

AIR QUALITY EXPERT GROUP

Mitigation of United Kingdom PM_{2.5} Concentrations



Prepared for:
Department for Environment, Food and Rural Affairs;
Scottish Government; Welsh Government; and
Department of the Environment in Northern Ireland

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This is a report from the Air Quality Expert Group to the Department for Environment, Food and Rural Affairs; Scottish Government; Welsh Government; and Department of the Environment in Northern Ireland, on linking emission inventories and ambient measurements.

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ABBREVIATIONS

AEI – Average Exposure Indicator

AGANet – Acid Gas and Aerosol Network

CMB – chemical mass balance (receptor model)

CTM – chemical transport model

EC – elemental carbon

EMEP – European Monitoring and Evaluation Programme

EMEP-MSC-W – EMEP Meteorological Synthesizing Centre – West

NAEI – National Atmospheric Emissions Inventory

NAMN – National Ammonia Monitoring Network

NH₃ – ammonia

NMVOCs – non-methane volatile organic compounds

NO – nitric oxide

NO_x – nitrogen oxides (NO + NO₂)

NO₂ – nitrogen dioxide

OC – organic carbon

OM – organic matter

PCM – Pollution Climate Mapping model

PM – particulate matter

PM_{2.5} – particulate matter with a diameter less than 2.5 micrometres

PM₁₀ – particulate matter with a diameter less than 10 micrometres

PMF – positive matrix factorisation (receptor model)

PTM – UK Photochemical Trajectory Model

SIA – secondary inorganic aerosol

SO_x – oxides of sulphur

SO₂ – sulphur dioxide

SOA – secondary organic aerosol

UKIAM – UK Integrated Assessment Model

VOCs – volatile organic compounds

Executive summary

This report addresses two issues: first, the contribution to PM_{2.5} (particulate matter with a diameter less than 2.5 µm) concentrations in the UK which arises from sources within the UK and which therefore sets a limit on the extent to which UK source abatement measures can mitigate UK PM_{2.5} concentrations; and second, the related issue of the role of ammonia emission reductions in mitigating PM_{2.5} concentrations compared with reductions in other sources/components of PM_{2.5}, in particular primary combustion sources of PM_{2.5}.

The analysis presented in this report has shown that UK emissions contribute around 50-55% of total annual average PM_{2.5} in the UK. This limits the extent to which long-term average concentrations can be reduced by UK action alone and demonstrates that action by neighbouring countries is important in reducing annual UK PM_{2.5} concentrations. The largest contributions arise from France and the Benelux countries, Germany and Poland, but their relative importance can vary from year to year depending on meteorology.

A detailed analysis of PM_{2.5} episodes has not been feasible in this report, but many episodes occur on easterly winds. Consequently, it is likely that the non-UK contribution to episodic concentrations of PM_{2.5} is even higher than for annual averages. However, model simulations indicate that some SIA (secondary inorganic aerosol) episodes are also driven by UK emissions into stagnant air. The chemical composition of PM_{2.5} in different episodes can also be variable. A more detailed analysis of UK and non-UK contributions to episodes of PM_{2.5} and PM₁₀ is recommended.

The analysis in this report has provided some new insights into the most effective ways of reducing PM_{2.5} mass in the UK. The work has shown that total PM_{2.5} mass is relatively insensitive to reductions in any one individual component, such as the precursors of SIA or primary PM_{2.5} emissions. The relative insensitivity of SIA to precursor emission reductions is due largely to non-linearities in the relationship between SIA precursors and the resulting ambient concentrations.

Reductions in emissions of primary PM_{2.5} and ammonia are the most effective in reducing PM_{2.5} mass out of the five alternatives studied (reductions in primary particulate matter (PM), sulphur dioxide (SO₂), nitrogen oxides (NO_x), volatile organic compounds (VOCs) and ammonia emissions), as measured by the Average Exposure Indicator (AEI). For the same percentage reductions in emissions of ammonia and primary PM, reductions in NO_x, SO₂ or VOC emissions result in smaller changes in total PM_{2.5} mass concentrations. These statements refer to reductions in each of these precursors individually.

Reductions of primary PM_{2.5} emissions in the UK deliver reductions in PM_{2.5} mass predominantly in areas of higher population density, while ammonia reductions lead to decreases mainly in non-urban areas. This observation suggests that if the aim is to reduce impacts of PM_{2.5} on public health, as indicated by the AEI, reducing primary PM emissions is likely to be an effective strategy. If the focus is on ecosystem damage and reducing spatially-averaged PM_{2.5} concentrations across the UK, then ammonia reduction would be the more effective approach.

Several qualifying remarks are in order here:

- The data on the effects of emission reductions are from two models which essentially share the same chemical schemes. There are, however, good reasons for having confidence in the findings. First, the modelled reductions for past years show good agreement with measured trends, and second, the conclusions are in line with findings from an independent model which treats the chemistry and physics of particle formation in a sophisticated way.
- The results of the mitigation scenarios are for a single year. Interannual variability in meteorology may also have an influence.
- The emissions reductions were applied to pollutants individually. In reality, emissions reductions for pollutants may occur simultaneously.
- A potentially more relevant mitigation scenario calculation would assess the effect of emission reductions at some future date, e.g. 2020 or thereabouts, to take account of the fact that reductions in the emission of SIA precursors and primary PM are already in train. In this report, this has only been carried out using one of the models (the Pollution Climate Mapping model, PCM); both models (PCM and EMEP4UK) have been used for hypothetical reductions only from a 'current' level. For additional robustness it would be worth modelling such future scenarios with a deterministic chemical transport model (CTM).
- No consideration has been given to the practicalities or costs of emissions reductions for particular species and particular sectors on top of future emissions reductions already in the pipeline.
- No consideration has been given to the potential differential toxicity of different components of PM_{2.5} which could, as evidence emerges, also influence the priorities for PM_{2.5} mitigation.

1 Introduction

The focus of this report is to assess the link between reductions in UK primary emissions of air pollutants on PM_{2.5} concentration levels. This assessment involves estimating the contribution from UK and non-UK sources to PM_{2.5} concentrations, which will vary across the UK. Typically, UK sources are likely to make a larger proportional contribution as one moves north and west in the UK. This report focuses on average values across the UK, and in particular addresses the scope for UK control over the Average Exposure Indicator (AEI) in the EU Air Quality Directive (2008/50/EC), which refers to an average over urban background locations. Currently, achieving the National Exposure Reduction Target based on the AEI is likely to be the most challenging of the Directive obligations on PM_{2.5} for the UK to meet. In this context, an analysis is also given of the comparative effectiveness in reducing PM_{2.5} mass concentrations of reductions in emissions of ammonia and other precursors of secondary inorganic aerosol (SIA) and primary PM_{2.5}.

Brief summary of the models referred to in this report

PCM: The Pollution Climate Mapping (PCM) model is currently used to provide model results for the annual air quality assessments required for compliance with the EU Air Quality Directive 2008/50/EC. This is an annual mean model and calculates concentrations at background locations on a 1 km x 1 km grid. Regional secondary inorganic aerosol (SIA) concentrations for current or historic years are derived from measurements. Projections for future years make use of emission sensitivity coefficients derived from the European Monitoring and Evaluation Programme (EMEP) model. Concentrations of primary particulate matter are calculated using the air dispersion model ADMS (Brookes *et al.*, 2011).

EMEP4UK: The EMEP4UK model (Vieno *et al.*, 2010) is derived from the EMEP-MS-CW (EMEP Meteorological Synthesizing Centre – West) open source Eulerian grid model (www.emep.int) (Simpson *et al.*, 2012) (version rv4.3 used here) and has an inner domain at 5 km x 5 km horizontal spatial resolution over the British Isles nested within an extended-Europe domain at 50 km x 50 km resolution. The lowest model layer extends to ~90 m. The meteorological fields are computed with the Weather Research Forecast (WRF) model version 3.1 (www.wrf-model.org). Emissions are derived from the National Atmospheric Emissions Inventory (NAEI) for the UK, the EMEP inventory for the rest of the European domain and, for shipping, the Entec inventory (Entec, 2010). The photochemistry, aerosol physiochemical schemes, and wet and dry deposition schemes are as described by Simpson *et al.* (2012) for the parent EMEP model.

Brief summary of the models referred to in this report (cont'd...)

PTM: The enhanced UK Photochemical Trajectory Model (PTM) is a Lagrangian box model enhanced to better describe boundary layer meteorological processes by use of data for temperature, relative humidity and mixing depths and a better description of insolation. The in-cloud oxidation of sulphur dioxide has been incorporated as well as estimates of emissions of sea salt aerosol. The partition of reactive gases such as ammonia and nitric acid between vapour and the condensed phase is described through the thermodynamic model ISORROPIA II, which describes the equilibrium processes within an internally mixed aerosol as a function of temperature and relative humidity. The features of the model are described in Beddows *et al.* (2012) and the predictions of secondary inorganic aerosol mitigation measures are reported in Harrison *et al.* (2013), where a horizontal grid size of 10 km was used.

NAME: NAME (Numerical Atmospheric Dispersion Modelling Environment) is a Lagrangian dispersion model that simulates the dispersion, chemistry and deposition processes occurring in the atmosphere. The model runs employ three-dimensional meteorological fields from the Met Office Unified Model (Davies *et al.*, 2005). The model is well documented and has numerous applications, for example modelling volcanic eruptions, accidental releases of radionuclides, the spread of foot and mouth disease, and air quality. A detailed description of the NAME model physics can be found in Jones *et al.* (2007). A description of the atmospheric chemistry model applications can be found in Redington *et al.* (2009), although the chemistry scheme has been updated since then to include simple parameterisations to represent the formation of both anthropogenic secondary organic aerosol (ASOA) and biogenic secondary organic aerosol (BSOA). A simple scheme that allows the oxidation of toluene to form ASOA has been included, where the toluene is scaled up to represent the total amount of VOC that produces ASOA. BSOA is formed largely from the atmospheric oxidation of the biogenic terpenes. A simple scheme that forms a SOA precursor from α -pinene which undergoes reversible uptake into the particle phase has also been included.

2 Contribution of UK sources to UK PM_{2.5}

Some information on the question of UK versus non-UK contributions to PM_{2.5} is already available in a 2012 Air Quality Expert Group (AQEG) report (AQEG, 2012), but this study aims to incorporate more recent work which has emerged since then.

The initial estimates in AQEG (2012), based on (i) the PCM (Pollution Climate Mapping) model,¹ and (ii) the UK Integrated Assessment Model (UKIAM), are shown in Annex A. While these tables are helpful, they do not fully address the issue of UK contributions raised in the introduction (Section 1). A more comprehensive approach is included in Table 1, which includes best estimates from modelling studies and from analyses of monitoring data, primarily using receptor modelling.

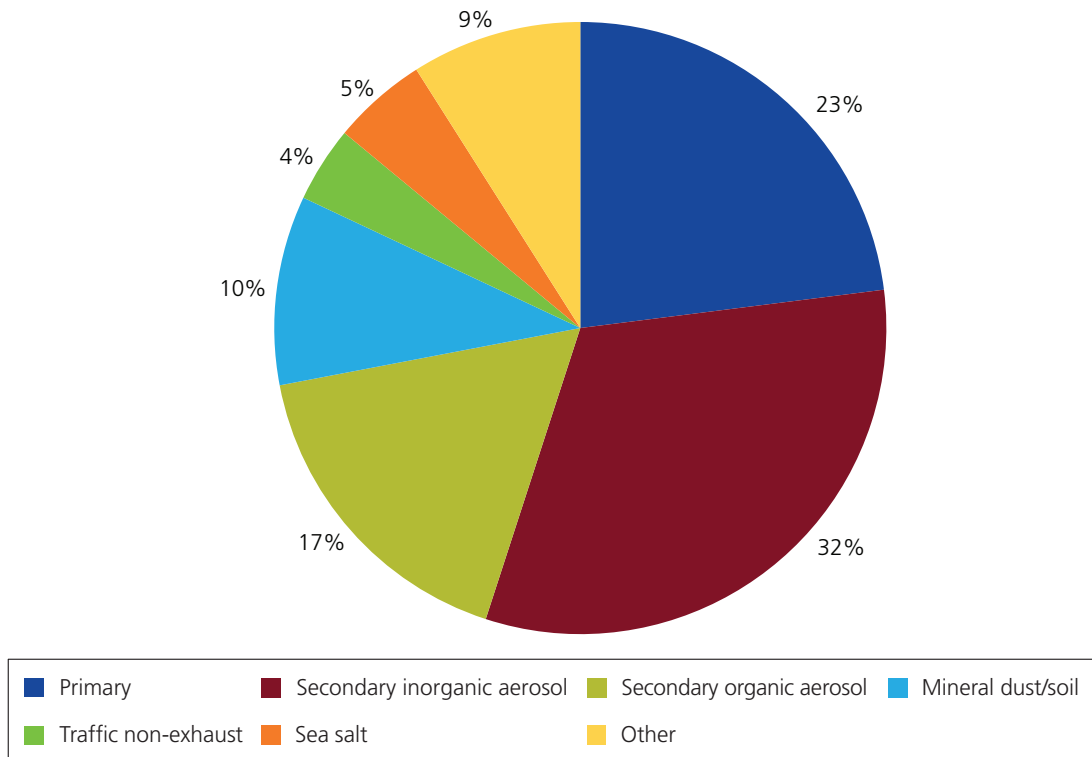
Models can, at least in principle, provide source attribution numbers directly, albeit with a degree of uncertainty. Direct measurements of particulate matter (PM) components and inferred source attribution from receptor models (e.g. chemical mass balance, CMB, and positive matrix factorisation, PMF, techniques), as well as other techniques, can potentially offer 'ground truth' information. However, such analyses of monitoring results invariably address source types/sectors rather than UK/non-UK splits, so that when analyses of monitoring results are used, a combination of data from measurements and models will be necessary to arrive at estimates of the values for the cells in Table 1. For example, the total mass of a component in Table 1 might be known quite accurately from measurements, but the UK/non-UK split would in general need modelling to resolve. The discussion will begin by summarising the breakdown of total PM_{2.5} – as an annual average and in episodes – by chemical/physical components and sources, before going on to address potential reductions in PM in relation to emission reductions and the role of ammonia.

2.1 UK vs. non-UK contributions to UK PM_{2.5} – Annual averages

A breakdown of total PM_{2.5} in the UK is given in Table 1. The two main sources of the information contained in this table are the PCM model from Ricardo-AEA (AQEG, 2012) and the receptor modelling mass-closure study of Yin *et al.* (2010) based on measurements in Birmingham (results from this study are also shown in Figure 1). These have been supplemented by studies of secondary inorganic aerosol (SIA) by Vieno and Heal (2013) and Nemitz *et al.* (2014) using the EMEP4UK model, and a study of secondary organic aerosol (SOA) by Redington and Derwent (2013) using the Numerical Atmospheric Dispersion Modelling Environment (NAME) model. The percentage reduction in SIA from switching off UK sources calculated from the EMEP4UK model is shown in Figure 2.

¹ PCM uses emission sensitivity coefficients derived from the results of the European Monitoring and Evaluation Programme (EMEP) model to assign secondary inorganic aerosol (SIA) concentrations to different source areas. The in-house Ricardo-AEA TRACK model is used to estimate regional concentrations of primary particulate matter (PM) and this model also provides the split between UK and non-UK primary PM.

PCM (updated since AQEG Report)



Yin et al. (2010)

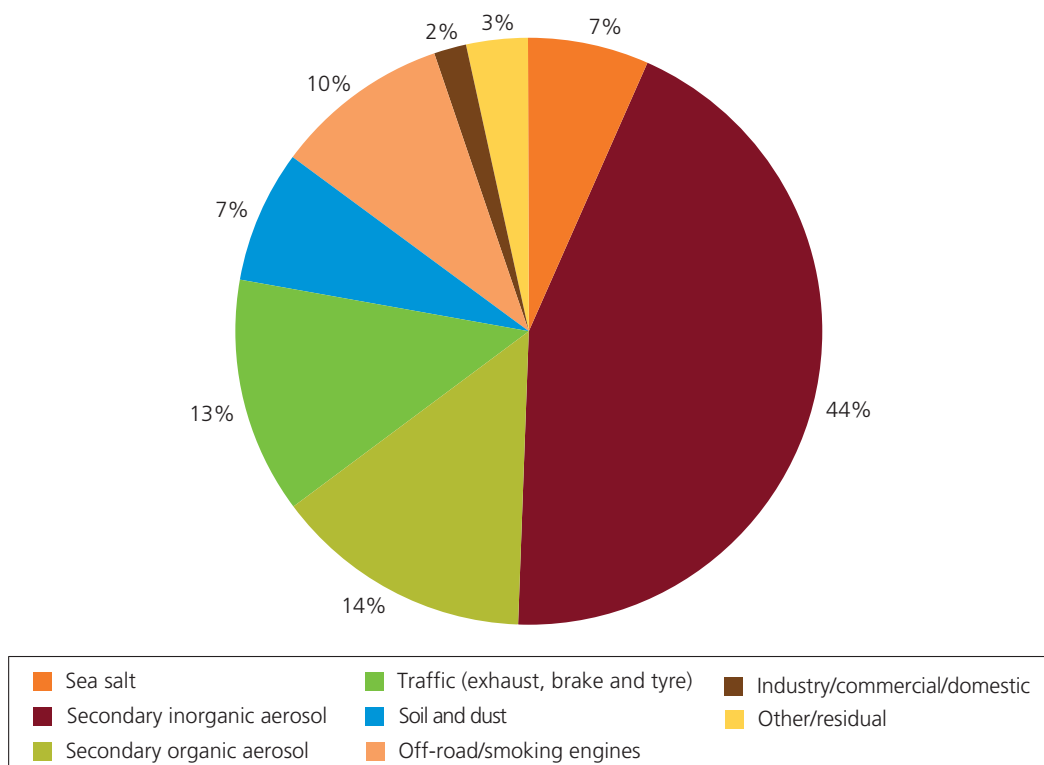


Figure 1 (Figure 4.12 in AQEG, 2012): Comparison of PCM model output for 2010 (top, population-weighted mean modelled PM_{2.5} = 10.29 µg m⁻³) and Yin *et al.* (2010) (bottom, CMB model output for 2007-08, for source apportionment of PM_{2.5} in Birmingham; annual average measured = 11.63 µg m⁻³).

Table 1: Source attribution for annual average PM_{2.5}.

Component	Contribution to total PM _{2.5}	Estimated % contribution to total PM _{2.5}				
		UK	Non-UK	Shipping	Natural	Other
Primary PM	23% ³ -25% ^{2*}	19% ³	4% ³			
Secondary inorganic aerosol	32% ³ -44% ²	13% ³ 20% ^{1,2}	14% ³ 24% ^{1,2}	6% ³		
– sulphate	8% ³	2% ³	5% ³	2% ³		
– nitrate	16% ³	8% ³	6% ³	3% ³		
– chloride						
– ammonium	7% ³	3% ³	3% ³	1% ³		
Secondary Organic Aerosol	14% ² -17% ³	14% ^{4,3} 12% ^{4,2}	3% ^{4,3} 2% ^{4,2}			
Mineral dust/soil	7% ² -10% ³				7%-10%	
Traffic non-exhaust	4% ³ (<13% ²)	4%				
Sea salt	5% ³ -7% ²				5%-7%	
Other	3% ² -9% ³					
Total (PCM)[†]		50%	21%	6%	15%	9%
Total (Yin et al. (2010); Nemitz et al. (2014))		55%	30%	–	14%	3%

* Incorporates "Industry/commercial/domestic", "Off-road/smoking engines" and "Traffic" in Yin et al. (2010), and so includes non-exhaust traffic emissions;

(1) Nemitz et al. (2013) for 2007, gives a contribution of non-UK sources to UK SIA of about 55% of the spatial average UK value from EMEP4UK;

(2) Yin et al. (2010), Birmingham estimates for 2007-08 from CMB model and measurements, annual mean PM_{2.5} = 11.63 µg m⁻³;

(3) PCM model, Ricardo-AEA, population-weighted UK mean (see Figure 4.12 in AQEG, 2012);

(4) Redington and Derwent (2013) NAME model, average over Harwell, Auchencorth, Birmingham and London Bloomsbury used in conjunction with PCM, result for Birmingham used in conjunction with Yin et al. (2010), with NAME UK/non-UK split used to scale PCM and Yin et al. (2010) contribution to total PM_{2.5}; authors calculate on average 83% of UK and 71% of non-UK SOA is biogenic. This does not necessarily mean that the biogenic SOA is uncontrollable as it will include some contribution from cooking;

† Based on PCM figures, 9% of total PM_{2.5} is unaccounted for, 'Other' in the table.

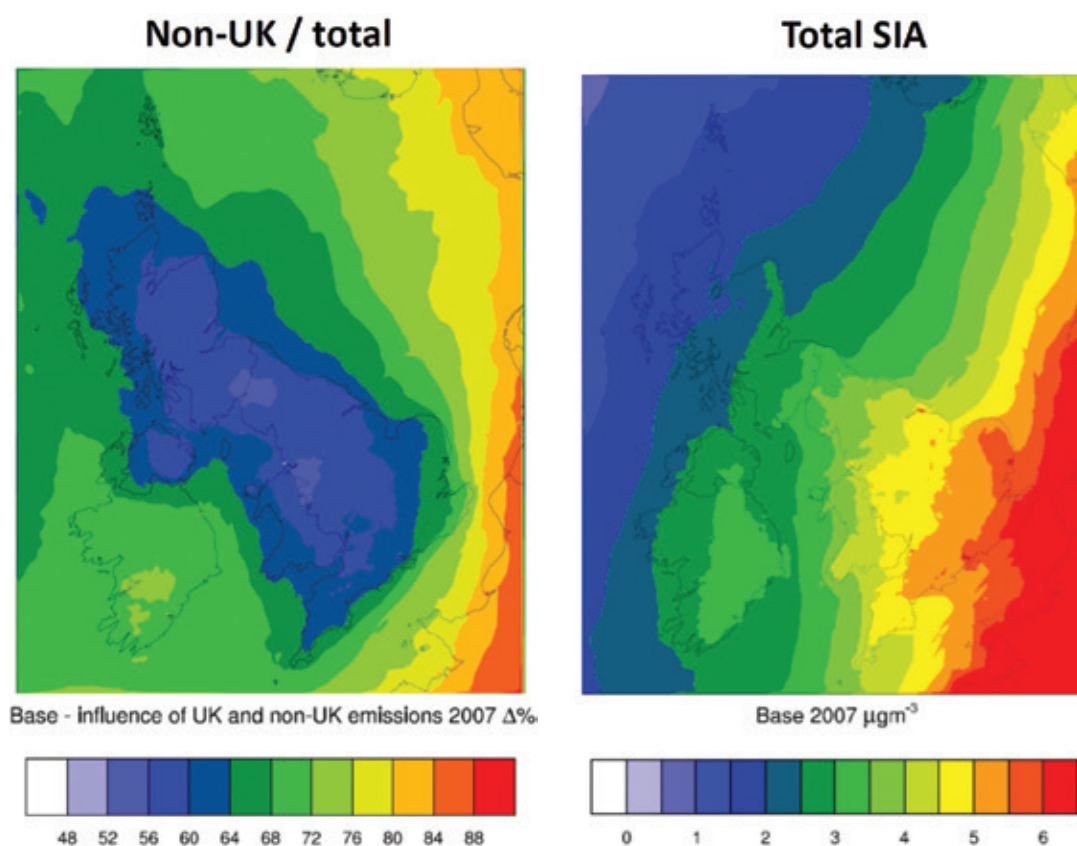


Figure 2 Left-hand map: Fraction of SIA formed from precursors emitted outside the UK in 2007 from EMEP4UK. Right-hand map: Total modelled SIA. From Nemitz *et al.* (2014).

Table 1 shows firstly the breakdown of total PM_{2.5} into its respective chemical/physical components. The conclusions of the PCM and receptor models are broadly similar for the major categories of components, although there is a relatively large difference in the estimate of the SIA contribution. The PCM model estimates 32% while the value from Yin *et al.* (2010) is 44%. It should be noted however that the PCM estimates are population-weighted annual averages for the UK while the Yin *et al.* (2010) figures are averages over samples taken on five days in each of 12 consecutive months for the measurement site in Birmingham. This difference in the two methodologies may be the reason for the relatively high proportion of secondary organic aerosol calculated by PCM. There is also a relatively large difference in the 'Other' category, with PCM quoting 9% unassigned compared with 3% in Birmingham.

As noted above, breaking down these estimates into UK and non-UK contributions requires modelling and the remaining columns in Table 1 show estimates of these contributions from the PCM model, from modelling studies in Nemitz *et al.* (2014) (EMEP4UK for SIA) and from Redington and Derwent (2013) (using the NAME model for SOA). These latter two studies (as reported to date) only modelled the secondary aerosol component rather than total PM_{2.5}. It should be noted here that the Nemitz *et al.* (2014) results are still provisional as the work is continuing.

As might be expected, primary PM_{2.5} is estimated to originate primarily from the UK; 19% of the total PM_{2.5} is accounted for by primary emissions from UK sources and only 4% from primary sources outside the UK (using the PCM model). Secondary PM however presents a different picture. Contributions of SIA to total PM_{2.5} are estimated to be roughly equally split between UK and non-UK sources, i.e. 13% and 14% using the PCM model. Nemitz *et al.* (2014) calculated the reduction in total SIA over the

whole UK arising from switching off UK sources as 46% (rounding 45.8% to a whole number) and from switching off the sources in the rest of Europe as 65%. There thus appears to be a small degree of non-linearity in the modelled results. Accordingly the UK/non-UK split was assumed to be pro rata with 46% and 65%. Taking the PCM and Yin *et al.* (2010) estimates of the SIA contribution in mass terms to total PM_{2.5} mass gives an SIA contribution based on Nemitz *et al.* (2014) from non-UK sources of 15%-20% of total PM_{2.5}. The comparison with the source-apportioned PCM model and this estimate of Nemitz *et al.* (2014) is thus very good (14% compared with 15% from non-UK sources) but the higher end of the range driven by the Yin *et al.* (2010) estimate is rather larger.

A recent study by Redington and Derwent (2013) found that the greatest import of PM from Europe was seen in southern England. The model found that European emissions contribute 20-30% of PM_{2.5} in southern England. Of this, sulphate and nitrate aerosol together make up ~75% of imported PM_{2.5}. Shipping emissions contribute 5-10% of PM_{2.5} in southern England.

The estimates of contributions to SOA from Redington and Derwent (2013) averaged over four sites across the UK (Auchencorth, Birmingham Centre, Harwell and London Bloomsbury) are, in mass terms, 1.0 µg m⁻³ and 0.2 µg m⁻³ from UK and non-UK sources respectively. The authors calculate that 83% of UK SOA and 71% of non-UK SOA is biogenic in origin. This will not all be uncontrollable as the biogenic SOA will include some contribution from cooking. The UK and non-UK splits in Table 1 have been calculated by splitting the estimated contribution to total PM_{2.5} in the ratio of the NAME modelled UK/non-UK splits of Redington and Derwent (2013). So, for the PCM model, SOA contributes 17% of the total PM_{2.5} and this has been split in the ratio 1:0.2. It should be noted that the concentrations of SOA are subject to at least an order of magnitude uncertainty since the authors scaled up the non-methane volatile organic compound (NMVOC) emissions in the model by a factor of 5 to allow for unaccounted for large carbon number VOC emissions in the inventories.

Table 2 (Table 4.7 in AQEG, 2012): Conversion of mass of organic carbon (OC) to organic matter (OM) in samples collected by Heal *et al.* (2011) in Birmingham.

Component	Mass C (µg m ⁻³)	%	OM/OC factor	Mass OM (µg m ⁻³)	%
Fossil EC	1.35	27	1.0	1.35	18
Fossil OC ^a	1.00	20			
– primary	0.47		1.25	0.59	8
– secondary	0.53		1.80	0.95	13
Biomass EC	0.10	2	1.0	0.10	1
Biomass OC	0.50	10	2.0	1.00	13
Biogenic OC ^b	2.05	41			
– primary	0.20		1.2	0.24	3
– secondary	1.85		1.80	3.33	44
TOTAL	5.00	100		7.56	100

a Split of fossil OC into primary and secondary is based upon primary OC = 0.35 fossil elemental carbon (EC).

b Based upon average ratio at urban site between vegetative detritus and "other" OC at EROS site reported by Yin *et al.* (2010) of 0.10.

The results for carbonaceous components of PM_{2.5} shown in Table 2, based on measurements in Birmingham, are broadly consistent with the results of Redington and Derwent (2013), in that the larger part of the SOA arises from biogenic sources. Direct comparisons of the two studies are difficult as the two papers disaggregate the aerosol into different categories. The results in Table 2 show that, in terms of mass of organic matter (OM), fossil elemental carbon (EC) + fossil organic carbon (OC) is 2.89 µg m⁻³, while biomass EC + biomass OC + biogenic OC is 4.67 µg m⁻³ at this particular site in Birmingham. As noted elsewhere, it is likely that some of the biomass fraction results from cooking activities and biomass combustion so the biomass fraction is not all uncontrollable.

In summary, we can conclude that the data shown in Table 1 suggest that around 50% of the PM_{2.5} measured in the UK (as a population-weighted mean over the whole territory) arises from UK sources. About 15% arises from natural sources (mineral dust, soil, sea salt, etc.). However, this figure of ~50% from UK sources may be an underestimate. The results of the PCM model suggest that 9% of the PM_{2.5} is unaccounted for. If all this were from UK sources then, including some UK shipping, the total arising from UK sources could be as high as ~60%.

Alternatively, we could base the estimates on the source apportionment of Yin *et al.* (2010) and use the split of SIA from Nemitz *et al.* (2014) which finds reductions in total SIA of 64.6% when European sources are switched off, and 45.8% when UK sources are switched off. The SIA contribution of Yin *et al.* (2010) has therefore been given a UK fraction of 45.8/(45.8+64.6) or ~41% and the non-UK fraction is estimated as 59%. Using these figures the UK contribution is ~55% as stated in Table 1, i.e. the UK contribution is roughly the same as the PCM estimate of ~50% (bearing in mind however that the latter may be an underestimate) but the two differ in the contribution from non-UK sources. The Yin *et al.* (2010) based figures show a lower 'Other' category (3% compared to 9%) but a higher contribution from non-UK emission sources of 30% compared with 21% from the PCM model.²

In conclusion, we can estimate the UK contribution to annual average PM_{2.5} concentrations across the whole UK to be at least 50% and possibly as high as 60%; other European sources contribute around 21-30% and natural sources about 15%. This suggests that action in the UK to reduce PM_{2.5} concentrations is important, but so too is co-operative action within the EU.

² Note that the EU Air Quality Directive does not consider resuspended soil as 'natural' unless it is soil from naturally dusty places, like the Sahara.

2.2 UK vs. non-UK contributions to UK PM_{2.5} – Episodes

In this section, the term ‘episode’ is generally taken to mean periods with daily mean PM₁₀ greater than 50 µg m⁻³. Given the preponderance of easterly air mass trajectories in high pollution episodes of PM_{2.5} in the UK (see Figures 6 and 7 below), it may be expected that the contribution from non-UK sources to elevated concentrations would be higher than their contribution to annual averages. It is only very recently that detailed numerical modelling of contributions to episodes has been undertaken and such estimates as have been made have relied on receptor models and measurements. The best example of this latter approach is that done by Yin and Harrison (2008; Figure 3.17 in AQEG, 2012) shown in Figure 3 below.

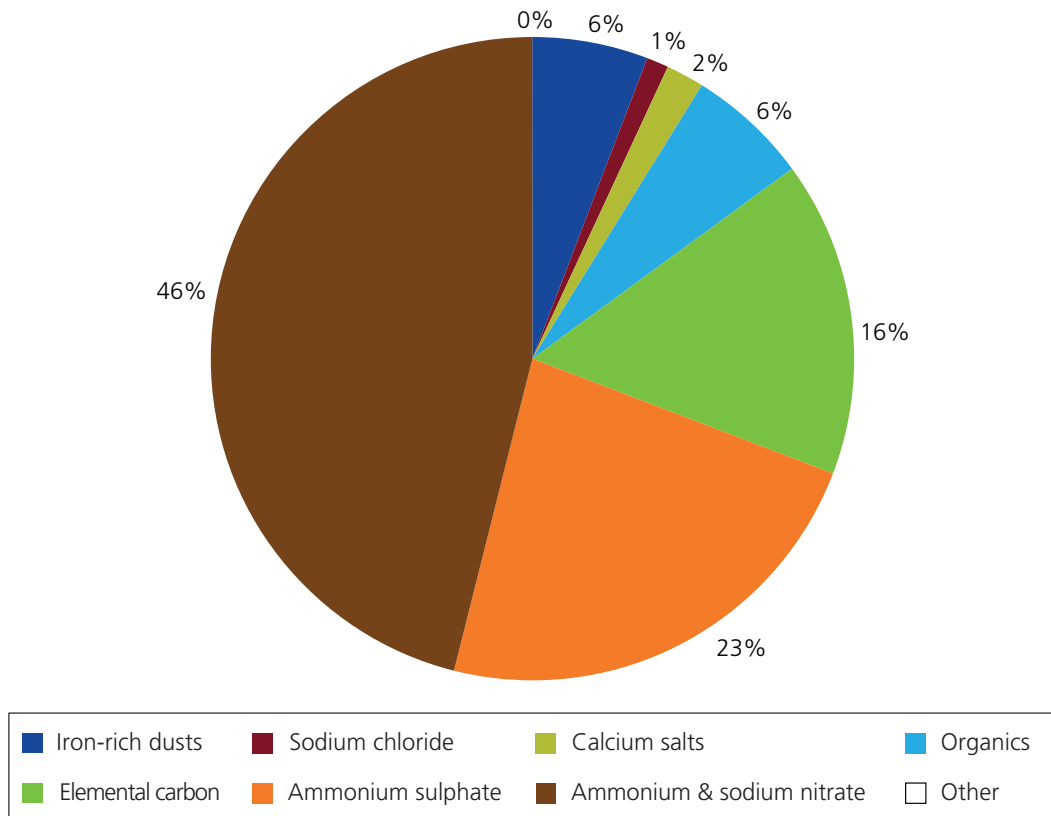


Figure 3: Composition of PM_{2.5} on ‘episode days’ (when daily mean PM₁₀ > 50 µg m⁻³) at Birmingham urban background site (Yin and Harrison, 2008).

The results in Figure 3 suggest that the contribution of SIA, in particular ammonium nitrate, is enhanced compared with the annual average situation shown in Figure 1. Neither this work, nor any other receptor modelling or trajectory analyses, are able to give any quantitative estimates for the UK/non-UK contributions to PM_{2.5} under ‘episode’ conditions. Given the higher frequency of episodes associated with easterly air mass trajectories, it is likely that the non-UK contribution to episodic concentrations of PM_{2.5} is even higher than that for annual averages.

Recent modelling studies of some episodes have been undertaken using the EMEP4UK model (Vieno *et al.*, 2013). Monthly measurements of SIA components show a number of prolonged episodes of high concentration, as illustrated for the first few months of 2003 specifically in Figure 4. The episodes in early 2003 were simulated by the EMEP4UK model (upper panel in each figure of Figure 5); the relative sensitivity of nitrate (NO₃⁻) and sulphate (SO₄²⁻) levels to a model run with all UK emissions switched off was also simulated. The lower panels in each figure of Figure 5 show % (base – (no UK emissions)/base); the darker the colour in the lower panels, the greater the percentage contribution of SIA component that is derived from UK emissions.

Figure 5 shows that the proportion of SIA generated from UK or non-UK emissions can vary quite substantially, for NO₃⁻ SIA in particular. The model results show that for February 2003, the first month where NO₃⁻ concentrations were elevated, <40% of the monthly average NO₃⁻ concentrations are attributable to UK emissions (investigation of time series of transports shows significant advection from the continent), whilst in March and April the contribution from UK emissions to the elevated NO₃⁻ is up to 80%. In these latter episodes the majority of the NO₃⁻ SIA is actually due to UK emissions and not to transboundary transport (resulting from a blocking high episode with comparatively low temperatures processing UK emissions and limiting evaporation). Remember here that the sensitivity simulation switches off the ammonia (NH₃), nitrogen oxides (NO_x) and sulphur oxide (SO_x) emissions simultaneously.

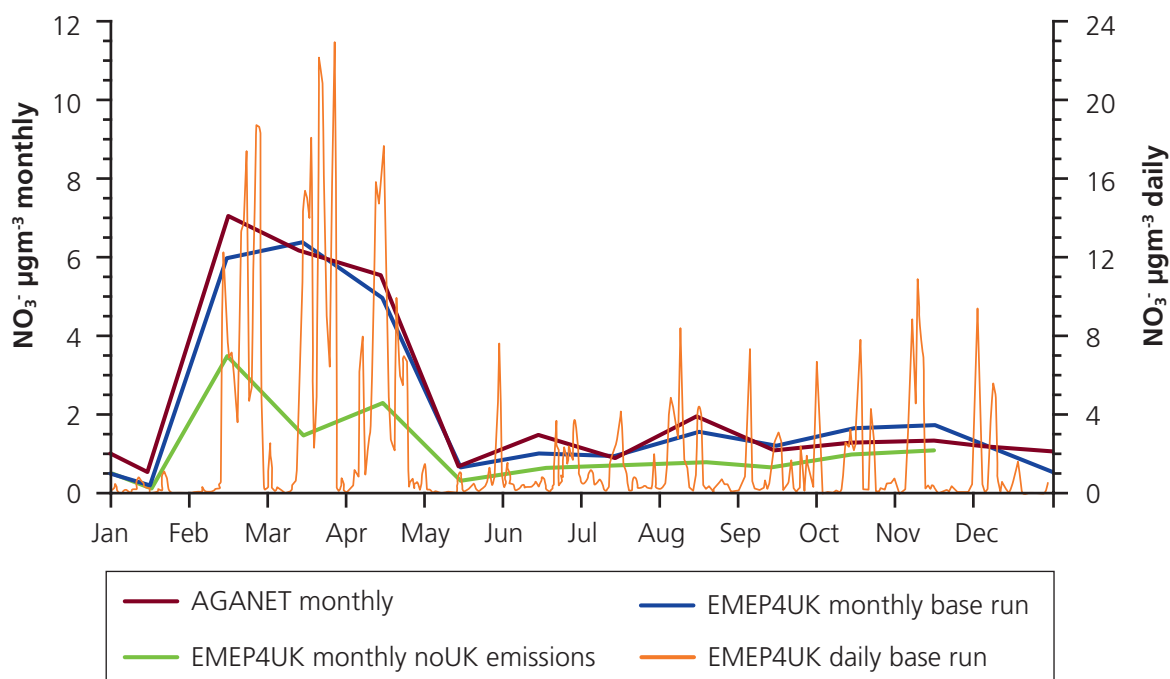


Figure 4: Simulated and observed NO₃⁻ at Bush in 2003. The orange line is the daily mean model output. The blue line is the monthly mean of the model output and the red line is the measured monthly value from the AGANet network. The green line is the monthly mean model output for a model perturbation with no UK emissions.

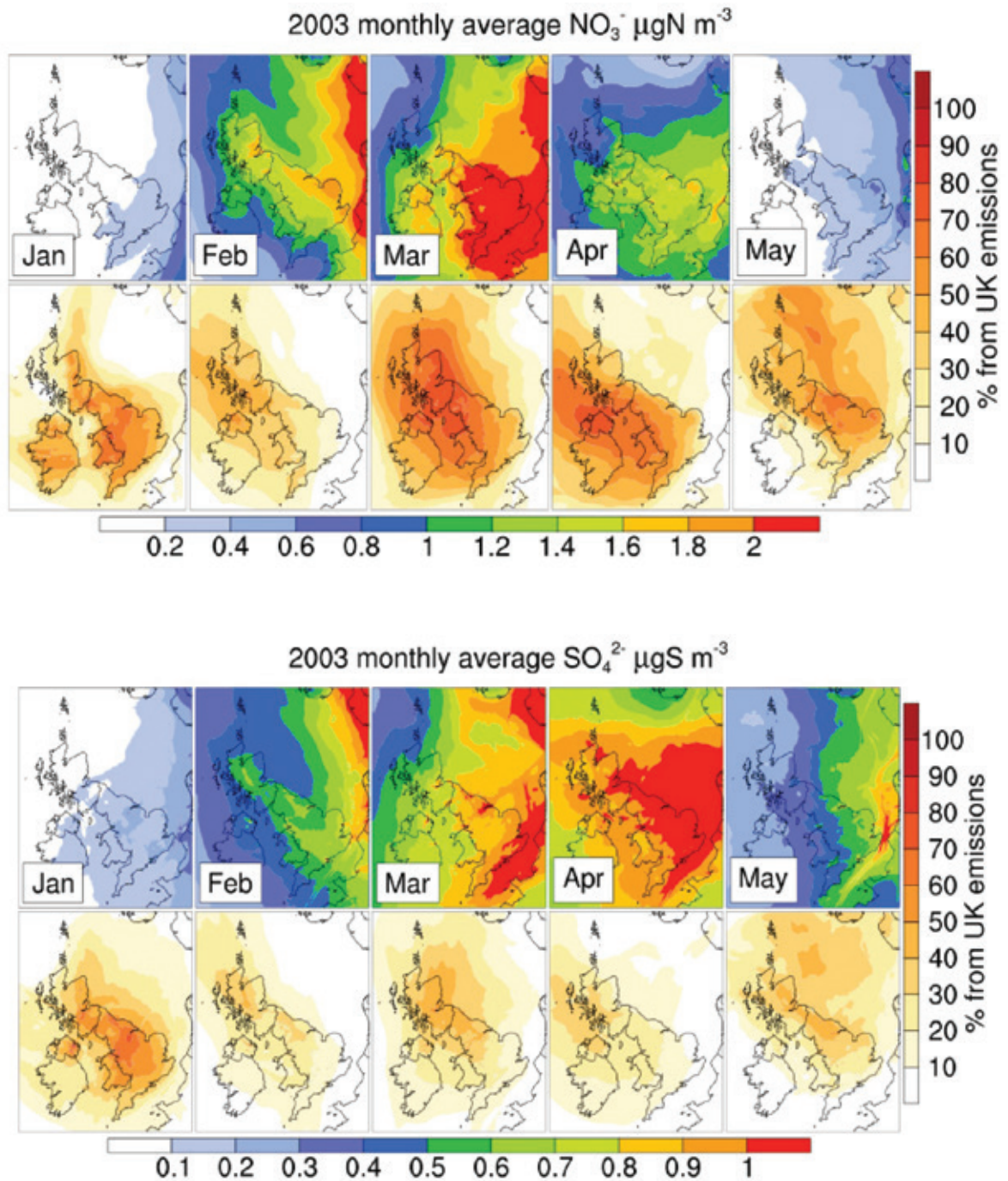


Figure 5: Modelled January 2003 to May 2003 monthly average surface concentrations (upper panel in each figure, horizontal scale in μg N m⁻³ or μg S m⁻³, as relevant) and monthly difference between the base simulation and the simulation with zero UK emissions (lower panel in each figure, vertical scale) for (a) NO₃⁻ and (b) SO₄²⁻. The darker the colour in the lower panels the greater the percentage contribution of SIA component that is derived from UK emissions (Vieno *et al.*, 2013).

It is clear from these modelling results that the proportional contributions from UK and non-UK sources for both sulphate and nitrate can vary significantly from one episode to another depending on meteorological conditions. Depending on the episode, the UK and non-UK contributions to both sulphate and nitrate concentrations can be proportionally large and dominant. Without more modelling of more episodes over more years, it is not possible at this stage to give more quantitative conclusions.

Evidence for higher concentrations of PM_{2.5} components on easterly trajectories is available from the trajectory analyses presented in the AQEG report on PM_{2.5} (AQEG, 2012). Receptor modelling of particulate matter at UK sites using both the Concentration Field Map Method and clustering of air mass back trajectories is consistent with a substantial proportion of particulate matter measured at Harwell arising from emissions within continental Europe. This is especially clear for sulphate, nitrate and secondary organic aerosol, but perhaps less obviously there appears to be a substantial contribution to primary elemental carbon (EC) from the European mainland. However, it is also possible that the elevated EC concentrations could arise from local sources due to the generally lower wind speeds observed on easterly flows. It is also worth noting that the A34 trunk road is to the east of the monitoring station. Figure 6 shows the association of concentrations with the air mass back trajectory; much higher concentrations of EC in clusters 2, 5 and 6 correspond to trajectories with origins in the European mainland (C2 and C6) or having traversed the UK from the north (C5) (see Figure 7).

In conclusion, episodes can often occur on easterly air mass trajectories and can have substantial contributions from non-UK sources, often higher proportionally than is the case for annual averages. However, contributions can vary with meteorological conditions and there can also be episodes which are dominated by UK sources. The chemical composition of episodes is also similarly variable.

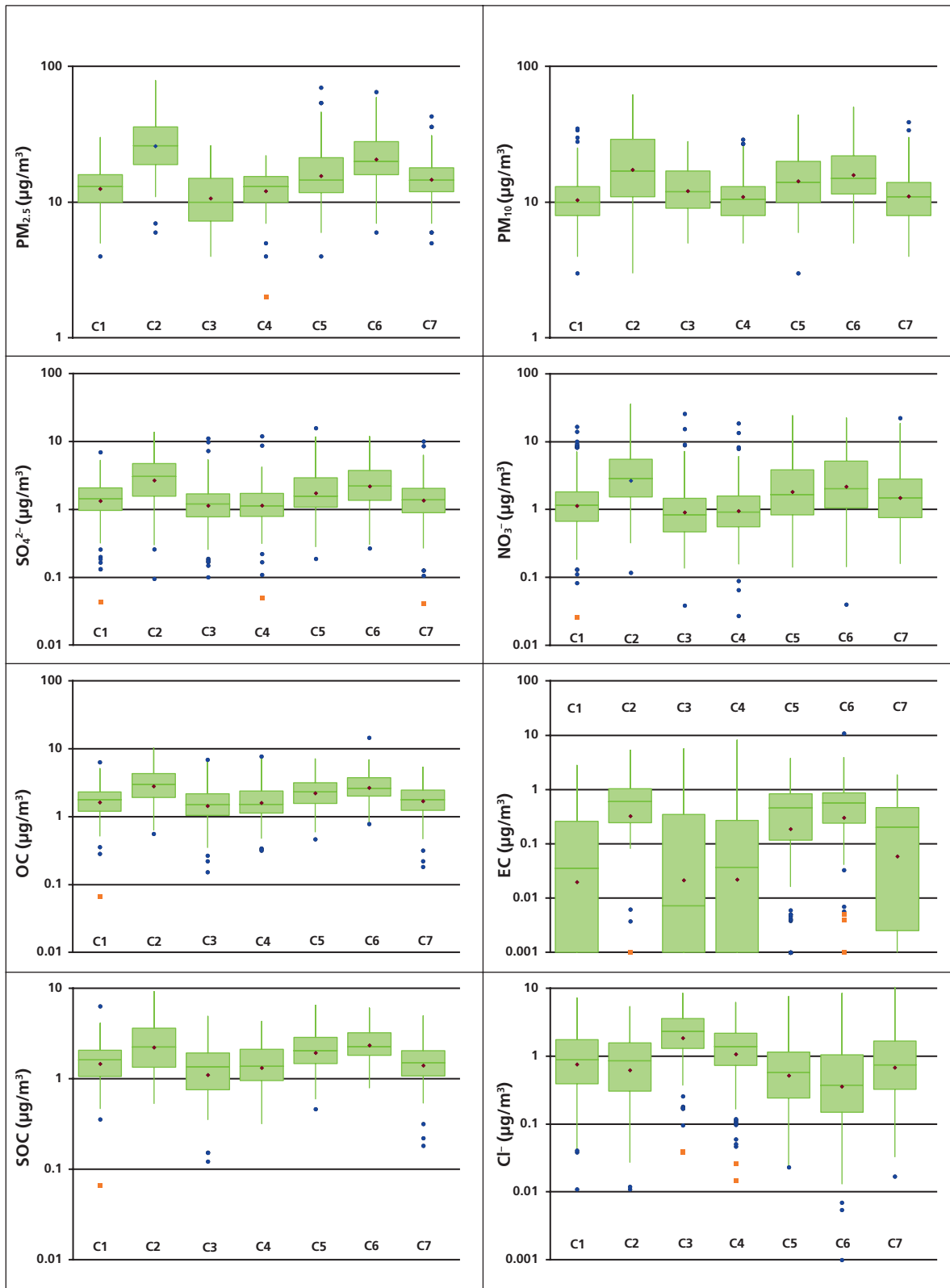


Figure 6: Box plots for PM_{2.5}, PM₁₀, SO₄²⁻, NO₃⁻, OC, EC, SOC and chloride (Cl⁻) measured at Harwell for each cluster (Charron *et al.*, 2013). The cluster numbers (C1, etc.) are shown in Figure 7 below. The upper and lower edges of the boxes are 25th and 75th percentiles; inside the boxes the line is the median and the circle is the arithmetic mean. The length of the upper whisker is the shorter of these two distances: the distance between the 75th percentile and the maximal value or 1.5 times the Inter-Quartile Range (IQR). Similarly, the length of the lower whisker is the shorter of these two distances: the distance between the minimal value and the 25th percentile or 1.5 times the IQR.

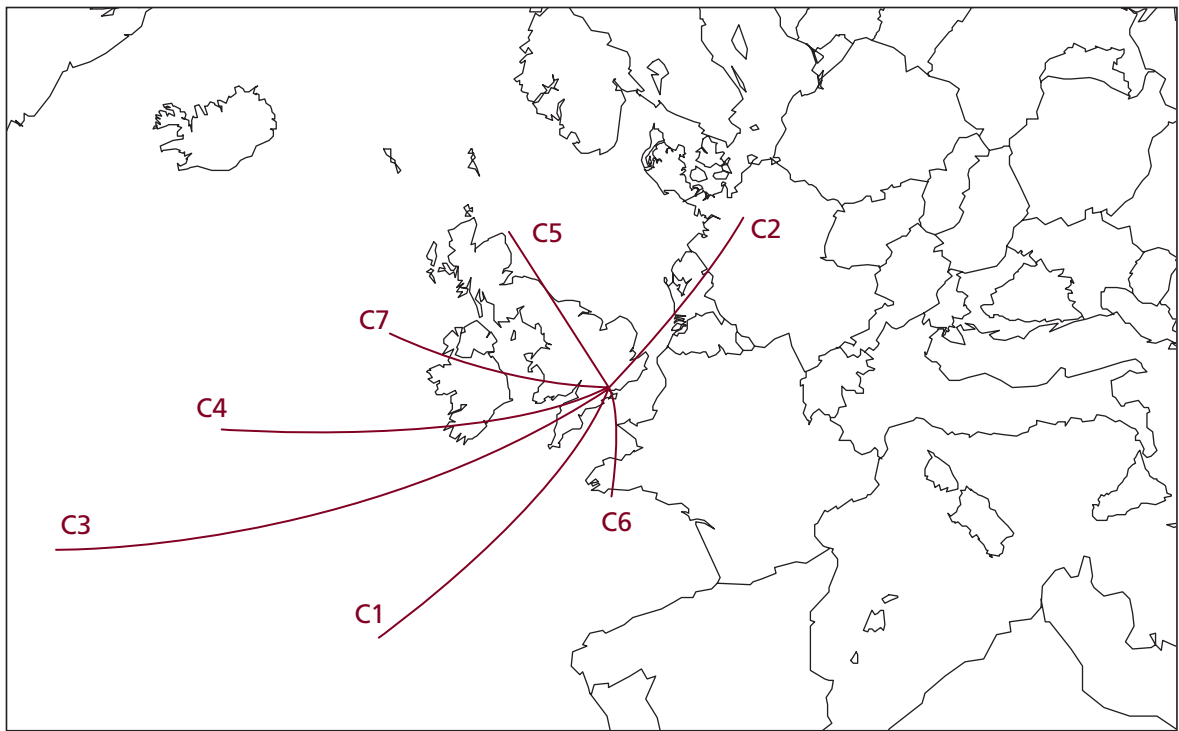


Figure 7: Final centres of seven clusters (K-mean partitioning method) of backward trajectories finishing at Harwell during the 2006-2010 period (Charron *et al.*, 2013).

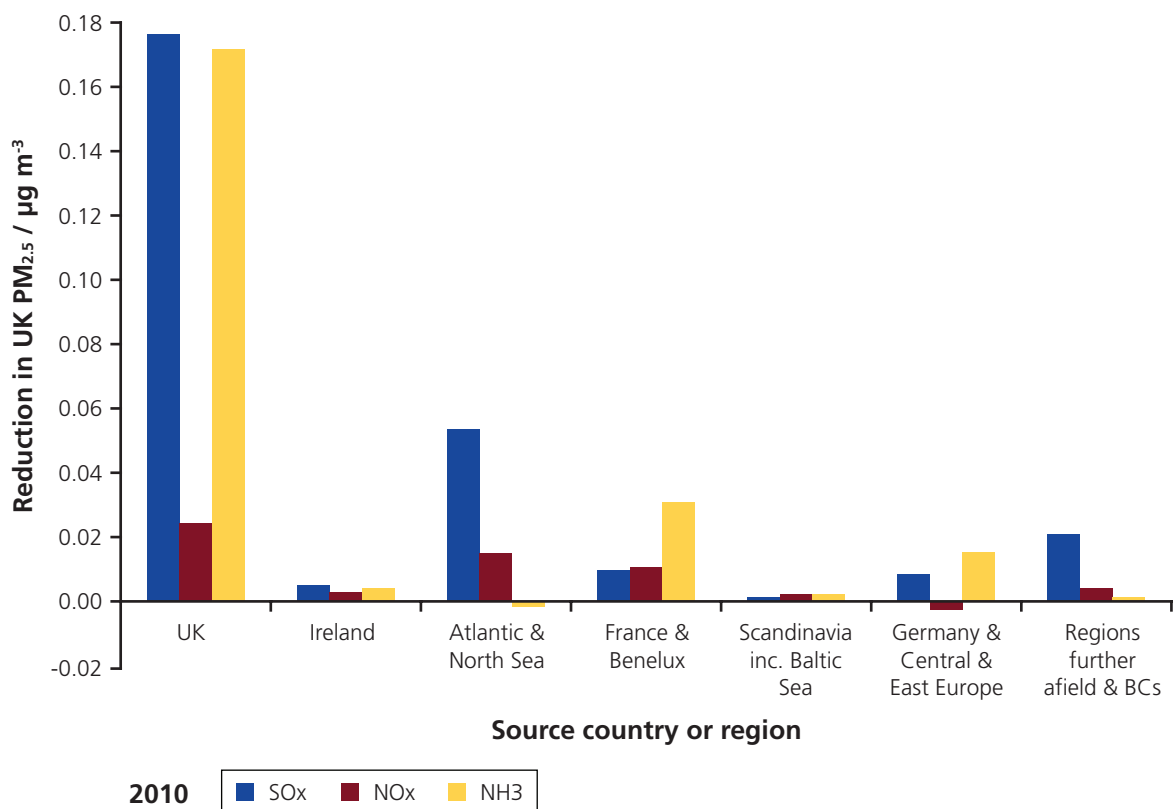
3 What is the role of ammonia?

The discussion in the previous section showed that ammonium sulphate and nitrate make up a large proportion of the total mass of PM_{2.5} in the UK in annual average terms and probably even more on episode days. What is less well known is the role of ammonia (NH₃) in reduction strategies – if one wished to reduce the PM_{2.5} burden, reductions of which precursor(s) would be most effective? Is the reduction of PM_{2.5} ammonia limited or limited by sulphur dioxide (SO₂) and/or nitrogen oxides (NO_x)? How strong is the case for reducing ammonia emissions, the one pollutant which has remained relatively resistant to reductions compared with other pollutants over the past few decades?

It is worth noting here that the size of the reduction in total PM_{2.5} mass arising from the reduction in any single component will depend to some extent on the proportional contribution of that component to the total. Moreover, there will be some components of PM_{2.5} which are not amenable to control – sea salt and wind-blown mineral dust being two examples – and this in turn can contribute to non-proportionality between emission reductions and resulting reductions in PM_{2.5} mass concentrations.

3.1 Reductions in secondary inorganic aerosol (SIA)

The effect of reductions in emissions of the three main SIA precursors of PM_{2.5} (SO₂, NO_x and NH₃) is addressed in the annual EMEP model reports (http://www.emep.int/mscw/SR_data/sr_tables.html). Results for 2008 and 2010 are shown in Figure 8 below.



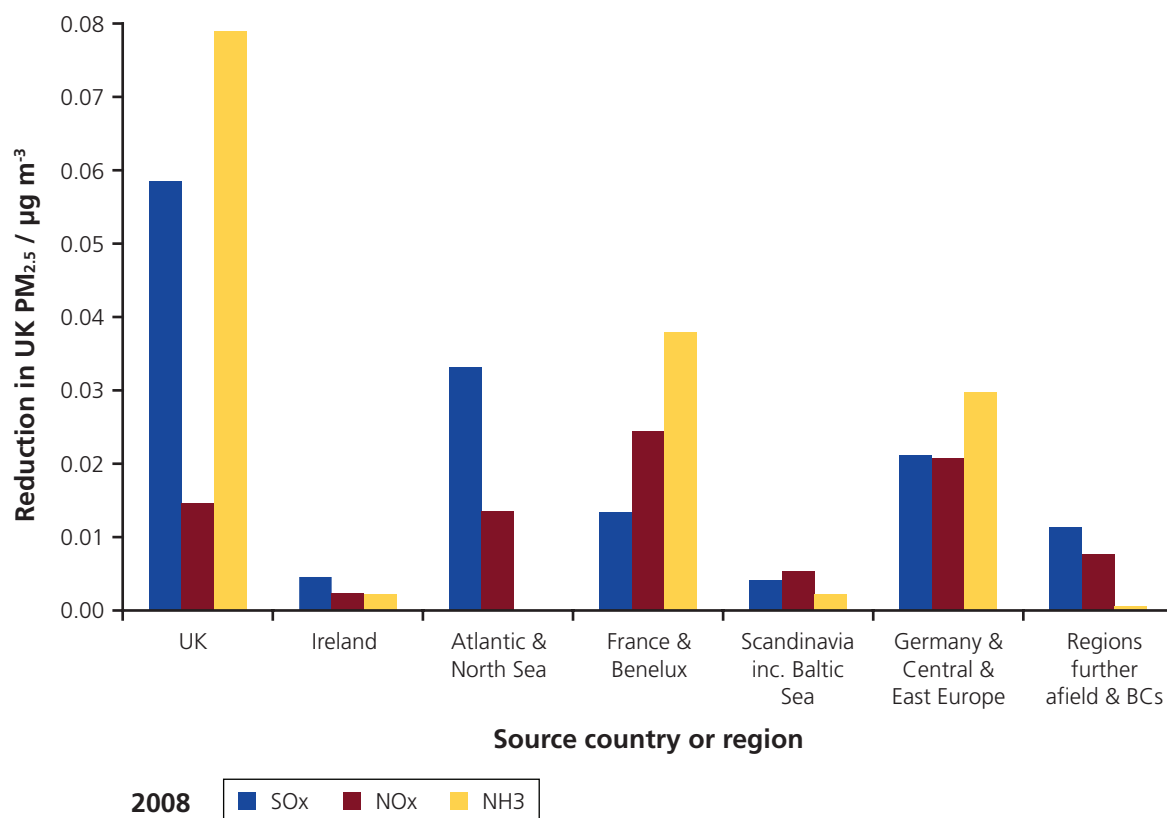


Figure 8: Reductions in UK PM_{2.5} due to 15% reductions in precursors of SIA in various European regions. Derived using data from www.emep.int. (Note 'BCs' here refers to boundary conditions in the EMEP model.)

Figure 8 illustrates the modelled reductions in UK surface PM_{2.5} (for 2010 and for 2008) arising from 15% reductions in anthropogenic emissions of SO_x, NO_x and NH₃ individually from either the UK or from the other countries or geographic areas shown (data show the average change in PM_{2.5} across the whole of the UK).

The reduction in UK PM_{2.5} arising from reductions in UK emissions of NH₃ is comparable to or greater than the reductions in PM_{2.5} arising from the same proportional reductions in UK emissions of SO_x, and substantially greater than the modest reductions in UK PM_{2.5} arising from reductions in UK emissions of NO_x.

Likewise, UK PM_{2.5} is considerably more sensitive to reductions in emissions of NH₃ from France and the Benelux countries (Belgium, the Netherlands and Luxembourg) than to the same proportional reductions in emissions of either SO_x or NO_x from these countries, or even NO_x from the UK. The same is also the case for reductions in NH₃ emissions from Germany and other central and eastern European countries. UK PM_{2.5} has roughly comparable sensitivity to reductions in emissions of NH₃, SO_x or NO_x from Ireland or from the Scandinavian countries, but the absolute contributions of emissions from these countries to UK PM_{2.5} are small.

The two source regions illustrated in Figure 8 where UK PM_{2.5} is insensitive to NH₃ emissions reductions are: (i) the Atlantic and North Sea – because NH₃ is not emitted from shipping; and (ii) regions further afield than those described above (i.e. Mediterranean countries, Ukraine, Bulgaria and countries eastwards, plus import at the boundary of the full EMEP domain) – this is because the shorter lifetime of NH₃ makes it less subject to long-range transport. For both these latter two source regions, UK SIA PM_{2.5} is, as expected, most sensitive to reductions in SO_x emissions.

Overall, these data indicate that reductions in emissions of NH₃ in the UK and the rest of Europe are substantially more effective at reducing concentrations of secondary inorganic aerosol in the UK than equivalent proportional reductions in NO_x emissions, and comparably effective (or better if excluding shipping emissions) to equivalent reductions in SO_x emissions.

A recent systematic modelling study has been carried out by CEH, and found approximately linear, but not 1:1, relationships between SIA components and ammonia emission reductions over the range of values studied, as shown in Figure 9.

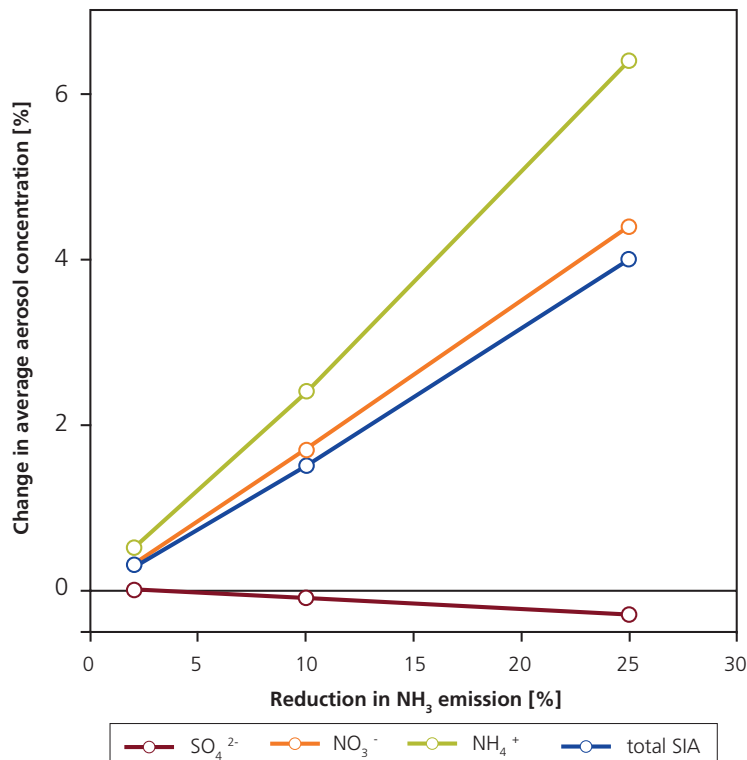


Figure 9: EMEP4UK modelling of SIA response to ammonia emission reductions from a base case in 2007.

The results shown in Figure 9 from the CEH study suggest firstly that larger percentage reductions in SIA would arise from reductions in ammonia, although the differences in effectiveness between reductions in other precursors of SIA are not large, as shown in Table 3 below.

Table 3: Average UK surface concentrations of SIA ($\mu\text{g m}^{-3}$) and reductions in different scenarios (Nemitz *et al.* (2014), EMEP4UK model). Note that a positive change means an increase in concentration.

Scenario	NO ₃ ⁻	SO ₄ ²⁻	NH ₄ ⁺	Total SIA
Base	1.06	0.69	2.22	3.97
-10% NO _x	-1.9%	+0.8%	-0.4%	-0.9%
-10% SO ₂	-0.3%	-2.8%	-1.5%	-1.2%
-10% NH ₃	-1.6%	+0.1%	-2.4%	-1.3%
-10% NH ₃ Agri	-1.4%	+0.1%	-2.0%	-1.1%
-10% NH ₃ Non-agri	-0.1%	0.0%	-0.2%	-0.1%
-10% NH ₃ & -10% NO _x	-3.5%	+1.0%	-2.7%	-2.2%
NH ₃ / NO _x segregation	-1.0%	+0.1%	-1.5%	-0.8%
UK only	-67.1%	-58.7%	-65.3%	-64.6%
Europe only	-48.5%	-32.2%	-57.6%	-45.8%

Work with a recently enhanced version of the UK Photochemical Trajectory Model (PTM) has looked at the effect of varying emissions of NO_x, SO₂ and NH₃ on the concentrations of inorganic aerosols using Harwell as a receptor site. However, the work simulated concentrations over only two months (19 March to 19 May 2007) and calculated concentrations at a single rural site (Harwell). The model did however incorporate a sophisticated treatment of aerosol thermodynamics in its simulation of aerosol formation effects. Predictions were made for concentrations of particulate nitrate, ammonium, sulphate and chloride, and the sum of these was calculated as the secondary inorganic aerosol. Chloride, although it is largely primary, was included in this sum because its concentrations are affected by interactions between the other inorganic constituents which determine the partition of chloride between the condensed phase and the vapour phase. The model was able to reproduce the non-linearities seen in field measurements of secondary pollutant–precursor relationships; notable findings were a strong non-linearity in the NO_x emission–nitrate relationship, and the fact that abating sulphur dioxide in the absence of emissions reductions for other constituents would lead to increased nitrate concentrations.

The results of varying ammonia emissions appear in Table 4 and are disaggregated according to emissions reductions over the entire model domain over Europe excluding the UK and over the UK alone. The results show that while reductions in ammonia over the entire model domain would be most effective in reducing SIA concentrations, there is still a small but significant reduction in SIA due to abatement within the UK alone. The authors noted that since the study used two months when SIA levels are generally high, the study may overestimate the absolute magnitude of the reductions.

Table 4: Reductions in SIA and components as a function of 30% reduction in emissions of precursors (Harrison *et al.*, 2013). (For example, the figure of 78% in the first row, first column means that with a reduction in SO₂ emissions across all of Europe of 30%, sulphate concentrations are reduced to 78% of their base value).

Modelling for 19 March – 19 May 2007; 30% reduction in SIA precursor emissions				
	Sulphate	Nitrate	Ammonium	SIA
Reductions in all of Europe				
SO ₂	78%	108%	87%	94%
NO _x	101%	87%	94%	95%
NH ₃	97%	86%	83%	91%
Reductions in UK only				
SO ₂	89%	104%	94%	97%
NO _x	100%	94%	98%	97%
NH ₃	97%	92%	90%	94%
Reductions in rest of Europe only				
SO ₂	89%	104%	93%	97%
NO _x	101%	94%	97%	98%
NH ₃	100%	94%	94%	97%

3.2 How do reductions in ammonia emissions compare with reductions in other pollutants in reducing PM_{2.5}?

Most of the modelling discussed here has addressed SIA and, more recently and to a lesser extent, secondary organic aerosol (SOA). What characterises the reductions in SIA precursors including ammonia is the lack of proportionality between emission reductions, be they in the UK alone or in Europe as a whole, and the corresponding reduction in SIA concentrations, i.e. a reduction of X% in a precursor emission leads in general to a reduction of much less than X% in the relevant SIA component (see for example Table 4 above). This strongly limits the reduction in PM_{2.5} mass which could be obtained by reducing SIA precursors. On the other hand, reducing primary combustion emissions should, to a very good approximation over urban scales result in directly proportional³ concentration reductions of the primary combustion component of PM_{2.5}. This proportionality has been demonstrated in modelling of primary PM_{2.5} emissions and concentrations in Scotland (Laxen *et al.*, 2012) shown in Figure 10, where a 30% reduction in Scottish anthropogenic emissions of primary PM_{2.5} results in a similar reduction in primary PM_{2.5} concentrations (the full extent of the reduction in small urban scales is not fully clear given the scale of the plotted map in Figure 10).

³ This will hold true over most urban scales. The proportionality will reduce when source–receptor distances are so large that deposition (both dry and wet), and/or losses out of the boundary layer are important.

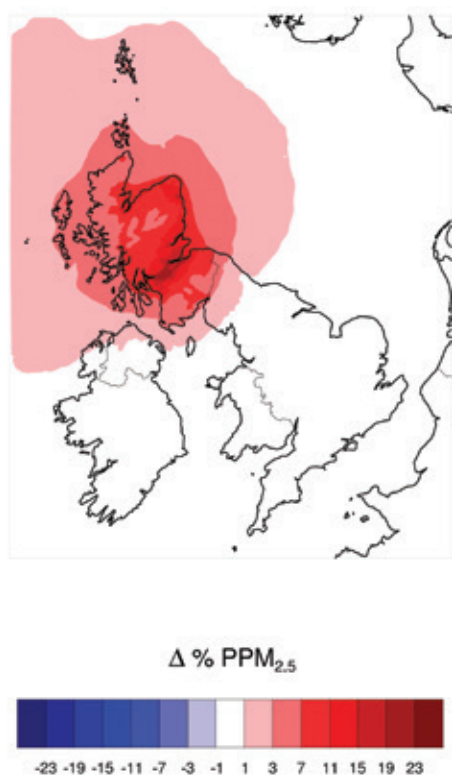


Figure 10: Impact on annual average EMEP4UK modelled primary PM_{2.5} (for 2008) from 30% reduction in Scottish anthropogenic emissions of primary PM₂; the panel shows the % change in primary PM_{2.5} compared with the baseline modelled primary PM_{2.5} concentration, with red colours representing a reduction (Laxen *et al.*, 2012).

To analyse the relative benefits of reductions in ammonia and other PM_{2.5} components, the following approach has been taken and addresses the prospects for reducing the Average Exposure Indicator (AEI)⁴ for PM_{2.5} for the UK. This is currently around 13 $\mu\text{g m}^{-3}$ and this figure is used here. The percentage apportionments from the PCM model and the Yin *et al.* (2010) analysis (see Table 1) have been assumed to apply to the AEI, and the components have then been converted to mass units, as shown in Table 5.

Table 5: Apportionment of the Average Exposure Indicator using the data from Table 1 ($\mu\text{g m}^{-3}$).

Component	PCM apportionment	Yin <i>et al.</i> (2010) apportionment
Primary	2.99	3.25
SIA	4.16	5.72
SOA	2.21	1.82
Mineral dust, soil	1.30	0.91
Traffic non-exhaust	0.52	–
Sea salt	0.65	0.91
Other	1.17	0.39
Total	13	13

4 The Average Exposure Indicator (AEI) is defined in the EU Air Quality Directive (2008/50/EC) and is a three-year average of PM_{2.5} measured at urban background sites throughout each Member State. In the UK 45 sites are used to calculate the AEI. While there is no explicit population weighting in calculating the average over all the sites, the numbers of measurement stations in any given urban area is a function of the population in that area, so the average over the measurement stations reflects to some degree a population-weighted exposure distribution in the UK.

As a first example, we will address reductions of 15% in various sources across Europe as a whole, largely to allow comparison with the EMEP-MS-CW results shown in Figure 8. We will assume that a 15% reduction in primary combustion PM_{2.5} will result in a 15% reduction in ambient concentrations of the primary combustion component of PM_{2.5}; this amounts to reductions of 0.45-0.49 $\mu\text{g m}^{-3}$ using the PCM and Yin *et al.* (2010) apportionments respectively.

On the basis of the Nemitz *et al.* (2014) study results, shown in Table 3, a 15% reduction in ammonia results in a reduction in SIA of 1.9% (assuming linearity from the 10% reduction used in Table 3), and a 15% reduction in SO₂ results in a reduction in SIA of 1.8%. Ignoring this difference between the ammonia and SO₂ reductions in mass terms, from Table 5, these SIA reductions are then 0.08-0.11 $\mu\text{g m}^{-3}$. This is considerably smaller than the potential reductions in primary combustion PM_{2.5}. It is worth noting that the modelled mass concentration of SIA in the Nemitz *et al.* (2014) study is 3.97-4.09 $\mu\text{g m}^{-3}$, in good agreement with the PCM estimate in Table 5 above, derived from the Acid Gas and Aerosol Network (AGANet) measurements.

The full EMEP model reports the effect of 15% reductions in SIA precursors of PM_{2.5} annually, and results for 2010 and 2008 in terms of reduction of PM_{2.5} mass were shown in Figure 8. The problem with using these results to assess the effectiveness of emission reductions is that the accuracy of the mass reductions is unknown. Moreover, EMEP does not appear to report the total calculated mass of PM_{2.5} or SIA, so percentage reductions are not available.

However, taking the EMEP numbers at face value, the reductions in PM_{2.5} mass for three years (2008-10) arising from reductions in ammonia and SO₂ emissions of 15% are shown in Table 6 below. The effects of NO_x reductions are not shown as these will be smaller than those from reducing NH₃ and SO₂.

Table 6: Reductions in UK average PM_{2.5} from the full EMEP model (Simpson *et al.*, 2012).

	Reduction in PM _{2.5} mass ($\mu\text{g m}^{-3}$)		
	2008	2009	2010
NH ₃ -15%	0.15	0.073	0.23
SO ₂ -15%	0.12	0.12	0.26

Even allowing for possible uncertainties in the model results, these reductions also appear to be considerably smaller than those obtained from reducing primary combustion PM_{2.5} concentrations.

The conclusion that reducing primary particulate matter (PM) is more effective at reducing PM_{2.5} mass than reducing SIA precursors is different from some published analyses such as the EUCAARI study (Kulmala *et al.*, 2011) and a more recent study (Megaritis *et al.*, 2013), both of which suggest that reducing ammonia emissions is the most effective way to reduce PM_{2.5} mass. The discrepancy probably arises from the scale of the models in these two studies; Megaritis *et al.* (2013) used a model resolution of 36 km x 36 km horizontal resolution (with unspecified heights of the lowest vertical grids, but with 14 vertical grids covering 20 km); the model scales in the EUCAARI paper were not clear. The differences in the conclusions suggest

that the models used in the EUCAARI study and in Megaritis *et al.* (2013) may have underestimated the contribution from urban-scale primary combustion sources and hence given too much weight to larger-scale secondary chemical processes.

Further evidence for the relative effectiveness of reductions in SIA precursors and primary PM_{2.5} emissions is provided by results from the EMEP4UK model (Vieno and Heal, 2013).

The EMEP4UK model, which is derived from the EMEP open source model version rv4.3 (www.emep.int), was used to calculate hourly surface concentrations of PM_{2.5} for the year 2010. The model uses two domains, European at 50 km x 50 km, within which the domain covering the British Isles is nested at a resolution of 5 km x 5 km. The meteorological fields are computed with the Weather Research Forecast (WRF) model version 3.1. The 2010 emissions are derived from the EMEP inventory (for the European domain), the National Atmospheric Emissions Inventory (NAEI) (for the UK) and, for shipping, the Entec inventory (Entec, 2010).

A base run and a set of five variation experiments were carried out. The experiments applied 30% reductions to UK emissions for each of the following pollutants individually:

1. NH₃
2. NO_x
3. SO_x
4. Anthropogenic non-methane volatile organic compounds (NMVOCs)
5. Primary PM_{2.5}

This 30% perturbation was applied to land-based emissions only; shipping emissions (both domestic and international) were left unchanged.

The 2010 annual average surface concentrations for PM_{2.5} (in $\mu\text{g m}^{-3}$) are shown at the 5 km resolution of the domain covering the British Isles in Figure 11.

The change in annual average PM_{2.5} levels (in $\mu\text{g m}^{-3}$) (compared to the baseline run of unperturbed emissions) for each model grid square resulting from the five emissions reduction experiments are shown in Figures 12-16.

The UK 2010 annual average surface concentrations of PM_{2.5} (Figure 11) are generally lower compared with neighbouring continental countries such as France, the Netherlands and Germany.

Overall, Figures 12-16 indicate that PM_{2.5} in the UK is relatively insensitive to UK reductions in emissions of individual components/precursors. The maximum reduction in UK PM_{2.5} concentrations reaches $\sim 0.5 \mu\text{g m}^{-3}$ ($\sim 6\%$) for 30% reductions in UK emissions of individual species, and in most locations the reductions in PM_{2.5} concentrations are considerably lower. This implies that PM_{2.5} in the UK (where annual average concentrations are concerned) is substantially influenced by import of PM_{2.5}, and/or by PM_{2.5} formed from emissions of precursors outside of the UK (and,

in the case of SIA, by non-linearities in the SIA chemistry). The strong influence of continental Europe is revealed by the decreasing PM_{2.5} concentrations away from the continent in Figure 11.

On average across the UK, the effectiveness of 30% reductions in UK emissions on PM_{2.5} declines in the order primary NH₃, PM_{2.5}, SO_x, NO_x and NMVOC, but see below key points about geographical differences in the PM_{2.5} reductions:

- **NMVOC:** The 30% reductions in NMVOC yield a maximum of 0.15 µg m⁻³ (1.5%) reductions in PM_{2.5} in central and northern England and central Scotland (Figure 15).
- **NO_x:** The 30% reductions in NO_x yield around 0.2 µg m⁻³ (3%) reductions in PM_{2.5} over a few rural areas, and generally a maximum of 0.15 µg m⁻³ (1.5%) reductions in PM_{2.5} over other rural areas (Figure 13). A key observation is that reductions in PM_{2.5} over urban centres are smaller (no more than 0.15 µg m⁻³) than in rural areas for these NO_x emissions reductions.
- **SO_x:** The 30% reductions in SO_x yield up to ~0.45-0.5 µg m⁻³ (5%) reductions in PM_{2.5} in the Trent valley and up to around 0.3-0.35 µg m⁻³ (3%) reductions in PM_{2.5} over quite wide areas of central and northern England and central Scotland (Figure 14). Again, the PM_{2.5} benefit is not, in general, associated with the major urban areas, except where these also have major SO_x sources in the vicinity. However, it should be noted that the greater sensitivity to SO_x close to power plants may be an artefact of the model assumption that 5% of SO_x emissions are directly in the form of SO₄²⁻, which may no longer be appropriate for these sources or for models running at relatively high horizontal spatial resolution.
- **NH₃ and PM_{2.5}:** The 30% reductions in NH₃ and primary PM_{2.5} yield the greatest reductions in PM_{2.5} (Figures 12 and 16), up to 0.45 µg m⁻³ for NH₃ reductions and greater for primary PM_{2.5} reductions (up to ~6% in both cases), but the key observation is the inverse relationship in the geographic patterns of PM_{2.5} sensitivity to these two components. The reductions in NH₃ emissions result in the largest PM_{2.5} concentration decrease in rural areas, whereas the reductions in primary PM_{2.5} give the largest decrease in areas of high population density. This reflects the geographical pattern of the sources and the fact that, because of the short atmospheric lifetime of NH₃, UK emissions of NH₃ generally have short-range impact. The contrast is illustrated in Figure 17 which shows the data in Figure 12 minus the data in Figure 16. Blue colours in Figure 17 indicate where reductions in PM_{2.5} from a 30% reduction in NH₃ emissions exceed the reductions in PM_{2.5} from a 30% reduction in primary PM_{2.5} emissions, whilst red colours indicate where reductions in PM_{2.5} from a 30% reduction in primary PM_{2.5} emissions exceed the reductions in PM_{2.5} from a 30% reduction in NH₃ emissions. White colours indicate comparable reductions in PM_{2.5} via primary PM_{2.5} or NH₃ emissions reductions.

Although specific calculations for population-weighted concentrations have not been undertaken, these simulations give a clear indication that if the focus is on policies for the reduction of simple spatially-averaged PM_{2.5} concentrations (i.e. an ecosystem focus) then the most effective UK control (via individual precursors) is via NH₃ (and SO_x), but that if the focus is on human health as indicated by the AEI the most effective UK control is via UK primary PM_{2.5} emissions. Simulations also show that reductions in UK emissions of inorganic precursors (individually) actually have a lower impact on population exposure-weighted PM_{2.5} concentrations than on PM_{2.5} concentrations overall. EMEP4UK model results have been summarised in terms of the Average Exposure Indicator (AEI) and are presented in the next section.

Some further comments are relevant here:

- These data arise from model simulations from a single model for a single year. Other atmospheric chemistry transport models differently configured may yield different values.
- Interannual variability in meteorology may also have an influence.
- The emissions reductions were applied to pollutants individually. In reality emissions reductions for pollutants may occur simultaneously.
- These simulations are based on reductions to 2010 emissions. No consideration has been given to the practicalities of emissions reductions for particular species and to particular sectors on top of future emissions reductions already in the pipeline, although hypothetical reductions in emissions from a 2020 baseline are considered below to take into account the effects of policies already in place.
- No consideration has been given to the potential differential toxicity of different components of PM_{2.5}. In addition, PM_{2.5} has many impacts other than on human health (although reduction in urban background concentrations through the PM_{2.5} Average Exposure Indicator is part of legislation).

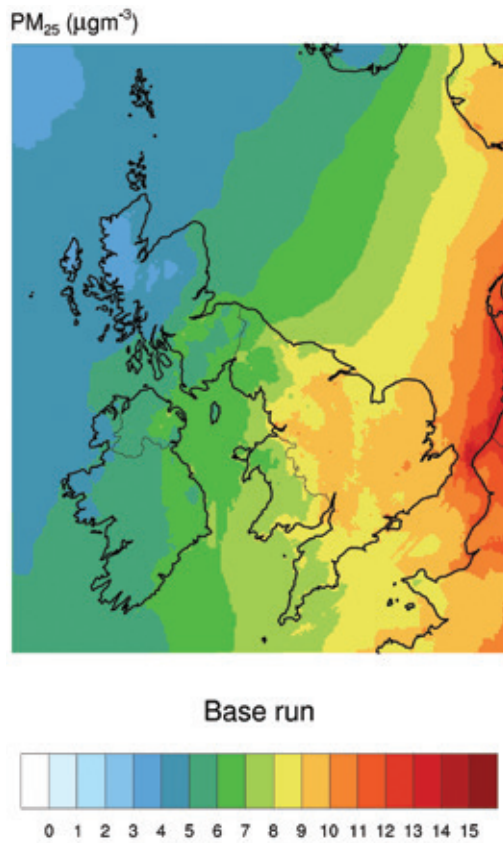


Figure 11: 2010 annual average EMEP4UK surface concentrations of PM_{2.5} (µg m⁻³) at a horizontal resolution of 5 km, nested within a European domain.

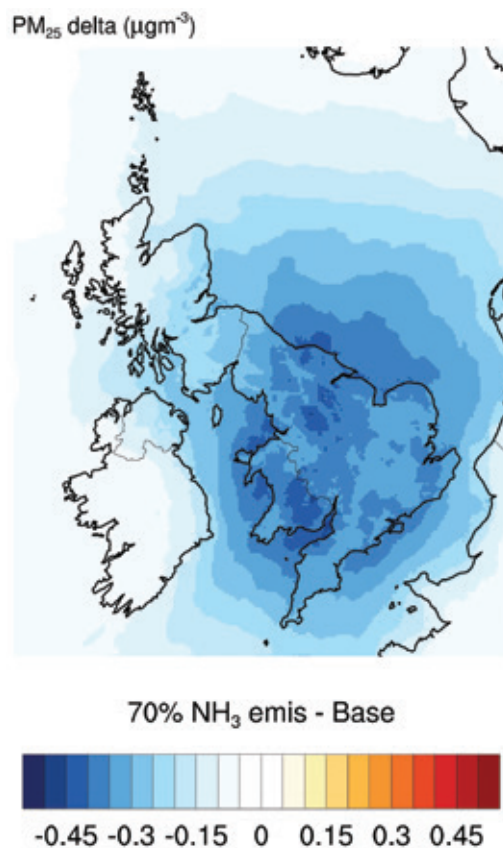


Figure 12: Change in PM_{2.5} (µg m⁻³) simulated by the EMEP4UK model for 70% of UK NH₃ emissions (i.e. 30% emissions reduction).

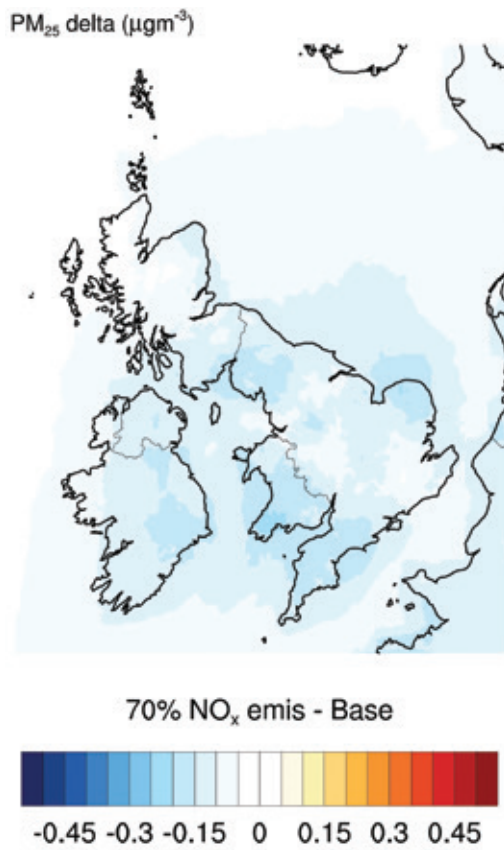


Figure 13: Change in PM_{2.5} ($\mu\text{g m}^{-3}$) simulated by the EMEP4UK model for 70% of UK NO_x emissions (i.e. 30% emissions reduction).

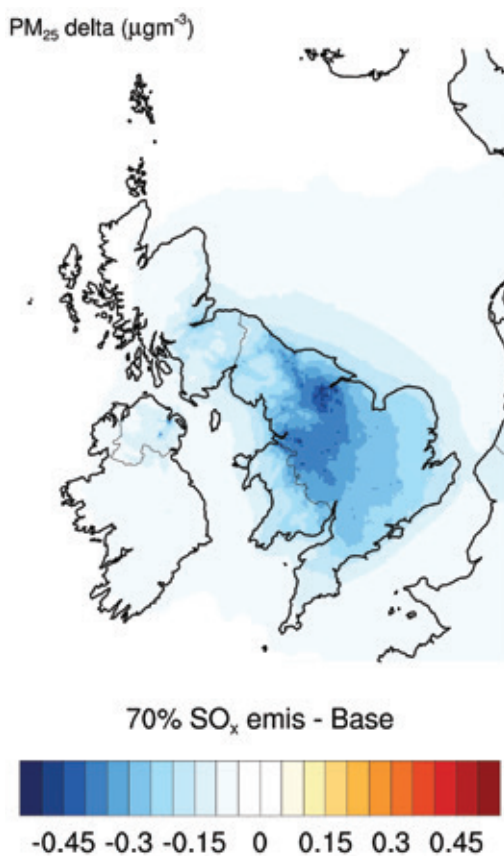


Figure 14: Change in PM_{2.5} ($\mu\text{g m}^{-3}$) simulated by the EMEP4UK model for 70% of UK SO_x emissions (i.e. 30% emissions reduction).



Figure 15: Change in PM_{2.5} (µg m⁻³) simulated by the EMEP4UK model for 70% of UK anthropogenic NMVOC emissions (i.e. 30% emissions reduction).

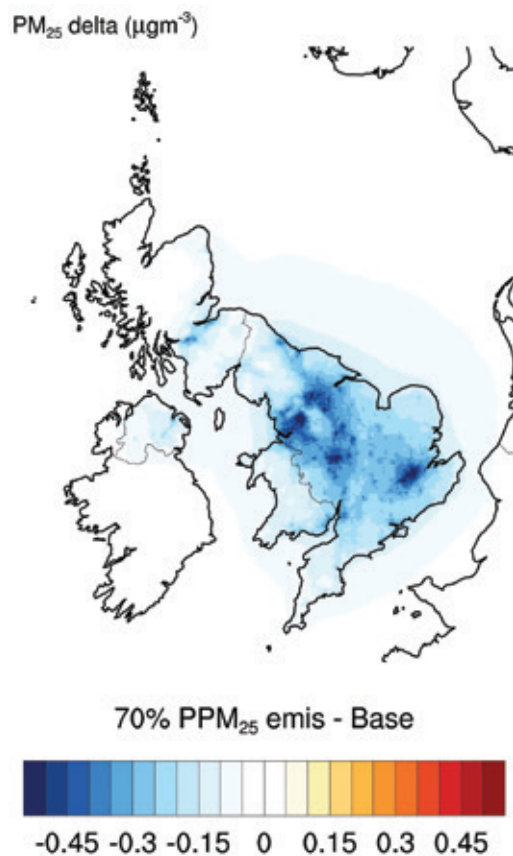


Figure 16: Change in PM_{2.5} (µg m⁻³) simulated by the EMEP4UK model for 70% of UK primary PM_{2.5} emissions (i.e. 30% emissions reduction).

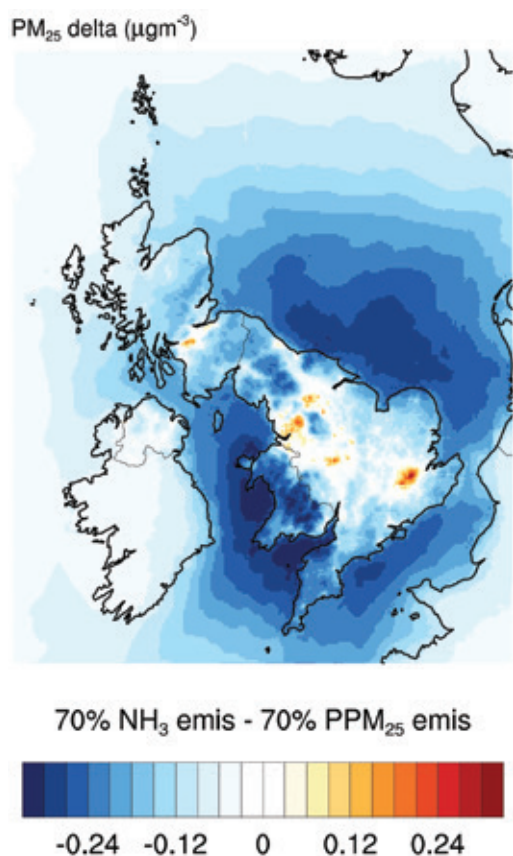


Figure 17: The difference between changes in PM_{2.5} ($\mu\text{g m}^{-3}$) simulated by the EMEP4UK model for 70% of UK NH₃ emissions and for 70% of UK primary PM_{2.5} emissions, i.e. the data in Figure 12 minus the data in Figure 16. Blue colours indicate where reductions in PM_{2.5} from a 30% reduction in NH₃ emissions exceed the reductions in PM_{2.5} from a 30% reduction in primary PM_{2.5} emissions; and vice versa for the red colours.

3.3 Emission reduction effectiveness in future years

The above discussion has been based on a hypothetical reduction in emissions, and compared the sensitivity of total PM_{2.5} mass to reductions in different pollutants from a 'current year' baseline. It would be of more direct relevance to policy if an assessment were made of the possible additional reductions in PM (measured as the AEI) starting from some future date, rather than from the present day, so that policies and emission reductions which are already in the pipeline could be accounted for.

Projections of levels of PM_{2.5} components for future years have already been made by Ricardo-AEA using the PCM model and are shown in Figure 18.

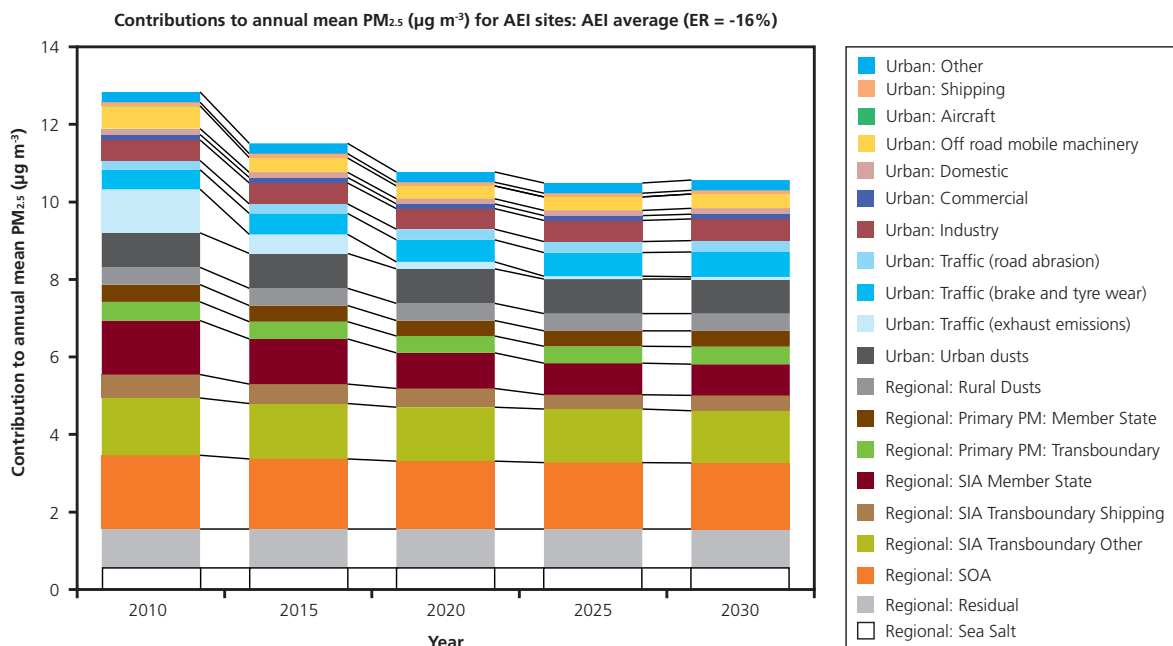


Figure 18: Projections of future components of PM_{2.5} averaged over the sites used to calculate the AEI (John Stedman, Personal Communication, 2013).

The Pollution Climate Mapping (PCM) model and MACC (Marginal Abatement Cost Curve) tool⁵ have been used to calculate the effects on PM_{2.5} mass of reductions in primary PM emissions and also those of the SIA precursors SO₂, NO_x and NH₃ from projected baselines for 2020 which incorporate currently envisaged policies (John Stedman, Personal Communication, 2013). Reductions in primary PM_{2.5} between 2010 and 2020 arose largely from policies in place to reduce road traffic PM emissions (reduction in 2020 as a percentage of 2010 was 83%), off-road mobile machinery (54% reduction) and energy production (32% reduction). The results are shown in Table 7.

Table 7: Effect of reductions in primary PM and SIA precursors on PM_{2.5} mass (AEI) concentrations (John Stedman, Personal Communication, 2013).

Reduction of 30% in:	Resulting PM _{2.5} mass (AEI) in 2020 (µg m ⁻³) from baseline of 10.64 µg m ⁻³	Reduction in µg m ⁻³
UK primary PM	9.84	0.80
European primary ^a PM	10.50	0.14
UK NH ₃	10.48	0.16
European NH ₃	10.47	0.17
UK SO ₂	10.58	0.06
European SO ₂	10.58	0.06
UK NO _x	10.55	0.09
European NO _x	10.52	0.12

^a 'European' means non-UK, i.e. rest of Europe.

⁵ The MACC tools are spreadsheet tools that calculate concentrations in ways compatible with the PCM model methods used for UK-scale assessments. The three different tools calculate roadside concentrations, background concentrations at monitoring sites and population-weighted mean background concentrations. The tools can be used to assess the effect of changes in emissions on these concentrations/metrics.

From these results it can be seen that, as was the case for the reductions in the 'current year', reducing primary PM is more effective than reducing any one of the SIA precursors alone. Reducing primary PM emissions across Europe results in a reduction of 0.94 $\mu\text{g m}^{-3}$ with the bulk of this (0.80 $\mu\text{g m}^{-3}$) arising from reductions in UK emissions, whereas reducing NH₃ emissions alone across the whole of Europe only gives a reduction of 0.33 $\mu\text{g m}^{-3}$. However, reducing all three SIA precursors over the whole of Europe results in a reduction of 0.66 $\mu\text{g m}^{-3}$, much larger than any one single SIA precursor but still less than the effect of primary PM reductions and, in particular, less than the effects of reductions in primary PM emissions in the UK alone.

This analysis therefore suggests that the most effective marginal reductions beyond existing policies for reducing total PM_{2.5} mass as measured by the AEI (i.e. reflecting in a broad approximate sense overall population exposure in the UK) are likely to come from reducing primary emissions in the UK. What this analysis has not considered is the detail of how such emission reductions might be achieved, or even whether they are practicable. Nor has the analysis dealt with possible reductions in precursors of secondary organic aerosol (SOA); for good reason, since the understanding of the mechanisms, the emissions of precursors and in particular the split between natural biogenic SOA and controllable SOA are not well known at this stage. One further point to note here is that the reductions in total PM_{2.5} mass are relatively small, although if both primary PM and SIA precursors were reduced by 30% across the whole of Europe reductions in the AEI would amount to 1.60 $\mu\text{g m}^{-3}$ on a base figure of 10.64 $\mu\text{g m}^{-3}$.

Table 8 shows a comparison of the results from the PCM and EMEP4UK models for reductions in UK emissions. AEI concentrations have been calculated for each model run. A number of observations can be made. The total AEI concentration predicted by the EMEP4UK model is lower than that for the PCM model, even though the PCM model results are for a lower emission, 2020 case. This finding is partly due to the different spatial resolutions of the models. The AEI results for the PCM model are 10.64 $\mu\text{g m}^{-3}$ for 2020 and 12.64 $\mu\text{g m}^{-3}$ for 2010. The value for 2010 is reduced to 11.77 $\mu\text{g m}^{-3}$ for the PCM model if calculated from 5 km x 5 km grid square averages of the 1 km x 1 km grid square model results.

This difference between the PCM and EMEP4UK model results also reflects the fact that the EMEP4UK model does not include any water associated with the chemical components or include local resuspension components. The PCM model predicts a much larger reduction in AEI concentration for a 30% reduction in primary PM emissions than EMEP4UK, this is probably largely due to the different spatial resolutions of the models. The PCM model predicts a rather smaller reduction in AEI than EMEP4UK for reductions in UK NH₃ or SO₂ emissions and a similar reduction in AEI for reductions in UK NO_x emissions.

Table 8: Comparison between reductions in AEI concentrations arising from reductions in UK emissions calculated from PCM and EMEP4UK ($\mu\text{g m}^{-3}$).

	PCM	EMEP4UK
Year	2020	2010
Baseline AEI	10.64	9.33
30% reduction in primary PM emissions	-0.80	-0.38
30% reduction in NH ₃ emissions	-0.15	-0.34
30% reduction in SO ₂ emissions	-0.06	-0.28
30% reduction in NO _x emissions	-0.09	-0.10
30% reduction in VOC emissions	not assessed	-0.08
30% reduction in all emissions	-1.10	-1.11

3.4 Some qualifying remarks and uncertainties

The analysis presented in this report has used one regional chemical transport model (EMEP4UK) and a semi-empirical model (PCM) using several methods depending on the scales of transport and dispersion. An important aspect of PCM for the analysis presented here is the method used to estimate the effect of emission reductions of precursors on SIA concentrations. Here PCM uses emission sensitivities derived from the EMEP model, so that although two models have ostensibly been used, key components of both – the SIA chemistry – derive from the same source. Nonetheless, there are good reasons for having confidence in the results.

Firstly, the reductions in SIA components calculated by PCM have been compared with measurements and shown to reproduce measured trends reasonably well. Figure 19 shows backwards projections for sulphate, nitrate and ammonium calculated using the emission sensitivity coefficients from EMEP used within the PCM model, and historic emissions data from the NAEI and the EMEP inventory for the rest of Europe. These results show that these emission sensitivity coefficients can be used reasonably successfully to reproduce the observed trends in ambient concentrations (as a mean across the 12 long-running Acid Gas and Aerosol Network (AGANet) and National Ammonia Monitoring Network (NAMN) stations) and this provides some confidence in using these emission sensitivity coefficients for future projections.

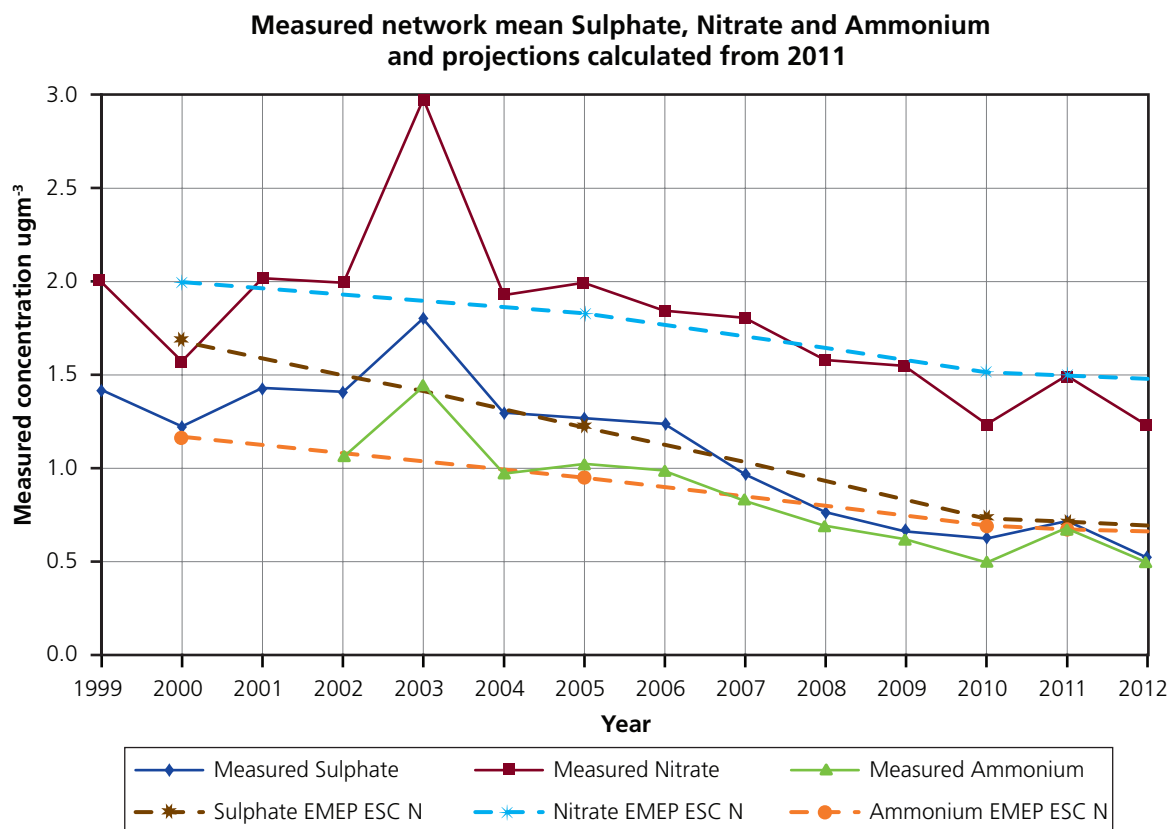


Figure 19: Back projections of SIA components from PCM calculations.

Secondly, the results from PCM and EMEP4UK presented here are qualitatively similar to those obtained from another model, the Photochemical Trajectory Model (PTM) enhanced to give a detailed treatment of boundary layer meteorological processes by the use of data for temperature, humidity and mixing depths; and incorporating a more sophisticated treatment of in-cloud oxidation of sulphur dioxide. A more sophisticated aerosol thermodynamic model, ISORROPIA II, has also been used to determine the partitioning of reactive gases such as ammonia and nitric acid between vapour and condensed phases, describing the equilibrium processes within an internally mixed aerosol as a function of temperature and relative humidity (Harrison *et al.*, 2013).

4. Conclusions and recommendations

- The analysis has shown that UK emissions contribute around 50-55% of total annual average PM_{2.5} in the UK. This limits the extent to which long-term average concentrations can be reduced by UK action alone, so that action by neighbouring countries in the EU is important in reducing annual UK PM_{2.5} concentrations. The largest contributions arise from France and the Benelux countries, Germany and Poland, but their relative importance can vary from year to year depending on meteorology.
- A similarly detailed analysis of PM_{2.5} episodes has not been feasible in this report, but many episodes occur on easterly winds. Consequently, given the higher frequency of easterly air mass trajectories in episode conditions, it is likely that the non-UK contribution to episodic concentrations of PM_{2.5} is even higher than for annual averages. However, model simulations indicate that some secondary inorganic aerosol (SIA) episodes are also driven by UK emissions into stagnating air. The chemical composition of PM_{2.5} in different episodes can also be variable. A more detailed analysis of UK and non-UK contributions to episodes of PM_{2.5} and PM₁₀ is recommended.
- The analysis has provided some new insights into the most effective ways of reducing PM_{2.5} mass in the UK. The work has shown that total PM_{2.5} mass is relatively insensitive to reductions in any one individual component, such as the precursors of SIA or primary PM_{2.5} emissions. The relative insensitivity of SIA to precursor emission reductions is due largely to non-linearities in the relationship between SIA precursors and the resulting ambient concentrations.
- Reductions in emissions of primary PM_{2.5} and ammonia are the most effective in reducing PM_{2.5} mass out of the five alternatives studied (reductions in primary particulate matter (PM), sulphur dioxide (SO₂), nitrogen oxides (NO_x), volatile organic compounds (VOCs) and ammonia emissions), as measured by the Average Exposure Indicator (AEI). For the same percentage reductions in emissions of ammonia and primary PM, reductions in NO_x, SO₂ or VOC emissions result in smaller changes in total PM_{2.5} mass concentrations. These statements refer to reductions in each of these precursors individually.
- Reductions of primary PM_{2.5} emissions in the UK deliver reductions in PM_{2.5} mass predominantly in areas of high population density, while ammonia reductions lead to decreases mainly in non-urban areas. This suggests that if the aim is to reduce impacts of PM_{2.5} on public health as indicated by the AEI, reducing primary PM emissions is likely to be the most effective strategy. If the focus is on ecosystem damage and reducing spatially-averaged PM_{2.5} concentrations across the UK, then ammonia reduction would be the more effective approach.
- Several qualifying remarks are in order here:
 - The data on the effects of emission reductions are from two models which essentially share the same chemical schemes. There are, however, good reasons for having confidence in the findings. First, the modelled reductions for past years show good agreement with measured trends, and second, the conclusions are in line with findings from an independent model which treats the chemistry and physics of particle formation in a sophisticated way.

- The results of the mitigation scenarios are for a single year. Interannual variability in meteorology may also have an influence.
- The emissions reductions were applied to pollutants individually. In reality, emissions reductions for pollutants may occur simultaneously.
- A potentially more relevant mitigation scenario calculation would assess the effect of emission reductions at some future date, e.g. 2020 or thereabouts, to take account of the fact that reductions in the emissions of SIA precursors and primary PM are already in train. In this report, this has only been carried out using one of the models (the Pollution Climate Mapping model, PCM); both models (PCM and EMEP4UK) have been used for hypothetical reductions only from a 'current' level. For additional robustness it would be worth modelling such future scenarios with a deterministic chemical transport model (CTM).
- No consideration has been given to the practicalities or costs of emissions reductions for particular species and particular sectors on top of future emissions reductions already in the pipeline.
- No consideration has been given to the potential differential toxicity of different components of PM_{2.5} which could, as evidence emerges, also influence the priorities for PM_{2.5} mitigation.

Annex A: AQEG (2012) report on PM_{2.5} source attribution

Table 5.5 in AQEG (2012): Population-weighted mean contributions to annual mean PM_{2.5} in Great Britain in 2010 and projections to 2020 from the UKIAM model ($\mu\text{g m}^{-3}$). The percentage reductions between 2010 and 2020 are also shown.

Component	2010	2020
Primary PM _{2.5}	1.23	0.82 (33%)
SIA (SO ₄ ²⁻ + NO ₃ ⁻ + NH ₄ ⁺) as PM _{2.5}		
– From UK emissions	2.30	1.61
– From shipping (within 200 nautical miles) ¹	0.80	0.61
– Other including imported from Europe	1.65	0.95
Total	4.75	3.17 (33%)
Other components		
– Soil and other dust particles ²	1.01	Same
– Sea salt ²	0.66	as
– Secondary organic aerosol, SOA ³	0.65	2010
– Water (based on EMEP model)	1.37	
Total	3.69	3.69 (0%)
Total	9.67	7.68 (21%)

1 Shipping emissions from AMEC/Entec exclude reductions under MARPOL in 2010 but MARPOL in effect by 2020.

2 UKIAM makes use of results from the PCM model for these components.

3 Based on the HARM/ELMO model.

Table 6.1 in AQEG (2012): Population-weighted mean contributions to annual mean PM_{2.5} in the UK in 2009 from the PCM model. Total modelled concentration is 10.7 $\mu\text{g m}^{-3}$.

Component	Estimated contribution
Sea salt and residual (natural)	15.6%
SIA (secondary inorganic aerosol)	37.9% (of which about 60% is from non-UK sources)
SOA (secondary organic aerosol)	8.0%
Regional primary	10.7% (of which about 50% is from non-UK sources)
Rural and urban dusts	10.6%
Non-traffic local sources	10.2%
Traffic	7.0%

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