PUMPING MECHANISMS IN SPUTTER-ION PUMPS
LOW PRESSURE OPERATION*

Kimo M. Welch
RHIC Project, Brookhaven National Laboratory
Upton, New York 11973

ABSTRACT

It is shown that significant H_2 pumping occurs in the walls of triode pumps. Also, H_2 is pumped in the anode cells of sputter-ion pumps. This pumping occurs in a manner similar to that by which the inert gases are pumped. That is, H_2 is pumped in the walls of the anode cells by high energy neutral burial. Hydrogen in the pump walls and anodes limits the base pressure of the pump.

BACKGROUND

Sputter-ion pumps (SIPs) are capture pumps. That is, they store the gases they pump. A detail treatment of the subject of capture pumps, including SIPs, is given elsewhere.[1] Sputter-ion pumps make use of a Penning discharge to ionize gases. Gas is ionized in hollow, Penning discharge "cells" by a swirling cloud of high energy electrons trapped within the cells by orthogonal electric and magnetic fields. Gas ions bombard and sputter the chemically active cathode material. The lighter gases, such as He and H_2, do not effectively sputter the cathodes. Chemically active gases (i.e., with the cathode materials) are pumped by chemisorption and the inert gases by physisorption.

The primary physisorption mechanism is the burial of high energy neutral gas molecules. If an energetic gas ion strikes a metal surface, there is a probability that it will "steal" an electron from the surface, and rebound as an energetic neutral molecule or atom. These energetic neutrals are reflected back from the cathodes and buried as neutrals in all pump surfaces.[2] Therefore, if a gas is not chemically active with the cathode material, it can only be pumped by burial as a high energy neutral or gas ion. Hydrogen is poorly chemisorbed by $A\ell$.[3] Therefore, if H_2 is pumped by an SIP with $A\ell$ cathodes, it must be pumped by physisorption processes.

The configuration of single-cell triode and diode SIPs is shown in Fig. 1. The cathodes are customarily made of some chemically active material. The original triode SIPs had solid titanium "auxiliary" cathode plates separating the stainless steel walls of the pump from the cellular or grid-like cathodes.[4]

The pumping of hydrogen by any sputter-ion pump is critically dependent on both the diffusivity and solubility of nascent hydrogen in the cathodes and other elements.[5] The solubility of H_1 in $A\ell$ is approximately one part in 10^{12} that of its solubility in Ti. The solubility of H_1 in 300 series stainless steel is approximately one part in 10^6 that of its solubility in Ti.[6] Therefore, from an H_2 pumping standpoint, stn. stl. is a better H_2-pumping material than $A\ell$. Singleton and others were puzzled by the fall-off in hydrogen pumping speed of both triode and diode SIPs at low pressures. That is, speeds seem to fall off at a rate greater than the decrease in cell sensitivity.[7,8,9] Also, he noted that diode pumps with $A\ell$ cathodes saturated very quickly.[7] However, Liu, et al., showed that a triode pump with $A\ell$ cathodes would pump both nitrogen and hydrogen.[10]
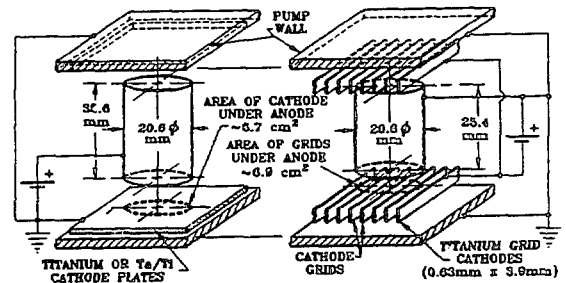

Figure 1. Single cell diode and triode pumps.

HYPOTHESES

Because of the very low solubility of H_2 in $A\ell$, diode pumps with $A\ell$ cathodes make very poor H_2 pumps. Triode pumps with $A\ell$ cathodes will pump hydrogen as a consequence of burial of energetic neutrals in the walls of the pump. Hydrogen can also be pumped in diodes with $A\ell$ cathodes if some provision exists for the burial and accommodation of high energy neutrals in the anodes. The reason for the poor hydrogen pumping performance of all SIPs at very low pressures - regardless of the cathode material - is that reflected neutral H_2, buried in elements other than the cathodes, becomes a source of outgassing at very low pressures. However the equilibrium pressure of TiH_2 is $\sim 10^{-12}$ Torr.

COROLLARIES

If a thin sheet of Ti was used to separate the gridded cathodes of a triode pump from the walls of the pump, hydrogen would not be buried in the walls and outgas at lower pressures (i.e., put the element back). If the anodes of both triode and diode SIPs were made of Ti, or some other material with high sorptive capacity for H_1, the speed of these pumps would be improved at low pressures as a consequence of reduction in H_2 outgassing.

TEST OF HYPOTHESES

"Saturated" pumping speed results of Liu, et al., for N_2 and H_2, in a triode SIP with $A\ell$ cathodes, are given in Fig. 2.[10] The data for Ti cathodes, were added by the writer. Note that the N_2 speed with $A\ell$ cathodes is greater than that observed with Ti cathodes. However, the H_2 speed is much less than that of N_2. We would have expected the steady-state H_2 speed to be ~×1.2 that of the N_2 speed (rather than comparable to that of He?).
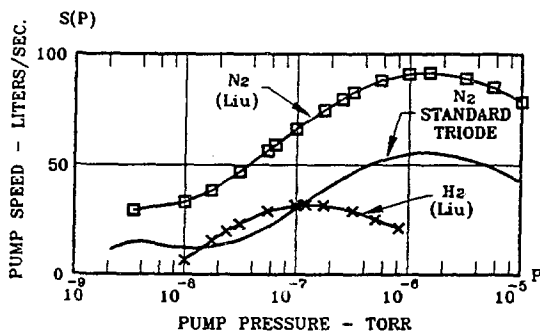
Figure 2.   Nitrogen speed for a conventional triode sputter-ion pump and nitrogen and hydrogen speed for a triode pump with grided aluminum cathodes (Liu).[10]

Assume that both H_2 and N_2 are pumped by chemisorption. If a triode pump with $A\ell$ cathodes will pump H_2 and N_2 by chemisorption, then a diode pump with $A\ell$ cathodes should also pump H_2 and N_2 by chemisorption. Tests were conducted with a diode pump with $A\ell$ cathodes. Measurement were made for the gases N_2, CO, air and H_2. Results for the first three gases are shown in Fig. 3. The test vehicle was a new "100 $\mathcal{L}$/sec" pump. Pure $A\ell$ cathodes were substituted for the Ti cathodes. Speed measurements were taken with a CERN Dome, and the system baked to 250° C between tests. All results were steady-state.[11] In that the N_2 speed for the triode with $A\ell$ cathodes (Liu) and diode with $A\ell$ cathodes (Welch) is in fact greater than the N_2 speed of either pump with Ti cathodes, we may conclude that N_2 is in fact primarily pumped by chemisorption. This is deduced from the fact that Ar physisorption speeds of a diode pump, with Ti
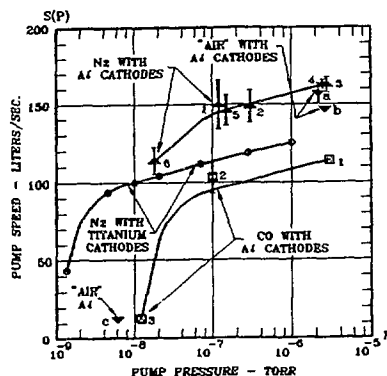
cathodes, are only 2-3% that of its speed for N_2.

Figure 3.   Pumping speed of the same diode sputter-ion pump for N_2, "air" and CO; case 1): the N_2 speed for a diode with Ti cathodes; case 2): the diode speed for N_2, "air" and CO with $A\ell$ cathodes. The numbers beside respective datum represent the pressure sequence in which the measurements were conducted.

Hydrogen speed tests were conducted with the above diode with $A\ell$ cathodes. After pumping ~0.06 Torr-$\mathcal{L}$ of H_2, the pump became very unstable and evidenced pressure "runaway" problems. The pump evidenced all of the symptoms of a conventional diode pump (i.e., not a "noble diode" pump) when pumping an inert gas. These symptoms included cyclical instabilities,[12] and pressure "run-away" (i.e., see Fig. 4.). At no pressure setting $\geq 10^{-7}$ Torr (corrected) could stable operation be achieved. The pump was very unstable after pumping $\lesssim 0.19$ Torr-$\mathcal{L}$ H_2.
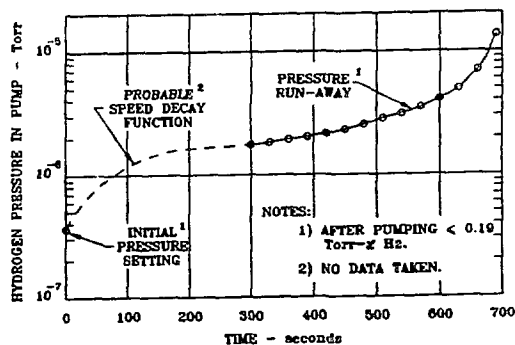
Figure 4.   Decay in speed and pressure "run-away" of a diode sputter-ion pump with stainless steel anodes and aluminum cathodes after pumping < 0.19 Torr-$\mathcal{L}$ H_2.

We then replaced the anode material in the diode SIP with tubes of Ti alloy. We pumped ~6.5 Torr-$\mathcal{L}$ H_2 at which time the speed of the pump equilibrated at ~5 $\mathcal{L}$/sec. From this we concluded that the equivalent of this amount of H_2 was being pumped as high energy neutrals in the Ti alloy anodes. Once the H_2 was sorbed in the anodes, it remained in solution. Results of the H_2 diode speed tests

with Aℓ cathodes and the two different anode materials are summarized in Table 1.

Table 1.0. The hydrogen pumping speed of a diode sputter–ion pump with Aℓ cathodes, and with both stainless steel and Ti–alloy anodes.

DATA SEQUENCE	TYPE GAS	QUANTITY PUMPED Torr-ℓ	PUMP SPEED ℓ/sec	PRESSURE TORR[a]	MATERIAL ANODE	MATERIAL CATH.	NOTES
1	H2	~0.05	24	2.2×10^{-6}	stn.stl.	Aℓ	b
2	H2	<0.19	15	4.0×10^{-7}	stn.stl.	Aℓ	
3	H2	<0.19	34	2.5×10^{-6}	stn.stl.	Aℓ	c
4	H2	<0.19	20	7.0×10^{-6}	stn.stl.	Aℓ	d
1	H2	~0	151	3.3×10^{-6}	Ti	Aℓ	e
2	H2	0.78	96	6.0×10^{-6}	Ti	Aℓ	f
3	H2	1.42	50	8.7×10^{-6}	Ti	Aℓ	f
4	H2	1.43	28.3	4.1×10^{-6}	Ti	Aℓ	f
5	H2	1.96	28.3	6.1×10^{-6}	Ti	Aℓ	f
6	H2	3.33	16.9±1.7	9.1×10^{-6}	Ti	Aℓ	f
7	H2	5.69	5.0	1.0×10^{-7}	Ti	Aℓ	g,f
8	H2	6.35	43±11	1.8×10^{-6}	Ti	Aℓ	f
9	H2	6.49	6.0±1.4	8.4×10^{-6}	Ti	Aℓ	f
10	H2	6.49	0	9.0×10^{-6}	Ti	Aℓ	h,f

Kimo M. Welch

NOTES:

a) All pressure readings corrected for H2.

b) Unstable and problems of pressure 'run-away'. Reduced pressure.

c) Increased pressure to ~2.4 x 10^-7 Torr and observed sinusoidal pressure instabilities with ∆P of ~1.8 x 10^-7 Torr and T ~2.5 sec.

d) Increased pressure to ~3.6 x 10^-7 Torr and observed pressure 'run-away' shown in Fig. 3.0. Would not operate at pressure >10^-7 Torr thereafter.

e) Ti–6Aℓ–4V anodes with fresh Aℓ cathodes installed.

f) Though decay in speed noted, no evidence of instabilities was noted.

g) See Fig. 4.0 for speed decay function.

h) Base pressure of pump two days after tests.

There was initially a very high H2 speed in the diode SIP with Aℓ cathodes and Ti alloy anodes. A gradual decay in speed ensued. This probably stemmed from saturation of what I call the "implant laminate" of the Aℓ cathodes.[1 3] Eventually, because of the negligible diffusion of H_1 into the Aℓ, the net flux of gas becomes zero at the cathodes, and the remaining H_2 pumping speed component is that of high energy neutral burial in the anodes. The observed decay in H_2 speed, with accumulated gas load, is shown in Fig. 5.
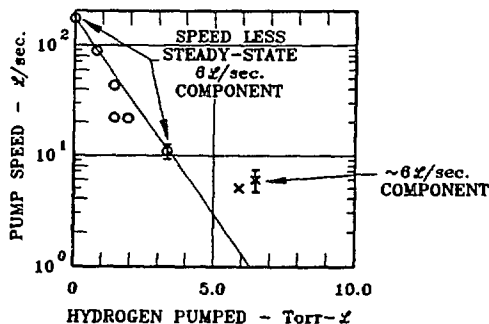

Figure 5. Hydrogen pumping speed as a function of the amount of hydrogen pumped.

CONCLUSIONS

We believe that it has been conclusively shown that:

1) there is very little if any chemisorption of H_2 by Aℓ;

2) there is significant chemisorption of CO, N_2 and other air gases by Aℓ;

3) a significant amount of pumping of neutral H_2 in triode pumps occurs as high energy neutral burial of H_2 in the walls of the pump body;

4) a significant amount high energy H_2 (H_1?) is buried in the walls of the diode SIP anode.

It is probable that this also occurs in the anodes of triode SIPs.

REFERENCES

[1] Welch, K.M., Capture Pumps, An Introduction, (Pergamon Press, Oxford, 1991), p. 65 - 183.

[2] Welch, ibid., p. 102 - 105.

[3] Welch, K.M, ibid., p. 87.

[4] Brubaker, W.M., "A Method for Greatly Enhancing the Pumping Action of a Penning Discharge", Proc. 6th Nat. AVS Symo. (Pergamon Press, London, 1960), p302.

[5] Welch, K.M., ibid., p. 106 - 124.

[6] Welch, K.M., ibid., p. 128 - 129.

[7] Singleton, J.H., "Hydrogen Pumping Speed of Sputter-Ion Pumps", J. Vac. Sci. Technol. 6(2), 316(1969).

[8] Singleton, J.H., "The Performance Characteristics of Modern Vacuum Pumps", J. Phys. E6, 685(1963).

[9] Singleton, J.H., "Hydrogen Pumping by Sputter-Ion Pumps and Getter Pumps", J. Vac. Sci. Technol. 4(1), 6(1967).

[10] Liu, Y.C., Lin, C.C., Lee, S.F., "Pumping Mechanisms for N_2 Gas in a Triode Ion Pump with A1100 Aluminum Cathodes", J. Vac. Soc. Technol. A6(1), 139(1988).

[11] Welch, K.M., ibid., p. 131.

[12] Welch, K.M., ibid., p. 91.

[13] Welch, K.M., ibid., p. 118.