forests in Africa and South America. They mainly
341 result from biomass burning including vegetation fires, fuel wood and agricultural burning.
342 Dust aerosols are mainly distributed in North Africa, Central Asia, North China, and Australia,
343 where arid and semi-arid areas locate. Dust emitted from Sahara Desert can be transported to
344 the tropical Atlantic by easterly wind. The sea salt aerosols are mainly distributed over the



345 mid-latitude Southern Oceans, the tropical southern Indian Ocean, and the tropical northern
346 Pacific Ocean, where wind speeds near the sea surface are strong. As shown in Fig. 3, all the
347 spatial distribution patterns of CMIP5-derived sulfate, black carbon, organic carbon, dust, and
348 sea salt aerosols are well simulated in BCC-ESM1, and there are high spatial correlation
349 coefficients, 0.76 for sulfate, 0.77 for black carbon, 0.77 for organic carbon, 0.94 for dust, and
350 0.94 for sea salts, between CMIP5 data and BCC-ESM1 simulations.

351 **4. Evaluation of aerosols simulation in the 20th century**

352 **4.1 Global aerosols trends**

353 Figure 4(a)-(c) show the time series of global total emissions of SO₂, OC, BC to the
354 atmosphere from natural and anthropogenic sources. Emissions of SO₂ are largely related to
355 industrial production. From 1850 to 1915, SO₂ emissions increased year by year as the
356 Industrial Revolution intensified and expanded. But from 1915 to 1945, the increase trend of
357 SO₂ emissions evidently became slower as broke out the First and the Second World Wars.
358 After that period, with growing industrial productions, SO₂ emissions increased again and
359 reached a maximum around the end of 1970s. During the 1980s and 2000s, with a substantial
360 decrease of SO₂ emissions in Europe and the United States, the global SO₂ emissions has been
361 decreasing since the 1980s despite the rapid increase of SO₂ emissions in South and East Asia
362 as well as in developing countries in the Southern Hemisphere in recent years (Liu et al.,
363 2009). The OC and BC emissions substantially increased since 1950s just after the Second
364 World War. The global total OC emission in 2010 was nearly twice as much as that in
365 pre-industrial (year 1850) and increased by 18 Tg yr⁻¹. Anthropogenic black carbon emissions
366 increased from 1 Tg yr⁻¹ in 1850 to nearly 8 Tg yr⁻¹ in 2010.

367 Anthropogenic SO₂, OC and BC emissions strongly affect the variations of atmospheric
368 concentrations DMS, SO₂, sulfate, OC, and BC. As shown in Figure 5b-5f, the annual total
369 aerosol masses of SO₄²⁻, OC, and BC in the whole atmosphere column as simulated by the
370 BCC-ESM 20th century historical simulation are generally consistent with the
371 CMIP5-recommended aerosols masses. Due to increasing SO₂ emissions from 1850 to present
372 day (Fig. 4), the global SO₂ burden in the atmosphere increased from 100 Tg in 1850s to 200
373 Tg in 1980s (Fig. 5a), and has a high interannual correlation coefficient of 0.996 with the
374 anthropogenic emissions (Fig. 4a). DMS in the atmosphere is oxidized by OH and NO₃ to



375 form SO₂ (Table 2). Its natural emissions from oceans from 1850 to 2010 in the model are the
376 climatological monthly means from NCAR data package. As shown in Fig 5a, the global
377 amount of DMS in the whole atmosphere was about 0.12 Tg during 1850-1900 and decreased
378 to 0.055 Tg in 2010. This decrease trend possibly results from the prescribed emissions have
379 not year-to-year variations and the loss of DMS oxidation to produce SO₂ gradually exceeds
380 the source (Table 5) as the rate of DMS oxidation reaction (Table 2) gets large along with
381 global warming in the 20th century. Largely driven by SO₂ anthropogenic emissions, the
382 sulfate burden shows three different stages from 1850 to present. In the first period from
383 1850s to 1900s, the sulfate burden had a weak linear increase. It increased significantly in the
384 second stage from 1910's to 1940's, and then exploded since 1950's, until the middle 1970s
385 and earlier 1980s. The sulfate burden then remained nearly stable and even showed slightly
386 decreases as seen from the CMIP5 data. The trends of global BC and OC burdens are similar
387 to that of sulfate, but they showed continuous increases from 1950 to present.

388 The dust and sea salt aerosols in the atmosphere are largely determined by the
389 atmospheric circulations and states of the land and ocean surface. We can see that the global
390 dust burden in the atmosphere showed a large interannual variability and was slightly
391 enhanced from 1950 to 2000, which could be partly caused by global warming and increasing
392 soil dryness resulting in more surface dust to be released in the atmosphere.

393 **4.2 Global aerosols budgets**

394 We further evaluate global aerosols budgets by comparing a 10-year average of
395 BCC-ESM results from 1990 to 2000 with various observational data for sulfate, BC, OC, sea
396 salt, and dust. Their annual total emissions, average atmospheric mass loading, and mean
397 lifetimes are listed in Tables 5 and 6. It is worth emphasizing that the global mean total source
398 and sink for each type of aerosols in BCC-ESM1 are almost balanced.

399 The global DMS emission from the ocean is 27.4 Tg S yr⁻¹ in BCC-ESM. It is higher
400 than the value reported in Liu et al (2005), largely due to stronger wind speed near the sea
401 surface. This high emission in BCC-ESM is nearly balanced by the gas-phase oxidation of
402 DMS to form SO₂. The DMS burden is 0.06 Tg S with a lifetime of 0.78 days, which is within
403 the range of other models reported in the literature. As shown in Table 5, the total SO₂
404 production averaged for the period of 1991 to 2000 is 76.93 Tg S yr⁻¹. A rate of 13.2 Tg S yr⁻¹



405 (about 17%) is produced from the DMS oxidation, only 0.1 Tg S yr⁻¹ from air traffic, and the
406 rest (63.63 Tg S yr⁻¹, near 82.7%) from anthropogenic activities. Here the emissions of SO₂
407 from volcanic eruption are not included. The amount of SO₂ produced from the DMS
408 oxidation is in the range of other works (10.0 to 24.7 Tg S yr⁻¹) reported in Liu et al (2005).
409 All the SO₂ production is balanced by SO₂ losses by dry and wet deposition, and by gas- and
410 aqueous-phase oxidation. Half of its loss (38.74 Tg S yr⁻¹) occurs via its aqueous-phase
411 oxidation to form sulfate. Other losses through dry and wet depositions and gas-phase
412 oxidation to form SO₄²⁻ are also important (Table 2). All the sinks are in the range from the
413 literature (Liu et al., 2005). The global burden of SO₂ in the atmosphere is 0.24 Tg S with a
414 lifetime of 1.12 days, consistent with values in literature (Liu et al., 2005).

415 Sulfate aerosol is mainly produced from aqueous-phase SO₂ oxidation (38.73 Tg S yr⁻¹)
416 and partly from gaseous phase oxidation of SO₂ (10.32 Tg S yr⁻¹), and is largely lost by wet
417 scavenging (49.06 Tg S yr⁻¹). The total SO₄²⁻ production in BCC-ESM is at the lower range
418 of values in other models reported in Textor et al. (2006). Its global burden is 0.63 Tg S and
419 the lifetime is 4.69 days, which are within the range of 0.57 to 0.66 Tg S and 3.72 to 5.4 days
420 in the literatures (Textor et al., 2006; Liu et al., 2012; Liu et al., 2009; the value derived from
421 CMIP5 data).

422 Sources of BC and OC are mainly from anthropogenic emissions. Based on the CMIP6
423 data, there are, on average, 7.22 Tg yr⁻¹ BC and 45.2 Tg yr⁻¹ OC from fossil and bio-fuel
424 emissions during the period of 1991 to 2000. Most of them are scavenged through convective
425 and large-scale rainfall processes. The rest returns to the surface by dry deposition. The
426 simulated BC and OC lifetimes are 6.6 and 5.0 days, respectively. The simulated global BC
427 and OC burdens are 0.13 and 0.62 Tg, respectively (Table 6), all close to values of 0.114 Tg
428 BC and 0.69 Tg OC derived from the CMIP5 data.

429 The annual total dust emission in BCC-ESM1 is 2592 Tg yr⁻¹, higher than AeroCom
430 multi-model mean (1840 Tg yr⁻¹, Textor et al., 2006), but comparable to other studies (Chin
431 et al., 2002; Liu et al., 2012; Emmons et al., 2010). The average dust loading is 22.93 Tg,
432 lower than the value of 35.9 Tg in Ginoux et al. (2001) but slightly higher than the CMIP5
433 data. The average lifetime for dust particles is 3.23 days that is shorter than the AeroCom
434 mean (4.14 days). The simulated sea salt emission is 4667.2 Tg yr⁻¹, slightly lower than the



435 simulated value in Liu et al. (2012), and substantially lower than the AeroCom mean (16600
436 Tg yr^{-1} , Textor et al., 2006).

437 **4.3 Global aerosol distributions at present day**

438 Figures 6-10 show December-January-February (DJF) and June-July-August (JJA) mean
439 column mass concentrations of sulfate (SO_4^{2-}), OC, BC, Dust, and Sea Salt aerosols averaged
440 for the period of 1991-2000, respectively. Here, BCC-ESM1 simulated results are compared
441 with the CMIP5-recommended data for the same period. Unlike the pre-industrial level of
442 sulfate shown in Fig. 2, sulfate concentrations at present day (Fig. 6) are strongly influenced
443 by anthropogenic emissions, and have maximum concentrations in the industrial regions (e.g.,
444 East Asia, Europe, and North America). Their seasonal variations are distinct and are
445 characterized by high concentrations in boreal summer and low levels in winter. These spatial
446 distributions simulated by BCC-ESM1 are well consistent with the CMIP5 data, with spatial
447 correlation coefficients in DJF and JJA reaching 0.92 and 0.83, respectively.

448 Unlike sulfate whose maximum concentrations are mainly distributed between 60°N
449 and the equator, peaking concentrations of BC and OC as shown in Figs. 7 and 8 are located
450 near the tropics in the biomass burning regions (e.g., the maritime continent, Central Africa,
451 South America), and their seasonal variations from DJF to JJA are evidently weaker than
452 those of sulfate except in South America. In boreal summer, there are centers of high values
453 in the industrial regions in the Northern Hemisphere mid-latitudes (i.e., East Asia, South Asia,
454 Europe, and North America). These main features of spatial and seasonal variations in CMIP5
455 data are well captured by BCC-ESM1, and the BCC-ESM1 vs. CMIP5 spatial correlation
456 coefficients are 0.90 (OC in DJF), 0.91 (BC in DJF), 0.91 (OC in JJA) and 0.92 (BC in JJA).

457 As show in Figure 9, dust concentrations in the atmosphere show largest values over
458 strong source regions such as Northern Africa, Southwest and Central Asia, and Australia,
459 and over their outflow regions such as the Atlantic and the western Pacific. In DJF, the
460 CMIP5 data shows centers of high concentrations over East Asia and Central North America,
461 but both centers are missing in BCC-ESM1. We think, however, that these two high-value
462 centers in the CMIP5 data may not be true, since frozen soils in these areas in winter lead to
463 unfavorable conditions for soil erosion by wind. The spatial correlation coefficients between
464 CMIP5 and BCC-ESM1 remain high: 0.95 in JJA and 0.88 in DJF.



465 As shown in Figure 10, high sea salt concentrations are generally over the storm track
466 regions over the oceans, e.g., middle-latitudes in the Northern Oceans in DJF and the
467 Southern Ocean in JJA where wind speeds and thus sea salt emissions are higher. In addition,
468 there is a belt of high sea salt concentrations in the subtropics of both hemispheres where
469 precipitation scavenging is weak. Their spatial distributions in BCC-ESM1 are consistent with
470 the CMIP5 data with correlation coefficients of 0.92 in JJA and 0.90 in DJF.

471 Figure 11 shows vertical profiles of zonally-averaged annual mean concentrations of
472 sulfate, organic carbon, black carbon, dust, and sea salt aerosols in the period of 1991-2000.
473 Both BCC-ESM1 and CMIP5 results show that strong sulfur, OC, and BC emissions in the
474 industrial regions of the Northern Hemisphere mid-latitudes can rise upward and be
475 transported towards the North Pole in the middle to upper troposphere. Most of OC, BC, and
476 dust aerosols are confined below 500 hPa, while sulfate can be transported to higher altitudes.
477 Sea salt aerosols are mostly confined below 700 hPa, as the particles are large and favorable
478 for wet removal and gravitational settling towards the surface. It can be seen that BCC-ESM1
479 tends to simulate less upward transport of aerosols than the CMIP5 data, likely reflecting the
480 omission of deep convection transport of tracers in BCC-ESM1.

481 The CMIP5 data used here are mainly from model simulations. We need to further
482 evaluate the BCC-ESM1 model results with ground observations. Annual mean SO_4^{2-} , BC and
483 OC aerosol concentration observations from the Interagency Monitoring of Protected Visual
484 Environments (IMPROVE) sites over 1990-2005 in the United States
485 (<http://vista.cira.colostate.edu/IMPROVE/>) and from the European Monitoring and Evaluation
486 Programme (EMEP) (<http://www.emep.int>) sites over 1995-2005 are used. As shown in
487 Figure 12a and 12b, the BCC-ESM simulated sulfate concentrations are in general
488 comparable to the EMEP observations in Europe, but are systematically about $1 \mu\text{g m}^{-3}$ higher
489 than the U.S. IMPROVE observations. As for BC, there are large model biases at both
490 European and U.S. sites (Figs. 12c and 12d), especially BCC-ESM overestimates BC
491 concentrations at the IMPROVE sites. The simulated OC concentrations are slightly
492 overestimated for IMPROVE sites but systematically underestimated for EMEP sites. These
493 comparisons are overall fairly reasonable considering the uncertainties in emissions and the
494 coarse model resolution.



495 **4.4 Aerosol Optical Properties**

496 Aerosol optical depth is an indicator of the reduction in incoming solar radiation (at a
497 particular wavelength) due to scattering and absorption of sunlight by aerosols. In this study,
498 we calculate the aerosol optical depth (AOD) at 550 nm for all aerosols including sulfate, BC,
499 Organic Carbon, sea salt and dust as the product of aerosol dry mass concentrations and their
500 specific extinction coefficients. The total AOD is calculated by summing the AOD in each
501 model layer for each aerosol species using the assumption that they are externally mixed.

502 The aerosol optical depth (AOD) observations retrieved from MODIS and MISR are
503 used to evaluate the 1997-2003 averaged AOD in BCC-ESM. Figure 13 shows averages of
504 MISR and MODIS AOD with corresponding averages from BCC-ESM. The BCC-ESM1
505 simulated AOD generally captures the spatial distribution of MISR and MODIS retrievals.
506 The model overestimates AOD over East China. It also systematically underestimates the
507 MODIS observations in the Southern Hemisphere, but is closer to MISR observations.

508 Figure 14 compares the monthly AOD values at 550 nm from BCC-ESM with 1998–
509 2005 averaged monthly observations from AERONET (<http://aeronet.gsfc.nasa.gov>) at sites
510 in Europe, North America, East Asia, and South Asia. Model simulated monthly AOD
511 generally agrees with observations within a factor of 2 for most sites. BCC-ESM slightly
512 overestimates the AOD in European (43.4-55.4 °N and 7.6-27.6 °E) and North American
513 (43.4-55.4 °N and 7.6-27.6 °E) sites. In those regions, BCC-ESM also slightly overestimates
514 MODIS and MISR AOD observations (Fig. 13).

515 **5. Summary and discussions**

516 This paper presented a comprehensive evaluation of aerosols simulated in version 1 of
517 the Beijing Climate Center Earth System Model (BCC-ESM1) with the implementation of the
518 interactive atmospheric chemistry and aerosol based on the newly developed BCC-CSM2.
519 Global aerosols (including sulfate, organic carbon, black carbon, dust and sea salt) and major
520 greenhouse gases (e.g., O₃, CH₄, N₂O) in the atmosphere are interactively simulated when
521 anthropogenic emissions are provided to the model. Concentrations of all aerosols in
522 BCC-ESM1 are determined by the processes of advective transport, emission, gas-phase
523 chemical reactions, dry deposition, gravitational settling, and wet scavenging by clouds and
524 precipitation. The nucleation and coagulation of aerosols are ignored in the present version of



525 BCC-ESM1. Effects of aerosols on radiation, cloud, and precipitation are fully included.

526 We evaluated the performance of BCC-ESM1 in simulating aerosols and their optical
527 properties in the 20th century following the requirement of the Aerosol Chemistry Model
528 Intercomparison Project (AerChemMIP). The AeroChemMIP historical simulation uses
529 anthropogenic emissions evolving from 1850 to 2014 and prescribes GHG concentrations
530 (e.g., CH₄, N₂O, CO₂, CFC11 and CFC12) using CMIP6-recommended data. Both direct and
531 indirect effects of aerosols are considered in BCC-ESM1. Initial conditions of the
532 AeroChemMIP historical simulation are obtained from a 600-year piControl simulation in the
533 absence of anthropogenic emissions, which well captures the pre-industrial concentrations of
534 sulfate (SO₄²⁻), organic carbon (OC), black carbon (BC), dust, and sea salt aerosols and are
535 consistent with the CMIP5 recommended concentrations for the year 1850.

536 With the CMIP6 anthropogenic emissions of SO₂, OC, BC from 1850 to 2014 and their
537 natural emissions implemented in BCC-ESM1, the model simulated SO₄²⁻, BC, and OC
538 aerosols in the atmosphere are highly correlated with the CMIP5-recommended data. The
539 long-term trends of CMIP5 aerosols from 1850 to 2000 are also well simulated by
540 BCC-ESM1. Global budgets of aerosols were evaluated through comparisons of BCC-ESM1
541 results for 1990-2000 with various observational data at present day for sulfate, BC, OC, sea
542 salt, and dust. Their annual total emissions, global atmospheric mass loading, and mean
543 lifetimes are all within the range of values reported in relevant literatures.

544 Evaluations of the spatial and vertical distributions of BCC-ESM1 simulated present-day
545 sulfate (SO₄²⁻), OC, BC, Dust, and Sea Salt aerosol concentrations against the CMIP5 datasets
546 and in-situ measurements of surface networks (IMPROVE in the U.S. and EMEP in Europe)
547 indicate good agreement among them. The BCC-ESM1 simulates weaker upward transport of
548 aerosols from the surface to the middle and upper troposphere (with reference to
549 CMIP5-recommended data), likely reflecting a lack of deep convection transport of chemical
550 species in the present version of BCC-ESM1. The aerosol optical depth (AOD) at 550 nm for
551 all aerosols including sulfate, BC, OC, sea salt, and dust aerosols was further compared with
552 the satellite AOD observations retrieved from MODIS and MISR and surface AOD
553 observations from AERONET. The BCC-ESM1 model results are overall in good agreement
554 with these observations within a factor of 2. All these comparisons demonstrate the success of



555 the implementation of interactive aerosol chemistry in BCC-ESM1.

556 This work has only evaluated the ability of BCC-ESM1 to simulate aerosols. The
557 variations of aerosols especially for sulfate are related to other gaseous tracers such as OH
558 and NO₃ (Table 2), which are determined by the MOZART2 gaseous chemical scheme as
559 implemented in BCC-ESM1 and require further evaluation. How about the GHGs simulations
560 in the AeroChemMIP historical run? Can the global warming be reproduced? These questions
561 concerning feedbacks of prognostic aerosols on climate change especially global warming
562 also need to be explored in the future.

563 **6. Code and data availability**

564 Source codes of BCC-ESM1 model are freely available upon request addressed to
565 Tongwen Wu (twwu@cma.gov.cn). Model output of BCC CMIP6 AerChemMIP simulations
566 described in this paper is distributed through the Earth System Grid Federation (ESGF) and
567 freely accessible through the ESGF data portals after registration. Details about ESGF are
568 presented on the CMIP Panel website at
569 <http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip>.

570

571 **Author contributions**

572 Tongwen Wu led the BCC-ESM1 development. All other co-authors have contributions
573 to it. Fang Zhang and Jie Zhang designed the experiments and carried them out. Tongwen Wu,
574 Laurent Li, Lin Zhang, Xiaohong Liu, Aixue Hu, and Jun Wang wrote the final document
575 with contributions from all other authors.

576

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581

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775 Table 1. Chemical species considered in BCC-AGCM3-Chem. Species marked with star (*)
 776 denote those added in BCC-ESM1 apart from the 63 species used in MOZART2.
 777

| Species | Dry deposition | Wet deposition | Surface emission | Airplane emission | Volcanic emission |
|--|----------------|----------------|------------------|-------------------|-------------------|
| O ₃ | √ | | | | |
| N ₂ O | | | √ | | |
| N | | | | | |
| NO | √ | | √ | | |
| NO ₂ | √ | | | √ | |
| NO ₃ | | | | | |
| HNO ₃ | √ | √ | | | |
| HO ₂ NO ₂ | √ | √ | | | |
| N ₂ O ₅ | | | | | |
| CH ₄ | √ | | √ | √ | |
| CH ₃ O ₂ | | | | | |
| CH ₃ OOH | √ | √ | | | |
| CH ₂ O | √ | √ | √ | | |
| CO | √ | | √ | √ | |
| OH | | | | | |
| HO ₂ | | | | | |
| H ₂ O ₂ | √ | √ | | | |
| C ₃ H ₆ | | | √ | | |
| ISOP | | | √ | | |
| Gas tracers | | | | | |
| PO ₂ | | | | | |
| CH ₃ CHO | √ | √ | | | |
| POOH | √ | √ | | | |
| CH ₃ CO ₃ | | | | | |
| CH ₃ COOOH | √ | √ | | | |
| PAN | √ | | | | |
| ONIT | √ | √ | | | |
| C ₂ H ₆ | | | √ | | |
| C ₂ H ₄ | | | √ | | |
| C ₄ H ₁₀ | | | √ | | |
| MPAN | √ | | | | |
| ISOPO ₂ | | | | | |
| MVK | | √ | | | |
| MACR | | √ | | | |
| MACRO ₂ | | | | | |
| MACROOH | √ | √ | | | |
| MCO ₃ | | | | | |
| C ₂ H ₅ O ₂ | | | | | |
| C ₂ H ₅ OOH | √ | √ | | | |
| C ₁₀ H ₁₆ | | | √ | | |

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Table 1. Continued.

| Species name | Dry deposition | Wet deposition | Surface emission | Airplane emission | Volcanic emission |
|--|----------------|----------------|------------------|-------------------|-------------------|
| C ₃ H ₈ | | | | | |
| C ₃ H ₇ O ₂ | | | | | |
| C ₃ H ₇ OOH | √ | √ | | | |
| CH ₃ COCH ₃ | √ | | √ | | |
| ROOH | | √ | | | |
| CH ₃ OH | √ | √ | √ | | |
| C ₂ H ₅ OH | √ | √ | | | |
| GLYALD | √ | √ | | | |
| HYAC | √ | √ | | | |
| EO ₂ | | | | | |
| EO | | | | | |
| HYDRALD | √ | √ | | | |
| Gas tracers | | | | | |
| RO ₂ | | | | | |
| CH ₃ COCHO | √ | √ | | | |
| Rn-222 | | | | | |
| Pb-210 | √ | √ | | | |
| ISOPNO ₃ | | √ | | | |
| ONITR | √ | √ | | | |
| XO ₂ | | | | | |
| XOOH | √ | √ | | | |
| ISOPOOH | √ | √ | | | |
| H ₂ | √ | | | √ | |
| Stratospheri | √ | | | | |
| Inert O ₃ | √ | | | | |
| SO ₂ * | √ | √ | √ | √ | √ |
| DMS* | | | √ | | |
| Aerosols | | | | | |
| SO ₄ ²⁻ * | √ | √ | | | |
| OC1* | √ | √ | √ | | |
| OC2* | √ | √ | √ | | |
| BC1* | √ | √ | √ | | |
| BC2* | √ | √ | √ | | |
| SSLT01* | √ | √ | | | |
| SSLT02* | √ | √ | | | |
| SSLT03* | √ | √ | | | |
| SSLT04* | √ | √ | | | |
| DST01* | √ | √ | | | |
| DST02* | √ | √ | | | |
| DST03* | √ | √ | | | |
| DST04* | √ | √ | | | |

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783 Table 2. Gas-phase chemical reactions for bulk aerosols precursors. The reaction rates (s^{-1})
784 refer to Tie et al. (2001) and Sander et al. (2003), Chin et al. (1996), and Cooke and Wilson
785 (1996). Temperature (T) is expressed in K, air density (M) in molecule cm^{-3} , k_i and k_o in cm^3
786 molecule $^{-1} s^{-1}$.

787

| Chemical reactions | Rate |
|--|--|
| $SO_2 + OH \rightarrow SO_4$ | $k_o / (1 + k_o * M / k_i) * f^{**} (1 / (1 + \log_{10}(k_o * M / k_i)))$, in which $k_o = 3.0E-31 * (300/T)^{**3.3}$; $k_i = 1.E-12$; $f = 0.6$ |
| $DMS + OH \rightarrow SO_2$ | $9.60E-12 * \exp(-234./T)$ |
| $DMS + OH \rightarrow .5 * SO_2 + .5 * HO_2$ | $1.7E-42 * \exp(7810/T) * M^{*0.21} / (1 + 5.5E-31 * \exp(7460/T) * M^{*0.21})$ |
| $DMS + NO_3 \rightarrow SO_2 + HNO_3$ | $1.90E-13 * \exp(-520/T)$ |
| $BC1 \rightarrow BC2$ | $7.10E-06$ |
| $OC1 \rightarrow OC2$ | $7.10E-06$ |

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Table 3. Size and density parameters of bulk aerosols.

| Aerosols | Species Name | Mean radius (μm) / bin size (μm) | Geometric standard deviation (μm) | Density (g cm^{-3}) |
|----------|----------------------------|---|---|-----------------------------------|
| SO4 | Sulfate | 0.05 | 2.03 | 1.77 |
| BC1 | hydrophobic black carbon | 0.02 | 2.00 | 1.0 |
| BC2 | hydrophilic black carbon | 0.02 | 2.00 | 1.0 |
| OC1 | hydrophobic organic carbon | 0.03 | 2.24 | 1.8 |
| OC2 | hydrophilic organic carbon | 0.03 | 2.24 | 1.8 |
| DST01 | Dust | 0.55 / bin: 0.1-1.0 | 2.00 | 2.5 |
| DST02 | Dust | 1.75 / bin: 1.0-2.5 | 2.00 | 2.5 |
| DST03 | Dust | 3.75 / bin: 2.5-5.0 | 2.00 | 2.5 |
| DST04 | Dust | 7.50 / bin: 5.0-10. | 2.00 | 2.5 |
| SSLT01 | Sea salt | 0.52 / bin: 0.2-1.0 | 2.00 | 2.2 |
| SSLT02 | Sea salt | 2.38 / bin: 1.0-3.0 | 2.00 | 2.2 |
| SSLT03 | Sea salt | 4.86 / bin: 3.0-10. | 2.00 | 2.2 |
| SSLT04 | Sea salt | 15.14 / bin: 10.-20. | 2.00 | 2.2 |

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Table 4. Source of surface emission data. MOZART2 data denote the standard tropospheric chemistry package for MOZART contains surface emissions from the EDGAR 2.0 data base (Olivier et al., 1996). ACCMIP data are downloaded from the IPCC ACCMIP emission inventory (<http://accent.aero.jussieu.fr/ACCMIP.php>) and they vary from 1850 to 2000, in 10-year steps (Lamarque et al., 2010). CMIP6 data are from <https://esgf-node.llnl.gov/search/input4mips/>. Anthropogenic emission includes Industrial and fossil fuel use, agriculture, ships, and etc. Biomass burning includes vegetation fires incl. fuel wood and agricultural burning.

| Species | Anthropogenic emission | Biomass burning | Biogenic emissions from vegetation | Biogenic emissions from soil | Oceanic emissions |
|-----------------|------------------------|-----------------|------------------------------------|------------------------------|-------------------|
| C2H4 | CMIP6 | CMIP6 | On-line computation | | MOZART2 |
| C2H5OH | CMIP6 | CMIP6 | | | |
| C2H6 | CMIP6 | CMIP6 | ACCMIP | | MOZART2 |
| C3H6 | CMIP6 | CMIP6 | On-line computation | | MOZART2 |
| C3H8 | CMIP6 | CMIP6 | ACCMIP | | MOZART2 |
| C4H10 | CMIP6 | ACCMIP | MOZART2 | | MOZART2 |
| CH2O | CMIP6 | CMIP6 | | | |
| CH3CHO | ACCMIP | CMIP6 | | | |
| CH3COCHO | | CMIP6 | | | |
| CH3OH | ACCMIP | CMIP6 | ACCMIP | | |
| CH4 | CMIP6 | CMIP6 | MOZART2 | MOZART2 | MOZART2 |
| CO | CMIP6 | CMIP6 | ACCMIP | MOZART2 | ACCMIP |
| H2 | ACCMIP | CMIP6 | | MOZART2 | |
| N2O | MOZART2 | CMIP6 | | MOZART2 | MOZART2 |
| NH3 | CMIP6 | CMIP6 | | | |
| NO | CMIP6 | CMIP6 | | ACCMIP | |
| SO ₂ | CMIP6 | CMIP6 | | | |
| DMS | | | | | ACCMIP |
| OC1 | CMIP6 | CMIP6 | | | |
| OC2 | CMIP6 | CMIP6 | On-line computation | | |
| BC1 | CMIP6 | CMIP6 | | | |
| BC2 | CMIP6 | CMIP6 | | | |
| ACET | ACCMIP | ACCMIP | On-line computation | | MOZART2 |
| ISOP | | ACCMIP | On-line computation | | |
| Terpenes | | CMIP6 | On-line computation | | |



Table 5. Global budgets for DMS, SO₂, and sulfate in the period of 1991 to 2000. Units are sources and sinks, Tg S yr⁻¹; burden, Tg S; lifetime, days.

| | | BCC-ESM (1991-2000 mean) | Other studies and CMIP5 data |
|-------------------------------|---|-----------------------------|---|
| DMS | Sources | 27.4 | |
| | Emission | 27.4 | 10.7-23.7 ^a |
| | Sinks | 28.0 | |
| | Gas-phase oxidation | 28.0 | 10.7-23.7 ^a |
| | Burden | 0.06 | 0.02-0.15 ^a |
| | Lifetime | 0.78 | 0.5-3.0 ^a |
| SO ₂ | Sources | 76.93 | |
| | Emission at surface | 63.63 | |
| | Emission from airplane | 0.10 | |
| | DMS oxidation | 13.20 | 10.0-24.7 ^a |
| | Sinks | 76.96 | |
| | Dry deposition | 18.53 | 16.0-55.0 ^a |
| | Wet deposition | 9.36 | 0.0-19.9 ^a |
| | Gas-phase oxidation | 10.33 | 6.1-16.8 ^a |
| | Aqueous-phase oxidation | 38.74 | 24.5-57.8 ^a |
| | Burden | 0.24 | 0.20-0.61 ^a |
| Lifetime | 1.12 | 0.6-2.6 ^a | |
| SO ₄ ²⁻ | Sources | 49.05 | 59.67 ± 13.13 ^b |
| | Emission | 0.00 | |
| | SO ₂ aqueous-phase oxidation | 38.73 | |
| | SO ₂ gas-phase oxidation | 10.32 | |
| | Sinks | 49.06 | |
| | Dry deposition | 2.20 | 4.96-5.51 ^d |
| | Wet deposition | 46.86 | 39.34-40.20 ^d |
| | Burden | 0.63 | 0.66 ± 0.16 ^b , 0.57 ^c , 0.61 ^e |
| | Lifetime | 4.69 | 4.12 ± 0.74 ^b , 3.72-3.77 ^d 5.4 ^e |

Notes: References denote a, Liu et al. (2005); b, Textor et al., 2006; c. derived from CMIP5 prescribed aerosol masses averaged from 1991 to 2000; d. Liu et al. (2012); e. Liu et al. (2009).



Table 6. Same as Table 5, but for global budgets for black carbon, organic carbon, dust, and sea salts. Units are sources and sinks, Tg yr⁻¹; burden, Tg; lifetime, days.

| | | BCC-ESM (1991-2000 mean) | Other studies and CMIP5 data |
|----------------|------------------------------|-----------------------------|---|
| BC | Sources | 7.22 | |
| | Emission | 7.22 | |
| | Sinks | 7.24 | 7.75 ^d |
| | Dry deposition | 0.90 | |
| | Wet deposition | 6.34 | |
| | Burden | 0.13 | 0.114 ^c , 0.24 ± 0.1 ^a |
| | Lifetime | 6.60 | 7.12 ± 2.35 ^d , 5.8 ^e |
| OC | Sources | 45.20 | |
| | Fossil and bio-fuel emission | 45.20 | |
| | Sinks | 45.22 | 50.1 ^d |
| | Dry deposition | 3.41 | |
| | Wet deposition | 41.81 | |
| | Burden | 0.62 | 0.69 ^c , 1.70 ± 0.45 ^a |
| | Lifetime | 5.00 | 4.56–4.90 ^d , 5.3 ^e , 6.54 ± 1.76 ^a |
| Dust | Sources | 2592.0 | 1840 ^b , 2943.5–3121.9 ^d |
| | Sinks | 2592.0 | |
| | Dry deposition | 1630.8 | |
| | Wet deposition | 961.2 | |
| | Burden | 22.93 | 20.41 ^c , 22.424.7 ^d , 35.9 ^f |
| | Lifetime | 3.23 | 2.61–3.07 ^d , 4.14 ± 1.78 ^a |
| | Sea Salt | Sources | 4667.2 |
| Sinks | | 4667.4 | |
| Dry deposition | | 2978.5 | |
| Wet deposition | | 1688.9 | |
| Burden | | 11.89 | 11.84 ^c , 7.58–10.37 ^a |
| Lifetime | | 0.93 | 0.55–0.76 ^d |

Notes: References denote a, Liu et al. (2005); b for Textor et al., 2006, c derived from CMIP5 prescribed aerosol masses averaged from 1991 to 2000, d for Liu et al. (2012), and e for Liu et al. (2009); Ginoux et al. (2001)

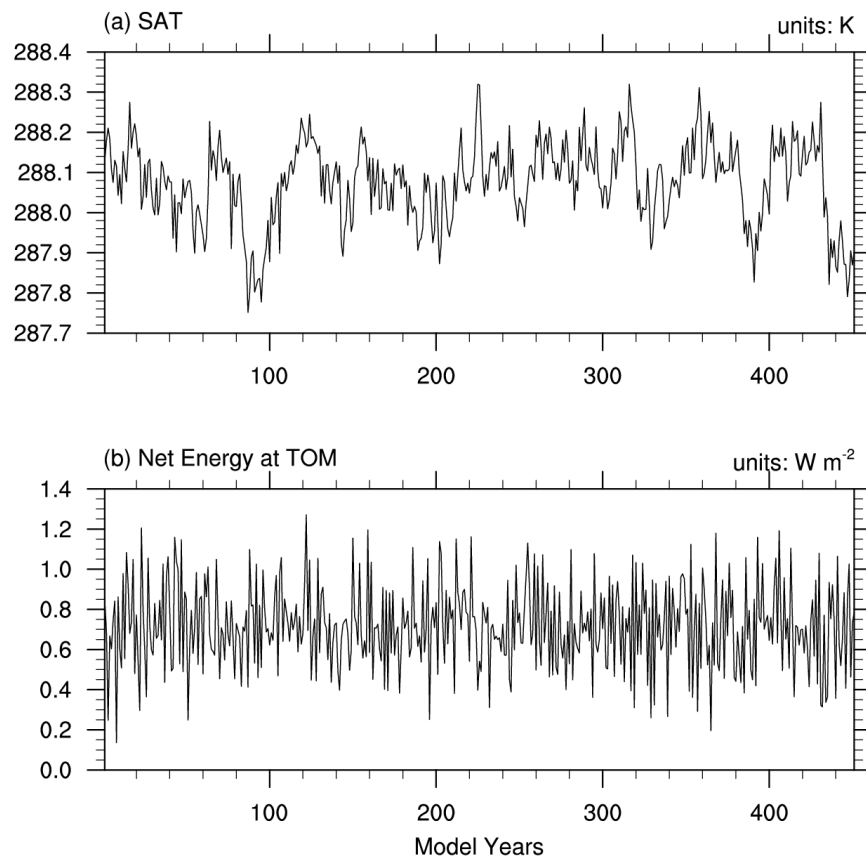


Figure 1. The time series of global and annual mean of (a) surface air temperature (K) and (b) net energy budget at top of the model ($\text{W} \cdot \text{m}^{-2}$) in the last 450 years of a piControl simulation.

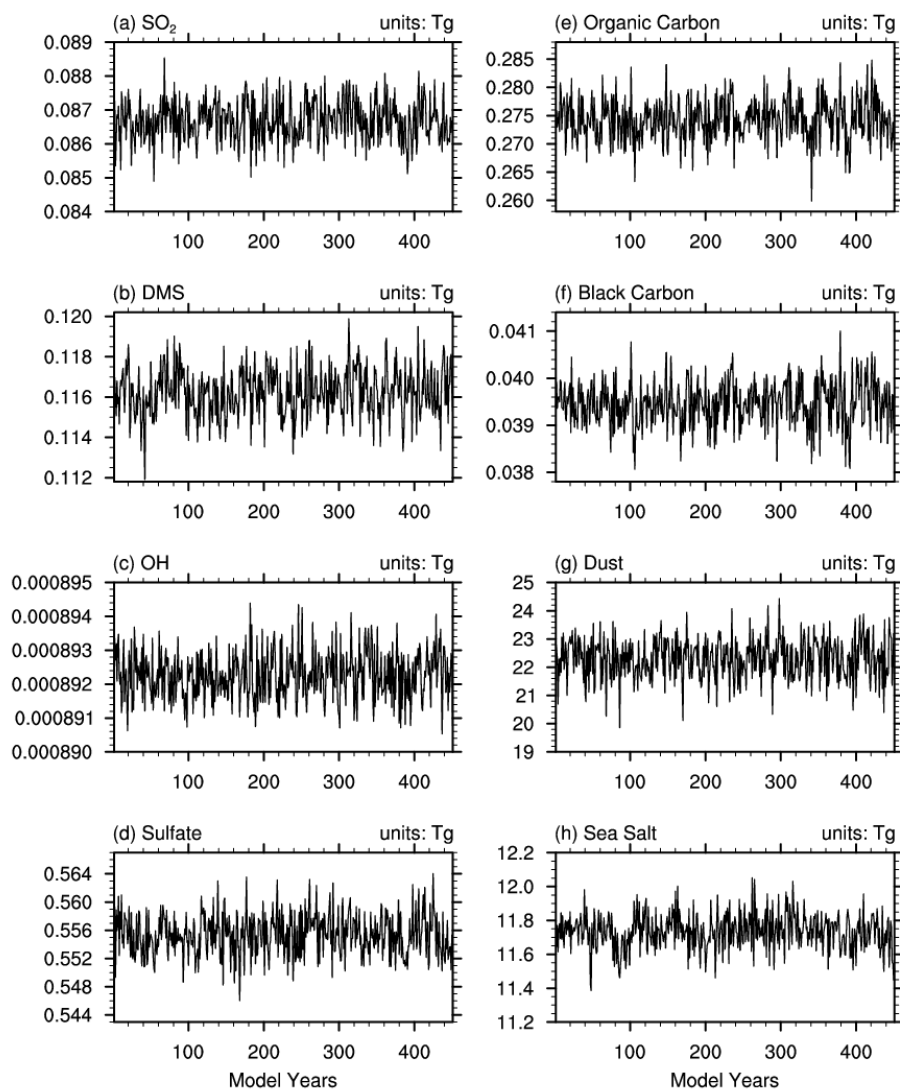


Figure 2. Same as in Figure 1, but for (a) SO₂, (b) DMS, (c) OH, and (d-h) different aerosols (in unit of Tg) in the troposphere (below 100 hPa).

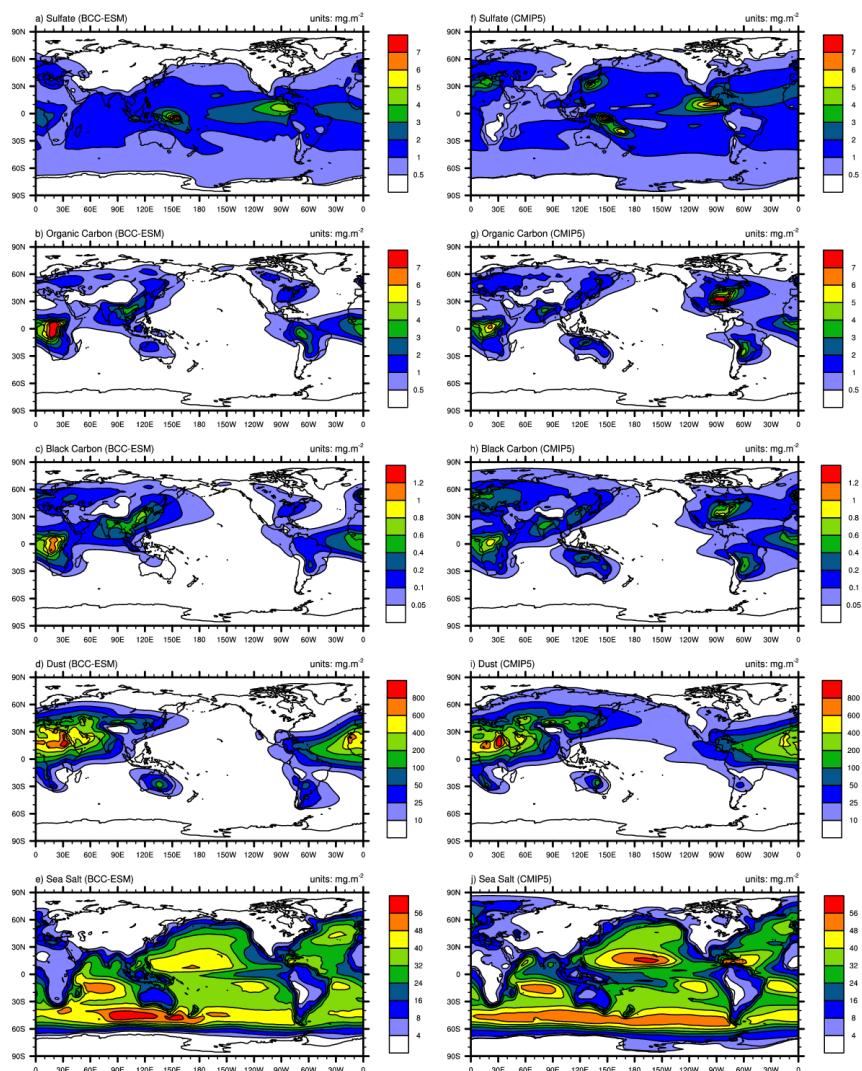


Figure 3. Global distributions of annual mean mass concentrations of sulfate (SO_4^{2-} ; first row), organic carbon (OC; second row), black carbon (BC; third row), dust (fourth row), and sea salt (fifth row) aerosols in the whole atmospheric column. The left panels show the mean averaged for the last 100 years of BCC-ESM pre-industrial piControl simulations, and the right panels show the CMIP5 recommended aerosol concentrations in year 1850 (the website at IIASA <http://tntcat.iiasa.ac.at/RepDb/>). Units: mg m^{-2} .

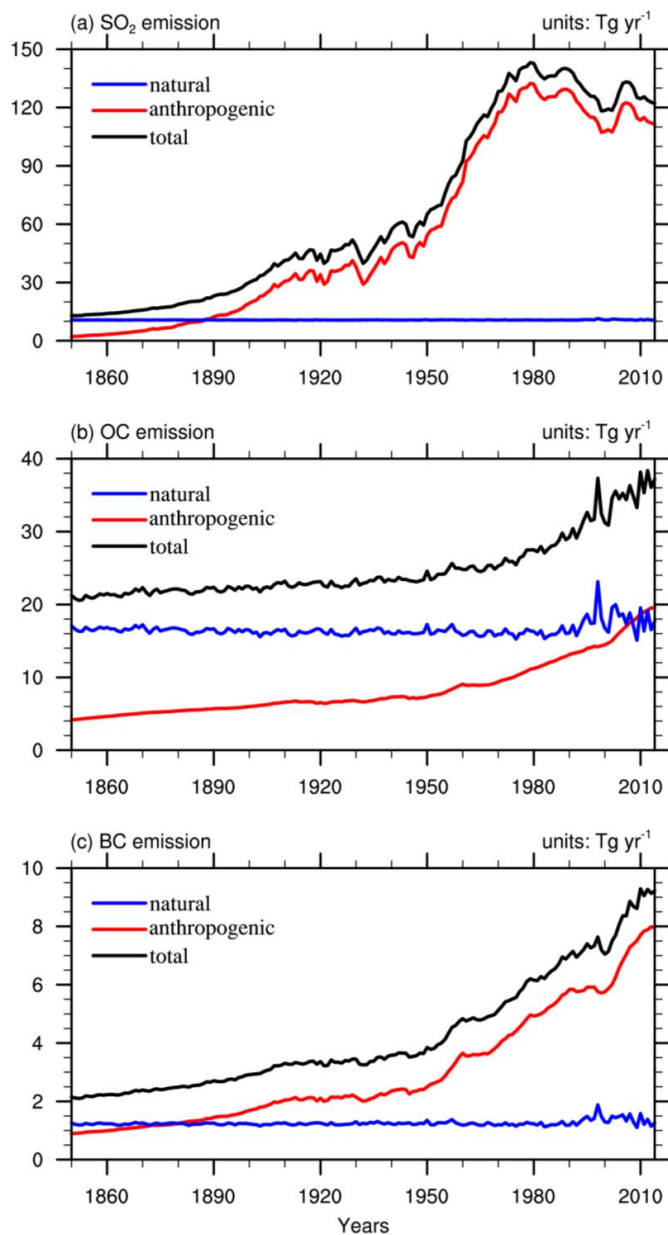


Figure 4. Global annual anthropogenic, natural, and total emissions of SO₂, organic carbon (OC), and black carbon (BC) in the BCC-ESM1 historical simulation. The units are Tg yr^{-1} .

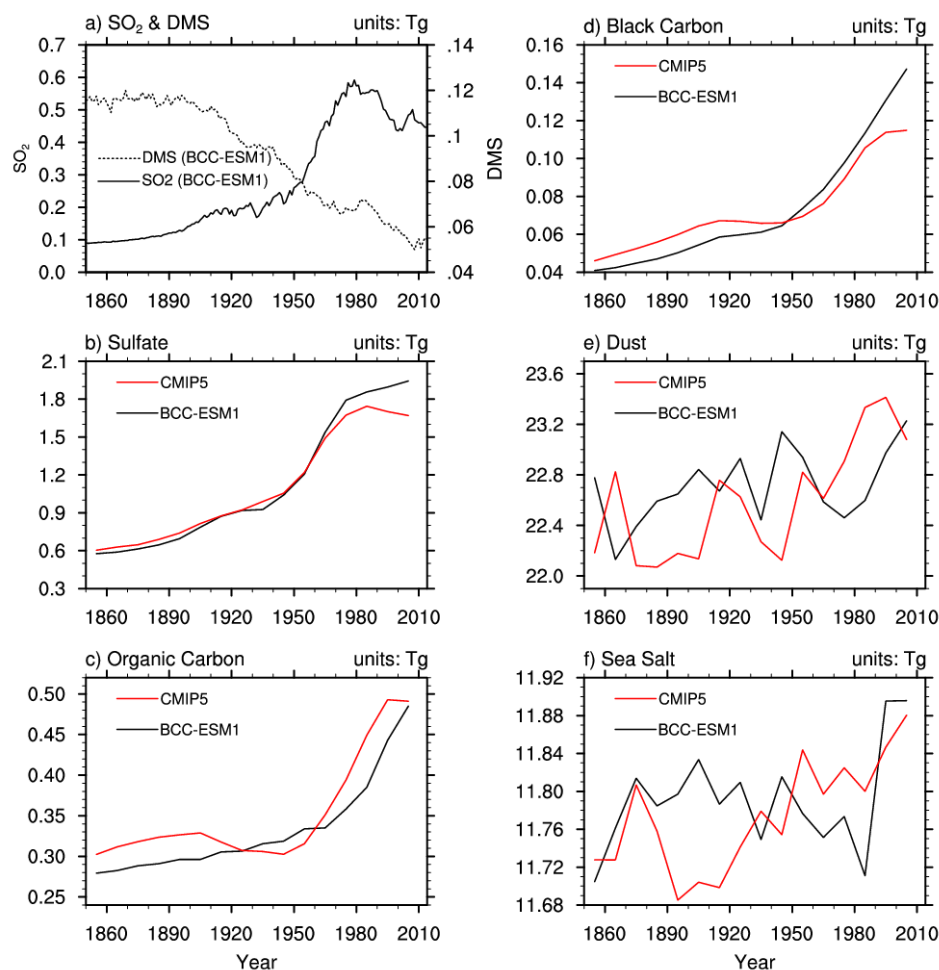


Figure 5. The time series of global annual amounts of (a) SO_2 and DMS and (b-f) aerosols in the whole atmosphere column from the 20th historical simulations of BCC-ESM1 (blue lines) and the CMIP5-recommended aerosols masses (red lines).

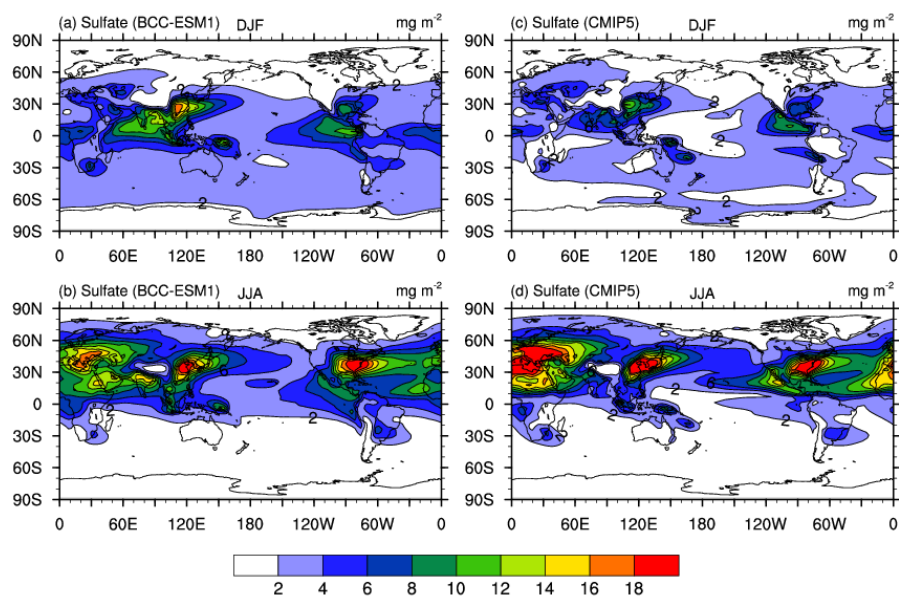


Figure 6. December-January-February (DJF; top panels) and June-July-August (JJA; bottom panels) mean sulfate (SO_4^{2-}) aerosol column mass concentrations averaged for the period of 1991-2000. Left panels show the historical simulations of BCC-ESM1, and right panels the CMIP5-recommended data. Units: mg m^{-2} .

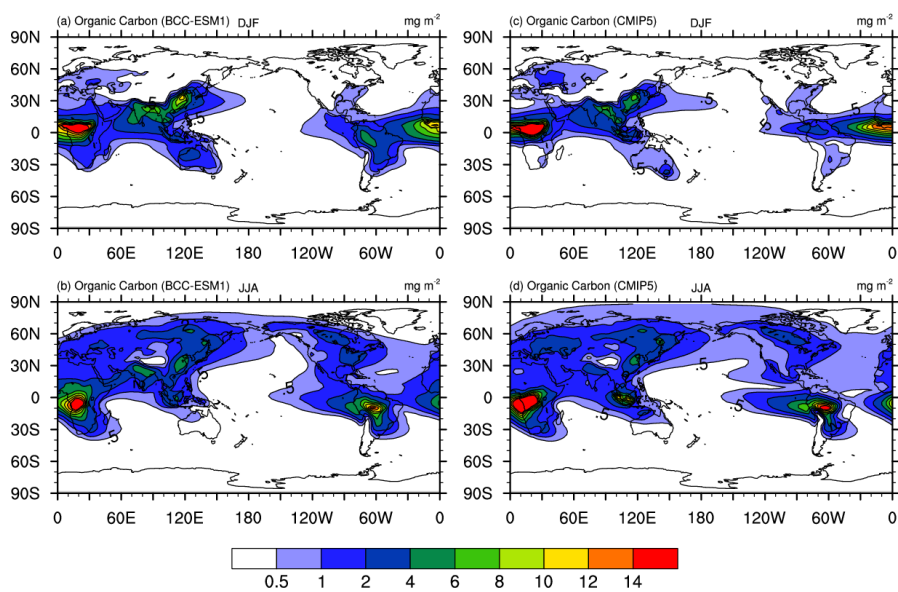


Figure 7. The same as in Figure 6, but for organic carbon (OC) aerosol column mass concentrations. Units: mg m^{-2} .

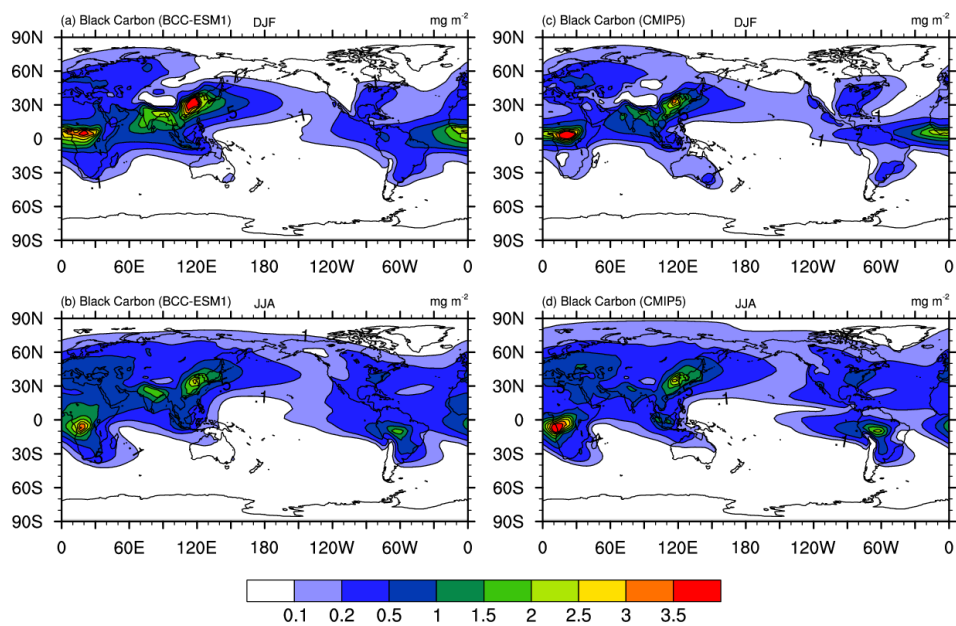


Figure 8. The same as in Figure 6, but for black carbon (BC) aerosol. Units: mg m^{-2} .

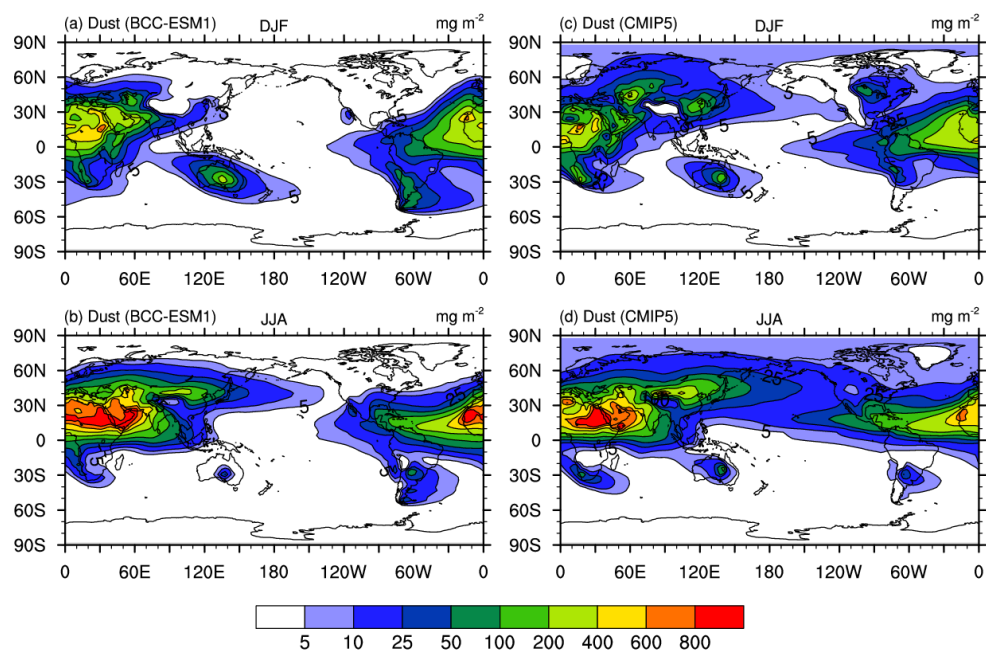


Figure 9. The same as in Figure 6, but for dust aerosol. Units: mg.m^{-2} .

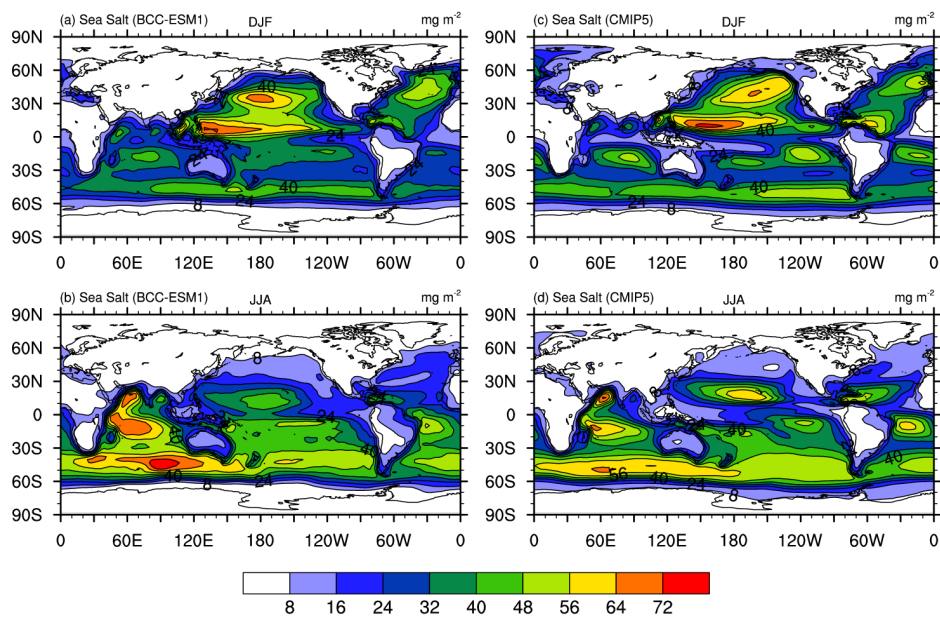


Figure 10. The same as in Figure 6, but for sea salt (SSLT) aerosol. Units: mg m^{-2} .

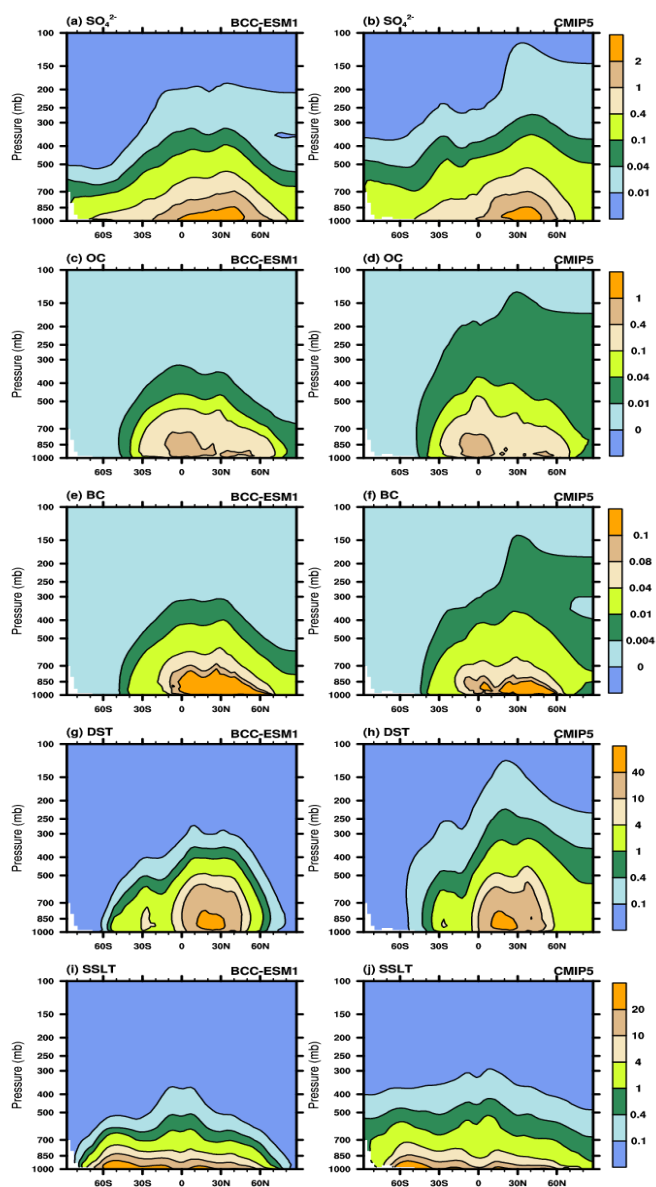


Figure 11. Latitude-pressure distributions of zonally-averaged annual mean sulfate, organic carbon, black carbon, dust, and sea salt aerosol concentrations for the period of 1991-2000. Left panels show the 20th century historical simulation of BCC-ESM1, and right panels the CMIP5 recommendation data. Units: $\mu\text{g m}^{-3}$.

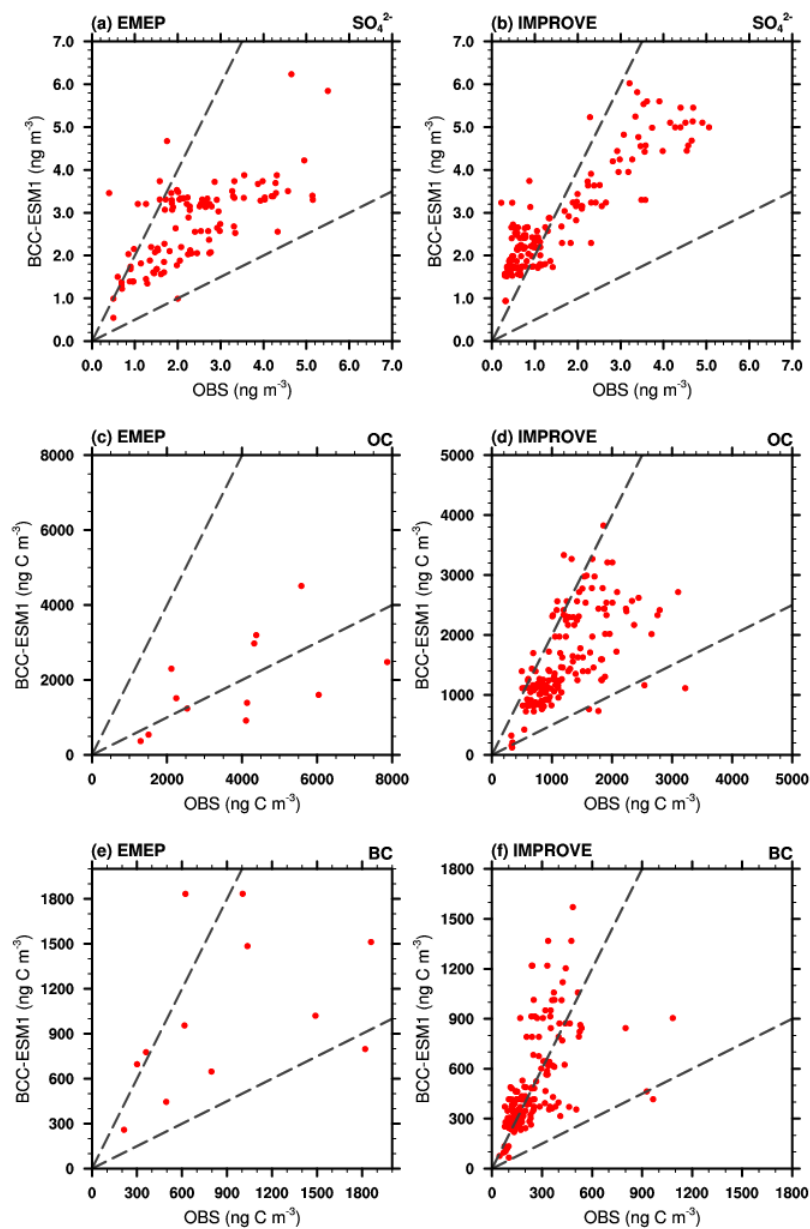


Figure 12. Scatter plots showing observed versus simulated annual mean sulfate (SO_4^{2-}), organic carbon (OC), black carbon (BC) mixing ratios at IMPROVE and EMEP network sites. Observations are averages over the available years 1990–2005 for IMPROVE sites, and 1995–2005 for EMEP sites. Simulated values are those at the lowest layer of BCC-ESM1.

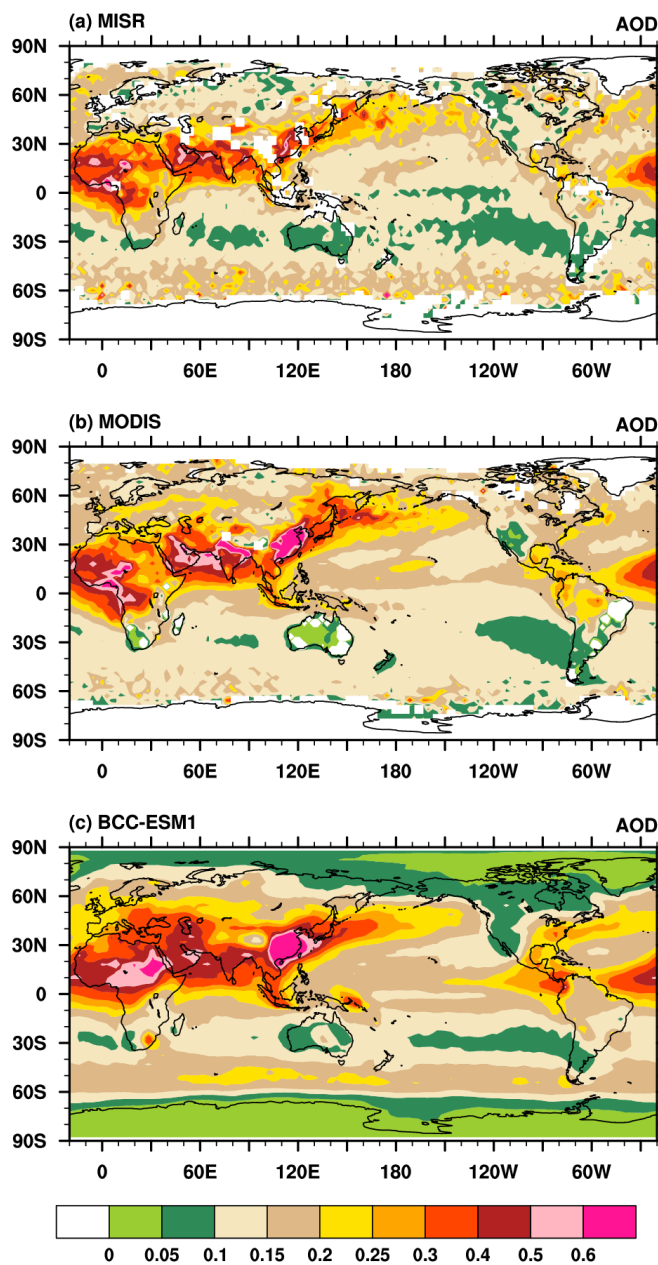


Figure 13. Global distribution of annual mean AOD simulated in BCC-ESM1 compared with the MISR and MODIS data for the year 2008.

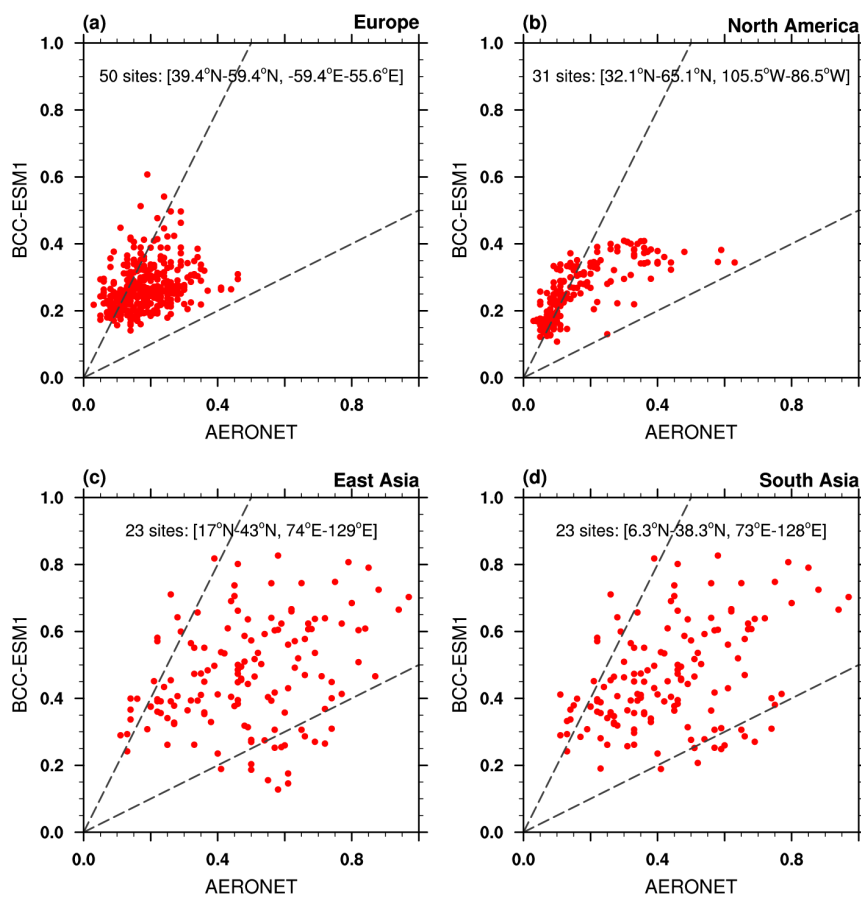


Figure 14. Scatter plots of observed versus simulated monthly mean AOD at AERONET sites in Europe, North America, East Asia, and South Asia. Each data point represents an available monthly mean AOD at a site and its corresponding model result over 1998-2005.