



1 Hydrological effects of evapotranspiration in the Qilian Mountains
2 forest belt

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10 **Abstract:** Mountainous areas are the main water-producing and source areas of rivers.
11 Global climate change is transforming the distribution of plants and forms of water
12 use. Therefore, a clear understanding of evapotranspiration in mountainous forest
13 zone is key for understanding the ecohydrological effect of vegetation and its
14 influence on the water cycle of the watershed. We quantified the evapotranspiration
15 processes in the forest belts of the Qilian Mountains as well as their contribution to
16 runoff yield and concentration based on precipitation, soil water, and plant water
17 samples and experimental data. The study showed that transpiration of Qinghai spruce
18 accounted for the highest proportion of evapotranspiration in the entire Qinghai
19 spruce forest ecosystem, with an average of 79%, which means that transpiration is
20 much greater than evaporation. Soil water content and air humidity were the dominant
21 factors influencing evapotranspiration in Qinghai spruce forest belts. The growing
22 season of Qinghai spruce is characterized by greater evapotranspiration than
23 precipitation in each month. Consequently, the forest zone does not yield flows in the
24 eastern part of the Qilian Mountains. The warming of global temperatures and human
25 activities are likely to trigger shifts in the distribution areas and evapotranspiration
26 regimes of Qinghai spruce, which in turn will lead to a change in water resource
27 patterns in the basin.



28 **Keywords:** Qinghai spruce; stable isotopes; end-member mixing model;
29 evapotranspiration partitioning

30 **1. Introduction**

31 Future droughts are likely to be more frequent, more severe, and longer-lasting
32 than in recent decades. These changes are expected to be most rapid and extreme in
33 ecologically fragile areas, especially in ecosystems in arid and semi-arid regions (Ault
34 et al., 2020). As a vascular plant species, Qinghai spruce forests are one of the
35 important entry points for energy and materials in the environment into terrestrial
36 ecosystems. Their growth, survival, and reproduction affect other species' ecological
37 functions and forms within and outside their habitats. There is a high degree of
38 responsiveness between the vegetation, drought resilience, and microclimatic
39 conditions of forests and their ecosystems (Eisenhauer et al., 2021). The spruce forest
40 ecosystem provides various ecological, climatic, and social benefits to the Qilian
41 Mountains but is highly vulnerable to drought and temperature extremes. More to the
42 point, climate drivers put spruce forests at risk from drought and heat stress. As the
43 magnitude of climate change increases, the disturbance to its ecosystem is also
44 expected to be higher. It is an important player in climate change mitigation in terms
45 of climate benefits (Rohatyn et al., 2022). At the ecosystem scale, many studies have
46 classified evapotranspiration (ET) as transpiration (T) and evapotranspiration (E)
47 (Schlesinger et al., 2014). Physical evaporation from the soil surface and biological
48 transpiration (involving soil water uptake by roots and water vapour loss through
49 plant stomata during photosynthesis) have become bases for classifying ET in field
50 research. Some studies have classified E and T by measuring the isotopic composition
51 of oxygen in soil and runoff and concluded that $\delta^{18}\text{O}$ is enriched by evaporation rather
52 than transpiration (Wershaw et al., 1966). Dividing evapotranspiration (ET) into soil
53 evaporation (E) and stomatal plant evapotranspiration (T) is challenging but important
54 for assessing biomass production and allocating increasingly scarce water resources.
55 Typically, T is the desired component of water used to enhance plant productivity,
56 while E is considered a source of water loss or inefficiency. The magnitude of E is



57 expected to be remarkable in sparsely vegetated systems, particularly in arid areas or
58 very wet systems (e.g., surface irrigated crops and wetlands)(Liu et al., 2015;Zhang et
59 al., 2018). ET zoning is fundamental for the accurate monitoring of system hydrology
60 and improved water management practices in these cases (Kool et al.,2014). It is,
61 therefore, critical to quantify the role of regional evapotranspiration in the terrestrial
62 water balance and the global water cycle.

63 On the regional and global scales, there are many methods to divide
64 evapotranspiration. Mainly have (1) river basins, where the role of lateral
65 groundwater flow in evapotranspiration distribution is investigated by using a
66 comprehensive continental scale hydrological model, and the vegetation and land
67 energy processes are coupled with surface and underground hydrology to study the
68 continental scale evapotranspiration distribution (Maxwell et al.,2016). (2) remote
69 sensing-based approaches to reveal differences in ET partitioning across models
70 (Talsma et al., 2018; Chen et al.,2022); (3) the use of eddy covariance methods to
71 assess multi-year energy fluxes and ET in typical alpine meadows and their
72 environmental and biophysical controls (Chang et al.,2022), as well as studies that
73 synthesize all available literature data in an attempt to establish quantitative
74 relationships between ET allocation and vegetation cover indices (e.g. LAI) for
75 agricultural and natural systems, and to explain observed changes in T/ET at global
76 scales (Wang et al., 2014;Wei et al., 2018; Cui et al., 2021).

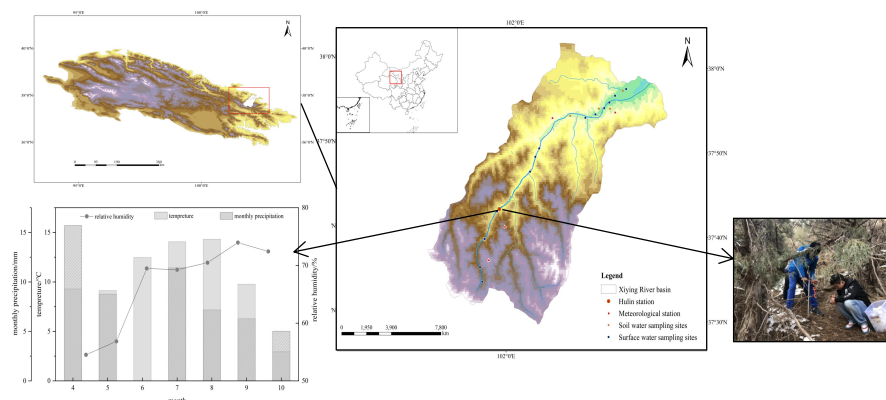
77 As a water source for several inland rivers, the Qilian Mountains are an
78 important ecological security barrier and a priority area for biodiversity conservation
79 in central Asia. We observed and analyzed month-by-month xylem water, soil water,
80 stable precipitation isotopes, and soil water content of Qinghai spruce forests in the
81 eastern part of the Qilian Mountains from April to October 2019. The seasonal
82 variation of water isotopes in different sources of water bodies was first determined,
83 and the composition and variation of oxygen isotopes in soil evaporation, plant
84 transpiration, and ecosystem evapotranspiration were analyzed. Later, the
85 evapotranspiration fluxes were divided into transpiration and evapotranspiration, and



86 then the hydrological effects of evapotranspiration were analysed. This study provides
87 an effective basis for local water resource use and ecological protection.

88 2. Study area

89 The Qilian Mountains are a system of marginal mountains in the northeastern
90 part of the Qinghai-Tibet Plateau. It lies between 94°E~104°E and 36°N~39°N,
91 straddling the Qinghai-Gansu provinces, starting from the Dangjinshan Pass in the
92 west and reaching the Wushaoling in the east, adjacent to the Qaidam Basin, the
93 Chaka-Gonghe Basin and the Yellow River Valley in the south, and the Hexi Corridor
94 in the north. The Shiyang River basin is located in the east of the Qilian Mountain,
95 with a high terrain in the south and low terrain in the north, and it slopes from
96 southwest to northeast. The basin can be divided into four geomorphological units:
97 the Qilian Mountains in the south, the plains of the central corridor, the low hills in
98 the north and the desert area (Zhu et al., 2019). The study area is located in the upper
99 reaches of Xiyang River at an altitude of 2700 m, which is the largest tributary of the
100 Shiyang River and belongs to the south Qilian Mountains. It is considered an alpine
101 semiarid and semi-humid zone with annual precipitation of 469.44 mm, annual
102 evaporation of 700-1200 mm, and an average annual temperature of 3.24°C (Zhu et
103 al., 2022).



104

Figure 1 Location of the study area and changes in meteorological elements.



105 3. Materials and methods

106 3.1 Materials Sources

107 Water isotopes in precipitation, vegetation, and soil water were observed at the
108 Xiyang River Basin Ranger Station (101°53'E, 37°41'N; 2721 m asl) from April to
109 October 2019, and temperature, precipitation, and relative humidity data were
110 obtained using the station's meteorological recorder. The monthly potential
111 evapotranspiration data of 1 km in China (1990-2021) with a spatial resolution of
112 0.0083333° (Peng et al.,2022;Ding et al.,2020;Ding et al.,2021) . The surface
113 evapotranspiration data were obtained from the MODIS-based daily surface
114 evapotranspiration data of the Qilian Mountains (2019), with a spatial resolution of
115 0.01° (Yao et al., 2017;Yao et al., 2020) .

116 3.2 Research methods

117 3.2.1 Isotopic composition of atmospheric water vapour

118 The stable isotope composition of moisture in ambient air is calculated as
119 follows:

$$120 \delta_A = \frac{\delta_{rain} - k\epsilon^+}{1 + k\alpha^+ \times 10^{-3}} \quad (1)$$

121 where $k=1$, or by fitting k to some fraction of 1 as the best fit to the local
122 evaporation line, is the isotopic fractionation factor. Defined by $\epsilon^+ = (\alpha^+ - 1) \times$
123 1000 . α^+ about ^2H and ^{18}O are calculated as follows:

$$124 10^3 \ln^2 \alpha^+ = 1158.8T^3/10^9 - 1620.1T^2/10^6 + 794.84T/10^3 - 161.04 +$$
$$125 2.9992 \times 10^9/T^3 \quad (2)$$

$$127 10^3 \ln^{18} \alpha^+ = -7.685 + 6.712310^3/T - 1.6664 \times 10^6/T^2 + 0.35041 \times 10^9/$$
$$128 T^3 \quad (3)$$

129 3.3.2 Isotopic composition of soil evaporation

130 The Craig-Gordon model was used to calculate the stable isotopic composition
131 of soil evaporation water vapour, δ_E , using the following equation:



$$\delta_E = \frac{\alpha_e^{-1} \delta_s - h^* \delta_v - \varepsilon_{eq} - (1-h^*) \varepsilon_k}{(1-h^*) + 10^{-3} (1-h^*) \varepsilon_k} \quad (4)$$

132 where $\alpha_e (>1)$ is the equilibrium factor calculated as a function of water surface
133 temperature, δ_s is the stable isotopic composition of liquid water at the evaporating
134 surface of the soil (0 ~ 10 cm average stable isotopic composition of soil water), δ_v is
135 the stable isotopic composition of atmospheric water vapour near the surface, ε_{eq}
136 represents the equilibrium fractionation corresponding to $\varepsilon_{eq} = (1-1/\alpha_e) \times 1000$, ε_k is
137 the kinetic fractionation factor of O₂ is approximately 18.9‰ and h^* is the
138 atmospheric relative humidity. For $\delta^{18}\text{O}$, α_e is calculated as follows.
139

$$\alpha_e = \frac{1.137 \times 10^6 / T^2 - 0.4156 \times 10^3 / T - 2.0667}{1000} + 1 \quad (5)$$

140 where: T is the soil Kelvin temperature (K) at a depth of 5 cm.
141

142 3.3.3 Isotopic composition of plant transpiration

143 When transpiration is high, plant leaf water is "isotopically stable", i.e. the
144 isotopic composition of leaf transpiration water is equal to the isotopic composition of
145 water absorbed by the root system during noon of the rain plant. The plant xylem
146 water stable isotopic composition can therefore be used to represent the plant
147 transpiration water vapour stable isotopic composition, i.e:

$$\delta_T = \delta_X \quad (6)$$

148 where δ_X is the isotopic ratio of xylem water and δ_T is the isotopic ratio of
149 transpiration.
150

151 3.3.4 Evapotranspiration isotope assessment

152 The Keeling Plot model describes the linear relationship between the oxygen
153 isotope composition of atmospheric water vapour and its reciprocal
154 concentration (Keeling et al., 1958). The intercept of the curve on the Y-axis represents
155 the isotopic composition of evapotranspiration oxygen (δ_{ET}) and is expressed as:

$$\delta_b = \frac{C_a (\delta_a - \delta_{ET})}{C_b} + \delta_{ET} \quad (7)$$

156 Where δ_b and C_b represent the atmospheric water vapour oxygen isotopic
157 composition (‰) and water vapour concentration in the ecosystem boundary layer, δ_a
158 and C_a represent the background atmospheric water vapour oxygen isotopic
159



160 composition and background atmospheric water vapour concentration, and δ_{ET} is the
161 ecosystem evapotranspiration oxygen isotopic composition.

162 3.3.5 Ecosystem evapotranspiration partitioning

163 The determination of evapotranspiration by means of biotic and abiotic isotopic
164 water fluxes can be used to improve the understanding of community structure and
165 ecosystem function in Qinghai spruce forests in the Qilian Mountains. Based on the
166 isotope mass balance approach to consider the distribution of major and minor
167 isotopes, the partitioning of evapotranspiration can be achieved using two
168 end-member mixing models (E and T) with the following expression:

$$169 \quad \frac{T}{ET} = \frac{\delta_{ET} - \delta_E}{\delta_T - \delta_E} \quad (8)$$

170 where δ_{ET} , δ_E and δ_T are the isotopic compositions of evapotranspiration (ET),
171 soil evapotranspiration (E) and plant evapotranspiration (T), respectively, and the
172 isotopic values of the three can be obtained by both direct observation and model
173 estimation.

174 Their respective contributions can be calculated by the following equations:

$$175 \quad f_E = \frac{\delta_{ET} - \delta_T}{\delta_E - \delta_T} \times 100\% \quad (9)$$

$$176 \quad f_T = \frac{\delta_{ET} - \delta_E}{\delta_T - \delta_E} \times 100\% \quad (10)$$

177

178 3.3.6 Three-component mixing model

179 Assuming that the water vapour in precipitation is a mixture of advective water
180 vapour and recirculating water vapour, it is understood that the proportion of both
181 precipitation and precipitation water vapour has the same nature. The proportion of
182 precipitation occupied by circulating water vapour is calculated as follow:

$$183 \quad f_{re} = \frac{P_{tr} + P_{ev}}{P_{tr} + P_{ev} + P_{adv}} \quad (9)$$

184 where P_{tr} , P_{ev} and P_{adv} are precipitation produced by transpiration, surface evaporation
185 and advection, respectively.

186 This can be calculated using the following formula:



$$187 \quad \delta_{pv} = \delta_{tr}f_{tr} + \delta_{ev}f_{ev} + \delta_{adv}f_{adv} \quad (10)$$

$$188 \quad f_{ev} + f_{tv} + f_{adv} = 1 \quad (11)$$

189 where f_{tr} , f_{ev} and f_{adv} are the proportional contributions of transpiration, surface
 190 evaporation and advection to precipitation, respectively, and δ_{pv} , δ_{tr} , δ_{ev} and δ_{adv} values
 191 are the stable isotopes in precipitating transpiration, transpiration, surface evaporation
 192 and advective vapour, respectively.

193 4. Results and analysis

194 4.1 Hydrogen and oxygen isotope variations in different water

195 bodies

196 The hydrogen and oxygen isotope compositions of different sources of water
 197 (precipitation, soil water, and plant xylem water) in the study area from April to
 198 October were selected for comparison with the global atmospheric water line (Fig. 2a).
 199 It can be seen that the local atmospheric water line is close to the global atmospheric
 200 water line in terms of linear tilt rate, their linear equations are respectively
 201 $\delta^2H=7.51\delta^{18}O+10.77$, $R^2=0.97$ and $\delta^2H=8\delta^{18}O+10$. Local precipitation $\delta^{18}O$ values
 202 varied from -20.30‰ to -0.62‰, with an average value of -7.79‰, and δ^2H values
 203 varied from -137.74‰ to 3.29‰, with an average value of -47.67‰. The hydrogen
 204 and oxygen isotope values of soil water in each soil layer are mostly distributed below
 205 the local atmospheric waterline. The range and mean values of $\delta^{18}O$ variation were
 206 -8.75‰~-4.35‰, -6.18‰. The range and mean values of δ^2H were -58.43‰ to
 207 -28.26‰ and -42.08‰. This indicates that soil moisture is mainly affected by
 208 atmospheric precipitation. The soil waterline equation is $\delta^2H= 6.06\delta^{18}O-4.61$,
 209 $R^2=0.83$, and its linear tilt and intercept were smaller than LMWL due to slight
 210 evaporation from the soil. Table 1 shows the water isotope sampling sites of Qinghai
 211 spruce xylem in the study area, and the water line equation was $\delta^2H=1.03\delta^{18}O-32.47$.

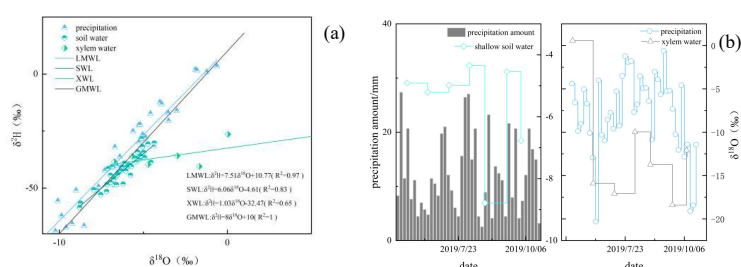
212 Table 1 Stable isotope composition of different water bodies.

Types	$\delta^2H/\%$			$\delta^{18}O/\%$			Number of Samples
	max	min	average	max	min	average	



Soil water	-4.54	-58.43	-31.60	-4.35	-52.93	-16.66	41
Xylem water	-24.12	-40.53	-34.42	8.45	-6.72	-1.90	7
Precipitation	3.29	-137.74	-47.67	-0.62	-20.30	-7.79	43

213 Rainfall in the study area was concentrated during the vegetative growth period
 214 (Fig. 2b). Both the precipitation amounts and the $\delta^{18}\text{O}$ values were higher in
 215 mid-to-late April, reaching a maximum of 27.4 mm on April 28, and lower values in
 216 May and June compared to other months. $\delta^{18}\text{O}$ was at a maximum of -0.62‰. It is
 217 clearly seen that the precipitation $\delta^{18}\text{O}$ varies from June to October with a trend of
 218 increasing and then decreasing. The oxygen isotopes of shallow soil water (0 ~ 10cm)
 219 and xylem water were higher in the middle and late April, which were -4.91 ‰ and
 220 8.45 ‰, respectively, but fluctuated greatly in the monsoon season. The $\delta^{18}\text{O}$ of
 221 shallow soil water peaked in late June with a maximum value of -4.35‰, and the $\delta^{18}\text{O}$
 222 maximum of xylem water occurred in late April with a maximum value of 8.45‰.
 223 Soil water content was higher in August than in May, as was the intensity of soil
 224 evaporation. In terms of the layer profile, soil water content showed a trend of
 225 increasing and then decreasing on a vertical gradient in all three months (Fig.3a).

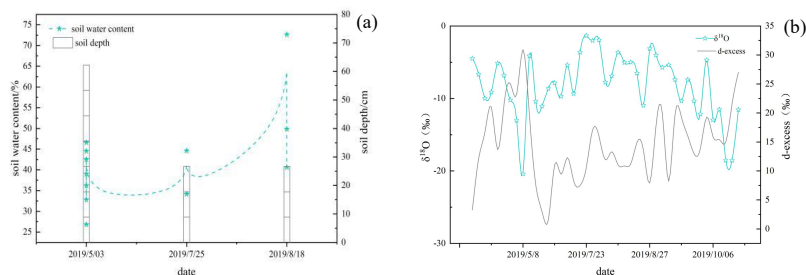


226
 227 Figure 2 (a) Hydrogen and oxygen stable isotope linkages, (b) Precipitation and
 228 oxygen isotope changes in different water bodies.

229 Atmospheric water vapour stable isotopes were calculated based on stable
 230 precipitation isotopes (Fig. 3b), with an average atmospheric water vapour $\delta^{18}\text{O}$ of
 231 -8.15‰, with the smallest initial value of -20.41‰ in May and a maximum value of
 232 -1.34‰ in late July. The average atmospheric water vapour $\delta^2\text{H}$ was -49.69‰,
 233 fluctuating from -131.79‰ to 1.59 (Table 1). The average deuterium surplus from



234 April to October is 14.62‰, reaching a maximum value of 30.95‰ in early May,
235 indicating high atmospheric humidity. After which, the deuterium value falls rapidly
236 to its lower limit of 1.57‰ in early July. Deuterium values fluctuated slowly from
237 June to August and began to fluctuate significantly from the middle of August,
238 indicating that local evaporation was influenced by temperature and relative humidity
239 over time, which made evaporation gradually stronger and unbalanced evaporation
240 gradually stronger.



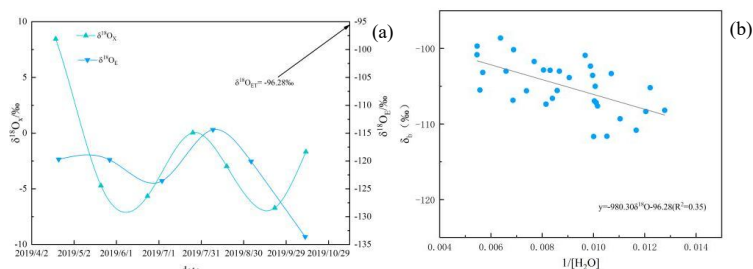
241
242 Figure 3 (a) Variation in soil water content, (b) Comparison between atmospheric
243 water vapour oxygen isotopes and d-excess

244 4.2 Soil evaporation, plant transpiration and ecosystem 245 evapotranspiration

246 The Craig-Gordon model and the steady-state isotopic assumption were used to
247 derive the oxygen isotopic composition of soil evaporation and plant transpiration
248 (Fig. 4a), respectively. The Keeling Plot model method was used to obtain the oxygen
249 isotopic composition of ecosystem evapotranspiration (Fig. 4b). According to the
250 results shown in the figure, the changes of oxygen isotope composition in the three
251 water bodies from April to October were compared. The overall magnitude pattern
252 was: $\delta^{18}O_X > \delta^{18}O_{ET} > \delta^{18}O_E$. The fluctuations of $\delta^{18}O_X$ and $\delta^{18}O_E$ were roughly parallel
253 to each other on the horizontal time axis, but the plant transpiration oxygen isotope
254 fluctuations were more dramatic than the soil evaporation oxygen isotope
255 compositions, reaching the lowest values in June and September, respectively. From
256 April to October, the mean value of soil evaporation oxygen isotope was -121.87‰,
257 and the mean value of plant transpiration oxygen isotope was -1.90‰. This would



258 suggest that all three are consistently affected by seasonal changes. Compared with
259 the oxygen isotopic composition of soil evaporation, the isotopic composition of
260 shallow soil water is shown as follows: $\delta^{18}\text{O}_S > \delta^{18}\text{O}_E$ (Fig. 5a). It shows that the
261 fluctuation changes of the two are not consistent, but the $\delta^{18}\text{O}$ values are decreasing in
262 general. These results indicated that the isotope fractionation of soil water occurred
263 during the evaporation process, soil surface liquid water oxygen isotopes were visibly
264 enriched and conversely, soil evaporation oxygen isotope composition underwent
265 obvious depletion.



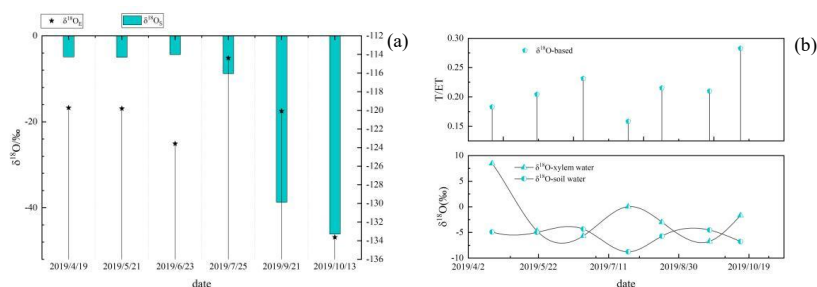
266
267 Figure 4 Isotopic composition of soil evaporation, plant transpiration and
268 ecosystem evapotranspiration (a) and (b)

269 4.3 T/ET assessment of Qinghai spruce forest ecosystem in different 270 months

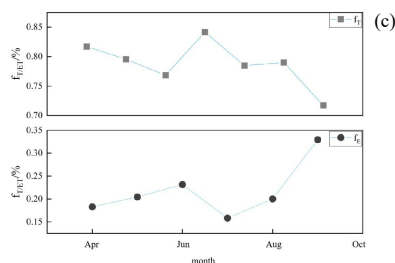
271 The contribution of plant transpiration to evapotranspiration (T/ET) from April to
272 October was calculated, combined with the $\delta^{18}\text{O}$ values of plant xylem water and
273 shallow layer (0-10cm) during the same period (Fig. 5b). The T/ET values are
274 gradually increasing before the onset of summer winds, showing a slight increase in
275 the range of 0.15 to 0.20. The mean oxygen isotope values of xylem water and
276 shallow soil water from April to June were respectively 1.87‰ and -4.95‰,
277 indicating that the response of transpiration to temperature change was higher than
278 that of shallow soil water evaporation during this period. It directly proved that the
279 increase in T/ET value is attributed to transpiration. In the summer wind-influenced
280 season, T/ET fluctuates between 0.15 and 0.25 slightly, and the water-oxygen isotope



281 values of the xylem are generally higher than those of the shallow soil water-oxygen
282 isotope values from July to August. In this period, the spruce forest was subjected to
283 good rain and heat conditions, the surface soil layer less inhibited soil evaporation,
284 and the soil water absorbed by the root area of the forest was more abundant.
285 Therefore, evaporation and transpiration were more intense in this period, which
286 showed an obvious transpiration season. From September to October, the T/ET ratio
287 fluctuated between 0.20 and 0.30, and its average value was greater during this period
288 compared with the summer wind-influenced period. This represented a strong surface
289 resistance to soil evaporation when climatic conditions are not favorable, despite the
290 fact that soil water satisfies transpiration in the root zone, resulting in lower E values
291 and increased T/ET values. The $\delta^{18}\text{O}$ values of xylem water and shallow soil water
292 were -4.20‰ and -5.66‰ , respectively, which means that the transpiration of spruce
293 forest trees was more intense. A month-by-month comparison of the two contributions
294 to evapotranspiration (Fig. 5c) showed that the plant transpiration contribution f_T
295 ranged from 0.70% to 0.85% during the study period, while the soil
296 evapotranspiration contribution only ranged from 0.15% to 0.35%, with the former
297 significantly higher than the latter in all months. f_T . From April to October, the mean
298 value of f_T was 79%, and the mean value of f_E was 20%. The mean values of f_T and f_E
299 from April to October were 79% and 20%, respectively, indicating that the
300 evapotranspiration of the Qinghai spruce forest ecosystem was mainly composed of
301 transpiration from forest trees.



302



303
 304 Figure 5 (a) Isotopic composition of water oxygen in shallow soils versus isotopic composition of
 305 evaporative oxygen in soils, (b) Effect of biotic and abiotic components of ecosystems on
 306 evapotranspiration in different seasons, (c) Contribution of T and E to evapotranspiration

307 5. Discussions

308 5.1 Hydrological effects of changes in evapotranspiration

309 5.1.1 Impact on surface runoff

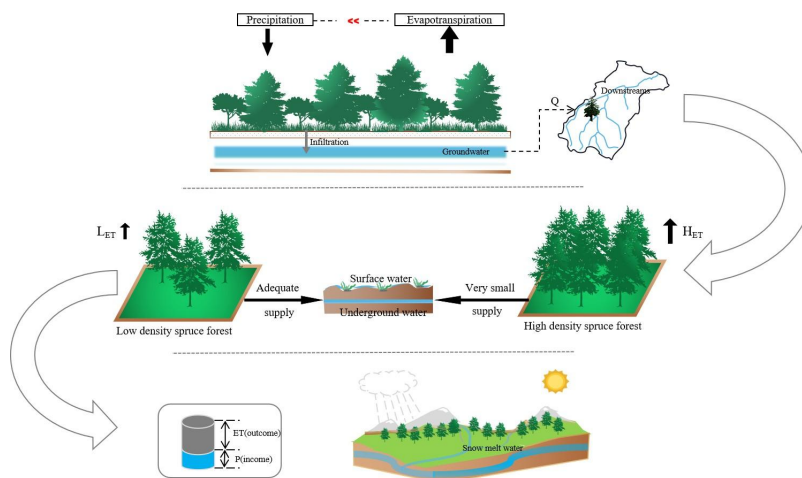
310 Comparing the differences of monthly potential evapotranspiration, surface
 311 evapotranspiration and precipitation in spruce forests (Table 2), the results clearly
 312 showed that rainfall fluctuated between 0-16mm, and the maximum rainfall was 15.7
 313 mm in April, while the minimum value of surface evapotranspiration is 41.8 mm and
 314 the minimum value of potential evapotranspiration is 44.1mm. The huge difference
 315 between ET_p and ET shows that there is no effective water accumulation in all months.
 316 From this, an important conclusion can be drawn: surface runoff can not be collected
 317 in this area, which also proves that afforestation in this area will further enhance
 318 evapotranspiration, posing a threat to water distribution and utilisation.

319 Table 2 Month-by-month comparison of potential evapotranspiration, surface evapotranspiration
 320 and rainfall

Month	4	5	6	7	8	9	10
ET_p /mm	76.6	87.6	106.5	128.3	118.1	80.0	44.1
ET /mm	51.5	66.3	93.3	108.9	110.7	81.2	41.8
P /mm	15.7	8.8	0	13.2	13.3	11.2	13.6



321



322

323 Figure 6 Conceptual model of the hydrological effects of changes in evapotranspiration

324 Some studies suggested that reducing forest density will result in less ET in
325 seasonally dry forests. That reduced ET can be converted into increased groundwater
326 and runoff to supply downstream social water (Wyatt, O'Donnell, & Springer, 2015).
327 It has also been claimed that in some cases, the transient increase in water availability
328 through reduced forest density can actually contribute to subsequent increases in
329 vegetation cover and ultimately reduce runoff (Tague et al., 2019). By assessing the
330 hydrological effects of afforestation through the water cycle in the Asia-Pacific region,
331 it was found that in 7 of the 15 water-deficient areas, positive effects such as
332 increased yield, precipitation, soil moisture and reduced drought risk were achieved
333 through afforestation, and it was confirmed that the water-water cycle had a strong
334 impact and EVapotranspiration was increased (Teo et al., 2021). The water vapour
335 content consumed by forest transpiration is much higher than that lost by soil surface
336 evaporation, most of the precipitation is intercepted and infiltrated by surface
337 vegetation, and part of the soil water involved in infiltration is absorbed by the root
338 zone of vegetation(Fig. 6). Because of plants' high interception and evaporation
339 ability and the absorption of groundwater by root zone, the proportion of transpiration
340 was significantly higher than that of evaporation(Su et al., 2014). In this case, the



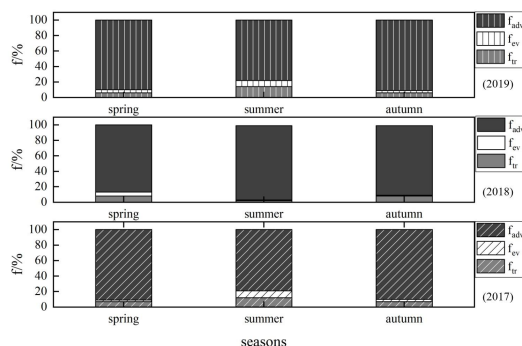
341 groundwater amount decreases gradually with the T value increase. Under the
342 influence of precipitation loss mainly due to plant transpiration, groundwater yield in
343 this region decreases greatly, and has no significant contribution to the downstream
344 water revenue.

345 **5.1.2 Contribution to recirculating water vapour in precipitation**

346 In the Qilian Mountains, the contribution of circulating water to precipitation is
347 often higher in areas above 2400 m above sea level than in the foothills (2100-2400 m
348 above sea level), and the contribution of transpiration water from plants is also higher
349 than that of surface evaporation contribution of f_{tr} moisture to precipitation is higher
350 in mountainous areas than in f_{ev} , and the contribution of circulating moisture increases
351 with increasing altitude (Zhang et al., 2022; Zhang et al., 2021). The proportional
352 contribution of circulating water vapour (surface evaporation and transpiration water
353 vapour) to precipitation in Qinghai spruce forests from 2017 to 2019 was assessed
354 using a three-component model (Fig. 7), and the results showed that the contribution
355 of plant transpiration water vapour in summer was larger than the values in the other
356 two seasons, and excluding the contribution of advection water vapour, plant
357 transpiration water vapour was higher than the contribution of surface evaporation
358 water vapour, and this result revealed that in eastern Qilian Mountains,
359 evapotranspiration from spruce forests is the main mode of precipitation consumption
360 in the local water cycle. The assessment of mountain, oasis and desert areas in inland
361 river basins in the monsoonal marginal zone found that advective water vapour
362 contributions consistently dominate precipitation, yet plant transpiration and surface
363 evaporation are closely related to temperature changes during the local water vapour
364 cycle (Zhu et al., 2019).



365



366 Figure 7 Comparison of f_{adv} (advective water vapour contribution), f_{ev} (surface evaporation water
367 vapour contribution) and f_{tr} (plant transpiration water vapour contribution) for each of the spring,
368 summer and autumn seasons 2017-2019

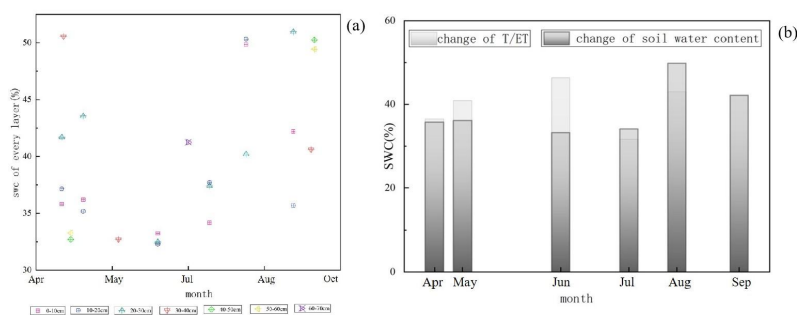
369 5.1.3 Impact on soil moisture

370 Water stress is an essential factor affecting the growth of spruce forests. Water in
371 the soil will affect the transpiration of the leaf surface, and too little water will reduce
372 carbon dioxide, thus reducing photosynthesis. The temporal variation of water content
373 in the basal layer of Qinghai spruce at an altitude of 2721 m and the temporal
374 variation of transpiration components in the spruce forest ecosystem during the same
375 period were matched to reflect the interrelationship between the two. The water
376 content of the shallow soil layer at 0-10 cm decreased slightly from April to June, then
377 increased to 49.84% from June to September and decreased slightly from September
378 to October. The water content of other soil layers in the vertical profile showed
379 consistent changes with that of the shallow soil layer. Overall, the SWC was higher in
380 April and May as a result of abundant rainfall and then decreased until June, when it
381 gradually showed an elevated rate of increase in soil water content from June
382 onwards.

383 The effect of SWC on T/ET showed some lag in time. Figure 8 reflected that
384 T/ET changed in an increasing, decreasing, and then increasing trend from April to



385 August, while shallow soil water content showed slight changes from April to June
386 and gradually grew and then fell from June to September. They show a roughly
387 parallel correlation with a slight lag. For natural ecosystems, the results of the
388 intermittent studies showed higher variability than those of the long-term studies. The
389 range of long-term T/ET variability is narrower, with a mean value of 0.52,
390 demonstrating that soil evaporation and plant transpiration in natural drylands are
391 essentially equivalent over the long term. Agroecosystems typically exhibit relatively
392 high T/ET, and natural and agroecosystems have approximately the same T/ET
393 maximum(Li et al., 2022; Gao et al., 2018). During dynamic wet and dry processes,
394 soil evaporation and plant transpiration respond differently in terms of time and
395 duration. Soil evaporation is more controlled by meteorological processes and shallow
396 soil moisture, while transpiration is more controlled by plant phenology and water
397 effectiveness in the root zone(Sun et al., 2019; Li et al., 2014).



398

399

Figure 8 Soil water content and ecosystem evapotranspiration

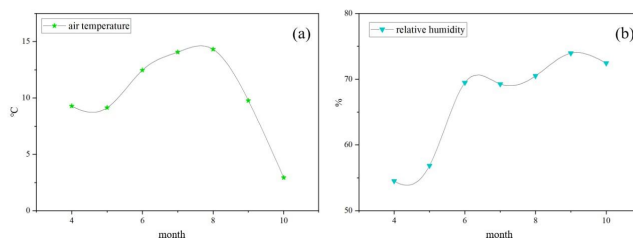
400 5.2 Impact of climate change on evapotranspiration in Qinghai

401 spruce forests

402 Temperature exerts an influence on vegetation physiological activity through
403 its effect on moisture and enzyme activity within the vegetation, while relative
404 humidity also has an immediate bearing on the liquid water isotopic composition
405 of the soil surface (Fig. 9). Thus, both temperature and relative humidity are
406 important influences on the partitioning of evapotranspiration. Temperature
407 generally tends to be higher from April to August, with the monthly mean



408 temperature reaching a six-month maximum in August, rising to 14°C, while the
409 temperature drops rapidly in the next two months to 3°C, with the temperature
410 dropping monthly by 6°C in April. Relative humidity increases roughly from
411 April to June, with slight movements from June to July, before the relative
412 humidity value fluctuates up to 72.43%, an increase of about 18% compared to
413 April. Season-wise, the temperature is markedly elevated in the monsoon season,
414 whereas the relative humidity is greater in the monsoon season than in April and
415 May and weaker than in September and October. It can be deduced that relative
416 humidity drives the ecosystem T/ET ratio more sharply during the summer
417 wind-influenced phase and exhibits a combination of temperature and relative
418 humidity variability in the other seasons.



419

420 Figure 9 Variation in temperature and relative humidity

421 6. Conclusions

422 This paper utilizes isotope data combined with model simulations to
423 elucidate the link between evapotranspiration and the local water cycle in the
424 study area and their hydrological effects. The results showed that July and August
425 were the peak periods of spruce growth, and the evapotranspiration and
426 transpiration intensity were both high. Compared with each other, the
427 transpiration intensity of spruce was higher than that of soil evaporation. Further
428 specific quantification of plant transpiration and soil evaporation on the
429 proportion of evapotranspiration results showed that the mean value of f_T was
430 79% and the mean value of f_E was 20%. The contribution of plant transpiration



431 was much higher than that of soil evaporation. The analysis of the hydrological
432 effects of evapotranspiration in spruce forest belts revealed that
433 evapotranspiration was greater than precipitation in all months, making it difficult
434 to develop surface runoff reliant on precipitation. In the context of global
435 warming, climate drivers may change the ecological communities, ecosystem
436 functions, and land-climate interactions of spruce forests. Some policies
437 implemented in China, such as returning farmland to forest, ecological red line
438 and national park construction, may lead to changes in the distribution region and
439 area of the Qinghai spruce forest. Therefore, research and assessment of the
440 ecohydrological implications of forest change in drylands should be continued.

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445 data processing.

446 **Data availability statement**

447 The data that support the findings of this study are available on request from the
448 corresponding author, stable isotope data are not publicly available due to privacy or
449 ethical restrictions. Potential evapotranspiration and surface evapotranspiration data
450 are available from the National Tibetan Plateau Scientific Data Centre(TPDC).

451 **Competing Interests**

452 We undersigned declare that this manuscript entitled “Hydrological effects of
453 evapotranspiration in the Qilian Mountains forest belt” is original, has not been
454 published before and is not currently being considered for publication elsewhere.

455 The authors declare that they have no known competing financial interests or



456 personal relationships that could have appeared to influence the work reported in this
457 paper.

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