

# Complexities of Homomorphism and Isomorphism for Definite Logic Programs

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Received December 27, 2004; revised April 8, 2005.

**Abstract** A homomorphism  $\varphi$  of logic programs from  $P$  to  $P'$  is a function mapping  $Atoms(P)$  to  $Atoms(P')$  and it preserves complements and program clause. For each definite program clause  $a \leftarrow a_1, \dots, a_n \in P$  it implies that  $\varphi(a) \leftarrow \varphi(a_1), \dots, \varphi(a_n)$  is a program clauses of  $P'$ . A homomorphism  $\varphi$  is an isomorphism if  $\varphi$  is a bijection. In this paper, the complexity of the decision problems on homomorphism and isomorphism for definite logic programs is studied. It is shown that the homomorphism problem (*HOM-LP*) for definite logic programs is *NP*-complete, and the isomorphism problem (*ISO-LP*) is equivalent to the graph isomorphism problem (*GI*).

**Keywords** logic program, homomorphism, isomorphism, decision problem, complexity

## 1 Introduction

A literal is a propositional variable or a negated propositional variable. A clause  $C$  can be regarded as a set of literals and a *CNF* formula  $F$  as a set of clauses. A clause  $C$  is a Horn clause if  $C$  contains at most one positive literal. A Horn clause  $C$  is definite if  $C$  contains exactly one positive literal. A definite logic program is a set of definite Horn clauses. For *CNF* formulas  $H$  and  $F$ , a homomorphism  $\varphi$  from the formula  $H$  to  $F$  is a mapping from  $lit(H)$  to  $lit(F)$  and it preserves complements and clauses, i.e.,  $\varphi(\neg L) = \neg\varphi(L)$  for  $L \in lit(H)$ , and  $\varphi(C) \in F$  for every clause  $C \in H$ , where  $lit(\cdot)$  is the set of literals over variables occurring in the formula, and  $\varphi$  is an isomorphism from the formula  $H$  to  $F$  if  $\varphi$  is a homomorphism from the formula  $H$  to  $F$  and  $\varphi$  is a bijection. Clearly, if the formula  $H$  is homomorphic to the formula  $F$ , then the unsatisfiability of  $H$  implies the unsatisfiability of  $F$ , and if the formula  $H$  is isomorphic to the formula  $F$ , then  $H$  and  $F$  have the same satisfiability.

We are interested in homomorphism and isomorphism of *CNF* formulas for motivations of constructing some more efficient algorithms for satisfiability and simplifying the proofs of unsatisfiable formulas<sup>[1–6]</sup>. In [2], Krishnamurthy illustrated the power of symmetry for propositional proof systems. He added to the resolution calculus the rule of symmetry and gave short proofs for some hard formulas. For example, the pigeon hole formulas have a proof of polynomial size in this extended calculus. The rule of symmetry allows the following inference: if a clause  $C$  has been derived from a set of clauses  $F$  and  $\varphi$  is a permutation over the set of variables occurring in  $F$ , then the clause  $\varphi(C)$  can be inferred as the next step in the derivation. Further interesting results can be found in Urquhart's paper<sup>[6]</sup>.

We call a permutation of variables as variable renaming. Instead of a permutation of variables we can make use of a more general renaming, namely a so-called literal renaming or isomorphism. That means we have a permutation of variables and additionally variables can be simultaneously replaced by their complements.

A deeper understanding of structures of *CNF* formulas may help to improve DPLL algorithm<sup>[7]</sup>. In the splitting tree of the DPLL algorithm, if two formulas are labelled at two different nodes, and one of the formulas can be mapped to the other by an isomorphism, then we can replace one of the formulas by the empty clause and continue with the remaining formula. We have showed that DPLL algorithm with such a symmetry rule has short proofs for the pigeon hole formulas, which are a class of hard formulas. It needs only a cubic number of nodes<sup>[8]</sup>. Szeider<sup>[9]</sup> introduced homomorphisms of formulas for simplifying the proof of the unsatisfiability of formulas and investigated the *core* of formulas. A homomorphism  $\varphi$  from  $H$  to  $F$  is called a *retraction* if there exists a homomorphism  $\psi$  from  $F$  to  $H$  such that  $\psi \circ \varphi = Id_F$ , where  $Id_F$  is the identity function over  $F$ . In this case we call  $F$  as a *retract* of  $H$ . A formula  $F$  is a *core* if every retract of  $F$  is isomorphic to  $F$ . The formula  $F$  is a *core* of  $H$  if  $F$  is a core and  $F$  is a retract of  $H$ . Szeider showed that the cores of formulas are mutually isomorphic and the recognition of cores is *co-NP*-complete<sup>[9]</sup>.

The definite logic programs, a class of special *CNF* formulas, have some good structures. It is easy to prove that for given definite logic programs  $P$  and  $Q$ , if  $\varphi$  is a homomorphism from  $P$  to  $Q$  with  $\varphi(P) = Q$  and  $A$  is the answer set of  $P$ , then  $\varphi(A)$  is a subset of the answer set of  $Q$ , and further, if  $\varphi$  is an isomorphism from  $P$  to  $Q$ , then  $\varphi(A)$  is the answer set of  $Q$ . Investigating decision problems on homomorphism and isomorphism

for definite logic programs is closely relevant to the construction of some minimal unsatisfiable formulas and the graph isomorphism problem (*GI*). A formula  $F$  is minimal unsatisfiable if  $F$  is unsatisfiable and  $F \setminus \{C\}$  is satisfiable for any clause  $C \in F$ . In [10], Papadimitriou and Wolfe showed that for every formula  $F$  one can construct a formula  $f(F)$  in polynomial time such that

- $F$  is satisfiable if and only if  $f(F)$  is satisfiable;
- $F$  is unsatisfiable if and only if  $f(F)$  is minimal unsatisfiable.

In other words, an unsatisfiable formula can be transformed into a minimal unsatisfiable formula in polynomial time.

The *deficiency* of a formula  $F$  is defined as  $\#cl(F) - \#var(F)$ , i.e., the difference between the number of clauses and the number of variables of  $F$ , denoted by  $d(F)$ . It is well-known that  $F$  is not minimal unsatisfiable if  $d(F) \leq 0$ <sup>[11,12]</sup>. So, we denote  $MU(k)$  as the set of minimal unsatisfiable formulas with deficiency  $k \geq 1$ . It is well-known that *Horn-MU*, the set of minimal unsatisfiable Horn formulas, is a subset of  $MU(1)$ <sup>[12]</sup>. Whether or not a formula belongs to  $MU(k)$  for fixed  $k$  can be decided in polynomial time<sup>[13]</sup>. It has been proved in [14] that for any  $k, t \geq 1$  and any formula  $F \in MU(t)$ , there exists a formula  $H$  in  $MU(k)$  and a homomorphism  $\varphi$  from  $H$  to  $F$  such that  $\varphi(H) = F$ . Moreover, for fixed  $k, t \geq 1$  the formula  $H$  and the homomorphism  $\varphi$  can be constructed in polynomial time. For classes  $\mathcal{C}_1$  and  $\mathcal{C}_2$  of *CNF* formulas we consider the following problem.

*Problem:* *HOM*-( $\mathcal{C}_1, \mathcal{C}_2$ )

*Instance:* Given formulas  $H \in \mathcal{C}_1$  and  $F \in \mathcal{C}_2$

*Query:* Does there exist a homomorphism  $\varphi$  from  $H$  to  $F$  such that  $\varphi(H) = F$ ?

H. Kleine Büning and Dao-Yun Xu have proved that the problems *HOM*-(*Horn-MU*, *Horn-MU*) and *HOM*-( $MU(k)$ ,  $MU(t)$ ) are *NP*-complete<sup>[8,15]</sup>.

A graph  $G = (V, E)$  is isomorphic to a graph  $G' = (V', E')$  if there is a bijection  $\varphi$  from  $V$  to  $V'$  such that for any  $u, v \in V$ ,  $(u, v) \in E$  if and only if  $(\varphi(u), \varphi(v)) \in E'$ . The graph isomorphism problem for undirected graphs, which is denoted by *GI*, is in *NP*. But it is an open problem whether *GI* is *NP*-complete<sup>[16]</sup>. A graph  $G = (V, E)$  is homomorphic to a graph  $G' = (V', E')$  if there is a mapping  $\varphi$  from  $V$  to  $V'$  such that for any  $u, v \in V$ ,  $(u, v) \in E$  implies  $(\varphi(u), \varphi(v)) \in E'$ . The homomorphism on graphs is closely relevant to the coloring of graphs. Let  $G$  and  $K$  be undirected graphs. We say that  $G$  is  $K$ -coloring if there exists a homomorphism from  $G$  to  $K$ . In [17], Hell and Nešetřil showed that if  $K$  is bipartite then the  $K$ -coloring problem is solvable in polynomial time and if  $K$  is not bipartite then the  $K$ -coloring problem is *NP*-complete.  $K_n$  denotes a complete graph with  $n$  vertices. Clearly,  $K_n$  is not bipartite for  $n \geq 3$ , whence  $K_n$ -coloring problem is *NP*-complete for  $n \geq 3$ .

A definite Horn clause  $(a \vee \neg a_1 \vee \dots \vee \neg a_m)$  can be written as  $a \leftarrow a_1, \dots, a_m$  ( $m \geq 0$ ) which is called definite program clause, where  $a, a_1, \dots, a_m$  are atoms of

the language  $\mathcal{L}$ . For a definite program clause  $C = a \leftarrow a_1, \dots, a_m$ , we call the atom  $a$  as *head* of  $C$ , denoted by  $head(C)$ , and the set  $\{a_1, \dots, a_m\}$  as *body* of  $C$ , denoted by  $body(C)$ . We denote  $Atoms(C) = \{a, a_1, \dots, a_m\}$ . A *definite logic program*  $P$  consists of clauses of form  $a \leftarrow a_1, \dots, a_m$ . We denote  $Atoms(P) = \bigcup_{C \in P} Atoms(C)$ .

We write  $A \leq_p B$ , if the class  $A$  is polynomially many-one reducible to the class  $B$ .  $A \equiv_p B$  is an abbreviation for  $A \leq_p B$  and  $B \leq_p A$ .

In this paper, we investigate the following decision problems.

**Problem 1** (*HOM-LP*)

*Instance:* Given definite logic programs  $P$  and  $P'$ ;

*Query:* Does there exist a homomorphism  $\varphi$  from  $P$  to  $P'$  such that  $\varphi(P) = P'$ ?

**Problem 2** (*ISO-LP*)

*Instance:* Given definite logic programs  $P$  and  $P'$ ;

*Query:* Does there exist an isomorphism  $\varphi$  from  $P$  to  $P'$ ?

By the *NP*-completeness of  $K_3$ -coloring, we show that the problem *HOM-LP* is *NP*-complete. By the transformation between a logic program and a graph, we show that the problem *ISO-LP* is as hard as *GI*.

## 2 Homomorphism for LP

Graph theoretic terminology not defined here can be found in [18]. For a graph  $G$ , we denote the set of vertices (resp. edges) by  $V(G)$  (resp.  $E(G)$ ).  $(u, v)$  (resp.  $\langle u, v \rangle$ ) is an edge of an undirected (resp. directed) graph. A graph  $G$  is *simple* if  $G$  contains no multi-edge and self-loop. A graph  $G$  is called to be *3-colorable* if the set  $V(G)$  of vertices can be colored by at most three different colors and any two adjacent vertices are colored by different colors. If  $G$  is 3-colorable and  $G$  contains a complete subgraph  $K_3$ , then  $G$  can be colored by exactly three different colors. Clearly, a graph  $G$  is 3-colorable if and only if  $G$  is homomorphic to  $K_3$ . Please note that the problem *K<sub>3</sub>-coloring* is *NP*-complete since  $K_3$  is not bipartite.

**Theorem 1.** *The problem HOM-LP is NP-complete.*

*Proof.* Clearly, the problem *HOM-LP* is in *NP*. It is known that the problem *K<sub>3</sub>-coloring* is *NP*-complete. Thus, it suffices to prove that the problem *K<sub>3</sub>-coloring* can be reduced to the problem *HOM-LP*.

Let  $G = (V, E)$  be a simple connected undirected graph, i.e., it is a connected undirected graph without multi-edges and self-loops, where  $V = \{x_1, \dots, x_n\}$  and  $E = \{(x_{i_1}, x_{j_1}), \dots, (x_{i_m}, x_{j_m})\}$ , and let  $K_3 = (V_0, E_0)$  be a complete graph with three vertices, where  $V_0 = \{a, b, c\}$  and  $E_0 = \{(a, b), (a, c), (b, c)\}$  and  $\{a, b, c\} \cap \{x_1, \dots, x_n\} = \emptyset$ . We define the graph  $G^+ = G + K_3$ . Clearly,  $G$  is 3-colorable if and only if the vertices of  $G^+$  can be colored by exactly three different colors.

We can view vertices as variables (atoms) and transform an edge  $(x_i, x_j)$  as a clause  $(\neg x_i \vee \neg x_j)$ . We intro-



We can regard vertices as variables and transform an edge  $(x_i, x_j)$  as a clause  $(\neg x_i \vee \neg x_j)$ . By introducing  $m$  new variables  $z_1, \dots, z_m$ , we define a definite logic program:  $P_G = \{x_1 \leftarrow \dots; x_n \leftarrow \dots; z_1 \leftarrow x_{i_1}, x_{j_1}; \dots; z_m \leftarrow x_{i_m}, x_{j_m}\}$ .  $P_G$  can be represented by the following matrix:

$$\begin{matrix} z_1 \\ \vdots \\ z_m \\ x_1 \\ \vdots \\ x_n \end{matrix} \begin{pmatrix} -1 & & & & & \\ & \ddots & & & & \\ & & & -1 & & \\ \cdot & \dots & \cdot & & -1 & \\ \vdots & & \mathbf{M}(G) & \vdots & & \ddots \\ & & & & & -1 \end{pmatrix}$$

where the submatrix  $\mathbf{M}(G)$  is the incidence matrix of the graph  $G$ . The  $k$ -th column of  $\mathbf{M}(G)$  is associated with the edge  $(x_{i_k}, x_{j_k})$  of  $G$  for  $(1 \leq k \leq m)$ . Clearly,  $P_G$  can be constructed in polynomial time on the size of  $G$ .

For given graphs  $G_i$  ( $i = 1, 2$ ) with  $n_i$  vertices and  $m_i$  edges, we can transform  $G_1$  and  $G_2$  into definite logic programs  $P_{G_1}$  and  $P_{G_2}$  respectively. By the structures of  $P_{G_1}$  and  $P_{G_2}$ , we have

$$\begin{aligned} P_{G_1} &= \{x_1^{(1)} \leftarrow \dots; x_{n_1}^{(1)} \leftarrow \dots; z_1^{(1)} \leftarrow x_{i_1}^{(1)}, x_{j_1}^{(1)}; \dots; \\ &\quad z_{m_1}^{(1)} \leftarrow x_{i_{m_1}}^{(1)}, x_{j_{m_1}}^{(1)}\} \\ P_{G_2} &= \{x_1^{(2)} \leftarrow \dots; x_{n_2}^{(2)} \leftarrow \dots; z_1^{(2)} \leftarrow x_{i_1}^{(2)}, x_{j_1}^{(2)}; \dots; \\ &\quad z_{m_2}^{(2)} \leftarrow x_{i_{m_2}}^{(2)}, x_{j_{m_2}}^{(2)}\}. \end{aligned}$$

Suppose  $\phi$  is an isomorphism from  $G_1$  to  $G_2$ . We have  $n_1 = n_2 = n$ ,  $m_1 = m_2 = m$  and a permutation  $\pi_x$  over  $\{1, 2, \dots, n\}$  and a permutation  $\pi_z$  over  $\{1, 2, \dots, m\}$ , such that  $\phi(x_p^{(1)}) = x_{\pi_x(p)}^{(2)}$  for each  $1 \leq p \leq n$ , and  $(x_{i_q}^{(1)}, x_{j_q}^{(1)}) \in E(G_1)$  if and only if  $(x_{\pi_x(i_{\pi_z(q)})}^{(2)}, x_{\pi_x(j_{\pi_z(q)})}^{(2)}) \in E(G_2)$  for each  $1 \leq q \leq m$ .

We now define an isomorphism  $\phi^*$  from  $P_{G_1}$  to  $P_{G_2}$  as follows:

$$\phi^*(x) = \begin{cases} x_{\pi_x(p)}^{(2)}, & \text{if } x = x_p^{(1)} \in \{x_1^{(1)}, \dots, x_n^{(1)}\}, \\ z_{\pi_z(q)}^{(2)}, & \text{if } x = z_q^{(1)} \in \{z_1^{(1)}, \dots, z_m^{(1)}\}. \end{cases}$$

Conversely, suppose  $\varphi$  is an isomorphism from  $P_{G_1}$  to  $P_{G_2}$ . We have  $n_1 = n_2 = n$ ,  $m_1 = m_2 = m$  and a permutation  $\pi'_x$  over  $\{1, 2, \dots, n\}$  and a permutation  $\pi'_z$  over  $\{1, 2, \dots, m\}$  such that for each  $1 \leq p \leq n$ ,  $\varphi(x_p^{(1)}) = x_{\pi'_x(p)}^{(2)}$  and for each  $1 \leq q \leq m$ ,  $\varphi(z_q^{(1)}) = z_{\pi'_z(q)}^{(2)}$ . Hence, the restriction of  $\varphi$  over  $\{x_1, \dots, x_n\}$  is an isomorphism from  $G_1$  to  $G_2$ .  $\square$

**Theorem 3.**  $ISO-LP \leq_p GI$ .

*Proof.* We can associate in polynomial time with any definite logic program  $P$  a directed graph  $G_P$ , such that for every pair  $P_1$  and  $P_2$  of definite logic programs we have:  $P_1$  is isomorphic to  $P_2$  if and only if  $G_{P_1}$  is isomorphic to  $G_{P_2}$ .

Let  $P = \{C_1, \dots, C_m\}$  be a definite logic program over the variables  $x_1, \dots, x_n$  where the clauses are given in an arbitrary but fixed order. We associate with  $P$  the directed graph  $G_P = (V_P, E_P)$  as follows:

1)  $V_P = V_{var} \cup V_{cl}$ , where  $V_{var} = \{x_1, \dots, x_n\}$  (corresponding to variables in  $P$ ),  $V_{cl} = \{c_1, \dots, c_m\}$  (corresponding to clauses in  $P$ );

2)  $E_P = E_{loop} \cup E_{head} \cup E_{body}$ , where  $E_{loop} = \{\langle x_k, x_k \rangle \mid 1 \leq k \leq n\}$ ,  $E_{head} = \{\langle c_i, x_k \rangle \mid x_k = head(C_i) \text{ for } 1 \leq k \leq n \text{ and } 1 \leq i \leq m\}$ , and  $E_{body} = \{\langle x_k, c_i \rangle \mid x_k \in body(C_i) \text{ for } 1 \leq k \leq n \text{ and } 1 \leq i \leq m\}$ .

Please note that:

(a) we construct a self-loop at each vertex  $x_k$  to distinguish  $x_k$  and  $c_i$ ;

(b) the edge  $\langle c_i, x_k \rangle$  in  $E_{head}$  corresponds to the variable  $x_k$  occurring positively in the clauses  $C_i$ ;

(c) the edge  $\langle x_k, c_i \rangle$  in  $E_{body}$  corresponds to the variable  $x_k$  occurring negatively in the clauses  $C_i$ .

W.l.o.g., we assume that both  $P_1$  and  $P_2$  are definite logic programs with  $Atoms(P_1) = Atoms(P_2) = \{x_1, \dots, x_n\}$ ,  $P_1 = \{C_1, \dots, C_m\}$  and  $P_2 = \{C'_1, \dots, C'_m\}$ . If otherwise,  $P_1$  is not isomorphic to  $P_2$ . Let  $G_{P_1}$  and  $G_{P_2}$  be the associated directed graphs of  $P_1$  and  $P_2$ , respectively, where  $V(G_{P_t}) = V_{var} \cup V_{cl}^{(t)}$  ( $t = 1, 2$ ),  $V_{cl}^{(1)} = \{c_1, \dots, c_m\}$  and  $V_{cl}^{(2)} = \{c'_1, \dots, c'_m\}$ .

Suppose that  $\varphi$  is an isomorphism from  $P_1$  to  $P_2$ . We have a permutation  $\pi_v$  over  $\{1, \dots, n\}$  and a permutation  $\pi_c$  over  $\{1, \dots, m\}$  such that  $\varphi(x_k) = x_{\pi_v(k)}$  for  $1 \leq k \leq n$  and  $\varphi(C_i) = C'_{\pi_c(i)}$  for  $1 \leq i \leq m$ .

We now define an isomorphism  $\psi$  from  $G_{P_1}$  to  $G_{P_2}$  as follows:

$$\psi(x) = \begin{cases} x_{\pi_v(k)}, & \text{if } x = x_k \in \{x_1, \dots, x_n\}, \\ c'_{\pi_c(i)}, & \text{if } x = c_i \in \{c_1, \dots, c_m\}. \end{cases}$$

Conversely, if there exists an isomorphism  $\psi$  from  $G_{P_1}$  and  $G_{P_2}$ , then we have  $\psi(V_{var}) = V_{var}$ . Thus, the restriction  $\psi|_{V_{var}}$  is the desired isomorphism from  $P_1$  to  $P_2$ , since  $x_k = head(C_i)$  if and only if  $G_{P_1}$  contains the edge  $\langle c_i, x_k \rangle$ , and  $x_k \in body(C_i)$  if and only if  $G_{P_1}$  contains the edge  $\langle x_k, c_i \rangle$ .

It is easy to prove that the isomorphism problems for undirected graph and directed graph are equivalent. Thus, we have that the problem  $ISO-LP$  is reducible polynomially to  $GI$ .  $\square$

By Theorems 2 and 3, we have  $ISO-LP \equiv_p GI$ .

## 4 Conclusions

We investigated the transformations between a definite logic program and a graph. By the  $NP$ -completeness of the problem  $K_3$ -coloring, we proved that the homomorphism problem for definite logic programs is  $NP$ -complete, and the isomorphism problem for definite logic programs is equivalent to the graph isomorphism problem ( $GI$ ).

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