Simulation model of Reactive Nitrogen species in an urban atmosphere using a Deep neural network: RNDv1.0

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 Abstract. Nitrous acid (HONO), one of a reactive nitrogen oxide, plays an important role in the formation of ozone and fine aerosols in the urban atmosphere. In this study, a new simulation approach is presented to calculate the HONO mixing ratios using a deep neural technique based on measured variables. The "Reactive Nitrogen species simulation using deep neural network (RND)" is implemented in Python. The first version of RND (RNDv1.0) is trained, validated, and tested with HONO measurement data obtained in Seoul from 2016 to 2021. RNDv1.0 is constructed using k-fold cross validation and evaluated with index of agreement, correlation 22 coefficient, root mean squared error, and mean absolute error. The results show that RNDv1.0 adequately represents the main characteristics of the measured HONO, and it is thus proposed as a supplementary model for calculating the HONO mixing ratio in a polluted urban environment.

1. Introduction

 Surface ozone (O3) pollution has worsened over continental areas (Arnell et al., 2019;Monks et al., 2015;Varotsos et al., 2013;IPCC, 2014). Particularly, a warmer climate is expected to increase the surface O³ concentrations and peak levels in polluted regions depending on its precursor levels (IPCC, 2021). As a short-lived climate pollutant (SLCP), O³ interacts with the global temperature via positive feedback (Shindell et al., 2013;Myhre et al., 2017;Stevenson et al., 2013). Therefore, accurate predictions of the mixing ratios and variations

 of the surface O³ are essential. While operational models such as the community multiscale air quality (CMAQ) have been widely used for this purpose, uncertainties still arise from poorly 36 understood chemical mechanisms involving reactive nitrogen oxides (NO_v) and volatile organic compounds (VOCs), and the lack of their measurements (Mallet and Sportisse, 2006;Canty et al., 2015;Akimoto et al., 2019;Shareef et al., 2019;Cheng et al., 2022).

39 In the urban atmosphere, NO_y typically includes $NO_x (NO + NO_2)$, HONO, HNO₃, 40 organic nitrates (e.g., PAN), NO_3 , N_2O_3 , and particulate NO_3^- . These species are produced and recycled through photochemical reactions until they are removed through wet or dry deposition (Liebmann et al., 2018;Brown et al., 2017;Wang et al., 2020;Li et al., 2020). NO^y plays an important role in critical environmental issues concerning the Earth's atmosphere from local air pollution to global climate change (Sun et al., 2011;Ge et al., 2019). The oxidation of NO to NO₂ and finally to HNO₃ is the backbone of the chemical mechanism producing ozone (O₃) 46 and PM_{2.5} (particulate matter with size ≤ 2.5 µm), and determines the oxidization capacity of 47 the atmosphere. Recently, as O_3 has still increased even with decreasing NO_x emissions over 48 many regions, including East Asia, interest in the heterogeneous reaction of NO_y , which is yet to be understood, has increased (Brown et al., 2017;Stadtler et al., 2018). Currently, the lack of 50 measurement of individual NO_v species is hindering a comprehensive understanding of the heterogeneous reactions (Anderson et al., 2014;Wang et al., 2017b;Chen et al., 2018b;Akimoto and Tanimoto, 2021;Stadtler et al., 2018).

 In particular, the evidence for the heterogeneous formation of HONO in relation to high PM2.5 and O³ occurrences in urban areas is increasing (e.g., (Li et al., 2021b)). As an OH 55 reservoir, HONO expedites the photochemical reactions involving VOCs and NO_x in the early 56 morning, leading to O_3 and fine aerosol formation. Nonetheless, its formation mechanism has not been elucidated sufficiently enough to be constrained in conventional photochemical models. In addition to the reaction of NO with OH (Bloss et al., 2021), various pathways of HONO formation have been suggested via laboratory experiments, field measurements, and model simulations: direct emissions from vehicles (Li et al., 2021a) and soil (Bao et al., 2022), 61 photolysis of particulate nitrate (Gen et al., 2022), and heterogeneous conversion of $NO₂$ on various aerosol surfaces (Jia et al., 2020), ground surface (Meng et al., 2022), and microlayers of the sea surface (Gu et al., 2022). Among these, the heterogeneous reaction mechanism on the surface is of major interest.

 HONO has been mostly measured during intensive campaigns in urban areas using various techniques, such as a long path absorption photometer (Kleffmann et al., 2006;Xue et al., 2019), chemical ionization mass spectrometry (Levy et al., 2014;Roberts et al., 2010), ion chromatography (VandenBoer et al., 2014;Gil et al., 2020;Ye et al., 2016;Xu et al., 2019), monitor for aerosols and gases in ambient air (MARGA) (Xu et al., 2019), and quantum cascade - tunable infrared laser differential absorption spectrometry (QC-TILDAS) (Lee et al., 2011;Gil et al., 2021). Among these methods, QC-TILDAS has served as a reference for the intercomparison of measurement data obtained using different techniques due to its high time resolution and stability (Pinto et al., 2014). Previous studies have reported that the maximum HONO of several ppb levels has been observed at nighttime. In comparison, the WRF-Chem and RACM2 model captured approximately 67 %–90 % of the observed HONO in megacities such as Beijing (Tie et al., 2013;Liu et al., 2019).

 In recent years, machine learning (ML) methods have been employed in the atmospheric science field for pattern classification (e.g., new particle formation event) and forecasting and spatiotemporal modeling of O³ and PM2.5 (Arcomano et al., 2021;Shahriar et al., 2020;Krishnamurthy et al., 2021;Cui and Wang, 2021;Joutsensaari et al., 2018;Chen et al., 2018a;Kang et al., 2021). Among the ML methods, the neural network (NN) architecture is widely used owing to its powerful ability to process large amounts of data, realizing performance improvement in comparison to the performance of conventional models through integration with physical equations (Reichstein et al., 2019;Schultz et al., 2021). As an NN 85 architecture, a multilayer artificial NN (ANN) that is denoted as a deep NN (DNN) employs a statistical method that learns nonlinear relations in data and yields the optimum solution for the 87 target species without prior information about the physicochemical processes. DNN is more beneficial than other NN architecture, such as convolution NN or long-short term memory, because it works well for discrete spatiotemporal data. Generally, the performance of DNN is similar to or better than that of other ML methods for small as well as large datasets (Baek and Jung, 2021;Dang et al., 2021;Sumathi and Pugalendhi, 2021).

 The DNN method requires lots of data to employ it as atmospheric chemical constituent estimation; therefore, the size of the measurement data is a limiting factor for trace species, such as HONO, that are not routinely measured. In this regard, previous studies had been attempted to estimate the daily average HONO mixing ratio by employing ensemble ML models

 with satellite measurements (Cui and Wang, 2021). Furthermore, a simple NN architecture using ground measurement variables that is believed to be deeply involved in HONO formation, was used to calculate the hourly HONO mixing ratio (Gil et al., 2021). The accuracy of the hourly HONO estimated from input variables, such as aerosol surface areas and mixed layer height, is rated better than the daily HONO estimate.

 This study aims to develop a user-friendly "reactive nitrogen species simulation using DNN' model (RNDv1.0) that estimates the HONO mixing ratios from the real-time measurements of criteria pollutants and meteorological variables. This study is the first to calculate the HONO mixing ratios using RNDv1.0. The entire construction process is comprehensively described, and the performance is evaluated via comparison with the results of simulations using a commonly used model and observations over several years.

2. Model description

 The RNDv1.0 development follows systematic steps that are similar to a general ML model construction workflow, including data collection, preprocessing data, building the DNN, training, and validating the model, and testing the model performance (Figure 1). RNDv1.0 is written in Python, and the libraries necessary to build and operate RNDv1.0 are listed in Table 1. The dataset used to train, test, and validate can be downloaded from Gil et al. (2021).

2.1. Collection of measurement data for model construction

 To construct RNDv1.0, measurement data were obtained, including HONO, reactive gases, and meteorological variables. Note that the HONO measurement data were used for model construction but not required to run the RND model. The HONO mixing ratio was 121 measured in Seoul using a QC-TILDAS system during May–June 2016, June 2018, and April– June 2019 (Lee et al., 2011;Gil et al., 2021), and a MARGA system during May–June 2021 and October–November 2021 (Gil, 2022). When testing and evaluating the atmospheric HONO measurement methods, QC-TILDAS was chosen as the reference method to compare the ambient HONO mixing ratios measured using several different techniques owing to its 126 advantages of low detection limits (~0.1 ppbv) and high temporal resolution (Pinto et al., 2014). More details on measurements can be found elsewhere (Gil et al., 2021;Gil, 2022).

128 HONO was measured at the Olympic Park (37.52° N, 127.12° E) during the Korea– United States Air Quality (KORUS-AQ) study in 2016 (Kim et al., 2020;Gil et al., 2021), at the campus of Korea University (37.59° N, 127.03° E) in 2018 and 2021, and at the site near the Korea University campus (37.59° N, 127.08° E) in 2019 (NIER, 2020) (Figure S1). In addition 132 to HONO, trace gases including O_3 , NO₂, CO, and SO₂ as well as meteorological variables including temperature (T), relative humidity (RH), wind speed (WS), and wind direction (WD) were measured. Note that HONO was not significantly correlated with any of these variables (Figure S2). The measurement statistics for the entire experimental periods are presented in 136 Table 2 and Table S1. In brief, the $10th$ and $90th$ percentile mixing ratios of hourly HONO, NO₂, 137 and O₃, were 0.3 and 2.0 ppbv, 10.0 and 47.0 ppbv, and 8.0 and 75.0 ppbv, respectively.

2.2. Data preprocessing

 The observation dataset was prepared for RNDv1.0 model construction. As input variables, hourly measurements of chemical and meteorological variables were used, including 143 the mixing ratios of O₃, NO₂, CO, and SO₂, along with T, RH, WS, WD, and solar zenith angle (SZA) to estimate the target species, HONO, as the output. The WD in degrees was converted to a cosine value for continuity. In the last step of data processing, hourly measurement sets were removed from the input data set if any of the nine variables were missing. Finally, 54.2 % 147 of all the available measurement data (2847) were used to construct and evaluate RNDv1.0.

 Since the measurements of the considered nine variables varied over a wide range in different units, they were normalized to avoid bias during the calculations. Among the widely used normalization methods, *min–max scaling* method was adopted, and the input variables were normalized against the minimum and maximum values herein (Eq. 1):

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$$
x_{sca} = \frac{x_{raw} - F_2(X)}{F_1(X)},
$$
 (Eq. 1)

155 where x_{raw} is the raw data, x_{sca} is the scaled value, and the scale factors of F₁ and F₂ correspond 156 to the maximum-minimum and minimum values of the input variable (X) , respectively, which are listed in Table 2.

2.3. Neural network architecture and hyperparameters

161 The network was built using the above input variables to calculate HONO. RNDv1.0 comprises five hidden layers (Figure 2), which employ an exponential linear unit (ELU) as an activation function (Eq. 2).

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$$
ELU: \phi(x) = \begin{cases} e^{x} - 1 (x < 0) \\ x (x \ge 0) \end{cases}
$$
 (Eq. 2)

 In a DNN, an activation function creates a nonlinear relationship between an input variable and an output variable. When constructing a DNN model, ELU affords the advantage of a fast training process and exhibits better performance in handling negative values than other activation functions (Wang et al., 2017a;Ding et al., 2018). Moreover, the mean squared error and Adam optimizer were applied as the loss function and optimization function, respectively. The learning rate, epoch, and batch were set as 0.01, 100, and 32, respectively.

2.4. Model training and k-fold cross validation

 RNDv1.0 was trained, validated, and tested with the HONO measurements obtained during May–June 2016 and June 2018, April–June 2019, and May–June 2021 and October– November 2021, respectively (Figure 3). The number of data used for the training and validation was 1122 and that for testing was 1725.

 Using the hyperparameters specified in the previous section, the model performance was first validated using the k-fold cross validation (KFCV) method, which is especially useful for small datasets (Bengio and Grandvalet, 2003). In the KFCV method (Figure 3), the entire 183 data are randomly divided into k subsets, of which $k - 1$ sets are used for training and the remaining one is used for validation. In this study, k was set to 5. The accuracy was determined via index of agreement (IOA), which is expressed as follows (Eq. 3):

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187
$$
IOA = 1 - \frac{\sum_{i=1}^{n} (O_i - P_i)^2}{\sum_{i=1}^{n} (|P_i - \overline{O}| + |O_i - \overline{O}|)^2}
$$
, (Eq. 3)

188

189 where O_i , P_i , \overline{O} , and n are the observed value, predicted value, average of the observed values, 190 and number of nodes, respectively.

 As IOA varies according to the number of nodes, it was calculated for the measured 192 (HONO_{obs}) and calculated (HONO_{mod}) mixing ratios by varying the number of nodes from 0 to 100 in each hidden layer. The best performance was obtained with 41 nodes, for which the 194 average IOA was 0.89 ± 0.01 (Figure 4). The high IOA value signifies that the performance of RNDv1.0 is adequate, and it is capable of simulating the ambient HONO mixing ratio using the routinely measured criteria pollutants and meteorological variables.

 The performance of RNDv1.0 was compared with that of other models, including CMAQv5.3.1 (Appel et al., 2021), random forest (RF), and single-layer ANN (Gil et al., 2021), using the 2016 measurement data. The RF model was constructed using the KFCV method and the same input variables as RNDv1.0 (Figure S4). Its performance was evaluated based on mean absolute error (MAE), root mean square deviation (RMSE), and Pearson correlation coefficient 202 (r) :

204 MAE =
$$
\frac{\sum_{i=1}^{n} |O_i - P_i|}{n}
$$
, (Eq. 4)
\n205 RMSE = $\sqrt[2]{\frac{\sum_{i=1}^{n} (O_i - P_i)^2}{n}}$, (Eq. 5)
\n206 $r = \frac{cov(O, P)}{\sigma_O \sigma_P}$, (Eq. 6)

208 where σ and $\cos \theta$ denote the standard deviation and covariance, respectively.

2.5. Model test

 RNDv1.0 and the RF model were tested using data obtained in June 2018, April 2019, and May–June 2021 and October–November in 2021, which were not used for RNDv1.0 training (Figure 3). Note that the RF model outperformed the other three models in the training and validation process (Figure 5). Although the performance of RNDv1.0 was slightly lower than that of the RF model, simulated and measured HONO mixing ratios were in good 225 agreement. Interestingly, the performance of the RF model was much worse than RNDv1.0 in the testing process (Figure 7). The IOA and correlation coefficient of the RF model were extremely low (0.29 and −0.02, respectively).

 The performance of RNDv1.0 was slightly lower than that of the RF model, but it well traced the HONO mixing ratio. Among the test dataset, the early winter (October–November) data are particularly valuable for demonstrating the applicability of RNDv1.0 because they stem from different weather conditions than the training dataset. For example, HONO mixing ratios reached over 4 ppbv when the daily average PM_{2.5} concentration increased to 120 μ g m⁻³ during severe haze pollution events. Therefore, in the next step, the performance of RNDv1.0 was compared for the two cases by dividing the testing dataset into a group in which all input variables fall within the range of the training dataset and a group which does not meet this

 criterion. In RNDv1.0, there was no significant difference in performance between the two groups (Figure S5 and Table S2). When the data in which at least one input variable does not fall within the range of the training dataset were excluded from the test dataset, no significant difference was observed in the performance of RNDv1.0 between the two that meet same atmospheric conditions or do not meet the criteria (Figure S5 and Table S2). These extreme atmospheric conditions can make the model performance be worsened. Except for these extremes, RNDv1.0 well traced the variation of the HONO mixing ratio. These results demonstrate the applicability of RNDv1.0, which is not strictly constrained by atmospheric conditions. The influence of input variable are further analyzed in the next section.

2.6. Bootstrap test and feature importance

 A simple bootstrapping test was conducted for both RNDv1.0 and the RF model to evaluate the relative importance of the input variable to the HONO estimates. In this analysis, each variable was set to zero and MAE was calculated as an evaluation metrics (Kleinert et al., 251 2021). Among the nine input variables of $RNDv1.0$, $NO₂$ was found to have the greatest influence on HONO concentration, followed by RH and T (Table 5). The highest MAE of 0.59 ppbv could be considered as the maximum uncertainty of RNDv1.0 due to the input variable. The bootstrap test result well agreed with that of our previous study (Gil et al., 2021), where more variables such as aerosol surface area and mixing layer height were incorporated into the model, it highlights the crucial role of precursor gases and heterogeneous conversion in HONO formation.

258 In contrast, in the RF model, O_3 was the most important variable. This is likely due to the 259 distinct inverse relationship between O_3 and HONO in the diurnal patterns, and the O_3 variations over a wide range. In conjunction with the evaluation of the test dataset presented in the previous section, the results of the feature importance for the two models demonstrate the ability of RNDv1.0 to simulate the HONO mixing ratio more adequately in urban areas compared to the RF model. Thus, it is reasonable to state that RNDv1.0 constructed using routinely measured criteria pollutants and meteorological variables can sufficiently capture the HONO variability in the urban atmosphere.

3. Operation and application of RNDv1.0

 The RNDv1.0 package is provided as an operational model, and the .h5 files that can be opened in Python. To run RNDv1.0, the measurement data for nine input variables are required and needed to be properly prepared, as described in Section 2.2. Once the input data are ready, open RNDv1.0 with the input data files using the code provided in the example 273 (Figure S3). Then, RNDv1.0 calculates and presents the HONO results as scaled values (x_{sca}) , which then can be converted to the HONO mixing ratio (ppbv) via the two scale factors shown in Table 2 (Eq. 5):

$$
1277 \qquad \text{HONO (ppbv)} = \text{HONO}_{\text{sca}} \times \text{F}_1(\text{HONO}) + \text{F}_2(\text{HONO}). \tag{5}
$$

 The HONO calculated using Eq. 5 can be applied to an urban photochemical cycle simulation. As is already known, the photolysis of HONO is a major source of OH radicals in 281 the early morning when the OH level is low, and this OH affects daytime O_3 formation through 282 photochemical reactions with VOCs and NO_x , which are primarily emitted during the morning rush hour in urban areas. Furthermore, the OH produced from HONO promotes the 284 photochemical oxidation of SO_2 and $VOCs$, leading to aerosol formation. However, the HONO 285 formation mechanism is still poorly understood, which hinders the accurate simulation of $O₃$ and fine aerosols as well as HONO in conventional photochemical models.

 The framework for 0-dimension atmospheric modeling (F0AM), which utilizes the MCM v3.3.1 chemical reaction mechanisms (Wolfe et al., 2016), can be used to simulate the 289 diurnal variation of O_3 with the measurements of several reactive gases (NO, NO₂, CO, HCHO, VOCs, and HONO). Detailed information about F0AM can be found in (https://sites.google.com/site/wolfegm/models) and in previous studies (Wolfe et al., 2016; Gil et al., 2020). When the F0AM model is run without HONO, it is unable to reproduce the 293 concentration and diurnal cycle of the observed O_3 (Figure 8). In comparison, the model well 294 simulates the O_3 within 2 ppbv when HONO is considered, which is the result of RND v1.0.

 This is mainly due to the missing OH produced by HONO photolysis in the early morning. Its 296 production rate is estimated to be 0.57 pptv s⁻¹, contributing approximately 2.28 pptv to the OH budget during 06:00–11:00 (Local Sun Time) (Gil et al., 2021). Given that OH is mainly 298 produced from the photolysis of O_3 under high sun, the early morning supply of OH from 299 HONO photolysis will expedite the photochemical cycle involving NO_x and VOCs, promoting O³ and secondary aerosol formation. The presence of HONO in the photochemical model allows for the accurate estimation of OH radicals; thus, the incorporation of RNDv1.0 into conventional models will improve their overall performance.

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4. Summary and implications

306 In this study, we developed the RND model to calculate the mixing ratio of NO_v in urban atmosphere using a DNN along with measurement data. The target species of RNDv1.0 308 is HONO, and its mixing ratio is calculated using criteria pollutants, including O_3 , NO₂, CO, and SO2, as well as meteorological variables, including T, RH, WS, WD, and SZA. These variables are routinely measured through monitoring networks. RNDv1.0 was trained and validated using the HONO measurements data obtained in Seoul by adopting a KFCV method and tested with other HONO datasets. The test results demonstrate that RNDv1.0 adequately captures the characteristic variation of HONO.

 RNDv1.0 was constructed using the measurements made in a high NO_x environment where the maximum NO² reached about 80 ppbv. During the measurement period, the HONO mixing ratio was increased up to about 7 ppb under the influence of air masses originating from China. When applying RNDv1.0 to regions or times heavily affected by transport, the model could possibly underestimate the HONO level without more detailed information, such as nanoparticles. Indeed, a previous study showed that HONO formation is closely related to the surface areas of submicron particles (Gil et al., 2021). Nevertheless, RNDv1.0 is advantageously a relatively inexpensive test for measurement quality control and location selection, and it supports the data used for traditional chemistry models based on the current knowledge of the urban photochemical cycle. Therefore, RNDv1.0 can serve as a supplementary tool for conventional forecasting models. Attempts are currently being made to

Figure 1. The main processes for configuring RNDv1.0 (*: calculated values)

Figure 2. Structure of the deep neural network built for RND v1.0.

 Figure 3. Training, validation, and test design to build RNDv1.0 using the measurement data. The k-fold cross validation was performed using randomly divided five subsets of the training data set.

 Figure 4. Index of Agreement (IOA) for k-fold cross validation. Solid circle and red line 365 represent IOA for each validation $(k = 5)$ and the average of five validation sets at each node number.

367

368 **Figure 5**. Comparison between the measured HONO (HONOobs) and calculated HONO 369 (HONOmod) using CMAQv5.3.1 (blue triangle), RF (purple square), ANN (orange star), and 370 RNDv1.0 (red circle) during the KORUS-AQ campaign (May–June 2016).

373 **Figure 6**. Average diurnal variation of the measured HONO (HONOobs) and calculated 374 HONO (HONOmod) using CMAQv5.3.1 (blue triangle), RF (purple square), ANN (orange 375 star), and RNDv1.0 (red circle) during the KORUS-AQ campaign (May–June 2016).

378 **Figure 7**. Relationship between measured HONO (HONO_{obs}) and modeled HONO (HONO_{mod}) using (a) RNDv1.0 and (b) a Random Forest model for the test dataset.

 Figure 8. For June 2016, the diurnal variations of O³ (line) and OH production rate (bar) calculated using the F0AM photochemical model with (orange) and without (blue) HONO 385 estimated from the RNDv1.0 model. The measured and calculated O3 values are compared.

	Version	Remark	
Python	v3.8.3		
CUDA	v10.1	*If using GPU	
Cu DNN	v7.6.5	*If using GPU	
Tensorflow	v2.3.0	Python library	
Keras	v2.4.3	Python library	
Pandas	v1.0.5	Python library	
Numpy	v1.18.5	Python library	

387 **Table 1.** Resources for constructing the RND model.

388 *GPU denotes graphic processing unit

389 Table 2. Input variables and their concentrations $(10th-90th$ percentile of the hourly 390 measurements), coverage, and scale factors for the RNDv1.0 model. Measurements were 391 conducted in Seoul during May–June in 2016 and 2019.

393 ** Minimum value

Variable	RF		RNDv1.0	
	MAE	Feature Importance	MAE	Feature Importance
	0.10		0.28	
O ₃	0.57	$\mathbf{1}$	0.29	8
NO ₂	0.24	4	0.59	1
CO	0.19	7	0.37	5
SO ₂	0.17	8	0.34	6
Solar zenith Angle (SZA)	0.25	2	0.41	4
Temperature (T)	0.21	5	0.52	$\overline{2}$
Relative humidity (RH)	0.25	3	0.52	$\overline{2}$
Wind speed (WS)	0.20	6	0.34	6
Wind direction (WD)	0.13	9	0.29	8

400 **Table 4.** Results of the bootstrap test of measurement data used to train the RF and RNDv1.0 401 models. The greater the MAE, the greater the influence of the variable.

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