

1 On the measurement of stability parameter over complex 2 mountainous terrain

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10 **Abstract.** Atmospheric stability has a significant effect on wind shear and turbulence intensity, and these variables,
11 in turn, have a direct impact on wind power production and loads on wind turbines. It is therefore important to know
12 how to characterize atmospheric stability in order to make better energy yield estimation in a wind farm.

13 Based on research grade meteorological mast at Alaiz (CENER's Test Site in Navarre, Spain) named MP5, this work
14 compares and evaluates different instrument set-ups and methodologies for stability characterization, namely: the
15 Obukhov parameter, measured with a sonic anemometer, and the bulk Richardson number based on two temperature
16 and one wind speed measurements. The methods are examined considering their theoretical background,
17 implementation complexity, instrumentation requirements, and practical use in connection to wind energy
18 applications. The sonic method provides a more precise local measurement of stability while the bulk Richardson is
19 a simpler, robust and cost-effective technique to implement in wind assessment campaigns. Using the sonic method
20 as a benchmark, it is shown that to obtain reliable bulk Richardson measurements in onshore sites it is necessary
21 install one of the temperature sensors close to the ground where the temperature gradient is stronger.

22 1. Introduction

23 The vertical wind profile and the turbulence intensity in the atmospheric boundary layer (ABL) are two of
24 the main physics aspects driving wind energy production and turbine loads. The vertical wind profile is especially
25 important since rotors are getting bigger and hub heights are getting higher making it invaluable to know the wind
26 speed at hub height. The vertical wind profile shape and turbulence intensity can directly influence wind turbine
27 production but also wind turbine loads, affecting the wind turbines lifetime. Despite the fact that the IEC standard
28 (IEC61400-1 (ED4) 2019, 2019) specifies a power law vertical model independent of atmospheric stability to
29 perform load calculations, the dependence of this and, in turn, the turbulence intensity with atmospheric stability is
30 widely demonstrated (Emeis, 2013; Lange et al., 2004b; Peña and Hahmann, 2012). In addition several studies
31 have demonstrated the impact of atmospheric stability on wind resource assessment (Lange et al., 2004a), wind
32 turbine power curves and Annual Energy Production (AEP) calculations (Martin et al., 2016; Schmidt et al.,
33 2016); wind turbine loads (Kelly et al., 2014; Sathe et al., 2013) and wind turbine wakes (Abkar and Porté-Agel,
34 2015; Hansen et al., 2010; Machefaux et al., 2016). This is why the wind industry is developing models and
35 methods to include the effect of atmospheric stability in the layout design and energy yield assessment. These
36 methodologies and models require the characterization of the probability distribution of atmospheric stability at
37 each site. Therefore different methods and parameters are used to describe atmospheric stability without an
38 industry-wide convention about which one is the most appropriate.

39 According to Monin and Obukhov similarity theory (MOST) (Foken, 2006; Monin and Obukhov, 1954) stability
40 can be estimated in terms of inverse of Obukhov length that can be calculated with vertical fluxes of heat and
41 momentum obtained with the eddy covariance method. To obtain the necessary high-frequency measurements of
42 wind speed vector components and temperature, sonic anemometers are used, which is why this calculation method
43 is called "sonic method".

44 Another measure for stability is the Richardson number that as Bardal (Bardal et al., 2018) explains according to
45 Stull book (Stull, 1989) has several formulations: the flux Richardson number, gradient Richardson number and
46 bulk Richardson number. The latter is based on one height wind speed measurement and two temperature
47 measurements, one from the air at one height and the other from the ground or water surface.

48 In the wind energy context some studies have been done about how to measure the stability and their influence in
49 the turbulence intensity and vertical wind profile. However, most of these studies have been carried out in offshore
50 sites (Peña and Hahmann, 2012; Sanz Rodrigo et al., 2015; Sathe et al., 2011) finding relationships (Grachev and
51 Fairall, 1997) between the Obukhov length and the Richardson bulk number that, facilitate the characterization of
52 stability without the need of sonic anemometer. This is convenient, because although the sonic anemometer has
53 many advantages (Cuerva et al., 2006), it adds complexity, in terms of use and data management, and it increases
54 the cost, to the long-term site assessment campaigns.

55 For onshore sites there are few studies that analyse how to characterize atmospheric stability and those that exist
56 are on simple topography in coastal areas (Bardal et al., 2018).

57 Although the behaviour of wind flow over complex terrain is widely studied, as Finnigan summarizes in
58 (Finnigan et al., 2020) and there are recent publications about the influence of atmospheric stability in wind farms
59 located in complex terrain (Han et al., 2018; Menke et al., 2019; Radünz et al., 2020, 2021); there are no references
60 that analyse in detail how to characterize atmospheric stability according to different instrumentation requirements.

61 Measuring atmospheric stability in complex terrain has some challenges (compared to flat terrain), one of them
62 is the fact that the MOST is developed for horizontally homogeneous and flat terrain and in complex terrain vertical
63 wind speed can be due to stability or sloping terrain, therefore, vertical fluxes will be “contaminated” by terrain
64 effects. This can be mitigated by using good measurement practices (data quality, coordinate systems and post
65 processing options) (Stiperski and Rotach, 2015).

66 This study presents atmospheric stability characterization from one mountainous site obtained using two
67 methods: sonic method and the Richardson bulk number. Measurements of different heights have been used to see
68 the influence of this parameter on the results

69 The place used in this study meets the characteristics of a typical complex terrain site for wind energy
70 deployment. The 118 m high MP5 reference meteorological mast, as is explained in other articles by Sanz (Sanz
71 Rodrigo et al., 2013) and Santos (Santos et al., 2020), is equipped with wind (cup and 3D sonic anemometer) and
72 temperature measurements distributed along six vertical levels: 2, 40, 80, 90, 100 and 118 m above the ground level
73 (a.g.l), enabling the comparison between Richardson bulk number and the sonic method to evaluate atmospheric
74 stability.

75 Special focus is given to explaining the post-processing methodologies to derive stability from raw data
76 considering fast-response sonic anemometer in a complex terrain.

77 2. Atmospheric stability definitions

78 2.1 The Obukhov length

79 Monin and Obukhov (M-O) (Monin and Obukhov, 1954) introduced the Obukhov length L to characterize
80 atmospheric stability, which is proportional to the height above the surface at which the production of turbulent
81 energy from buoyancy dominates over mechanical shear production of turbulence (Stull, 1989), and it is defined as:

$$L = -\frac{u_*^3}{\kappa \frac{g}{\Theta_0} \overline{w'\theta'}} \quad (1)$$

82 Where $g=9.81 \text{ ms}^{-2}$ is the acceleration due gravity, $\kappa = 0.41$ is the von Karman constant, u^* is the friction
83 velocity, Θ_0 is the surface potential temperature and $\overline{w'\theta'}$ is the heat flux. The dimensionless height $\zeta = z/L$ is used
84 as stability parameter, where $\zeta < 0$ indicates unstable, $\zeta > 0$ stable and $\zeta = 0$ neutral conditions.

85 Table 1 shows the (Sorbjan and Grachev, 2010) stability classification proposing, four regimes in
86 stable conditions. This classification is also followed by (Sanz Rodrigo et al., 2015) assuming a symmetric
87 classification in the unstable range. Sanz Rodrigo *et al.* shift the "extremely unstable and stable" regime limit to $|\zeta| =$
88 1 in order to avoid contamination of the large scatter found in the high ends of the scale to the "very unstable
89 and/stable" class. An additional limit is added at $|\zeta|=0.2$ to give higher resolution in the most frequent stability range.
90 For consistency, we shall adopt the same classification used in (Sanz Rodrigo et al., 2015) to facilitate the
91 comparison with offshore conditions.

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Table 1 Classification of atmospheric stability adapted from (Sorbjan and Grachev, 2010).

Stability Class	Stability parameter $\zeta = z/L$
extremely unstable (xu)	$\zeta < -1$
very unstable (vu)	$-1 < \zeta < -0.6$
unstable (u)	$-0.6 < \zeta < -0.2$
weakly unstable (wu)	$-0.2 < \zeta < -0.02$
near-neutral (n)	$-0.02 < \zeta < 0.02$
weakly stable (ws)	$0.02 < \zeta < 0.2$
stable (s)	$0.2 < \zeta < 0.6$
very stable (vs)	$0.6 < \zeta < 1$
extremely stable (xs)	$\zeta > 1$

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Using sonic anemometers and eddy covariance technique, the Obukhov length can be obtained. In this way, stability is evaluated locally based on turbulent fluxes averaged over periods from 10 minutes to one hour to integrate the kinetic energy in the microscale turbulence range.

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Sonic anemometer can be used in complex terrain to derive the local Obukhov length. Following the planar fit method of (Wilczak et al., 2001), momentum fluxes should be calculated in the mean streamline plane and heat fluxes in the true vertical coordinate system. If the streamline plane can be known a priori, from a wind direction sector with uniform slope, the planar fit method can be used to infer the mounting tilt angle and correct for it to reduce the uncertainty on the vertical fluxes.

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2.2 Bulk Richardson number

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The bulk Richardson number Ri_b is a form of the Richardson number that is widely used for characterizing stability for its simplicity, defined in terms of a potential temperature difference and a single velocity level:

$$Ri_b = - \frac{gz\Delta\theta}{\theta_0 \overline{U}^2} \quad (2)$$

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Where, as proposed (Sanz Rodrigo et al., 2015), the height z is taken here as the mean height between the two levels of temperature and $\Delta\theta$ is derived from the water-air or surface-air temperature difference.

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As (Bardal et al., 2018) propose the general empirical relations from (Businger et al., 1971) slightly modified by (Dyer, 1974) have been used to relate ζ with the Ri_b :

112

$$\xi = \begin{cases} Ri_b, & Ri_b < 0 \\ \frac{Ri_b}{1-5Ri_b}, & 0 < Ri_b < 0.2 \end{cases} \quad (3)$$

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Alternatively Ri_b can be used directly to do a stability classification. (Mohan, 1998) has proposed a seven classes of stability classification methodology (Table 2) which has been accepted by the scientific community as it was shown by (Ruisi and Bossanyi, 2019).

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Table 2 Classification of atmospheric stability (Mohan, 1998).

Stability Class	Stability parameter Ri_b
Very unstable	$Ri_b < -0.023$
Unstable	$-0.023 \leq Ri_b < -0.011$
Weakly unstable	$-0.011 \leq Ri_b < -0.0036$
Neutral	$-0.0036 \leq Ri_b < 0.0072$
Weakly stable	$0.0072 \leq Ri_b < 0.042$
Stable	$0.042 \leq Ri_b < 0.084$
Very stable	$Ri_b \geq 0.084$

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3. The Alaiz site

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The MP5 mast is located (42°41.7' N, 1°33.5' W) at the top of Alaiz mountain in the region of Navarre (Spain), around 15 km SSE from Pamplona in the CENER's experimental wind farm. The prevailing wind directions are from the North and from the South. To the North there is a large valley at around 700 m lower altitude. To the

122 South, complex terrain is found with the presence of some wind farms; the closest one situated 2 km behind the row
123 of six wind turbine stands of the test site (see Fig. 1). As it is explained by (Sanz Rodrigo et al., 2013) the wakes
124 from this wind farm can be considered well mixed with the boundary layer flow in most conditions so additional
125 turbulence it is not expected in MP5 due wakes from neighbour wind farms.

126 Besides MP5 meteorological mast there are four other reference met masts (MP0, MP1, MP3 and MP6), all of them
127 118 m tall.

128 The test site started operating in 2009 with the site calibration procedures. The first wind turbines were installed
129 in the summer of 2011. The standard configuration of each mast is designed for multi-megawatt wind turbine testing
130 and includes sonic and cup anemometer, wind vanes and temperature/humidity measurements. Replicated cup
131 anemometers are situated 2 m below the reference ones.

132 The mast MP5 is 118 m high lattice permanent mast with nine measurement levels with booms oriented to the
133 West (263°) and the East (83°). Wind speed and wind direction are measured at five levels (118, 102, 90, 78 and 40
134 m) with cups anemometer (oriented to the West) and wind vanes (oriented to the East); while sonic anemometer are
135 installed at 115.5, 75.5 and 39.5 m (oriented to the West). Temperature and relative humidity are measured at five
136 levels (113, 97, 81, 38 and 2 m) and pressure at 2 m high.

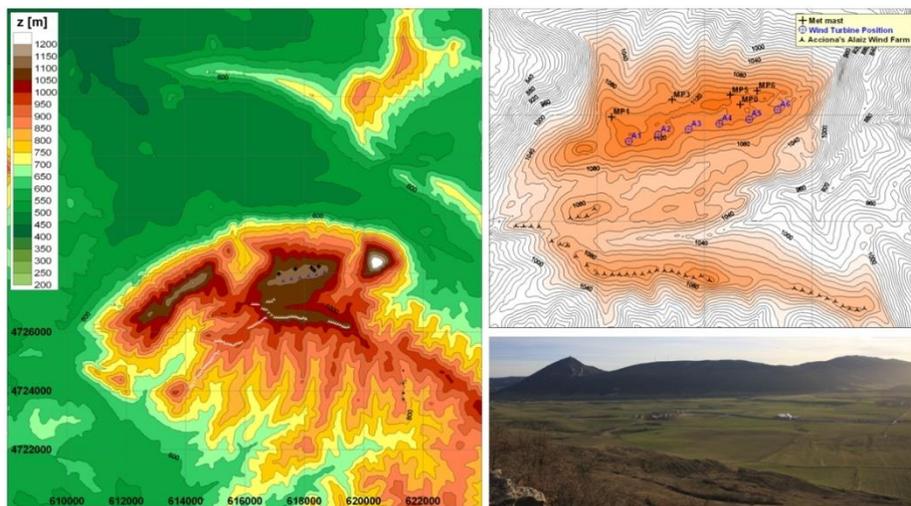
137 The instrumental set-up is compliant with IEC 61400-12-1(IEC61400-12-1 (ED1) 2005-12, 2005) with
138 MEASNET cup anemometer calibration (Measnet, 2009) and with ENAC accreditation according to UNE-EN
139 ISO/IEC 17025.

140 The data acquisition system consist in a real-time controller CompactRIO from National Instruments with 128
141 MB DRAM and 2 GB storage embedded in a chassis in connection with 8 modules of digital and analogical data
142 acquisition. All connected to an Ethernet network.

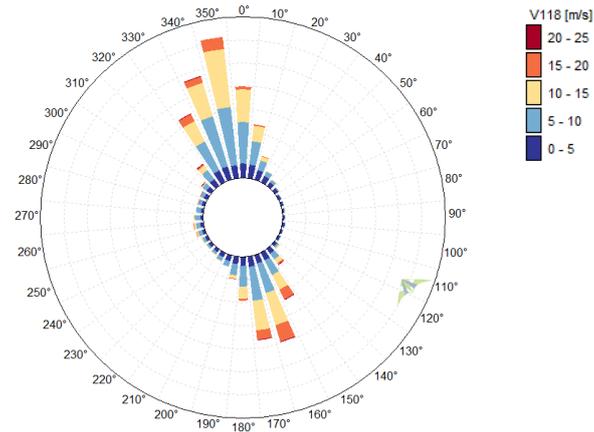
143 The rate sample is 5 Hz for cup anemometer (Vector A100LK) and 20 Hz for sonic anemometer (METEK USA-
144 1), wind vanes (Thies Compact), pressure (Vaisala PTB100A), and humidity temperature sensor (Ammonit P6312).

145 Figure 2 shows the wind rose at the MP5 site, from the period between July 2014 to June 2015. It presents a
146 bidirectional wind climate, with prevailing winds from the north-northwest sector (330–360, 32% of total) and the
147 south southeast sector (150–180, 28% of total).

Figure 1



Alaiz elevation map, close-up of the test site and view from the upstream ridge to the North.



151 **Wind rose of 10 min wind speeds observed by MP5 at 118m for the reference period (July 2014–June 2015).**

152 **Figure 2**
4. Methodology

153 In the present work, a one year period (1st July 2014 to 30th June 2015) is analyzed. Flux measurements from the
 154 sonic anemometer at 115.5, 75.5 and 39.5 m are used to calculate de Obukhov length L , while conventional sensors
 155 (wind direction, relative humidity, air pressure and temperature) are used to estimate the bulk Richardson number.

156 **4.1 Data quality control**

157 Before calculating stability parameter all data are checked for data quality.

158 Data from conventional sensors (wind direction, relative humidity, air pressure and temperature) have been
 159 processed following Brower (Brower, 2012). It consists on checking the completeness of the collected data and
 160 applying several test (range, relational and trend). After filtering for quality-control purposes, the conventional
 161 sensors provide horizontal wind speeds, directions, relative humidity, pressures and temperatures availabilities
 162 greater than 85% at all levels during the evaluation period.

163 For sonic anemometer there are a lot of procedures (Aubinet et al., 2012) and test criteria for quality control of
 164 turbulent time series and studies about the impact in the results of this procedures (Stiperski and Rotach, 2015).

165 High-frequency raw data often contain impulse noise, that is, spikes, dropouts, constant values, and noise. Spikes
 166 in raw data can be caused by instrumental problems, such as imprecise adjustment of the transducers of ultrasonic
 167 anemometer, insufficient electric power supply, and electronic noise, as well as by water contamination of the
 168 transducers, bird droppings, cobwebs, etc., or rain drops and snowflakes in the path of the sonic anemometer.

169 Several spikes in wind speed have been detected in the raw sonic anemometer data. Therefore, a de-spiking filter
 170 is applied based on the change in wind speed from each data point to the next and taking into account the physical
 171 limits according to sensor specifications. Data points are removed if they are preceded and followed by changes
 172 exceeding the lowest 99% of all changes. After filtering the spikes, the sonic anemometer provide wind speed and
 173 temperature availabilities greater than 80% in the three sonic anemometer.

174 **4.2 Eddy Covariance method**

175 The operating principles of sonic anemometer are described by different authors (Aubinet et al., 2012; Cuerva et al.,
 176 2003; Kaimal and Businger, 1963; Kaimal and Finnigan, 1994; Schotanus et al., 1983). The sonic anemometer
 177 output provides three wind components in an orthogonal axis system and sonic temperature. The relation between
 178 sonic temperature and absolute real temperature is given by (Kaimal and Gaynor, 1991).

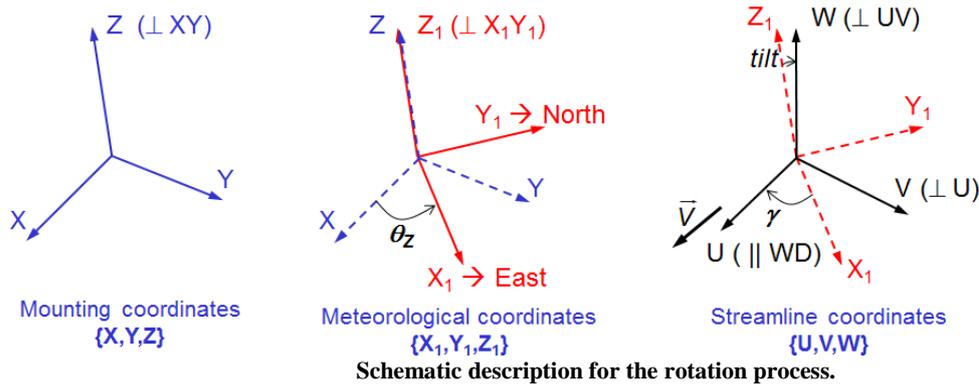
179 High frequency data from sonic anemometer have been processing to obtain 10 minutes databases that include
 180 turbulent fluxes of energy, mass, and momentum with the eddy covariance technique (Aubinet et al., 2012)(Burba,
 181 2013; Burba and Anderson, 2010; Geissbühler et al., 2000).

182 The main requirements for instruments and data acquisition systems used for eddy covariance data are their
 183 response time to solve fluctuations up to 10 Hz. This means that the sampling frequency has to be high enough to

184 cover the full range of frequencies carrying the turbulent flux, leading usually to a sampling rate of 10–20 Hz. In the
 185 test case in this report 20 Hz is the sample rate for the sonic anemometer.

186 The transformation of high-frequency signals into means, variances, and covariances requires different steps
 187 (Aubinet et al., 2012; Stiperski and Rotach, 2015), in this study the next steps have been proposed:

- 188 1. Quality Control of raw data, explained in point 4.1.2
- 189 2. Coordinate Rotation, transformation of coordinate systems, from the original axes based on the anemometer
 190 output to the streamline terrain-following system, based on the Planar Fit Method (PFT) (Richiandone et al.,
 191 2008; Wilczak et al., 2001). Momentum fluxes and heat fluxes have been calculated with respect to the
 192 streamline terrain-following coordinate system. Figure 3 shows the steps to rotate the axes from mounting
 193 coordinates to streamline coordinates.



- 194
- Figure 3
- 195 3. Variance and Covariance Computation, apply eddy covariance technique for calculation of vertical turbulent
 196 fluxes (heat and momentum). It corresponds to the calculation of the covariance of the fluctuations of the vertical
 197 velocity with the quantity Φ (temperature for heat, velocity components for momentum).

$$F_{\phi} = \overline{w'\phi'} = \overline{w\phi} - \overline{w}\overline{\phi} = \frac{1}{N-1} \left[\sum w'\phi' - \frac{1}{N} (\sum w') (\sum \phi') \right] \quad (4)$$

198 N denotes the number of samples considered for the short averaging period T over which the flux is
 199 calculated (from 5 to 60 minutes). N has to be long enough to ensure statistical convergence and short enough to
 200 assume stationarity (in complex terrain difficult to fulfil both criteria). In this work a 10 minutes averaging period
 201 has been selected.
 202

203 In the MP5's sonic anemometer, at 115.5, 75.5 and 39.5 m height, moreover the temperatures, the variables
 204 recorded are: the module of wind speed vector, the direction and vertical component (z). These values are projected
 205 to meteorological coordinates to obtain the three components of wind speed vector (x, y, z) after being filtered the
 206 transformation of high-frequency signals into means, variances, and covariances has been done.

207 The 10 minutes values of wind speed from sonic anemometer after applying steps 1 to 3 are checked and some
 208 non-valid data are detected. As in conventional sensors these invalid data are due to icing effects so they are filtered.

209 4.4 Stability assessment

210 MP5's sonic anemometers allow evaluating stability based on the local Obukhov length at different heights. This
 211 will be the benchmark method since it is directly obtained from the measurements without introducing any
 212 assumptions or empirical relationships. The bulk Richardson number is evaluated as an alternative methodology
 213 since it follows easier instrumentation set-up and post-processing, for offshore places has presented good results
 214 (Sanz Rodrigo, 2011; Sanz Rodrigo et al., 2015) and for complex terrain sites it also give meaningful results (Menke
 215 et al., 2019).

216 4.4.1 Sonic method

217 To obtain the stability parameter $\zeta = z/L$, as it was explained before, sonic anemometer measurements are rotated to
 218 the mean streamline coordinate system using the planar fit method to guarantee that the mean streamline plane will
 219 be parallel to the terrain surface. After this, variances and covariances of detrended velocity and sonic temperature

220 perturbations are computed using the eddy covariance technique over high frequency timescale. Then, turbulent
221 fluxes are obtained by averaging the covariances over a period of 10 minutes.

222 In complex terrain, the hypothesis of a homogeneously horizontal surface layer is not fulfilled so the applicability of
223 Monin and Obukhov similarity theory (MOST) to complex terrain conditions is not obvious. This signify that for the
224 complex sites as Alaiz the theory is not completely valid because the topography creates local variations of wind
225 flow near the ground (Kaimal and Finnigan, 1994).

226 4.4.2 Bulk Richardson number

227 As it was explained before, sonic anemometry is not routinely used in wind energy, and bulk Richardson number Ri_b ,
228 is an alternative way to estimate atmospheric stability based on a temperature difference and a single velocity level
229 (Eq. (2)).

230 In Ri_b number equation, potential temperature Θ , is the temperature of an air parcel with absolute temperature T
231 and pressure p would have if brought adiabatically to the pressure at the 1000 mb level. To first order it can be
232 calculated as:

$$\theta = T + \left(\frac{g}{C_p} \right) \Delta z \quad (5)$$

233 Where g is the acceleration due gravity, $C_p = 1000 \text{ J kg}^{-1} \text{ K}^{-1}$ is the specific heat capacity of the air at constant
234 pressure, and Δz is the height difference from the 1000 mb level.

235 With Eq. (3) the obtained Ri_b will be used to estimates the stability parameter $\zeta = z/L$. As Bardal *et al.* (Bardal et
236 al., 2018) explain, these formulations are only valid for values lower than 0.2, but to make a classification according
237 to atmospheric stability they are considered adequate.

238 5. Results and discussion

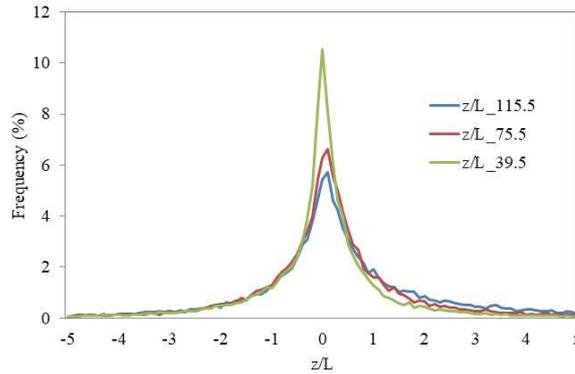
239 The study is divided into two parts: statistics of atmospheric stability with both methods (the Obukhov length and
240 Richardson Bulk); and comparison between both methods.

241 5.1 Sonic method

242 Atmospheric boundary layer (ABL) models used in wind farm design tools are typically based on Monin-Obukhov
243 theory. In stable conditions this surface-layer theory is extended to the entire ABL by assuming local scaling of
244 turbulence characteristics through the stability parameter $\zeta = z/L$. This similarity theory would produce self-similar
245 profiles of dimensionless quantities regardless of the height above ground level.

246 In the study case, as it was explained before, from the high-frequency (20 Hz) data recorded in the three
247 available sonic anemometers in MP5 mast, the values of the Obukhov length (L) over a period of 10 minutes have
248 been obtained, and taking into account the heights at which they are installed, the parameter $\zeta = z/L$.

249 In Fig. 4 the stability parameter $\zeta = z/L$ frequency distribution at the three sonic heights is depicted, resulting in
250 showing a good agreement among them with a reduction of the percentage of conditions near neutral stability as the
251 measurement height increases. The instantaneous values (10 minutes), however, do not show good correlation
252 between the different heights (correlation coefficient, R^2 : 0.25 between sonic at 115.5 and 75.5 meters; 0.15 between
253 sonic at 115.5 and 39.5 meters; 0.30 between 75.5 and 39.5 meters).



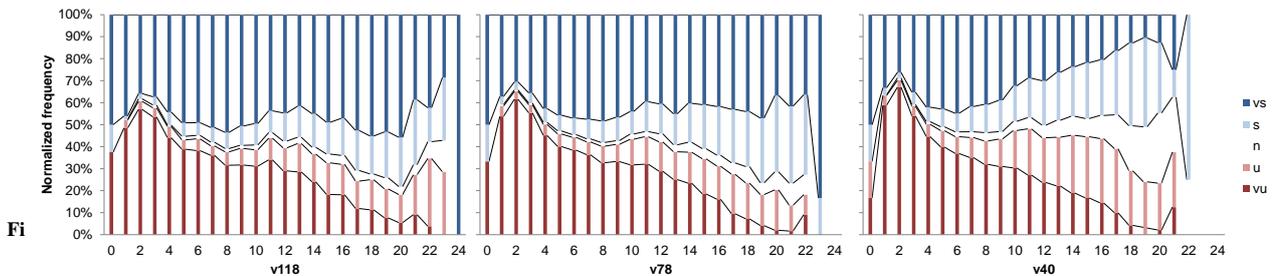
254
255
256 **Probability distribution of z/L at all the sonic heights. Only concurrent time steps between July 2014 and June 2015 are included.**

257 **Figure 4** Figure 5 shows the distribution of atmospheric stability against wind speed at the MP5 measurements heights,
258 the 9 stability classes propose in Table 1 are reduced to five combining: weakly unstable and stable classes with
259 unstable and stable classes; and very unstable and stable with extremely unstable and stable. Table 3 shows the
260 classification used. For the three heights, the stable situations are slightly higher than the unstable ones and there is
261 an increase of neutral and stable conditions with increasing wind speeds, this is in accordance with the general
262 knowledge that for strong wind speeds the atmosphere becomes neutrally stratified.

263 **Table 3 According to Table 1 a reduced five stability classes.**

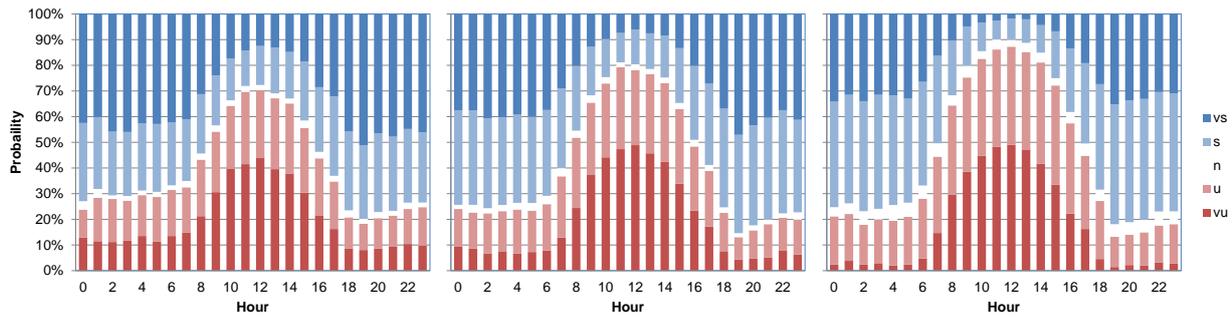
Stability Class	Stability parameter $\zeta = z/L$
very unstable (vu)	$-\zeta < -0.6$
unstable (wu)	$-0.6 < \zeta < -0.02$
neutral (n)	$-0.02 < \zeta < 0.02$
stable (ws)	$0.02 < \zeta < 0.6$
very stable (vs)	$0.6 < \zeta$

264
265 As mentioned before, it is observed a significant dependence of stability distributions with height. At higher
266 levels, the stability distributions are broader and there are more frequent cases with very large and extreme stability.
267 This dependency of the stability distribution with height is because z is part of the definition of the stability
268 parameter; and closer to the ground there are more “neutral” conditions because z/L tends to zero.



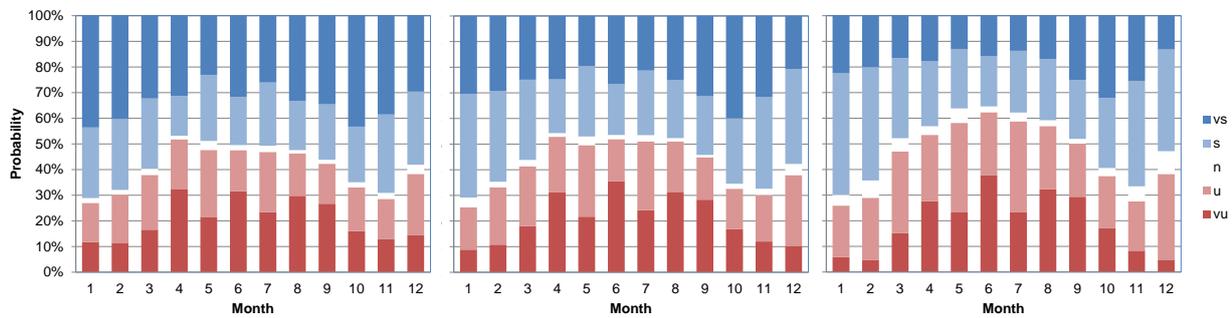
269 **Distribution of atmospheric stability with wind speed based on z/L obtained with sonic anemometer at different**
270 **heights, 115.5 m on the left, 75.5 m in the middle and 39.5 m on the right side. vs, very stable; s, stable; n, neutral; u,**
271 **unstable; vu, very unstable.**

272 The diurnal cycle, see Fig. 6, presents unstable conditions developing from 9.00 to 15.00. The rest of the day is
273 dominated by stable conditions resulting in low turbulence intensities.



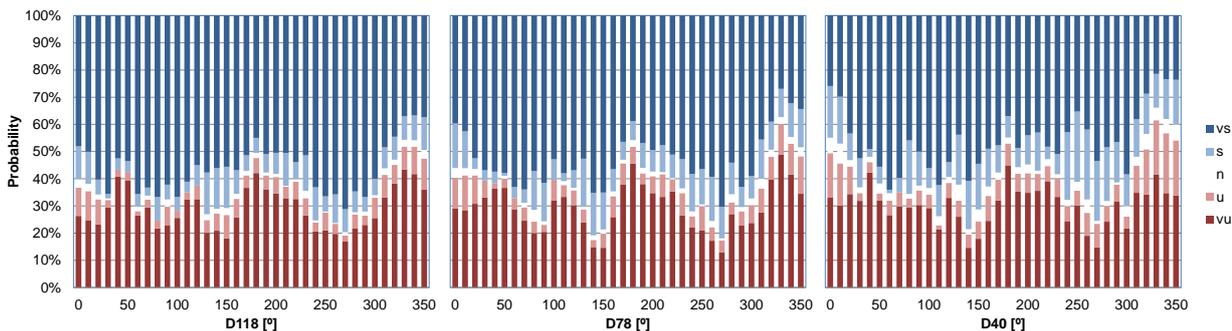
274 **Distribution of atmospheric stability with hour based on z/L obtained with sonic anemometer at different**
 275 **heights, left 115.5 m, center 75.5m and right 39.5 m. vs, very stable; s, stable; n, neutral; u, unstable; vu, very unstable.**

276 **Figure 6**
 277 **Figure 7** shows the evolution of stability throughout the year. The stable side dominates during winter months,
 278 with unstable conditions peaking between April to August where they take a $\approx 50\%$ share.



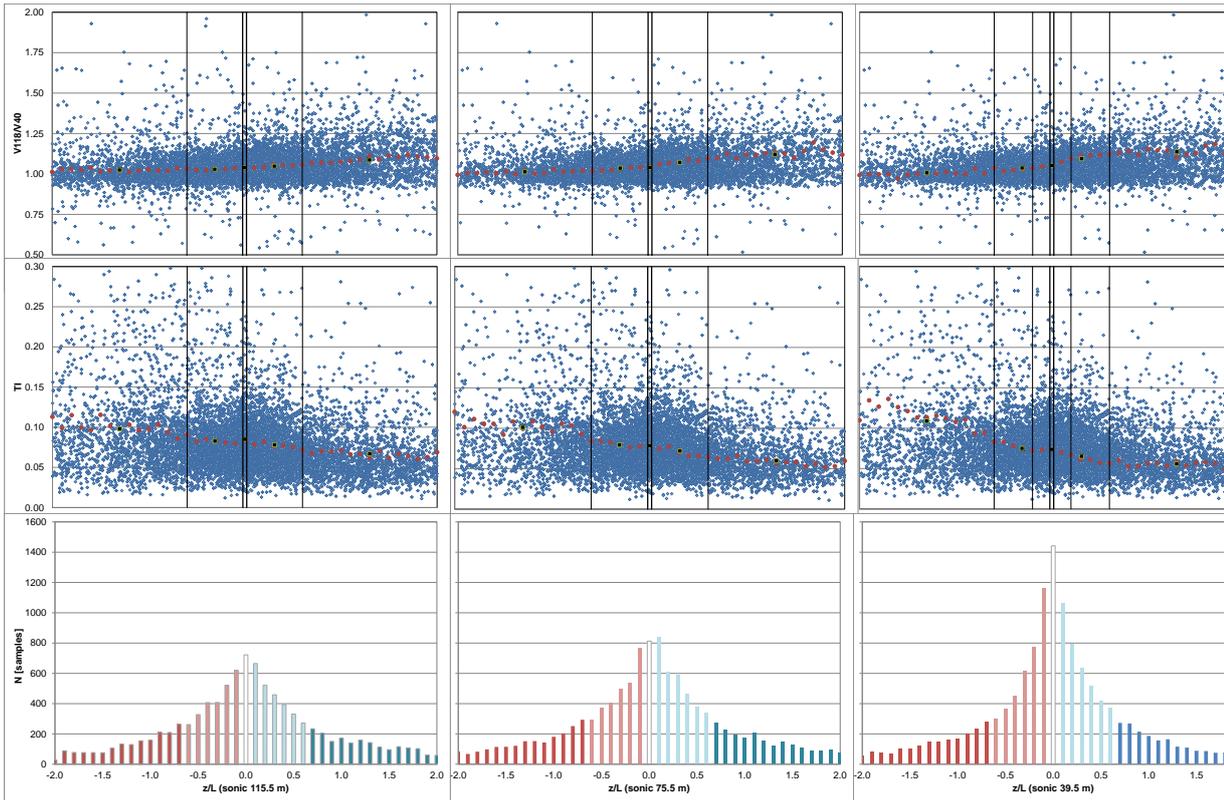
279 **Figure 7** **Monthly distribution of stability based on z/L obtained with sonic anemometer at different heights, left 115.5**
 280 **m, center 75.5 m and right 39.5 m. vs, very stable; s, stable; n, neutral; u, unstable; vu, very unstable.**

281 The variation of atmospheric stability with wind direction is showed in Fig. 8. Stable situations dominate in most
 282 of the directions except for the northwest direction ($330^\circ\text{-}350^\circ$) that is one of the predominant in Alaiz. As can be
 283 seen in Fig. 1, the North face of Alaiz Mountain has a steep slope (the Roughness Index (RIX) value in the north
 284 sector in MP5 position is 22.4%) that empties into a large valley at around 700 m lower altitude. According to (Stull,
 285 1989) this topography causes ascending hillside/valley winds that generate convective turbulence and therefore
 286 situations of instability that could explain some of the unstable conditions found in the $330^\circ\text{-}350^\circ$ direction sector.

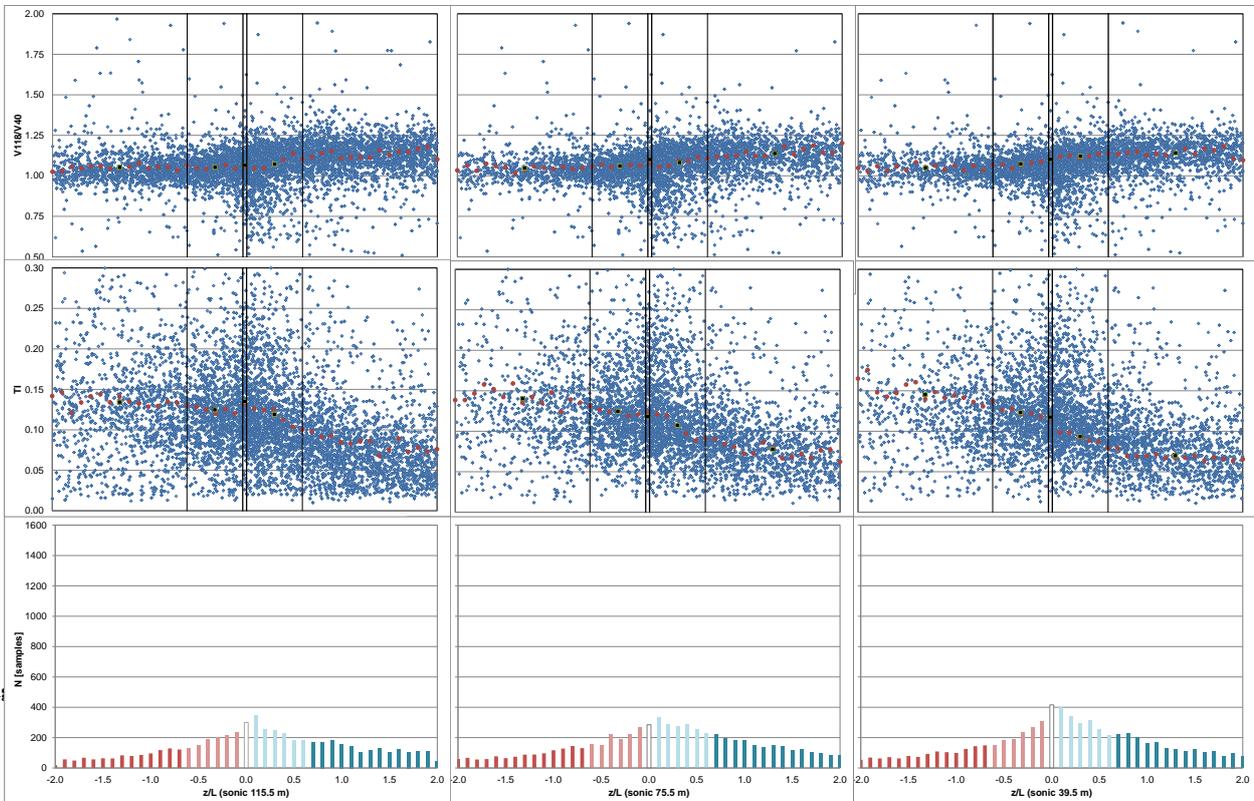


287 **Distribution of atmospheric stability with wind direction based on z/L obtained with sonic anemometer at**
 288 **different heights. vs, very stable; s, stable; n, neutral; u, unstable; vu, very unstable.**

289 Following the stability classification defined in Table 3, Fig. 9 and Fig. 10 present the dependency of wind shear
 290 (calculated as the wind speed ratio between 118 and 40 m) and turbulence intensity (calculated as the ratio of the
 291 standard deviation to the mean wind speed at 118 m) with stability based on z/L parameter from the three sonic
 292 sensors installed for the NNW and SSE prevailing wind direction sectors.
 293



294 **Figure 9** Wind shear and turbulence intensity vs sonic stability in *MP5*, [337.5°-22.5°] sector. Red dots are the z/L mean
 295 values for 0.01 resolution scale, black squares are the z/L mean values in each of the stability classes according to Table 1.
 296



297 **Figure 10** Wind shear and turbulence intensity vs sonic stability in *MP5*, [157.5°-202.5°] sector. Red dots are the z/L mean
 298 values for 0.01 resolution scale, black squares are the z/L mean values in each of the stability classes according to Table 1.

299 For the three heights is observed that, as is explained by (Emeis, 2013), in unstable situations the ground surface is
 300 warmer than the air above so there is a positive heat flux that causes more turbulence. This results in a convective,
 301 well-mixed, surface layer with small vertical gradients. On the other hand, lower turbulence and high shear wind
 302 profiles are associated to stable situations where turbulence is reduced due to a negative vertical heat flux.

303 5.2 Bulk Richardson number

304 Since sonic anemometers are not commonly used in wind resource assessment, an alternative method to estimate the
 305 atmospheric stability is Bulk Richardson number. It is based on mean wind speed at height z and mean virtual
 306 potential temperature difference between air at the reference height (z) and surface temperature.

307 The calculation of the Bulk–Richardson number is, in the present study, not straightforward because of the lack
 308 of reliable sensors at the surface. The lower air temperature is measured at 2 m in MP5 mast. Ideally, the
 309 temperature difference at the air-surface interface is required (Kaimal and Finnigan, 1994) for stability analysis.
 310 However, because of the lack of surface temperature, 2 m height air temperature has been chosen as representative.
 311 Observations of 118 m wind speed and 113 m air temperature have been used in conjunction with 2 m air
 312 temperature to estimate Ri_b .

313 The MP5 mast has not measurements of surface temperature or near the ground. Some authors in these
 314 circumstances either extrapolate the values to the surface ($z=0$) (Machefaux et al., 2016) or perform the calculation
 315 directly between the available temperature levels (Martin et al., 2016; Ruisi and Bossanyi, 2019; Zhan et al., 2020).
 316 To analyze how the choice of measurement heights may influence resulting Ri_b stability distributions the Ri_b has
 317 also been calculated using 38 m air temperature instead 2 m.

318 The values of the Bulk-Richardson number have been obtained over a period of 10 minutes, i.e. the same period
 319 used for calculation of the Obukhov length.

320 Figure 11 shows the distribution for the bulk Richardson number method. The lower measurement level is varied
 321 between 2 and 38 m. Using the 38 m level, it is observed that according to the classification in Table 2, unstable
 322 cases practically disappear. This is not physically possible and does not occur in the classification obtained by the
 323 sonic method (see Fig. 4). So In this case, the results obtained using the 38 m temperature sensor as a representative
 324 surface level do not give us any reliable information. Small temperature differences highly affect the result of the
 325 Richardson number method and therefore it is greatly affected by deviations in the measurement of this variable.
 326 The MP5 temperature sensors have an accuracy of 0.3 °C and the mean temperature difference in the period
 327 analyzed between the level of 38 m and that of 113 m has been 0.7 °C so the uncertainty of the measurement is of
 328 the same order as the measurement itself.

329 The selection of temperature measurement heights has a great effect on the bulk Richardson number method,
 330 both in the exactitude and in the applicability of the method. To reduce uncertainties the measurements should be
 331 made either with differential temperature sensors or with calibrated sensors and a sufficient vertical separation in
 332 order to reduce the influence of inaccuracies in the temperature measurements (Baker and Bowen, 1989; Brower,
 333 2012).

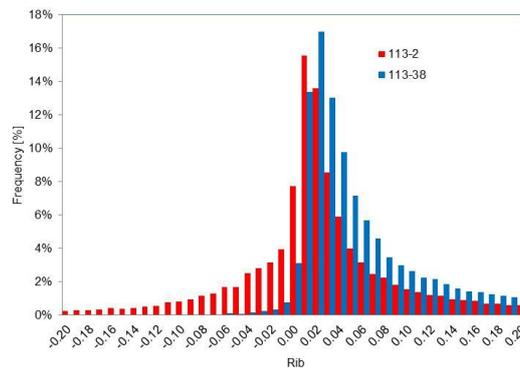


Figure 11

Probability distribution of Ri_b measured between 2 m and 113 (red one) and between 38 and 113 m (blue lines). Only concurrent time steps between July 2014 and June 2015 are included.

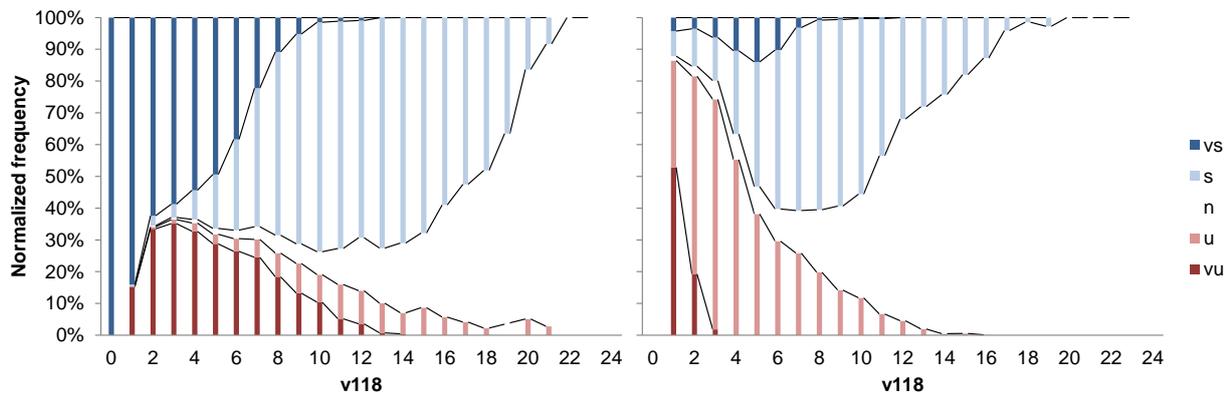
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 338 Figure 12 shows the distribution of atmospheric stability against wind speed. On the left side atmospheric
 339 stability is directly classified with the Ri_b obtained with observations of 118 m wind speed, 113 m air temperature
 340 and 2 m air temperature, this last temperature sensor has been chosen as representative of surface temperature. The
 341 seven stability classes propose in Table 2 are reduced to five combining: weakly unstable and stable classes with

342 unstable and stable classes. Table 4 shows the classification used. On the right side atmospheric stability is classified
 343 according to the stability parameter $\zeta = z/L$ obtained with Ri_b and Eq. (3) and according to stability classification
 344 proposed in Table 3.

345 **Table 4 According to Table 2 a reduced five stability classes.**

Stability Class	Stability parameter Ri_b
Very unstable	$Ri_b < -0.023$
Unstable	$-0.023 \leq Ri_b < -0.0036$
Neutral	$-0.0036 \leq Ri_b < 0.0072$
Stable	$0.0072 \leq Ri_b < 0.084$
Very stable	$Ri_b \geq 0.084$

347
 348 Both distributions show a differentiated behavior with fewer “very” unstable and stable situations and a greater
 349 number of neutral observations in the case of the classification with ζ (on the right side of Fig. 12).



350 **Figure 12 Distribution of atmospheric stability with wind speed. On the left based on Ri_b ; On the right based on z/L**
 351 **obtained from Ri_b with transformation functions by Businger and Dyer. vs, very stable; s, stable; n, neutral; u,**
 352 **unstable; vu, very unstable.**

353 5.3 Comparison of stability methods: sonic versus bulk method

354 Comparing the distribution of atmospheric stability against wind speed based on sonic method (Fig. 5) with the
 355 results obtained based on Ri_b method (Fig. 12); it is observed that there are important differences between them.

356 Table 5 presents a frequency of occurrence of stability classes with concurrent data using different methods. This
 357 quantitative comparison shows that taking the sonic method as benchmark, it is observed that the bulk method when
 358 the Businger and Dyer functions are used to estimate the stability parameter $\zeta = z/L$ overpredict the percentage of
 359 neutral and stable conditions to the detriment of very unstable and stable situations, probably due to similar air
 360 temperature values at 113 and 2 m. On the other hand, classification directly with Ri_b according to Mohan
 361 classification overpredict too the stable situations at the cost of under predicting the unstable ones. As is explained
 362 in some references (Bardal et al., 2018; Sathe et al., 2011), stability characterization with Ri_b have several weak
 363 points: in one hand Ri_b method is sensitive to temperature measurements and uncertainty in L estimation increases
 364 as the temperature difference is reduced. Besides, other source of uncertainty comes from the definition of the
 365 surface temperature. In the other hand Businger and Dyer functions have some limitations and as (Bardal et al.,
 366 2018) propose the use of more advanced methods for relating the Ri_b to the z/L parameter might improve the results.

367 Besides these methodological reasons there are some physical causes of the differences found. One of these is
 368 that Richardson bulk number represents a bulk average stability value instead a local measurement like the sonic
 369 method.

370 **Table 5 Frequency of occurrence of stability classes.**

	115.5/L	75.5/L	39.5/L	z/L from Ri_b	Ri_b
vu	21.2%	21.3%	19.9%	0.7%	18.1%
u	19.4%	21.4%	26.8%	21.2%	5.9%
n	2.2%	2.4%	4.4%	32.5%	8.2%

s	24.0%	28.2%	29.9%	42.2%	43.6%
vs	33.2%	26.7%	19.1%	3.5%	24.2%

371 As is shown in Table 5 the stability description obtained with bulk Richardson number does not match the sonic
372 one. The ultimate goal of the stability characterization is to provide good predictive power of turbulence intensity
373 and shear at hub height, so in order to analyze it 0 shows these values in each of the stability classes with both
374 methods in the two main wind direction sectors in MP5. In comparison with sonic method the stability
375 characterization with bulk Richardson number underestimates the wind shear (overestimating the turbulence
376 intensity) for unstable situations in both sectors. For neutral and stable situations depends on the wind sector.

377 **Table 6 Wind shear and turbulence intensity in each of the stability classes. On the left based on z/L and on the right**
378 **based on Ri_b**

	115.5/L				Ri_b			
	[157.5°-202.5°] sector		[337.5°-22.5°] sector		[157.5°-202.5°] sector		[337.5°-22.5°] sector	
	V118/V40	IT118	V118/V40	IT118	V118/V40	IT118	V118/V40	IT118
vs	1.135	8.46%	1.086	6.70%	1.097	11.67%	1.100	8.47%
s	1.072	11.90%	1.048	7.81%	1.129	7.02%	1.071	6.99%
n	1.065	13.51%	1.039	8.49%	1.093	10.73%	1.015	7.23%
u	1.053	12.48%	1.028	8.27%	1.012	16.64%	0.968	14.33%
vu	1.052	13.42%	1.024	9.80%	1.028	17.07%	0.957	14.57%

379 6. Conclusions

380 In this work, a detailed data analysis focused on how to estimate atmospheric stability in a site with complex terrain
381 was presented. The Obukhov parameter $\zeta = z/L$, which can be measured locally with the use of a sonic anemometer,
382 and bulk Richardson number have been studied. The methods are examined considering their theoretical
383 background, implementation complexity, instrumentation requirements, and practical use in connection with wind
384 energy applications.

385 It is shown that the resulting stability depends on which method is chosen. The sonic method is taken as
386 benchmark because is the only way of measuring local stability without the use of empirical functions or theoretical
387 assumptions. However this method requires working with accurate high frequency data, rotating the measurements
388 to align the coordinate system to the mean wind vector, which is reported to require special attention in complex
389 terrain to guarantee that the mean streamline plane will be parallel to the terrain surface; to finally obtain turbulent
390 fluxes using the eddy covariance technique.

391 According to the stability parameter $\zeta = z/L$ obtained with the three sonic anemometer installed in MP5 mast.
392 For the three heights, the stable situations are slightly higher than the unstable ones and there is an increase of
393 neutral and stable conditions with increasing wind speeds. There is a significant dependence of stability distributions
394 with height. At higher levels, the stability distributions are broader and there are more frequent cases with very large
395 and extreme stability.

396 The seasonal and diurnal cycle is identified, in the winter and during the hours between 17h to 8h stable side
397 dominates, while between April to August and between 9h to 15h unstable conditions are found to be more frequent.
398 Winds from the predominant northwest direction (330°-350°) produce more unstable conditions than the others
399 sectors.

400 For the three heights, and in the two predominant sectors, is observed that in in unstable situations the ground
401 surface is warmer than the air above so there is a positive heat flux that causes more turbulence. This results in a
402 convective, well-mixed, surface layer with small vertical gradients. On the other hand, lower turbulence and high
403 shear wind profiles are associated to stable situations where turbulence is reduced due to a negative vertical heat
404 flux.

405 As alternative to characterize stability, the bulk Richardson number is explored, it requires the minimum level of
406 instrumentation, mean wind speed at height z and mean virtual potential temperature difference between air at the
407 reference height (z) and surface temperature. The bulk Richardson number can be used directly to classify the
408 atmospheric stability or it can be transform into $\zeta = z/L$ by Businger and Dyer functions.

409 On MP5 there is not a surface temperature sensor so 2 m high air temperature sensor has been chosen as
410 representative, moreover to analyze how the choice of measurement heights may influence resulting Ri_b stability
411 distributions, it has also been calculated using 38 m air temperature sensor instead 2 m. This configuration does not
412 give us any reliable information, it could be due temperature sensors on MP5 have an accuracy of 0.3°C and the
413 mean temperature difference in the period analyzed between the level of 38 m and that of 113 m has been 0.7 °C so
414 the uncertainty of the measurement is of the same order as the measurement itself. The Ri_b number relies on smaller
415 temperature differences for estimation of the mean gradient and its accuracy is therefore dependent on the sensor
416 precision, calibration and measurement heights. The following recommendations are provided to obtain consistent
417 results with bulk Richardson method: use high precision temperature sensors; calibrate all the temperature sensors at
418 the same time; calibrate the temperature sensors in the operational range to guarantee better calibration in the
419 temperatures of interest and have a reference temperature sensor below 2 m, as close to the ground as possible. On
420 the other hand, the stability classification obtained using directly the Ri_b values shows a differentiated behavior than
421 that estimated according to the stability parameter $\zeta = z/L$ obtained with Ri_b and Businger and Dyer functions. It
422 could be by the different classification employed in both characterization (Mohan vs Sorbjan & Grachev) and/or by
423 the Businger and Dyer functions.

424 In summary the sonic method is more costly and complex but, in this study, it shows results in accordance with
425 the general atmospheric boundary layer knowledge, so we recommend it as first option to obtain a local
426 measurement of atmospheric stability that can be associated to a certain height above the ground and in consequence
427 provide good predictive power of turbulence intensity and wind shear at hub height. For the Bulk Richardson
428 number, based in the references read, there is no a standard methodology for characterizing atmospheric stability
429 using this method and there are many different approximations. Furthermore, empirical relations to relate Ri_b to $\zeta =$
430 z/L are obtained either for offshore sites or for non-complex sites, so there is a need for observational studies on
431 complex terrain to increase under-standing of how estimate atmospheric stability accurately with Bulk Richardson
432 number.

433 **Data availability.** Data belongs to CENER and it can be obtained from the author upon request.

434 **Author contribution.** EC is the principal investigator of the project and coordinated the activities and the
435 preparation of the paper. DP aided in the formulation of the scope of the work, FB assisted in the measurement post-
436 processing, while the methodology was devised by EC, JS and DP. The stability analysis and visualization was
437 performed by EC. EC wrote the original draft, AG helped with the composition of the manuscript while EC, JSR,
438 FB, DP and AG contributed, reviewed and edited the final paper.

439 **Competing interests.** The authors declare that they have no conflict of interest.

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