



- 1 Evaluating impacts of climate change on future water scarcity in an
- 2 intensively managed semi-arid region using a coupled model of biophysical
- 3 processes and water rights
- 4 5
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#### 10 Key Points:

- A new efficient method that captures the plausible range of variability of future
   climate change along with central tendencies
- A model that explicitly captures the spatiotemporally varying irrigation activities as constrained by local water rights
- An application to a semi-arid watershed to project water scarcity patterns under future climate change scenarios
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- 18





#### 19 Abstract

20	In semiarid and arid regions with intensively managed water supplies, water scarcity is a
21	product of interactions between complex biophysical processes and human activities.
22	Evaluating water scarcity under climate change necessitates modeling how these
23	coupled processes interact and redistribute waters in the system under alternative
24	climate conditions. A particular challenge on the climate input lies in adequately
25	capturing the plausible range of variability of future climate change along with central
26	tendencies. This study generates a large ensemble of daily climate realizations by
27	combining a stochastic weather generator, historical climate observations, and
28	statistically downscaled General Circulation Model projections. Three climate change
29	scenario groups, reflecting the historical, RCP4.5, and RCP8.5 conditions, are
30	developed. A modeling framework is built using the Envision alternative futures
31	modeling platform to 1) explicitly capture the spatiotemporally varying irrigation activities
32	as constrained by local water rights; and 2) project water scarcity patterns under climate
33	change. The study area is the Treasure Valley, an irrigation-intensive semi-arid human-
34	environment system. Climate projections for the region show future increases in both
35	precipitation and temperature. The projected increase in temperature has a significant
36	influence on the increase of the allocated and unsatisfied irrigation amount. Projected
37	changes in precipitation produce more modest responses. The scenarios identify
38	spatially distinct areas more sensitive to water scarcity, highlight the importance of
39	climate change as a driver of scarcity, and identify potential shortcomings of the current
40	water management. The approach of creating climate ensembles overcomes
41	deficiencies of using a few or mean values of individual GCM realizations.





#### 42 Key words:

- 43 Climate change, weather generator, general circulation models, water security, water
- 44 rights, integration

#### 45 1. Introduction

46 Simulations of the global climate system, often called General Circulation Models 47 (GCMs), provide valuable insight into future climate change [Stocker et al., 2014]. There 48 is a growing need to extend these climate model scenarios to regional and local water 49 resources to understand potential impacts at a scale relevant to policy decision making 50 (Giorgi et al., 2009). Climate change is anticipated to impact water resources in a 51 variety of ways including increased vapor pressure deficits associated with higher 52 temperatures [Will et al., 2013], increased frequency of extreme flooding and drought 53 [Cai et al., 2014], shifts in precipitation phase from snow to rain, and changes in the 54 timing and rate of melt of mountain snowpacks [Klos et al., 2014; Vano et al., 2015]. In 55 arid and semi-arid systems where water availability is limited, e.g., the Western US, 56 areas of the Iberian Peninsula, etc., climate change may stress the capacity of physical, 57 cyber, and/or social infrastructure that has been developed to supply sufficient water to 58 meet demands [Bosher et al., 2007; Marston and Cai, 2016].

59 Despite the importance of climate change on water resources, incorporating the effects 60 of climate change into regional hydrologic model is challenging, as both climate and 61 hydrologic systems are complex with numerous underlying uncertainties [Fowler et al., 62 2007]. Large-scale GCMs are most appropriately used for predicting climate change at





63 global scales, and large ensemble experiments with GCMs have shown significant 64 regional, decadal-scale variability despite strong agreement at the global scale 65 [Dominguez et al., 2012; Fildes and Kourentzes, 2011]. Statistical and dynamical 66 downscaling methods have been developed to take outputs from GCMs and create 67 forcings for models in an effort to assess climate change impacts at regional and local 68 scales. However, and despite the increasingly sophisticated process representations 69 within GCMs and improved spatial resolution, GCMs are not designed for the 70 application of hydrological responses to climate change, and little confidence can be 71 placed in application at daily time scales [Ashfaq et. al., 2010; Wilby and Wigley, 1997]. 72 Due to internal climate variability, single realizations from climate models are often 73 insufficient for model comparison to the observational record, model intercomparison. 74 and future projections [Kay et al., 2015]. For example, research has found that even models sharing similar parameterization schemes may produce considerably different 75 daily precipitation statistics [Frei et al., 2003]. Slight changes of the initialization of a 76 77 GCM have been shown to produce completely different regional climate realizations 78 after decades due to the internal randomness and chaos, even though the models still 79 produce consensus estimates at the global scales [Kay et al., 2015]. This climate 80 internal variability/uncertainty can be transferred and enlarged in the daily hydrological 81 models when simulating hydrological runoff responses [Chen et al., 2012].

To overcome this, previous studies have been using multiple downscaled GCMs to incorporate a range of climate change projections [Abatzoglou and Brown, 2012], or using the averaged values from multiple GCMs to reduce the uncertainties from





- 85 individual GCMs [Fordham and W., 2011]. Currently available dynamically and
- 86 statistically downscaled datasets used for climate change impacts studies at regional
- 87 scales do not adequately capture uncertainties both within and between climate models
- in a way that can support robust quantification of the probability distribution function of
- 89 outcomes of interest, like insufficiencies in water supply.
- 90 What is needed are large ensembles of realizations of forcings to drive regional models
- 91 that capture uncertainties within individual GCMs, as well as variability across GCMs.
- 92 Developing forcing ensembles using either statistical and/or dynamical downscaling
- 93 [Abatzoglou and Brown, 2012; Dosio and Paruolo, 2011] can be prohibitively expensive
- 94 because of requirements of storage, computational time, or both. Nevertheless,
- 95 projections of future climate derived from GCMs reflect our best current knowledge of
- 96 future climatic conditions and judicious and thoughtful use in regional climate impacts
- 97 and adaptation studies remains a promising path forward. It is important to recognize, in
- 98 particular, that no single climate model reliably represents future climate at the
- 99 spatiotemporal scales required to support regional and local climate change impacts
- 100 assessments.
- 101 Stochastic weather generators represent a potentially useful tool to help overcome
- 102 some of the challenges of downscaling of GCMs. Stochastic weather generators [Chen,
- 103 et al., 2010; Richardson, 1981; Richardson and Wright, 1984; Semenov and
- Barrow,1997; Wilks, 2010] were developed to create plausible realizations of weather
- 105 variables (particularly temperature, precipitation, and other environmental variables) at
- 106 locations and temporal resolutions when only climatic statistics are available. Typically,





107 stochastic weather generators take as input climatic parameters like the average 108 duration of time between storms, average storm duration and depth, monthly average 109 daytime high temperature and produce realizations of variables like precipitation 110 intensity, air temperature, and wind speed at temporal resolutions of days or hours. In 111 generating realizations of weather variables, they often rely on a number of simplifying 112 assumptions (e.g., exponential distribution of between-storm duration, etc.). They have 113 the advantage of being far more computationally efficient than, for example, using a 114 numerical weather model to generate weather conditions at a location. As a result, stochastic weather generators are useful for generating large ensembles of weather 115 116 conditions required as input to models. 117 At the same time, stochastic weather generators are associated with important 118 limitations with the assumptions underlying the form of the weather generator itself and, 119 perhaps more importantly, the stationarity of the climate processes summarized by the 120 statistics required as input. Specifically, stochastic weather generators cannot predict 121 future climate change because they assume stationarity in the underlying statistics 122 provided as input. Moreover, care is required when using stochastic weather generators 123 to create environmental model forcings to evaluate sensitivities to future climate change 124 because the climate statistics input to stochastic weather generators are likely 125 correlated and cannot be perturbed independently of each other. Additionally, due to the 126 intrinsic uncertainties associated with the output of stochastic weather generators (i.e., 127 the output of a weather generator is a single realization of a stochastic process) it is not 128 possible to identify a single realization as being the most representative or useful. To 129 robustly characterize the probabilistic behavior environmental systems in response to





- 130 uncertain forcings it is necessary to: (1) generate an ensemble of realizations of
- 131 weather, (2) use this ensemble to create a corresponding ensemble of environmental
- 132 outcomes by supplying each weather realization as input to an environmental model,
- 133 and (3) examine the central tendency and variability across all outcomes.
- 134 In this work, we develop a framework for combining the outputs of statistically
- 135 downscaled output from multiple GCMs with stochastic weather generators to evaluate
- the probabilistic potential impacts of climate change on a coupled socio-hydrologic
- 137 system. Using the combination of GCM output and stochastic weather generators has
- 138 previously been used to examine hydrological and ecological impacts of climate change
- 139 [Mikhail, 1997; Xu, 1999]. These previous studies, however, relied on output from only
- 140 one GCM projection, thereby missing potential impacts of climate change across
- 141 uncertainties associated with the spectrum of GCMs currently used for global climate
- 142 change analysis as part of the Intergovernmental Panel on Climate Change (IPCC)
- 143 quadrennial review. In this study, we extend previous efforts by developing techniques
- 144 to use information from multiple GCMs along with an existing stochastic weather
- 145 generator to produce a suite of daily weather variables useful for a broad range of
- 146 environmental models. The developed method first uses statistically downscaled output
- 147 from multiple GCMs to derive an empirical probability distribution function of key
- 148 statistics required as input to the stochastic weather generator. Then the method uses a
- 149 statistic weather generator (WXGN) to create an ensemble of realizations of weather
- 150 and applies it to a hydrologic model.





151 We use this approach to examine the potential ramifications of climate change in a 152 coupled socio-hydrological system through an integrated hydrologic model in an 153 irrigation-intensive, semi-arid watershed. In particular, the coupled socio-hydrological 154 model simulates both biophysical hydrological processes, as well as redistribution of 155 water in accordance with the spatiotemporal regime of water rights operating in the 156 region. By creating an ensemble of climate impacts, this approach allows us to project 157 both future water use and scarcity under three climate change scenarios. An outcome of 158 particular value is insight into the spatial and temporal distribution of disruptions to water 159 supply predicted by the simulations. This information may be at a spatiotemporal scale 160 that is of direct value to stakeholders to help manage their limited water resources. 161 What follows is a description of the methodological approach and experimental setup, a 162 summary of relevant results, and a discussion of potential implications, limitations, and 163 extensions of this work.

#### 164 2. Methods

165 2.1 Using downscaled GCMs to drive stochastic weather generator

#### 166 2.1.1 The WXGN weather generator

A stochastic weather generator produces synthetic time series of weather data of
specified length for a location based on statistical characteristics of observed weather at
that location [Bouzaher et al., 1994]. Due to its consistency and simplicity, various
stochastic weather generators have been designed, built, tested and applied [Chen et
al., 2010; Flecher et al., 2010; Forsythe et al., 2014; Hayhoe and Stewart, 1996; Ivanov,





- 172 2007; Kilsby et al., 2007; Qian et al., 2004; Racsko, 1991; Richardson, 1981;
- 173 Richardson and Wright, 1984; Semenov and Barrow, 1997; Wilks, 2010]. WXGN
- 174 (varyingly also abbreviated as WGEN or WXGEN in the literature) is a frequently used
- 175 weather generator for daily weather variables that are used in various hydrologic,
- agricultural, or environmental models, specifically being developed to support the
- 177 Environmental Policy Integrated Climate (EPIC) Model, Agricultural
- 178 Policy/Environmental eXtender (APEX) Model, and Soil & Water Assessment Tool
- 179 (SWAT). WXGN is based on the daily weather data generator developed by Richardson
- 180 [1981] and Richardson & Wright [1984]. While many stochastic weather generators only
- 181 focus on "major" weather variables such as rainfall and/or temperature, WXGN
- 182 generates a comprehensive package of daily weather parameters for any number of
- 183 years for a location. Generated variables include precipitation, maximum and minimum
- temperature, relative humidity, solar radiation, wind speed, and wind direction. It is
- 185 designed to preserve the dependence in time, internal correlation, and the seasonal
- 186 characteristics that exist in actual weather and climate data [Richardson and Wright,
- 187 1984]. In WXGN, precipitation and wind are generated independent of other variables.
- 188 Precipitation is simulated using a first-order Markovian technique that produces time
- 189 series of daily occurrence of precipitation (i.e., wet or dry days). On wet days,
- 190 precipitation amount is generated using a skewed normal distribution. Maximum
- 191 temperature, minimum temperature, and solar radiation are generated based on a
- 192 continuous multivariate stochastic process, and constrained by whether the day is wet
- 193 or dry [Richardson, 1981]. Relative humidity is obtained from a triangular distribution
- 194 that takes into account the occurrence of rainfall on a particular day. Wind speed is





- 195 generated using a two-parameter gamma distribution that with location and shape
- 196 parameters related to the velocity and frequency of the velocity. Wind direction is
- 197 simulated using an empirical frequency distribution of wind direction specific for each
- 198 location which is essentially the cumulative probability distribution from the monthly
- 199 percentages of wind from each of the 16 directions given by the "Climate Atlas of the
- 200 United States". To estimate wind direction for any day, WXGN draws a uniformly
- 201 distributed random number and locates its position on the appropriate monthly
- 202 cumulative probability distribution of the wind direction.

#### 203 2.1.2 Climate change scenarios design

- 204 Three broad climate categories are developed using the stochastic weather generator to
- 205 facilitate the assessment of climate change effects on water resources. These
- 206 categories include:
- 207 1) Historical: This scenario group evaluates a 30-year historical period as a baseline,
- against which the two other categories of climate change impacts are compared.
- 209 2) RCP4.5: This scenario group adopts the GCM projections from IPCC Representative
- 210 Concentration Pathways (RCPs) RCP4.5, reflecting the stabilization scenario in which
- total radiative forcing is assumed to be stabilized before 2100 by employing a range of
- 212 technologies and strategies for reducing greenhouse gas emissions. It assumes that net
- 213 anthropogenic radiative forcing values in the year 2100 will be 4.5 W/m<sup>2</sup> above
- 214 preindustrial values.





- 215 3) RCP8.5: This scenario group adopts the GCM projections from IPCC RCP8.5,
- 216 reflecting increasing greenhouse gas emissions over time. This scenario group
- 217 represents the most extreme warming outlook captured by the IPCC assessment and is
- 218 meant to represent a "business as usual" response to global warming. It represents a
- 219 net anthropogenic radiative forcing of 8.5 W/m<sup>2</sup> relative to preindustrial values in the
- 220 year 2100.
- 221 For each scenario group, we generate an ensemble of realizations of daily weather
- variables required as input to a model of a coupled socio-hydrological system, using the
- 223 WXGN stochastic weather generator. We explicitly represent uncertainty in future
- 224 projections of climate change by sampling the climate parameters required as input to
- 225 WXGN from an empirical distribution function representing multiple GCMs. We then use
- these daily weather ensembles to force an existing model of a coupled socio-
- hydrological system based on the Envision framework [Bolte et al., 2006]. We compare
- simulations of future climate against the benchmark historical simulations, allowing us to
- 229 compare both the central tendencies of future changes to the system along with
- 230 potential ranges of variability about those central tendencies. Details are provided
- 231 below.

#### 232 Figure 1 The flow chart of climate data generation

- 233 Daily climate data were extracted from weather stations (historical) and downscaled
- 234 GCMs (projections of RCP4.5 and RCP8.5). The data were then summarized to get
- 235 monthly climate variables which were then statistically analyzed to get the
- representative ranges (25% to 75%). Future projections of the monthly climate variables





- 237 were generated using a Latin Hypercube Sampling. Then Ensembles of daily variables
- 238 were generated using statistical weather generator (WXGN). These data (210 sets of
- 239 30-yr daily climate data) were then employed as input for Envision runs to drive the
- 240 integrated hydrologic model.

#### 241 **2.2 Climate data collection and processing**

242 The flowchart of climate data collection and processing is shown in Figure 1. The

243 historical climate data corresponds to observations collected at the Boise Air Terminal

244 weather station from 1980 – 2014. Future regional climate projections were adopted

245 from MACAv2-METDATA dataset, which used the Multivariate Adaptive Constructed

Analogs (MACA) statistical downscaling method to downscale GCMs from the Coupled

247 Model Inter-Comparison Project 5 (CMIP5) [Abatzoglou and Brown, 2012]. The dataset

248 has been bias corrected and was trained using the gridded, high resolution (4 km), daily

249 surface meteorological dataset METDATA [Abatzoglou, 2013], which was bias

250 corrected and validated against an extensive network of weather stations including

251 RAWS, AgriMet, AgWeatherNet, and USHCN-2. A total of 20 GCMs were downscaled,

and 11 downscaled GCMs were selected due to the data availability at the time of

253 downloading, and data completeness (Table 1). The data are then processed following

the steps below.

# Table 1 CMIP5 models used in this study for downscaled climate data and the

- 256 model development centers
- 257 Step #1: Analyzing representative ranges





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258	13 climate variables ( $V_i$ ) that are needed by WXGN for the generation of daily weather
259	files are calculated and summarized for the historical observations and downscaled
260	GCMs of RCP4.5 and RCP8.5 (Table 2). This step creates one set of climate variable
261	statistics for the historical climate, and 11 sets of climate variable statistics for the 11
262	sets of GCMs. Each set of climate variable statistics include average monthly climate
263	variables as shown in Table 2. The historical scenario group only has one set of climate
264	variable statistics. However, for the RCP4.5 and RCP8.5 scenario groups, multiple
265	GCMs incorporate extreme values that are "outliers" of general future climate
266	projections in the variable statistics (Figure 2). As such, we used the $25^{th}$ and $75^{th}$
267	percentiles of each monthly variable statistics as the representative ranges of possible
268	climate projections. For RCP4.5 and RCP8.5 scenario groups, we sorted the values of
269	each variable statistics, and calculated the percentiles as
270	$100 \times (0.5/n)$ th, $100 \times (1.5/n)$ th,, $100 \times ([n-0.5]/n)$ th (n=11 in this case). Then the 25 <sup>th</sup>
271	percentile $V_{i_{25th}}$ and the 75 <sup>th</sup> percentile $V_{i_{75th}}$ are linearly interpolated based on the closest
272	percentile values, and we use the range between $V_{i_{25th}}$ and $V_{i_{75th}}$ to denote the
273	representative values of the sample. This design removes the extreme variations of
274	each variable statistics, and can better reflect the climate change paths that are more
275	likely to occur by the projection of GCMs.
276	Table 2: 13 climate variables summarized from GCMs for WXGN use to generate

278 Figure 2: Boxplot of monthly climate variables over 11 GCMs, using only

ensemble of daily climate realizations.

279 precipitation as an example. Boxplot of the other 12 variables is included in the





- appendix A. The circles indicate the historical monthly precipitation. The large
- variance indicates that an ensemble of climate realizations is necessary to
- 282 capture the variations of future climate change.
- 283 Step #2: Random sampling
- 284 Latin Hypercube Sampling (LHS) was used to sample 10 sets of monthly climate
- statistics within the representative range  $(V_{i_{15th}} \sim V_{i_{7th}})$  of each variable statistics for
- 286 RCP4.5 and RCP8.5 scenario groups. For a function of a certain number of variables,
- the LHS approach equally divides the range of each variable into M (here, M = 10)
- 288 probable intervals. Within each interval, the variable is randomly sampled once. This
- 289 method ensures that each variable is evenly sampled, and the M (here, M = 10) random
- sampled values for each variable will include values that have a relatively low probability
- 291 of occurrence. As such, the approach allows a stable output with a much smaller
- 292 number of samples than a simple Monte Carlo sampling. Since distributed daily
- 293 hydrologic models are usually computationally expensive, this sampling method makes
- simulation more practical with limited number of samples. This step generates 10 sets
- 295 of randomly sampled monthly statistics for RCP4.5 and RCP8.5 scenario groups,
- 296 respectively.

#### 297 Step #3: Daily weather generation

- 298 We used WXGN to create 10 sets of daily weather data based on each randomly
- sampled RCP4.5 and RCP8.5 variable statistics, and the historical monthly statistics.
- 300 This step creates 100 sets of 30-year (3000 years) future daily weather data for the





301 RCP4.5 scenario group, 100 sets of 30-yr (3000 years) of future daily weather data for 302 the RCP8.5 scenario group, and 10 sets of 30-yr (300 years) of future daily weather 303 data for the historical scenario group. The daily data reflects the statistics of the 10 sets 304 of samples from RCP4.5, 10 sets of samples from RCP8.5, and the 1 set of statistics 305 from historical observations. These daily weather datasets, reflecting future climate 306 scenarios, were then served as inputs for the daily time step hydrologic model in 307 Envision.

#### 308 2.3 Coupled socio-hydrology systems model

309 An integrated socio-hydrologic model that simulates spatially explicit water use based 310 on local water rights is used to evaluate spatiotemporal patterns of water scarcity in the 311 context of potential future climate. A detailed overview of the biophysical and water 312 rights components of the model, the datasets used to parameterize boundary 313 conditions, calibration to and verification against historical data, and limitations of the 314 model in the context of those calibration/verification exercises was previously described 315 by Han et al. [2017]. Here we provide a brief overview of the key model components 316 pertinent to this study. 317 The socio-hydrologic model is developed within the Envision modeling framework, a 318 spatially explicit multi-agent simulation platform for evaluating potential landscape 319 changes arising from interactions between and among complex biophysical and social

320 processes [Bolte et al., 2006]. The model used here employs a slightly revised semi-

- 321 conceptual Hydrologiska Byråns Vattenbalansavdelning (HBV) model to simulate
- 322 hydrologic processes. The HBV model is implemented here as a semi-lumped model,





323 operating on spatial elements of Hydrologic Response Units (HRUs) with relatively 324 similar elevation and land cover. At each HRU, the instantaneous temporal change in 325 five water reservoirs (snow, soil moisture, an upper groundwater reservoir, a lower 326 groundwater reservoir, and lake storage) is balanced by incoming precipitation, 327 outgoing evapotranspiration, and outgoing runoff fluxes. Precipitation phase (snow vs. 328 rain) is determined based on whether the daily average air temperature exceeds a 329 constant threshold. Evapotranspiration is modeled using the FAO56 Penman-Monteith 330 method as specified by the UN Food and Agriculture Organization (FAO) in paper 331 number 56 (Allen et al., 1998) and in Allen and Robison (2007). In this approach, 332 potential evapotranspiration at each HRU is the product of a land use dependent crop 333 coefficient and a calculated reference potential evapotranspiration corresponding to full-334 cover alfalfa, given the meteorological forcings of that day. Runoff is parameterized 335 through a series of three outflow equations wherein runoff is linearly proportional to 336 water in excess of a threshold value in the upper groundwater reservoir, storage in the 337 upper groundwater reservoir, and storage lower groundwater reservoir. The constants 338 of proportionality for these outflow equations are treated as calibrated parameters. 339 Runoff generated at each HRU is routed via two guasi-linear equations to the stream 340 network (represented by hydrography data), wherein channel routing is treated as a 341 linear reservoir process.

Irrigation activities are simulated based on water rights data provided by the Idaho
Department of Water Resources; these water rights are based on the Doctrine of Prior
Appropriation (Tarlock, 2000). Each record in the water rights dataset is associated
with: 1) a priority date on which a water user is entitled to withdrawals from the surface





346 water distribution system, 2) the geographic point of diversion, 3) the maximum 347 diversion rate from the point, and 4) the geographic place of use. Within each time step 348 and for each HRU, the model examines the available water in the stream, the 349 biophysical water demand of the agricultural land within the HRU, and the water rights 350 associated with a place of use coincident with the HRU. Water allocated for irrigation is 351 the minimum of these three quantities. The unsatisfied water is the difference between the amount of water demanded and allocated for each place of use in the model. The 352 353 model was calibrated and validated by varying the parameters using a Monte-Carlo 354 approach and comparing the simulated hydrographs with observations under historical 355 conditions corresponding to water years 2006-2013. Detailed descriptions of the 356 calibration and validation processes, and the underlying algorithms are described by 357 Han et al., [2017].

358 As previously stated, the upstream surface water hydrology boundary condition in the 359 Lower Boise River Basin corresponds to hydrologic output of a system of large 360 reservoirs within the Upper Boise River Basin, a snow-dominated, mountain, largely 361 forest covered, watershed. Although climate change, particularly in the form of shifts in 362 precipitation phase from snow to rain, is expected to significantly alter hydrologic 363 regimes in the Upper Boise River Basin, we do not consider these potential changes in 364 order to reduce the complexity of our analysis. As such, the upstream inflow boundary 365 to our simulation domain (the discharge from the Lucky Peak Reservoir) is set to be the 366 same as a normal year, taking 2012 as an example. While future changes in water 367 rights depend on future real estate transactions, growth in the extent of urban areas, 368 and potential changes in water rights laws, we assume that the attributes of the water





- 369 rights data remain the same over time. Further, we do not take into account
- 370 technological changes that may significantly increase water use efficiency in the
- agricultural sector and lead to a lower irrigation water demand and actual water use in
- the future. Given these assumptions, the impacts of climate change on water availability
- 373 at the watershed were quantified based on the allocated irrigation amount and the
- unsatisfied irrigation amount for the years from 2071 to 2100. Since the weather
- 375 generator replicates overall statistics instead of "predicting" inter-annual differences, the
- 376 comparison between any specific two years within a scenario group are meaningless.
- 377 As such, we treat the data of each year as an independent realization of the potential
- outcomes within the large ensemble of data over the thirty years of simulation.
- 379 Ensemble characteristics and statistics are then compared between the three scenario380 groups.

#### 381 **2.4 Datasets used in the model**

382 Environmental forcing data used in this study corresponds to statistically downscaled 383 output from a suite of GCMs that are summarized in Table 1. Each forcing dataset 384 includes daily precipitation, maximum temperature, minimum temperature, specific 385 humidity, solar radiation, and wind speed. These variables, required as input drivers to 386 the socio-hydrologic model, were extracted for the grid point that coincides with the 387 Boise Air Terminal (43.5644° N, 116.2228° W) for the years 2071-2100 to represent the 388 local future climate. Historical data of the same forcing variables at Boise Air Terminal 389 were obtained from the National Climatic Data Center and National Solar Radiation 390 Database for the period 1981-2014. Water rights data was obtained from the Idaho





391 Department of Water Resources, which provides the point of diversion, priority date, 392 maximum allowable allocation, and place of use records required as input to the socio-393 hydrologic model, updated as of 2010. We selected only those records associated with 394 an irrigation water use. Input to the Boise River at the upstream boundary of the domain 395 corresponds to daily historical discharge from Lucky Peak reservoir for calendar year 396 2012, and is assumed consistent between years and were obtained from the US Bureau 397 of Reclamation Pacific Northwest Hydromet database. Land use data corresponds to 398 the National Landcover Dataset (NLCD 2011) and is used to both to construct the 399 computational domains and HRUs and in calculation of evapotranspiration. Stream and 400 watershed boundaries were obtained from the NHDPlus Version 2 dataset, which 401 provides geospatial data used in flow routing. These datasets are summarized in Table 402 3.

#### 403 **2.5 Study area**

The study site corresponds to the Lower Boise River Basin, also known as the Treasure 404 405 Valley, in southwest Idaho, USA (Figure 3). The region is the most populous and rapidly 406 growing area within the state and serves as a natural laboratory for studying ongoing 407 challenges associated with population growth, urbanization, agricultural production, and 408 climate and hydrologic change. Climate in the Treasure Valley is generally consistent 409 with a semi-arid Mediterranean with hot, dry summers and cold, wet winters. In the 410 absence of agricultural and developed land uses, vegetation cover in the region is 411 consistent with the Sagebrush-steppe ecosystem of the larger Great Basin ecoregion. 412 Historical average precipitation is 296 mm/y at the Boise Air Terminal weather station.





- 413 Importantly, the Treasure Valley is home to Idaho's three largest cities (Boise, Meridian,
- 414 and Nampa). Population of the Boise City-Nampa Metropolitan Statistical Area (MSA)
- 415 was estimated to be 690,423 as of 2016, up from 616,561 in the 2010 Census, an
- 416 average annual increase of 1.9%. Thus, the Treasure Valley region is being subjected
- 417 to significant land use conversion, resulting in changes to biophysical and social
- 418 systems, and interactions between the two.
- 419 Climate exerts a significant control on the use of water resources within the region.
- 420 More than half of the total precipitation that falls within the Treasure Valley, which is not
- 421 sufficient to support many high-value crops, occurs during the non-irrigation season. As
- 422 such, local agriculture relies heavily on irrigation water from the Upper Boise River
- 423 Basin, particularly during the hot, dry portion of the growing season. Climate change
- 424 driven increase in temperatures in the Treasure Valley not only increases atmospheric
- 425 water demand during the growing season, but impacts of climate change shifts the
- timing, amount, and phase of precipitation that will lead to earlier runoff and increased
- 427 variability from the Upper Boise River Basin.
- 428 Irrigation is facilitated by a series of reservoirs upstream of the Treasure Valley that
- 429 store and regulate water from the Upper Boise River Basin. Lucky Peak Reservoir,
- 430 which is operated jointly by the US Army Corps of Engineers and the Bureau of
- 431 Reclamation for purposes of flood control and irrigation water supply, is the lower-most
- 432 of these reservoirs. Water released from Lucky Peak Reservoir flows along the Boise
- 433 River for about 103 km (64 miles) northwestward through the Treasure Valley to its
- 434 confluence with the Snake River. A number of canals and diversion dams have been
- 435 built along the Boise River to divert water to water rights holders, the vast majority of





436 which are farmers using the water for irrigation. Irrigation has dramatically altered the 437 originally desert landscape into a patchwork of seasonally irrigated agricultural lands of 438 varying crops. Urban growth, shifts in crops grown in the Treasure Valley associated 439 with global marked demands, and changes in irrigation practices (e.g., shifts from 440 flooding to sprinkler and drip irrigation) drive changes in the spatial patterns of land and 441 water use. Despite the importance of water resources and potential threats of water 442 scarcity, there have been limited studies regarding future water availability and scarcity 443 in this region [Petrich, 2004; Urban and Petrich, 1996]. This research aims to examine 444 the agricultural irrigation water demand and water scarcity under future climate change 445 scenarios, using the generated ensemble of climate change realizations. The work is 446 built upon an integrated hydrologic model that incorporates hydrological processes and 447 the irrigation activities which follow the local water rights. Three important outcomes of 448 this study are 1) a methodology that facilitates the creation of an ensemble of climate 449 change scenarios that is suitable for daily hydrologic model input; 2) A modeling 450 framework for the integration of hydrological processes, human irrigation activities, and 451 climate change; 3) References to help local stakeholders with decision making to adapt 452 to future climate change.

- 453 Figure 3: Study area: the Treasure Valley.
- 454 **3. Results**
- 455 **3.1 Climate change analysis**

To illustrate the degree to which the use of the stochastic weather generator captures
variation in key climate parameters across GCMs, we show the probability density





- 458 functions (PDFs) of the output of the stochastic weather generator for annual
- 459 precipitation amount, maximum temperature and minimum temperature (Figure 4).
- 460 Overall, the most likely precipitation amount in the RCP8.5 scenario group is larger than
- that in the RCP4.5 group and Historical group, as shown in the probability density
- 462 function figure (Figure 4). The average annual precipitation increases by 11% from
- 463 Historical to RCP4.5 conditions, and by 29% to RCP8.5 conditions. However, a
- significant overlap between precipitation probability density functions exist in the three
- scenario groups. For example, it is likely that precipitation in RCP8.5 is smaller than that
- 466 in RCP4.5 or even Historical group in some sets of climate realizations.

467 The PDFs of maximum temperature and minimum annual temperature increase 468 significantly in the future, and are much narrower in comparison to the precipitation 469 pattern (Figure 5). The annual mean maximum/minimum temperature is highest in the 470 RCP8.5 scenario group, lowest in the Hstorical scenario group. The maximum 471 temperature in RCP8.5 group is consistently higher, on average by 4.1 °C than the 472 temperature in the Historical group. The maximum temperature in the RCP4.5 group is 473 consistently larger than that in the Historical group by an average of 1.7 °C. The 474 minimum temperature in RCP8.5 is consistently larger than that in the Historical 475 scenario by an average of 5.6 °C. The minimum temperature in RCP4.5 is consistently 476 larger than that in the Historical group by an average of 3.2 °C. The average daily 477 temperature increases by 4.9 °C in RCP8.5 scenario group, and by 2.5 °C in the 478 RCP4.5 scenario group. Temperature increase in the Treasure Valley is at the higher 479 end of the IPCC CIMP5 projected global trend which, in general, projects a temperature





- 480 increase of 1.1°C to 2.6°C for RCP4.5, and an increase of 2.6°C to 4.8°C for RCP8.5 by
- 481 the end of the 21<sup>st</sup> century [T F Stocker, 2014].
- 482 Figure 4: The annual precipitation used to drive the hydrologic model
- Figure 5: The annual maximum (Tmax) and minimum (Tmin) temperatures used to
- 484 drive the hydrologic model
- 485 **3.3 Irrigation water analysis**
- 486 The simulation results from a total of 210 runs of the integrated socio-hydrologic model
- 487 indicate, unsurprisingly, that more irrigation water is needed to fulfill the crop water
- 488 demand in the future. The average annual allocated irrigation water is highest in the
- 489 RCP8.5 scenario group (Figure 6). The average annual allocated irrigation water in both
- 490 RCP4.5 (8.2 x 10<sup>5</sup> acre-feet) and RCP8.5 (8.9 x 10<sup>5</sup> acre-feet) scenario groups is higher
- 491 than the Historical scenario (6.7 x 10<sup>5</sup> acre-feet), an increase of 22% and 33%,
- 492 respectively. However, the ensemble between the three scenarios overlap one another
- 493 due to the extremes captured by realizations of the weather generator. This overlap
- 494 indicates extreme water use scenarios that deviate significantly from the average future
- 495 projections. As such, although examining the mean/median values from a large
- 496 ensemble of analysis is useful for understanding the central tendencies of potential
- 497 future agricultural water demands in the region, the entirety of the ensemble allows a
- 498 more sophisticated interpretation of potential future outcomes, particularly those that
- 499 could be low probability events but of significant consequences.





#### 500 Figure 6: The annual amount of allocated irrigation water under 3 different

- 501 scenarios
- 502 Similar to the allocated amount, the average annual unsatisfied irrigation water is also
- 503 highest for RCP8.5 scenario group (Figure 7). The average annual unsatisfied irrigation
- 504 water in both RCP4.5 and RCP8.5 scenario groups are higher than the Historical
- scenario group. Similar with allocated irrigation water, there is also overlap in
- 506 unsatisfied irrigation between all scenarios. The mean value of the unsatisfied water
- 507 increases from about  $1.7 \times 10^4$  acre-feet in the Historical scenario group to about 2.7 x
- 508 10<sup>4</sup> acre-feet in the RCP4.5 scenario group and 4.2 x 10<sup>4</sup> acre-feet in the RCP8.5
- scenario group, an increase of 59% and 147%, respectively. The results underscore the
- 510 value of using ensembles of model simulations to assess potential future outcomes, as
- 511 a few realizations were associated with extreme values of unsatisfied irrigation that are
- 512 not reflected the central tendencies of the PDFs.

#### 513 Figure 7: The annual amount of unsatisfied irrigation water under 3 different

514 scenarios

The ensemble simulation also allows us to assess spatial locations within the domain most likely to be associated with unsatisfied water demand under future climates and, by comparing to geospatial data characterizing biophysical and social constraints on hydrology in the region, to draw inference about key characteristics of the landscape associated with water shortages (Figure 8, Figure 9). The model-simulated allocation rate indicates that the southwest part of the study domain receives the most allocated water, while the corridor immediately abutting the downstream portions of the Boise River





522 receives relatively less allocated water. Conversely, the unsatisfied irrigation water is

523 largest along the downstream Boise River.

524 However, there is also a significant amount of water scarcity in the southwest part of the 525 domain (Wilder Irrigation District approximate to Lake Lowell). Throughout the domain, 526 where there is water allocated to irrigation there is a significant increase in both water use 527 and water scarcity relative to Historical conditions, in the RCP 4.5 and RCP 8.5 conditions. 528 Looking more specifically at the southwest part (Wilder Irrigation District), the mean 529 allocated irrigation rate increases from 737 mm/y to 909 mm/yr to 996 mm/yr from the 530 Historical to the RCP4.5, and then to the RCP8.5 scenario groups, an increase of 23% 531 and 35% respectively. Although the area is senior in water rights (water rights in the region 532 were claimed between 1864 to 1927), the mean unsatisfied irrigation rate increases from 533 13 mm/y to 19 mm/y to 31 mm/y from the Historical to the RCP 4.5, and then to the RCP 534 8.5 scenario groups, an increase of 46% and 138% respectively. Using ensemble mean 535 values avoids the large discrepancies from individual simulations. For example, the 536 allocated irrigation rate at the 85 percentile varies from 789 mm/y in the Historical to 948 537 mm/y in the RCP4.5 to 1041 mm/y in the the RCP8.5 groups, and the unsatisfied irrigation 538 rate at the 85 percentile varies from 20 mm/y in the Historical to 27 mm/yr in the RCP4.5 539 to 42 mm/yr in the RCP8.5 groups. 540 Figure 8: The annual amount of allocated irrigation water under 3 different scenario

541 groups (Spatial Maps. Show mean, and 85 and 15 percent range for each scenario

542 group)





- 543 Figure 9: The annual amount of unsatisfied irrigation water under 3 different scenario
- 544 groups (Spatial Maps. Show mean, and 85 and 15 percent range for each scenario
- 545 group)
- 546 4. Discussion

#### 547 **4.1 Adopting stochastic weather generators with GCM output**

- 548 The use of multiple GCM projections in combination with the stochastic weather
- 549 generator to generate ensembles of future climate realizations offers some key
- advantages in assessing the potential future ramifications for coupled socio-hydrologic
- 551 systems. The results are are broadly consistent with the GCM output, but also account
- 552 for variability in climatic conditions as captured by a variety of GCMs while providing
- 553 insights into local climatic perturbations
- 554 First, the method allows an unlimited number of future daily climate data with monthly
- statistics that are derived from multiple GCMs. In this way, the method avoids the
- 556 deficiencies of using a single GCM or a simple mean of multiple GCMs that may lead to
- 557 biased future projections, and avoids the deficiencies of limited number of GCMs that
- 558 cannot provide enough reliable daily climate data for hydrologic models.

- 560 Second, stochastic weather generators (like WXGN) are a relatively computationally
- 561 inexpensive method for generating daily climate variables needed by a diverse array of
- 562 hydrologic and ecological models. They are also relatively easy to use and
- 563 parameterize, making them amenable to a variety of different climate change





- 564 assessment applications and techniques. The resulting ensemble of outputs generated
- 565 with the corresponding ensemble of climate realizations used as input allow for a more
- sophisticated analysis of potential future impacts of climate change, both in terms of the
- 567 central tendencies of change and potentially low-risk, high-consequence outcomes.
- 568 It should be added that the proposed method is not appropriate for all circumstances.
- 569 The method we develop and apply here is most suitable for hydrologic and ecologic
- 570 models that needs numerous sets of long-term daily climate inputs. For example, in our
- 571 case study, we need daily hydrologic simulation to allow for real-time water rights
- allocations. The method may not be necessary for all conceptual modes or lump-sum
- 573 models that only require rough water balance estimations.
- 574 Although the application of the stochastic weather generator to create ensembles of
- 575 climate input to a socio-hydrologic model is methodologically straightforward, simulating
- 576 an ensemble of climate realizations still requires a relatively large amount of
- 577 computational time. This is particularly true for spatially distributed hydrologic models.
- 578 There is, therefore, a need to balance larger ensembles against higher spatial
- 579 resolutions when a spatially distributed model is being used.

#### 580 **4.2** The effects of climate change on regional scale hydrology and irrigation

- 581 Both temperature and precipitation are important climate variables that affect regional
- 582 hydrology and irrigation demand. Temperature directly influences potential
- 583 evapotranspiration and crop water demand (Figure 10, Figure 11). Under the same
- 584 upstream inflow conditions, the allocated and unsatisfied irrigation water has a clear





- 585 monotonic relationship with temperature across scenario groups. There is an increase 586 of allocated irrigation amount with the increase of maximum temperature and minimum 587 temperature. Although there is significant overlap between scenario groups, the overall
- \_\_\_\_
- trend of an increasing irrigation water demand and scarcity from historical conditions to
- 589 RCP4.5 and RCP8.5 is evident.
- 590 The influence of precipitation on allocated and unsatisfied water is not as clear as that
- of temperature (Figure 12). In the Treasure Valley, over half of the precipitation happens
- 592 in the non-irrigation season, and most of the irrigation water relies on diversion from
- 593 streams and reservoirs. As such, precipitation change in the immediate region of the
- 594 Treasure Valley is not as important as temperature change with regard to water demand
- and use. Instead, precipitation in the upper Boise River Basin that provides snowpack
- 596 for irrigation water will exert a more significant influence on downstream water demand.
- 597 Figure 10 The scatterplot of the allocation irrigation amount and the unsatisfied
- 598 irrigation amount with maximum and minimum temperature under three scenario
- 599 groups. The solid dots indicate the mean values of each scenario group.
- 600 Figure 11 The annual amount of evapotranspiration rate under 3 different
- 601 scenario groups
- Figure 12 The scatterplot of the allocation irrigation amount and the unsatisfied
- 603 irrigation amount with precipitation under three scenario groups. The solid dots
- 604 indicate the mean values of each scenario group.
- 605 4.3 Future work
- 606 In illustrating the influence of climate change on the future water availability this work,
- 607 does not consider population and land use change. Both of these factors will have





608 potentially significant influence on future water use in the region. Incorporating these 609 potential land use changes would provide additional insight into changes to future water 610 resources of the region. The present work also assumes that the input to the river 611 system from the Upper Boise River Basin is captured by the observed flows in a typical 612 year (calendar year 2012) in the Boise River. Despite these acknowledged limitations, 613 this work illustrates the use of an ensemble-based method for climate change impact 614 analysis that is of value in quantifying the central tendencies and variability about changes in future water use in a strongly coupled socio-hydrologic system. 615

#### 616 5. Conclusions

617 This study develops an ensemble approach for creating daily climate realizations 618 combining a stochastic weather generator and downscaled General Circulation Model 619 (GCM) projections. The generated ensemble of climate data is then used to drive an 620 integrated socio-hydrologic model using the Envision scenario-based modeling 621 framework. In this way, the model captures both spatially explicit irrigation activities 622 constrained by local water rights, and future changes in climate and their impact on 623 atmospheric water demand in the region. We tested this model in a rapidly growing 624 region of Idaho, USA. Results show that, on average, precipitation amount increases 625 slightly and temperature increases significantly in future climate scenarios. Temperature 626 increases are particularly pronounced in the RCP8.5 scenarios. The increase of 627 temperature has direct influence on the increase of the allocated and unsatisfied 628 irrigation amount, while the impacts of slightly increased mean annual precipitation (but 629 increased interannual variability in mean annual precipitation) on water use are less





- 630 obvious and more uncertain. The model also predicts spatial patterns in water allocation
- and scarcity and the ensemble approach allows us to identify regions within the study
- area that will be more prone to insufficient water supply in the future. Although the
- 633 developed model is associated with some key simplifications that limit, for instance, the
- 634 ability to draw inferences about future groundwater-surface water interactions, the
- approach presented here could be applied to more sophisticated modeling frameworks
- to elicit broader conclusions about system behavior. Moreover, the framework
- 637 presented here is portable to other geographic settings where legal frameworks dictate
- 638 the timing, amount, and priority of water use.

Author contributions: Bangshuai Han and Alejandro N. Flores designed this research
and interpreted the results. Bangshuai Han conducted the research. Bangshuai Han
prepared the manuscript with the help with Shawn G. Benner, Alejandro N. Flores, and
get agreement for submission with all co-authors.

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- 651 debugging. Data associated with this manuscript has been permanently archived and
- made public with DOI: https://doi.org/10.18122/B20133.





#### 653 List of Tables

- Table 1 CMIP5 models used in this study for downscaled climate data and the model development centers
- Table 2 13 climate variables summarized from GCMs for WXGN use to generate
- 657 ensemble of daily climate realizations
- 658 Table 3 Datasets used and the source link in the study





#### 660 List of Figures

661 Figure 1 The flow chart of climate data generation. Daily climate data was extracted 662 from weather stations (historical) and downscaled GCMs (projection of RCP4.5 and 663 RCP8.5). The data were then summarized to get monthly climate variables which were 664 then statistically analyzed to get the representative ranges (25% to 75%). Future 665 projections of the monthly climate variables were generated using a Latin Hypercube 666 Sampling. Then Ensembles of daily variables were generated using statistical weather generator (WXGN). These data (210 sets of 30-yr daily climate data) were then 667 668 employed as input for Envision running to drive the integrated hydrologic model. 669 Figure 2: Boxplot of monthly climate variables over 11 selected GCMs, using only 670 precipitation as an example. Boxplot of all variables are included in Appendix A. The 671 circles indicate the historical monthly precipitation. The large variance indicates that an 672 ensemble of climate realizations are necessary to capture the variations of future 673 climate change. See Appendix A for boxplot of all monthly climate variables. 674 Figure 3 Study area: the Treasure Valley 675 Figure 4 The probability density function of the annual precipitation used to drive the 676 hydrologic model. 677 Figure 5: The annual maximum (Tmax) and minimum (Tmin) temperatures used to drive 678 the hydrologic model. 679 Figure 6 The annual amount of allocated irrigation water under 3 different scenario 680 groups (Line figure. Show mean, and 85% and 15% range for each scenario group) 681 Figure 7 The annual amount of unsatisfied irrigation water under 3 different scenario 682 groups 683 Figure 8 The annual amount of allocated irrigation water under 3 different scenario 684 groups (Spatial Maps. Show mean, and 85 and 15 percent range for each scenario 685 group) 686 Figure 9 The annual amount of unsatisfied irrigation water under 3 different scenario groups (Spatial Maps. Show mean, and 85 and 15 percent range for each scenario 687 688 group) 689 Figure 10 The scatterplot of the allocation irrigation amount and the unsatisfied irrigation 690 amount with maximum and minimum temperature under three scenario groups. The 691 solid dots indicate the mean values of each scenario group. 692 Figure 11 The annual amount of evapotranspiration rate under 3 different scenario 693 groups 694 Figure 12 The scatterplot of the allocation irrigation amount and the unsatisfied irrigation 695 amount with precipitation under three scenario groups. The solid dots indicate the mean 696 values of each scenario group. 697 698 699





700

# 701 Table 1 CMIP5 models used in this study for downscaled climate data and the

## 702 model development centers

Model	Development Center
BNU-ESM	College of Global Change and Earth System Science, Beijing Normal University, China
CanESM2	Canadian Center for Climate Modeling and Analysis
CNRM-CM5	National Center of Meteorological Research, France
CSIRO-Mk3-6-0	Commonwealth Scientific and Industrial Research Organization/Queensland Climate Change Center of Excellence, Australia
GFDL-ESM2G	NOAA Geophysical Fluid Dynamics Laboratory, USA
GFDL-ESM2M	NOAA Geophysical Fluid Dynamics Laboratory, USA
IPSL-CM5A-LR	Institut Pierre Smon Laplace, France
IPSL-CM5A-MR	Institut Pierre Smon Laplace, France
IPSL-CM5B-LR	Institut Pierre Smon Laplace, France
MIROC5	Atmosphere and Ocean Research Institute (The University of Tokyo), National Institute of Environmental Studies, and Japan Agency for Marine-Earth Science and Technology
MRI-CGCM3	Meteorological Research Institute, Japan

703





# Table 2 13 climate variables summarized from GCMs for WXGN use to generate ensemble of daily climate realizations

Variable	Description
PRECIP	Average monthly precipitation
TMAX	Average monthly maximum air temperature
TMIN	Average monthly minimum air temperature
PWD	Monthly probability of wet day after dry day
PWW	Monthly probability of wet day after wet day
DAYP	Average number days of rain per month days
RAD	Average monthly solar radiation
SDMX	Monthly average standard deviation of daily maximum temperature
SDMM	Monthly average standard deviation of daily minimum temperature
SDRF	Monthly standard deviation of daily precipitation
SKRF	Monthly skew coefficient for daily precipitation
RH	Monthly average relative humidity (fraction)
WS	Average monthly wind speed

707





## 709 Table 3 Datasets used and the source link in the study

Input Data	Data Source	Year	Use in Model	Link
Streams	NHDPlus	2012	Build stream network and flow routing	http://www.horizon- systems.com/nhdplus/NHDP lusV2_17.php
Land use/land cover	National Landcover dataset (NLCD)	2011	Evaportranspirtai on	http://www.mrlc.gov/nlcd201 1.php
Water Rights	Idaho Department of Water Resources (IDWR)	2010	Irrigation (Watermaster)	http://www.idwr.idaho.gov/ftp /gisdata/Spatial/WaterRights
Major climate variables	National Climatic Data Center (NCDC)	1981- 2014	Climate input	http://www7.ncdc.noaa.gov/ CDO/cdodata.cmd
Solar radiation	National Renewable Energy Laboratory (NREL)	1981- 2010	Climate input	http://rredc.nrel.gov/solar/old _data/nsrdb/
Reservoir Inflow	Hydromet Pacific Northwest Region	2012	Inflow boundary	http://www.usbr.gov/pn/hydr omet/arcread.html

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714 Figure 1 The flow chart of climate data generation. Daily climate data was

715 extracted from weather stations (historical) and downscaled GCMs (projection of

716 RCP4.5 and RCP8.5). The data were then summarized to get monthly climate

717 variables which were then statistically analyzed to get the representative ranges

718 (25% to 75%). Future projections of the monthly climate variables were generated

719 using a Latin Hypercube Sampling. Then Ensembles of daily variables were

720 generated using statistical weather generator (WXGN). These data (210 sets of 30-

yr daily climate data) were then employed as input for Envision running to drive

722 the integrated hydrologic model.







724

Figure 2 Boxplot of monthly climate variables over 11 selected GCMs, using only
precipitation as an example. Boxplot of all variables are included in Appendix A.
The circles indicate the historical monthly precipitation. The large variance
indicates that an ensemble of climate realizations are necessary to capture the
variations of future climate change. See Appendix A for boxplot of all monthly
climate variables.







732 Figure 3 Study area: the Treasure Valley







Figure 4 The probability density function of the annual precipitation used to drive
 the hydrologic model.









Figure 5 The annual maximum (Tmax) and minimum (Tmin) temperatures used to drive the hydrologic model.

743







745

Figure 6 The annual amount of allocated irrigation water under 3 different
 scenario groups (Line figure. Show mean, and 85% and 15% range for each
 scenario group)

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752 753 Figure 7 The annual amount of unsatisfied irrigation water under 3 different scenario groups

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758

759 Figure 8 The annual amount of allocated irrigation water under 3 different

scenario groups (Spatial Maps. Show mean, and 85 and 15 percent range for each
 scenario group)







762

763 Figure 9 The annual amount of unsatisfied irrigation water under 3 different

scenario groups (Spatial Maps. Show mean, and 85 and 15 percent range for each
 scenario group)







767 768

769 Figure 10 The scatterplot of the allocation irrigation amount and the unsatisfied

irrigation amount with maximum and minimum temperature under three scenario

771 groups. The solid dots indicate the mean values of each scenario group.







773

- 774 Figure 11 The annual amount of evapotranspiration rate under 3 different
- 775 scenario groups







Figure 12 The scatterplot of the allocation irrigation amount and the unsatisfied
 irrigation amount with precipitation under three scenario groups. The solid dots

- irrigation amount with precipitation under three scen
   indicate the mean values of each scenario group.
- *indicate the mean values of each scenario group.*





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