

The Abject Failure of Keyword IR for Mathematics Search: Berkeley at NTCIR-10 Math

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ABSTRACT

This paper demonstrates that classical content search using individual keywords is inadequate for mathematical formulae search. For the NTCIR10 Math Pilot Task, the authors used a standard indexing by content word for search coupled with search for components of mathematical formulae. This was followed by formula extraction from the top ranked documents. Performance was terrible, even for partial relevance. The further inclusion of some manual reformulation of topics into queries did not improve retrieval performance.

Team Name

BRKLY

Categories and Subject Descriptors

H.3.3 [Information Systems]: Information Search and Retrieval—retrieval models, search process.

General Terms

Experimentation, Performance, Measurement

Keywords

Mathematics Search, MathML, Scientific Search.

1. INTRODUCTION

Search through scientific documents according to the mathematical formulation of equations is a emerging and challenging research area in the science of search. The availability through the web of large collections of scientific documents either in LaTeX or MathML makes this research valuable to the advancement of scientific knowledge. However there are many levels of abstraction which need to be introduced to move from traditional keyword search of segmented XML documents to matching of mathematical formulae in which the basic semantic units may be expressed in an almost infinitude of lexical formats. For example the quadratic formula

$$ax^2 + bx + c$$

should also match

$$3x^2 + 1$$

or

$$qx^2 + rx + s$$

as well as

$$x_1\alpha^2 + x_2\alpha + \beta$$

Because hundreds of thousands of documents are in LaTeX source, software must be written to convert them into the MathML format because the original authors cannot be expected to manually reconvert their document into the new format. The application of translation software has its limitations as NTCIR10 Math task topic NTCIR10-FS-7 will show below.

2. BAG OF WORDS SEARCH

The search methodology most commonly used in information retrieval is to take the information need, expressed as a verbal phrase or sentence and extract the 'meaningful' set of words and search for these words in a similar representation for each document. For scientific documents stored in the LaTeX source format, it may be theoretically possible to take formulae components and use them in search. However since the alterative format, MathML (Mathematics Markup Language) allows for segmentation of scientific documents into an xml structure more concerned with the layout of the elements on the page than with the semantic content, the application of transformation software may yield unpleasant results. For example, NTCIR10-FS-7, expressed in LaTeX as:

```
<TeXquery>sin(\qvar{x})^{\qvar{x}}</TeXquery>
```

the translation takes the mathematical operation for the sine function (expressed as sin) and splits each letter off into an individual xml component, changing it to:

```
xml:id="m10.1.1" ref="m10.1.1.pmml">s</m:ci>  
xml:id="m10.1.2" xref="m10.1.2.pmml">i</m:ci>  
xml:id="m10.1.3" ref="m10.1.3.pmml">n</m:ci>
```

A keyword approach using this split retrieves documents with each of the individual letters instead of the actual trigonometric function which is a distinct semantic unit. Since this has occurred in one of the limited number of search topics for NTCIR10 Math, it is unclear how many documents in the test collection may have been semantically corrupted by the translation software.

In normal XML retrieval, the searchable content is almost always the text nodes of the XML tree structure, and the

4. MANUAL QUERY REFORMULATION

Manual reformulation of topics into queries with additional semantic content has been an often used methodology for enriching the judgment pool in developing test collections. The approach was most successfully used by University of Central Florida [3] in the first TREC Spanish retrieval track . In that evaluation the experimenters spent over 40 hours per topic developing an entire semantic structure around the original query words, for example the term 'Mexican jewelry' was expanded to include 'bracelets, rings, necklaces" and "gold, silver, copper, turquoise." The resulting retrieval produced a convex recall-precision curve instead of the usual concave curve usually found.

The BERKLY team spent some small time an effort developing a manual reformulation of a few of the NTCIR-10 Math topics. This formulation was then applied as a Boolean search against the document collection. This approach, taken on Formula Search for eight Formula Search Topics NTCIR10-FS-1, NTCIR10-FS-2, NTCIR10-FS-4, NTCIR10-FS-5, NTCIR10-FS-6, NTCIR10-FS-7, NTCIR10-FS-8 and NTCIR10-FS-13

In most cases, particular keywords were taken from the topic description and searched for in the title section of the document. For example, for NTCIR10- FS-7, the word 'sin' was used, retrieving 14 such documents, including

docid 11464|rank 5| rawrel 1.0|filename /projects/metadata2/ntcir10-math/data/NTCIR-sandbox/39/f015329.xhtml
<title>TUM-HEP-285/00MPI-TH/2000-30 "A Lower Bound on $\sin^2 \alpha \hat{c}^2 \hat{I}^2 \sin 2 \backslash \beta$ from Minimal Flavour Violation"
</title>

Formulas were then extracted from the list of documents. Manual reformulation was also done for Full Text Search Topics but because of a processing oversight the formula extraction was not run.

5. RESULTS

Results below are reported only for the FS formula search runs because the single manual FT full text search submission was not included in the judgment set because formula extraction was not performed for that run.

Table 1. Relevance Level >= 3 (Relevant)

	BRKLY. R1	BRKLY. R2	BRKLY. R3	BRKLY. R4
MAP	0.024	0.000	0.000	0.024
P@10	0.010	0.000	0.000	0.019
P@5	0.005	0.000	0.000	0.010
Precision (count)	0.004 (3/815)	0.000 (0/898)	0.001 (1/911)	0.062 (2/32)

Table 2. Relevance Level >= 1 (Partially Relevant)

	BRKLY. R1	BRKLY. R2	BRKLY. R3	BRKLY. R4
MAP avg	0.029	0.001	0.002	0.024
P-5 avg	0.076	0.010	0.010	0.019
P-10 avg	0.048	0.005	0.010	0.010
Precision (count)	0.016 (13/815)	0.003 (3/898)	0.004 (4/911)	0.062 (2/32)

Another way to evaluate the retrievals is to examine performance for individual topics as in the table below for mean average precision (map).

Table 3 Individual Topics (Relevance Level = 1)

Topic	BRKLY. R1.map	BRKLY. R2.map	BRKLY. .R3.map	BRKLY. .R4.map
FS-1	0.0039	0	0	0
FS-2	0	0	0	0
FS-3	0.0093	0.0015	0.0278	NA
FS-4	0	0	0	0
FS-5	NF	0	NF	0
FS-6	0	0	0.0050	0
FS-7	0	0	0	0
FS-8	0	0	0	0.0098
FS-9	0	0	0	NA
FS-10	0	0	0	NA
FS-11	0	0	0	NA
FS-12	NF	0	NF	NA
FS-13	0.5	0	0	0.5
FS-14	0.0087	0.0071	0.0020	NA
FS-15	0	0	0	NA
FS-16	0.0245	0	0.0040	NA
FS-17	NF	0	NF	NA
FS-18	0.0408	0.0009	0	NA
FS-19	0	0	0	NA
FS-20	NF	NF	NF	NA
FS-21	0.0125	0	0	NA
FS-22	NF	0	NF	NA
all	0.0286	0.0056	0.0018	0.0243

The table presents results for MAP for individual topics. NF means that no formulas found in submitted documents, NA means (for the manual run BRKLY.R4) Not Applicable, in the

sense that nothing was run for that topic. Results show that only a few queries submitted by the BRKLY team found any relevant formulae.

6. FUTURE WORK

6.1 Formula search incorporated into document indexing

For the limited situations in which a finite body of search documents will be known ahead of time (such as for this workshop), one option would be to parse the formula parts for all documents ahead of time. Then index them based on number of formula parts and perhaps also index them on number of operators or boolean existence of operators, or even a list of operators (stored as a systematically ordered list). This might allow a more relatively quicker and therefore feasible execution of the formula extraction technique directly on the full set of documents rather than requiring a stage 1 preliminary results set on which to operate. Of course, this would find results for queries of the formula search type.

Another structural change could be to reduce the amount of looping in the overall technique.

6.2 Automated Query Expansion

A third type of improvement would be to run the original query through a pre-search process that acts as a sort of thesaurus for math formulae. Special formulas may have specific terms associated with them that would strengthen stage 1 searching. One example is $e = mc^2$. $E = mc^2$ is associated with terms like "mass-energy equivalence" and "speed of light." Augmenting the original search query with these additional query expansion terms before running the query through our stage 1 process would likely improve stage 1/preliminary results and therefore the final results. A separate run could be performed using this pre-search process and compared to the original search method to verify the amount of utility of a query expansion pre-search. An automated source for performing query expansion is Wolfram Alpha's website, which allows mathematical searching and returns results that sometimes includes query expansion terms..

6.3 Improvement in Precision

Each formula contains many identifiers, allowing a searcher to specify with great precision the exact location in a document suspected to match a query. Our feature extraction technique, however, always returns the outer-most identifier as a formula's formula identifier. That is, our technique returns the digits that follow "sid=id" in a given document. As stated above, the regular expression for formula ID extraction is:

```
pattern = re.compile('<m:\w*sid="id(d+)"',re.S)
```

6.4 Variant formulas

6.4.1 Letter substitution –

Ideally both stages would address variant formats of formulas. One variation would be allowing any English or Greek letter in place of another English or Greek letter, with the exception of e (Euler's number) and i , which often have special meaning. In the

case of the variable i , perhaps our technique should attempt to determine whether i stands for an imaginary number; if not, allow letter substitution, if so, disallow letter substitution. If not enough information (such as a negative number under a square root sign) is present in a query to enable an educated guess, the variable i should be assumed to have special meaning and no letter substitution should be allowed. As with the treatment of i , information searchers would need to choose whether or to disallow letter substitution of Greek letters since those can sometimes have special meaning (alpha can stand for the first angle in a triangle, beta can stand for one square of a root, etc). Actual trials comparing results when allowing or disallowing substitution for these (or other letter) characters would confirm which retrieval practice performs better (statistically having more accurate results more often) in each case.

6.4.2 Term ordering –

The formulas $3x + 2$ is equivalent to $2 + 3x$ or even $2 + x^3$, or for that matter possibly $3x + 1 + 1$ or $3x + 4 - 2$. Our technique did not capture such variations.

6.4.3 Other conceptual equivalence –

X times x is the same as x^2 , but our approach would not capture that. Equivalencies such as this will be difficult to codify since each type of scenario will need to be both thought of and allowed for.

6.5 A Graph Structured Model

Several of the improvements described above to capture formula variation suggest a more fundamental look at formula structure as an directed acyclic graph. With proper modeling, formula variants should be able to be expressed as a series of homomorphic transformations from a query structure to a document structure. Missing nodes in the full equation structure (such as omission of the linear part of a quadratic equation) should also be accommodated by allowing for matching empty nodes in the structure. The advantage of developing a graph structured model for equations might enable application of the growing number of algorithms for graph matching [2,4].

6.6 Equation Grammars

Before our attempt at working on the NTCIR-10 Math Pilot Task, the authors were unable to find any papers in the literature on mathematics formulae search. However we have more recently found a paper on approaching equation structure as a structured grammar problem [5]. We will be studying whether there is other research along these lines which have techniques to be applied to math search.

7. SUMMARY

This paper has presented the approach and results of a keyword information retrieval approach to search for mathematical formulae in the NTCIR-10 Math Pilot Task. Both automatic and manual query development using this retrieval approach produced unsatisfactory results. It is clear that math search is both qualitatively and quantitatively different from ordinary search and will require significant development of new ideas and approaches to be able to achieve the goal of information retrieval for information needs in seeking scientific articles on the basis of formulas contained therein.

8. REFERENCES

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9. APPENDIX -- Formula Extraction Details

The specific steps to identify the formula location within a document are as follows:

1. Read in the query document (an XML file) of multiple queries
2. Extract, parse and store the MathML content part of the query and query id number, using Python's regular expressions, for each query present in the queries document

o Extract the queries.

```
pattern = re.compile('<cquery>\s+<m:math>(.*?)</m:math',re.S)
```

o Extract the query IDs.

```
pattern = re.compile('<num>(.*?)</num>',re.S)
```

3. Read in the preliminary result which is a list in that contains entries of filenames, such as f000001.xhtml, and query IDs, such as FS-1, among other things.

4. For each query ID in the query file:
 - o Get the query parts. For each line in the query:

```
pattern1 = re.compile('^(<m:\w*?)?.*?',re.S) # begins with an open tag <m:...
```

```
pattern2 = re.compile('(.*?)</m',re.S) # anything before an open tag
```

```
pattern3 = re.compile('</m:\w*',re.S) # begins with a close tag </m:
```

These parts are each appended to a single list of all query parts, line by line, for each given query ID.

- o In the preliminary results, find all filenames matching the current query ID
- o For each found filename:
 - Find all formulas in that file.

```
pattern = re.compile('<m:annotation-xml id="id\d+" encoding="MathML-Content">(.*?)</m:annotation-xml>',re.S)
```

▪ For each formula:

- Get the formula id.
 $pattern = re.compile('<m:\w*\sid="id(\d+)""',re.S)$
- Get the formula parts (using the same three regular expression patterns as were used for extracting query parts).
- Compare each formula part to each query part, in order.
- If all parts match between a query formula and a preliminary result file formula, use the current result file's formula ID as the formula ID for our final result.
- Write the result to a final results file.

5. Repeat the above steps for each run