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Guidelines for the Implementation of the 1999 Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict

The present version reflects the amendments endorsed by the 10th Meeting of the Parties to the Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict (Paris, 2023)

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Protection of
Cultural Property in
the Event of Armed Conflict

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The Guidelines for the Implementation (in English and French), the text of the 1999 Second Protocol, and other documents and information concerning the 1954 Hague Convention and its two (1954 and 1999) Protocols are available from the following address:

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I INTRODUCTION

I.A The Guidelines for the Implementation of the 1999 Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict

1. The main purpose of the present document (hereinafter “the Guidelines”) is to provide a concise and practical tool to facilitate the implementation of the Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter “the Second Protocol”) by its Parties and to provide guidance to the Committee for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter “the Committee”) and the Secretariat of UNESCO (hereinafter “the Secretariat”) for the fulfilment of their functions as established by the Second Protocol.
2. The Guidelines attempt to embody the best practices in the implementation of the Second Protocol.
3. In accordance with Article 27(1)(a) of the Second Protocol, the Guidelines are developed by the Committee. Following Article 23(3)(b) of the Second Protocol, they are subsequently endorsed by the Meeting of the Parties. The Guidelines may be revised to reflect the decisions and recommendations adopted by the Meeting of the Parties and the Committee. Article 27(1)(a) of the Second Protocol
Article 23(3)(b) of the Second Protocol

I.B Scope of application of the Second Protocol

4. The Second Protocol, which entered into force on 9 March 2004, is an international agreement supplementing the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter “the Convention”) in relations between the Parties. It aims to improve the protection of cultural property in the event of armed conflict as defined by the Convention. Thus, for the purposes of the Second Protocol, the term “cultural property” covers, irrespective of origin or ownership:
 - a. Movable or immovable property of great importance to the cultural heritage of every people, such as monuments Article 2 of the Second Protocol
Article 1(b) of the Second Protocol and

of architecture, art or history, whether religious or secular; archaeological sites; groups of buildings which, as a whole, are of historical or artistic interest; works of art; manuscripts, books and other objects of artistic, historical or archaeological interest; as well as scientific collections and important collections of books or archives or of reproductions of the property defined above;

Article 1 of the Convention

- b. Buildings whose main and effective purpose is to preserve or exhibit the movable cultural property defined in sub-paragraph (a) such as museums, large libraries and depositories of archives, and refuges intended to shelter, in the event of armed conflict, the movable cultural property defined in sub-paragraph (a);
 - c. Centres containing a large amount of cultural property as defined in sub-paragraphs (a) and (b), to be known as “centres containing monuments”.
5. In addition to the provisions which shall be implemented in time of peace, the Second Protocol applies:

Article 3(1) of the Second Protocol

 - a. In the event of declared war or of any other armed conflict which may arise between two or more of the Parties, even if the state of war is not recognized by one or more of them.
 - b. To all cases of partial or total occupation of the territory of a Party, even if the said occupation meets with no armed resistance.
 - c. In the event of an armed conflict not of an international character, occurring within the territory of one of the Parties.
6. The Second Protocol supplements the Convention by reinforcing the provisions related to the safeguarding of and respect for cultural property in the event of armed conflict.

Articles 5 - 9 of the Second Protocol
7. The Second Protocol introduces a regime of enhanced protection. It stipulates that cultural property of the greatest importance for humanity can be placed under enhanced protection. Enhanced protection is granted to the cultural property from the moment of its entry in the List of Cultural

Articles 10 - 14 of the Second Protocol

Property under Enhanced Protection (hereinafter “the List”) as decided by the Committee.

8. The Second Protocol defines serious violations and obliges Parties to adopt appropriate legislation to make these violations to the Second Protocol criminal offences under their national law, notwithstanding the responsibility of States under international law. It also covers other obligations of Parties related to criminal responsibility and jurisdiction. Articles 15 - 21 of the Second Protocol
9. The Second Protocol establishes the Committee composed of twelve Parties, which is essentially responsible for the management of enhanced protection, the monitoring and supervision of the implementation of the Second Protocol and the granting of international assistance. It also establishes the Fund for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter “the Fund”). Finally, the Second Protocol provides for periodic meetings of the Parties. Chapter 6 of the Second Protocol

I.C Relationship between the Convention and the Second Protocol

10. Only the High Contracting Parties to the Convention may become Parties to the Second Protocol. The Second Protocol supplements the Convention in mutual relations between the Parties. As an exception, however, if the cultural property has been granted both special protection as defined in the Convention and enhanced protection, the provisions of special protection will be replaced by the provisions of enhanced protection. Articles 2 and 4(b) of the Second Protocol
11. The Second Protocol does not affect the rights and obligations of the High Contracting Parties to the Convention.¹ In mutual relations between the High Contracting Parties to the Convention, the Parties remain bound by the Convention alone. In mutual relations between States Parties to the Convention and the Second Protocol, they are bound by both instruments. In mutual relations between a State Party to the Convention and the Second Protocol and a High Contracting Party to the Convention, Article 34 of the 1969 Vienna Convention on the Law of Treaties
Article 30(4) of the 1969 Vienna Convention on the Law of Treaties

¹ Final Act of the Diplomatic Conference on the Second Protocol to the Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict, Summary report, Annex 1, para 11, <http://unesdoc.unesco.org/images/0013/001332/133243eo.pdf>

they are bound only by the provisions of the Convention.

I.D Key actors of the Second Protocol

12. The key actors of the Second Protocol are:

- a. Parties;
- b. the Meeting of the Parties;
- c. the Committee; and,
- d. UNESCO.

13. The key actors of the Second Protocol are encouraged to ensure the participation of a wide variety of stakeholders, including international and national governmental and non-governmental organizations having objectives similar to those of the Convention and its two Protocols. In particular, such participation may address, amongst other issues related to the Second Protocol, the national implementation, awareness-raising and dissemination of the Second Protocol both within target groups and the general public, offering technical advice related to safeguarding of cultural property or, in case of the constituent bodies of the International Committee of the Blue Shield, on providing advice with regard to the granting of enhanced protection.

Parties

- 14.** The High Contracting Parties to the Convention are encouraged to become Parties to the Second Protocol by depositing an instrument of ratification, acceptance, approval or accession with the Director-General of UNESCO (hereinafter “the Director-General”). Model instruments for that are provided by the Secretariat. Articles 41 and 42 of the Second Protocol
- 15.** The Second Protocol enters into force for each new Party three months after the deposit of its instrument of ratification, acceptance, approval or accession. As an exception to the three-month rule, situations of armed conflict, both of an international or non-international character, give immediate effect to ratifications, acceptances, approvals or accessions to the Second Protocol deposited by the parties to the conflict either before or after the beginning of hostilities or occupation. Articles 43 and 44 of the Second Protocol

16. The list of Parties is available online at the following address: www.unesco.org.

Meeting of the Parties

17. The Meeting of the Parties is the highest body established by the Second Protocol in order to promote its implementation. Its functions are: Article 23 of the Second Protocol
- a. to elect the Members of the Committee;
 - b. to endorse the Guidelines developed by the Committee;
 - c. to provide guidelines for and supervise the use of the Fund by the Committee;
 - d. to consider the reports submitted by the Committee;
 - e. to discuss any problem related to the application of the Second Protocol, and make recommendations, as appropriate; and,
 - f. to assign to the Committee functions other than those mentioned in Article 27 (1) (a - f) of the Second Protocol.
18. The Meeting of the Parties is convened at the same time as the General Conference of UNESCO, and in co-ordination with the Meeting of the High Contracting Parties to the Convention, if such a meeting has been called by the Director-General. At the request of at least one-fifth of the Parties, the Director-General convenes an Extraordinary Meeting of the Parties.

The Committee

19. The Committee is the intergovernmental executive body entitled by the Second Protocol to perform, in co-operation with the Director-General, the following functions: Articles 24 - 27 of the Second Protocol
- a. to develop Guidelines for the implementation of the Second Protocol;
 - b. to grant, suspend or cancel enhanced protection for

cultural property and to establish, maintain and promote the List;

- c. to monitor and supervise the implementation of the Second Protocol and to promote the identification of cultural property under enhanced protection;
 - d. to consider and comment on reports of the Parties, to seek clarifications as required, and to prepare its own report on the implementation of the Second Protocol for the Meeting of the Parties;
 - e. to receive and consider requests for international assistance from Parties as provided by the Second Protocol;
 - f. to determine the use of the Fund; and,
 - g. to execute other functions assigned to it by the Meeting of the Parties.
- 20.** In addition to its functions, the Committee adopts rules for the submission of requests for international assistance. It also defines the forms this international assistance may take. The Committee also conveys technical assistance provided by Parties or parties to a given conflict. Article 32(3) of the Second Protocol
Article 32(4) of the Second Protocol
- 21.** The Committee is composed of twelve Parties which, paying due regard to equitable geographical distribution, are elected by the Meeting of the Parties for four-year terms. Immediate re-election of a Party is possible only once. Parties that are members of the Committee choose as their representatives persons qualified in the fields of cultural heritage, defence or international law, and they endeavour, in consultation with one another, to ensure that the Committee as a whole contains adequate expertise in all these fields. Article 24 of the Second Protocol
- 22.** The Committee meets once a year in ordinary session and in extra-ordinary sessions whenever it deems necessary. The Committee conducts its business in accordance with its Rules of Procedure. Article 24 of the Second Protocol
- 23.** The Committee adopts and updates its own Rules of Procedure. The Committee may define its internal annual Article 26(1) of the Second Protocol

working schedule as well as provide other relevant guidance concerning the practical conduct of business under these Rules. Guidance provided by the Rules of Procedure and concerning Parties will be distributed through the Secretariat.

- 24.** The Committee also co-operates with international and national governmental and non-governmental organizations having objectives similar to those of the Convention and its two Protocols. To assist in the implementation of its functions, the Committee may invite to its meetings, and consult within the framework of granting, suspending or cancelling enhanced protection, in an advisory capacity, eminent professional organizations such as those which have formal relations with UNESCO, including the Blue Shield International (BSI) and its constituent bodies (the Coordinating Council of Audiovisual Archives Associations (CCAAA), the International Council on Archives (ICA), the International Council of Museums (ICOM), the International Council on Monuments and Sites (ICOMOS) and the International Federation of Library Associations and Institutions (IFLA)). Representatives of the International Centre for the Study of the Preservation and Restoration of Cultural Property (Rome Centre) (ICCROM) and of the International Committee of the Red Cross (ICRC) may also be invited to attend in an advisory capacity.

Article 27(3) of the
Second Protocol

UNESCO

- 25.** The Committee is assisted by the Secretariat which prepares the Committee's documentation and the agenda for its meetings and has the responsibility for the implementation of its decisions. The Secretariat receives, translates and distributes all official documents of the Committee and arranges interpretation as needed. The Secretariat also performs other necessary functions so that the Committee may perform its work properly.

Article 28 of the
Second Protocol

Rules of Procedure of
the Committee

- 26.** In addition, UNESCO provides technical assistance to the Parties in organizing the protection of their cultural property. The nature and terms of such assistance are described in detail in Chapter VI.

Article 33 of the
Second Protocol

II. GENERAL PROVISIONS REGARDING PROTECTION

II.A Safeguarding of cultural property

27. Preparatory measures taken in time of peace for the safeguarding of cultural property against the foreseeable effects of an armed conflict pursuant to Article 3 of the Convention include, as appropriate: Article 5 of the Second Protocol

- the preparation of inventories;
- the planning of emergency measures for protection against fire or structural collapse;
- the preparation for the removal of movable cultural property or the provision for adequate *in situ* protection of such property; and,
- the designation of competent authorities responsible for the safeguarding of cultural property.

As the above list of preparatory measures is not exhaustive, Parties are also encouraged to consider other appropriate preparatory measures consistent with the purposes of the Second Protocol.

28. The Committee encourages the Parties to cooperate both at international and national level with the competent non-governmental organisations as well as to exchange information on national safeguarding policies and practices.

29. In order to harmonise the documentation related to all cultural property protected under the Second Protocol, the Committee encourages the Parties to apply, as appropriate, the relevant provisions of the Guidelines regarding the nomination dossier for enhanced protection to documentation of all cultural property protected under the Second Protocol.

II.B Precautions against the effects of hostilities

- 30.** The Parties are obliged, to the maximum extent feasible: Article 8 of the Second Protocol
- to remove movable cultural property from the vicinity of military objectives or to provide adequate *in situ* protection; and,
 - to avoid locating military objectives near cultural property.

III. ENHANCED PROTECTION

III.A The granting of enhanced protection

Criteria

- 31.** The Committee may place cultural property under enhanced protection provided that it meets the three criteria laid down in the Second Protocol. Article 10 of the Second Protocol

Greatest importance for humanity

- 32.** While considering whether cultural property is of greatest importance for humanity, the Committee will evaluate, case by case, its exceptional cultural significance, and/or its uniqueness, and/or if its destruction would lead to irretrievable loss for humanity. Article 10(a) of the Second Protocol
- 33.** Cultural property of national, regional or universal value may have exceptional cultural significance. This significance may be deduced from the following indicative criteria:
- it is an exceptional cultural property bearing testimony to one or more periods of the development of humankind at the national, regional or global level;
 - it represents a masterpiece of human creativity;
 - it bears an exceptional testimony to a cultural tradition or to a civilization which is living or which has disappeared;

- it exhibits an important interchange of human achievements, over a span of time or within a cultural area of the world on developments in arts and sciences;
 - it has a central significance to the cultural identity of societies concerned.
- 34.** Cultural property is considered to be unique if there is no other comparable cultural property that is of the same cultural significance. The unique character may be deduced from a variety of indicative criteria including:
- a. age;
 - b. history;
 - c. community;
 - d. representativity;
 - e. location;
 - f. size and dimension;
 - g. shape and design;
 - h. purity and authenticity in style;
 - i. integrity;
 - j. context;
 - k. artistic craftsmanship;
 - l. aesthetic value;
 - m. scientific value.
- 35.** The criterion of irretrievable loss for humanity is met if the damage or destruction of the cultural property in question would result in the impoverishment of the cultural diversity or cultural heritage of humankind.
- 36.** It is presumed that the Committee, subject to other relevant considerations, will consider that immovable cultural property inscribed on the World Heritage List satisfies the condition of greatest importance for humanity.
- 37.** In the case of documentary heritage, the Committee will consider the fact that the cultural property is inscribed on UNESCO's Memory of the World Register.

Adequate domestic legal and administrative measures of protection

- 38.** The cultural property is protected by adequate domestic legal and administrative measures recognizing its exceptional cultural and historic value and ensuring the highest level of protection. The protection accorded to cultural property of exceptional value takes into account the obligations of the Parties under Article 12 of the Second Protocol. Articles 10(b) and 12 of the Second Protocol
- 39.** Such measures ensure that the cultural property is protected adequately against any kind of negligence, decay or destruction even in time of peace. In evaluating whether cultural property is protected by adequate domestic legal and administrative measures recognizing its exceptional cultural and historic value and ensuring the highest level of protection, the Committee considers, in particular, national measures intended for:
- the identification and safeguarding of cultural property proposed for enhanced protection in accordance with Article 5 of the Second Protocol;
 - due consideration of the protection of the cultural property proposed for enhanced protection in military planning and military training programs; and,
 - appropriate criminal legislation providing for the repression of, and jurisdiction over, offenses committed against cultural property under enhanced protection within the meaning of, and in accordance with, Chapter 4 of the Second Protocol.
- 40.** The domestic legal and administrative measures of protection are only adequate if they are effective in practice. The Committee therefore examines, *inter alia*, whether they are based on a coherent system of protection and achieve the expected results. Article 32(1) of the Second Protocol
- 41.** A Party may request international assistance from the Committee in the preparation, development or implementation of the laws, administrative provisions and other measures to be fulfilled.

No military use

42. The cultural property concerned must not be used for military purposes or to shield military sites. The Party which has control over the cultural property has to make a declaration confirming that the cultural property will not be used for military purposes or to shield military sites. In accordance with Article 3 of the Second Protocol, these provisions also apply in times of peace. Article 10(c) of the Second Protocol
43. The guarding of cultural property by armed custodians specially empowered to do so, or the presence, in the vicinity of such cultural property, of police forces normally responsible for the maintenance of public order, is not deemed “use for military purposes”. Article 8(4) of the Convention

Procedure for granting enhanced protection

44. The Parties are entitled and encouraged to submit to the Committee requests for the granting of enhanced protection to cultural property under their jurisdiction or control. The Committee, which establishes and maintains the List, decides in each particular case whether the criteria set out above are met. The request for the granting of enhanced protection needs to be prepared in accordance with the format set out in Annex I.
45. The request for the granting of enhanced protection may be submitted by or in cooperation with, where appropriate, the Permanent Delegation to UNESCO of the Party to the Committee through the Secretariat at any time during the year. Requests need to be received by the Secretariat on or before 1 March of each year in order to be considered at the upcoming meeting of the Committee. Requests received after this deadline will be considered during the next meeting of the Committee.
46. The Secretariat acknowledges the receipt, checks for completeness and registers the request. The Secretariat requests any additional information from the Party, as appropriate, and all such information must be integrated in a single submission of one complete file. The Secretariat forwards complete requests to the Bureau for *prima facie* evaluation together with a review of completeness prepared

- by the Secretariat. The timetable for registration and processing of requests is detailed in paragraph 119. Incomplete requests are also brought to the attention of the Bureau.
47. A request which is not completed within three years following the initial submission date will be considered expired. It can be resubmitted following the regular procedure as per paragraph 45.
 48. The Bureau will forward the request, including its evaluation, to the Committee and may propose a decision.
 49. Once the Committee has received a request, it informs all Parties of the request for inclusion in the List. Parties may submit a representation concerning the request to the Committee within 60 days. These representations may only be made on the basis of the criteria mentioned in Article 10 and will be specific and related to facts. Article 11(5) of the Second Protocol
 50. The Committee considers the representations, made on the basis of the criteria mentioned in Article 10, providing the requesting Party with a reasonable opportunity to respond before making a decision within its mandate.
 51. In exceptional cases, if the cultural property does not meet the criteria laid down in Article 10(b), the Committee requires the Party which has control or jurisdiction over the cultural property to submit a request for international assistance under Article 32. Articles 11(2) and (8) of the Second Protocol
Article 32(1) of the Second Protocol
 52. The Committee may decide to invite a Party to request inclusion of cultural property in the List. Other Parties as well as ICBS and other NGO's with relevant expertise may recommend cultural property to the Committee, through the Secretariat, for inclusion in the List. The Secretariat acknowledges receipt, checks for completeness, registers the recommendation and forwards to the Bureau (regular basis) or directly to the Committee (emergency basis) should the recommendation be considered complete. In such cases, the Committee may decide to invite the Party concerned to request inclusion of that property in the List.
 53. The requests for provisional enhanced protection on an

emergency basis can be submitted anytime, notwithstanding the deadline specified in paragraph 45. In case of a submission of a request for provisional enhanced protection on an emergency basis, the Secretariat acknowledges receipt, checks for completeness and registers the request. The Secretariat forwards the request directly to the Committee, together with its review of completeness. The timetable for registration and processing of requests for provisional enhanced protection on an emergency basis is detailed in paragraph 120.

Tentative lists

- 54.** For the purposes of the Guidelines the term “tentative list” means a list of cultural property for which a Party intends to request the granting of enhanced protection. Parties are encouraged to submit tentative lists in order to facilitate the Committee’s maintenance and update of the List as well as the management of requests for international assistance. Parties may amend their tentative lists as appropriate. However, the fact that cultural property has not been included in the tentative list does not prevent the Party from requesting the granting of enhanced protection for such cultural property. Article 11(1) of the Second Protocol
- 55.** The tentative list, which contains a brief description of the cultural property, is submitted by the Party to the Committee through the Secretariat.

Content of a request

- 56.** A request to be considered as “complete”, the following requirements (see format in Annex I) need to be met: Article 11(2) of the Second Protocol

a. Identification of the cultural property

- 57.** The boundaries of an immovable cultural property and, as appropriate, its immediate surroundings are clearly defined, and the Universal Transverse Mercator (“UTM”) co-ordinates of the boundaries of such property are marked on the map(s) attached to the request. Maps are sufficiently detailed to determine precisely which area of land and/or building(s) are nominated. Movable cultural property is

identified by its detailed descriptions and sufficient images.

- 58.** The location of the cultural property (including shelters or other storage for movable cultural property) should be indicated by reference to its geographical location. At a minimum, the approximate central point of each cultural property should be indicated by a pair of coordinates in the Universal Transverse Mercator system. Boundaries of a wider property could be indicated by providing a list of coordinates indicating the course of the property boundary. In case of movable cultural property this information refers to the location where this cultural property is stored or intended to be stored.

b. Description of the cultural property

- 59.** The Party provides the relevant information and documentation on the cultural property concerned, including those on the present state of conservation, the appearance of the cultural property, as well as its history and development. This includes a description on how the cultural property has reached its present form and the significant changes that it has undergone.
- 60.** In accordance with paragraphs 32-35, the requesting Party is expected to provide facts needed to support and substantiate the argument that the cultural property concerned has exceptional cultural significance, and/or is unique, and/or its destruction would lead to irretrievable loss for humanity.

c. Protection of the cultural property

- 61.** The Party includes a list of the legal and administrative measures regarding the adequate protection and maintenance of the cultural property. It provides a detailed analysis with regard to the practical implementation of the protection measures and the safeguarding of the highest level of protection. Legislative, regulatory, and/or institutional texts, or an abstract of the texts, are attached to the request. The information provides the facts needed to support and substantiate the argument that the cultural property meets the criterion of being adequately protected under Article 10(b).

d. Use of the cultural property

62. The Party describes the use of the cultural property. It provides all relevant information to establish that the property is not used for military purposes or to shield military sites. In addition, a declaration, issued by the national authority which has been authorized by the State concerned as competent for this matter, confirms that the cultural property and, as appropriate, its immediate surroundings will not be used for military purposes or to shield military sites is attached to the request. The information provides the facts needed to support and substantiate the argument that the cultural property meets the criterion laid down in Article 10(c).

e. Information regarding responsible authorities

63. Detailed contact information of responsible authorities is provided in the request.

f. Signature on behalf of the Party

64. The request is duly signed by the Party's competent authorities.

g. Documentation

65. Parties are expected to submit their requests together with all documentation necessary to substantiate the request, both in printed form as well as in electronic format (Word and/or PDF format preferred) in one of the two working languages of the Secretariat. Parties are expected to ensure that the information submitted is accurate.

Emergency request

66. If a Party submits a request upon the outbreak of hostilities the request is to be considered as an "emergency request" under Article 11 (9). The emergency request has to meet the requirements a., b., d., e., f. and g. as set forth in paragraphs 57 - 65. Article 11(9) of the Second Protocol

Withdrawal of a request

67. A Party may withdraw in writing a request it has submitted at

any time prior to the Committee's meeting at which it is scheduled to be examined. The Party can resubmit a request for the cultural property, which will be considered as a new request.

Information about a change of situation

- 68.** The Party is expected to inform the Committee of any change affecting the cultural property concerned to meet the criteria set out in Article 10 in order to allow an update and, where appropriate, a reconsideration of the status of enhanced protection and/or a new decision by the Committee.

Decisions of the Committee on Enhanced Protection

- 69.** The Committee decides by a majority of two-thirds of its members present and voting whether a cultural property shall be granted or denied enhanced protection or whether the request should be referred or deferred. When preparing its decisions on enhanced protection, the Committee may, if considered necessary, decide to request advice in accordance with Article 11(6) of the Second Protocol. If required, the Committee may also decide to finance such advisory services through the Fund for the Protection of Cultural Property in the Event of Armed Conflict. In two exceptional cases, a majority of four-fifths of the members of the Committee present and voting is needed:
- i) when Parties make a representation to the Committee on the basis of another Party's request for inclusion in the List; and
 - ii) when a Party requests enhanced protection on an emergency basis.
- 70.** Members of the Committee may not participate in the voting on any decisions relating to cultural property affected by an armed conflict to which they are parties.
- 71.** When deciding to grant enhanced protection to a cultural property, the Committee adopts a "Statement of Inclusion of the Property on the International List of Cultural Property under Enhanced Protection" (hereinafter "the Statement"). The Statement confirms that all criteria laid down in Article
- Article 26(2) of the Second Protocol
- Rules of Procedure of the Committee
- Article 11(5) and 11(9) of the Second Protocol
- Article 26(3) of the Second Protocol

10 are met, except for decisions on enhanced protection in exceptional cases or on provisional enhanced protection on an emergency basis. Therefore, a summary of the Committee's reasoning regarding the questions whether the cultural property is of greatest importance for humanity, including the assessments of its adequate domestic protection and its non-military use, are included. The Statement is the basis for the further protection of the cultural property. At the time of decision, the Committee may also make other recommendations concerning the protection of the cultural property. Enhanced protection is granted from the moment of the entry of the cultural property in the List.

72. The Committee immediately informs the Director-General of its decision to include cultural property in the List. The Director-General in turn notifies without delay the Secretary-General of the United Nations and all Parties to the Second Protocol of the decision of the Committee. Article 11(11) of the Second Protocol
73. If the Committee decides to deny enhanced protection to a cultural property, it will generally not accept an identical request.
74. Requests which the Committee decides to refer back to the Party for additional information and/or documentation may be resubmitted to the Committee for examination. A referred request which is not presented to the Committee within three years following the original decision of the Committee will be considered as a new request when it is resubmitted for examination, following the regular procedure.
75. The Committee may decide to defer a request for more in-depth assessment, study or a substantial revision by the Party. If the Party decides to resubmit the revised request, this request will then be reevaluated according to the regular procedure.

Decision on enhanced protection in exceptional cases

76. The Committee may grant enhanced protection in exceptional cases to a cultural property which does not meet the criteria laid down in Article 10(b) provided that the Party submits a request for international assistance under Article 32 of the Second Protocol. The Committee may advise the Article 11(8) of the Second Protocol

Party concerned with regard to the compliance with Article 10(b), by proposing, among other things, a specific programme for the adoption of adequate domestic legal and administrative measures of protection in line with paragraphs 38-41. The Party should submit a detailed report on the compliance with the criteria laid down in Article 10(b). To grant enhanced protection in such cases, the Committee follows the procedure outlined in paragraphs 69 - 75. However, the Statement points out that the criteria laid down in Article 10(a) and 10(c) are met and that the Party has already submitted a request.

77. If the criteria set forth in Article 10(b) are not met within a given period of time by the Party, the enhanced protection may be suspended by the Committee.

Decision on provisional enhanced protection

78. Upon the outbreak of hostilities, the decision on provisional enhanced protection on an emergency basis is taken by the Committee as soon as possible, following the timetable and procedures laid down in paragraph 120. Such a decision can only grant provisional enhanced protection pending the outcome of the regular procedure. The provisional enhanced protection will only be granted if the criteria laid down in Article 10(a) and 10(c) are met. When deciding to grant provisional enhanced protection to a cultural property, the Committee adopts a “Statement of Provisional Inclusion of the Property on the International List of Cultural Property under Enhanced Protection”. This statement summarizes the Committee's reasoning regarding the question whether the outbreak of hostilities does not allow for a regular procedure and whether the cultural property meets the criteria laid down in Article 10(a) and 10(c). Provisional enhanced protection is granted from the moment of the entry of the cultural property in the List.
79. Cultural property under provisional enhanced protection enjoys similar immunity under the 1999 Second Protocol while pending the outcome of the regular procedure for the granting of enhanced protection.
- Article 11(9) of the Second Protocol

III.B The List

- 80.** The Committee establishes, maintains and promotes the List. Article 27(1)(b) of the
The List consists of two divisions: Second Protocol
- a. Division 1: Cultural property under enhanced protection;
and
 - b. Division 2: Cultural property under provisional enhanced
protection.
- 81.** Each cultural property is inscribed in one of the two
divisions. Information about the cultural property and the
scope of its protection is provided as follows:
- a. name and identification of the cultural property;
 - b. description of the cultural property;
 - c. location, boundaries and, as appropriate, immediate
surroundings of the cultural property;
 - d. other relevant information.
- 82.** The information provided for in the above-mentioned
paragraph (d) includes, *inter alia*, the date of entry in the
List, descriptions of an exceptional or emergency situation,
decisions and recommendations made by the Committee, and
conditions set forth by the Committee such as time periods,
as well as suspensions or cancellations.
- 83.** The List is made available by the Secretariat through
appropriate media.

III.C The loss of enhanced protection

- 84.** Cultural property loses its enhanced protection under any of Article 13(1)(a) and
the three below-mentioned conditions: (1)(b) of the Second
Protocol
- a. the enhanced protection is suspended by the Committee;
 - b. the enhanced protection is cancelled by the Committee;
 - c. the cultural property has become, by its use, a military

objective.

- 85.** While the third condition does not need any further clarification, since the notion of “military objective” is defined in Article 1(f), the conditions of suspension and cancellation are to be set forth by the Committee. Article 1(f) of the Second Protocol

Suspension

- 86.** The suspension is a provisional measure which does not result in a permanent loss of the enhanced protection but in an interruption of the protection when the criteria for granting it are no longer met. When the criteria are met again, the Committee will decide whether to resume the enhanced protection. Article 14 of the Second Protocol
- 87.** The Committee may suspend the enhanced protection under two conditions: Article 14(1) and (2) of the Second Protocol
- a. if the cultural property does no longer meet any of the criteria laid down in Article 10; or
 - b. if there is a serious violation of Article 12 in relation to cultural property under enhanced protection arising from its use in support of military action.
- 88.** Since the suspension is a provisional measure, the Committee may only suspend the enhanced protection if the criteria laid down in Article 10, which are no longer met at the time of decision, may be fulfilled again at a later date. This applies to the criteria laid down in Articles 10(b) and (c), since the criteria of both adequate domestic protection and the non-military use may not be established for a certain period of time, but may be re-established at a later stage.
- 89.** The Committee may suspend the enhanced protection if the cultural property or its immediate surroundings are used in support of military action.

Cancellation

- 90.** Cancellation is a definitive measure. It leads to the permanent loss of the enhanced protection. The Committee may cancel enhanced protection under two conditions: Article 14(1) and (2) of the Second Protocol

- a. the cultural property no longer meets any of the criteria laid down in Article 10; or,
 - b. there is a continuous and serious violation of Article 12 in relation to cultural property under enhanced protection arising from its use in support of military action.
- 91.** Since cancellation is a definitive measure, the Committee may only cancel the enhanced protection if the criteria laid down in Article 10, which are no longer met, cannot be fulfilled at a later date. Article 14(1) of the Second Protocol
- 92.** The Committee may exceptionally cancel the enhanced protection if the cultural property is continuously used in support of military action. The condition of “continuity” is met if the use exceeds the time period of six months and if there is no evidence that such use will end. Article 14(2) of the Second Protocol

III.D Procedure on suspension and cancellation

- 93.** When considering the suspension or cancellation of enhanced protection the Committee may, inter alia, consult, in an advisory capacity, eminent professional organizations, such as those mentioned in paragraph 24.
- 94.** Before suspending or cancelling the enhanced protection, the Committee informs the Party of its intention to suspend or cancel the enhanced protection and provides its reasons. The Committee sets forth a time period for the reply of the Party. This period does not exceed three months.
- 95.** The Committee immediately informs the Director-General on its decision to suspend or cancel the enhanced protection of cultural property. The Director-General informs, without any delay, the Secretary-General of the United Nations and all Parties to the Protocol of the Committee's decision to suspend or cancel the enhanced protection of cultural property. Article 14(3) of the Second Protocol

Suspension

- 96.** If the Committee suspends the enhanced protection, the cultural property is not removed from the List. However, the

suspension is duly noted in the List.

97. The Committee will decide whether to re-establish the enhanced protection if the Party proves that the criteria laid down in Articles 10(b) or (c) are met again or that the cultural property is no longer used for military purposes or to shield military sites. The re-establishment of the enhanced protection is duly noted in the List.

Cancellation

98. If the Committee cancels the enhanced protection, the cultural property is deleted from the List. The Party may only submit a new request for enhanced protection following the regular procedure.

III.E The Distinctive Emblem for cultural property under enhanced protection and modalities for its use

99. Without prejudice to the provisions of the 1954 Hague Convention and the Regulations for its execution relating to the marking of cultural property under general protection and to the marking of cultural property under special protection, a distinctive emblem (hereinafter the “Distinctive Emblem”) is created for the exclusive marking of cultural property under enhanced protection.
100. “Marking of cultural property under enhanced protection” means marking as defined *ratione materiae* in paragraph 108 of these Guidelines.
101. The considerations related to the visibility of the Distinctive Emblem guide the Parties in their choice of modalities for placing of the Distinctive Emblem.

Modalities for using the Distinctive Emblem

102. The Distinctive Emblem should be used in accordance with the modalities for its use, as set out below. Under these Guidelines, the expression “modalities for using the Distinctive Emblem” covers the basic principles relating to the Distinctive Emblem, the modalities for its use and measures protecting it from misuse.

Basic principles relating to the Distinctive Emblem

- 103.** The Distinctive Emblem is intended to ensure the recognition and identification of cultural property under enhanced protection, particularly during the conduct of hostilities, in order to ensure the effectiveness of the provisions of the Second Protocol and, more particularly, to contribute to the effectiveness of Article 12 on the “Immunity of cultural property under enhanced protection”. The Distinctive Emblem is also intended to ensure legal certainty with regard to criminal responsibility of belligerents in order to ensure reasonable implementation of Article 15 (1) of the Second Protocol. Under these Guidelines, “reasonable implementation” means establishing as criminal offences under domestic criminal law of the Parties serious violations of the Second Protocol as set forth in Article 15 (2) of the Second Protocol.
- 104.** The marking of cultural property under enhanced protection is declaratory of the enhanced protection granted for cultural property pursuant to a decision taken by the Committee. The marking of cultural property, while contributing to the effectiveness of enhanced protection, has no constitutive effect.
- 105.** On account of its use for protective purposes and in order to ensure its visibility, the Distinctive Emblem – without prejudice to the use of other relevant emblems, in particular the World Heritage emblem, to mark cultural property – should be affixed alone without any other logo and/or emblem, due consideration being taken of a combatant’s field of vision when directing an attack, be it from the land, sea or air, during hostilities.
- 106.** The Distinctive Emblem must be used in accordance with the relevant rules of international humanitarian law and the modalities *ratione materiae* and *ratione temporis* for its use specified in these Guidelines. All use of the Distinctive Emblem that is inconsistent with observance of the prescribed rules should be considered to be misuse.
- 107.** When the Committee grants enhanced protection for cultural property under the normal procedure, it encourages the Party

that has jurisdiction or control over that cultural property to mark it by using the Distinctive Emblem for cultural property under enhanced protection. When the Committee is requested to grant enhanced protection under the emergency procedure, it requests the Party that has jurisdiction or control over the cultural property to mark the property.

Modalities for using the Distinctive Emblem

Use ratione materiae

- 108.** The Distinctive Emblem should be used only to mark cultural property under enhanced protection. It may not be used for purposes – e.g. commercial, non-commercial – other than those specified in these Guidelines.
- 109.** The use of the Distinctive Emblem for cultural property under enhanced protection is without prejudice to the provisions of the 1954 Hague Convention and the Regulation for its execution with regard to the application of the “transport under special protection”.

Use ratione temporis

- 110.** In peacetime, Parties that have jurisdiction or control over cultural property under enhanced protection may make preparations to mark such property by using the Distinctive Emblem.
- 111.** In times of armed conflict – i.e. from the outbreak of hostilities leading to the advent of the armed conflict until the end of the armed conflict, including occupation – the Parties to the conflict are encouraged to mark cultural property under enhanced protection by using the Distinctive Emblem.
- 112.** Without prejudice to Article 17 paragraph (2) of the 1954 Hague Convention, in the event of suspension or cancellation of enhanced protection by the Committee, Parties that have jurisdiction or control over the cultural property concerned by said suspension or cancellation should remove the Distinctive Emblem that had been used to mark the property.

Modalities for placing the Distinctive Emblem

- 113. The Distinctive Emblem should be placed and the extent of its visibility determined at the discretion of the Parties' competent authorities.
- 114. As cultural property under enhanced protection is primarily part and parcel of heritage that is of the greatest importance to humanity, the Distinctive Emblem should be placed on the cultural property in a manner benefitting the property.
- 115. The Parties' resources permitting, technological developments will determine the means used – in times of peace and in times of armed conflict – to place the Distinctive Emblem on cultural property, including movable property, under enhanced protection.

Protection of the Distinctive Emblem from misuse

- 116. Use of the Distinctive Emblem that does not comply with principles set out in these Guidelines should be avoided.
- 117. The Parties are encouraged to disseminate the information concerning the Distinctive Emblem and the modalities for its use both within their civilian population and among military personnel.
- 118. The Parties are encouraged to enact legislation on the protection of the Distinctive Emblem and the modalities for its use and/or take other measures, as appropriate, on the protection of the Distinctive Emblem and the modalities for its use.

III.F Timetable – Overview

**119. TIMETABLE FOR PROCEDURES
REQUESTS FPR
ENHANCED
PROTECTION**

1 March

Deadline by which requests must be received by the Secretariat to be transmitted to the Bureau for its *prima facie* evaluation.

Requests received after this date will be considered, - where applicable,

during the next meeting of the Committee, unless they are submitted on an emergency basis.

1 March – 1 April

Registration, assessment of completeness and transmission to the Bureau.

The Secretariat registers each request, acknowledges receipt to the requesting Party upon receipt of the file. The Secretariat informs the requesting Party whether or not the request is complete.

1 April

Deadline by which the Secretariat informs the Party of the receipt of a request, whether it is considered complete and whether it has been received by 1 March.

If a request is incomplete, the Party concerned will be advised of information required to complete the request.

1 July

Deadline by which the requesting Party must provide additional information asked by the Secretariat in order to complete the request.

A request which is not completed within three years following the initial submission date will be considered expired. It can be resubmitted following the regular procedure as per paragraph 45.

12 weeks prior to the annual meeting of the Committee Deadline by which the Secretariat transmits requests to the Bureau (see paragraph 46).

9 weeks prior to the annual meeting of the Committee The Bureau forwards the request, as well as its evaluation referred to in paragraph 48, where applicable, to the Committee.

Once the Committee has received a request, it immediately informs all Parties of the request for inclusion in the List. Parties may submit a representation concerning the request to the Committee within 60 days. These representations may only be made on the basis of the criteria mentioned in Article 10, and must be specific and related to facts.

Immediately following the annual meeting of the Committee The Committee immediately informs the Director-General of its decision to include cultural property in the List. The Director-General in turn notifies without delay the Secretary-General of the United Nations and all Parties of the decision of the Committee.

120. TIMETABLE FOR REQUESTS FOR PROVISIONAL ENHANCED PROTECTION ON AN EMERGENCY BASIS PROCEDURES

Anytime Requests for provisional enhanced protection on an emergency basis can be submitted anytime.

Within 10 days following the submission of a request

The Secretariat acknowledges receipt, checks for completeness and registers the request.

The Secretariat transmits the request to the Committee together with its review of completeness. The Committee may hold prior consultations with its Bureau whenever possible.

Within 2 weeks following the submission of a request

In accordance with the Rules of Procedure of the Committee, if necessary, the request for the convocation of an extraordinary session of the Committee may be submitted.

Immediately following the transmission of a request to the Committee

The Committee immediately informs all Parties of the request for provisional enhanced protection on an emergency basis, and, whenever relevant, its decision on the convocation of an extraordinary session. Parties may submit a representation concerning the request to the Committee until 24 hours prior to the opening of its session. These representations may only be made on the basis of the criteria laid down in Article 10, and must be specific and related to facts.

Immediately following the decision by Committee

The Committee immediately informs the Director-General of its decision to provisionally include cultural property in the List. The Director-General in turn notifies without delay the Secretary-General of the United Nations and all Parties of the

decision of the Committee.

In accordance with Article 11(9) of the Second Protocol, provisional enhanced protection may be granted by the Committee pending the outcome of the regular procedure for the granting of enhanced protection, provided that the criteria laid down in Article 10 (a) and 10 (c) are met.

IV. DISSEMINATION

- 121.** The Second Protocol requires Parties to disseminate as widely as possible its provisions in time of peace and in time of armed conflict. Parties undertake by appropriate means to strengthen appreciation and respect for cultural property by their entire population. Particular attention should be paid to encouraging educational and informational programmes. Article 30 of the Second Protocol
- 122.** Any military or civilian authority which, in time of armed conflict, assumes responsibilities with respect to the application of the Second Protocol, has to be fully acquainted with the text thereof. To this end, the Parties are required to, as appropriate:
- incorporate guidelines and instructions on the protection of cultural property in their military regulations, doctrine and training materials,
 - develop and implement, in cooperation with UNESCO and relevant governmental and non-governmental organizations, peacetime training and educational programmes,
 - communicate to one another, through the Director-General, information on laws, administrative provisions and measures taken under the preceding paragraphs, and
 - communicate to one another, as soon as possible, through the Director-General, the laws and administrative provisions which they have adopted to ensure the application of the Second Protocol.

V. MONITORING AND SUPERVISION OF THE IMPLEMENTATION OF THE SECOND PROTOCOL

- 123.** The Second Protocol strengthens the protection of cultural property by granting the Committee a function to monitor and supervise its implementation. To assist in the implementation of this function, the Committee may consult, in an advisory capacity, with organizations indicated under Article 27(3) of the Second Protocol. The Committee exercises the monitoring function in time of peace, as well as in the event of armed conflict or occupation, through the processes of Periodic Reporting and Ad Hoc Monitoring. The Committee supervises the implementation of the Second Protocol by making recommendations.
- Article 27(1)(c) of the Second Protocol
- Article 27(1)(d) of the Second Protocol
- 124.** Monitoring and supervision mechanisms are governed by the following principles:
- The principle of effectiveness entails that monitoring and supervision mechanisms shall be operational and result-oriented in line with the object and purpose of the Second Protocol;
 - The principle of efficiency entails that monitoring and supervision mechanisms shall be adequate to the resources at the disposal of the Committee, UNESCO and/or Party(ies) concerned;
 - The principle of cooperation entails that monitoring and supervision mechanisms will contribute to the spirit of collaboration within and between the concerned Party(is), as well as between concerned States and UNESCO;
 - The principle of consent, applicable to, inter alia, monitoring missions entails that Party(ies) to the conflict do(es) not oppose the deployment of the mission.

V.A Periodic Reporting

- 125.** As High Contracting Parties to the Convention and Parties to the Second Protocol are required to report every four years
- Article 26(2) of the Convention

on the implementation of the named instruments, Parties to the Second Protocol are invited to follow the same four-year reporting period as for the Convention.² While reports concerning the implementation of the Convention are addressed to the Director-General, periodic reports on the Second Protocol are addressed to the Committee through the Secretariat. According to the Second Protocol, the Parties are required to report to the Committee on measures taken by them to implement the Protocol. The Committee will in turn consider and comment on these reports and prepare its own report to the Meeting of the Parties.

Article 37(2) of the
Second Protocol

126. In order to facilitate the implementation of the provisions of the Second Protocol by the Parties, the Committee encourages Parties to submit their reports on the implementation of the Second Protocol together with their report on the implementation of the Convention. The periodic reports duly inform on the legal, administrative and practical implementation measures adopted by the Parties.

127. The Committee requests Parties to cover the following items in their periodic reports on the implementation of the Second Protocol:

- Implementation of general provisions regarding protection
 - To inform on peacetime preparatory measures for the safeguarding of cultural property undertaken or envisaged to be undertaken.
 - Parties which are occupying powers, to inform how the provisions of the Protocol concerning the protection of cultural property in occupied territory are complied with.
- Implementation of provisions regarding enhanced protection
 - To inform whether the Party intends to request the inclusion of cultural property in the List.
 - To inform on the use of the emblem, as stated in

Chapter 2 of the
Second Protocol

Chapter 3 of the
Second Protocol

² The High Contracting Parties, which are Parties to the Second Protocol, were asked by the Director-General of UNESCO to forward their first reports on the implementation of the Second Protocol by 1 July 2008.

Chapter III.E of the Guidelines.

- Implementation of provisions regarding criminal responsibility
 - To inform on national legislation concerning criminal responsibility for serious violations within the meaning of the Second Protocol.
 - To inform on national legislative, administrative or disciplinary measures to suppress other violations.
 - Implementation of provisions regarding dissemination
 - To inform on the measures taken concerning dissemination.
 - Implementation of provisions regarding technical assistance
 - Any other activities relating to the Second Protocol, including activities at bi- or multilateral level, in order to share their experiences or best practices, as referred to in paragraph 169 of the Guidelines.
- 128.** Parties to the Second Protocol should also provide the Secretariat with the name and address of a single national focal point for all official documents and correspondence related to the implementation of the Second Protocol by their relevant authorities. Unless a Party requests otherwise, the presumed focal point would be its Permanent Delegation to UNESCO. The Secretariat will make a list of these addresses available on its website.
- 129.** The Parties are also invited to inform the Committee through the Secretariat, on a voluntary basis, of all legislative, judicial or other matters relevant to the Parties' implementation of the Second Protocol. The Secretariat will register this information in a database.

V.B Ad Hoc Monitoring

Article 27(1)(c) of the
Second Protocol

- 130.** Ad Hoc Monitoring is the reporting by the Secretariat on the state of protection of cultural property inscribed on the International List of Cultural Property under Enhanced Protection or other cultural property. To this end, the Parties concerned are encouraged to submit to the Committee, through the Secretariat, detailed information whenever exceptional circumstances occur, or actions which may be taken as a contravention of obligations stipulated under the Second Protocol.
- 131.** Ad Hoc Monitoring is initiated by the Committee upon the receipt of information from the Party or the relevant eminent international and national governmental and non-governmental organizations, having objectives similar to those of the Convention and its Protocols such as those mentioned in Article 27(3) of the Second Protocol, concerning any one or any combination of the following elements:
- (i) Lack of preparatory measures taken in time of peace for the safeguarding of cultural property against the foreseeable effects of an armed conflict in accordance with Article 5 of the Second Protocol;
 - (ii) Damage to or destruction, including theft, pillage, or misappropriation of, acts of vandalism directed against cultural property, as well as an imminent threat to a cultural property, during armed conflict;
 - (iii) Loss of one of the conditions in Article 10 of the Second Protocol for cultural property under enhanced protection;
 - (iv) Failure to prohibit and prevent any of the acts listed under Article 9(1) and Article 21(b) of the Second Protocol.
- 132.** Ad Hoc Monitoring may be initiated in time of peace, during or after armed conflict or occupation. The initiation of Ad Hoc Monitoring for a cultural property situated in a territory, sovereignty or jurisdiction over which is claimed by more than one State, shall in no way prejudice the rights of the Parties to the dispute.

Procedure for initiation of Ad Hoc Monitoring

- 133.** The Parties to the Second Protocol are invited to inform the Committee, through the Secretariat, of any information indicated in paragraph 131, at any time, in paper or in electronic format, (Word and/or PDF format preferred) using the form in Annex VII, in English or French.
- 134.** During armed conflict, information on damage to, or destruction of, a cultural property, or confirmation of an imminent threat to a cultural property, is submitted on an emergency basis. The Committee will consider this information as soon as possible on an *ad hoc* basis.
- 135.** For cultural property under enhanced protection, advance notice should be given by the Party concerned as soon as possible, before making any decisions that would be difficult to reverse, so that the Committee may assist in seeking appropriate solutions to ensure that the immunity of the property is fully preserved.
- 136.** When the Secretariat receives information – preferably detailed and, if available, accompanied by visual evidence – as indicated in paragraph 131, from a source other than the Party, it will, as far as possible, verify the contents of the information in consultation with the Party concerned and request its comments. During armed conflict, within its mandate and subject to the availability of funds, the Secretariat conducts independent assessment of the information.
- 137.** The information received, together with the comments of the Party concerned, is brought to the attention of the Committee in the form of a report by the Secretariat. The Committee considers the report and may take one or more of the following decisions:
 - (a) when the Committee considers that the information is sufficient, it may decide on specific international assistance which could be granted to the Party to prevent or mitigate threats;
 - (b) when the Committee considers that the Party concerned has not adopted preparatory measures in time of peace for the safeguarding of cultural property against the

foreseeable effects of armed conflict, it may invite the Party concerned to take the necessary measures within an agreed reasonable period of time. It may also offer and, following consultation with the Party concerned, grant international assistance to implement these measures. In some circumstances, the Party concerned may wish to invite an Advisory mission to seek advice to this end;

- (c) when the Committee considers that a cultural property is damaged or destroyed, or it has been subject to theft, pillage, or misappropriation, or acts of vandalism directed against it or that there is an imminent threat to a cultural property, during armed conflict, it may invite the Party concerned to take necessary actions in accordance with Chapter 4 of the Second Protocol on criminal jurisdiction and responsibility. In some circumstances, the Party concerned may wish to invite an Advisory mission to seek advice to this end;
- (d) when the Committee considers that cultural property under enhanced protection no longer meets any of the conditions in Article 10 of the Second Protocol, it may suspend its enhanced protection status or cancel that status by removing that cultural property from the List, in accordance with the procedure laid down in the Guidelines;
- (e) when the Committee considers that a Party in occupation of the whole or part of the territory of another Party may have failed to prohibit or prevent acts listed under Article 9(1) and Article 21(b) of the Second Protocol, it may invite the Party in control of the cultural property to take the necessary corrective actions or, if applicable, invite the concerned Parties to settle their matters through an appropriate dispute resolution mechanism based on relevant international law;
- (f) When the information is not sufficient to enable the Committee to take the decisions indicated in (a), (b), (c), (d) and (e) above, the Committee may invite the Party concerned to submit a State of Protection report at least six months prior to the ordinary session of the Committee using the standard format in Annex V, in English or French. In urgent matters, the Committee will consider the report as soon as possible on an ad hoc basis. The Committee may also decide on the dispatch of a State of

Protection mission in accordance with paragraph 138 of the Guidelines. The Committee may also request the Secretariat to provide complementary information through the use of data acquired from remote sensing technologies, taking into account the principles relating to remote sensing monitoring (Annex VI), in consultation with the Party concerned. The Secretariat will report to the Committee on the results of its action.

(g) that no further action should be taken.

- 138.** The terms of reference of State of Protection missions, composed of representative(s) of the Secretariat and/or the relevant organizations indicated under Article 27(3) of the Second Protocol or experts, are proposed by the Secretariat, in line with the decision adopted by the Committee, and consolidated in consultation with the Party(ies) concerned. The overall mandate of such missions will be limited to conducting a technical assessment of the state of the cultural property or measures to be taken in a non-investigative manner. The costs of the State of Protection missions are borne by Special Account for the Protection of Cultural Property in the Event of Armed Conflict (1954 Hague Convention). During armed conflict or occupation, missions requested by the Committee will be dispatched on an emergency basis.
- 139.** The terms of reference of Advisory missions are proposed by the Party itself and consolidated in consultation with the Secretariat. In principle, the entire costs of Advisory missions are borne by the Party except when the Party concerned cannot bear the cost. In this case, the costs of the advisory missions are borne by Special Account for the Protection of Cultural Property in the Event of Armed Conflict (1954 Hague Convention).

V.B Reports of the Committee to the Meeting of the Parties

- 140.** An important function of the Committee is to monitor and supervise the implementation of the Second Protocol, and to promote the identification of cultural property under enhanced protection. The Committee is entitled to consider and comment on the reports of the Parties, to seek clarification as required, and to prepare its own report on the
- Article 27(1)(c) of the Second Protocol
- Article 27(1)(d) of the Second Protocol

implementation of the Second Protocol to the Meeting of the Parties. In fulfilling its functions, the Committee makes recommendations, as appropriate.

- 141.** The Committee will, with the assistance of the Secretariat, keep under review all aspects of the implementation of the Second Protocol. The Committee will make full use, to the extent possible, of the periodic reports, representations and other communications from Parties. The Committee may also make use of information and advice from stakeholders, as referred to in paragraph 13 of the Guidelines, as well as of the information and documentation services of UNESCO.
- 142.** The Committee takes, at a minimum, the following issues into account in its report:
- Parties' requests for inclusion of cultural property in the List;
 - Parties' requests for international assistance;
 - International cooperation; and,
 - The use of the Fund.

VI. INTERNATIONAL ASSISTANCE

- 143.** In order to strengthen protection of cultural property, the Second Protocol distinguishes the following forms of assistance: Articles 29, 32 and 33 of the Second Protocol
- a. International assistance provided by the Committee (Article 32 of the Second Protocol), including financial and other assistance from the Fund (Article 29 of the Second Protocol);
 - b. Technical assistance provided by the Parties through the Committee (Article 32 of the Second Protocol);
 - c. Technical assistance provided by the Parties at bi- or multilateral level (Article 33 of the Second Protocol); and,
 - d. Technical assistance provided by UNESCO (Article 33 of the Second Protocol).

Examples of forms of assistance and a procedural matrix are listed in Table 1 of Annex III.

- 144.** The granting of international assistance is not, however, automatic and depends on compliance with the conditions set forth by the Second Protocol and the relevant parts of the Guidelines, as well as on available means.

VI.A International assistance provided by the Committee, including financial and other assistance from the Fund

Scope of international assistance provided by the Committee

- 145.** International assistance provided by the Committee may be requested by: Articles 32 and 3(2) of the Second Protocol
- a Party at any time or
 - a party to a conflict which is not a Party to the Second Protocol but which accepts and applies provisions in accordance with Article 3(2) of the Second Protocol during the conflict.
- 146.** International assistance provided by the Committee may be requested for: Articles 11(8), 29(1) and 32(1) of the Second Protocol
- cultural property under enhanced protection;
 - cultural property submitted for inclusion in the List provided that the Committee has concluded that the criteria of Article 10(b) cannot be fulfilled; and,
 - cultural property in support of measures referred to in Article 29(1).
- 147.** International assistance provided by the Committee is in principle complementary to national measures taken by an applicant for the protection of its cultural property.
- 148.** The Committee may use the Fund for financing international assistance provided by the Committee. Articles 29(1) and (3) of the Second Protocol

Forms of international assistance provided by the Committee

- 149.** The Committee assesses the requests for international assistance and, in case of approval, co-ordinates this assistance.
- 150.** International assistance provided by the Committee may be of technical or consultative character, covering in particular legal, administrative, military and practical aspects of the protection of cultural property. Article 32 of the Second Protocol
- 151.** International assistance provided by the Committee may, in accordance with the available means, be granted for the following purposes:
- a. preparatory measures;
 - b. emergency measures; and,
 - c. recovery measures.
- 152.** Preparatory measures are in principle taken in times of peace:
- a. to support Parties' overall domestic sustainable efforts related to cultural property; Article 5 of the Second Protocol
 - b. to contribute to the preparation and development of administrative or institutional measures, provisions and structures for the safeguarding of cultural property; and, Article 10(b) of the Second Protocol
 - c. to contribute to the preparation, development or implementation of the laws, administrative provisions and measures recognizing the exceptional cultural and historic value and ensuring the highest level of protection of cultural property to be nominated for enhanced protection. Examples of possible measures are listed in Table 2 of Annex III.
- 153.** Emergency measures are, in principle, taken during an armed conflict. Their essential purpose is to ensure the adequate protection of the cultural property concerned and to prevent its deterioration, destruction or looting. Examples of possible measures are listed in Table 2 of Annex III. Article 10(b) of the Second Protocol
- 154.** Recovery measures are, in principle, taken after a conflict. Article 5 of the Second

Their essential purpose is to ensure the preservation and conservation of cultural property damaged in connection with the conflict as well as the return of the cultural property which has been removed. Examples of possible measures are listed in Table 2 of Annex III. Protocol

Priorities and principles for granting international assistance provided by the Committee

- 155.** While considering requests for international assistance, and taking into account special needs of applicants that are developing countries, priority is given bearing in mind the emergency or the preventive nature of the request. Emergency measures are of the highest priority.
- 156.** The Committee's decisions in granting international assistance may be, among others, guided by the following considerations:
- a. the probability that the assistance will have a catalytic and multiplier effect (“seed money”) and promote financial and technical contributions from other sources;
 - b. whether the legislative, administrative and, wherever possible, financial commitment of the recipient is available to the activity;
 - c. the exemplary value of the activity; and,
 - d. the cost efficiency of the activity.
- 157.** Further guidance for requests concerning international assistance and description of the process of considering requests for international assistance provided by the Committee is provided in detail below in Chapter VI.E of the Guidelines.

Monitoring and evaluation of international assistance provided by the Committee

- 158.** The Secretariat assists in the monitoring of the implementation of the international assistance provided by the Committee and regularly informs it of outcomes of its monitoring.

159. The recipient of the international assistance provided by the Committee submits to the Secretariat the final report on the implementation of that assistance within three months of the completion of projects carried out. The Secretariat presents this report to the Committee.

VI.B Technical assistance provided by the Parties through the Committee

160. Parties are encouraged to provide all kinds of technical assistance through the Committee to those Parties or parties to the conflict who request it. Article 32(4) of the Second Protocol

161. Assistance provided by the Parties through the Committee may concern all cultural property and it may be applied at any time. Forms of technical assistance are defined by the Parties offering assistance. The Meeting of the Parties and the Committee may also give recommendations concerning such technical assistance.

162. A party to an armed conflict which is not Party to the Second Protocol but which accepts and applies the provisions of the Second Protocol in accordance with its Article 3(2), may request technical assistance only during the conflict. Article 32(2) of the Second Protocol

163. Parties providing technical assistance are responsible for its funding.

164. Requests concerning technical assistance are addressed to the Committee through the Secretariat, which will transfer the information to the national focal points of the Parties for their consideration.

165. Parties in a position to provide technical assistance are invited to inform the Committee of the possibilities of providing such assistance.

166. The Committee, through the Secretariat, informs the requesting Parties or parties to a conflict accordingly.

167. After giving such information, it is incumbent upon the providing Parties and the requesting Parties or parties to a conflict to proceed and convene directly the provision of

such assistance.

VI.C Technical assistance provided by the Parties directly at bi- or multilateral level

- 168.** Parties are encouraged to provide technical assistance at bi- or multilateral level. Such assistance is at their discretion. For this purpose, Parties are invited to be in direct contact with each other through their national focal points. Article 33(2) of the Second Protocol
- 169.** The Parties having activities at bi- or multilateral level are invited to inform the Committee, through the Secretariat, in their periodic reports, of their activities in order to share their experiences or best practices.

VI.D Technical assistance provided by UNESCO

- 170.** A Party may call upon UNESCO for technical assistance in organizing the protection of its cultural property, such as preparatory action to safeguard cultural property, preventive and organizational measures for emergency situations and compilation of national inventories of cultural property, or in connection with any other problem arising out of the application of the Second Protocol. UNESCO may also provide its services in accordance with Articles 33(3) and 22(7) of the Second Protocol. Article 33(1) of the Second Protocol
Articles 33(3) and 22(7) of the Second Protocol
- 171.** Examples of possible measures of technical assistance provided by UNESCO are listed in Table 3 of Annex III.
- 172.** The Secretariat will provide the Committee during its sessions with the relevant information concerning the technical assistance provided to the Parties.

VI.E Process of considering requests for international assistance provided by the Committee, including financial and other assistance from the Fund

- 173.** The Committee will work in close co-operation as appropriate with the Parties, the relevant eminent international and national governmental and non-governmental organisations, and the Secretariat in order to ensure the proper handling of requests for different categories of assistance so that the assistance is provided in Article 27(3) of the Second Protocol

the most adequate manner in order to advance the aims of the Second Protocol.

- 174.** In particular, they keep each other informed, as appropriate, of the requests submitted and the assistance provided in order to avoid the duplication of efforts, time and resources. The transmission of information is to be carried out, among others, through the reports of the Committee to the Meeting of the Parties.

Requests concerning international assistance provided by the Committee

- 175.** The Parties may submit to the Committee requests for international assistance provided by the Committee. Also a party to a conflict which is not a Party to the Second Protocol but which accepts and applies the provisions of the Second Protocol may submit requests for international assistance during the conflict. Requests also may be submitted jointly by two or more Parties concerned. Articles 32(1), 32(2), 11(8) and 3(2) of the Second Protocol
- 176.** The Committee examines each particular case to determine whether the priorities and principles adopted by the Committee concerning international assistance provided by the Committee are met. The Committee may also make reservations or set conditions for the assistance depending on the particular conditions of each case. It may also suggest to the applicant other forms than originally requested if it considers it appropriate.
- 177.** The Committee may decide not to grant assistance if the applicant has not accepted the form of assistance proposed by the Committee.
- 178.** If necessary, the Committee may request the applicant to provide additional information.
- 179.** The Committee may also defer the request if more in-depth assessment, study or substantial revision is required.
- 180.** While examining requests for international assistance, the Committee may also study the possibility of obtaining technical assistance from the Parties. The Committee may

also consult the Secretariat in order to ascertain whether the applicant previously requested assistance from UNESCO for the same purpose.

- 181.** Requests for all forms of international assistance provided by the Committee have to be submitted to the Committee by or in cooperation with the Permanent Delegation of the Party to UNESCO, where appropriate, through the Secretariat, which acknowledges the receipt, verifies the completeness of the request and, if incomplete, requests the applicant to provide the missing information required as listed in Annex II. Only duly completed requests are registered by the Secretariat. The Secretariat informs the applicant of the registration of its request when it is completed. A copy of the form to request international assistance for cultural property provided by the Committee is attached in Annex II.
- 182.** Requests registered by the Secretariat at least six months before the ordinary meeting of the Committee are forwarded to the Bureau of the Committee for its prima facie consideration together with a review of completeness prepared by the Secretariat.
- 183.** The Bureau may consult eminent international and national governmental and non-governmental organisations with the professional expertise for evaluation of the request and, in cases where technical assistance is required, consult Parties offering such assistance. Article 27(3) of the Second Protocol
- 184.** After evaluation, the Bureau will forward the request to the Committee for consideration and appropriate decision. The Bureau may offer any relevant observations. For the above purpose, the Committee assigns the Bureau to prepare the ordinary meeting of the Committee.
- 185.** The Committee will consider requests in its meetings. It decides by a majority of two-thirds of its members present and voting on the requests for international assistance. Article 26(2) of the Second Protocol
- 186.** The Committee communicates its decision through the Secretariat to the recipient of the international assistance within two weeks following the decision. If the international assistance is granted, the Secretariat concludes an agreement with the recipient of the international assistance as

appropriate.

- 187.** Requests for emergency measures may be submitted at any time. As an exception to the six-month deadline set forth in paragraph 182, in view of the urgency, the Committee will consider as soon as possible these requests on an ad hoc basis.
- 188.** The granted international assistance is subject to appropriate monitoring and evaluation by the Committee.

Content of a request

- 189.** Applicants shall submit their requests in writing, by using the application form attached in Annex II, and if possible, in an electronic format provided by the Secretariat. Requests may be submitted in one of the two working languages of the Secretariat.
- 190.** Applicants shall provide all the information requested by the application form. They may submit additional information as appropriate.

VI.F Requests for assistance provided by UNESCO

- 191.** Parties may apply for assistance provided by UNESCO at any time. Following the receipt of the request for such assistance, the Secretariat verifies whether an identical request has not already been submitted for international assistance. If necessary, the Secretariat may request additional information. The Secretariat informs the Committee of the request. If necessary, the Secretariat may consult eminent international and national governmental and non-governmental organizations with the relevant professional expertise for evaluation of the request.
- Article 33 (1) of the
Second Protocol

ANNEX I



unesco

Protection of
Cultural Property in
the Event of Armed Conflict

ENHANCED PROTECTION REQUEST FORM

[APPLICANT STATE]

[NAME OF PROPERTY]

[DATE OF SUBMISSION]

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| | |
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| 2.1 Description and history of the cultural property | N |
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| 6. RESPONSIBLE AUTHORITY/IES | N |
| Signature by the Party's competent authority..... | N |

EXECUTIVE SUMMARY

| | |
|---------------------------|--|
| APPLICANT STATE | |
| DATE OF SUBMISSION | |
| NAME OF PROPERTY | |

| NAME AND CONTACT INFORMATION OF OFFICIAL STATE INSTITUTION(S) | |
|--|--|
| Institution: | |
| Address: | |
| Telephone: | |
| Fax: | |
| E-mail: | |
| Web address: | |

| EMERGENCY REQUEST ¹ | YES / NO |
|---------------------------------------|-----------------|
| If yes, provide justification. | |

ANNEXES ATTACHED TO THE REQUEST ²

| | |
|----------------|---|
| Annex 1 | A list of UTM coordinates indicating the course of the property boundary and, as appropriate, its immediate surroundings corresponding high-resolution maps and plans |
| Annex 2 | High-resolution images of the cultural property |
| Annex 3 | Legislative and administrative measures taken, and an abstract of the texts on the protection of cultural property |
| Annex 4 | Non-military use declaration |

¹ In accordance with Article 11 (9), upon the outbreak of hostilities, a Party to the conflict may request, on an emergency basis, enhanced protection of cultural property under its jurisdiction or control. Please check paragraph 66 of the Guidelines for the Implementation of the 1999 Second Protocol.

² The list of annexes is not exhaustive. An applicant state may be requested to provide other attachments to support the request.

1. IDENTIFICATION OF THE CULTURAL PROPERTY

1.1 Name of the cultural property

This is the official name of the property that will appear in publications, on the UNESCO website, and in all official correspondence and documentation.

Do not exceed 200 characters, including spaces and punctuation.

1.2 Category of the cultural property

Select one of the types of a cultural property described under Article 1 of the Convention for the Protection of Cultural Property in the Event of Armed Conflict.

As described under Article 1 (a) of the Convention for the Protection of Cultural Property in the Event of Armed Conflict (“the 1954 Hague Convention”), monuments of architecture, art or history, whether religious or secular; archaeological sites; groups of buildings which, as a whole, are of historical or artistic interest; works of art; manuscripts, books and other objects of artistic, historical or archaeological interest; as well as scientific collections and important collections of books or archives or of reproductions of the properties defined on the right column.

As described under Article 1 (b) of the 1954 Hague Convention, museums, large libraries and depositories of archives, and refuges intended to shelter, in the event of armed conflict, movable cultural property.

O Category A

A.1 Immovable cultural property

- Monuments of architecture, art or history (religious or secular)
- Archeological sites
- Groups of buildings of historical or artistic interest
- Other

A.2 Movable cultural property

- Work of art
- Manuscripts
- Books
- Other objects of artistic, historical or archeological interest
- Scientific collections
- Important collections of books
- Important collections of archives
- Other

O Category B

Building whose main and effective purpose is to preserve or exhibit movable cultural property

| | |
|--|---|
| <p><i>As described under Article 1 (c) of the 1954 Hague Convention, centers containing a large amount of cultural property.</i></p> | <p>O Category C Center containing monuments</p> |
|--|---|

| | |
|--|--|
| <p>1.3 Location of the cultural property</p> <p>Indicate State, Province or Region, where the cultural property is located or stored.</p> <p>Provide UTM coordinates of the approximate central point.</p> <p>A list of UTM coordinates indicating the course of the property boundary, and, as appropriate, its immediate surroundings, and corresponding maps and plans must be provided as Annex 1.</p> <p>In case of movable cultural property UTM coordinates of the building/shelter where the property is stored or will be stored must be provided.</p> | |
|--|--|

| | |
|---|--|
| <p>1.4 Area of the cultural property in hectares (ha)</p> <p>For immovable cultural property, indicate area of the property and, as appropriate, its immediate surroundings.</p> | |
|---|--|

2. DESCRIPTION OF THE CULTURAL PROPERTY

| |
|---|
| <p>2.1 Description and history of the cultural property</p> <p>Provide a description of the cultural property at the date of request highlighting its greatest importance for humanity. Based on the category of cultural property (see Section 1.2), the description should refer to all the exceptionally culturally significant features of the cultural property, including those on the present state of conservation, the appearance of the cultural property, as well as its history and development. This includes a description of how the cultural property has reached its present form and the significant changes that it has undergone.</p> <p>In case of movable cultural property of Category A, information on its physical characteristics (size, weight, etc.),</p> |
|---|

author (if known), cultural significance, previous locations shall be provided (also see Section 1.3).

In case of cultural properties of Category B, it is not necessary to describe each movable cultural property, but important properties should be described individually and an account should be given as far as possible of the internal planning of the building.

In case of cultural properties of Category C, it is not necessary to describe each individual building, but important public and/or private buildings should be described individually and an account should be given of the planning or layout of the area, its street pattern and so on.

3. GREATEST IMPORTANCE FOR HUMANITY

3.1 Justification for inscription

Paragraph (a) of Article 10 of the 1999 Second Protocol

This section must make clear why the property is considered to be of “Greatest Importance for Humanity”.

The section should be written with careful reference to paragraphs 32-35 of the Guidelines for the Implementation of the 1999 Second Protocol.

This section should highlight information to assess whether the property is of exceptional cultural importance (paragraph 33 of the Guidelines), and/or unique (paragraph 34 of the Guidelines) and/or whether its destruction would constitute an irreplaceable loss to humanity (paragraph 35 of the Guidelines).

It should not include detailed descriptive material about the property or its management, which are addressed in other sections.

In case of cultural properties inscribed on the World Heritage List or the List of the World Heritage in Danger or the Memory of the World International Register, please indicate the respective links on the UNESCO website.

4. PROTECTION OF THE CULTURAL PROPERTY

4.1 Identification and safeguarding measures

In accordance with Article 5 of the 1999 Second Protocol, provide information on preparatory measures taken in time of peace for the safeguarding of cultural property against the foreseeable effects of armed conflict. These measures may include, as appropriate, the preparation of inventories, the planning of emergency measures for protection against fire or structural collapse, the preparation for the removal of movable cultural property or the provision for adequate in situ protection of such property, and the designation of competent authorities responsible for the safeguarding of cultural property.

4.2 Military planning and military training

In accordance with Article 30 of the 1999 Second Protocol, provide information on the incorporation of guidelines and instructions on the protection of cultural property in military regulations, as well as information on peacetime training and educational programmes for the members of armed forces.

4.3 Criminal legislation

Provide information on criminal legislation providing for the repression of, and jurisdiction over, offenses committed against cultural property under enhanced protection within the meaning of, and in accordance with, Chapter 4 of the 1999 Second Protocol.

4.4 Relevant national legislation

Provide information on relevant national legislation governing the protection of the cultural property concerned along with information related to the 1954 Hague Convention.

5. USE OF THE CULTURAL PROPERTY

5.1 Use of the cultural property

Describe the current use of the cultural property. Provide all relevant information to establish that the property is not used for military purposes or to shield military sites.

5.2 Non-military use declaration

The non-military use declaration certifying that the cultural property will not be used for military purposes or to shield military sites shall be attached (Article 10(c) of the Second Protocol) as Annex 4.

MODEL

Non-military use declaration

On behalf of [the Party which has control over the cultural property], I hereby declare that, in conformity with Article 10 of the Second Protocol, [the cultural property for which enhanced protection was requested] will not be used for military purposes or to shield military sites.

[Signature of the representative authorized by the Party which has control over the cultural property as competent for this matter]

Name:

Function:

Date:

6. RESPONSIBLE AUTHORITY/IES

Contact information

Provide detailed contact information on authority/ies responsible for the measures referred to in Articles 5, 10(b), and 10(c) of the Second Protocol.

Institution:
Address:
Telephone:
Fax:
E-mail:
Web address:

Signature by the Party's competent authority/ies:

Full name

Title

Date

CHECKLIST ON ARTICLE 10 (B) OF THE SECOND PROTOCOL

In order to be included on the International List of Cultural Property under Enhanced Protection a cultural property must fulfil the three conditions outlined in Article 10 of the 1999 Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict. The condition outlined in **paragraph (b)** of this provision requires the relevant national authorities of the Party in question to adopt a series of measures. These measures must acknowledge the **exceptional cultural and historic value of the cultural property** and guarantee it **the highest level of protection**.

The present checklist primarily serves as a practical tool. It is intended to assist the Parties responsible for preparing requests for the granting of enhanced protection to ensure that all of the measures outlined in this respect by the Second Protocol and the corresponding Guidelines have been adopted. This being the case, the checklist is not part of the request formally submitted by a State Party applying for the granting of enhanced protection.

| <i>Nature of the protective measure to be implemented</i> | Have you taken this information into account in the application for the granting of enhanced protection? | Have you explained the measure(s) adopted by your authorities, demonstrating their relevance and their effectiveness in practice? | Have you attached a copy, in English or in French, of the legislative, regulatory and/or institutional texts implementing the protective measures or a summary of such texts to your request for the granting of enhanced protection? |
|--|--|---|---|
| <p>1. PEACETIME MEASURES</p> <p>1.1 Establishment and regular update of a precise and documented inventory of the cultural property in question at the national and regional level, including any movable property that it might contain. Identification, recognition, and registration of each cultural property. Creation of a digital database if possible.</p> <p>1.2 Sharing of inventory with all stakeholders concerned such as the Ministry of Culture, the Ministry of</p> | | | |

| | | | |
|---|--|--|--|
| <p>Defense or the Department of the Interior.</p> <p>1.3 Preparing for the removal of movable cultural property or provision for adequate in situ protection for said property (e.g.: creation of a list of cultural properties to be given priority for removal or protection in case of emergency). Constructing new storage structures or renovating old ones.</p> | | | |
| <p>2. EMERGENCY MEASURES</p> <p>2.1 Planning of emergency measures to ensure that property is protected against the risks of fire or the structural collapse of buildings (as well as training of departments concerned, including the military, fire brigade, civil protection, and institutional personnel).</p> <p>2.2 Organization of practical training exercises to verify proper implementation of these measures.</p> | | | |
| <p>3. DEFINITION OF MEASURES TO BE TAKEN IN TIME OF ARMED CONFLICT AND POST-CONFLICT</p> <p>3.1 Definition of post-conflict measures: assessment of damage, proof of damage, preparation of reports on damage inflicted, emergency safeguarding and prevention of secondary damage to the cultural property affected, emergency restoration actions, etc.</p> <p>3.2 Consideration of cultural property protection in the rules of engagement of armed forces.</p> | | | |

| | | | |
|---|--|--|--|
| <p>4. DESIGNATION OF AUTHORITIES</p> <p>4.1 Designation of competent authorities responsible for the safeguarding of cultural property (May include conservation personnel who can advise on the storage and moving of objects and professionals responsible for the movement of cultural property if movement is necessary). Intersectoral cooperation between authorities dealing with issues related to the illicit trafficking of cultural property (customs, museums, the police).</p> <p>4.2 Provision of the relevant means to ensure the functionality of the authorities.</p> | | | |
| <p>5. CPP IN MILITARY TRAINING PROGRAMMES</p> <p>5.1 Consideration of the protection of cultural property in military education and training. Incorporation in training materials of international and national regulations relating to the protection of cultural property in times of armed conflict, including occupation.</p> <p>5.2 Systematic inscription of cultural property on a “no strike list.”</p> | | | |
| <p>6. IMPLEMENTATION OF CHAPTER IV OF THE SECOND PROTOCOL IN DOMESTIC LAW</p> <p>6.1 Implementation of the provisions of Chapter IV of the Second Protocol, in particular Articles 15 and 16, within the framework of the Party's domestic legislation.</p> | | | |

ANNEX II



**INTERNATIONAL ASSISTANCE
APPLICATION FORM**



-
- The International Assistance request form is available at the following Web address: [WEBLINK], and can be filled at the same address.
 - Further guidance on International Assistance can be found in Section VI of the *Guidelines for the Implementation*
 - The original signed version of the completed International Assistance request form should be sent in English or French to:

**UNESCO
THE SECRETARIAT OF THE 1954 HAGUE CONVENTION
AND ITS TWO (1954 AND 1999) PROTOCOLS**

7, place de Fontenoy
75352 Paris 07 SP

France

Telephone: +33 (0)1 45 68 xx xx

Fax: +33 (0)1 45 68 xx xx

E-mail: 99SP@unesco.org

1. APPLICANT

a. Party:

b. A party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol:¹

c. A joint request of two or several applicants:²

2. TITLE OF PROJECT

3. THE ACTIVITY WILL BENEFIT

- cultural property inscribed on the List of Cultural Property under Enhanced Protection
- cultural property inscribed on the List of Cultural Property under Enhanced Protection in exceptional cases³
- cultural property inscribed provisionally on the List of Cultural Property under Provisional Enhanced Protection on an emergency basis⁴
- cultural property nominated for inscription on the List of Cultural property under Enhanced Protection (i.e. Tentative List)⁵
- others (please describe the cultural property to which the request relates)

¹ Please attach an official declaration as well as documents proving that the applicant is a party to the conflict and that it accepts and applies the provisions of the Second Protocol in accordance with Article 3(2) of the Second Protocol.

² Please attach a declaration confirming co-operation between applicants.

³ Paragraphs 76 and 77 of the Guidelines

⁴ Paragraph 78 of the Guidelines

⁵ Paragraphs 54 and 55 of the Guidelines

4. PURPOSES OF ASSISTANCE REQUESTED

- Preparatory measures
- Emergency measures
- Recovery measures
- Other measures

Please provide brief information:

5. PROJECT LOCATION:

a. Will the activity include a field component?

- yes - no

If yes, where and how?

b. The activity is:

- national
- regional involving other States from a region
- international involving States from different regions

If the activity is regional or international, please indicate the countries, which will participate / benefit from the activity:

6. JUSTIFICATION OF THE PROJECT

a. Background information about the need for assistance

b. Measures already taken by the applicant(s)

7. DESCRIPTION OF THE REQUESTED ASSISTANCE

1/ Specific information about the project

2/ Scientific and technical information on the work to be undertaken

3/ Details of the equipment or the personnel needed

4/ Measures to be taken by the applicant(s) and measures to be taken by the assisting Party(ies)

5/ Information about Party(ies) that has(ve) already declared its(their) will and ability to provide the international assistance or who might be willing and able to provide it

6/ Information regarding whether the applicant has already requested or envisages to request assistance for the same cultural property from UNESCO, any other intergovernmental organization, a State or a private entity

8. EXPECTED RESULTS

a) Clearly state the results expected from the project

b) Define the indicators and means of verification which can be used to assess the achievements of these results:

| <i>Expected Results</i> | <i>Indicators</i> | <i>Means of verification</i> |
|-------------------------|-------------------|------------------------------|
| | | |
| | | |

9. WORK PLAN (including specific activities and timetable)

| <i>Activities</i> | <i>Timeframe (in months)</i> | | | | | | |
|-------------------|------------------------------|---------|---------|---------|---------|---------|---------|
| | Month 1 | Month 2 | Month 3 | Month 4 | Month 5 | Month 6 | Month 7 |
| Activity | | | | | | | |
| Activity | | | | | | | |
| Activity | | | | | | | |
| Activity | | | | | | | |

10. PREVIOUS ASSISTANCE RECEIVED FROM THE FUND FOR THE PROTECTION OF CULTURAL PROPERTY IN THE EVENT OF ARMED CONFLICT:

Indicate all previous assistance received from the Fund for the Protection of Cultural Property in the Event of Armed Conflict in the following format:

| Type of international assistance | Year | Amount in USD | Title of activity |
|---|-------------|----------------------|--------------------------|
| | | | |
| | | | |
| | | | |

11. BUDGET BREAKDOWN

a) Provide, in the following table (in United States dollars), a detailed breakdown of costs of the individual elements of the project including, if possible, unit costs and show how these will be shared between the different funding sources.

| Items (choose items as applicable to the project) | Detail USD (for applicable items) | State Party Funds | Amount requested | Other sources | Total |
|---|---|----------------------|---------------------|------------------|-------|
| Organization <ul style="list-style-type: none"> • venue • office expenses • secretarial assistance • translation • simultaneous interpretation • audio-visual equipment • other | USD ___ / day for ___ days = USD ___ USD ___ USD ___ / day for ___ days - USD ___ USD ___ / page for ___ pages = USD ___ USD ___ / hour for ___ hours = USD ___ USD ___ / day for ___ days = USD ___ | | | | |
| Personnel / consultancy service (fees) <ul style="list-style-type: none"> • international expert • national expert • coordinator • other | USD ___ / week for ___ weeks = USD ___ USD ___ / week for ___ weeks = USD ___ USD ___ / week for ___ weeks = USD ___ | | | | |
| Travel <ul style="list-style-type: none"> • international travel cost • domestic travel costs • other | USD ___ USD ___ | | | | |

| Items (choose items as applicable to the project) | Detail USD (for applicable items) | State Party Funds | Amount requested | Other sources | Total |
|--|--|----------------------|---------------------|------------------|-------|
| Daily subsistence allowance <ul style="list-style-type: none"> • accommodation • board | USD ___ / day for ___ persons = USD USD ___ / day for ___ persons = USD | | | | |
| Equipment <ul style="list-style-type: none"> • | USD ___ / unit for ___ units = | | | | |
| Evaluation, Reporting and Publication <ul style="list-style-type: none"> • evaluation • reporting • editing, layout • printing • distribution • other | USD ___ USD ___ USD ___ USD ___ USD ___ | | | | |
| Miscellaneous <ul style="list-style-type: none"> • visas • other | USD ___ for ___ participants = USD ___ | | | | |
| TOTAL | | | | | |

b) Specify whether or not resources from other sources are already available or when they are likely to become available.

12. AGENCY(IES) RESPONSIBLE FOR THE IMPLEMENTATION OF THE PROJECT

13. SIGNATURE ON BEHALF OF APPLICANT(S)

Full name

Title

Date

14. ANNEXES

_____ (number of annexes attached to the request)

EXPLANATORY NOTE ON THE INTERNATIONAL ASSISTANCE APPLICATION FORM

General Notes for applicants:

- All the fields of the international assistance application form should be filled. When an information is not available, please indicate “N/A”.
- The format of the application form cannot be modified.

| | INTERNATIONAL ASSISTANCE APPLICATION FORM | EXPLANATORY NOTE |
|----|---|---|
| 1. | APPLICANT | Select the corresponding case a. b. or c. |
| | a. Party | Indicate the name of the State Party submitting the request for international assistance. |
| | b. A party to a conflict which is not a Party to the Second Protocol, but which accepts and applies the provisions of the Second Protocol | Indicate the name of the applicant and attach an official statement or document certifying that the applicant, who is a party to the conflict, accepts and applies the provisions of the Second Protocol in accordance with Article 3(2) of the Second Protocol. |
| | c. Joint application by two or more applicants | Indicate the name(s) of the Party(ies) to the Second Protocol and/or party(ies) to a conflict which is/are not a Party to the Second Protocol but which accept/s and apply/ies the provisions of the Second Protocol and attach a declaration confirming the cooperation between the applicants, or alternatively, include the signatures of all applicants at the end of the form. |
| 2. | PROJECT TITLE | Indicate the exact title of the project. The project title should be concise and reflect the activities planned for the project. |

| | | |
|------------------|---|---|
| <p>3.</p> | <p>THE ACTIVITY WILL BENEFIT</p> | |
| | <p><input type="checkbox"/> - cultural property inscribed on the International List of Cultural Property under Enhanced Protection</p> | <p>Check this box if the activity will benefit one or more cultural property already inscribed on the International List of Cultural Property under Enhanced Protection. This category includes any cultural property which has been granted enhanced protection by a Committee Decision and which meets the three conditions set out in Article 10 of the Second Protocol, namely cultural heritage which is:</p> <ul style="list-style-type: none"> (a) of the greatest importance to humanity; (b) protected by adequate domestic legal and administrative measures recognizing its exceptional cultural and historical value and ensure the highest level of protection; (c) not used for military purposes or to shield military sites and is subject to a specific declaration (see declaration of non-military use in Annex I of the Guidelines). |
| | <p><input type="checkbox"/> - cultural property included on the List of Cultural Property under Enhanced Protection in exceptional cases</p> | <p>Check this box if the activity will benefit one or more cultural property on the International List of Cultural Property under Enhanced Protection in exceptional cases. This category includes any cultural property, which has been granted enhanced protection by the Committee even though the property did not meet the criteria set out in Article 10(b) of the Second Protocol. For more information, please refer to paragraph 76 and 77 of the Guideline and see Article 11(8) of the Second Protocol.</p> |
| | <p><input type="checkbox"/> - cultural property provisionally listed on the List of Cultural Property under Enhanced Protection due to an emergency situation</p> | <p>Check this box if the activity will benefit one or more cultural property provisionally inscribed on the International List of Cultural Property under Enhanced Protection due to an emergency. This category includes any cultural property, for which a “Statement of Provisional inclusion of the Property on the List of Cultural Property under Enhanced Protection” has been made, and which meets conditions of Article 10(a) and 10(c) mentioned above. For more information, please refer to paragraph 78 of</p> |

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| | | the Guidelines and see Article 11(9) of the Second Protocol. |
| | <input type="checkbox"/> - cultural property nominated for inscription on the List of Cultural Property under Enhanced Protection (i.e., included on a tentative list) | Check this box if the activity will benefit one or more cultural property proposed for inscription on the International List of Cultural Property under Enhanced Protection. The term "tentative list" refers to a list of cultural property for which a Party intends to request the granting of enhanced protection. For more information, see Article 11(1) of the Second Protocol and paragraphs 54 and 55 of the Guidelines. |
| | <input type="checkbox"/> - other (please describe the cultural property related to the application) | Check this box if it is, for example, a cultural property inscribed on the UNESCO World Heritage List, a cultural property on tentative lists of a State Party, or any other cultural property listed in Article 1 of the 1954 Hague Convention. |
| 4. | PURPOSES OF THE ASSISTANCE REQUESTED | Please check one or multiple box(es). Refer to paragraphs 149-154 of the Guidelines for more information. For more examples of international assistance measures that can be implemented by the Committee, see Table 2 in Annex III of the Guidelines. |
| | <input type="checkbox"/> - Preparatory measures | Preparatory measures are measures taken, in principle, in peacetime to safeguard cultural property against the foreseeable effects of an armed conflict. <i>E.g.: training of staff and specialists in the field of cultural property protection; preparation of inventories; elaboration and updating of national legislation to ensure the highest level of protection for the property concerned.</i> For more examples, see Article 5 of the Second Protocol, paragraph 152 and Annex III of the Guidelines. |
| | <input type="checkbox"/> - Emergency Measures | Emergency measures are measures taken, in principle, during an armed conflict or an occupation to ensure adequate protection of the property concerned and to prevent its deterioration, destruction or looting. <i>E.g.:</i> |

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| | <p><i>establishment and operation of ad hoc infrastructure; organizational ad hoc measures for drawing up emergency plans, inventories, maps; establishment of refuges for movable cultural property under enhanced protection offering temporary protection during the conflict or in case of occupation; transportation of cultural property in case of emergency.</i> For more examples, see Article 8(a) of the Second Protocol, Article 13 of the Convention, Paragraph 153 and Annex III of the Guidelines.</p> |
| <input type="checkbox"/> - Recovery Actions | <p>Recovery measures are measures taken, in principle, after a conflict to ensure the preservation and conservation of cultural property damaged in circumstances related to the conflict as well as the return of cultural property that has been removed. (e.g., <i>provision of the appropriate equipment and/or administrative assistance for the return of removed cultural property, dispatch of qualified and skilled experts for the conservation or restoration of damaged cultural property</i>). For more examples, see paragraph 154 of the Guidelines, and Annex III of the Guidelines.</p> |
| <input type="checkbox"/> - Other measures | <p>Any other measure to safeguard cultural property as defined in Article 1 of the 1954 Hague Convention (e.g.: <i>measures to safeguard archives, manuscripts, scientific or historical collections as defined in Article 1 of the Convention through the digitization of such movable property, marking of cultural property, etc.</i>). See also Article 3 of the 1954 Hague Convention and Article 5 of the Second Protocol.</p> |
| Please provide brief information: | Specify here the nature of the measures that will be implemented (technical measures, legal measures) ⁶ , the general objective and/or specific objectives of the project for which the request for international assistance is submitted, and how these measures will achieve these objective(s). |

⁶ Refer to the examples provided in Table 2 in Appendix III

| | | |
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| 5. | PROJECT LOCATION | |
| | <p>c. Will the activity include a field component?</p> <p><input type="checkbox"/> - yes <input type="checkbox"/> - no</p> <p>If yes, where and how?</p> | <p>Indicate here, by checking yes or no, if the project requires an activity or action <i>in situ</i> or near to the concerned cultural property (<i>e.g. technical field mission, in situ surveys, development of refuges for movable cultural property, in situ intervention against the risk of fire and collapse of buildings, etc.</i>)</p> <p>If yes, please specify where this activity/action will take place and how it will be implemented (<i>preparatory work, stakeholders, human and financial resources, etc.</i>).</p> |
| | <p>d. The activity is:</p> <p><input type="checkbox"/> - national</p> <p><input type="checkbox"/> - regional, involving other States from a region</p> <p><input type="checkbox"/> - international, involving States from different regions</p> <p>If the activity is regional or international, please indicate the countries that will participate in/benefit from the activity:</p> | <p>Check one of the three boxes and indicate, if the activity has a regional or international scope, the countries that will participate and/or benefit from it. If possible, also indicate the target groups and the direct and indirect beneficiaries of the project (<i>e.g., institutions, professionals with mention of their field(s) of specialization</i>).</p> |
| 6. | PROJECT JUSTIFICATION | |
| | <p>a. Background information about the need for assistance</p> | <p>Describe here the context of the request, the challenges encountered in safeguarding the cultural property concerned and/or in implementing the safeguarding measures. This should include, where appropriate, the degree of urgency of the activities to be undertaken, if any. Provide details, in no more than 2 pages, of an actual or potential threat to the concerned cultural property in order to counter the foreseeable effects of</p> |

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| | | <p>an armed conflict.</p> <p>If the request for international assistance concerns property under enhanced protection or is intended to support a request for the granting of enhanced protection, please elaborate on the measures that must be taken to meet the requirements of Article 10(b) (adequate domestic, legal and administrative measures recognizing its exceptional cultural and historical value and ensuring the highest level of protection). Please also specify, if applicable, the decision to grant enhanced protection to the concerned cultural property.</p> |
| | b. Measures already taken by the applicant(s) | <p>Detail here the measures that have already been taken by the applicant(s) to safeguard the cultural property.</p> <p>These may be administrative, legal (<i>e.g. laws, constitutive acts</i>), institutional (<i>e.g. creation, of a national advisory committee in charge of the topic on cultural property protection in the event of armed conflict</i>), measures or provisions to prevent the foreseeable effects of a conflict, to ensure adequate protection of such property during a conflict and to prevent its deterioration, destruction or looting, or to preserve and conserve such property if it has been damaged in circumstances related to a conflict, or to ensure the return of cultural property that has been removed.</p> <p>It may also include internal, legal and administrative measures recognizing the exceptional cultural and historic value of the concerned property.</p> |
| 7. | DESCRIPTION OF THE REQUESTED ASSISTANCE | |
| | <i>1/ Specific information about the project</i> | <p>Indicate in this section the total duration of the project. Also detail the methodology adopted, the objective(s) of the project, the different phases of its implementation, the key players involved (<i>e.g., national institutions, representatives of the armed forces, heritage professionals</i>), as well as</p> |

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| | the strategy to ensure the sustainability and outreach of this project. |
| <i>2/ Scientific and technical information on the work to be undertaken</i> | Specify the scientific approaches and other technical information that will be used to successfully implement the project (e.g., <i>use of satellite data to determine the protection status of a property, use of a specific scientific method /tool (PATH⁷, scientific studies and assessments), development of a database, etc.</i>) |
| <i>3/ Details of the equipment and/or the personnel needed</i> | <p>Indicate here all the equipment and personnel that will be required for the successful implementation of the project. Specify the type of equipment required (e.g., <i>computers, scanners, printers, cameras</i>) and the profile of the people who will be working on the project (e.g., <i>specialists, trainers, technicians, and/or skilled workers</i>).</p> <p>The information in this section should then be entered into the budget breakdown table (see section 11).</p> |
| <i>4/ Measures to be taken by the applicants and measures to be taken by the assisting Party(ies)</i> | <p><i>Complete this section only in the context of technical assistance provided by the Party(ies) through the Committee (see Article 32(4) of the Second Protocol).</i></p> <p>Specify which measures will be implemented by the applicant(s) and which will be implemented by the Party(ies) providing technical assistance. (e.g.: <i>the Party(ies) undertakes to provide experts for the training of the applicant(s)' military in the framework of national capacity building and the applicant(s) is/are responsible for the organization of the training workshop and for the organization of a feedback workshop with national institutions and decision-making authorities.</i></p> |

⁷ For more information on the PATH tool, please visit the [ICCROM site](#)

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| | <p>5/ <i>Information about the Party(ies) that have already declared its (their) will and ability to provide international assistance or who might be willing and able to provide it</i></p> | <p><i>Complete this section only in the context of technical assistance provided by the Party(ies) through the Committee (see Article 32(4) of the Second Protocol).</i></p> <p>Indicate any information regarding the commitment and capacity of the Party(ies) providing or capable of providing technical assistance. For example, specify whether the Party(ies) have issued a formal statement or an exchange of letters informing of the commitment of the Party(ies) to assist the applicant(s). Demonstrate here the extent to which the Party(ies) has sufficient financial, human and technical resources to fulfill its commitment.</p> |
| | <p>6/ <i>Information regarding whether the applicant has already requested or envisages to request assistance for the same property from UNESCO, any other intergovernmental organization, a State or private entity</i></p> | <p>Indicate here all relevant information (dates, objective, results obtained, outreach) concerning any request or proposed request submitted, either previously or concurrently, for the same property to UNESCO (<i>e.g., Fund for the Protection of Cultural Property in the Event of Armed Conflict, Heritage Emergency Fund, World Heritage Fund</i>), or from any other intergovernmental organization, State or private entity.</p> |
| <p>8.</p> | <p>EXPECTED RESULTS</p> | |
| | <p>c) Clearly state the results expected from the project</p> | <p>The expected results must be concrete and measurable. Each of these will be measured by a series of indicators (see section 8b). <i>For example, if the activity is the organization of a training workshop for military personnel at the regional level, the expected result would be the following: XX soldiers were trained in the protection of cultural heritage.</i></p> |
| | <p>d) Define the <u>indicators</u> and <u>means of verification</u> which can be used to assess the achievement of these results:</p> | <p>The indicators are used to measure the results achieved and to note the progress made towards the final project objective. They are based on the expected results and serve as the basis for the evaluation of the project</p> |

| <i>Expected results</i> | <i>Indicators</i> | <i>Means of verification</i> |
|-------------------------|-------------------|------------------------------|
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after its completion. These indicators must be objective, measurable and expressed in quantifiable terms such as numerical values, statistics or percentages. *For example, if the activity is aimed at building the capacity of female military personnel, provide gender equality statistics (XX female military personnel trained out of total military personnel trained).*

The means of verification are used to verify that the expected results have been achieved. They refer to methods of collecting data (e.g., surveys, questionnaires, tests, focus groups) and information from individuals and organizations.

9. WORK PLAN (including specific activities and schedule)

| <i>Activities</i> | <i>Schedule (in months)</i> | | | | | | |
|-------------------|-----------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | Mo nth 1 | Mo nth 2 | Mo nth 3 | Mo nth 4 | Mo nth 5 | Mo nth 6 | Mo nth 7 |
| Activ ity | | | | | | | |
| Activ ity | | | | | | | |

Describe the work plan for the activity(ies) to be implemented with specific reference to the expected results listed in Section 8 a. Indicate the dates and duration of each activity.

For meetings and training activities, if possible, attach indicative programs, specifying the topic(s) to be addressed.

| <p>10.</p> | <p>PREVIOUS ASSISTANCE RECEIVED FROM THE FUND FOR THE PROTECTION OF CULTURAL PROPERTY IN THE EVENT OF ARMED CONFLICT</p> <p>Indicate all previous assistance received from the Fund for the Protection of Cultural Property in the Event of Armed Conflict in the following box</p> <table border="1" data-bbox="262 657 966 1154"> <thead> <tr> <th data-bbox="262 657 472 795">Type of international assistance</th> <th data-bbox="472 657 550 795">Year</th> <th data-bbox="550 657 739 795">Amount in U.S. dollars</th> <th data-bbox="739 657 966 795">Title of the activity</th> </tr> </thead> <tbody> <tr> <td data-bbox="262 795 472 922"></td> <td data-bbox="472 795 550 922"></td> <td data-bbox="550 795 739 922"></td> <td data-bbox="739 795 966 922"></td> </tr> <tr> <td data-bbox="262 922 472 1049"></td> <td data-bbox="472 922 550 1049"></td> <td data-bbox="550 922 739 1049"></td> <td data-bbox="739 922 966 1049"></td> </tr> <tr> <td data-bbox="262 1049 472 1154"></td> <td data-bbox="472 1049 550 1154"></td> <td data-bbox="550 1049 739 1154"></td> <td data-bbox="739 1049 966 1154"></td> </tr> </tbody> </table> | Type of international assistance | Year | Amount in U.S. dollars | Title of the activity | | | | | | | | | | | | | <p>If the applicant has previously received assistance from the Fund for the Protection of Cultural Property in the Event of Armed Conflict, this section should indicate the type of international assistance (preparatory measures, emergency measures, recovery measures, other measures), the year, the amount in United States dollars and the title of the activity (project) implemented.</p> |
|----------------------------------|--|---|-----------------------|------------------------|-----------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Type of international assistance | Year | Amount in U.S. dollars | Title of the activity | | | | | | | | | | | | | | | |
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| <p>11.</p> | <p>BUDGET BREAKDOWN</p> <p>c) Provide, in the following table (in United States dollars), a detailed breakdown of costs of the individual elements of the project, including, if possible, unit costs, and show how these will be</p> | <p>Indicate in the table the breakdown of all expenses related to the project, specifying the breakdown of costs between the different funders: State Party/Applicant (funding programmed by State Party/Applicant from national budget), Fund for the Protection of Cultural Property in the</p> | | | | | | | | | | | | | | | | |

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| shared between the different funding sources. | Event of Armed Conflict (amount requested), other funding sources (other sources available to the Applicant for this activity), and total project amount. Also specify the unit amount for each expense item when requested. |
| i. Organization | Items under this heading could include the cost of the meeting venue, office expenses, secretarial assistance, translation, simultaneous interpretation, audio-visual equipment, or other organizational expenses necessary to successfully implement the project. |
| ii. Personnel / consultancy service (fees) | Items under this heading could include the cost of international or national experts, a local or international coordinator, or other personnel needed for the successful implementation of the project. |
| iii. Travel | Items under this heading could include international or national travel costs necessary for the successful implementation of the project. |
| iv. Daily subsistence allowances | Items under this heading could include lodging, meals and incidental expenses necessary for the successful implementation of the project. |
| v. Equipment | Items under this heading could include all the equipment needed for the proper implementation of the project. |
| vi. Evaluation, Reporting and Publication | Items under this heading could include the cost of the evaluation, reporting, editing and layout, printing, dissemination, and other expenses necessary for the successful implementation of the project. |
| vii. Miscellaneous | Items under this heading could include visa fees or other small expenses necessary for the successful implementation of the project. |
| Specify whether or not resources from other sources are already | Indicate here resources from donors other than the applicant or the Fund |

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| | available or when they are likely to be available. | (e.g., funding from an NGO, international organization). If the resources are not yet available, indicate if and when they will be available before the project begins. |
| 12. | AGENCY(IES) RESPONSIBLE FOR THE IMPLEMENTATION OF THE PROJECT | Please provide the name, title, address and contact information of the person(s)/institution(s) responsible for the implementation of the project, as well as those of any other participating institutions. |
| 13. | SIGNATURE ON BEHALF OF THE APPLICANTS | First and last name Title Date |
| 14. | ANNEXES | In this section, list the number of annexes attached to the application and the titles of each annex. |

ANNEX III, Table 1. Forms of assistance and procedural matrix

| Forms of Assistance | Applicant | Material Scope | Temporal Scope | Deadline for submission | Approved by | Resources | Addressee | Reference |
|--|--|---|---|---|------------------------------|---|---------------------------------------|---|
| International Assistance by the Committee (cf. Table 2) | - Parties - A party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol | - Cultural property under enhanced protection - Cultural property submitted for inclusion in the List provided that the Committee has concluded that the criteria of Article 10(b) cannot be fulfilled | - In times of peace or immediately before or during or after a conflict - Only during a conflict for a party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol | At least six months before the ordinary meeting of the Committee. Requests for emergency measures may be submitted at any time | The Committee | The Fund | The Committee through the Secretariat | Articles 3(2), 10(b), 11(8), 29, 32(1), 32(2), of the Second Protocol |
| Technical Assistance of the Parties through the Committee | - Parties - A party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol | - Cultural property under enhanced protection - Cultural property | - In times of peace or immediately before or during or after a conflict - Only during a conflict for a party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol | Any time | Parties providing assistance | Parties providing assistance | The Committee through the Secretariat | Article 32(4) of the Second Protocol |
| Assistance of UNESCO (cf. Table 3) | - Parties | - Cultural property under enhanced protection - Cultural property | - In times of peace or immediately before or during or after a conflict | Any time | UNESCO | Within the limits fixed by programmes and resources of UNESCO | The Secretariat | Article 33(1) of the Second Protocol |

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| Technical assistance provided by the Parties directly on a bi- or multilateral level | - Party/Parties | - Cultural property under enhanced protection - Cultural property | - In times of peace or immediately before or during or after a conflict | Any time | Party/Parties providing assistance | Party/Parties providing assistance | Party/Parties providing assistance through its (their) national focal point(s) | Article 33(2) of the Second Protocol |
| Financial and other assistance from the Fund | - Parties - A party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol | - Cultural property under enhanced protection - Cultural property submitted for inclusion in the List provided that the Committee has concluded that the criteria of Article 10(b) cannot be fulfilled | - In times of peace or immediately before or during or after a conflict - Only during a conflict for a party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol | At least six months before the ordinary meeting of the Committee. Requests for emergency measures may be submitted at any time. | The Committee | The Fund | The Committee through the Secretariat | Articles 5, 8(a), 10(b), 27(1)(d) and (f), 29 and 30 of the Second Protocol |

ANNEX III, Table 2. Examples of possible measures of international assistance provided by the Committee

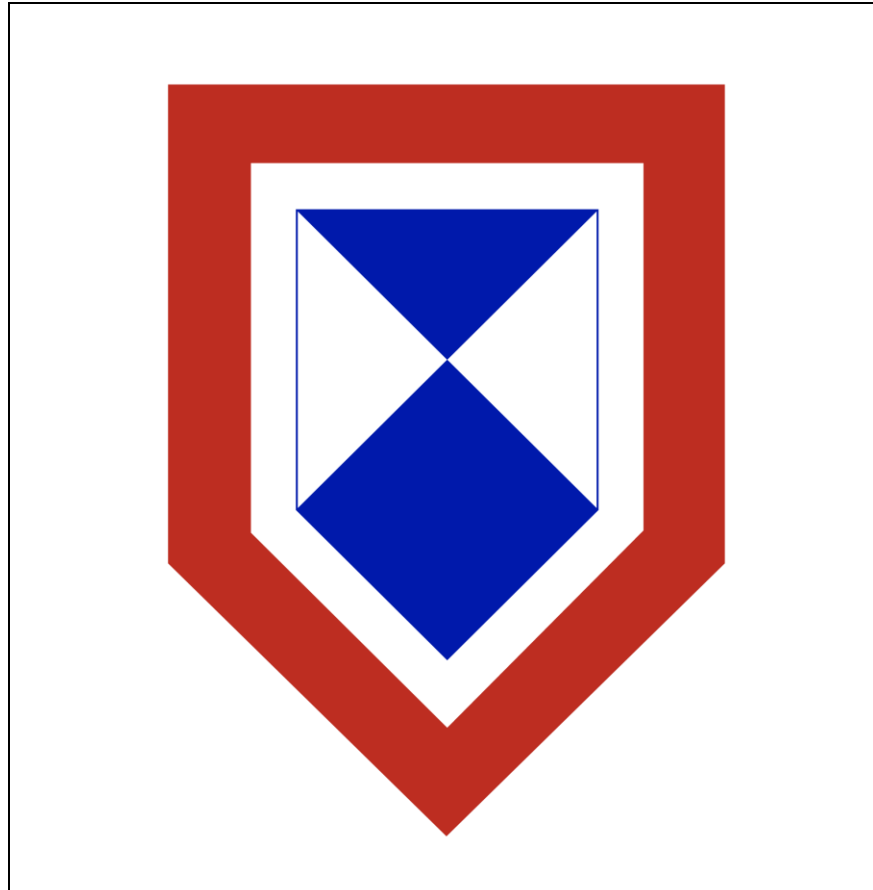
| Purpose of international assistance | Resources | Technical measures | Legal measures |
|-------------------------------------|------------------------------|--|---|
| Preparatory measures | - Disbursement from the Fund | <ul style="list-style-type: none"> - Training of staff and specialists at all levels in the field of protection of cultural property under enhanced protection - Provision of experts and skilled personnel to ensure that the preparatory protection work is carried out correctly - Expert advice on peacetime preparatory measures (preparation and regular update of inventories, surveys, maps, publications, websites, etc.) with regard to immovable and movable cultural property, the creation of the relevant administrative services for the protection of cultural property, and the organization of refuges for movable cultural property - Expert advice related to the marking of cultural property under enhanced protection with the distinctive emblem - Dispatch of technical missions to undertake operational projects | Expert advice on the elaboration and updates of Parties' national legislation implementing different aspects of the Second Protocol, such as administrative, technical or penal measures. |
| Emergency measures | - Disbursement from the Fund | <ul style="list-style-type: none"> - Organisational <i>ad hoc</i> measures for drawing up emergency plans, preparing inventories, surveys, maps, publications, websites, etc. - Establishment and operation of ad hoc infrastructures - Establishment of refuges for movable cultural property under enhanced protection for its temporary protection | Assistance in the elaboration and enactment of laws under emergency procedure, as appropriate. |
| Recovery measures | - Disbursement from the Fund | <ul style="list-style-type: none"> Despatch of experts and skilled personnel to assist in the preservation and conservation of damaged cultural property - Provision of the appropriate equipment and/or administrative assistance with a view to facilitating the return of the removed cultural property in accordance with Article 5 of the Second Protocol | |

ANNEX III, Table 3: Examples of possible measures of technical assistance provided by UNESCO

| Forms of Technical Assistance of UNESCO | Resources | Technical measures | Legal measures |
|---|-----------------------|--|--|
| Expert advice | - Resources of UNESCO | <ul style="list-style-type: none"> - Expert advice on peacetime preparatory measures based on the experience of other Parties, High Contracting Parties which are not parties to the Second Protocol, other UNESCO Member States, and relevant international and national governmental organizations having objectives similar to those of the Convention and its two Protocols. In particular, the provision of such advice focuses on (i) the preparation and regular updates of inventories of immovable and movable cultural property, (ii) the creation of the relevant administrative services for the protection of cultural property, and (iii) the organization of refuges for movable cultural property - Expert advice related to the marking of cultural property under enhanced protection with the distinctive emblem (as recommended by part III.E on <i>The Distinctive Emblem for cultural property under enhanced protection and modalities for its use</i> of the current Guidelines) - Expert advice on the dissemination of the provisions of the Second Protocol within the general public and target groups (i.e., the military or law enforcement agencies) | - Expert advice on the elaboration and update Parties' national legislation implementing different aspects of the Second Protocol, such as administrative, technical or penal measures |
| Operational activities | - Resources of UNESCO | <ul style="list-style-type: none"> - Dissemination of various studies and reports on different aspects of the implementation of the Second Protocol - Dispatch of technical missions to undertake operational projects | |

ANNEX IV

Distinctive Emblem for Cultural Property under Enhanced Protection, including its graphic charter*



* The distinctive emblem shall take the form of a shield, pointed below, persltire blue and white (a shield consisting of a royal-blue square, one of the angles of which forms the point of the shield, and a royal-blue triangle above the square, the space on either side being taken up by a white triangle), which is outlined by an external red band that is detached from the Blue Shield.

ANNEX V

FORMAT FOR THE SUBMISSION OF STATE OF PROTECTION REPORTS BY THE PARTIES

1. Executive Summary of the report
[Note: each of the sections described below should be summarized. The maximum length of the executive summary is 1 page.]

2. Response to the Decision of the Committee
[Note: The Party(ies) is/are requested to address the most recent Decision of the Committee, paragraph by paragraph.]

3. Other current protection issues identified by the Party(ies) which may have an impact on the property
[Note: this includes protection issues which are not mentioned in the Decision of the Committee]

4. Public access to the state of protection report
[Note: this report will be uploaded for public access on the website of UNESCO. Should your State Party request that the full report should not be uploaded, only the 1-page executive summary provided in point (1.) above will be uploaded for public access].

5. Signature of the Authority

6. Annexes (photo, video or other information pertaining to ascertain the information provided by the Party)

ANNEX VI

PRINCIPLES RELATING TO THE USE OF REMOTE SENSING DATA FOR THE MONITORING OF CULTURAL PROPERTY

- **The principle of access to the data** gathered from remote sensing by satellite and use of satellite images entails that they should be used for the benefit and interest of all States for the protection of cultural property, in conformity with international law including the Charter of the United Nations, the Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies, the relevant instruments of the International Telecommunication Union, and the 1986 Principles on Remote Sensing;
- **The principle of data sharing** entails that United Nations Specialized Agencies having access to satellite images make them available to the extent it is allowed by licensing requirements to the Committee, through its Secretariat, to facilitate the monitoring of the state of protection of cultural property and the assessment of damages;
- **The principle of transparency** entails that the Secretariat may use in its report to the Committee remote sensing satellite images and processed data, including for cultural property located in areas of armed conflict or occupation, if they are available. This guarantees the States the existence of transparent and objective information analyzed by the Secretariat.
- **The principle of data protection and security** entails that remote sensing satellite images of cultural property located in areas of armed conflict or occupation which are not available for public access, are analyzed by the Secretariat. The Secretariat shares with each of the observed States exclusively the satellite analyzed information of cultural property located on the territories under their respective jurisdictions, in case of armed conflict. The report of the Secretariat on monitoring of cultural property in areas of armed conflict or occupation, including the technical assessment of damages, will be publicly available to the Committee without the satellite images. The report with the remote sensing satellite images not available in public domain will only be made available to the public with the consent of each States concerned.

ANNEX VII

FORM TO REQUEST THE INITIATION OF THE AD HOC MONITORING

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| <p>1. Requester:</p> <p><i>Please select one of the categories eligible to request the initiation of Ad Hoc Monitoring.</i></p> | <p><input type="checkbox"/> Party</p> <p>_____</p> <p><input type="checkbox"/> International and national governmental and non-governmental organization, having objectives similar to those of the 1954 Hague Convention and its two Protocols (1954 and 1999)</p> <p>_____</p> |
| <p>2. Concerned Party:</p> <p><i>Please indicate the name of the Party concerned.</i></p> | <p>_____</p> |
| <p>3. Category of information:</p> <p><i>Please select category of information concerning any one or any combination of the following elements, as specified in paragraph 131:</i></p> | <p><input type="checkbox"/> Lack of preparatory measures taken in time of peace for the safeguarding of cultural property against the foreseeable effects of an armed conflict in accordance with Article 5 of the Second Protocol</p> <p><input type="checkbox"/> Damage to or destruction, including theft, pillage, or misappropriation of, acts of vandalism directed against cultural property, as well as an imminent threat to a cultural property, during armed conflict</p> <p><input type="checkbox"/> Loss of one of the conditions in Article 10 of the Second Protocol for cultural property under enhanced protection</p> <p><input type="checkbox"/> Failure to prohibit and prevent any of the acts listed under Article 9(1) and Article 21(b) of the Second Protocol</p> |
| <p>4. Detailed information:</p> <p><i>Please provide detailed information concerning the element(s) selected under paragraph 131 to initiate the Ad Hoc Monitoring.</i></p> | <p>_____</p> |
| <p>5. Signature on behalf of requester</p> | <p>Full name</p> <p>_____</p> <p>Title</p> <p>_____</p> |

| | |
|---|--|
| | <p>Date</p> <hr/> <p>Signature</p> <hr/> |
| <p>6. Annex(es) <i>Please attach photo, video or other information pertaining to ascertain the information provided.</i></p> | |