



# Changes in particulate matter physical properties during Saharan advections over Rome (Italy): a four-year study, 2001–2004

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**Abstract.** Particulate matter mass concentrations measured in the city of Rome (Italy) in the period 2001–2004 have been cross-analysed with concurrent Saharan dust advection events to infer the impact these natural episodes bear on the standard air quality parameter  $PM_{10}$  observed at two city stations and at one regional background station. Natural events such as Saharan dust advections are associated with a definite health risk. At the same time, the Directive 2008/50/EC allows subtraction of PM exceedances caused by natural contributions from statistics used to determine air quality of EU sites. In this respect, it is important to detect and characterise such advections by means of reliable, operational techniques. To assess the  $PM_{10}$  increase we used both the “regional-background method” suggested by EC Guidelines and a “local background” method, demonstrated to be most suited to this central Mediterranean region. In terms of exceedances, the two approaches provided results within  $\sim 20\%$  of each other at background sites, and at  $\sim 50\%$  of each other in traffic conditions.

The sequence of Saharan advections over the city has been either detected by Polarization Lidar (laser radar) observations or forecast by the operational numerical regional mineral dust model BSC-DREAM8b of the Barcelona Supercomputing Centre. Lidar observations were also employed to retrieve the average physical properties of the dust clouds as a function of height. Over the four-year period, Lidar measurements (703 evenly distributed days) revealed Saharan plumes transits over Rome on 28.6% of the days, with minimum occurrence in wintertime. Dust was observed to reach the ground on 17.5% of the days totalling 88 episodes. Most (90%) of these advections lasted up to 5 days, averag-

ing to  $\sim 3$  days. Median time lag between advections was 7 days. Typical altitude range of the dust plumes was 0–6 km, with the centre of mass at  $\sim 3$  km a.g.l. BSC-DREAM8b model simulations (1461 days) predicted Lidar detectable ( $532$  nm extinction coefficient  $> 0.005$  km<sup>-1</sup>) dust advections on 25.9% of the days, with ground contacts on 13% of the days. As in the Lidar case, the average dust centre of mass was forecast at  $\sim 3$  km. Along the 703 day Lidar dataset, model forecast and Lidar detection of the presence of dust coincided on 80% of the cases, 92% coincidences are found within a  $\pm 1$  day window.

Combination of the BSC-DREAM8b and Lidar records leads to about 21% of the days being affected by presence of Saharan dust at the ground. This combined dataset has been used to compute the increase in PM with respect to dust-unaffected previous days. This analysis has shown Saharan dust events to exert a meaningful impact on the  $PM_{10}$  records, causing average increases of the order of  $11.9$   $\mu\text{g m}^{-3}$ . Conversely,  $PM_{10}$  increases computed relying only on the Lidar detections (i.e., presence of dust layers actually observed) were of the order of  $15.6$   $\mu\text{g m}^{-3}$ . Both analyses indicate the annual average contribution of dust advections to the city  $PM_{10}$  mass concentrations to be of the order of  $2.35$   $\mu\text{g m}^{-3}$ . The number of exceedances attributable to Saharan advections at the three station types addressed in this study (urban traffic, urban background and regional background) were found to be 25%, 30% and 43%, respectively. These results confirm Saharan advections in the central Mediterranean as important modulators of  $PM_{10}$  loads and exceedances.

## 1 Introduction

Mass concentration ( $\mu\text{g m}^{-3}$ ) of particulate matter smaller than 10 micrometers in aerodynamic diameter (PM<sub>10</sub>) is one of the parameters widely employed to assess air quality. In this respect, the current European Union (EU) air quality directive 2008/50/EC (EC, 2008) allows no more than 35 exceedances per year of the daily average threshold of  $50 \mu\text{g m}^{-3}$ . At the same time, the PM<sub>10</sub> yearly average should not exceed  $40 \mu\text{g m}^{-3}$ . Considering the high levels of PM<sub>10</sub> observed in the largest European cities and the sometimes high values of background PM<sub>10</sub> levels (e.g., Putaud et al., 2004; Yttri and Aas, 2006; Querol et al., 2009a; Mol et al., 2011), these limits might result as rather stringent. The directive 2008/50/EC also allows for subtraction of the natural aerosol contributions to the monitored PM<sub>10</sub> levels after assessing their origin and amount. Methods to implement such an assessment are summarised in the EC Guidelines on the quantification of the contribution of natural sources under the EU Air Quality Directive 2008/50/EC (EC, 2011). Since at certain times and locations natural aerosol sources can be rather strong, it is important to create effective tools to evaluate such contributions to PM levels.

In Mediterranean Europe the natural phenomenon which mostly affects PM concentrations is the frequent transport of large quantities of mineral dust from the nearby Sahara desert (e.g., Moulin et al., 1998; Rodriguez et al., 2001; Barnaba and Gobbi, 2004; Mitsakou et al., 2008; Querol et al., 2009b; Basart et al., 2012a; Pay et al., 2012). In the central and western Mediterranean such outflow of mineral dust reaches its maximum between Spring and Autumn, while minimising in winter (e.g., Barnaba and Gobbi, 2004; Basart et al., 2009; Pey et al., 2013). In the year 2001, satellite observations showed Saharan dust advections to affect an average 26 % of the central Mediterranean surface area (Barnaba and Gobbi, 2004). In that year, Saharan dust advections observed in Rome by our polarization Lidar extended typically from ground up to 6 km a.s.l. (Gobbi et al., 2004a), and were estimated to increase the urban PM<sub>10</sub> loads by an average  $19 \pm 15 \mu\text{g m}^{-3}$  (Gobbi et al., 2007). These advections were observed to originate mostly from the desert regions of southern Tunisia and to bear negative health effects on the city population (Mallone et al., 2011).

On a yearly basis, the Saharan emissions transported to the Mediterranean coasts increase the local PM<sub>10</sub> loads by a few  $\mu\text{g m}^{-3}$  (e.g., Pey et al., 2013; Querol et al., 2009b). Single events can however increase the PM<sub>10</sub> loads by tens to hundreds  $\mu\text{g m}^{-3}$ , potentially affecting the number of exceedances of the EU limits (e.g., Rodriguez et al., 2001; Gobbi et al., 2007; Perrino et al., 2009; Pey et al., 2013). In a quantitative study conducted by particle-induced X-ray emission techniques (PIXE), Nava et al. (2012) found the Saharan advections contribution to PM<sub>10</sub> samples collected in Tuscany (central Italy) to range between 3 and  $30 \mu\text{g m}^{-3}$ . Such high levels of natural PM loads imply that, at least in the

Mediterranean regions, validated model-based Saharan dust fields should be integrated within regional air quality models to improve the agreement between PM simulations and observations, concurring to disclose the relative contribution of natural vs. anthropogenic sources in the PM records measured at the ground (e.g., Basart et al., 2012a; Carnevale et al., 2012; Pey et al., 2013).

This study provides a characterisation of Saharan advections and an evaluation of their impact on the PM<sub>10</sub> mass concentration in the city of Rome, Italy, one of the largest metropolitan areas in Mediterranean Europe. Time and altitude characterisation of the Saharan advection events will be based on model forecasts and polarization Lidar observations, while changes in PM<sub>10</sub> concentration will be computed considering average PM<sub>10</sub> loads recorded in Rome air-quality stations prior and during the dust events. The method to estimate such impact of Saharan dust on PM<sub>10</sub> loads will be optimised for the conditions encountered at this central Mediterranean region.

## 2 Methods

To determine the days affected by the presence of Saharan air masses over the city, we employ dust model forecasts and polarization Lidar observations. This section describes the methods employed to measure PM<sub>10</sub> (Sect. 2.1), to infer the presence of Saharan advections (2.2 and 2.3) and to determine their contribution to PM<sub>10</sub> (2.4).

### 2.1 PM<sub>10</sub> measurements

The PM<sub>10</sub> records utilised here were collected at three stations run by ARPA Lazio, the environmental protection agency in charge of the air quality monitoring in the Latium region. Two of the stations, Magna Grecia (MG, 41.88° N–12.51° E, 49 m a.s.l.), and Villa Ada (VA, 41.93° N–12.51° E, 50 m a.s.l.) are located in central Rome, while the third one is situated at Fontechiari (FC, 41.67° N–13.67° E, 388 m a.s.l.), about 70 km SE of Rome. Stations type can be defined as: “urban traffic” for MG, “urban background” for VA, and “regional background” for FC. PM<sub>10</sub> mass concentration was measured by means of Philips Environment MP-101-M, beta-gauge analysers, performing two PM<sub>10</sub> average mass readings per hour. After quality check, the readings were averaged to provide the daily mean values employed in our study.

### 2.2 The VELIS Lidar

Polarization Lidar (laser radar) measurements are employed here to detect and characterise Saharan dust events. This Vehicle-Mountable Lidar System (VELIS) was located at the ISAC Rome laboratories (41.84° N–12.65° E, 130 m a.s.l.), in a semi-rural area approximately 12 km southeast of the city centre. An extended description of the Lidar system and

of the relevant data analysis to derive aerosol extinction is given in Gobbi et al. (2004). Here we briefly recall that VELIS employs a frequency-doubled Nd:YAG laser, emitting 532 nm, plane-polarized, 30 mJ pulses at 10 Hz. Two co-located telescopes allow to collect a full backscatter profile between  $\sim 300$  m and 14 km from the ground, with a vertical resolution of 37.5 m. Overlap correction allows to reconstruct the aerosol profile down to 100 m a.g.l. Typical averaging time of each profile is 10 min.

Outputs of the VELIS measurement are tropospheric profiles of aerosol backscatter  $\beta_a(z)$  and extinction  $\sigma_a(z)$  coefficients, plus depolarization ratio  $D_a(z)$ , all determined at the laser wavelength. The aerosol depolarization  $D_a = (\beta_{a\perp})/(\beta_{a\parallel})$ , i.e., the ratio of the aerosol-induced cross-polarized backscatter signal ( $\beta_{a\perp}$ ) to the parallel-polarized one ( $\beta_{a\parallel}$ ) is a good indicator of the shape of the scattering particles (e.g., Sakai et al., 2010). In fact, spherical particles (as liquid aerosols) do not change the polarization plane of the laser beam they backscatter, while non-spherical ones (as dust particles or ice crystals) introduce a marked degree of depolarization. While for continental type aerosols VELIS typically observes depolarization  $D_c < 10\%$ , in the case of pure Saharan dust close to the source it measured an average depolarization  $D_d \sim 41\%$  (e.g., Gobbi et al., 2000). In Rome, dust advections typically show  $15\% < D_d < 30\%$ . In the absence of aerosols, i.e., in pure molecular backscatter, the system measures  $D_m \sim 1\%$ . Aerosol depolarization  $D_a$  is therefore considered an excellent marker for identifying the presence and altitude of mineral dust over the Lidar station. As in previous analyses, also in this work the vertical distribution of dust during Saharan events is determined as coinciding with regions where  $D_a$  increases above 10%. Dust contribution to the extinction is then estimated by weighting the aerosol extinction coefficient by the ratio  $D_a/41\%$ . Since “pure” dust depolarization can happen to be lower than 41% (e.g., Sakai et al., 2010), this approach provides a lower limit to the retrieved dust extinction coefficient. The VELIS schedule included 2 to 6 profiles per day (depending on sky conditions) collected at non-synchronous times between 07:00 and 21:00 UTC. During the period January 2001–December 2004, the observations covered about 50% of the days. In particular, VELIS was deployed in field campaigns (and therefore did not operate in Rome) in January 2001, from mid-July to mid-September 2002 (Gobbi et al., 2004b), and during short periods in July 2003 (Tafuro et al., 2006) and August–September 2004 (Barnaba et al., 2007; Highwood et al., 2007). These dates are therefore missing in the present Lidar record. Overall, 703 daily profiles have been employed in this study, with a mean number measurement-days per month of  $15 \pm 6$ .

### 2.3 The BSC-DREAM8b model

The BSC-DREAM8b model (Nickovic et al., 2001; Pérez et al., 2006a, b; Basart et al., 2012b) simulates the 3-

dimensional field of dust concentration in the troposphere and takes into account all major processes of dust life, such as dust emission, horizontal and vertical diffusion and advection and wet and dry deposition. It also includes the effects of the particle-size distribution on aerosol dispersion. The model numerically solves the Euler-type partial differential nonlinear equation for dust mass continuity, and it is fully embedded as one of the governing prognostic equations in the atmospheric NCEP/Eta model.

The main features of BSC-DREAM8b are a source function based on the arid and semi-arid categories of the 1 km USGS land use dataset, a 8-bin particle size distribution within the 0.1–10  $\mu\text{m}$  radius range according to Tegen and Lacis (1996), a source size distribution derived from D’Almeida (1987) and dust radiative feedbacks (Pérez et al., 2006a). In the last years, the model has been used for dust forecasting and as dust research tools in North Africa and the Mediterranean (e.g., Amiridis et al., 2009; Papanastasiou et al., 2010; Marinou et al., 2012). Several evaluation studies outlined the good skills of BSC-DREAM8b concerning both the horizontal and vertical extend of the dust plume in the Mediterranean Basin for a single dust event (Pérez et al., 2006a, b; Haustein et al., 2009; Papanastasiou et al., 2010) and over longer time periods (Kishcha et al., 2007; Pay et al., 2012; Basart et al., 2012b).

The present analysis includes a dust simulation of BSC-DREAM8b for the period from 1 January 2001 to 31 December 2004 on an hourly basis. The initial state of dust concentration in the BSC-DREAM8b model is defined by the 24 h forecast from the previous-day model run. The NCEP/FNL Final Operational Global Analysis data at 00:00 UTC are used as initial conditions and boundary conditions at intervals of 6 h. The resolution is set to 1/3 degrees in the horizontal and to 24 layers extending up to approximately 15 km in the vertical. The domain of simulation covers northern Africa, Middle East and Europe.

The BSC-DREAM8b output analyzed here consists of 1-hour time resolution forecasts of dust advections transiting over Rome, so that the entire dataset is made of over 35 000 records. The data provided are: dust load, dust concentration and PM<sub>10</sub> at the surface, 550 nm AOD and extinction coefficient at 24 levels (from 86 to 15 000 m a.s.l.). Since these levels are not equally spaced, the values were averaged over a regular, 1 km-thick vertical grid. The dust load, surface concentration and extinction coefficient records from the BSC-DREAM8b model show continuous distributions, with a large occurrence of small, but non-null, values. For this reason, these time series show “absolute dust-free conditions” to occur in less than 2% of cases. This makes difficult to perform a direct comparison with the Lidar data. In order to do so a screening process was introduced.

An advection, whatever its altitude, is counted when BSC-DREAM8b simulations predicted the presence over the city of “Lidar detectable” (532 nm Extinction  $> 0.005 \text{ km}^{-1}$ ) dust clouds. Such a signal corresponds to approximately

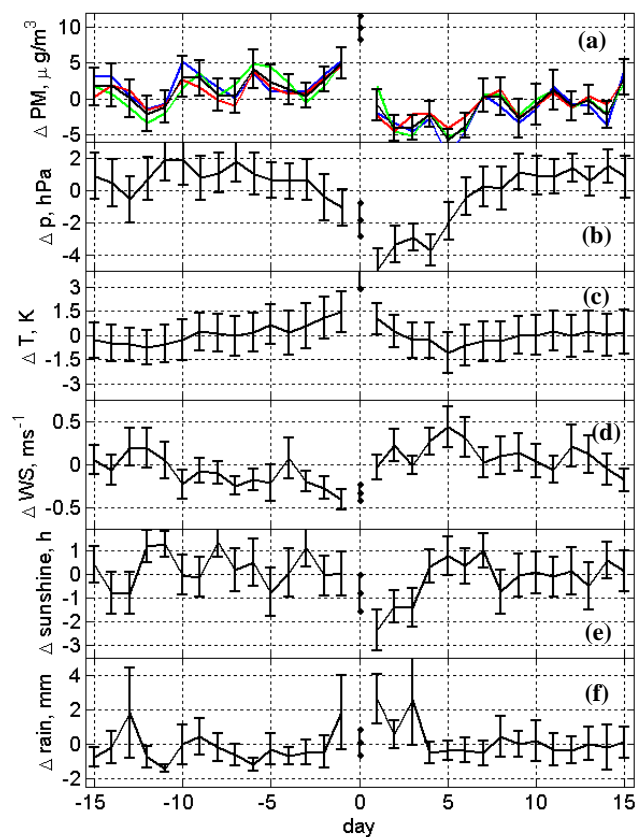
$3 \mu\text{g m}^{-3}$  of dust. The sensitivity of the VELIS Lidar system has then been taken as a threshold for discriminating the BSC-DREAM8b extinction data between dust and non-dust regimes. Hence, considering a Lidar sensitivity of  $0.005 \text{ km}^{-1}$  up to 6 km and  $0.01 \text{ km}^{-1}$  above, have been classified as “dust cases” only those profiles where the average extinction exceeds the Lidar-derived threshold at least in one layer. In such way, a total of 9084 dust layers have been detected in the BSC-DREAM8b record, with a time incidence (days per year) of about 26%.

## 2.4 Determining the dust-driven PM<sub>10</sub> increase

Operational evaluation of the PM<sub>10</sub> increase due to Saharan advections is commonly performed by subtracting a “background” value from the PM<sub>10</sub> load during the dust event. Current EU guidelines (EC, 2011) suggest to calculate such an increase at a regional background station and to use this value for all the PM<sub>10</sub> monitoring sites in that region. In this approach, the background is computed by averaging the PM<sub>10</sub> load at the regional background station in the 15-day period preceding and following the event (EU, 2011). This method was originally developed and optimised for the Iberian Peninsula (Escudero et al., 2007).

In our previous work (Gobbi et al., 2007) we choose to compute background PM<sub>10</sub> loads at each station being considered, averaging the background over the 15 day period preceding the event. In this work, the best PM<sub>10</sub> averaging period over which to evaluate background conditions has been defined after studying the behaviour of meteorological variables and of PM<sub>10</sub> loads at various stations during the Saharan advection events. Our starting assumption being: the best estimate of the dust PM<sub>10</sub> component during a Saharan advection should be measured against the PM<sub>10</sub> load averaged along the shortest number of days preceding the event at the very same station. Figure 1 reports the daily variation of meteorological and PM<sub>10</sub> parameters with respect to their average in the 15 day time span preceding and following all the dust advections encountered in the 4 yr study. Error bars represent the 95 % confidence intervals of such averages. Average variations recorded over each whole Saharan event are reported at time zero, regardless of the actual length of the event (on average 3 days, e.g., Sect. 3.1).

At all stations, PM<sub>10</sub> mass concentrations (Fig. 1a) follow a rather similar behaviour, showing a systematic increase during the six days preceding the events and a decrease during the five days following it. The same pattern is recorded at urban stations (MG and VA), as well as at the regional background one (FC). Such pattern is found to be anti-correlated with respect to wind (Fig. 1d), and precipitation (Fig. 1f), two meteorological drivers of PM<sub>10</sub> concentration. Conversely, it is correlated with respect to pressure (Fig. 1b), temperature (Fig. 1c) and sunshine (Fig. 1e). The picture resulting from such comparison indicates a systematic accumulation of PM<sub>10</sub> due to (1) stagnation and low precipitation in the



**Fig. 1.** Average daily variation of PM<sub>10</sub> and meteorological parameters with respect to their average in the 15 day time span preceding and following all the dust advections encountered in the 4 yr study. Error bars represent the 95 % confidence intervals of such averages. The average values during the whole Saharan event (whatever its length) are reported at time zero. PM<sub>10</sub> curves (a) are reported for MG (blue), VA (green), FC (red) and for the ensemble of the three stations (black). Meteorological parameters considered are: pressure (b), temperature (c), wind speed (d), sunshine (e) and rainfall (f), respectively.

five days preceding the events, (2) increasing wind, precipitation, and temperature, i.e., conditions favouring removal of PM, during the events, and (3) return to pre-advection conditions some 6–8 days past the event.

The previous results also indicate that averaging PM<sub>10</sub> over the  $-15$  to  $+15$  dust-free dates across the Saharan-flagged date (as the EU guidelines suggest) provides a lower PM<sub>10</sub> background than averaging over the 15 days before the events (as done in Gobbi et al., 2007). However, both these approaches include PM<sub>10</sub> conditions rather dissimilar from the ones the Saharan events build upon. In particular, employing the data following the event may introduce a bias due to the highly probable presence of rain in the 4 day period across the events. One further parameter to consider is the typical lag between advections. In our case, mean and median time lags between the observed 83 non-wintertime

advections (out of the total 88 ones) were 9.2 and 7 days, respectively. Choosing longer averaging times may then introduce biases due to contiguous events. Therefore, the 9 day period preceding the events results to be a preferable range to evaluate such background conditions in this central Mediterranean region. In this time span, choice of the averaging length must then be a trade-off between statistical significance and closeness to the event. For these reasons we decided to restrict the averaging period to the 7 days (down to a minimum of 5 days, depending on data availability) preceding each advection event. If available, the full 7 day record is preferable to avoid possible weekly-cycle effects. In fact, the weekly PM<sub>10</sub> cycle present at all stations was evaluated to impact results of averaging over five rather than seven days by some 0.5  $\mu\text{g m}^{-3}$  at VA and FC and by at most 1.4  $\mu\text{g m}^{-3}$  at MG.

To support our choice of evaluating Saharan dust loads employing same station background and in-dust PM<sub>10</sub> mass concentrations (local approach), we compared dust load estimates made at various sites in the Rome region. In particular, we evaluated the mean bias and root-mean-square (RMS) difference between the dust load estimates performed at the regional background station of Fontechiari (FC) with respect to equivalent estimates performed at the two city stations of VA and MG, and at the two other regional background stations of Allumiere (located some 50 km NW of Rome at 500 m a.s.l.), and of Leonessa (located some 70 km NE of Rome at 950 m a.s.l.). The relevant results are reported in Table 1. In spite of a smaller bias present between background stations, these comparisons show no significant changes in RMS differences and in standard deviations of background or city stations with respect to FC. In this respect, regional background stations do not seem to show with respect to FC a more coherent estimate of dust contribution to PM<sub>10</sub> than urban stations do. We interpret this as supporting the local station approach. In this respect, next sections will also show that the estimates performed by the two methods are well within their variability bars. While suffering of the larger variability of PM loads at urban sites (e.g., the daily cycle discussion above), this approach provides additional information about the spatial variability of Saharan dust clouds.

According to Fig. 1, the shorter averaging time employed in this analysis tends to provide a higher value of the background PM<sub>10</sub> load with respect to EC (2011), and consequently a lower (by about 2.5  $\mu\text{g m}^{-3}$  in this dataset), more conservative estimate of the increase due to each dust advection. Overall, choice of the averaging time to evaluate “background” PM<sub>10</sub> loads is found to be an important condition in the estimate of the increase due to Saharan dust advections. Meteorological parameters as well as other modulators of PM<sub>10</sub> loads as the weekly cycle, should definitely be considered in such evaluation process.

**Table 1.** Bias and RMS differences between dust load estimates ( $\mu\text{g m}^{-3}$ ) performed at the regional background station of Fontechiari (FC) with respect to equivalent estimates performed at the two city stations of VA and MG, and at two other regional background stations (Allumiere, AL, and Leonessa, LE).

	Bias ( $\mu\text{g m}^{-3}$ )	RMS ( $\mu\text{g m}^{-3}$ )
AL-FC	$0.2 \pm 10.0$	$7.4 \pm 6.7$
LE-FC	$-0.7 \pm 9.7$	$6.7 \pm 6.9$
VA-FC	$1.3 \pm 11.4$	$8.9 \pm 7.0$
MG-FC	$5.2 \pm 8.3$	$8.8 \pm 13.5$

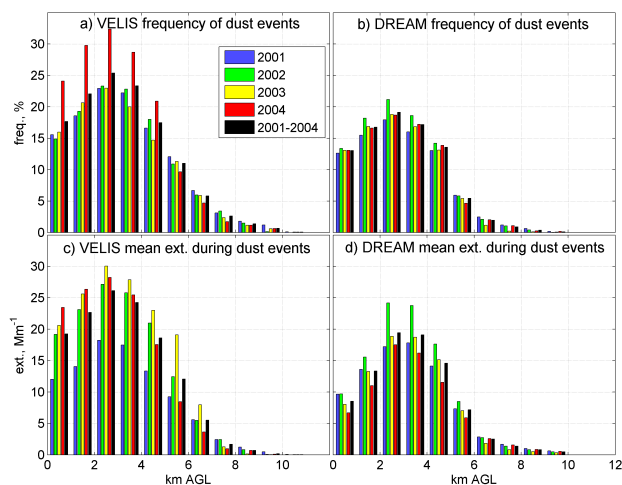
### 3 Results

#### 3.1 Saharan dust episodes statistics

The VELIS Lidar dataset consists of 703 measurement-days, of which 197 days with detected Saharan advection. In the same days, BSC-DREAM8b forecasted 248 dust events with extinction coefficient  $>0.005 \text{ km}^{-1}$  (26 % more than VELIS), 158 of which coincide in time with the Lidar-observed ones. Overall, 80 % of the VELIS observations of dust coincide in time with the BSC-DREAM8b forecasts, 92 % fall within one day, and 96 % within 3 days of the BSC-DREAM8b forecasts. Agreement between model and observations on dust presence at the ground was recorded on 70 % of the cases. Season-wise, such agreement shows a minimum in summer (61 %) while remaining on similar levels (73–77 %) in the other seasons.

The vertical distribution of the dust layers constitutes an important information in terms of both air quality and climate impacts. Figure 2 depicts the statistics of such parameter (1 km altitude bins) as retrieved by the VELIS Lidar (left column) or modelled by BSC-DREAM8b (right column). Figure 2a and b report the frequency of Saharan dust presence in at least one altitude bin. Figure 2c and d quantify the bin average extinction coefficient ( $\text{km}^{-1}$  at 532 nm) during such events. Both frequency plots maximize at 2–3 km (25 % VELIS and 18 % BSC-DREAM8b). At the ground, VELIS retrieves dust presence on about 17.5 % of the days, while BSC-DREAM8b forecasts it on 13 %. In both the VELIS and BSC-DREAM8b extinction statistics, the centre of mass of the extinction profile is found at approximately 3 km altitude. It is found from the Lidar record that the altitude seasonal sequence (DJF, MAM, JJA, SON) of this centre of mass is 2.6, 3.2, 3.2 and 2.8 km, respectively.

Average extinction values reported in Fig. 2d and e are referred to the overall advections frequency (28.6 %), regardless of the altitude range of each single event (i.e., for an event occurring at level X but not at level Y, in the averaging procedure level Y has a weight of zero). To evaluate the average extinction of Saharan plumes we need to refer to the actual frequency of dust layers at the level addressed (Fig. 2a and b). The multiplying conversion factors (ratios between



**Fig. 2.** Vertical distribution of Saharan dust layers properties as observed by VELIS (left column) and forecast by BSC-DREAM8b (right column), respectively: frequency of Saharan dust occurrences at least in one altitude bin (**a**, **b**) and average dust extinction coefficient ( $\text{km}^{-1}$  at 532 nm) per year (**c**, **d**).

the overall advections frequency (28.6 %) and the altitude-dependent frequency of Fig. 2a), allow to obtain the average extinction of Saharan layers as retrieved by VELIS. These indicate typical dust event extinction to be rather constant ( $0.029 \pm 0.023 \text{ km}^{-1}$ ) up to 6 km altitude.

By employing the dust extinction-to-mass conversion of Barnaba and Gobbi (2004) for  $2.5 \text{ g cm}^{-3}$  density particles, we obtain an extinction to mass ratio of the order of  $1.36 \text{ m}^2 \text{ g}^{-1}$ . This factor would lead to an average mass content during the events of the order of  $22 \pm 18 \mu\text{g m}^{-3}$ . This is the typical increase in total PM (TSP) expected to occur over the 62 days/year when Saharan advections are observed at the ground level bin by the VELIS Lidar (Fig. 2a). The same computation applied to the BSC-DREAM8b extinction record would lead to an average increase of  $12 \mu\text{g m}^{-3}$ . On a yearly basis, the dust contribution to PM (TSP) evaluated by VELIS and BSC-DREAM8b is of  $3.9$  and  $1.6 \mu\text{g m}^{-3}$ , respectively.

On average, the Saharan advections observed by the ISAC-CNR Lidar over the four-year period lasted 3 days. About 35 % of the events lasted one day and 10 % lasted over 5 days. Only 0.5 % (i.e., once every two years) reached the duration of two weeks.

Table 2 summarises the statistical properties of the dust advections as retrieved by means of VELIS and forecast by BSC-DREAM8b in terms of percent of days in which dust was detected at any level or at ground level, plus average and standard deviation of dust optical depth (532 nm AOD). Overall, the data show that some 100 days per year were affected by Saharan dust transiting over Rome, while dust reached the ground on about 60 days per year. In terms of AOD, the typical optical depth during these Saharan events is

**Table 2.** Percent of advection days, ground contacts, average 532 nm optical depth and relevant standard deviation of Saharan dust events as observed by the VELIS Lidar and forecast by BSC-DREAM8b.

	2001	2002	2003	2004	AVG
<b>% Dust Days</b>					
Lidar	25.6	25.0	25.5	38.3	28.6
BSC-DREAM8b	25.9	26.0	24.9	26.8	25.9
<b>% Ground Dust</b>					
Lidar	15.6	14.7	15.6	24.0	17.5
BSC-DREAM8b	12.6	13.4	13.1	13.1	13.0
<b>Avg. dust AOD</b>					
Lidar	0.09	0.13	0.15	0.13	0.13
BSC-DREAM8b	0.08	0.10	0.08	0.07	0.08
<b>St.dev.dust AOD</b>					
Lidar	0.07	0.10	0.17	0.10	0.11
BSC-DREAM8b	0.09	0.11	0.09	0.08	0.09

of the order of  $0.13 \pm 0.11$ . Considering again an average extinction coefficient of  $0.03 \text{ km}^{-1}$  this converts (Barnaba and Gobbi, 2004) into an average column load of  $96 \pm 81 \mu\text{g m}^{-2}$  in the VELIS statistics (TSP) and of  $59 \pm 66 \mu\text{g m}^{-2}$  in the BSC-DREAM8b one.

### 3.2 The impact of dust on PM<sub>10</sub> records

This analysis is performed using as indicators of dust presence at the ground either the union of the VELIS and the BSC-DREAM8b records or the VELIS record alone. The first choice allows for a safe exclusion of dust-affected days in the computation of non-dust PM loads, while the second fosters a “verified” assessment of dust presence when evaluating the PM<sub>10</sub> changes.

Table 3 reports the statistics of PM<sub>10</sub> changes observed during dust episodes at the three air quality stations addressed in this work. Observations cover at least 91 % of the 1417 days enclosed in the 2001–2004 period (line 1). Union of the BSC-DREAM8b and VELIS dust records increases the frequency of dust presence at the ground to 20–21 % (line 3). The average PM<sub>10</sub> levels (line 4) and the number of exceedances of the  $50 \mu\text{g m}^{-3}$  limit (line 7) at the three stations reflect their type. The yearly average increase due to Saharan advections (line 6) ranges between  $1.9$  and  $2.5 \mu\text{g m}^{-3}$ , right within the range estimated by means of the VELIS profiles and BSC-DREAM8b forecasts. In this respect, it is important to remark that the estimates based on extinction data concern the TSP (total suspended particles) rather than PM<sub>10</sub>, and that in the presence of Saharan dust in an urban Mediterranean area, PM<sub>10</sub> is expected to be of the order of 50 % of TSP (e.g., Querol et al., 2001). After correcting for such a factor,

**Table 3.** Four year statistics (2001–2004) of PM<sub>10</sub> changes observed during dust episodes at the three air quality stations of Magna Grecia (MG), Villa Ada (VA) and Fontechiari (FC).

#	Variable	MG	VA	FC
1	Observation Days (max 1417)	1320	1288	1345
2	Days with dust at ground (VELIS+BSC-DREAM8b)	268	267	287
3	% Days with ground dust (VELIS+BSC-DREAM8b)	20	21	21
4	Year-Average PM <sub>10</sub> ( $\mu\text{g m}^{-3}$ )	43.8	28.8	25.1
5	Year-Average PM <sub>10</sub> without dust ( $\mu\text{g m}^{-3}$ )	41.4	26.5	23.2
6	Year- $\delta$ PM <sub>10</sub> in dust ( $\mu\text{g m}^{-3}$ )	2.4	2.2	1.9
7	Avg. number of PM <sub>10</sub> exceedances yr <sup>-1</sup>	107.0	30.9	10.0
8	Avg. number of dust-caused PM <sub>10</sub> exceedances yr <sup>-1</sup> (EU Guidelines results in brackets)	27.1 (14.9)	9.4 (7.1)	4.3 (5.2)
9	% Of dust-caused exceedances	25	30	43
10	Average $\delta$ PM <sub>10</sub> in dust ( $\mu\text{g m}^{-3}$ )	13.5	10.3	9.2
11	Standard Deviation ( $\mu\text{g m}^{-3}$ )	11.5	11.7	9.8
12	Number of $\delta$ PM <sub>10</sub> <0 (VELIS+BSC-DREAM8b)	6	6	7
13	Number of $\delta$ PM <sub>10</sub> <0 (VELIS)	0	0	2
14	Average $\delta$ PM <sub>10</sub> (VELIS) ( $\mu\text{g m}^{-3}$ )	16.4	14.7	11.0
15	Standard Deviation ( $\mu\text{g m}^{-3}$ )	9.9	12.2	10.0

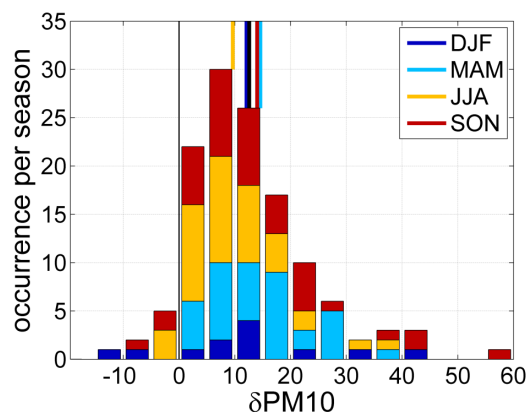
both Lidar-derived mean event load ( $22 \mu\text{g m}^{-3}$  TSP), and yearly average ( $3.9 \mu\text{g m}^{-3}$  TSP) show very good agreement with the results reported at lines 10 and 6 of Table 3.

Magna Grecia is the site exceeding both the maximum PM<sub>10</sub> yearly average ( $40 \mu\text{g m}^{-3}$ ) and number of exceedances (35) established by the EU. Even though Saharan advections are responsible of 26 % of these exceedances (line 9), their subtraction would not reduce the number below the threshold of 35. Conversely, subtracting the dust contribution to yearly PM<sub>10</sub> mass brings this average close to the  $40 \mu\text{g m}^{-3}$  limit. The other two sites (VA and FC) do not surpass EU yearly limits. It is however important to notice that between 32 % and 43 % of the exceedances at these locations is attributable to Saharan advections.

Line 8 reports the number of dust-induced exceedances per year obtained according to the present analysis and to the EU guidelines (in brackets). As expected by the meteorological analysis of Sect. 2.4, the number of exceedances at the reference station is larger in the case of EU guidelines-derived data. However, when the city number of exceedances is computed with reference to such rural background station (i.e., according to the EU guidelines) these are lower (7.1 % vs. 9.9 % at VA) and much lower (14.9 % vs. 29.3 % at MG) than in our approach. Such mismatch is likely due to a number of processes as: the spatial inhomogeneity of dust loads and of relevant wet and dry removal, traffic resuspension, different dust entrainment between rural and urban boundary layers. Study of these processes is beyond the scope of this paper, but is being addressed within our DIAPASON project (<http://www.diapason-life.eu>). We however believe all these effects support the use of local stations rather than a single, remote one to evaluate PM loads attributable to dust advection events.

The average PM<sub>10</sub> change ascribed to the events of the VELIS+BSC-DREAM8b dataset is reported at line 10 of Table 3. The relevant seasonal frequency distribution of the PM<sub>10</sub> change for the two city stations of MG and VA is plotted in Fig. 3. These results show the quite large variability of both event number and dust contributions to PM<sub>10</sub> occurring along the year. In particular, they show: (1) maximum loads (of the order of  $15 \mu\text{g m}^{-3}$ ) to occur during the transition seasons, a slightly lower contribution in winter and rather low average loads (of the order of  $10 \mu\text{g m}^{-3}$ ) in summer, and (2) the presence of negative changes, i.e., average PM<sub>10</sub> are lower during the events with respect to previous days. While the summertime minimum loads are attributable to a larger dilution allowed by the deeper boundary layer, the latter point is explained by the disappearing of negative loads (Table 3, line 13 with respect to line 12), when the VELIS record alone is employed to assess the occurrence of dust advection events. Erroneous advections forecast and/or rainout effects are the likely cause of such conditions. In this Lidar framework, PM<sub>10</sub> increases per event are 20–40 % larger (Table 3, line 14) while the average yearly increase remains of the order of  $2.7 \mu\text{g m}^{-3}$  due to the lower frequency of the events in this record. Unfortunately, VELIS observations cover only 50 % of the time period and cannot be used for air quality purposes as indicated by the EU Guidelines (EC, 2011).

As mentioned, results in this section were obtained applying the “local background method”. This was shown in Sect. 2.4 to be better suited than the “regional background” one to our central Mediterranean region. However, it is worth mentioning that for the regional background station of Fontechiari, use of the two averaging methods brings to estimates of the impact of Saharan dust PM<sub>10</sub> loads within 20 % from each other ( $9.2$  vs.  $10.8 \mu\text{g m}^{-3}$ , respectively).



**Fig. 3.** Seasonal frequency distribution of all PM<sub>10</sub> changes associated to Saharan advections ( $\delta$ PM) at the two Rome stations of MG and VA in the period 2001–2004. Colour thick lines at the top of the plots specify the seasonal average, the black one representing the yearly average.

#### 4 Conclusions

A four-year (2001–2004) database of PM<sub>10</sub> mass concentrations, together with modelled and observed Saharan dust advection events have been used to evaluate the impact of Saharan dust on the PM<sub>10</sub> mass concentrations in the city of Rome, Italy. In this period, BSC-DREAM8b model forecasts and Lidar observations indicate that advection of Saharan dust crossed over Rome on 26 % and 29 % of the days, respectively. These events occurred mostly between March and November. Advection to the ground, possibly affecting the PM<sub>10</sub> concentrations, was evaluated to take place on 13 and 18 % of the days, respectively.

Analysis of the meteorological parameters and of PM<sub>10</sub> loads accompanying Saharan advections to the Rome region showed that the best averaging period over which to define the “background” PM<sub>10</sub> level is represented by the 7 days preceding the event rather than the  $\pm 15$  days suggested by the current EU Guidelines (EC, 2011). However, for the regional background station of Fontechiari, use of the two averaging methods brings to estimates of the impact of dust within 20 % from each other (9.2 vs. 10.8  $\mu\text{g m}^{-3}$ , respectively).

Between 25 and 30 % of the city yearly exceedances of the daily 50  $\mu\text{g m}^{-3}$  limit were found to be associated to Saharan advections. On a year basis, such advections caused a PM<sub>10</sub> increase of the order of 2.35  $\mu\text{g m}^{-3}$ . Even though subtracting the “Saharan” exceedances (28) is not sufficient to reduce the yearly number of exceedances of the MG traffic station (107) below the EU mandatory limit of 35, subtraction of the mass contribution may be sufficient at lowering the year average PM<sub>10</sub> (43.85  $\mu\text{g m}^{-3}$ ) close to the EU limit of 40  $\mu\text{g m}^{-3}$ .

Statistics of Lidar-estimated dust mass concentrations (retrievals based on extinction-to-mass conversion factors reported in Barnaba and Gobbi, 2004), resulted to be in very good agreement with the (ground-based) PM<sub>10</sub> statistics when considering a typical PM<sub>10</sub>/TSP ratio of 0.5, as reported for Saharan advections in Barcelona by Querol et al. (2001). Furthermore, it was shown that a better assessment of transport events as allowed by the polarization Lidar measurements tends to increase the amount of PM<sub>10</sub> attributable to the Saharan advection, avoiding the retrieval of negative PM<sub>10</sub> changes observed when employing model forecasts alone. In this respect, the choice of the reference averaging period and accounting for meteorology (in particular rainy days) were shown to be central steps for the good assessment of advected dust loads.

The study also indicated that the altitude and time matching between the model forecasts and the Lidar observations are rather good. In fact, Lidar observations require model analysis to infer sources of the observed aerosols. At the same time, model forecasts require observations to confirm the actual presence of dust at the location under study. Therefore, synergy between the two techniques is demonstrated to be very important to correctly assess the contribution of this natural aerosol to PM levels.

Main results of this work were obtained by means of a research-type polarization Lidar coupled with PM<sub>10</sub> observations and BSC-DREAM8b model forecasts. This approach is at the core of the EC LIFE+ 2010 project “DIAPASON” ([www.diapason-life.eu](http://www.diapason-life.eu)) aimed at demonstrating the benefit of automated, polarization Lidar-Ceilometers, partly developed within the project, at proving the effects of Saharan dust advections (and more in general of long-range aerosol advections) on European PM loads.

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