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# A Context-Aware on-board Intrusion Detection System

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# Research Article

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# A Context-Aware on-board Intrusion Detection System

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Abstract Modern vehicles are becoming increasingly attractive from the perspective of possible intruders. The main reasons are twofold: modern vehicles are now connected to the outside world via Wi-Fi, Bluetooth, and mobile connection, such as LTE and 5G, and the increasing complexity of the on-board software enlarges the attack surface.

In this article, we introduce CAHOOTv2, a contextsensitive intrusion detection system (IDS) that uses the vehicle's sensors to determine driver habits and gather information about the environment to detect intruders. We use hyperparameter tuning to increase detection accuracy. To demonstrate the validity of the algorithm, we collected driving data from both an Artificial Intelligence (AI) and 39 humans. We include the AI driver to demonstrate that CAHOOTv2 is able to detect intrusions when the driver is both a human or an AI. The dataset is obtained using a modified version of MetaDrive simulator where we consider also the presence of an intruder able to perform the following types of intrusions: denial of service, replay, spoofing,

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G. Patanè Park Smart Srl, Italy E-mail: giuseppe.patane@parksmart.it additive and selective attacks. We make several experiments showing the benefits of hyperparameters tuning. The results of CAHOOTv2 are promising on detection of intrusions.

Keywords Automotive · Intrusion Detection System · Context-aware · Machine learning

#### 1 Introduction

Vehicles are increasingly connected to the outside world thanks to the introduction of several mobile technologies like LTE and 5G. In 2021 there were approximately 236 million connected vehicles worldwide [23]. Moreover, it is estimated that in 2035 the connected vehicles will increase up to 863 millions [23]. Inside vehicles there are also several Electrical Control Units (ECUs) that provide functionalities to the car [7]. ECUs are connected each other through multiple buses, e.g., Controller Area Network (CAN), CAN-FD, FlexRay and Automotive Ethernet. Different partitions of these busses are connected to each other via gateways.

Modern vehicles are also connected to other vehicles or the roadside units of the infrastructure via V2X communications. Using V2X communications, each vehicle is able to get information about the surrounding environment. These information may influence driving decisions, e.g., change route because of a traffic jam.

Also, many newer vehicles are connected through LTE or 5G to the carmakers' server. Carmakers collect information of the car to offer services, e.g., sensors' data, air conditioning management, route planning and history, insurance premium charges, maintenance history and battery management for electrical vehicles. In particular, carmakers can offer to third party the access to the sensors' data.

In addition, being connected to the World, vehicles start to resemble computer on wheels: on-board software is becoming increasingly complex. Nowadays, vehicles contain one hundred of millions of lines of code [4]. However, level 5 autonomous vehicles will contain up to one billion lines of code [4]. In fact, cars contain various sensors to keep track of the environment and the vehicle status [35]. The sensors' data can be accessed internally through the CAN bus protocol or from the external using an OBD-II diagnostic port [28]. In case of an autonomous car, sensors' data are processed by programmable components, such as, Graphics Processing Units (GPUs) and Field Programmable Gate Arrays (FPGAs) [5], to improve the driver's experience.

In summary, the increasing of connected vehicles, in conjunction with the increase of complexity of vehicles software, may potentially facilitate vehicle intrusions.

In the last decade, the literature presents several examples of vehicle's attacks. In 2016, a vulnerability in the web browser of Tesla vehicles allowed an intruder to remotely send messages in the CAN bus [3]. For instance, researchers Ralf-Philipp Weinmann and Benedikt Schmotzle found a vulnerability in a software component of Tesla that allowed them to unlock the doors and trunk, change seat positions and change both steering and acceleration modes [32]. Also, using a privilege escalation exploit, it is possible to use the compromised vehicle to compromise surrounding vehicles.

The study of how to protect the CAN buses from invehicle vulnerabilities is extremely important. In fact, all the attacks in literature leverage the lack of confidentiality for data in transit on the intra-vehicle CAN bus network, which are, consequently, exposed to several threats. An intruder may exploit local or remote vulnerabilities of a car to gain some digital access to it, either locally or remotely. She may then modify the behaviour of a target vehicle by sending customized CAN frames that trigger a specific functionality on a receiving ECU.

In 2022 the EUROPOL has arrested 31 criminals that were selling a tool, marketed as a diagnostic tool, to replace the original software of the vehicle. The software replacing allowed the criminals to steal keyless cars from two French carmakers without using the original keys [6].

The standard ISO/IEC 27039:2015 [9] and the regulation number 155 of the UNECE (UNECE R155), delivered in 2021, of the United Nations [21] prescribed the use of Intrusion Detection and Prevention Systems (IDPS) to monitor the vehicles from intrusions. Under the IDPS umbrella, an Intrusion Detection System (IDS) merely reports an intrusion alert, while an Intrusion Prevention System (IPS) alerts and prevents the intrusions. In particular, vehicular context-aware IDSs use the semantic of the messages to detect intrusions.

In this article, we present CAHOOTv2, an improvement of CAHOOT [19], a context-aware IDS able to detect intrusions into a sequence of in-vehicle messages related to a driver's driving style. Indeed, CAHOOT is the first IDS based also on context information able to detect replay and DoS attack in addition to the spoofing attack.

Contextual information allows CAHOOTv2 to better detect intrusions. For example, if a driver accelerates and a sensor detects an obstacle in front of the vehicle, CAHOOTv2 classifies this behaviour as a possible intrusion. The environment context is digitally represented by the sensors' values.

#### 1.1 State of the art

In RAIDS [10] and [14] the IDS detects intrusions exploiting the images from the on-vehicle camera and the CAN messages. Each work uses two Convolutional Neural Networks trained to detect spoofing attacks.

Rajapaksha et al. [25] propose an IDS that uses Gated Recurrent Unit neural network trained only using benign data over CAN messages. A minimum probability threshold is estimated to detect the intrusion. Authors evaluated the work on several public available datasets.

Xue et al. [33] introduced an IPS that uses the vehicle dynamics to detect intrusions. In particular, authors define policies starting from the specifications of the target vehicle, in-vehicle messages and onboard sensors to detect intrusion that could affect the safety of the driver.

The detection of sequence context anomalies can be made following different approaches. Rieke et al. [27] used process mining. Levi et al. [15] and Narayanan et al. [20] proposed works that use hidden Markov models. Theissler et al. [30] used a One Class Support Vector Machine (OCSVM), while in the Kang et al. [12] work neural networks are used. Marchetti et al. [18] used detection of anomalous patterns in a transition matrix. Taylor et al. [29] and Kalutarage et al. [11] used frequency of appearance of a sequence of CAN messages.

Karopoulos et al. [13] propose a new vehicular IDS taxonomy where each IDS belongs to multiple categories. Also the authors provide a survey of the publicly released datasets, simulation tools and IDSs.

The survey of Grimm et al. [8] focuses on the benefits of the context-aware approach on several security fields and the related work. Al-Jarrah et al. [1] provide a survey of IDSs and categorizing them. The authors also note the importance of considering the semantics of data and context to detect anomalies.

Micale et al. [19] introduce CAHOOT, the contextaware IDS that detects intrusions either on AI and human drivings for several attacks types. The algorithm is tested using several machine learning algorithms in a dataset made by five humans on a simulator. Random Forest obtained the best results.

In the following, we describe the advantages of CA-HOOTv2 with respect to CAHOOT and the related work.

# 1.2 Contribution

The advantages of CAHOOTv2 with respect to CA-HOOT are:

- CAHOOTv2 is trained to detect two variants of spoofing attack.
- CAHOOTv2 improves intrusion detection accuracies with respect to CAHOOT. The Machine Learning algorithms present parameters that must be set before the training process starts and may influence the generated model. These parameters are called hyperparameters [34]. The process of searching the hyperparameters that improve the performance of the models is called hyperparameters tuning [34]. In CAHOOTv2, we design a paradigm that selects the best hyperparameters to use.
- To validate the performance of the algorithm, we also expanded the dataset collecting driving data from 39 humans.

Also, the advantages of CAHOOTv2 over related contextaware IDSs works are:

- CAHOOTv2 detects DoS and replay attacks in addition to spoofing attacks and variants.
- CAHOOTv2 detects intrusions that target steering, throttle and brake instead of only steering or steering and brake.
- CAHOOTv2 detects intrusions on both AI and human driving.

# 1.3 Article's Structure

The article is structured as follows: the next section presents the attackmodel. Section 3 describes the CA-HOOTv2 algorithm. Section 4 shows the results of our experiments. Section 5 concludes the paper with suggestions of future improvements of the algorithm.

# 2 Attack Model

As attack model we consider an internal intruder that can be deployed in: a) ECUs that control the steering wheel, engine and brake b) sensors. The attacker is able to forge and sniff messages and performs the following attacks:

- $DoS$  attack: the intruder is able to deny driver input by generating CAN frames in which payload values for steering, throttle and brakes are set to zero.
- Replay attack: the intruder is able to re-use valid CAN frames with a malicious or fraudulent aim.
- Spoofing attack: the intruder is able to generate a valid CAN frame. For example, the forged frame may generate a valid signal to activate an ECU functionality. We also consider two spoofing attack variants presented in [10]:
	- Additive attack: the intruder uses the current valid CAN frame payload and adds a random value in  $\pm [0.2, 0.9]$  to simulate an abrupt steering, acceleration or brake.
	- Selective attack: the intruder introduces a CAN frame that contradicts the driver's will. The intruder uses the current valid CAN frame payload and flips the sign if the payload absolute value is greater than 0.3 or adds a random value in  $\pm [0.5, 1].$

#### 3 CAHOOTv2 algorithm

The CAHOOTv2 algorithm is built on top of CAHOOT [19] and aims to detect more attacks and increases the accuracy on the older ones through the hyperparameters tuning.

CAHOOTv2 inherits from CAHOOT several characteristics:

- CAHOOT has the ability to detect intrusions while car is moving analyzing the semantic of CAN messages.
- The algorithm CAHOOT leverages machine learning (ML) techniques for the intrusion detection.
- The algorithm can also detect intrusions when the driver and the intruder generate the same CAN message value.
- The driver can be a human or an AI.
- CAHOOT detects three types of intrusions that target steering, throttle and brake.

In the following, we describe the paradigms that CAHOOTv2 and CAHOOT have in common, the pseudocodes of the new attacks and how we integrate them on the intruder's behaviour. Then, we explain the paradigm responsible for improving the accuracy. Note that in each pseudocode we detail the differences between CAHOOT and CAHOOTv2. Also, new CAHOOTv2's functions are described in detail.

#### 3.1 MetaDrive

CAHOOTv2 is evaluated using MetaDrive [16], a driving simulator written in Python capable of procedurally generating infinite driving scenarios. Also, the simulator provides a pre-trained AI.

We introduce and intruder into the MetaDrive simulation workflow (Fig. 1). The in vehicle communication are represented by a set of messages of two Python lists: the steering messages and the throttle/brake messages sent by both the intruder and the legit driver.

For each step of the intrusion workflow (Fig. 1):

- The legit driver sends driving inputs while an intruder forges fake ones. 14
- Messages are sent to the set of messages that are read by CAHOOTv2.
- The CAHOOTv2 algorithm distinguishes forged messages from the legit ones.
- The component responsible of the steering and the throttle/brake receives the steering wheel and the throttle/brake messages and runs them to the simulated vehicle.
- The simulator clears the set of messages to be able to fill it again in the next simulation step.

Keep note that in the detection phase CAHOOTv2 do not need both legit and forged messages. If the intruder does not forge messages, CAHOOTv2 receives only the legit messages and establishes their legitimacy.

# 3.2 Intruder's Behaviour

In CAHOOTv2, the intruder frequently changes the attacks randomly choosing among the five described in Section 2. The duration of attacks are randomly chosen in an arbitrary interval of steps duration.

Listing 1 and Listing 2 describe our model of the intruder's behaviour. In particular, Listing 1 shows the algorithm prepare attack that plans the duration of each vehicle intrusion, while Listing 2 depicts the algorithm launch attack.

#### Listing 1 Prepare Attack

<sup>1</sup> function prepare attack(steering, throttle brake, current attack, steering history, throttle brake history, index history, prev steering, prev throttle brake, stop attack time, min duration,  $max\_duration, slot\_time)$ 

- $should\_attack\_change \leftarrow stop\_attack\_time \leftarrow \leftarrow$ timestamp
- <sup>4</sup> if should attack change

3

7

 $\overline{9}$ 

11

5

7

12

 $5$  num slots  $\leftarrow$  Select an integer number between min duration and max duration  $stop\_attack_time \leftarrow Current timestamp +$ num\_slots ∗ slot\_time

 $current\_attack = None$ 

10 (steering  $f_{\text{orged}}, \text{th}$ rottle brake  $f_{\text{orged}}, \text{current\_attack},$ index history, prev steering, prev throttle brake  $) =$ launch\_attack(steering, throttle\_brake, current attack, steering history, throttle brake history, index history,  $prev\_steering$ ,  $prev\_throttle\_brake)$ 12 steering history  $\leftarrow$  Append steering to steering history 13 throttle\_brake\_history  $\leftarrow$  Append throttle\_brake to throttle brake history 15 return (steering  $f_{orged}$ , throttle\_brake  $f_{orged}$ ,

current attack, stop attack time, steering history , throttle brake history, index history,  $prev\_steering, prev\_throttle\_brake)$ 

The Listing 1 algorithm is the same of the prepare attack presented in CAHOOT except for line 10 where steering<sub>legit</sub> and throttle\_brake<sub>legit</sub> are sent to the function launch attack. These values may be used to perform an additive or selective attack.

#### Listing 2 Launch Attack

```
1 function launch_attack(steering_{leaf},
        throttle\_brake_{legit}, current_attack,
        steering history, throttle brake history,
        index_history, prev_steering, prev_throttle_brake)
      bootstrap \leftarrow False
      if current\_attack = Nonebootstrap \leftarrow Truecurrent\_attack \leftarrow Randomly select one from"DoS", "Spoofing", "Replay", "Additive",
               "Selective"
      if current\_attack = "DoS"(steering, throttle\_brake) \leftarrow dos\_attack()10 if current attack = "Spoofing"
11 (steering, throttle_brake) \leftarrowspoofing_attack(bootstrap, prev_steering,
               prev throttle brake)
13 prev_steering \leftarrow steering
14 prev_throttle_brake ← throttle_brake
15 if current attack = "Replay"
16 (steering, throttle_brake, index_history) \leftarrowreplay_attack(bootstrap, steering history,
               throttle brake history, index history)
17 if current_attack = "Additive"
```


Fig. 1 Simulation sequence workflow of the vehicle



The Listing 2 algorithm is in charge of maintaining active and in progress attack or decide which attack should be run. In CAHOOTv2, *launch\_attack* should randomly choose an attack between DoS, spoofing, replay, additive and selective (line 6). The additive and selective attacks need the *steering*<sub>legit</sub> and *throttle\_br* $ake_{\text{leaf}}$  and apply to them mathematical operations to generate forged steering and throttle brake (lines from 17 to 20).

#### 3.2.1 Instances Extraction Paradigm

CAHOOTv2 requires a training dataset that contains both legit and forged messages. We label them as follows: steering<sub>legit</sub>, steering<sub>forged</sub>, throttle\_brake<sub>legit</sub> and throttle\_brake<sub>forged</sub>, alongside with the sensors' values (Table 1).

The *instances\_extraction* paradigm extracts the instances of the dataset to generate the final dataset. The new dataset contains messages organized in pairs, each one is labelled as  $T$  when it contains only legit messages, otherwise it is labelled as  $F$  (Table 2). With the organization in pairs, CAHOOTv2 is able to detect intrusions when intruder sends the same message sent by the driver. Let us suppose that the driver is not turning the steering wheel, i.e., steering<sub>leait</sub> is equal to 0, while the intruder starts a DoS attack, i.e., *steeringforged* is equal to 0 (Table 1, row 3). The paradigm considers both the steering message forged by the intruder and

the driver as legit since they are equal. However, based on the  $throttle\_brake_{legit}$  and  $throttle\_brake_{forged}$  the paradigm raises an alert (Table 2, rows 9 and 10). In case both the driver and the intruder send the same pair messages (Table 1, row 4), the algorithm inserts in the dataset only an instance labelled with  $T$  (Table 2, row 11).

# 3.2.2 New Attacks

Additive and selective attacks add a random value to steering<sub>legit</sub> and throttle\_brake<sub>legit</sub>. The sum operation may lead to a value that is not valid. Function limit value (Listing 3) ensures that values greater than the *upper-bound* are changed in *upper-bound* (lines 5) and 6) and values lower than the *lower\_bound* are changed in lower bound (lines 7 and 8). In case the value is in [lower bound, upper bound], the function returns the value as it is (line 10). In MetaDrive, upper\_bound and lower bound are set to 1 and -1, respectively.

Listing 3 Limit value

$\overline{2}$ 3	1 function limit_value( $value$ ) upper_bound $\leftarrow$ maximum acceptable value $lower\_bound \leftarrow \text{minimum}$ acceptable value
$\overline{4}$	
5	$if value > upper_bound$ :
6	return upper_bound
$\overline{7}$	$if value < lower_bound$ .
8	return lower bound
9	
10	return value

The *additive\_attack* function sets the *steering* and throttle brake with random values (Listing 4). First, two values are randomly generated in  $\pm [0.2, 0.9]$  (lines 2 and 3). Then, these values are added to *steering*<sub>legit</sub> and throttle\_brake $_{leaft}$ . Next, steering and throttle\_brake are sent as input to the  $limit\_function$  (lines 8 and

time stamp	$steering_{leaft}$	$steering_{forced}$	$throttle\_brake_{legit}$	$throttle\_brake_{forced}$	$\cdots$
01/01/2022 12:00:00.000	0.695	0.403	0,020	$-0.001$	$\cdots$
$01/01/2022$ 12:00:00.100	0.045	0.494	$-0.042$	$-0.533$	$\cdots$
$01/01/2022$ 12:00:00.200	0.0	$0.0\,$	$-0.042$	0.0	$\cdots$
01/01/2022 12:00:00.300	$0.0\,$	0.0	0.0	0.0	$\cdots$

Table 1 Example of instances before run Instances Extraction Paradigm [19]

Table 2 Example of instances after run Instances Extraction Paradigm [19]

time stamp	steering	$throttle\_brake$		label
01/01/2022 12:00:00.000	0.695	0,020	.	T
01/01/2022 12:00:00.000	0.695	$-0.001$	.	F
01/01/2022 12:00:00.000	0,403	0,020	.	F
01/01/2022 12:00:00.000	0,403	$-0.001$	.	F
01/01/2022 12:00:00.100	0,045	$-0.042$		Т
01/01/2022 12:00:00.100	0.045	$-0.533$	.	F
01/01/2022 12:00:00.100	0,494	$-0.042$	.	F
01/01/2022 12:00:00.100	0.494	$-0.533$	.	F
01/01/2022 12:00:00.200	0.0	$-0,042$		T
01/01/2022 12:00:00.200	0.0	0.0	.	F
01/01/2022 12:00:00.300	0.0	0.0		Т

9). Finally, the function returns the limited steering and throttle brake values (line 11).



The *selective\_attack* function creates a *steering* and throttle brake pair based on the value of the legit ones (Listing 5). In case, steering<sub>legit</sub> is in  $\pm [0, 0.3]$ , a random value in  $\pm [0.5, 1]$  is added to *steering*<sub>legit</sub> (lines from 2 to 4). In case *steering*<sub>legit</sub> is not in  $\pm [0, 0.3]$ , the forged steering is the legit one with the sign flipped (lines 5 and 6). Similarly, the forged throttle brake is generated (lines from  $8$  to 12). Then, *limit\_value* is launched on steering and throttle brake (lines 14 and 15). Finally, the limited forged steering and throttle brake are returned (line 17).

Listing 5 Selective Attack

```
if steering<sub>legit</sub> in \pm [0, 0.3]random\_value \leftarrow \texttt{random value in } \pm [0.5, 1]{\it 4} \hspace{20pt} \textit{steering} \; \leftarrow \; \textit{steering}_{\textit{legit}} \; \texttt{+} \; \textit{random\_value}5 else
            steering \leftarrow -steering<sub>legit</sub>
        if throttle_brake<sub>legit</sub> in \pm [0, 0.3]random\_value \leftarrow \text{random value in } \pm [0.5, 1]throttle\_brake \leftarrow throttle\_brake_{legit} +random\_valueelse
            throttle\_brake \leftarrow -throttle\_brake_{legit}steering_{limited} \leftarrow limit_value(steering)
        \label{eq:1} \textit{throttle\_brake}_{limited} \gets \texttt{limit\_value}(\textit{throttle\_brake})return (steering_{limited}, throttle\_brake_{limited})
```
#### 3.3 Hyperparameters Tuning Paradigm

Listing 6 and Listing 7 describe how the model is trained using the best hyperparameters.

While the parameters of a model are learned from the dataset in the training phase through the machine learning technique, the hyperparameters should be set manually by the data scientist before starting the training phase. In most cases, the default hyperparameters present in the ML frameworks works well. However, the hyperparameters can be tuned to find a model that performs better [24]. In Random Forest, the ML algorithm used in CAHOOTv2, the hyperparameters types are about the structure of each tree present in the forest, the structure of the forest and the randomness.

<sup>1</sup> function selective\_attack( $steering_{leaf}$ ,  $throttle\_brake_{legit}$ 

The Model Generation paradigm in CAHOOTv2 differs with respect to the paradigm in CAHOOT starting from line 9: based on the gain ratio rankings, the worst features are removed (lines 9 and 10) from both the train and test sets. Then, the *hyperparameters\_tu*ning function is called (line 12). Next, a random forest classifier is initialized using the hyperparameters received (line 14). Finally, a random forest model is trained using the train dataset  $ins_b f_{train}$  which returns a trained model (line 16).

Listing 6 Model Generation

$\mathbf{1}$	function generate_model( $ins_{labelled}$ , $num\_iterations$ ,
	$cross\_validation, \,params\_dist_{random\_search})$
$\overline{2}$	$(ins_{train}, ins_{test}) \leftarrow$ split randomly the instances as
	training and testing sets from $ins_{labelled}$
3	$ins\_extracted_{train} \leftarrow$ generate_dataset $(ins_{train})$
$\overline{4}$	$ins\_extracted_{test} \leftarrow$ generate_dataset $ins_{test}$ )
5	
6	$ranking \leftarrow \text{GR}(instances)$
$\overline{7}$	$features_{>0} \leftarrow$ discard features with rank = 0 from
	ranking
8	
9	$ins_b f_{train} \leftarrow ins\_extracted_{train}$ with features
	$features_{>0}$
10	$ins\_bf_{test} \leftarrow ins\_extracted_{test}$ with features
	$features_{>0}$
11	
12	$params_{best} \leftarrow \text{hyperparameters} \text{.tuning}(ins\text{.}bf_{train},$
	$ins_b f_{test}$ , num_iterations, cross_validation, $params\_dist_{random\_search})$
13	
14	$rf \leftarrow$ initialize a Random Forest using $params_{best}$
15	
16	$model \leftarrow train rf$ using $ins_b f_{train}$
17	return model

Listing 7 depicts *hyperparameters\_tuning* paradigm. Because there are several possible combinations of hyperparameters, it is not feasible to try all the possible combinations to find the best one. In the first phase, the paradigm creates several random forests with random combinations of hyperparameters and searches a subset of the best hyperparameters (lines from 2 to 23). Then, it tries every combinations of hyperparameters present in the subset to find the hyperparameters with the best accuracy (lines from 25 to 34). Each combination is tested using the cross validation technique to ensure that the hyperparameters are valid for the entire dataset and not only for a specific test set. The random forests generated in the first phase are trained and tested using the training dataset. Instead, in the second phase the random forests are trained using train and test set. Although the first phase is performed on a limited number of hyperparameter combinations, this phase is computationally very onerous especially for large datasets. To speed up the computation, we apply the first phase only to the train set. There is only a minority of data in the test set, so discarding the test set has a limited impact on the search for the best hyperparameters.

In the following, we explain in detail the first and the second phase. In the inputs of *hyperparameters\_tuning* is present  $params\_dist_{random\_search}$ , a bi-dimensional array that contains for each type of hyperparameter a list of possible values that should be tried by the paradigm. First, the paradigm creates a list with the name of the hyperparameters that will be tested (line 2). Then, the array params  $accuracies_{random\_search}$  containing the pairs of hyperparameters chosen and the accuracy obtained by the random forest algorithm, is defined (line 4). The *num\_iterations* variable defines how many random combinations of hyperparameters are tested in the first phase.

A combination of hyperparameters is randomly generated (from line 6 to 9). For each type of hyperparameter present in *params\_dist<sub>random search*, an hyperpa-</sub> rameter is uniformly randomly chosen between all the possible values. Then, a random forest  $rf$  is initialized using the hyperparameters chosen. Next,  $rf$  is trained using the cross validation technique on the training dataset with the best features. The cross\_validation variable defines the number of folds. The average accuracy is registered, alongside the list of the hyperparameters, in params accuracy ["accuracy"] (lines 9 and 12). Then, the tuple is appended to the array of pairs hyper $parameters/accuracy\;params\_accuracies_{random\_search}$ (line 14).

Once the  $params\_accuracies_{random\_search}$  is populated, the paradigm looks for a subset of the best features. First, the array params\_dist<sub>exhaustive\_search</sub> that will contain the subset of the best hyperparameters is defined (line 16). Then, the paradigm selects the best hyperparameters of each type. For each hyperparameter type  $param_{name}$ , the accuracies present in  $params\_accuracies_{random\_search}$  are grouped by para $m_{name}$  to obtain the average accuracy of each group (line 18). Then, the third quartile [17] is calculated on the average accuracies of the groups (line 20). The hyperparameters that have an average accuracies greater or equal to the third quartile are inserted in params  $dist_{exhaustive\_search}$  (line 23). Hence, about 25 percent of the highest accuracies are selected for each type of hyperparameter.

Next, the train and test set are combined to obtain the entire dataset (line 25). The variables that will contain the best hyperparameters and the relative accuracy are defined (lines 27 and 28). Then, each possible combination of hyperparameters in *params\_dist*exhaustive search is tested using cross validation on the entire dataset (lines from 29 to 31). In case the accuracy obtained is greater than the value currently in accuracybest, the best hyperparameters and accuracy variables are updated (lines from 32 to 34). Finally, the paradigm returns the hyperparameters that obtained the best accuracy.

Listing 7 Hyperparameters Tuning Paradigm

```
1 function hyperparameters_tuning(ins_b f_{train},ins_b f_{test}, num iterations, cross validation,
         params\_dist_{random\_search})params_{name} \leftarrow get the names of parameters in
            params\_dist_{random\_search}3
4 params_accuraciesr_{random\_search} \leftarrow empty array
5 for num iterations:
6 params \leftarrow \text{Empty array}7 for each param_{name} in params_{name}:
8 params[param<sub>name</sub>] \leftarrow choose uniformly
                      random a hyperparameter in
                      params\_dist_{random\_search}[param_{name}]9 params_accuracy["params"] \leftarrow params
10
11 rf \leftarrow initialize a Random Forest with params
                  as hyperparameters
12 params_accuracy["accuracy"] \leftarrow train rf using
                 cross validation-fold cross validation
                 applied to ins_bftrain
13
14 params\_accuracies_{random\_search} \leftarrow append
                 params accuracy in
                 params_a ecuracies_{random\text{-}search}15
\begin{array}{l} \textit{16} \qquad \textit{params\_dist_{exhaustive\_search}} \leftarrow \texttt{empty array} \end{array}17 for each param_{name} in params_{name}:
18 grouped_accuracies \leftarrow group
                 params accuraciesrandom search by
                 param_{name} and calculate the average
                 accuracy of each group
19
20 third_quartile \leftarrow calculate the third quartile
                 on grouped accuracies["accuracy"]
21
22 params_accuracies_{best\_subset} \leftarrow get the
                 elements in grouped_accuracies on which
                 grouped\_accuracies['accuracy"] \geqthird quartile
23 params_dist<sub>exhaustive_search</sub> |param_{name}| \leftarrowparams\_accuracies_{best\_subset}["params"]
24
25 ins_bf \leftarrow ins_bf<sub>train</sub> \cup ins_bf<sub>test</sub>
26
27 params_{best} \leftarrow None28 accuracy_{best} \leftarrow 029 for each params combination in
            params\_dist_{exhaustive\_search}:30 \hspace{1cm} \hspace{1cm}as hyperparameters
31 accuracy \leftarrow train \, rf \, using \, cross-validation-fold cross validation applied to ins_b\text{if} \text{accuracy} > \text{accuracy}_{\text{best}}33 params_{best} \leftarrow paramsaccuracy_{best} \leftarrow accuracy
```
8 Davide Micale et al.

<sup>36</sup> return paramsbest

35

# 4 CAHOOTv2 Evaluation

Hereafter, we describe how we evaluate the CAHOOTv2 algorithm on a dataset we generated by the MetaDrive simulation workflow depicted in Section 3.1.

#### 4.1 Dataset

The dataset is generated using the driving simulator MetaDrive. Table 3 shows the features present in the dataset generated by the MetaDrive simulator.

We aim to obtain an IDS able to work with either humans and AI. Therefore, we decided to collect a dataset that contains data made by an AI and 39 humans. In particular, one human uses a keyboard while the remaining 38 use a Thrustmaster TMX [31]. Regarding the gender of the drivers, four drivers are females while the remaining 35 are males.

Figure 2 shows the ages grouped by the gender. Female drivers ages are between 19 and 27 in average 22,25 years old and median of 21,5 while male drivers ages are between 20 and 44 in average 24 years old and median of 22. Overall, the drivers' ages are between 19 and 44 with an average of 23,82 and median of 22.



Fig. 2 Boxplots of genders

AI driving data is collected using the pre-trained AI present in MetaDrive to maintain the consistency of the same simulated vehicle in use between the AI and human drivers.





#### 4.2 Machine Learning algorithms

The CAHOOTv2 paradigm is implemented by using python-weka-wrapper3 [26] for the feature selection algorithm GainRatio and scikit-learn [22] that efficiently implements Random Forest (RF) [2].

Models generated using Random Forest technique obtain good results. However, tuning the hyperparameters, RF is able to achieve the best results. In the first experiment, we run the *hyperparameters\_tuning* paradigm on CAHOOT algorithm with the dataset present in [19], hereafter called  $\alpha$ . This dataset contains data made by the MetaDrive's AI and 5 humans using a Thrustmaster TMX. In the second experiment, we run CAHOOT and CAHOOTv2 on the dataset presented in the previous section, hereafter called  $\beta$ .

#### 4.3 Experiments setup

To evaluate CAHOOTv2, we use several metrics, such as: Accuracy, Precision and Recall.

Accuracy represents how often the model is making a correct prediction.

$$
Accuracy = \frac{TP + TN}{TP + TN + FP + FN}
$$
 (1)

where:

- TP (True Positive) is the number of instances where at least one sensor's value is forged that are correctly predicted.
- TN (True Negative) is the number of instances where all the sensors' values are legit that are correctly predicted.
- FP (False Positive) is the number of instances where all the sensors' values are legit but incorrectly predicted.
- FN (False Negative) is the number of instances where at least one sensor's value is forged but incorrectly predicted.

Precision measures the ability of the classifier not to predict as forged an instance that is legit. It is calculated as follows:

$$
Precision = \frac{TP}{TP + FP}
$$
 (2)

Recall measures the ability of the classifier to find all forged instances. It is calculated as follows:

$$
Recall = \frac{TP}{TP + FN} \tag{3}
$$

The dataset is randomly splitted in a training set that contains 85% of instances and a test set that contains the remaining 15%.

The intruder sends forged steering and throttle brake messages while the driver is driving the simulated vehicle. Also, multiple attacks on each driving session are simulated through the setting of maximum and minimum duration of an attack respectively to 2 and 1 slots.

Table 4 shows the hyperparameters that we test in hyperparameters\_tuning paradigm. We use 100 as number of iterations in the first phase.

We aim to detect the instances that contain at least one sensor's value forged from the steering and the throttle brake.

#### 4.4 Evaluation of hyperparameters tuning

In the following, we compare the model that is trained by using the default hyperparameters with the one that

Hyperparameter	Description	<b>Values</b>
$num\_estimators$ $max_f$ <i>eatures</i>	The number of trees that make up the forest The number of features considered for the split	$[100, 200, 300, 400, 500, 600, 700, 800, 900, 1000]$ $\lceil$ " $\log 2$ ", "sqrt"]
$min\_samples\_split$	The minimum number of samples required to split an internal node	$[2, 7, 12, 18, 23, 28, 34, 39, 44, 50]$
$min\_samples\_leaf$	The minimum number of samples required to be $[1, 6, 11, 17, 22, 28, 33, 39, 44, 50]$ at a leaf node	
bootstrap	Whether to use the entire dataset to build each. tree or a bootstrap sample	true, false
<i>criterion</i>	The function used to measure the quality of a $\lceil$ "gini", "entropy" split	

Table 4 Hyperparameters tested in hyperparameters tuning paradigm

Table 5 Features selected by CAHOOT on  $\alpha$  (percentage of each rank with respect to the sum of the ranks of the features)



is trained using the best hyperparameters. The experiment is conducted on the same train and test set on dataset  $\alpha$ 

Table 5 contains the list of features selected for the two models. To better distinguish features rankings, each feature rank is shown as a percentage of the sum of all the ranks.

The steering and throttle brake messages are the most important features. The worse features are the distance from the right lane and the projection of velocity of the nearest vehicle in the y axis. The engine runtimes minutes and seconds are at the half of the table while the engine runtime milliseconds was discarded.

Table 6 shows that the search of hyperparameters increase the accuracy of 1,5%. The recall is 0,3% lower than the model trained with the best hyperparameters, but the precision is 0,9% higher, i.e., the false negative are slightly increased but false positive are decreased.

To better understand on which circumstances the customized hyperparameters best perform, we calculated the accuracy grouped by entity, i.e., human or the MetaDrive's AI is driving the car, and by type of attack, i.e., DoS, spoofing and replay. The model trained with custom hyperparameters is 1,2% more accurate with respect to the model trained with default hyperparameters on the MetaDrive's AI drivings. The attack that obtains the best accuracy increase is spoofing attack, i.e., 0,7%. On the other hand, the accuracy of replay attack increases only of 0,1%.

#### 4.5 Evaluation of CAHOOTv2

In the following experiment, we compare three models: a model trained using CAHOOTv2 paradigm, i.e., a model trained to detect DoS, spoofing, replay, additive and selective attacks using the best hyperparameters, a model trained using CAHOOTv2 with the default hyperparameters and a model trained using CAHOOT paradigm, i.e., a model trained to detect only DoS, spoofing and replay attacks using the default hyperparameters. The experiment is conducted on the dataset β.

Table 7 contains the list of features selected for the three models. Keep note that CAHOOTv2 uses the same features regardless the hyperparameters selected. The table show that CAHOOTv2 and CAHOOT discard only engine\_runtime\_millisecond. While in CA-HOOTv2 steering and throttle brake together represent the 55,35% of the entire feature set, in CAHOOT steering and throttle\_brake together represent the 82,62% of the entire feature set. Consequently, the remaining features are more important in CAHOOTv2. In all the models, the most important features are steering, throttle brake and speed. While in CAHOOTv2  $dist\_to\_left\_side$  and yaw\_rate are respectively the fourth and fiveth most important features, in CAHOOT they are only the ninth and the eighth most important features. In CAHOOT, the fourth and fiveth most

Accuracy	CAHOOT with best hyperparameters Precision	Recall	Accuracy	Precision	CAHOOT with default hyperparameters Recall
96%	96.9%	97.6%	95,5%	96.0%	97.9%
			Test only human drivers		
97.6%	98,2%	98.5%	97.2%	97.6%	98.6%
Test only MetaDrive's AI driver					
83.9%	88.1%	90.7%	82.7%	85.5%	92,5%
			Test only Replay attack		
93.5%	96,2%	94.8%	93,4%	95.3%	95,5%
Test only DoS attack					
96,8%	96.6%	98.8%	96,3%	95.8%	98.9%
Test only Spoofing attack					
97.4%	97.7%	98.9%	96.7%	96.6%	99.1%

Table 6 Accuracy, precision and recall comparison on  $\alpha$  of CAHOOT with default and best hyperparameters

important features are *energy\_consumption* and *last\_* $position_x$ .

In this case, Tables 8 and 9 show that CAHOOTv2 tuning the hyperparameters obtains the best accuracy, i.e., 0,3% of accuracy higher than the default hyperparameters and 8,2% of accuracy higher than CAHOOT. The model trained with the best hyperparameters increases the precision of 0,3% while maintaining equal the recall with respect to default hyperparameters.

We also calculated the accuracy grouped by entity, i.e., human or the MetaDrive's AI is driving the car, and by type of attack, i.e., DoS, spoofing, replay, additive and selective. Grouping allows us to better understand under what circumstances the model works best.

Considering tests only on humans, the model with the best hyperparameters obtains accuracy and precision scores greater than the ones obtained by the default hyperparameters and CAHOOT. Considering tests only on the MetaDrive's AI instances, the model with best hyperparameters has an accuracy slightly lower with respect to default hyperparameters, i.e., 0,1%, but the model is more balanced. The difference between precision and recall with the best hyperparameters is 3,5% while in the default hyperparameters is 5,5%.

Tables 8 and 9 show that that the model easily detects intrusions on instances where the human is driving the vehicle. On the other hand, it is more difficult detect intrusions on instances where the Metadrive's AI drives the vehicle. Humans tend to make gradually driving adjustments, whereas Metadrive's AI makes continuous and sudden changes. Graduality allows the model to detect more precisely an intrusion in progress for human drivings.

The replay attack is the most difficult to detect but CAHOOTv2 increases the accuracy up to 0,4% sacrificing some of the recall to increase the precision. The DoS attack is better identified by the model with the best hyperparameters, i.e., 0,3% more accuracy. However,

CAHOOT is 0,1% more accurate but precision and recall are more unbalanced with respect to the best hyperparameters. The spoofing attack is the easiest to detect. All three algorithms obtain really high results, in particular CAHOOTv2 with the best hyperparameters, i.e., up to 0,3% more accurate. The additive attack and selective attack are easy to detect for CAHOOTv2 regardless the hyperparameters. However, the best hyperparameters allow the accuracy to increase up to 0,4%. CAHOOT is able to detect these attacks but with lower scores with respect to CAHOOTv2.



Features	Rank percentage			
	CAHOOT <sub>v2</sub>	<b>CAHOOT</b>		
steering	31,83%	52,31\%		
throttle_brake	23,52%	30,31\%		
speed	$9.0\%$	3.91%		
$dist\_to\_left\_side$	4,93%	$0.4\%$		
$yaw_rate$	4,47%	1,16%		
$last\_position\_y$	3,92%	1,66%		
$last\_position\_x$	3,33%	1,95%		
$energy\_consumption$	3,27%	2,1%		
$dist\_to\_right\_side$	3,07%	1,89%		
$project\_distance/velocity\_to\_vehicle\_n_x/y$	from $1,24\%$ to $0,14\%$	from $0,39\%$ to $0,05\%$		
$engine\_runtime\_second$	$0,69\%$	$0,18\%$		
$engine\_runtime\_minute$	$0.56\%$	$0.17\%$		

Table 8 Accuracy, precision and recall comparison on  $\beta$  between CAHOOTv2 and CAHOOTv2 with default hyperparameters



# 5 Conclusions and future work

The high complexity of newer vehicles increases the attack surfaces on which a vulnerability could be present. An intrusion while the vehicle is in motion could endanger the lives of the driver and passengers.

In this article, we introduced CAHOOTv2 that improves the ability on intrusion detection of CAHOOT generating more balanced models thanks to the best hyperparameters used for the training phase. We also expanded the dataset with additional drivers to better validate the results.

Security solutions are strongly linked to safety, especially when considering the automotive domain. CA-HOOT and CAHOOTv2 are designed to be an IDS, so malicious event are just identified and no active reactions are implemented to avoid that they may impact the vehicle's safety. While driving a car, events may occur that require exceptional responses from the driver, e.g., a cat suddenly crossing the road forcing an abrupt stop. If not properly trained, an IDS may interpret these events as malicious. CAHOOT and CA-HOOTv2 are already trained to identify dangerous situations, e.g., one of the simulated cars performed sudden overtaking or congested traffic that forced the driver to make abrupt decisions.

In future, we will design an algorithm that is able to detect intrusions and also is able to identify drivers while preserving their privacy. Rather than endangering the lives of the driver and passengers in the vehicle, the intruder could be interested on introduce CAN messages to misleading the driver identification system

Table 9 Accuracy, precision and recall comparison of CA-HOOT on  $\beta$ 

Accuracy	Precision				
$91{,}7\%$	92,7\% 95,9\%				
Test only human drivers					
$91.8\%$	92,8%	96,0%			
Test only AI drivers					
$83.6\%$	$85.4\%$	$94,1\%$			
Test only Replay attack					
94,4%	95.9%	95,7%			
Test only DoS attack					
$96,6\%$	96,6%	98,0%			
Test only Spoofing attack					
$99,3\%$	$99,1\%$	99,9%			
Test only Additive attack					
$83.5\%$	$87,1\%$ $91,3\%$				
Test only Selective attack					
$86,7\%$	87,9%	95,4%			

present in the vehicle to impersonate an authorized driver. To prevent this, the intrusion detection component of the algorithm may identify the forged messages and prevent them to reach the driver identification component.

#### 5.0.1 Data Availability Statements

The data that support this research activity have been collected in a compliant way with respect to etichal and privacy regulation. Data can be made disclosed after the participant consent.

## 5.1 Declarations

# 5.1.1 Compliance with Ethical Standards

The authors declare that they have no conflict of interest.

#### 5.1.2 Competing Interests

The authors declare that they have no known competing financial interest or personal relationships that could have appeared to influence the work reported in this paper.

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