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ASYMPTOTIC EXPANSIONS OF RECURSION COEFFICIENTS OF ORTHOGONAL POLYNOMIALS WITH TRUNCATED EXPONENTIAL WEIGHTS

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Abstract. Let $\beta > 0$ and $W_{\beta}(x) = \exp(-|x|^{\beta})$, $x \in \mathbb{R}$. For c > 0, define $W_{\beta,cn}(x) = W_{\beta}(x)$ if $|x| \leq c^{1/\beta} a_{2n}$ and $W_{\beta,cn}(x) = 0$ if $|x| > c^{1/\beta} a_{2n}$, where a_{2n} denotes Mhaskar-Rahmanov-Saff number for W_{β} . Let $\gamma_n(W_{\beta,cn})$ be the leading coefficient of the *n*th orthonormal polynomial corresponding to $W_{\beta,cn}$ and write $\alpha_n(W_{\beta,cn}) = \gamma_{n-1}(W_{\beta,cn})/\gamma_n(W_{\beta,cn})$. It is shown that if c > 1 and β is a positive even integer then $\alpha_n(W_{\beta,cn})/n^{1/\beta}$ has an asymptotic expansion. Also when 0 < c < 1, asymptotic expansions of recursion coefficients of the truncated Hermite weights are given.

§1. Introduction

Let $\beta > 0$. Let $W_{\beta}(x) = \exp(-|x|^{\beta})$, $x \in \mathbb{R}$, and let $\gamma_n = \gamma_n(W_{\beta}) > 0$ denote the leading coefficient of *n*th orthonormal polynomial p_n corresponding to W_{β} . The leading coefficients γ_n have the following minimum property. Denoting by \mathbb{P}_n the set of all polynomials of degree at most *n* we have

(1.1)
$$\gamma_n^{-2}(W_\beta) = \min_{q \in \mathbb{P}_{n-1}} \int_{-\infty}^{\infty} [x^n + q(x)]^2 W_\beta(x) \, dx$$

Since W_{β} is even, the recursion formula of the orthonormal polynomials has the form

(1.2)
$$xp_{n-1}(x) = \alpha_n p_n(x) + \alpha_{n-1} p_{n-2}(x) ,$$

 $n = 1, 2, 3, \dots$, where $\alpha_0 = 0$ and $\alpha_n = \gamma_{n-1}/\gamma_n$, $n \ge 1$. Associated with the weight function W_β , there are Mhaskar-Rahmanov-Saff numbers $a_n = a_n(W_\beta)$, which is a positive solution of the equation

$$n = (2/\pi) \int_0^1 a_n t Q'(a_n t) (1 - t^2)^{-1/2} dt ,$$

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 $n = 1, 2, 3, \cdots$, where $Q(x) = |x|^{\beta}$ (cf. [4]). Explicitly,

(1.3)
$$a_n = a_n(W_\beta) = (n/\lambda_\beta)^{1/\beta}, \quad n = 1, 2, 3, \cdots,$$

where

$$\lambda_{\beta} = \frac{2^{2-\beta} \Gamma(\beta)}{\{\Gamma(\beta/2)\}^2} \,.$$

Using Mhaskar-Rahmanov-Saff numbers $a_n = a_n(W_\beta)$, we define the truncated exponential weights $W_{\beta,cn}$, c > 0, $n = 1, 2, 3, \cdots$, by

(1.4)
$$W_{\beta,cn}(x) = \begin{cases} W_{\beta}(x) & \text{if } |x| \le c^{1/\beta} a_{2n}, \\ 0 & \text{if } |x| > c^{1/\beta} a_{2n}. \end{cases}$$

Our purpose in this paper is to prove the followings.

THEOREM 1.1. Let c > 1 and β be a positive real number. Then

(1.5)
$$\alpha_n(W_{\beta,cn})/\alpha_n(W_{\beta}) \sim 1 \quad as \quad n \to \infty.$$

In other words,

$$\alpha_n(W_{\beta,cn})/\alpha_n(W_{\beta}) = 1 + o(n^{-k}),$$

for every integer $k \ge 1$ as $n \to \infty$.

Combining a result of Máté, Nevai and Zaslavsky [6, Theorem 1, p. 496] and Theorem 1.1 we have

THEOREM 1.2. Let c > 1 and β be a positive even integer. Then $\alpha_n(W_{\beta,cn})/n^{1/\beta}$ has an asymptotic expansion

(1.6)
$$\alpha_n(W_{\beta,cn})/n^{1/\beta} \sim \sum_{k=0}^{\infty} c_{2k} n^{-2k} \quad as \quad n \to \infty,$$

where the constants c_{2k} 's are independent on c.

Theorems 1.1 and 1.2 depend on infinite-finite range inequality (2.1). When 0 < c < 1, (2.1) is not true any more. Using the recurrence equation, we obtain following result for the truncated Hermite weights.

Theorem 1.3. If 0 < c < 1, then $\alpha_n^2(W_{2,cn})/n$ has an asymptotic expansion

(1.7)
$$\alpha_n^2(W_{2,cn})/n \sim \sum_{k=0}^{\infty} d_{2k} n^{-2k} \quad as \quad n \to \infty$$

where $d_0 = c/2$, $d_2 = \frac{c}{8(1-c)^2}$, $d_4 = \frac{17c^2+c}{32(1-c)^5}$, and $d_6 = \frac{1126c^3+196c^2+c}{128(1-c)^8}$.

Of course if c > 1, then

$$\alpha_n^2(W_{2,cn})/n \sim 1/2 \quad as \quad n \to \infty$$

as a consequence of Theorem 1.1 and $\alpha_n^2(W_2)=n/2\,.$

§2. Proof of Theorems 1.1 and 1.2

To prove Theorem 1.1 we need following infinite-finite range inequality, which is a special case of [3, formula (3. 19) in Lemma 3.3, p. 32].

LEMMA 2.1. Let $\beta > 0$ and c > 1. Then there exist a positive constant C_0 and a positive integer n_0 such that, for $n \ge n_0$, and for $P \in \mathbb{P}_n$,

(2.1)
$$\int_{-\infty}^{\infty} P^2(x) W_{\beta}(x) dx \le (1 + e^{-C_0 n}) \int_{-\infty}^{\infty} P^2(x) W_{\beta,cn}(x) dx.$$

Proof of Theorem 1.1. Since $W_{\beta}(x) \geq W_{\beta,cn}(x)$, $\gamma_n(W_{\beta}) \leq \gamma_n(W_{\beta,cn})$, hence, in view of (2.1) and (1.1), we have

$$1 \le \gamma_n^2(W_{\beta,cn})/\gamma_n^2(W_{\beta}) \le 1 + e^{-C_0 n} \quad \text{for all} \quad n \ge n_0,$$

and

$$1 \le \gamma_{n-1}^2(W_{\beta,cn}) / \gamma_{n-1}^2(W_{\beta}) \le 1 + e^{-C_0 n} \quad \text{for all} \quad n \ge n_0 \,,$$

which implies Theorem 1.1.

Proof of Theorem 1.2. Máté, Nevai and Zaslavsky [6, Theorem 1, p.496] showed that, if β is a positive even integer, $\alpha_n(W_\beta)/n^{1/\beta}$ has an asymptotic expansion

(2.2)
$$\alpha_n(W_\beta)/n^{1/\beta} \sim \sum_{k=0}^{\infty} c_{2k} n^{-2k} \quad as \quad n \to \infty .$$

Thus Theorem 1.2 follows from (2.2) and Theorem 1.1.

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§3. Proof of Theorem 1.3

Instead of dealing with the weights $W_{2,cn}$, we consider the weights w_m , m > 0, defined by

(3.1)
$$w_m(x) = \begin{cases} \exp(-2mx^2) & \text{if } |x| \le 1, \\ 0 & \text{if } |x| > 1. \end{cases}$$

Let $p_n(x) = \gamma_n x^n + \cdots$, $\gamma_n > 0$, denote the orthonormal polynomials corresponding to w_m . Since w_m is even, the recursion formula becomes

(3.2)
$$xp_{n-1}(x) = \alpha_n p_n(x) + \alpha_{n-1} p_{n-2}(x) + \alpha_{n-1} p_{n-2}($$

 $n = 1, 2, 3, \dots$, where $\alpha_0 = 0$ and $\alpha_n = \gamma_{n-1}/\gamma_n$, $n \ge 1$, and p_n is even if n is even and odd if n is odd. Observe that

$$\frac{n}{\alpha_n} = \int_{-1}^1 p'_n(x) p_{n-1}(x) w_m(x) \, dx \, , \ n \ge 1.$$

Integrating by parts the right hand side of the above equation, we obtain

$$\frac{n}{\alpha_n} - 4m\alpha_n = 2p_n(1)p_{n-1}(1)w_m(1).$$

Squaring both sides, we have

(3.3)
$$\frac{n^2}{\alpha_n^2} - 8nm + 16m^2\alpha_n^2 = 4p_n^2(1)p_{n-1}^2(1)w_m^2(1).$$

We remark¹ that (3.3) can be obtained by equating leading terms in

$$p'_{n}(x) = A_{n}(x)p_{n-1}(x) - B_{n}(x)p_{n}(x),$$

where

$$A_{n}(x) = \frac{\alpha_{n}w_{m}(1)p_{n}^{2}(1)}{1-x} + \frac{\alpha_{n}w_{m}(-1)p_{n}^{2}(-1)}{x+1} + \alpha_{n}\int_{-1}^{1}\frac{v'(x)-v'(y)}{x-y}p_{n}^{2}(y)w_{m}(y)dy,$$
$$B_{n}(x) = \frac{\alpha_{n}w_{m}(1)p_{n}(1)p_{n-1}(1)}{1-x} + \frac{\alpha_{n}w_{m}(-1)p_{n}(-1)p_{n-1}(-1)}{x+1} + \alpha_{n}\int_{-1}^{1}\frac{v'(x)-v'(y)}{x-y}p_{n}(y)p_{n-1}(y)w_{m}(y)dy,$$

¹We thank the referee for this remark.

and $v(x) = 2mx^2$ (cf. [1], [2]). Also note that

(3.4)
$$1 + 2n = \int_{-1}^{1} [xp_n^2(x)]' w_m(x) dx = 2p_n^2(1)w_m(1) + 4m(\alpha_{n+1}^2 + \alpha_n^2).$$

From (3.3) and (3.4), we obtain the recurrence equation

$$(3.5) \quad \frac{1}{4} = \alpha_n^2 \left[1 + \frac{1}{2n} - \frac{2m(\alpha_{n+1}^2 + \alpha_n^2)}{n} \right] \left[1 - \frac{1}{2n} - \frac{2m(\alpha_n^2 + \alpha_{n-1}^2)}{n} \right] \\ + \frac{2m\alpha_n^2}{n} - \frac{4m^2\alpha_n^4}{n^2} ,$$

 $n = 1, 2, 3, \cdots$, where $\alpha_0 = 0$ and $\alpha_1 = \gamma_0 / \gamma_1$. Since

$$0 < \alpha_n = \int_{-1}^{1} x \, p_n(x) \, p_{n-1}(x) \, w_m(x) \, dx \le \int_{-1}^{1} |p_n(x) \, p_{n-1}(x)| \, w_m(x) \, dx$$
$$\le \left(\int_{-1}^{1} p_n^2(x) \, w_m(x) \, dx\right)^{1/2} \left(\int_{-1}^{1} p_{n-1}^2(x) \, w_m(x) \, dx\right)^{1/2} = 1 \,,$$
3.6)
$$\lim \, \alpha^2(w_n) = 1/4$$

(3.6)
$$\lim_{n \to \infty} \alpha_n^2(w_m) = 1/4$$

follows from (3.5). Now we show that $\alpha_n^2(w_m)$ has an asymptotic expansion.

LEMMA 3.1. Let m > 0. Then $\alpha_n^2(w_m)$ has an asymptotic expansion

(3.7)
$$\alpha_n^2 \sim \sum_{k=0}^{\infty} r_k n^{-k} \quad as \quad n \to \infty \,,$$

that is,

(3.8)
$$\alpha_n^2 = \sum_{k=0}^j r_k n^{-k} + o(n^{-j}),$$

for every integer $j \ge 0$ as $n \to \infty$, where $r_0 = 1/4$.

Proof of Lemma 3.1. Let

(3.9)
$$H(x, y, z, w) = [y + (1/4)][1 - (1/2)(2m - 1)w - 2mw(y + z)]$$
$$\cdot [1 - (1/2)(1 + 2m)w - 2mw(x + y)]$$
$$+ 2myw - 4m^2y^2w^2 - (1/4).$$

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Then

(3.10)
$$\frac{\partial H(0,0,0,0)}{\partial x} = \frac{\partial H(0,0,0,0)}{\partial z} = 0, \quad \frac{\partial H(0,0,0,0)}{\partial y} = 1.$$

Putting

(3.11)
$$y_n = \alpha_n^2 - (1/4),$$

we have

(3.12)
$$H(y_{n-1}, y_n, y_{n+1}, 1/n) = 0$$
 by (3.5).

By (3.10), (3.11), (3.6), and (3.12), the conditions of the theorem of Máté and Nevai [5, Theorem in p. 423] are satisfied, hence,

$$\alpha_n^2 \sim \sum_{k=0}^{\infty} r_k n^{-k} \text{ as } n \to \infty,$$

where $r_0 = 1/4$ by (3.6).

Once we know (3.8) is true, we expand α_{n-1}^2 and α_{n+1}^2 in terms of n^{-k} using the binomial theorem, and then, putting them into (3.5), we find $r_1 = 0, r_2 = 1/16$, and $r_3 = m/8$.

Rewriting (3.7) as

(3.13)
$$\alpha_n^2(w_m) \sim 1/4 + \sum_{k=0}^{\infty} e_k n^{-(k+2)} \text{ as } n \to \infty,$$

where $e_0 = 1/16$ and $e_1 = m/8$, next we show

LEMMA 3.2. The coefficient e_k in (3.13) is a polynomial in m of degree k with the leading coefficient (k+1)/16, and e_k is even, if k is even, and odd, if k is odd.

Proof of Lemma 3.2. When k = 0, 1, the lemma is true according to (3.13). Using induction on k, we may assume that the lemma is true for e_0, e_1, e_2, \cdots , and e_{k-1} . Let

(3.14)
$$\alpha_n^2 = 1/4 + \sum_{j=0}^k e_j n^{-(j+2)} + o(n^{-k-2}).$$

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Writing $(n\pm 1)^{-j}=n^{-j}\,(1\pm 1/n)^{-j}$, and then, using the binomial expansion for $(1\pm 1/n)^{-j}$, we obtain

(3.15)
$$\alpha_{n-1}^2 = 1/4 + \sum_{j=0}^k \left[\sum_{i=0}^j \binom{j+1}{i+1} e_i \right] n^{-(j+2)} + o(n^{-k-2}),$$

and

(3.16)
$$\alpha_{n+1}^2 = 1/4 + \sum_{j=0}^k \left[\sum_{i=0}^j (-1)^{j-i} \binom{j+1}{i+1} e_i \right] n^{-(j+2)} + o(n^{-k-2}).$$

Now, expanding (3.5) yields

$$(3.17) \ 0 = \alpha_n^2 \left[1 + \frac{2m}{n} - \frac{1}{4n^2} \right] - \alpha_n^4 \left[\frac{4m}{n} + \frac{4m^2}{n^2} \right] + \frac{4m^2 \alpha_n^6}{n^2} + \frac{m\alpha_n^2 (\alpha_{n+1}^2 - \alpha_{n-1}^2)}{n^2} + (\alpha_{n+1}^2 + \alpha_{n-1}^2) \left[-\frac{2m\alpha_n^2}{n} + \frac{4m^2 \alpha_n^4}{n^2} \right] + \frac{4m^2 \alpha_n^2 \alpha_{n+1}^2 \alpha_{n-1}^2}{n^2} - \frac{1}{4}$$

Substituting (3.14), (3.15), and (3.16) into (3.17) yields

$$\sum_{j=0}^{k} C_j n^{-(j+2)} + o(n^{-k-2}) = 0,$$

which implies $C_j = 0$, for $j = 0, 1, 2, \dots, k$. In particular from $C_k = 0$, we can express e_k in terms of $e_0, e_1, e_2, \dots, e_{k-1}$ as follows. Setting

$$s_j = \sum_{i=0}^{j} {j+1 \choose i+1} e_i$$
, and $t_j = \sum_{i=0}^{j} (-1)^{j-i} {j+1 \choose i+1} e_i$,

for $j = 0, 1, 2, \dots, k - 1$, and let

$$f_j = s_j + t_j$$
, $g_j = t_j - s_j$, and $h_j = \frac{s_j + t_j}{4} + \sum_{i=0}^{j-2} s_i t_{j-2-i}$,

for $j = 0, 1, 2, \dots, k-1$. Since t_j is alternating, it follows by the induction hypothesis that

(3.18) f_j is a polynomial in m of degree j, and is odd, if j is odd, and even, if j is even, for $j = 0, 1, 2, \cdots, k - 1$,

(3.19) $g_0 = 0$ and g_j is a polynomial in m of degree j - 1, and is odd, if j is even, and even, if j is odd,

for
$$j = 1, 2, 3, \dots, k - 1$$
, and

(3.20) h_j is a polynomial in m of degree j, and is odd, if j is odd, and even, if j is even,

for
$$j = 0, 1, 2, \cdots, k - 1$$
.

Now we have

$$(3.21) \ e_k = \frac{e_{k-2}}{4} + m \Big[e_{k-1} + \frac{f_{k-1}}{2} - \frac{g_{k-2}}{4} + 4 \sum_{j=0}^{k-3} e_j e_{k-3-j} \\ + 2 \sum_{j=0}^{k-3} e_j f_{k-3-j} - \sum_{j=0}^{k-4} e_j g_{k-4-j} \Big] \\ - m^2 \Big[\frac{f_{k-2}}{4} + h_{k-2} + 2e_0 (e_{k-4} + f_{k-4}) \\ + 2e_1 (e_{k-5} + f_{k-5}) - \sum_{j=0}^{k-4} e_j e_{k-4-j} + 4 \sum_{j=0}^{k-4} e_j h_{k-4-j} \\ + \sum_{i=0}^{k-6} (e_i + f_i) \Big(2e_{k-4-i} + 4 \sum_{j=0}^{k-6-i} e_j e_{k-6-i-j} \Big) \Big].$$

Since the coefficient of m^j in f_j is (j+1)/8 and the coefficient of m^j in h_j is (j+1)/32, $j = 0, 1, 2, \dots, k-1$, Lemma 3.2 follows from (3.18), (3.19), (3.20), and (3.21) and the induction hypothesis.

By Lemma 3.2 we write

(3.22)
$$e_k = e_k(m) = b_{k,0} m^k + b_{k,1} m^{k-2} + b_{k,2} m^{k-4} + \dots + b_{k,[k/2]} m^{k-2[k/2]},$$

where $[\cdot]$ denotes the integer part. Note that $b_{2p,p}$ is the constant term in e_{2p} and $b_{2p,p} = b_{2p-2,p-1}/4$ by (3.21). Since $b_{0,0} = 1/16$,

(3.23)
$$b_{2p,p} = \frac{1}{4^{p+2}}, \quad p = 0, 1, 2, \cdots.$$

We need more information about $b_{2p+k,p}$ as $k \to \infty$, that is, we want that for each $p = 0, 1, 2, \cdots$, $b_{2p+k,p}$ can not grow too fast as $k \to \infty$ so that $\sum_{k=0}^{\infty} b_{2p+k,p} c^k$ converges provided 0 < c < 1. In fact we show that for each $p = 0, 1, 2, \cdots$, $b_{2p+k,p}$ is a polynomial in k whose degree depends only on p. For p = 0,

(3.24)
$$b_{k,0} = (k+1)/16$$
, $k = 0, 1, 2, \cdots$,

by Lemma 3.2. When p = 1, we find

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(3.25)
$$b_{2+k,1} = \left(\frac{3}{256}\right)(k+4)(k+3)(k+2)(k+1) - \left(\frac{17}{384}\right)(k+3)(k+2)(k+1),$$

for $k = 0, 1, 2, \dots$, as follows. Note that $b_{2+k,1}$ is the coefficient of m^k in e_{2+k} . Comparing the coefficient of m^k in both sides of (3.21) with 2+k replacing k, we obtain

$$(3.26) \qquad b_{2+k,1} - 2b_{2+(k-1),1} + b_{2+(k-2),1} = \frac{b_{k,0}}{4} + \frac{(k+1)(k+2)b_{k-1,0}}{2} + \frac{(k+1)b_{k-1,0}}{2} - \frac{k(k+1)b_{k-2,0}}{2} - 6(b_{0,0}b_{k-2,0} + b_{1,0}b_{k-3,0}) + 8\sum_{j=0}^{k-1} b_{j,0}b_{k-1-j,0} - 2\sum_{j=0}^{k-2} b_{j,0}b_{k-2-j,0} - 6\sum_{j=0}^{k-4} b_{j,0}b_{k-2-j,0} .$$

Substituting (3.24) into (3.26), it follows that

$$b_{2+k,1} - 2b_{2+(k-1),1} + b_{2+(k-2),1} = \frac{9k^2}{64} + \frac{5k}{32} + \frac{1}{64}$$

for $k = 1, 2, \dots$ Solving the above difference equation with $b_{2,1} = 1/64$ by (3.23) and $b_{1,1} = 0$ yields (3.25). When p = 2, similarly as done above, we have

$$(3.27) b_{4+k,2} = \frac{21(k+7)(k+6)(k+5)\cdots(k+1)}{20480} \\ -\frac{17(k+6)(k+5)\cdots(k+1)}{1280} \\ +\frac{563(k+5)(k+4)(k+3)(k+2)(k+1)}{15360} ,$$

for $k = 0, 1, 2, \cdots$. Now using induction on p we assume that $b_{2i+k,i}$ is a polynomial in k for $i = 0, 1, 2, \cdots, p - 1$. Replacing k by 2p + k in (3.21) and then comparing the coefficient of m^k in both sides we find that $b_{2p+k,p}-2b_{2p+(k-1),p}+b_{2p+(k-2),p}$ can be expressed in terms of k and $b_{2i+j,i}$, $i = 0, 1, 2, \cdots, p-1, j = 0, 1, 2, \cdots, k$. Using the induction hypothesis yields

$$b_{2p+k,p} - 2b_{2p+(k-1),p} + b_{2p+(k-2),p} =$$
 a polynomial in k.

Then the following lemma follows by solving the above difference equation.

LEMMA 3.3. For each $p = 0, 1, 2, \dots, b_{2p+k,p}$ is a polynomial in k whose degree depends only on p.

Recall the definitions of w_{cn} in (3.1). Now we prove

LEMMA 3.4. Let 0 < c < 1. Then $\alpha_n^2(w_{cn})$ has an asymptotic expansion

(3.28)
$$\alpha_n^2(w_{cn}) \sim \frac{1}{4} + \sum_{p=0}^{\infty} \delta_{2p} n^{-2p-2} \quad as \quad n \to \infty$$

where $\delta_0 = \frac{1}{16(1-c)^2}$, $\delta_2 = \frac{17c+1}{64(1-c)^5}$, and $\delta_4 = \frac{1126c^2+196c+1}{256(1-c)^8}$.

Proof of Lemma 3.4. From Lemma 3.1 and (3.13)

$$\alpha_n^2(w_m) \sim 1/4 + \sum_{k=0}^{\infty} \frac{e_k(m)}{n^{k+2}} \quad \text{as} \quad n \to \infty,$$

for each fixed m > 0. If we replace m by cn, then, with the notations in (3.22),

$$\frac{e_k(cn)}{n^{k+2}} = \sum_{p=0}^{\lfloor k/2 \rfloor} \frac{b_{k,p} c^{k-2p}}{n^{2p+2}} \,.$$

Since $\sum_{k=0}^{\infty} b_{2p+k,p} c^k$ converges for each $p = 0, 1, 2, \cdots$, by Lemma 3.3, we have

$$\alpha_n^2(w_{cn}) \sim \frac{1}{4} + \sum_{p=0}^{\infty} \delta_{2p} n^{-2p-2} \text{ as } n \to \infty ,$$

where $\delta_{2p} = \sum_{k=0}^{\infty} b_{2p+k,p} c^k$. From (3.24), (3.25), and (3.27), we obtain δ_0, δ_2 , and δ_4 , completing the proof of Lemma 3.4.

Proof of Theorem 1.3. Since

$$\alpha_n^2(w_{cn}) = \frac{\alpha_n^2(W_{2,cn})}{2cn} ,$$

Theorem 1.3 follows from Lemma 3.4.

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