



Comprehensive evaluation of satellite-based and reanalysis soil moisture products using in situ observations over China

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Abstract. Soil moisture (SM) plays a critical role in the water and energy cycles of the Earth system; consequently, a long-term SM product with high quality is urgently needed. In this study, five SM products, including one microwave remote sensing product – the European Space Agency’s Climate Change Initiative (ESA CCI) – and four reanalysis data sets – European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis – Interim (ERA-Interim), National Centers for Environmental Prediction (NCEP), the 20th Century Reanalysis Project from National Oceanic and Atmospheric Administration (NOAA), and the ECMWF Reanalysis 5 (ERA5) – are systematically evaluated using in situ measurements during 1981–2013 in four climate regions at different timescales over the Chinese mainland. The results show that ESA CCI is closest to the observations in terms of both the spatial distributions and magnitude of the monthly SM. All reanalysis products tend to overestimate soil moisture in all regions but have higher correlations than the remote sensing product except in Northwest China. The largest inconsistency is found in southern Northeast China region, with an unbiased root mean square error (ubRMSE) value larger than 0.04. However, all products exhibit certain weaknesses in representing the interannual variation in SM.

The largest relative bias of 144.4 % is found for the ERA-Interim SM product under extreme and severe wet conditions in northeastern China, and the lowest relative bias is found for the ESA CCI SM product, with the minimum of 0.48 % under extreme and severe wet conditions in northwestern China. Decomposing mean square errors suggests that the bias terms are the dominant contribution for all products, and the correlation term is large for ESA CCI. As a result, the ESA CCI SM product is a good option for long-term hydrometeorological applications on the Chinese mainland. ERA5 is also a promising product, especially in northern and northwestern China in terms of low bias and high correlation coefficient. This long-term intercomparison study provides clues for SM product enhancement and further hydrological applications.

1 Introduction

Soil moisture (SM) is a key state variable in the climate system and controls the exchange of water, energy, and carbon fluxes between land surface and atmosphere (Western and Blöschl, 1999; Robock et al., 2000; Ochsner et al.,

2013; McColl et al., 2017; Peng and Loew, 2017; Qiu et al., 2018). SM can influence runoff generation, drought development, and many other processes of hydrology and agriculture (Markewitz et al., 2010; Das et al., 2011; Sevanto et al., 2014; Akbar et al., 2018). Thus, understanding SM characteristics is beneficial to flood prediction (Komma et al., 2008; NorBiasto et al., 2008), drought monitoring (Dai et al., 2004; Anderson et al., 2007; AghaKouchak et al., 2015; Li et al., 2018), and water management, which are directly related to crop growth (Engman, 1991; Bastiaanssen et al., 2000; Dobriyal et al., 2012). SM also affects the climate system through the land–atmosphere feedback loop (Kim and Hong, 2007; Dirmeyer, 2011; Zuo and Zhang, 2016), while the SM–climate interaction actually amplifies climate variability in some transitional climate zones (Seneviratne et al., 2010). Despite the small total mass of SM compared to other water cycle components, it is essential for numerical weather prediction (An et al., 2016) and has been recognized as an essential climate variable (ECV; GCOS, 2010).

In situ measurements have been acknowledged as being the most accurate method to determine SM values, but they cannot fulfill the demand of high spatial and temporal resolution for hydrometeorological use (Bárdossy and Lehmann, 1998). Furthermore, the temporal coverage of in situ measurements is usually not long enough. Therefore, satellite-based products, reanalysis products, and numerical model products are often used (Peng et al., 2017). Although model outputs are spatially and temporally continuous, large uncertainties still exist in model simulations because of the physical structure, parameters, and other reasons (Schellekens et al., 2017). Reanalysis products are generally more accurate, yet they still inherit some uncertainties of the models (Berg et al., 2003), and their spatial resolutions are not high enough for regional application (Crow and Wood, 1999). Despite the short temporal coverage and the limitation of only measuring the surface SM (Petropoulos et al., 2015), satellite-based products are very promising (Chauhan et al., 2003; Bogena et al., 2007; de Jeu et al., 2008) because they are often based on observations with high spatial resolution (Busch et al., 2012). For this reason, satellite-based products are normally taken as reference data sets to evaluate model outputs and reanalysis products (Crow and Ryu, 2009; Lai et al., 2014). To choose the most appropriate SM product for long-term hydrological and meteorological studies, more evaluation work needs to be done.

Several evaluation studies have been conducted to find a qualified remote sensing SM product (Li et al., 2009; Zhang et al., 2012; Lai et al., 2014; Peng et al., 2015; An et al., 2016; Ma et al., 2016; Zhu et al., 2018). The SM product from the European Space Agency (ESA) Climate Change Initiative (CCI) program has attracted attention in recent years (Dorigo et al., 2018) and has been proven to have good quality in some regions of the world (Dorigo et al., 2015, 2017; Chakravorty et al., 2016; Ikonen et al., 2018; González-Zamora et al., 2019; Beck et al., 2021). Peng et

al. (2015) evaluated the ESA CCI product along with four other data sets in Southwest China and found that it has the potential to provide valuable information. Based on observational data and eight model products, An et al. (2016) further confirmed that the CCI SM can be applied over China. Ma et al. (2016) compared the ESA CCI and the European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis– Interim (ERA-Interim) products with in situ measurements and found that both products show reliable time series results. However, few studies on long-term SM products over 30 years have been compared with the ESA CCI product using in situ measurements in East China, and thus, more in-depth evaluation needs to be done.

Many efforts have been made to assess the reanalysis products of soil variables based on limited observations (Decker et al., 2012; Hagan et al., 2020). Analysis of spring SM shows that ERA-Interim can reproduce the interannual variation in observed values well, and it exhibits a better correlation with precipitation and evaporation than the National Centers for Environmental Prediction (NCEP)–National Center for Atmospheric Research (NCAR) Reanalysis Project (R1), Modern-Era Retrospective analysis for Research and Applications (MERRA), Japan Meteorological Agency (JMA), or the Central Research Institute of Electric Power Industry (CRIEPI) SM products (Liu et al., 2014). Using in situ observations from 25 networks worldwide from 1979 to 2017, ERA5 SM performs better than other reanalysis products, and NCEP products show higher skill in terms of long-term trends (Li et al., 2020). During weak monsoon conditions, ERA-Interim overestimates SM over India, and SM correlates well with observed rainfall (Shrivastava et al., 2017). Using 670 SM stations worldwide, Deng et al. (2020) found that NCEP performed poorly in December–February (DJF) and June–August (JJA) and in arid or temperate and dry climates. Nevertheless, to our knowledge, few studies on the estimation of long time series of SM over the Chinese mainland have been conducted.

The objective of this study is to comprehensively evaluate long-term SM products over the Chinese mainland and identify the most accurate products for further meteorological and hydrological research. For this purpose, in situ measurements during 1981–2013 are utilized to evaluate five SM products. In addition to the comparison based on different statistical metrics, the source of errors is also discussed.

2 Data and methodology

2.1 Remotely sensed and reanalysis products

2.1.1 ESA CCI SM

Generated by the ESA Program Climate Change Initiative CCI project (ESA CCI), the ESA CCI SM includes active, passive, and combined products (Liu et al., 2012; Gruber et

al., 2017). The ESA CCI SM v04.4 combined product is employed in this study, which provides SM data starting from November 1978 until June 2018, with a spatial resolution of 0.25° . The project of ESA CCI is to use C-band microwave scatterometers (Aqua satellite and the Advance Scatterometer, ASCAT) and multichannel microwave radiometers (i.e., SMMR, SSM/I, TMI, AMSR-E, WindSat, and AMSR2) to produce a long-term reliable time series of SM (Chakravorty et al., 2016). The ESA CCI SM v04.4 is better at detecting SM changes (Balenzano et al., 2011) than previous versions as it merges all active and passive level 2 products directly to generate the combined product, rather than creating active and passive products separately and then merging them together (ESA, 2018; Gruber et al., 2019). The Global Land Data Assimilation System Noah (GLDAS 2.1) was used as a scaling reference in the combined product to obtain a consistent climatology and to flag a high vegetation optical depth (VOD) for SM (Dorigo et al., 2017; Pasik et al., 2020). A polynomial signal-to-noise ratio (SNR) VOD regression and the p value based mask was used to fill spatial gaps in triple collocation analysis (TCA)-based SNR estimates and exclude unreliable input data set in the combined product, respectively. Here, we evaluate all the products over the period from 1981 to 2013 (the same as below), during which in situ measurements are also available. The top layer of ESA CCI SM data at the depth of 2–5 cm depth are estimated.

2.1.2 ERA-Interim SM

ERA-Interim is a famous reanalysis product produced by the European Centre for Medium-Range Weather Forecasts (ECMWF, 2009). The data assimilation system is based on the Integrated Forecast System (IFS Cy31r2), which includes a four-dimensional analysis with a 12 h analysis window. The ERA-Interim data used in this study are on a fixed grid of 80 km and have a temporal resolution of 6 h, daily, and monthly scales. ERA-Interim starts in 1979 and is continuously updated in real time (Berrisford et al., 2011). ECMWF simulates SM at four depths, namely 0–7, 7–28, 28–100, and 100–255 cm. As suggested by An et al. (2016), the data at depths of 7–28 cm are linearly interpolated to a depth of 10 cm for evaluation.

2.1.3 NCEP SM

NCEP is the second reanalysis product provided by the National Centers for Environmental Prediction and Department of Energy (NCEP-DOE; Kanamitsu et al., 2002). The product has been available since January 1979, with a spatial resolution of approximately 200 km. The temporal resolution includes daily and monthly data. NCEP has two layers of SM between 0–10 and 10–200 cm, from which the first layer was chosen for evaluation.

2.1.4 NOAA SM

The 20th Century Reanalysis Project (20CR) led by the Earth System Research Laboratory Physical Sciences Division from the National Oceanic and Atmospheric Administration (NOAA) and the University of Colorado Cooperative Institute for Research in Environmental Sciences (CIRES) also produces a long-term SM product. The version of V2c is used here, spanning the entire 20th century from 1851 to 2014 (Compo et al., 2011). The NOAA SM product is generated with a spatial resolution of 2° at 6 h (also monthly) and with four subsurface levels (0, 10, 40, and 100 cm), of which the data at 10 cm depth are used.

2.1.5 ERA5 SM

ERA5 is the latest reanalysis product produced by ECMWF, covering the period from 1979 to the present. The product uses a new version of the ECMWF assimilation system IFS (IFS Cycle 41R2), and combines vast amounts of historical observations, including ozone, aircraft and surface pressure data, as well as various newly reprocessed data sets and recent instruments that could not be ingested in ERA-Interim (C3S, 2017). The ERA5 model input includes the World Climate Research Programme (WCRP) Coupled Model Intercomparison Project (CMIP) for greenhouse gases, volcanic eruptions, sea surface temperature (SST), and sea ice cover, which are appropriate for climate studies. Furthermore, the spatial (31 km globally) and temporal (hourly) resolutions of ERA5 are rather high compared to ERA-Interim. ERA5 will eventually cover the period from 1950 to the present, and one of its key improvements is better SM (Komma et al., 2008). The land surface models of the Interactions between Soil, Biosphere, and Atmosphere (ISBA) driven by ERA5 also show consistent improvements, especially in surface SM, compared to those driven by ERA-Interim (Albergel et al., 2018). Similar to ERA-Interim, there are levels of SM data, in which the SM is interpolated to 10 cm for evaluation.

2.2 In situ SM and preprocessing of data sets

The in situ SM observations were generated by three SM data sets as follows.

(1) The International Soil Moisture Network (ISMN)

The updated Chinese soil moisture was presented as volumetric soil moisture (θ_v ; cubic meters per cubic meter; hereafter $\text{m}^3 \text{m}^{-3}$) for 1981 to 1999 from the ISMN website (<https://ismn.geo.tuwien.ac.at/en/>, last access: 15 June 2020) (Dorigo et al., 2011). The ISMN provides a global in situ soil moisture database, which have been widely used for the validation of satellite products and model simulations (e.g., Albergel et al., 2012). The SM data, at the depth of 0–5 and 5–10 cm, were obtained and averaged as the value at the depth of 0–10 cm.

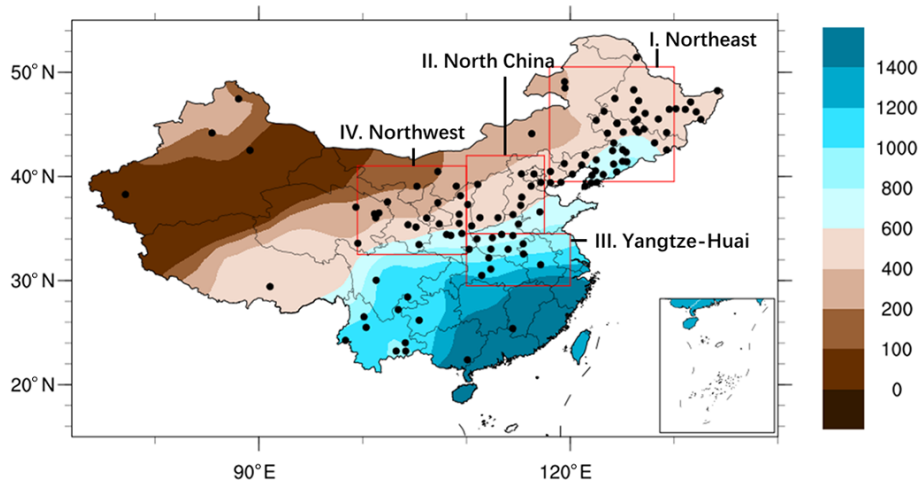


Figure 1. Spatial distribution of 119 agricultural–meteorological observation stations and four research regions over China for the period 1981 to 2013. The colors denote the distribution of annual precipitation (millimeters per annum; hereafter mm a^{-1}) from 1971–2000.

(2) Soil water content from agricultural–meteorological stations

The in situ SM measurements are obtained from the National Meteorological Information Center of China (NMIC, 2006). The data have been collected at 778 agricultural–meteorological stations, with a temporal resolution of 10 d, since May 1991 (on day 8, 18, and 28 of each month). As there are too many missing observations after 2013, the evaluations of the different data sets are performed until December 2013. The SM data were observed at the depth of 10, 20, 50, 70, and 100 cm using drying methods, with the data at 10 cm depth being utilized. In addition, the observed SM is expressed as the relative water content (θ' ; percent), while the SM in all other products is in the unit of volumetric water content (θ_v ; $\text{m}^3 \text{m}^{-3}$). Therefore, the observed SM is calculated by the following:

$$\theta_v = \theta' \times \theta_f \times \rho_b / \rho_w, \quad (1)$$

where θ_f is the field capacity, ρ_b is the dry bulk density, and ρ_w is the water density, with a value of 1.0 (grams per cubic centimeter; hereafter g cm^{-3}).

(3) Mass percent of measured SM

Another data set, including SM, field capacity, and dry bulk density in China, recorded from 1981 to 1998, was obtained from the National Meteorological Information Center of the China Meteorological Administration. SM was presented as a mass percentage three times each month to avoid auxiliary calibration (Robock et al., 2000). The volumetric soil moisture is calculated by the following:

$$\theta_v = \theta_m \times \rho_b / \rho_w, \quad (2)$$

in which θ_m is the mass percent of measured soil moisture. Within a certain period, the two parameters of θ_f and ρ_b can

be treated as constant. The SM mass percent was measured at 11 levels, including the depths of 0–5, 5–10, 10–20, 20–30, 30–40, 40–50, 50–60, 60–70, 70–80, 80–90, and 90–100 cm. To match other data sets, the values at 10 cm depth are calculated by averaging the values at the depth of 5–10 and 10–20 cm.

Considering that the field capacity and the dry bulk density are not measured at all stations, data from 119 stations are selected from 1981 to 2013. Not all in situ data were suitable for evaluation, given instrumental error and observational conditions, for example, and the available measurement period, installation depth, and sensor placement. Therefore, the evaluation was conducted in unfrozen and snow-free seasons, such as June–August (JJA). The selection of appropriate SM values is based on quality control, by removing abnormal data due to instrument failures, and threshold control, by retaining the value between 0–1. First, if there were multiple data points in the same time period, then the ISMN SM value was selected, if available, or the average of the remaining two data sets was calculated. Second, SM values greater than 3 times the standard deviation were deleted. On considering the availability, all the in situ observations were averaged to monthly data at a depth of 10 cm. The distributions of the available stations are presented in Fig. 1, and detailed information of all the above SM products is listed in Table 1.

2.3 Land surface air temperature, precipitation, and radiation

The land surface air temperature and precipitation data are obtained from the National Meteorological Information Center (NMIC) at a spatial resolution of 0.25° spanning from 1961 to the present (<http://data.cma.cn/site/index.html>, last access: 12 March 2020). By interpolating Chi-

Table 1. Details of the SM products used in the study.

Name	Soil depths (cm)	Spatial resolution	Temporal resolution	Temporal coverage
In situ				
ISMN	10, 20, 50, 70, 100		3 × monthly	Jan 1981–Dec 1999
agricultural– meteorological stations	10, 20, 50, 70, 100		3 × monthly	May 1991–Dec 2013
		Total 778 stations		
Mass percent of measured SM	0–5, 5–10, 10–20, 20–30, 30–40, 40–50, 50–60, 60–70, 70–80, 80–90, and 90–100	(119 used)	3 × monthly	Jan 1981–Dec 1998
Satellite				
ESA CCI	–2 to 5	0.25° × 0.25°	Daily; monthly	1978–present
Reanalysis				
ERA-Interim	0–7, 7–28, 28–100, and 100–255	0.75° × 0.75°	4 × daily; monthly	Jan 1979–present
NCEP	0–10, 10–200	T62 (–2° × 2°)	4 × daily; monthly	Jan 1979–present
NOAA	0, 10, 40, 100	2° × 2°	8 × daily; monthly	Jan 1851–Dec 2014
ERA5	0–7, 7–28, 28–100, and 100–255	0.28125° × 0.28125°	2 × daily; monthly	Jan 1979–present

nese ground-based, high-density stations (over 2400 observation stations), the station observational meteorology data set (CN05.1) includes daily mean temperature, maximum/minimum temperature, and precipitation (Wu and Gao, 2013). The net radiation data were downloaded from the ECMWF ERA5 products, for which the details can be found in Sect. 2.1.5.

The self-calibrating Palmer drought severity index (SC-PDSI) was utilized to determine the performance of all products under different drought or wet conditions (Wells et al., 2004). By adjusting the climatic characteristics and calculating the duration factors based on the characteristics of the climate at a given location, the SC-PDSI has been widely used in recent decades. The SC-PDSI fit Palmer's 11 categories to allow for comparisons across time and space. A negative value indicates drought conditions, and a positive value indicates a wet spell. The SC-PDSI data can be downloaded via <https://crudata.uea.ac.uk/cru/data/drought/#global/> (last access: 12 January 2020).

2.4 Evaluation strategies

2.4.1 Statistical metrics

The comparisons were conducted through the statistical metrics, such as the bias, relative bias (rBias), Pearson correlation coefficient (R), root mean square difference (RMSD), and the unbiased root mean square error (ubRMSE), using the following formulas:

$$\text{Bias} = \frac{\sum_{t=1}^n (x_{p,t} - x_{obs,t})}{n} \quad (3)$$

$$\text{rBias} = \frac{\text{Bias}}{\text{Mean}(\text{Observation})} \quad (4)$$

$$R = \frac{\sum_{t=1}^n (x_{obs,t} - \mu_{obs})(x_{p,t} - \mu_p)}{\sqrt{\sum_{t=1}^n (x_{obs,t} - \mu_{obs})^2} \sqrt{\sum_{t=1}^n (x_{p,t} - \mu_p)^2}} \quad (5)$$

$$\text{RMSD} = \sqrt{\frac{\sum_{t=1}^n (x_{p,t} - x_{obs,t})^2}{n}} \quad (6)$$

$$\text{ubRMSE} = \sqrt{\text{RMSD}^2 - \text{Bias}^2}, \quad (7)$$

in which n is the total number of time steps, $x_{p,t}$ and $x_{obs,t}$ are the values of SM products (including remote sensing and reanalysis) and observation at time step t , μ_{obs} and μ_p are the mean of the in situ observed values and all SM products, and $\text{Mean}(\text{Observation})$ is the average of observation. The metrics of rBias were used to study the performance of various regions under different drought or wet conditions. The ubRMSE is introduced to evaluate temporal dynamic variability to remove the bias error caused by the mismatch of spatial representativeness between the in situ data and all SM products (Jackson et al., 2010, 2012; Entekhabi et al., 2014). What is worth saying is that the in situ observations were not considered as being true values because of instru-

mental errors and representativeness, so the RMSD terminology was used in this study.

2.4.2 Decomposition of mean square errors (MSEs)

To better explain the disagreement between all the SM products and in situ observations, the mean square errors (MSEs; as defined in Eq. 8) of each product in individual regions are utilized. To decompose the MSEs, the Nash–Sutcliffe efficiency (NSE; Nash and Sutcliffe, 1970) is utilized, as defined in Eq. (9).

$$\text{MSE} = \frac{1}{n} \sum_{t=1}^n (x_{p,t} - x_{\text{obs},t})^2 \quad (8)$$

$$\text{NSE} = 1 - \frac{\sum_{t=1}^n (x_{p,t} - x_{\text{obs},t})^2}{\sum_{t=1}^n (x_{\text{obs},t} - \mu_{\text{obs}})^2} = 1 - \frac{\text{MSE}}{\sigma_{\text{obs}}^2}. \quad (9)$$

NSE was decomposed as the correlation, the conditional bias, and the unconditional bias, as shown in Eq. (9) (Murphy, 1988).

$$\text{NSE} = A - B - C$$

$$A = R^2$$

$$B = [R - (\sigma_p / \sigma_{\text{obs}})]^2$$

$$C = [(\mu_p - \mu_{\text{obs}}) / \sigma_{\text{obs}}]^2, \quad (10)$$

in which R is the correlation coefficient of observations and products, σ_{obs} and σ_p are the standard deviation of in situ data and all SM products. Equation (10) can be transformed as Eq. (11), representing the correlation, bias, and variability.

$$\text{NSE} = 2 \cdot \alpha \cdot R - \alpha^2 - \beta_n^2$$

$$\alpha = \sigma_p / \sigma_{\text{obs}}$$

$$\beta = (\mu_p - \mu_{\text{obs}}) / \sigma_{\text{obs}}. \quad (11)$$

Finally, the Eq. (12) was obtained by substituting Eq. (11) into Eq. (9) as follows:

$$\text{MSE} = 2\sigma_p\sigma_{\text{obs}}(1 - R) + (\sigma_p - \sigma_{\text{obs}})^2 + (\mu_p - \mu_{\text{obs}})^2. \quad (12)$$

The MSE was decomposed to quantify the contributions of the correlation term, standard deviation term, and bias term (Gupta et al., 2009). On the right-hand side of the equation, the first term (correlation term) shows the correspondence between the SM product and the in situ observations. The second term (standard deviation term) explains the degree of similarity in the variations, and the third term (bias term) shows the accuracy of the product. With a better understanding of the error structure of the data sets, we can explain the discrepancy between the SM products and the in situ observations well (Dorigo et al., 2010).

Table 2. Names and spatial coverage of the selected research regions.

Regions			Zonal coverage (° E)	Meridional coverage (° N)
I	NE	Northeast	118–130	39.5–50.5
II	NC	North China	110–117.5	34.5–42
III	YH	Yangtze–Huai	110–120	29.5–34.5
IV	NW	Northwest	99.5–110	32.5–41

2.5 Study area

China is located on the eastern coast of Asia, immediately to the west of the Pacific Ocean. It extends from roughly 3.5 to 53.75° N latitude and from 73.25 to 135.25° E longitude. Considering climate conditions and the distribution of available SM data, all estimations are conducted in four research regions, as suggested by Ma et al. (2016), which are shown in Fig. 1. Detailed information on the four research regions is specified in Table 2. Figure 1 also shows the annual mean precipitation data obtained from 160 Chinese meteorological stations during 1971–2000 from the National Climate Center (NCC) of China. The 30-year averaged annual mean precipitation is treated as the climatological mean precipitation to define the division of the climate zone.

The comparisons were performed as follows: (i) find correspondence between all SM data sets and in situ SM by using the values at the nearest neighbor grids; (ii) compare all the SM products at regional scales by calculating the regional average of monthly value of all SM products, which has been proved to reduce the uncertainty caused by grid mismatch to some extent (Nie et al., 2008); (iii) treat all reanalysis data at the same period as missing values if the in situ observations are missing, and do not take these values into account.

3 Results and discussion

3.1 Spatial pattern of SM

Figure 2 shows the spatial patterns of the 33-year averaged SM for the in situ observations and five products. ESA CCI has the highest spatial resolution, followed by ERA-Interim and ERA5, and the spatial resolutions of NCEP and NOAA products are relatively coarse. Considering the frozen and vegetation cover, only the JJA SM values are used for the evaluation of the spatial pattern. Generally, most SM products are able to capture the overall spatial distribution of the SM value, although the NOAA SM is highly overestimated throughout the region. According to the in situ observations, SM is the lowest in the northwest and increases to the northeast and southeast. Except for NCEP, all the other data sets are able to represent the wet center in the northeast

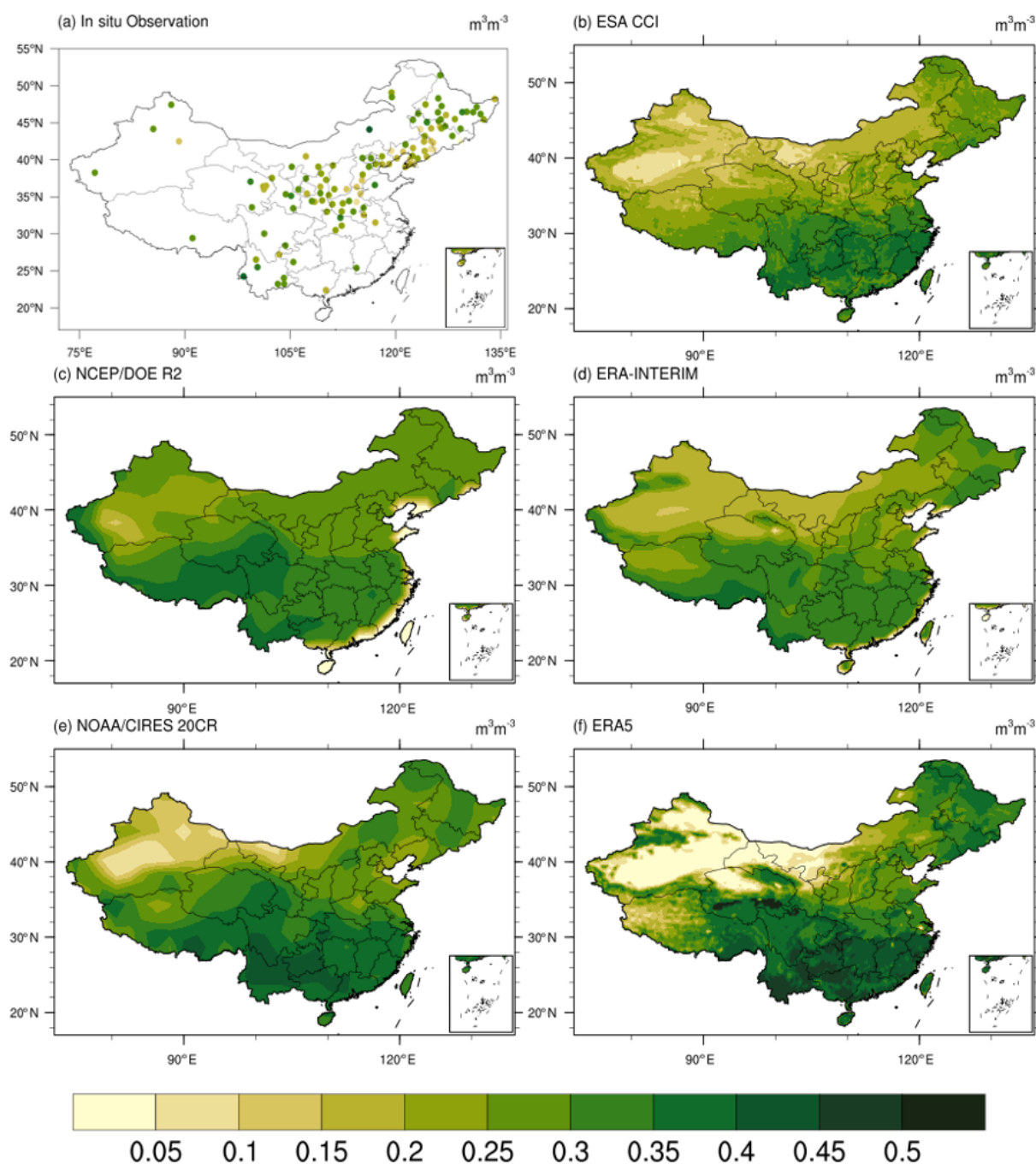


Figure 2. Annual averages of (a) observations and (b–e) five satellite and reanalysis SM products ($\text{m}^3 \text{m}^{-3}$) during JJA for the period of 1981 to 2013 in China.

of China. ESA CCI underestimates SM in the north of north-eastern China and in northwestern and southwestern China. SM is underestimated by ESA CCI but overestimated for all the analysis data sets, except in northwestern China. For the ERA5 data set, the region in the north of northwestern China is much drier than the other products, with an average value of less than $0.05 \text{ m}^3 \text{m}^{-3}$. ERA-Interim and ERA5 SM products are able to represent the decreasing trend from southeast

to northwest, which failed for the NCEP SM. The largest biases, reaching $0.15 \text{ m}^3 \text{m}^{-3}$, are found in the south of north-eastern China, and the largest inconsistency is found in the northwest.

The distribution of the ubRMSE for all stations is shown in Fig. 3 to evaluate temporal SM dynamical variability. By removing the bias, the NCEP product has the lowest ubRMSE, with values between 0.01 and $0.03 \text{ m}^3 \text{m}^{-3}$, indicating its

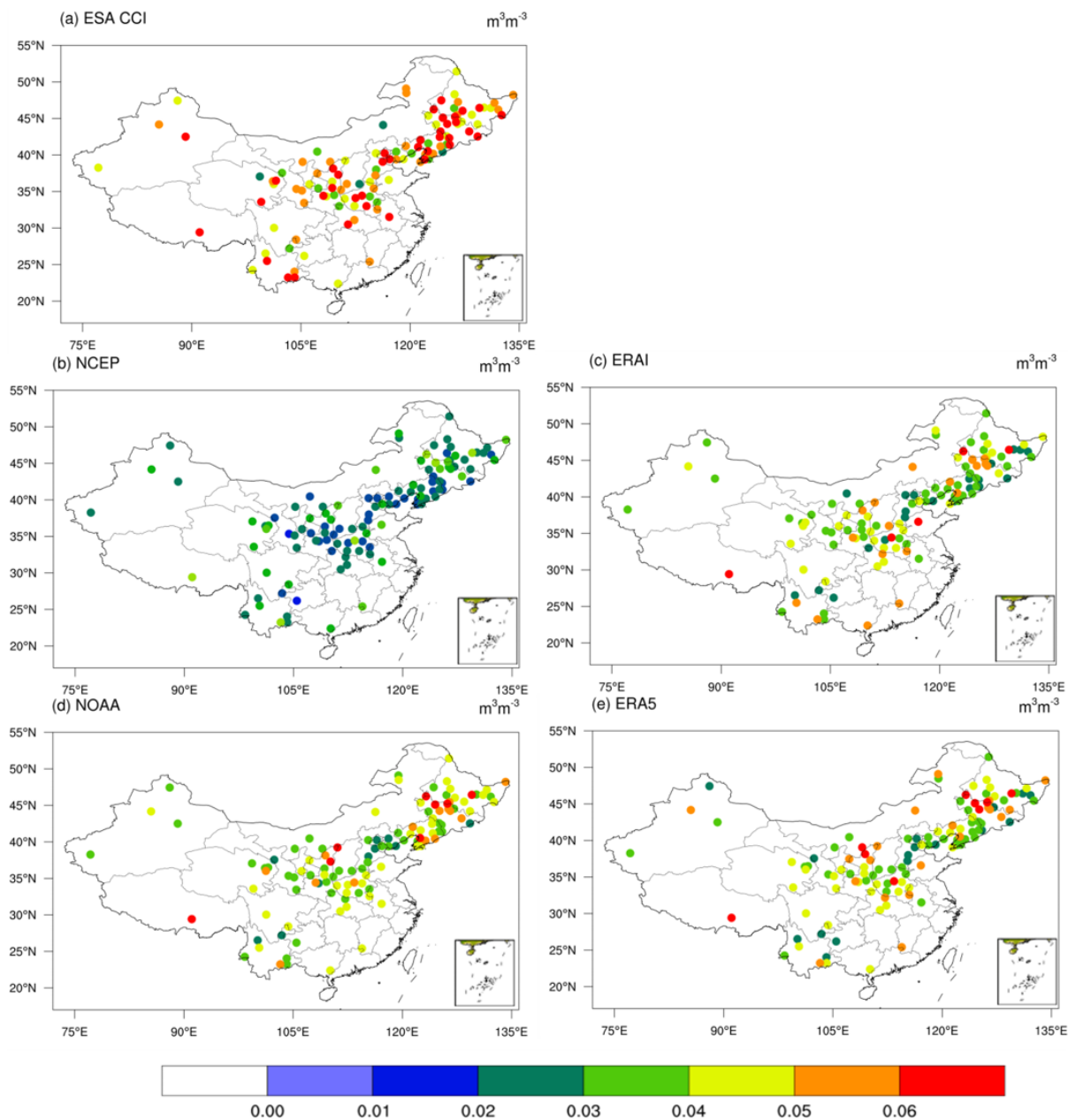


Figure 3. Same as Fig. 2 but for ubRMSE.

better performance at capturing the temporal variation in the in situ SM. Large ubRMSE are found for the ESA CCI, with values larger than $0.04 \text{ m}^3 \text{ m}^{-3}$, indicating that this remote sensing product needs to be improved at temporal variations. Spatially large ubRMSE are also found in the Yangtze–Huai region and in the south of northeastern China, which may be attributed to the high SM values. A possible explanation for the poor performance in the northern China region might be that this region is strongly influenced by irrigation.

3.2 Temporal variability of SM

As shown in Table 2, all temporal variabilities in SM are averaged over northeastern China, northern China, the Yangtze–Huai region, and northwestern China, which are abbreviated as NE, NC, YH, and NW, respectively, below.

3.2.1 Temporal evolution

The temporal evolutions of in situ observations and grid point SM values from the five data sets are averaged over each research region during JJA, as displayed in Fig. 4.

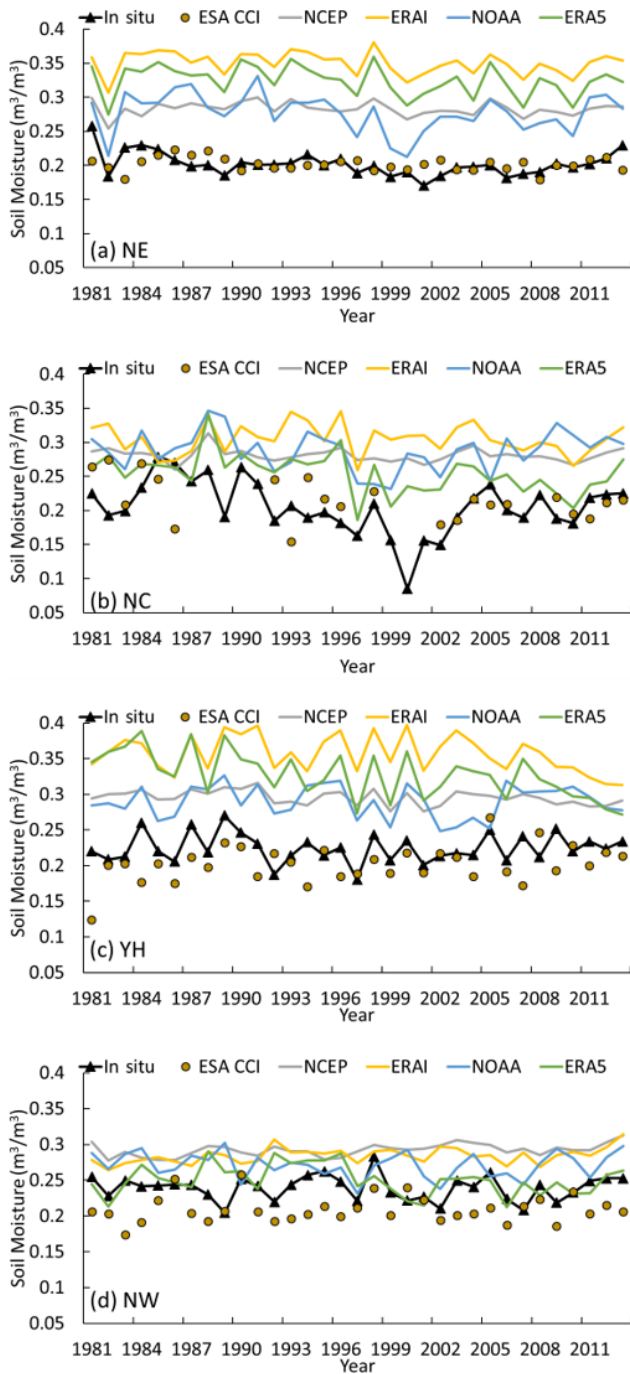


Figure 4. Time series of SM in four research regions (a–d) from 1981 to 2013.

Generally, all the reanalysis products have a positive bias of 0.08–0.15, 0.05–0.10, 0.07–0.13, and 0.01–0.05 $\text{m}^3 \text{m}^{-3}$ in the NE, NC, YH, and NW regions, respectively. ESA CCI tends to have a negative bias with observations between -0.06 and $0 \text{m}^3 \text{m}^{-3}$. All products perform well in the NW region, and the worst performance is found in the NC region. ERA-Interim largely overestimates SM in all the

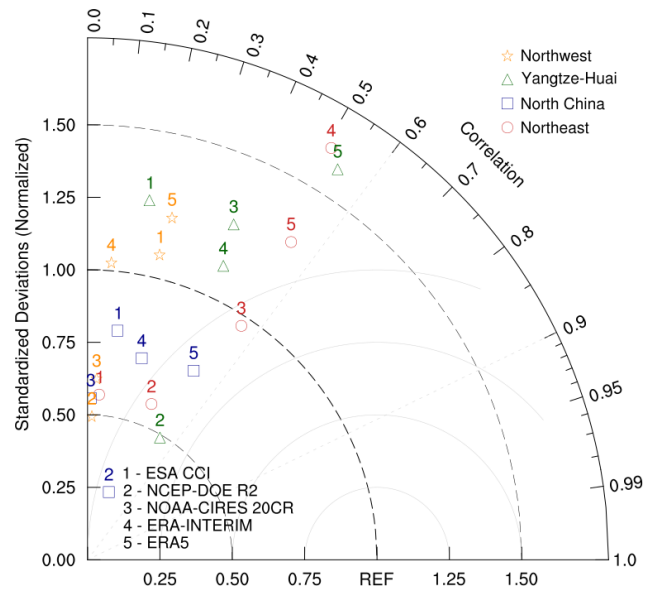


Figure 5. Taylor diagrams of the comparison between multisource SM products and in situ observations. The reference is the SM from in situ observations.

research regions, while NOAA and NCEP SM have the lowest bias among the reanalysis data sets. Reanalysis can better reproduce the variation characteristics than remote sensing during extreme event periods, probably due to large percent of missing data and instrument limitations.

Table 3 shows the biases, RMSD, uBRMSE, and correlation coefficients for the comparison between all products and in situ observations during 1981 to 2013. All the evaluation indexes were calculated using monthly spatial average over all regions. ESA CCI presents the lowest biases for all regions, indicating that ESA CCI is the closest to the observed SM values. ERA-Interim SM has the largest positive bias for all regions. By removing the bias error, the uBRMSE for all products fluctuates between 0.016 and 0.025, except for the NC region, indicating poor performance in capturing the temporal variability. The correlation coefficient with observations for ESA CCI is relatively low. Good correlation is obtained for ERA5 SM, except in the NC region, indicating that ERA5 can represent the temporal and spatial variation well. All products show a small correlation in the NC and NW regions, implying that none of the products can capture the spatiotemporal variation in SM over both regions.

The Taylor diagrams presenting the statistics of the comparison between ESA CCI, NCEP, ERA-Interim, NOAA, ERA5, and in situ observations over four regions are shown in Fig. 5. Generally, the NOAA SM is highly overestimated in all regions, and ESA CCI SM is underestimated. Most correlation coefficient values are between 0.5 and 0.6 for ERA5, implying a good performance with variability. Lower correlations are found for ESA CCI and ERA-Interim SM, demonstrating that both products represent poor performance with

Table 3. Correlation coefficients, biases, and RMSEs of the five data sets for JJA SM from 1981 to 2013. The coefficients in parentheses are those that cannot pass the significance test ($\alpha = 0.1$) with $n = 33$. The values marked with ** and *** indicate that the correlation coefficient has passed the significance test of 95 % and 99 %, respectively. The values in brackets indicate that the significance test has not been passed.

Regions	Products	Bias	RMSD	ubRMSE	Correlation
NE	ESA CCI	0.000	0.019	0.019	(0.070)
	NCEP	0.081	0.083	0.016	0.380**
	ERA-Interim	0.148	0.149	0.016	0.550***
	NOAA/CIRES 20CR	0.075	0.079	0.024	0.509***
	ERA5	0.123	0.124	0.019	0.538***
NC	ESA CCI	−0.061	0.122	0.106	(0.122)
	NCEP	0.076	0.084	0.037	(0.085)
	ERA-Interim	0.100	0.109	0.044	(0.109)
	NOAA/CIRES 20CR	0.083	0.093	0.041	(0.093)
	ERA5	0.050	0.061	0.035	(0.061)
YH	ESA CCI	−0.022	0.037	0.029	(0.173)
	NCEP	0.071	0.073	0.017	0.510***
	ERA-Interim	0.132	0.134	0.025	0.398**
	NOAA/CIRES 20CR	0.065	0.069	0.023	0.415**
	ERA5	0.103	0.107	0.027	0.535***
NW	ESA CCI	−0.030	0.037	0.022	(0.227)
	NCEP	0.053	0.056	0.019	(0.027)
	ERA-Interim	0.045	0.049	0.020	(0.048)
	NOAA/CIRES 20CR	0.032	0.039	0.023	(0.080)
	ERA5	0.011	0.026	0.023	(0.244)

changing characteristics. All products exhibit poor correlations in the NW region.

3.2.2 Seasonality

Monthly SM from 1981–2013 during unfrozen and snow-free months have also been calculated in Fig. 6, showing the temporal evolution of SM seasonality averaged spatially over different regions. Overall, there exists a negative and a positive bias between remote sensing and reanalysis with respect to SM observations, respectively. The difference in ESA CCI is smaller than all reanalysis products, especially in the period where the in situ SM value is low, which is in line with the findings of Ma et al. (2019), in that ESA CCI have relative poor skills with lower time series correlations in sparse or dense VOD conditions but good performance in vegetated areas that are moderately dense (Zeng et al., 2015). Furthermore, soil types (silt, clay, and sand) also play an important role in terms of different regions. Chakravorty et al. (2016) studied the influence of soil texture on regional-scale performance and found that large fractional RMSE is associated with a large percentage of sand, which might be one of the reasons why poor performance is found in the NW region. ESA CCI yields the worst seasonal cycle results with respect to temporal variation, which may be because of the large percentage of missing data. Furthermore, the remote sensing products are completely independent without assimilating or integrating measured observations. The seasonal cycle

of SM in the NE region is obvious, partly due to the sufficient water content there. Observed SM in all regions reaches its minimum from April to June, and then increases to its maximum from July to September, which can be reproduced by all reanalysis. All reanalysis SM series have a larger dynamic range than in situ observations and remote sensing SM values. ERA5 is closer to the observations in the NC and NW regions, while NCEP and NOAA show the smallest biases in the NE and YH regions. ERA5 SM performed better than ERA-Interim, as it shows a similar variation tendency, with the observations, and a smaller difference, with the average relative biases of 7.40 %, 18.70 %, 7.34 %, and 15.38 % in the NE, NC, YH, and NW regions, respectively.

Figure 7 displays the autocorrelation coefficients lagging by 1 month in different seasons to investigate the persistence of the soil moisture anomalies for in situ observations and five products. The aim of this figure is to study the soil moisture memory in different seasons. It is shown from observations that the autocorrelation is high in spring and autumn, indicating that the soil moisture is obviously affected by the value from 1 month before in spring and autumn. The autocorrelation is low in summer and winter, implying that SM in these seasons are strongly affected by meteorological elements, such as the influences of liquid and solid precipitation and freezing. The ESA CCI correlation are low during the MAM (March–May), JJA, and SON (September–November) seasons because of the large amount of missing data. The

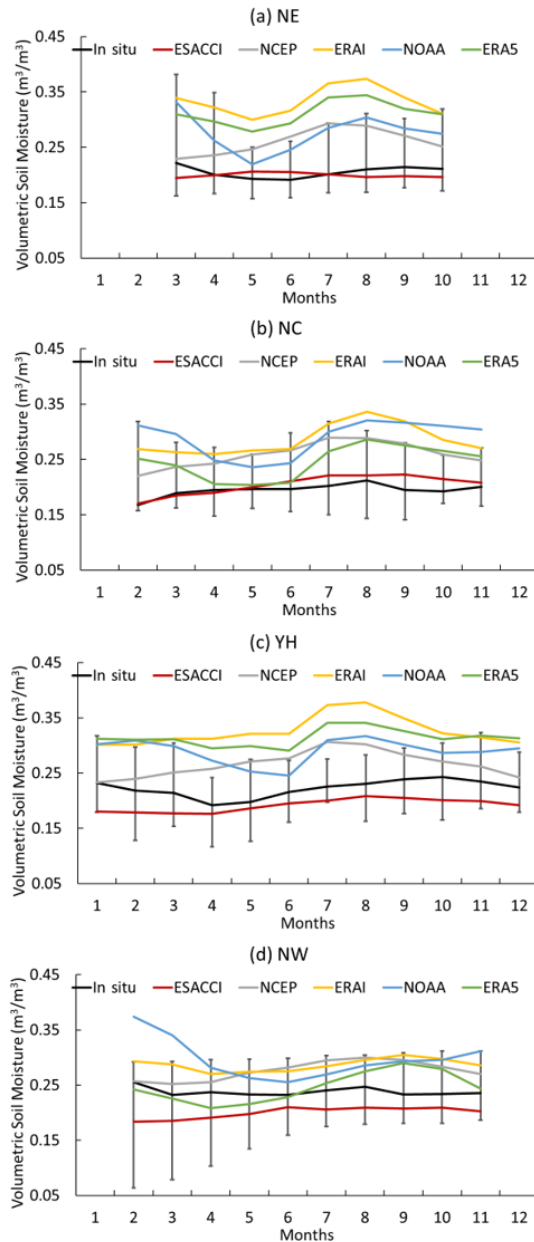


Figure 6. Seasonality of SM distributions based on in situ observations and five products averaged over the (a) NE, (b) NC, (c) YH, and (d) NW regions from 1981 to 2013.

lowest autocorrelation coefficient is found in the NW region, possibly because of the particular sandy soil with relative high porosity and low water-holding capacity. The regions with a good seasonal persistence of soil anomalies are located in the NW and eastern NE regions of China, which are dominated by relatively simple land cover, e.g., bare soil and forests, respectively. The NOAA SM shows larger autocorrelations for all seasons than the other reanalysis products, implying that NOAA models should take into account the influence of some other variables in soil moisture in the future,

e.g., temperature and precipitation. ERA5 shows a better performance than ERA-Interim, especially with a close autocorrelation coefficient in the NE region. The information of soil moisture autocorrelation gives hints for the assimilation of surface soil moisture into land surface models (Crow and Van den Berg, 2010) in which, during summer and winter, the influence of meteorological elements (e.g., precipitation, temperature, evaporation, etc.) should be considered more.

3.2.3 Interannual anomalies

The JJA SM shows evident interannual anomalies in all the research regions, as shown in Fig. 8. Most peaks and troughs can be well represented by all products in the NE and YH regions, while the variation characteristics cannot be reproduced in the other regions, especially in the NC region. Furthermore, all products have a smaller amplitude of variation than observations in extreme wet or drought years in the NC and NW regions, implying that the models had a poor ability to represent these extreme events.

Specifically, the variation range in the NOAA SM is the largest, especially in wet and drought years in the NE region. Taking the years of drought from 2001 to 2002 and the wet year of 2003 as examples, this characteristic was missed by ESA CCI. The variation range in NCEP SM is significantly smaller than the actual measurement, and the simulation of NCEP is obviously inferior to the other three products. In the NC region, all products fail to capture the JJA SM variation tendency, especially during extreme drought and wet periods. NOAA and ERA5 can capture the basic trend, but the variation range does not match the measured value. The variation amplitudes of NCEP and ERA-Interim are obviously smaller than the observations. Surface SM is a variable associated with precipitation and evaporation, both of which fluctuate greatly with time in the JJA seasons. To improve the quality of SM, all reanalysis data would need to improve their performance in representing precipitation and evaporation, especially during extreme events. In the YH region, ERA-Interim and ERA5 can roughly reproduce the trend of change, but the magnitude of the change is large. There is a SM peak occurring in 1998, in accordance with the 1998 floods in China. The peaks in the years of 1987, 1998, and 2001 can be reproduced by the all products. In the NW region, none of these products are able to reproduce the variation characteristics, especially with worse performances in drought periods than in wet periods. According to the correlation (in Table 3), ERA5 has the best performance, but it shows a fictitious increase from 1981 to 1993.

3.3 Decomposition of the mean square error (MSE)

The (a) contribution to MSE is decomposed into a correlation term, standard deviation term, and bias term according to Eq. (12), and (b) their fractions are showed in Fig. 9. The contribution of the bias term is much larger than the

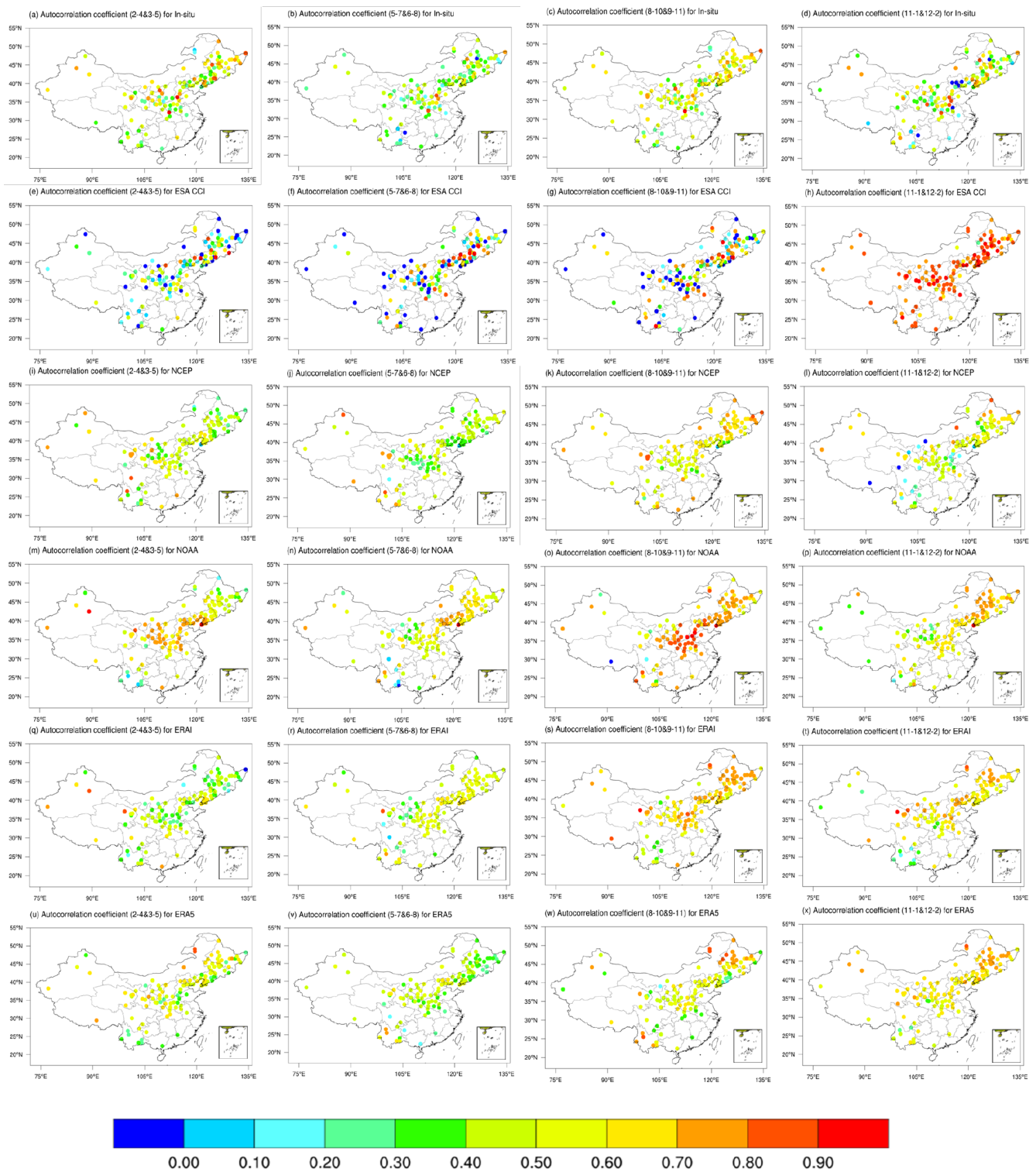


Figure 7. Distribution of the autocorrelation coefficient of SM in the following seasons: (a, e, i, m, q, u) FMA (February–April) and MAM (March–May), (b, f, j, n, r, v) MJJ (May–July) and JJA (June–August), (c, g, k, o, s, w) ASO (August–October) and SON (September–November), and (d, h, l, p, t, x) NDJ (November–January) and DJF (December–February) for (a–d) in situ, (e–h) ESA CCI, (i–l) NCEP, (m–p) NOAA, (q–t) ERA-Interim (ERA-I), and (u–x) ERA5.

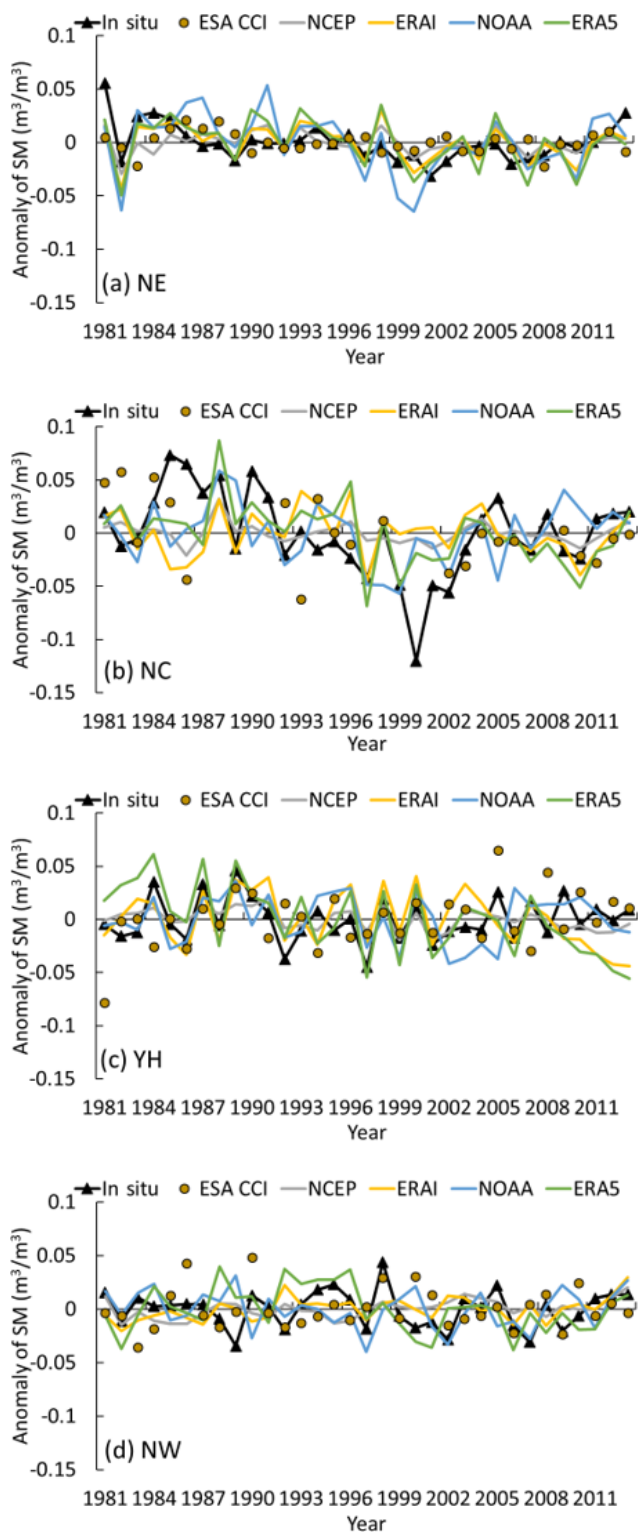


Figure 8. Temporal evolution of the JJA SM anomaly time series from observations and the five satellite and reanalysis products in four research regions from 1981 to 2013.

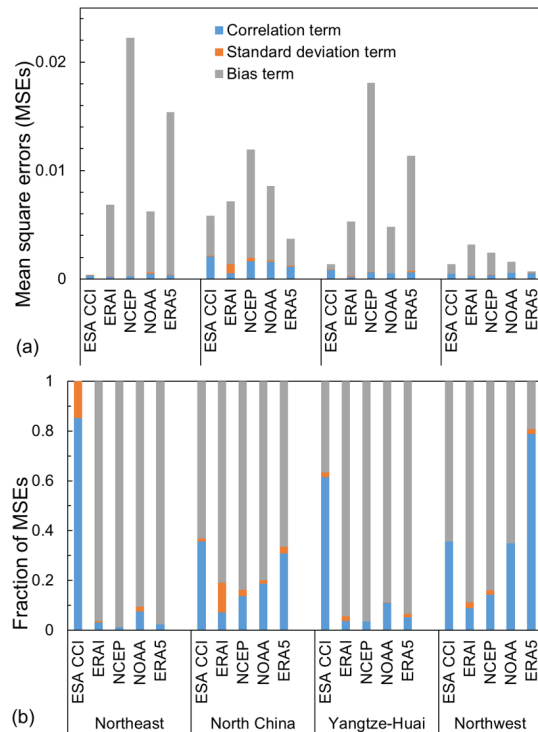


Figure 9. The (a) decomposition of three terms to the mean square errors (MSEs) for the four satellite and reanalysis products from 1981 to 2013 and (b) their fraction.

correlation term, except for the ESA CCI and ERA5 in the NW region, indicating that reducing biases is the direction we need to follow to further improve the quality of reanalysis SM products. The MSE of ESA CCI SM is the smallest for all regions, with a large fraction of the correlation term, indicating that the main error of ESA CCI comes from the poor performance of the variation tendency. The MSE of ERA5 performs inconsistently in that its main difference comes from the correlation term in the NC and NW regions, while the bias terms are dominant in the NE and YH regions. This implies that improving the spatiotemporal resolution and assimilating more observation might be a potential way to improve SM estimate, but the large fraction of ERA5 also points to the need to improve the model simulation ability of SM. Additionally, all products present poor performance in the NC and NW regions, with a high correlation term. The standard deviation term has little effect on MSE for all data sets, except for the ESA CCI in the NE region and ERA-Interim product in the NC region. The NOAA SM product also shows a small MSE, except in the NW regions, which is similar to previous evaluations in some other regions (Peng et al., 2015; An et al., 2016; Zhu et al., 2018).

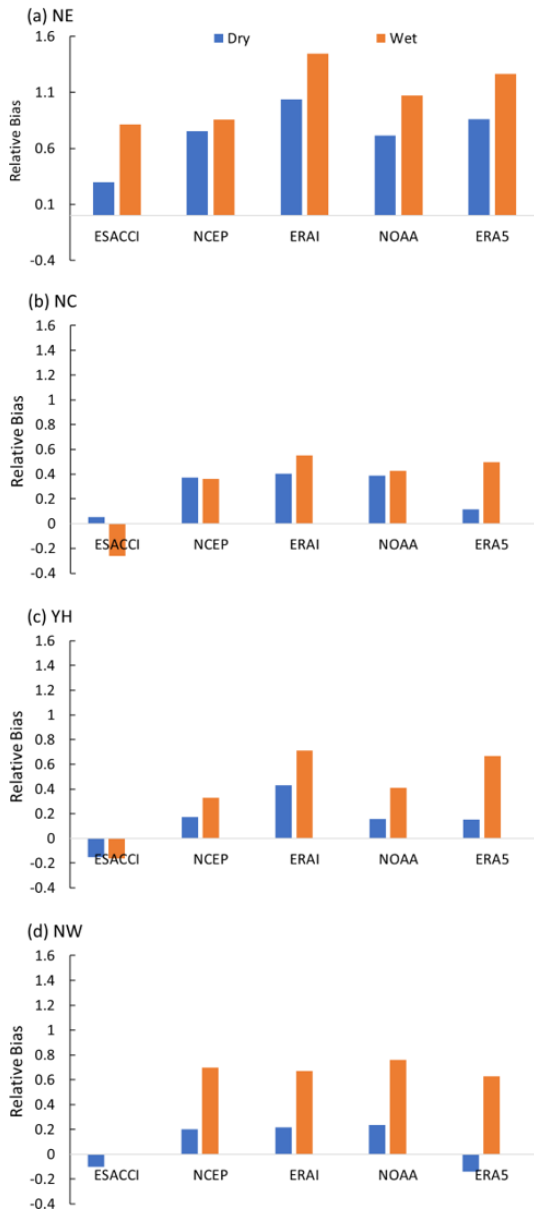


Figure 10. The rBias of remote sensing and reanalysis SM against in situ observations under dry or wet conditions. The dry condition consists of extreme (scPDSI < -4) and severe (scPDSI < -3) drought conditions; the wet condition consists of extreme (scPDSI > 4) and severe (scPDSI > 3) wet spell conditions.

3.4 SM performance under various climate backgrounds

Figure 10 shows the rBias under different humid or arid conditions by utilizing SC-PDSI (Wells et al., 2004). The rBias of the JJA SM between in situ observation and remote sensing and reanalysis was calculated at each in situ grid point as the bias divided by the mean of in situ observations and then averaged over regions. All of the reanalysis products show a lower rBias under drought conditions than wet con-

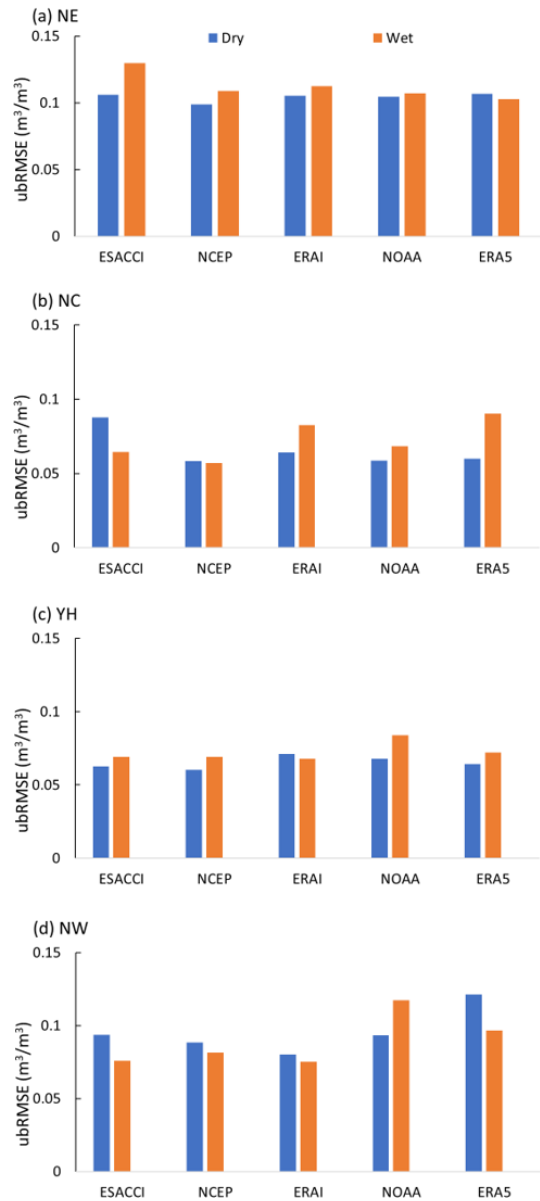


Figure 11. The ubRMSE of remote sensing and reanalysis SM against in situ observations under dry or wet conditions in different regions. The dry condition consists of extreme (scPDSI < -4) and severe (scPDSI < -3) drought conditions; the wet condition consists of extreme (scPDSI > 4) and severe (scPDSI > 3) wet spell conditions.

ditions, indicating a better performance of all products under dry conditions. The largest rBias was found for all products in the NE region, implying that the largest uncertainty would appear in this region during extreme events. The large difference in rBias between dry and wet conditions was observed in the NW region, implying that all products fail to represent the SM value when the water content is high. The largest rBias is found for ERA-Interim under severe wet conditions in NE, with an average bias of 144.4%. The best per-

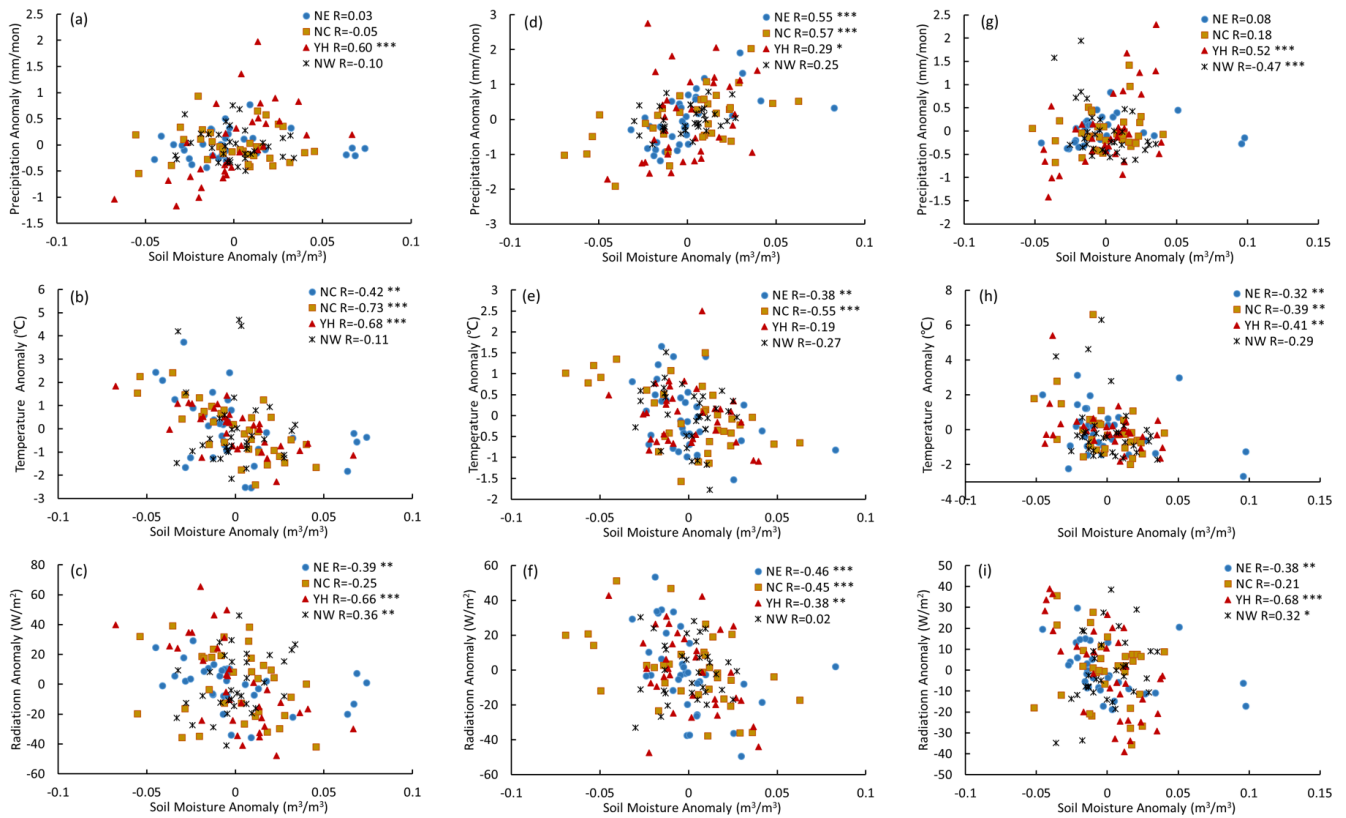


Figure 12. Scatterplots of monthly anomalies of (a, d, g) precipitation, (b, e, h) temperature, and (c, f, i) net radiation vs. observed soil moisture in the top 10 cm depth during 1981–2013 during (a–c) MAM, (d–f) JJA, and (g–i) SON seasons. R is the correlation coefficient over four research regions, and the values marked with *, **, and *** indicate that the correlation coefficient has passed the significance test of 90 %, 95 %, and 99 %, respectively.

formance is found for ESA CCI SM in NW, with an average rBias of 10.0 %.

For the ubRMSE in different regions (Fig. 11), the ubRMSE of all SM products in the NE and NW regions is noticeably high. The difference in ubRMSE between different conditions is not as large as for rBias, especially in the NE region. Overall the ubRMSE for all products is larger under wet conditions, while the phase is opposite in the NW region. The averaged bias for ESA CCI under drought conditions is smaller than that under wet conditions. The largest and smallest ubRMSE are found for the ESA CCI under wet conditions in the NE region and for NCEP SM products under both conditions in the YH region, respectively.

Previous studies have shown that soil moisture is influenced by the combination of precipitation and evaporation, in which land surface evaporation is linked with temperature and surface net radiation (Jasper et al., 2006; Harmsen et al., 2009). Figure 12 shows scatterplots of (a, d, g) precipitation, (b, e, h) temperature, and (c, f, i) net radiation anomalies versus observed SM anomalies over different regions in (left column) MAM, (middle column) JJA, and (right column) SON seasons. Obvious positive correlations are found between precipitation and SM in the YH regions during MAM

and SON seasons and in the NE and NC regions during JJA season. Temperature and net radiation show a negative correlation within the NE, NC, and YH regions. The correlation coefficient is low for all meteorological variables in the NW region, which may be attributed to the large fraction of sand there. Soil moisture in the NE and NC regions tends to be influenced by temperature during cold seasons. SM in the YH region tends to be influenced by radiation during warm seasons, due to the large evaporation there.

3.5 Discussion

The ESA CCI SM product showed the top layer soil content up to 5 cm depth or so. The in situ measurement depth and model output are at 0–10 cm depth, which was also treated as the top layer soil content. Such a difference would also cause representativeness errors. Previous studies have found that there is a close relationship between surface SM and SM in the upper 10 cm (i.e., Albergel et al., 2008; Dorigo et al., 2015), so the SM measurements at a depth of 10 cm were chosen as the reference to evaluate satellite-based and reanalysis products. Furthermore, introducing ubRMSE and conducting a comparison at the regional scale can remove

the bias error caused by the mismatch of grid cells to some extent.

The ESA CCI combined data generally increase the number of observations available for a time period but the correlation coefficients were not better than those of the best-performing single data set (Dorigo et al., 2015). Dorigo et al. (2015) also studied the possible reasons of input data and found that the low correlation of the combined product, possibly due to the merging procedure, including the influence of vegetation (Taylor et al., 2012), the different original overpass time, and the scaling of the high-resolution ASCAT product to lower resolution reference products. Beck et al. (2021) found that ESA CCI SM performed better in eastern Europe in terms of high-frequency fluctuations and found that the reason that the overall performance of ESA CCI may be not so good was possibly due to the incorporation of ASCAT, which performed less well. Furthermore, the poor correlation of the remote sensing product is also associated with the missing of available data because of instrument limitations and cloud impact.

In the winter, SM decreases in all regions, mainly because of decreased precipitation. Lower evaporation caused by sudden cooling may explain why SM increases in early winter. SM reaches a local minimum in the spring in most of the regions, except the NE region, as a temperature rise leads to higher evaporation, while precipitation does not increase much in this season. In the NE region, ice and melting snow partially compensate for soil water loss and help maintain a relatively stable SM. Increased precipitation in the summer gives rise to an evident increase in SM. In the autumn, SM continues to increase in the YH and NC regions, probably due to less evaporation caused by lower temperatures.

Precipitation and evaporation are found to be the most important determinants of soil moisture simulation performance where the evaporation is associated with temperature and radiation (Gottschalck et al., 2005; Mall et al., 2006; Chen and Yuan, 2020). The SM value in the analysis is overestimated, partly due to the reason that the JJA precipitation over China is overestimated by models (e.g., Luo et al., 2013; Yun et al., 2020). The largest bias in precipitation overestimation, using the hourly 31 km resolution ERA5 reanalysis data, is found over the Tibetan and Yun-Gui plateaus, the North China Plain, and southern China, which gives one of the explanations why reanalysis products represent the worst performance over the NC region.

Soil type and soil texture are also important elements for soil moisture estimation. In the southwest of the NE region, the sand fraction of the topsoil can reach about 80%–90%, and the sand fraction and clay fraction of the topsoil are around 30%–40% and 10%–30% respectively (Shangguan et al., 2012) in the northern NE region. The inconsistency of the soil types over the NE region might explain why the large inconsistency in spatial distribution was found for all products. In the northwest of the NW region, the sand fraction is larger than 80%, and the sand fraction is low in the south-

east of the NW region. The large difference in soil types over the northern NW region is one of the reasons that all products show poor performance. In the NC and YH regions, sand and clay fraction of the topsoil account for about 10%–20% and 30%–50%, 30%–50%, and 0%–20% respectively. The different performance over the NC and YH regions hints that remote sensing and reanalysis products tend to perform worse when the soil type is sand because of its poor water retention.

ERA5 ($\sim 0.28125^\circ$) has a higher spatial resolution than ERA-Interim ($\sim 0.75^\circ$), which can be directly reflected in their spatial patterns of SM distribution. ERA5 can reproduce the spatial distribution and time series of monthly SM well over the Chinese mainland in terms of low bias between observations. Looking at the monthly variation and interannual variation in the SM anomaly, ERA5 has a better performance than ERA-Interim in terms of low bias. It is proposed that ERA5 will eventually replace ERA-Interim, and we do see improvements in the ERA5 product. However, ERA5 overcorrects the problem of a small variation in ERA-Interim, which leads to almost the same ubRMSE and correlation coefficient in ERA5 and ERA-Interim. This implies that improving the model resolution and assimilating satellite SM estimates can help reduce the difference in SM but will not improve much in the spatial and temporal variation at long-term scales. This might be caused by the small improvement of assimilating the ASCAT soil moisture in the ERA5 reanalysis (Hersbach et al., 2020). Beck et al. (2021) concluded that assimilating satellite soil moisture estimate may not improve more than increasing model resolution or improving soil moisture simulation ability, which is in line with our results. This suggests that improving the model simulation performance of SM is beneficial, especially at long-term scales.

4 Conclusions

To evaluate the performance of long-term SM products over the Chinese mainland, one satellite-based product and four reanalysis data sets from 1981 to 2013 are selected for comparison with in situ measurements at different timescales.

Overall, ESA CCI has the best performance, with the highest spatial resolution and accuracy, making it a good option for long-term hydrometeorological applications in China. The $0.25^\circ \times 0.25^\circ$ resolution of the ESA CCI product produces the finest spatial pattern of SM, making it more beneficial for regional application than other SM products. However, ESA CCI shows poor performance in terms of its low correlation and missing values, especially in northeastern China.

ERA-Interim and ERA5 can reproduce the tendency of the time series well and perform the best at stations, but they overestimate the seasonal variation in SM. ERA5 is also a promising product, with better performance in several as-

pects compared to ERA-Interim, highlighting the importance of incorporating more observations at finer spatial resolution.

NCEP cannot reproduce the spatial pattern of SM in China, the time series of NCEP SM data is poorly correlated with observations, and the variation amplitude of its seasonal cycle is much larger than that of the observations. NOAA is able to reproduce the basic spatial pattern, but it systematically overestimates SM in China and shows little seasonal variation. All the SM products used in the present study cannot adequately simulate the interannual variation in the SM anomaly.

The mismatch between SM layers in analysis products and observations, as well as their spatial mismatch, should be investigated in the future (Choi and Hur, 2012; Crow et al., 2012). Furthermore, subdaily SM model products considering the advantages of individual models under different weather regimes and climate scenarios could be merged in future work (Chen and Yuan, 2020).

Data availability. We acknowledge the data providers of the following SM products. The updated Chinese soil moisture presented as volumetric soil moisture (θ_v ; $\text{m}^3 \text{m}^{-3}$) for 1981 to 1999 was downloaded from the International Soil Moisture Network website (<https://ismn.geo.tuwien.ac.at/en/>, last access: 15 June 2020) (ISMN, 2020). The in situ SM measurements are available upon request from the website of the National Meteorological Information Center of China (NMIC; <http://data.cma.cn/site/index.html>, last access: 12 March 2020). We acknowledge the sources of the following data sets: ESA CCI (<http://www.esa-soilmoisture-cci.org>, last access: 7 September 2018) (ESA, 2018), ECMWF ERA-Interim (<https://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/>, last access: 5 June 2018) (ECMWF, 2018), ERA5 (<https://apps.ecmwf.int/data-catalogues/era5/?class=ea>, last access: 27 November 2017) (ECMWF, 2017), NCEP (<https://doi.org/10.1175/BAMS-83-11-1631>) (Kanamitsu et al., 2002), NOAA (<https://doi.org/10.1002/qj.776>) (Compo et al., 2011), and NMIC (http://data.cma.cn/data/cdcdetail/dataCode/AGME_AB2_CHN_TEN.html, last access: 12 March 2020). The land surface air temperature and precipitation data are obtained from the National Meteorological Information Center (NMIC) at a spatial resolution of 0.25° , spanning from 1961 to the present (<http://data.cma.cn/site/index.html>, last access: 12 October 2019). The SC-PDSI data can be downloaded via <https://crudata.uea.ac.uk/cru/data/drought/#global/> (last access: 12 January 2020) (Climate research unit, 2020).

Author contributions. YH, WG, and JP designed the study and performed the experiments. XL and YW performed the experiments, analyzed the data, and wrote and revised the paper. BQ, JG, KQ, and YX contributed to the interpretation of the results and the revision of the paper.

Competing interests. The authors declare that they have no conflict of interest.

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