



# Deriving pedotransfer functions for soil quartz fraction in southern France from reverse modeling

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**Abstract.** The quartz fraction in soils is a key parameter of soil thermal conductivity models. Because it is difficult to measure the quartz fraction in soils, this information is usually unavailable. This source of uncertainty impacts the simulation of sensible heat flux, evapotranspiration and land surface temperature in numerical simulations of the Earth system. Improving the estimation of soil quartz fraction is needed for practical applications in meteorology, hydrology and climate modeling. This paper investigates the use of long time series of routine ground observations made in weather stations to retrieve the soil quartz fraction. Profile soil temperature and water content were monitored at 21 weather stations in southern France. Soil thermal diffusivity was derived from the temperature profiles. Using observations of bulk density, soil texture, and fractions of gravel and soil organic matter, soil heat capacity and thermal conductivity were estimated. The quartz fraction was inversely estimated using an empirical geometric mean thermal conductivity model. Several pedotransfer functions for estimating quartz content from gravimetric or volumetric fractions of soil particles (e.g., sand) were analyzed. The soil volumetric fraction of quartz ( $f_q$ ) was systematically better correlated with soil characteristics than the gravimetric fraction of quartz. More than 60 % of the variance of  $f_q$  could be explained using indicators based on the sand fraction. It was shown that soil organic matter and/or gravels may have a marked impact on thermal conductivity values depending on which predictor of  $f_q$  is used. For the grassland soils examined in this study, the ratio of sand-to-soil organic matter fractions was the best predictor of  $f_q$ , followed by the gravimetric fraction of sand. An error propagation analysis and a comparison with independent data from other tested models showed that the gravimetric fraction of sand is the best predictor of  $f_q$  when a larger variety of soil types is considered.

## 1 Introduction

Soil moisture is the main driver of temporal changes in values of the soil thermal conductivity (Sourbeer and Loheide II, 2015). The latter is a key variable in land surface models (LSMs) used in hydrometeorology or in climate models for the simulation of the vertical profile of soil temperature in relation to soil moisture (Subin et al., 2013). Shortcomings in soil thermal conductivity models tend to limit the impact of improving the simulation of soil moisture and snowpack in LSMs (Lawrence and Slater, 2008; Decharme et al., 2016). Models of the thermal conductivity of soils are affected by uncertainties, especially in the representation of the impact of soil properties such as the volumetric fraction of quartz

( $f_q$ ), soil organic matter and gravels (Farouki, 1986; Chen et al., 2012). As soil organic matter (SOM) and gravels are often neglected in LSMs, the soil thermal conductivity models used in most LSMs represent the mineral fine earth, only. Nowadays,  $f_q$  estimates are not given in global digital soil maps, and it is often assumed that this quantity is equal to the fraction of sand (Peters-Lidard et al., 1998).

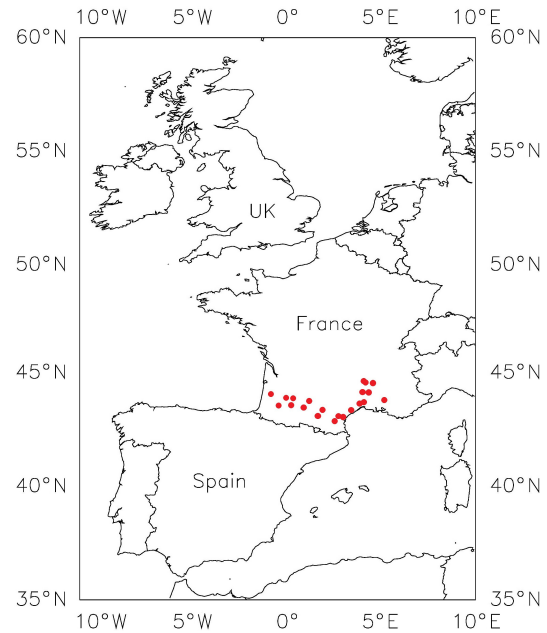
Soil thermal properties are characterized by two key variables: the soil volumetric heat capacity ( $C_h$ ) and the soil thermal conductivity ( $\lambda$ ), in  $\text{J m}^{-3} \text{K}^{-1}$  and  $\text{W m}^{-1} \text{K}^{-1}$ , respectively. Provided the volumetric fractions of moisture, minerals and organic matter are known,  $C_h$  can be calculated easily. The estimation of  $\lambda$  relies on empirical models and is affected

by uncertainties (Peters-Lidard et al., 1998; Tarnawski et al., 2012). The construction and the verification of the  $\lambda$  models is not easy. The  $\lambda$  values of undisturbed soils are difficult to observe directly. They are often measured in the lab on perturbed soil samples (Abu-Hamdeh and Reeder, 2000; Lu et al., 2007). Although recent advances in line-source probe and heat pulse methods have made it easier to monitor soil thermal conductivity in the field (Bristow et al., 1994; Zhang et al., 2014), such measurements are currently not made in operational meteorological networks. Moreover, for given soil moisture conditions,  $\lambda$  depends to a large extent on the fraction of soil minerals presenting high thermal conductivities such as quartz, hematite, dolomite or pyrite (Côté and Konrad, 2005). In midlatitude regions of the world, quartz is the main driver of  $\lambda$ . The information on quartz fraction in a soil is usually unavailable as it can only be measured using X-ray diffraction (XRD) or X-ray fluorescence (XRF) techniques. These techniques are difficult to implement because the sensitivity to quartz is low. In practise, using XRD and XRF together is necessary to improve the accuracy of the measurements (Schönenberger et al., 2012). This lack of observations has a major effect on the accuracy of thermal conductivity models and their applications (Bristow, 1998).

Most of the land surface models (LSMs) currently used in meteorology and hydrometeorology simulate  $\lambda$  following the approach proposed by Peters-Lidard et al. (1998). This approach consists of an updated version of the Johansen (1975) model and assumes that the gravimetric fraction of quartz ( $Q$ ) is equal to the gravimetric fraction of sand within mineral fine earth. This is a strong assumption, as some sandy soils (e.g., calcareous sands) may contain little quartz and as quartz may be found in the silt and clay fractions of the soil minerals (Schönenberger et al., 2012). Moreover, the  $\lambda$  models used in most LSMs represent only the mineral fine earth. Yang et al. (2005) and Chen et al. (2012) have shown the importance of accounting for SOM and gravels in  $\lambda$  models for organic top soil layers of grasslands of the Tibetan plateau.

The main goals of this study are to (1) assess the feasibility of using routine automatic soil temperature profile sub-hourly measurements (one observation every 12 min) to retrieve instantaneous soil thermal diffusivity values at a depth of 0.10 m; (2) retrieve instantaneous  $\lambda$  values from the soil thermal diffusivity estimates, accounting for the impact of soil vertical heterogeneities; (3) obtain, from reverse modeling, the quartz fraction together with soil thermal conductivity at saturation ( $\lambda_{\text{sat}}$ ); (4) assess the impact of gravels and SOM on  $\lambda_{\text{sat}}$ ; (5) derive pedotransfer functions for the soil quartz fraction.

For this purpose, we use the data from 21 weather stations of the Soil Moisture Observing System – Meteorological Automatic Network Integrated Application (SMOSMANIA) network (Calvet et al., 2007) in southern France. The soil temperature and the soil moisture probes are buried in the enclosure around each weather station. Most of these stations are located in agricultural areas. However, the vegetation



**Figure 1.** Location of the 21 SMOSMANIA stations in southern France (see station names in Supplement 1).

cover in the enclosure around the stations consists of grass. Along the Atlantic–Mediterranean transect formed by the SMOSMANIA network (Fig. 1), the grassland cover fraction ranges between 10 and 40 % (Zakharova et al., 2012). Various mineral soil types can be found along this transect, ranging from sand to clay and silt loam (see Supplement 1). During the installation of the probes, we collected soil samples which were used to determine soil characteristics: soil texture, soil gravel content, soil organic matter and bulk density.

Using this information together with soil moisture,  $\lambda$  values are derived from soil thermal diffusivity and heat capacity. The response of  $\lambda$  to soil moisture is investigated. The feasibility of modeling the  $\lambda$  value at saturation ( $\lambda_{\text{sat}}$ ) with or without using SOM and gravel fraction observations is assessed using a geometric mean empirical thermal conductivity model based on Lu et al. (2007). The volumetric fraction of quartz,  $f_q$ , is retrieved by reverse modeling together with  $Q$ . Pedotransfer functions are further proposed for estimating quartz content from soil texture information.

The field data and the method to retrieve  $\lambda$  values are presented in Sect. 2. The  $\lambda$  and  $f_q$  retrievals are presented in Sect. 3 together with a sensitivity analysis of  $\lambda_{\text{sat}}$  to SOM and gravel fractions. Finally, the results are discussed in Sect. 4, and the main conclusions are summarized in Sect. 5. Technical details are given in Supplement.

## 2 Data and methods

### 2.1 The SMOSMANIA data

The SMOSMANIA network was developed by Calvet et al. (2007) in southern France. The main purposes of SMOSMANIA are to (1) validate satellite-derived soil moisture products (Parrens et al., 2012); (2) assess land surface models used in hydrological models (Draper et al., 2011) and in meteorological models (Albergel et al., 2010); and (3) monitor the impact of climate change on water resources and droughts (Laanaia et al., 2016). The station network forms a transect between the Atlantic coast and the Mediterranean sea (Fig. 1). It consists of preexisting automatic weather stations operated by Météo-France, upgraded with four soil moisture probes at four depths: 0.05, 0.10, 0.20 and 0.30 m. Twelve SMOSMANIA stations were activated in 2006 in southwestern France. In 2008, nine more stations were installed along the Mediterranean coast, and the whole network (21 stations) was gradually equipped with temperature sensors at the same depths as soil moisture probes. The soil moisture and soil temperature probes consisted of ThetaProbe ML2X and PT100 sensors, respectively. Soil moisture and soil temperature observations were made every 12 min at four depths. The soil temperature observations were recorded with a resolution of 0.1 °C.

In this study, the sub-hourly measurements of soil temperature and soil moisture at a depth of 0.10 m were used, together with soil temperature measurements at 0.05 and 0.20 m from 1 January 2008 to 30 September 2015.

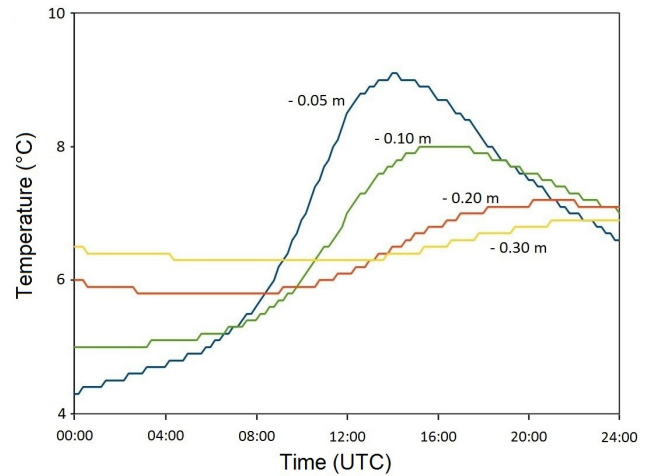
The ThetaProbe soil moisture sensors provide a voltage signal (V). In order to convert the voltage signal into volumetric soil moisture content ( $\text{m}^3 \text{m}^{-3}$ ), site-specific calibration curves were developed using in situ gravimetric soil samples for all stations and for all depths (Albergel et al., 2008). We revised the calibration in order to avoid spurious high soil moisture values during intense precipitation events. Logistics curves were used (see Supplement 1) instead of exponential curves in the previous version of the data set.

The observations from the soil moisture (48) and from the temperature (48) probes are automatically recorded every 12 min. The data are available to the research community through the International Soil Moisture Network web site (<https://ismn.geo.tuwien.ac.at/>).

Figure 2 shows soil temperature time series in wet conditions at various soil depths for a station presenting an intermediate value of  $\lambda_{\text{sat}}$  (Table 2) and of soil texture (see Fig. S1.1 in Supplement 1). The impact of recording temperature with a resolution of 0.1 °C is clearly visible at all depths as this causes a leveling of the curves.

### 2.2 Soil characteristics

In general, the stations are located on formerly cultivated fields and the soil in the enclosure around the stations is cov-



**Figure 2.** Soil temperature measured in wet conditions at the St-Félix-Lauragais (SFL) station on 23 February 2015 at depths of 0.05, 0.10, 0.20 and 0.30 m. Leveling is due to the low resolution of the temperature records (0.1 °C).

ered with grass. Soil properties were measured at each station by an independent laboratory we contracted (INRA-Arras) from soil samples we collected during the installation of the probes. The 21 stations cover a very large range of soil texture characteristics. For example, SBR is located on a sandy soil, PRD on a clay loam, and MNT on a silt loam (Table 1 and Supplement 1). Other properties such as the gravimetric fraction of SOM and of gravels were determined from the soil samples. Table 1 shows that 12 soils present a volumetric gravel content ( $f_{\text{gravel}}$ ) larger than 15 %. Among these, three soils (at PRD, BRN and MJN) have  $f_{\text{gravel}}$  values larger than 30 %.

In addition, we measured bulk density ( $\rho_d$ ) using undisturbed oven-dried soil samples we collected using metal cylinders of known volume (about  $7 \times 10^{-4} \text{m}^3$ ; see Fig. S1.10 in the Supplement).

The porosity values at a depth of 0.10 m are listed in Table 1 together with gravimetric and volumetric fractions of soil particle-size ranges (sand, clay, silt, gravel) and SOM. The porosity, or soil volumetric moisture at saturation ( $\theta_{\text{sat}}$ ), is derived from the bulk dry density  $\rho_d$ , with soil texture and soil organic matter observations as

$$\theta_{\text{sat}} = 1 - \rho_d \left[ \frac{m_{\text{sand}} + m_{\text{clay}} + m_{\text{silt}} + m_{\text{gravel}}}{\rho_{\text{min}}} + \frac{m_{\text{SOM}}}{\rho_{\text{SOM}}} \right]$$

or

$$\theta_{\text{sat}} = 1 - f_{\text{sand}} - f_{\text{clay}} - f_{\text{silt}} - f_{\text{gravel}} - f_{\text{SOM}}, \quad (1)$$

where  $m_x$  ( $f_x$ ) represents the gravimetric (volumetric) fraction of the soil component  $x$ . The  $f_x$  values are derived from the measured gravimetric fractions, multiplied by the ratio of  $\rho_d$  observations to  $\rho_x$ , the density of each soil component  $x$ . Values of  $\rho_{\text{SOM}} = 1300 \text{kg m}^{-3}$  and  $\rho_{\text{min}} = 2660 \text{kg m}^{-3}$  are used for soil organic matter and soil minerals, respectively.

**Table 1.** Soil characteristics at 10 cm for the 21 stations of the SMOSMANIA network. Porosity values are derived from Eq. (1). Solid fraction values higher than 0.3 are in bold. The stations are listed from west to east (from top to bottom).  $\rho_d$ ,  $\theta_{\text{sat}}$ ,  $f$  and  $m$  stand for soil bulk density, porosity, volumetric fractions and gravimetric fractions, respectively. Soil particle fractions larger than 0.3 are in bold. Full station names are given in Supplement 1 (Table S1.1).

Station	$\rho_d$ ( $\text{kg m}^{-3}$ )	$\theta_{\text{sat}}$ ( $\text{m}^3 \text{m}^{-3}$ )	$f_{\text{sand}}$ ( $\text{m}^3 \text{m}^{-3}$ )	$f_{\text{clay}}$ ( $\text{m}^3 \text{m}^{-3}$ )	$f_{\text{silt}}$ ( $\text{m}^3 \text{m}^{-3}$ )	$f_{\text{gravel}}$ ( $\text{m}^3 \text{m}^{-3}$ )	$f_{\text{SOM}}$ ( $\text{m}^3 \text{m}^{-3}$ )	$m_{\text{sand}}$ ( $\text{kg kg}^{-1}$ )	$m_{\text{clay}}$ ( $\text{kg kg}^{-1}$ )	$m_{\text{silt}}$ ( $\text{kg kg}^{-1}$ )	$m_{\text{gravel}}$ ( $\text{kg kg}^{-1}$ )	$m_{\text{SOM}}$ ( $\text{kg kg}^{-1}$ )
SBR	1680	0.352	<b>0.576</b>	0.026	0.013	0.002	0.032	<b>0.911</b>	0.041	0.020	0.003	0.024
URG	1365	0.474	0.076	0.078	<b>0.341</b>	0.005	0.025	0.149	0.153	<b>0.665</b>	0.009	0.024
CRD	1435	0.438	<b>0.457</b>	0.027	0.033	0.000	0.045	<b>0.848</b>	0.051	0.060	0.000	0.041
PRG	1476	0.431	0.051	0.138	0.138	0.214	0.028	0.092	0.250	0.248	<b>0.385</b>	0.025
CDM	1522	0.413	0.073	0.241	0.231	0.012	0.030	0.128	<b>0.422</b>	<b>0.404</b>	0.020	0.026
LHS	1500	0.416	0.102	0.202	0.189	0.051	0.039	0.181	<b>0.359</b>	<b>0.335</b>	0.091	0.034
SVN	1453	0.445	0.127	0.073	0.176	0.162	0.017	0.233	0.133	<b>0.322</b>	0.296	0.015
MNT	1444	0.447	0.135	0.066	0.230	0.102	0.020	0.248	0.121	<b>0.424</b>	0.188	0.018
SFL	1533	0.413	0.127	0.071	0.118	0.250	0.021	0.221	0.123	0.205	<b>0.434</b>	0.018
MTM	1540	0.405	0.110	0.081	0.076	0.297	0.032	0.189	0.140	0.131	<b>0.512</b>	0.027
LZC	1498	0.429	0.129	0.066	0.068	0.292	0.015	0.229	0.117	0.121	<b>0.519</b>	0.013
NBN	1545	0.401	0.063	0.135	0.075	0.290	0.035	0.109	0.232	0.130	<b>0.499</b>	0.030
PZN	1311	0.495	0.222	0.074	0.131	0.054	0.023	<b>0.450</b>	0.151	0.266	0.111	0.023
PRD	1317	0.494	0.038	0.052	0.069	<b>0.326</b>	0.021	0.076	0.105	0.139	<b>0.659</b>	0.021
LGC	1496	0.428	0.253	0.044	0.042	0.214	0.019	<b>0.451</b>	0.078	0.074	<b>0.380</b>	0.017
MZN	1104	0.560	0.212	0.037	0.045	0.097	0.049	<b>0.510</b>	0.089	0.109	0.234	0.057
VLV	1274	0.506	0.294	0.054	0.086	0.031	0.029	<b>0.614</b>	0.112	0.179	0.064	0.030
BRN	1630	0.379	0.105	0.009	0.016	<b>0.474</b>	0.016	0.171	0.015	0.027	<b>0.774</b>	0.013
MJN	1276	0.506	0.064	0.029	0.056	<b>0.317</b>	0.028	0.133	0.060	0.118	<b>0.661</b>	0.029
BRZ	1280	0.508	0.097	0.074	0.109	0.190	0.020	0.202	0.154	0.228	<b>0.396</b>	0.021
CBR	1310	0.501	0.120	0.057	0.068	0.241	0.013	0.243	0.116	0.139	<b>0.489</b>	0.013

### 2.3 Retrieval of soil thermal diffusivity

The soil thermal diffusivity ( $D_h$ ) is expressed in  $\text{m}^2 \text{s}^{-1}$  and is defined as

$$D_h = \frac{\lambda}{C_h}. \quad (2)$$

We used a numerical method to retrieve instantaneous values of  $D_h$  at a depth of 0.10 m using three soil temperature observations at 0.05 m, 0.10 and 0.20 m, performed every 12 min, by solving the Fourier thermal diffusion equation. The latter can be written as

$$C_h \frac{\partial T}{\partial t} = \frac{\partial}{\partial z} \left( \lambda \frac{\partial T}{\partial z} \right). \quad (3)$$

Given that soil properties are relatively homogeneous in the vertical (Sect. 2.1), values of  $D_h$  can be derived from the Fourier one-dimensional law:

$$\frac{\partial T}{\partial t} = D_h \frac{\partial^2 T}{\partial z^2}. \quad (4)$$

However, large differences in soil bulk density, from the top soil layer to deeper soil layers, were observed for some soils (see Supplement 1). In order to limit this effect as much as possible, we only used the soil temperature data presenting a relatively low vertical gradient close to the soil surface, where most differences with deeper layers are found. This data sorting procedure is described in Supplement 2.

Given that three soil temperatures  $T_i$  ( $i$  ranging from 1 to 3) are measured at depths  $z_1 = -0.05$  m,  $z_2 = -0.10$  m and

$z_3 = -0.20$  m, the soil diffusivity  $D_{hi}$  at  $z_i = z_2 = -0.10$  m can be obtained by solving the one-dimensional heat equation, using a finite-difference method based on the implicit Crank–Nicolson scheme (Crank and Nicolson, 1996). When three soil depths are considered ( $z_{i-1}$ ,  $z_i$ ,  $z_{i+1}$ ), the change in soil temperature  $T_i$  at depth  $z_i$ , from time  $t_{n-1}$  to time  $t_n$ , within the time interval  $\Delta t = t_n - t_{n-1}$ , can be written as

$$\begin{aligned} \frac{T_i^n - T_i^{n-1}}{\Delta t} &= D_{hi} \left[ \frac{1}{2} \left( \frac{\gamma_{i+1}^n - \gamma_i^n}{\Delta z_m} \right) \right. \\ &\quad \left. + \frac{1}{2} \left( \frac{\gamma_{i+1}^{n-1} - \gamma_i^{n-1}}{\Delta z_m} \right) \right], \text{ with} \\ \gamma_i^n &= \frac{T_i^n - T_{i-1}^n}{\Delta z_i}, \quad \Delta z_m = \frac{\Delta z_i + \Delta z_{i+1}}{2} \\ &\text{and } \Delta z_i = z_i - z_{i-1}. \end{aligned} \quad (5)$$

In this study,  $\Delta z_i = -0.05$  m,  $\Delta z_{i+1} = -0.10$  m and a value of  $\Delta t = 2880$  s (48 min) are used.

It is important to ensure that  $D_h$  retrievals are related to diffusion processes only and not to the transport of heat by water infiltration or evaporation (Parlange et al., 1998; Schelde et al., 1998). Therefore, only situations for which changes in soil moisture at all depths do not exceed  $0.001 \text{ m}^3 \text{m}^{-3}$  within the  $\Delta t$  time interval are considered.

### 2.4 From soil diffusivity to soil thermal conductivity

The observed soil properties and volumetric soil moisture are used to calculate the soil volumetric heat capacity  $C_h$  at a

depth of 0.10 m, using the de Vries (1963) mixing model. The  $C_h$  values, in units of  $\text{J m}^{-3} \text{K}^{-1}$ , are calculated as

$$C_h = \theta C_{h\text{water}} + f_{\text{min}} C_{h\text{min}} + f_{\text{SOM}} C_{h\text{SOM}}, \quad (6)$$

where  $\theta$  and  $f_{\text{min}}$  represent the volumetric soil moisture and the volumetric fraction of soil minerals, respectively. Values of  $4.2 \times 10^6$ ,  $2.0 \times 10^6$  and  $2.5 \times 10^6 \text{ J m}^{-3} \text{K}^{-1}$  are used for  $C_{h\text{water}}$ ,  $C_{h\text{min}}$  and  $C_{h\text{SOM}}$ , respectively.

The  $\lambda$  values at 0.10 m are then derived from the  $D_h$  and  $C_h$  estimates (Eq. 2).

## 2.5 Soil thermal conductivity model

Various approaches can be used to simulate thermal conductivity of unsaturated soils (Dong et al., 2015). We used an empirical approach based on thermal conductivity values in dry conditions and at saturation.

In dry conditions, soils present low thermal conductivity values ( $\lambda_{\text{dry}}$ ). Experimental evidence shows that  $\lambda_{\text{dry}}$  is negatively correlated with porosity. For example, Lu et al. (2007) give

$$\lambda_{\text{dry}} = 0.51 - 0.56 \times \theta_{\text{sat}} \quad (\text{in } \text{W m}^{-1} \text{K}^{-1}). \quad (7)$$

When soil pores are gradually filled with water,  $\lambda$  tends to increase towards a maximum value at saturation ( $\lambda_{\text{sat}}$ ). Between dry and saturation conditions,  $\lambda$  is expressed as

$$\lambda = \lambda_{\text{dry}} + Ke (\lambda_{\text{sat}} - \lambda_{\text{dry}}), \quad (8)$$

where  $Ke$  is the Kersten number (Kersten, 1949). The latter is related to the volumetric soil moisture,  $\theta$ , i.e., to the degree of saturation ( $S_d$ ). We used the formula recommended by Lu et al. (2007):

$$Ke = \exp \left\{ \alpha \left( 1 - S_d^{(\alpha-1.33)} \right) \right\},$$

with  $\alpha = 0.96$  for  $\text{Mn}_{\text{sand}} \geq 0.4 \text{ kg kg}^{-1}$ ,  $\alpha = 0.27$  for  $\text{Mn}_{\text{sand}} < 0.4 \text{ kg kg}^{-1}$  and

$$S_d = \theta / \theta_{\text{sat}}. \quad (9)$$

$\text{Mn}_{\text{sand}}$  represents the sand mass fraction of mineral fine earth (values are given in Supplement 1).

The geometric mean equation for  $\lambda_{\text{sat}}$  proposed by Johansen (1975) for the mineral components of the soil can be generalized to include the SOM thermal conductivity (Chen et al., 2012) as

$$\ln(\lambda_{\text{sat}}) = f_q \ln(\lambda_q) + f_{\text{other}} \ln(\lambda_{\text{other}}) + \theta_{\text{sat}} \ln(\lambda_{\text{water}}) + f_{\text{SOM}} \ln(\lambda_{\text{SOM}}), \quad (10)$$

where  $f_q$  is the volumetric fraction of quartz, and  $\lambda_q = 7.7 \text{ W m}^{-1} \text{K}^{-1}$ ,  $\lambda_{\text{water}} = 0.594 \text{ W m}^{-1} \text{K}^{-1}$  and  $\lambda_{\text{SOM}} = 0.25 \text{ W m}^{-1} \text{K}^{-1}$  are the thermal conductivities of quartz, water and SOM, respectively. The  $\lambda_{\text{other}}$

term corresponds to the thermal conductivity of soil minerals other than quartz. Following Peters-Lidard et al. (1998),  $\lambda_{\text{other}}$  is taken as  $2.0 \text{ W m}^{-1} \text{K}^{-1}$  for soils with  $\text{Mn}_{\text{sand}} > 0.2 \text{ kg kg}^{-1}$  and as  $3.0 \text{ W m}^{-1} \text{K}^{-1}$  otherwise. In this study,  $\text{Mn}_{\text{sand}} > 0.2 \text{ kg kg}^{-1}$  for all soils, except for URG, PRG and CDM. The volumetric fraction of soil minerals other than quartz is defined as

$$f_{\text{other}} = 1 - f_q - \theta_{\text{sat}} - f_{\text{SOM}}, \quad \text{with} \\ f_q = Q \times (1 - \theta_{\text{sat}}). \quad (11)$$

## 2.6 Reverse modeling

The  $\lambda_{\text{sat}}$  values are retrieved through reverse modeling using the  $\lambda$  model described above (Eqs. 7–11). This model is used to produce simulations of  $\lambda$  at the same soil moisture conditions as those encountered for the  $\lambda$  values derived from observations in Sect. 2.4. For a given station, a set of 401 simulations is produced for  $\lambda_{\text{sat}}$  ranging from 0 to  $4 \text{ W m}^{-1} \text{K}^{-1}$ , with a resolution of  $0.01 \text{ W m}^{-1} \text{K}^{-1}$ . The  $\lambda_{\text{sat}}$  retrieval corresponds to the  $\lambda$  simulation presenting the lowest root mean square difference (RMSD) value with respect to the  $\lambda$  observations. Only  $\lambda$  observations for  $S_d$  values higher than 0.4 are used because in dry conditions: (1) conduction is not the only mechanism for heat exchange in soils, as the convective water vapor flux may become significant (Schelde et al., 1998; Parlange et al., 1998); (2) the  $Ke$  functions found in the literature display more variability; and (3) the  $\lambda_{\text{sat}}$  retrievals are more sensitive to uncertainties in  $\lambda$  observations. The threshold value of  $S_d = 0.4$  results from a compromise between the need of limiting the influence of convection, of the shape of the  $Ke$  function on the retrieved values of  $\lambda_{\text{sat}}$ , and of using as many observations as possible in the retrieval process. Moreover, the data filtering technique to limit the impact of soil heterogeneities, described in Supplement 2, is used to select valid  $\lambda$  observations.

Finally, the  $f_q$  value is derived from the retrieved  $\lambda_{\text{sat}}$  solving Eq. (10).

## 2.7 Scores

Pedotransfer functions for quartz and  $\lambda_{\text{sat}}$  are evaluated using the following scores:

- the Pearson correlation coefficient ( $r$ ) and the squared correlation coefficient ( $r^2$ ) are used to assess the fraction of explained variance
- the RMSD
- the mean absolute error (MAE), i.e., the mean of absolute differences
- the mean bias, i.e., the mean of differences.

**Table 2.** Thermal properties of 14 grassland soils in southern France:  $\lambda_{\text{sat}}$ ,  $f_{\text{q}}$  and  $Q$  retrievals using the  $\lambda$  model (Eqs. 7–9 and 10) for degree of saturation values higher than 0.4, together with the minimized RMSD between the simulated and observed  $\lambda$  values and the number of used  $\lambda$  observations ( $n$ ). The soils are sorted from the largest to the smallest ratio of  $m_{\text{sand}}$  to  $m_{\text{SOM}}$ . Full station names are given in Supplement 1 (Table S1.1).

Station	$\lambda_{\text{sat}}$ (W m <sup>-1</sup> K <sup>-1</sup> )	RMSD (W m <sup>-1</sup> K <sup>-1</sup> )	$n$	$f_{\text{q}}$ (m <sup>3</sup> m <sup>-3</sup> )	$Q$ (kg kg <sup>-1</sup> )	$\frac{m_{\text{sand}}}{m_{\text{SOM}}}$
SBR	2.80	0.255	6	0.62	0.96	37.2
LGC	2.07	0.311	20	0.44	0.77	26.6
CBR	1.92	0.156	20	0.44	0.88	18.4
LZC	1.71	0.107	20	0.29	0.51	17.3
SVN	1.78	0.163	20	0.34	0.61	15.4
MNT	1.96	0.058	20	0.42	0.76	13.8
BRN	1.71	0.131	20	0.25	0.40	13.5
SFL	1.57	0.134	20	0.22	0.37	12.5
MTM	1.52	0.095	20	0.21	0.35	7.0
URG	1.37	0.066	20	0.05	0.10	6.2
LHS	1.57	0.136	20	0.26	0.45	5.3
CDM	1.82	0.086	20	0.26	0.44	5.0
PRG	1.65	0.086	20	0.18	0.32	3.7
PRD	1.26	0.176	20	0.14	0.28	3.7

In order to test the predictive and generalization power of the pedotransfer regression equations, a simple bootstrapping re-sampling technique is used. It consists of calculating a new estimate of  $f_{\text{q}}$  for each soil using the pedotransfer function obtained without using this specific soil. Gathering these new  $f_{\text{q}}$  estimates, one can calculate new scores with respect to the retrieved  $f_{\text{q}}$  values. Also, this method provides a range of possible values of the coefficients of the pedotransfer function and permits assessing the influence of a given  $f_{\text{q}}$  retrieval on the final result.

### 3 Results

#### 3.1 $\lambda_{\text{sat}}$ and $f_{\text{q}}$ retrievals

Retrievals of  $\lambda_{\text{sat}}$  and  $f_{\text{q}}$  could be obtained for 14 soils. Figure 3 shows retrieved and modeled  $\lambda$  values against the observed degree of saturation of the soil, at a depth of 0.10 m for contrasting retrieved values of  $\lambda_{\text{sat}}$ , from high to low values (2.80, 1.96, 1.52 and 1.26 W m<sup>-1</sup> K<sup>-1</sup>) at the SBR, MNT, MTM and PRD stations, respectively.

All the obtained  $\lambda_{\text{sat}}$  and  $f_{\text{q}}$  retrievals are listed in Table 2, together with the  $\lambda$  RMSD values and the number of selected  $\lambda$  observations. For three soils (CRD, MZN and VLV), the reverse modeling technique described in Sect. 2.6 could not be applied as not enough  $\lambda$  observations could be obtained for  $S_{\text{d}}$  values higher than 0.4. For four soils (NBN, PZN, BRZ and MJN), all the  $\lambda$  retrievals were filtered out as the obtained values were influenced by heterogeneities in soil density (see Supplement 2). For the other 14 soils,  $\lambda_{\text{sat}}$  and  $f_{\text{q}}$  retrievals were obtained using a subset of 20  $\lambda$  retrievals per soil, at most, corresponding to the soil temperature data pre-

sending the lowest vertical gradient close to the soil surface (Supplement 2).

#### 3.2 Pedotransfer functions for quartz

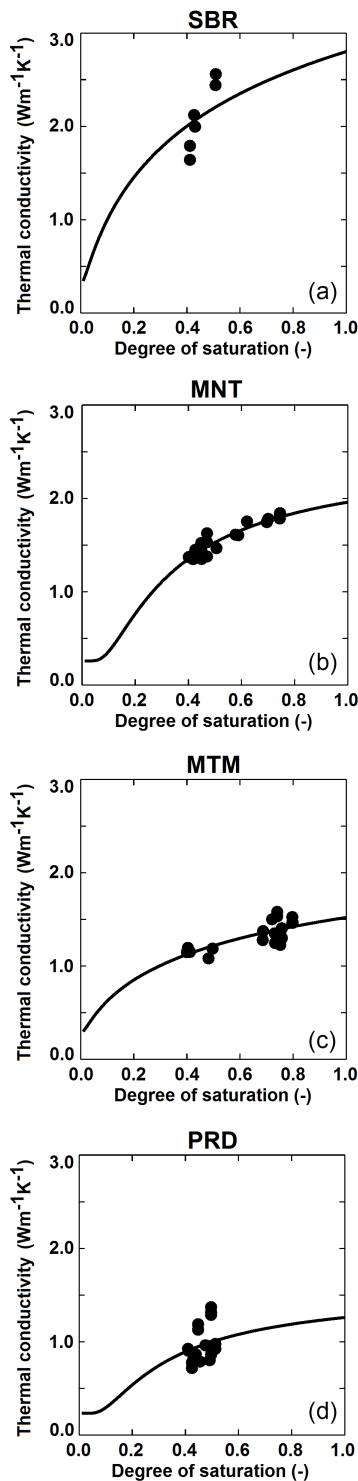
The  $f_{\text{q}}$  retrievals can be used to assess the possibility of estimating  $f_{\text{q}}$  using other soil characteristics, which can be easily measured. Another issue is whether volumetric or gravimetric fraction of quartz should be used. Figure 4 presents the fraction of variance ( $r^2$ ) of  $Q$  and  $f_{\text{q}}$  explained by various indicators. A key result is that  $f_{\text{q}}$  is systematically better correlated with soil characteristics than  $Q$ . More than 60 % of the variance of  $f_{\text{q}}$  can be explained using indicators based on the sand fraction (either  $f_{\text{sand}}$  or  $m_{\text{sand}}$ ). The use of other soil mineral fractions does not give good correlations, even when they are associated to the sand fraction as shown by Fig. 4. For example, the  $f_{\text{gravel}}$  and  $f_{\text{gravel}} + f_{\text{sand}}$  indicators present low  $r^2$  values of 0.04 and 0.24, respectively.

The  $f_{\text{q}}$  values cannot be derived directly from the indicators as illustrated by Fig. 5: assuming  $f_{\text{q}} = f_{\text{sand}}$  tends to markedly underestimate  $\lambda_{\text{sat}}$ . Therefore, more elaborate pedotransfer equations are needed. They can be derived from the best indicators, using them as predictors of  $f_{\text{q}}$ . The modeled  $f_{\text{q}}$  is written as

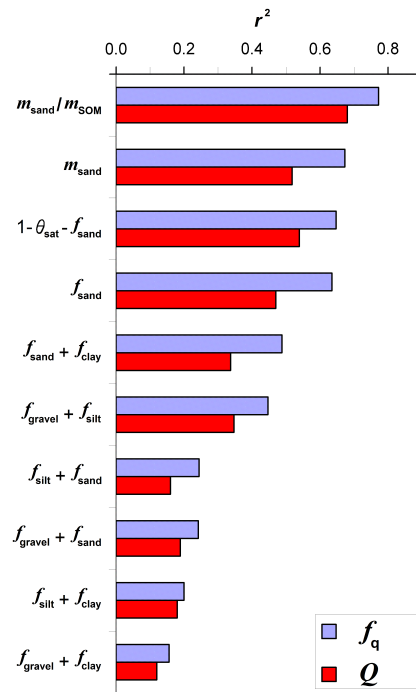
$$f_{\text{qMOD}} = a_0 + a_1 \times P \quad \text{and} \\ f_{\text{qMOD}} \leq 1 - \theta_{\text{sat}} - f_{\text{SOM}}, \quad (12)$$

where  $P$  represents the predictor of  $f_{\text{q}}$ .

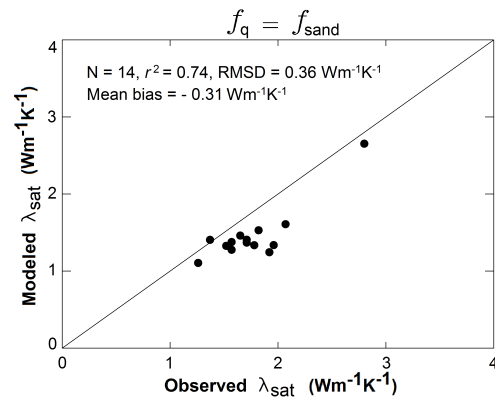
The  $a_0$  and  $a_1$  coefficients are given in Table 3 for four pedotransfer functions based on the best predictors of  $f_{\text{q}}$ . The pedotransfer functions are illustrated in Fig. 6. The scores are displayed in Table 4. The bootstrapping indicates that the



**Figure 3.** Retrieved  $\lambda$  values (dark dots) vs. the observed degree of saturation of the soil at a depth of 0.10 m for (from top to bottom) Sabres (SBR), Montaut (MNT), Mouthoumet (MTM) and Prades-le-Lez (PRD), together with simulated  $\lambda$  values from dry to wet conditions (dark lines).



**Figure 4.** Fraction of variance ( $r^2$ ) of gravimetric and volumetric fraction of quartz ( $Q$  and  $f_q$ , red and blue bars, respectively) explained by various predictors.



**Figure 5.**  $\lambda_{\text{sat MOD}}$  values derived from volumetric quartz fractions  $f_q$  assumed equal to  $f_{\text{sand}}$ , using observed  $\theta_{\text{sat}}$  values, vs.  $\lambda_{\text{sat}}$  retrievals.

SBR sandy soil has the largest individual impact on the obtained regression coefficients. This is why the scores without SBR are also presented in Table 4.

For the  $m_{\text{sand}}$  predictor, an  $r^2$  value of 0.56 is obtained without SBR against a value of 0.67 when all the 14 soils are considered. An alternative to this  $m_{\text{sand}}$  pedotransfer function consists of considering only  $m_{\text{sand}}$  values smaller than  $0.6 \text{ kg kg}^{-1}$  in the regression, thus excluding the SBR soil. The corresponding predictor is called  $m_{\text{sand}}^*$ . In this configuration, the sensitivity of  $f_q$  to  $m_{\text{sand}}$  is much increased (with

**Table 3.** Coefficients of four pedotransfer functions of  $f_q$  (Eq. 12) for 14 soils of this study (all with  $m_{\text{sand}}/m_{\text{SOM}} < 40$ ), together with indicators of the coefficient uncertainty, derived by bootstrapping and by perturbing the volumetric heat capacity of soil minerals ( $C_{\text{hmin}}$ ). The best predictor is in bold.

Predictor of $f_q$	Coefficients for 14 soils		Confidence interval from bootstrapping		Impact of a change of $\pm 0.08 \times 10^6 \text{ J m}^{-3} \text{ K}^{-1}$ in $C_{\text{hmin}}$	
	$a_0$	$a_1$	$a_0$	$a_1$	$a_0$	$a_1$
<b><math>m_{\text{sand}}/m_{\text{SOM}}</math></b>	0.12	0.0134	[0.10, 0.14]	[0.012, 0.014]	[0.11, 0.13]	[0.013, 0.013]
$m_{\text{sand}}^*$	0.08	0.944	[0.00, 0.11]	[0.85, 1.40]	[0.07, 0.09]	[0.919, 0.966]
$m_{\text{sand}}$	0.15	0.572	[0.08, 0.17]	[0.54, 0.94]	[0.14, 0.17]	[0.55, 0.56]
$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.73	-1.020	[0.71, 0.89]	[-1.38, -0.99]	[0.70, 0.73]	[-1.00, -0.99]

\* Only  $m_{\text{sand}}$  values smaller than  $0.6 \text{ kg kg}^{-1}$  are used in the regression.

**Table 4.** Scores of four pedotransfer functions of  $f_q$  for 14 soils of this study, together with the scores obtained by bootstrapping, without the sandy SBR soil. The MAE score of these pedotransfer functions for three Chinese soils of Lu et al. (2007) for which  $m_{\text{sand}}/m_{\text{SOM}} < 40$  is given (within brackets and in italics). The best predictor and the best scores are in bold.

Predictor of $f_q$	Regression scores			Bootstrap scores			Scores without SBR (and MAE for three Lu soils)		
	$r^2$	RMSD ( $\text{m}^3 \text{ m}^{-3}$ )	MAE ( $\text{m}^3 \text{ m}^{-3}$ )	$r^2$	RMSD ( $\text{m}^3 \text{ m}^{-3}$ )	MAE ( $\text{m}^3 \text{ m}^{-3}$ )	$r^2$	RMSD ( $\text{m}^3 \text{ m}^{-3}$ )	MAE ( $\text{m}^3 \text{ m}^{-3}$ )
<b><math>m_{\text{sand}}/m_{\text{SOM}}</math></b>	<b>0.77</b>	<b>0.067</b>	0.053	<b>0.72</b>	<b>0.074</b>	<b>0.059</b>	<b>0.62</b>	<b>0.070</b>	0.057 (0.135)
$m_{\text{sand}}^*$	0.74	0.072	<b>0.052</b>	0.67	0.126	0.100	0.56	0.075	<b>0.056</b> (0.071)
$m_{\text{sand}}$	0.67	0.081	0.060	0.56	0.121	0.084	0.56	0.075	<b>0.056</b> (0.086)
$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.65	0.084	0.064	0.56	0.102	0.079	0.45	0.084	0.061 (0.158)

\* Only  $m_{\text{sand}}$  values smaller than  $0.6 \text{ kg kg}^{-1}$  are used in the regression.

$a_1 = 0.944$ , against  $a_1 = 0.572$  with SBR). For SBR,  $f_q$  is overestimated by the  $m_{\text{sand}}^*$  equation, but this is corrected by the  $f_{q\text{MOD}}$  limitation of Eq. (12), and in the end a better  $r^2$  score is obtained when the 14 soils are considered ( $r^2 = 0.74$ ).

Values of  $r^2$  larger than 0.7 are obtained for two predictors of  $f_q$ :  $m_{\text{sand}}/m_{\text{SOM}}$  and  $m_{\text{sand}}^*$ . A value of  $r^2 = 0.65$  is obtained for  $1 - \theta_{\text{sat}} - f_{\text{sand}}$  (the fraction of soil solids other than sand). The  $m_{\text{sand}}/m_{\text{SOM}}$  predictor presents the best  $r^2$  and RMSD scores in all the configurations (regression, bootstrap and regression without SBR). Another characteristic of the  $m_{\text{sand}}/m_{\text{SOM}}$  pedotransfer function is that the confidence interval for the  $a_0$  and  $a_1$  coefficients derived from bootstrapping is narrower than for the other pedotransfer functions (Table 3), indicating a more robust relationship of  $f_q$  with  $m_{\text{sand}}/m_{\text{SOM}}$  than with other predictors.

An alternative way to evaluate the quartz pedotransfer functions is to compare the simulated  $\lambda_{\text{sat}}$  with the retrieved values presented in Table 2. Modeled values of  $\lambda_{\text{sat}}$  ( $\lambda_{\text{satMOD}}$ ) can be derived from  $f_{q\text{MOD}}$  using Eq. (10) together with  $\theta_{\text{sat}}$

observations. The  $\lambda_{\text{satMOD}} r^2$ , RMSD and mean bias scores are given in Table 5. Again, the best scores are obtained using the  $m_{\text{sand}}/m_{\text{SOM}}$  predictor of  $f_q$ , with  $r^2$ , RMSD and mean bias values of 0.86,  $0.14 \text{ W m}^{-1} \text{ K}^{-1}$  and  $+0.01 \text{ W m}^{-1} \text{ K}^{-1}$ , respectively (Fig. 7).

Finally, we investigated the possibility of estimating  $\theta_{\text{sat}}$  from the soil characteristics listed in Table 1 and of deriving a statistical model for  $\theta_{\text{sat}}$  ( $\theta_{\text{satMOD}}$ ). We found the following statistical relationship between  $\theta_{\text{satMOD}}$ ,  $m_{\text{clay}}$ ,  $m_{\text{silt}}$  and  $m_{\text{SOM}}$ :

$$\theta_{\text{satMOD}} = 0.456 - 0.0735 \frac{m_{\text{clay}}}{m_{\text{silt}}} + 2.238 m_{\text{SOM}} \quad (13)$$

( $r^2 = 0.48$ ,  $F$  test  $p$  value = 0.0027, RMSD =  $0.036 \text{ m}^3 \text{ m}^{-3}$ ).

Volumetric fractions of soil components need to be consistent with  $\theta_{\text{satMOD}}$  and can be calculated using the modeled bulk density values derived from  $\theta_{\text{satMOD}}$  using Eq. (1).

Equations (10) to (13) constitute an empirical end-to-end model of  $\lambda_{\text{sat}}$ . Table 5 shows that using  $\theta_{\text{satMOD}}$  (Eq. 13) in-



**Table 5.** Ability of the Eqs. (10)–(13) empirical model to estimate  $\lambda_{\text{sat}}$  values for 14 soils and impact of changes in gravel and SOM volumetric content:  $f_{\text{gravel}} = 0 \text{ m}^3 \text{ m}^{-3}$  and  $f_{\text{SOM}} = 0.013 \text{ m}^3 \text{ m}^{-3}$  (the smallest  $f_{\text{SOM}}$  value, observed for CBR).  $r^2$  values smaller than 0.60, RMSD values higher than  $0.20 \text{ W m}^{-1} \text{ K}^{-1}$  and mean bias values higher (smaller) than  $+0.10$  ( $-0.10$ ) are in bold.

Model configuration	Predictor of $f_q$	$r^2$	RMSD ( $\text{W m}^{-1} \text{ K}^{-1}$ )	Mean bias ( $\text{W m}^{-1} \text{ K}^{-1}$ )
Model using $\theta_{\text{sat}}$ observations	$m_{\text{sand}}/m_{\text{SOM}}$	0.86	0.14	+0.01
	$m_{\text{sand}}^*$	0.83	0.15	-0.01
	$m_{\text{sand}}$	0.81	0.16	-0.03
	$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.82	0.16	-0.03
Full model using $\theta_{\text{satMOD}}$ (Eq. 13)	$m_{\text{sand}}/m_{\text{SOM}}$	0.85	0.14	+0.03
	$m_{\text{sand}}^*$	0.85	0.14	-0.03
	$m_{\text{sand}}$	0.84	0.15	-0.03
	$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.82	0.16	-0.02
Same with $f_{\text{SOM}} = 0.013 \text{ m}^3 \text{ m}^{-3}$	$m_{\text{sand}}/m_{\text{SOM}}$	<b>0.57</b>	<b>0.35</b>	<b>+0.20</b>
	$m_{\text{sand}}^*$	0.83	0.15	+0.00
	$m_{\text{sand}}$	0.81	0.16	-0.02
	$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.83	0.15	-0.02
Same with $f_{\text{gravel}} = 0 \text{ m}^3 \text{ m}^{-3}$	$m_{\text{sand}}/m_{\text{SOM}}$	0.87	0.19	<b>-0.12</b>
	$m_{\text{sand}}^*$	0.70	<b>0.23</b>	<b>+0.11</b>
	$m_{\text{sand}}$	0.79	0.17	+0.04
	$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.81	0.17	+0.05
Same with $f_{\text{SOM}} = 0.013 \text{ m}^3 \text{ m}^{-3}$ and $f_{\text{gravel}} = 0 \text{ m}^3 \text{ m}^{-3}$	$m_{\text{sand}}/m_{\text{SOM}}$	0.63	<b>0.31</b>	<b>+0.16</b>
	$m_{\text{sand}}^*$	<b>0.52</b>	<b>0.36</b>	<b>+0.24</b>
	$m_{\text{sand}}$	<b>0.59</b>	<b>0.29</b>	<b>+0.16</b>
	$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.70	<b>0.25</b>	<b>+0.16</b>

\* Only  $m_{\text{sand}}$  values smaller than  $0.6 \text{ kg kg}^{-1}$  are used in the regression.

stead of the  $\theta_{\text{sat}}$  observations has little impact on the  $\lambda_{\text{satMOD}}$  scores.

### 3.3 Impact of gravels and SOM on $\lambda_{\text{sat}}$

Gravels and SOM are often neglected in soil thermal conductivity models used in LSMs. The Eqs. (10)–(13) empirical model obtained in Sect. 3.2 permits the assessment of the impact of  $f_{\text{gravel}}$  and  $f_{\text{SOM}}$  on  $\lambda_{\text{sat}}$ . Table 5 shows the impact on  $\lambda_{\text{satMOD}}$  scores of imposing a null value of  $f_{\text{gravel}}$  and a small value of  $f_{\text{SOM}}$  to all the soils. The combination of these assumptions is evaluated, also.

Imposing  $f_{\text{SOM}} = 0.013 \text{ m}^3 \text{ m}^{-3}$  (the smallest  $f_{\text{SOM}}$  value, observed for CBR) has a limited impact on the scores, except for the  $m_{\text{sand}}/m_{\text{SOM}}$  pedotransfer function. In this case,  $\lambda_{\text{sat}}$  is overestimated by  $+0.20 \text{ W m}^{-1} \text{ K}^{-1}$  and  $r^2$  drops to 0.57.

Neglecting gravels ( $f_{\text{gravel}} = 0 \text{ m}^3 \text{ m}^{-3}$ ) also has a limited impact but triggers the underestimation (overestimation) of  $\lambda_{\text{sat}}$  for the  $m_{\text{sand}}/m_{\text{SOM}}$  ( $m_{\text{sand}}^*$ ) pedotransfer function by  $-0.12 \text{ W m}^{-1} \text{ K}^{-1}$  ( $+0.11 \text{ W m}^{-1} \text{ K}^{-1}$ ).

On the other hand, it appears that combining these assumptions has a marked impact on all the pedotransfer functions. Neglecting gravels and imposing  $f_{\text{SOM}} = 0.013 \text{ m}^3 \text{ m}^{-3}$  has a major impact on  $\lambda_{\text{sat}}$ : the modeled  $\lambda_{\text{sat}}$  is overestimated by all the pedotransfer functions (with a mean bias rang-

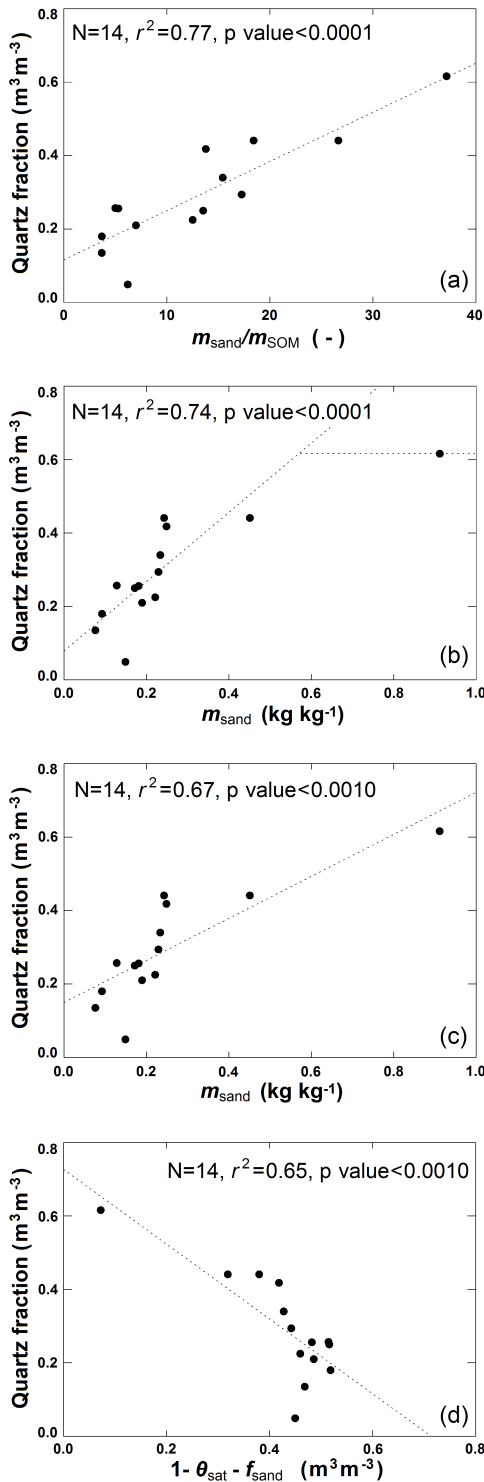
ing from  $+0.16$  to  $+0.24 \text{ W m}^{-1} \text{ K}^{-1}$ ) and  $r^2$  is markedly smaller, especially for the  $m_{\text{sand}}$  and  $m_{\text{sand}}^*$  pedotransfer functions. These results are illustrated in Fig. 8 in the case of the  $m_{\text{sand}}^*$  pedotransfer function. Figure 8 also shows that using the  $\theta_{\text{sat}}$  observations instead of  $\theta_{\text{satMOD}}$  (Eq. 13) has little impact on  $\lambda_{\text{satMOD}}$  (Sect. 3.2) but tends to enhance the impact of neglecting gravels. A similar result is found with the  $m_{\text{sand}}$  pedotransfer function (not shown).

## 4 Discussion

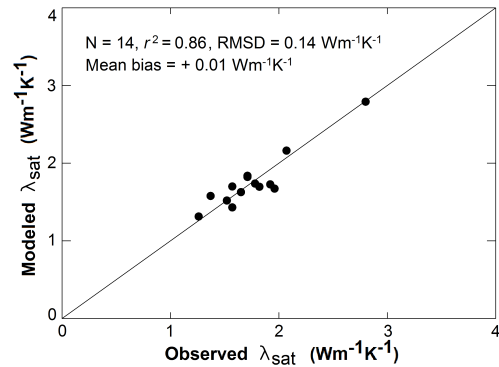
### 4.1 Can uncertainties in heat capacity estimates impact retrievals ?

In this study, the de Vries (1963) mixing model is applied to estimate soil volumetric heat capacity (Eq. 6), and a fixed value of  $2.0 \times 10^6 \text{ J m}^{-3} \text{ K}^{-1}$  is used for soil minerals. Soil-specific values for  $C_{\text{hmin}}$  may be more appropriate than using a constant standard value. For example, Tarara and Ham (1997) used a value of  $1.92 \times 10^6 \text{ J m}^{-3} \text{ K}^{-1}$ . However, we did not measure this quantity and we were not able to find such values in the literature.

We investigated the sensitivity of our results to these uncertainties, considering the following minimum and maximum  $C_{\text{hmin}}$  values:  $C_{\text{hmin}} = 1.92 \times 10^6 \text{ J m}^{-3} \text{ K}^{-1}$  and  $C_{\text{hmin}} = 2.08 \times 10^6 \text{ J m}^{-3} \text{ K}^{-1}$ . The impact of changes in



**Figure 6.** Pedotransfer functions for quartz:  $f_q$  retrievals (dark dots) vs. the four predictors of  $f_q$  given in Table 3. The modeled  $f_q$  values are represented by the dashed lines.



**Figure 7.**  $\lambda_{\text{sat MOD}}$  values derived from the  $m_{\text{sand}}/m_{\text{SOM}}$  pedotransfer function for the volumetric quartz fractions, using observed  $\theta_{\text{sat}}$  values, vs.  $\lambda_{\text{sat}}$  retrievals.

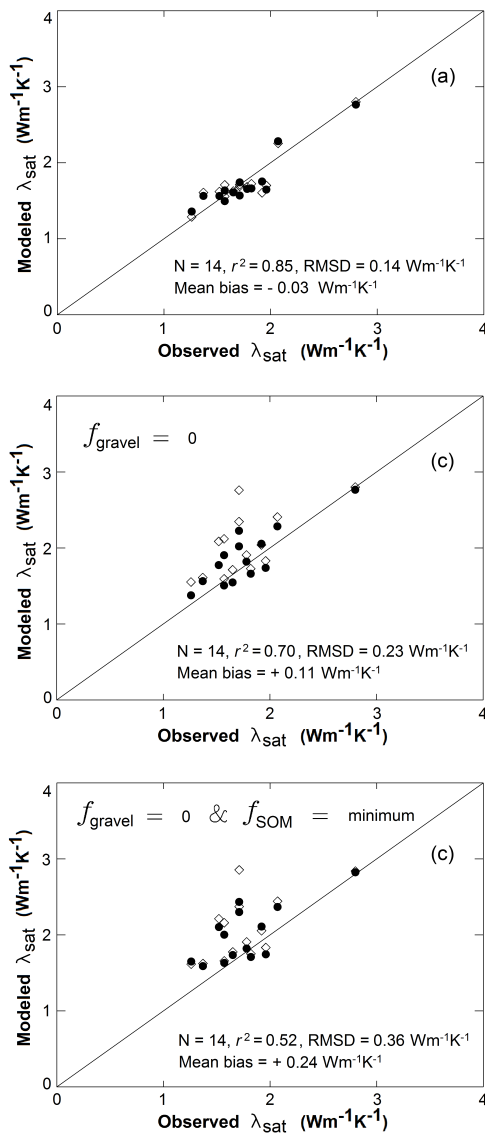
$C_{\text{h min}}$  on the retrieved values of  $\lambda_{\text{sat}}$  and  $f_q$  is presented in Supplement 3 (Fig. S3.1). On average, a change of  $+(-) 0.08 \times 10^6 \text{ J m}^{-3} \text{ K}^{-1}$  in  $C_{\text{h min}}$  triggers a change in  $\lambda_{\text{sat}}$  and  $f_q$  of  $+1.7\%$  ( $-1.8\%$ ) and  $+4.8\%$  ( $-7.0\%$ ), respectively.

The impact of changes in  $C_{\text{h min}}$  on the regression coefficients of the pedotransfer functions is presented in Table 3 (last column). The impact is very small, except for the  $a_1$  coefficient of the  $m_{\text{sand}}^*$  pedotransfer function. However, even in this case, the impact of  $C_{\text{h min}}$  on the  $a_1$  coefficient is much lower than the confidence interval given by the bootstrapping, indicating that the relatively small number of soils we considered (as in other studies, e.g., Lu et al., 2007) is a larger source of uncertainty.

Moreover, uncertainties in the  $f_{\text{clay}}$ ,  $f_{\text{silt}}$ ,  $f_{\text{gravel}}$  or  $f_{\text{SOM}}$  fractions may be caused by (1) the natural heterogeneity of soil properties, (2) the living root biomass or (3) stones that may not be accounted for in the gravel fraction.

In particular, during the installation of the probes, it was observed that stones are present at some stations. Stones are not evenly distributed in the soil, and it is not possible to investigate whether the soil area where the temperature probes were inserted contains stones as it must be left undisturbed.

The grasslands considered in this study are not intensively managed. They consist of set-aside fields cut once or twice a year. Calvet et al. (1999) gave an estimate of  $0.160 \text{ kg m}^{-2}$  for the root dry matter content of such soils for a site in southwestern France, with most roots contained in the 0.25 m top soil layer. This represents a gravimetric fraction of organic matter smaller than  $0.0005 \text{ kg kg}^{-1}$ , i.e., less than 4% of the lowest  $m_{\text{SOM}}$  values observed in this study ( $0.013 \text{ kg kg}^{-1}$ ) or less than 5% of  $f_{\text{SOM}}$  values. We checked that increasing  $f_{\text{SOM}}$  values by 5% has negligible impact on heat capacity and on the  $\lambda$  retrievals.



**Figure 8.**  $\lambda_{\text{satMOD}}$  values derived from the  $m_{\text{sand}}^*$  pedotransfer function for the volumetric quartz fractions, using  $\theta_{\text{satMOD}}$  (Eq. 13) or the observed  $\theta_{\text{sat}}$  (dark dots and open diamonds, respectively), vs.  $\lambda_{\text{sat}}$  retrievals: (top) full model, (middle)  $f_{\text{SOM}} = 0.013 \text{ m}^3 \text{ m}^{-3}$ , and (bottom)  $f_{\text{SOM}} = 0.013 \text{ m}^3 \text{ m}^{-3}$  and  $f_{\text{gravel}} = 0 \text{ m}^3 \text{ m}^{-3}$ . Scores are given for the  $\theta_{\text{satMOD}}$  configuration.

#### 4.2 Can the new $\lambda_{\text{sat}}$ model be applied to other soil types?

The  $\lambda_{\text{sat}}$  values we obtained are consistent with values reported by other authors. In this study,  $\lambda_{\text{sat}}$  values ranging between 1.26 and 2.80  $\text{W m}^{-1} \text{K}^{-1}$  are found (Table 2). Tarnawski et al. (2011) gave  $\lambda_{\text{sat}}$  values ranging between 2.5 and 3.5  $\text{W m}^{-1} \text{K}^{-1}$  for standard sands. Lu et al. (2007) gave  $\lambda_{\text{sat}}$  values ranging between 1.33 and 2.2  $\text{W m}^{-1} \text{K}^{-1}$ .

A key component of the  $\lambda_{\text{sat}}$  model is the pedotransfer function for quartz (Eq. 12). The  $f_{\text{q}}$  pedotransfer functions

we propose are based on available soil characteristics. The current global soil digital maps provide information about SOM, gravels and bulk density (Nachtergaele et al., 2012). Therefore, using Eqs. (1) and (6)–(12) on a large scale is possible, and porosity can be derived from Eq. (1). On the other hand, the suggested  $f_{\text{q}}$  pedotransfer functions are obtained for temperate grassland soils containing a rather large amount of organic matter and are valid for  $m_{\text{sand}}/m_{\text{SOM}}$  ratio values lower than 40 (Table 2). These equations should be evaluated for other regions. In particular, hematite has to be considered together with quartz for tropical soils (Churchman and Lowe, 2012). Moreover, the pedotransfer function we get for  $\theta_{\text{sat}}$  (Eq. 13) and we use to conduct the sensitivity study of Sect. 3.3 is valid for the specific sites we considered. Equation (13) cannot be used to predict porosity in other regions.

In order to assess the applicability of the pedotransfer function for quartz obtained in this study, we used the independent data from Lu et al. (2007) and Tarnawski et al. (2009) for 10 Chinese soils (see Supplement 4 and Table S4.1). These soils consist of reassembled sieved soil samples and contain no gravel, while our data concern undisturbed soils. Moreover, most of these soils contain very little organic matter and the  $m_{\text{sand}}/m_{\text{SOM}}$  ratio can be much larger than the  $m_{\text{sand}}/m_{\text{SOM}}$  values measured at our grassland sites. For the 14 French soils used to determine pedotransfer functions for quartz, the  $m_{\text{sand}}/m_{\text{SOM}}$  ratio ranges from 3.7 to 37.2 (Table 2). Only three soils of Lu et al. (2007) present such low values of  $m_{\text{sand}}/m_{\text{SOM}}$ . The other seven soils of Lu et al. (2007) present  $m_{\text{sand}}/m_{\text{SOM}}$  values ranging from 48 to 1328 (see Table S4.1).

We used  $\lambda_{\text{sat}}$  experimental values derived from Table 3 in Tarnawski et al. (2009) to calculate  $Q$  and  $f_{\text{q}}$  for the 10 Lu et al. (2007) soils. These data are presented in Supplement 4. Figure S4.1 shows the statistical relationship between these quantities and  $m_{\text{sand}}$ . Very good correlations of  $Q$  and  $f_{\text{q}}$  with  $m_{\text{sand}}$  are observed, with  $r^2$  values of 0.72 and 0.83, respectively. This is consistent with our finding that  $f_{\text{q}}$  is systematically better correlated with soil characteristics than  $Q$  (Sect. 3.2).

The pedotransfer functions derived from French soils tend to overestimate  $f_{\text{q}}$  for the Lu et al. (2007) soils, especially for the seven soils presenting  $m_{\text{sand}}/m_{\text{SOM}}$  values larger than 40. Note that Lu et al. (2007) obtained a similar result for coarse-textured soils with their model, which assumed  $Q = m_{\text{sand}}$ . For the three other soils, presenting  $m_{\text{sand}}/m_{\text{SOM}}$  values smaller than 40,  $f_{\text{q}}$  MAE values are given in Table 4. The best MAE score (0.071  $\text{m}^3 \text{ m}^{-3}$ ) is obtained for the  $m_{\text{sand}}^*$  predictor of  $f_{\text{q}}$ .

These results are illustrated by Fig. 9 for the  $m_{\text{sand}}$  predictor of  $f_{\text{q}}$ . Figure 9 also shows the  $f_{\text{q}}$  and  $\lambda_{\text{sat}}$  estimates obtained using specific coefficients in Eq. (12), based on the seven Lu et al. (2007) soils presenting  $m_{\text{sand}}/m_{\text{SOM}}$  values larger than 40. These coefficients are given together with the scores in Table 6. Table 6 also presents these values for other

**Table 6.** Pedotransfer functions of  $f_q$  (Eq. 12) for seven soils of Lu et al. (2007) with  $m_{\text{sand}}/m_{\text{SOM}} > 40$ . The best predictor and the best scores are in bold. The regression  $p$  values are within brackets and in italics.

Predictor of $f_q$	Regression scores for seven Lu soils with $m_{\text{sand}}/m_{\text{SOM}} > 40$			Coefficients	
	$r^2$ ( $p$ value)	RMSD ( $\text{m}^3 \text{m}^{-3}$ )	MAE ( $\text{m}^3 \text{m}^{-3}$ )	$a_0$	$a_1$
$m_{\text{sand}}/m_{\text{SOM}}$	0.40 (0.13)	0.089	0.075	0.20	0.000148
$m_{\text{sand}}^*$	0.82 (0.005)	0.073	0.054	0.07	0.425
<b><math>m_{\text{sand}}</math></b>	<b>0.82</b> ( <b>0.005</b> )	<b>0.048</b>	<b>0.042</b>	0.04	0.386
$1 - \theta_{\text{sat}} - f_{\text{sand}}$	0.81 (0.006)	0.050	0.043	0.44	-0.814

\* Only  $m_{\text{sand}}$  values smaller than  $0.6 \text{ kg kg}^{-1}$  are used in the regression.

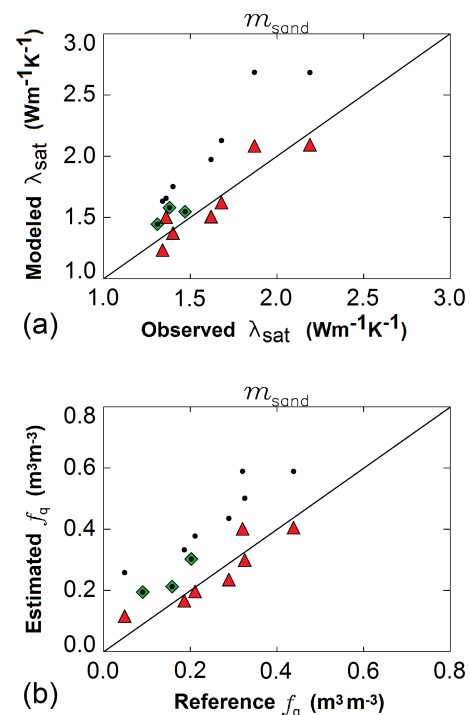
predictors of  $f_q$ . It appears that  $m_{\text{sand}}$  gives the best scores. The contrasting coefficient values between Tables 6 and 3 (Chinese and French soils, respectively) illustrate the variability of the coefficients of pedotransfer functions from one soil category to another, and the  $m_{\text{sand}}/m_{\text{SOM}}$  ratio seems to be a good indicator of the validity of a given pedotransfer function.

On the other hand, the  $m_{\text{sand}}/m_{\text{SOM}}$  ratio is not a good predictor of  $f_q$  for the Lu et al. (2007) soils presenting  $m_{\text{sand}}/m_{\text{SOM}}$  values larger than 40, and  $r^2$  presents a small value of 0.40 (Table 6). This can be explained by the very large range of  $m_{\text{sand}}/m_{\text{SOM}}$  values for these soils (see Table S4.1). Using  $\ln(m_{\text{sand}}/m_{\text{SOM}})$  instead of  $m_{\text{sand}}/m_{\text{SOM}}$  is a way to obtain a predictor linearly correlated with  $f_q$ . This is shown by Fig. S4.2 for the 10 Lu et al. (2007) soils: the correlation is increased to a large extent ( $r^2 = 0.60$ ).

#### 4.3 Can $m_{\text{sand}}$ -based $f_q$ pedotransfer functions be used across soil types?

Given the results presented in Tables 3, 4 and 6, it can be concluded that  $m_{\text{sand}}$  is the best predictor of  $f_q$  across mineral soil types. The  $m_{\text{sand}}/m_{\text{SOM}}$  predictor is relevant for the mineral soils containing the largest amount of organic matter.

Although the  $m_{\text{sand}}/m_{\text{SOM}}$  predictor gives the best  $r^2$  scores for the 14 grassland soils considered in this study, it seems more difficult to apply this predictor to other soils, as shown by the high MAE score ( $\text{MAE} = 0.135 \text{ m}^3 \text{m}^{-3}$ ) for the corresponding Lu et al. (2007) soils in Table 4. Moreover, the scores are very sensitive to errors in the estimation of  $m_{\text{SOM}}$  as shown by Table 5. Although the  $m_{\text{sand}}^*$  predictor gives slightly better scores than  $m_{\text{sand}}$  (Table 4), the  $a_1$  coefficient is more sensitive to errors in  $C_{\text{hmin}}$  (Table 3), and the bootstrapping reveals large uncertainties in  $a_0$  and  $a_1$  values.



**Figure 9.** Estimated  $\lambda_{\text{sat}}$  and volumetric fraction of quartz  $f_q$  (top and bottom, respectively) vs. values derived from the  $\lambda_{\text{sat}}$  observations of Lu et al. (2007) given by Tarnawski et al. (2009) for 10 Chinese soils, using the gravimetric fraction of sand  $m_{\text{sand}}$  as a predictor of  $f_q$ . Dark dots correspond to the estimations obtained using the  $m_{\text{sand}}$  pedotransfer function for southern France, and the three soils for which  $m_{\text{sand}}/m_{\text{SOM}} < 40$  are indicated by green diamonds. Red triangles correspond to the estimations obtained using the  $m_{\text{sand}}$  pedotransfer function for the seven soils for which  $m_{\text{sand}}/m_{\text{SOM}} > 40$  (see Table 6).

The results presented in this study suggest that the  $m_{\text{sand}}/m_{\text{SOM}}$  ratio can be used to differentiate between temperate grassland soils containing a rather large amount of organic matter ( $3.7 < m_{\text{sand}}/m_{\text{SOM}} < 40$ ) and soils containing less organic matter ( $m_{\text{sand}}/m_{\text{SOM}} > 40$ ). The  $m_{\text{sand}}$  predictor can be used in both cases to estimate the volumetric fraction of quartz, with the following  $a_0$  and  $a_1$  coefficient values in Eq. (12): 0.15 and 0.572 for  $m_{\text{sand}}/m_{\text{SOM}}$  ranging between 3.7 and 40 (Table 3) and 0.04 and 0.386 for  $m_{\text{sand}}/m_{\text{SOM}} > 40$  (Table 6).

#### 4.4 Prospects for using soil temperature profiles

Using standard soil moisture and soil temperature observations is a way to investigate soil thermal properties over a large variety of soils, as the access to such data is facilitated by online databases (Dorigo et al., 2011).

A limitation of the data set we used, however, is that soil temperature observations ( $T_i$ ) are recorded with a resolution of  $\Delta T_i = 0.1^\circ\text{C}$  only (see Sect. 2.1). This low resolution affects the accuracy of the soil thermal diffusivity estimates. In order to limit the impact of this effect, a data filtering technique is used (see Supplement 5) and  $D_h$  is retrieved with a precision of 18 %.

It can be noticed that if  $T_i$  data were recorded with a resolution of  $0.03^\circ\text{C}$  (which corresponds to the typical uncertainty of PT100 probes),  $D_h$  could be retrieved with a precision of about 5 % in the conditions of Eq. (S5.3). Therefore, one may recommend revising the current practise of most observation networks consisting in recording soil temperature with a resolution of  $0.1^\circ\text{C}$  only. More precision in the  $\lambda$  estimates would permit investigating other processes of heat transfer in the soil such as those related to water transport (Rutten, 2015).

## 5 Conclusions

An attempt was made to use routine soil temperature and soil moisture observations of a network of automatic weather stations to retrieve instantaneous values of the soil thermal conductivity at a depth of 0.10 m. The data from the SMOSMANIA network, in southern France, are used. First, the thermal diffusivity is derived from consecutive measurements of the soil temperature. The  $\lambda$  values are then derived from the thermal diffusivity retrievals and from the volumetric heat capacity calculated using measured soil properties. The relationship between the  $\lambda$  estimates and the measured soil moisture at a depth of 0.10 m permits the retrieval of  $\lambda_{\text{sat}}$  for 14 stations. The Lu et al. (2007) empirical  $\lambda$  model is then used to retrieve the quartz volumetric content by reverse modeling. A number of pedotransfer functions is proposed for volumetric fraction of quartz for the considered region in France. For the grassland soils examined in this study, the ratio of sand to SOM fractions is the best predictor of  $f_q$ . A sensitivity study shows that omitting gravels and the SOM information has

a major impact on  $\lambda_{\text{sat}}$ . Finally, an error propagation analysis and a comparison with independent  $\lambda_{\text{sat}}$  data from Lu et al. (2007) show that the gravimetric fraction of sand within soil solids, including gravels and SOM, is a good predictor of the volumetric fraction of quartz when a larger variety of soil types is considered.

## 6 Data availability

The SMOSMANIA data are available to the research community through the International Soil Moisture Network web site (<https://ismn.geo.tuwien.ac.at/>).

**The Supplement related to this article is available online at doi:10.5194/soil-2-615-2016-supplement.**

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