

A Systematic Review of Productivity Factors in Software Development

Stefan Wagner
Technische Universität München
Garching b. München, Germany
wagnerst@in.tum.de

Melanie Ruhe
Siemens AG
Munich, Germany
melanie.ruhe@siemens.com

Abstract

Analysing and improving productivity has been one of the main goals of software engineering research since its beginnings. A plethora of studies has been conducted on various factors that resulted in several models for analysis and prediction of productivity. However, productivity is still an issue in current software development and not all factors and their relationships are known. This paper reviews the large body of available literature in order to distill a list of the main factors influencing productivity investigated so far. The measure for importance here is the number of articles a factor is mentioned in. Special consideration is given to soft or human-related factors in software engineering that are often not analysed with equal detail as more technical factors. The resulting list can be used to guide further analysis and as basis for building productivity models.

1. Introduction

Productivity in software development has been an important research area for several decades. It is the key for a successful software company to control and improve its productivity. However, in contrast to traditional industrial work, it is hard to measure for software development. There are several terms that are used more or less synonymously such as performance or efficiency. There are also various definitions of which output divided by input is the most general one.

In software development lines-of-code (LOC) and function points (FP) are traditionally used in measures for productivity, i.e., the amount of LOC or FP produced per hour by a developer. Based on this, there is a large amount of studies on various aspects of productivity. The two mentioned measures and several more dimensions have been analysed and detailed. Models have been built that should explain, analyse and predict productivity. Finally, several studies analyse the factors that influence productivity in a software project.

Problem Frese and Brodbeck [12] claim that the scientific discussion about the work situation in software development and about productivity factors in such projects is done based on an insufficient empirical basis. According to them, it is dominated by shallow surveys and qualitative experience reports.

Moreover, the software engineering literature in that area often has a strong emphasis on mainly technical factors such as the software size or the product complexity. However, Brodbeck [7] shows that more than a third of the time a typical software developer is not concerned with technical work. Meetings and talks constitute 21.1%, presentations and project organisation 9.6%, and independent qualification 6% of the work time. Hence, these efforts are significant.

Contribution We provide a systematic review of software engineering, management and organisational psychology literature on productivity factors in software development. A list of these factors is distilled from the literature in order to aid model building, productivity improvement and further research.

The importance of the factors and thereby their inclusion in the list is based on their mentioning in the analysed literature. Hence, it is secured that several authors used and/or analysed the factor. Furthermore, we put specific care to the equal consideration of technical and soft factors in order to represent their significance.

2. Review approach

For the systematic review of the productivity literature, we use a combined approach of automated and manual search. Our aim is to include literature from the areas software engineering, management and organisational psychology as these are the main sources of relevant literature. For this we used a query on four portals for scientific literature that contains the typically used terms in papers about what we call *productivity factors*. For the automated search we used the following expression:

software AND (productivity OR "development efficiency" OR "development effectiveness" OR "development performance")

It resulted in the following numbers of results:

- ACM's The Guide: 10,017
- IEEE Xplore: 1,408
- ScienceDirect: 508
- Google Scholar: 680,000

These large numbers show on the one hand the significance of the topic in research but on the other hand prevents the manual analysis of all these papers. We inspected the first 100 results of each portal whether they are suitable for inclusion in our study. In this inspection we omitted very specific analyses of single, detailed factors because of brevity reasons. We also excluded studies that only showed that factors have no influence on productivity. Although these studies are of interest in general, they do not help in building a list of factors that do influence productivity.

In addition to the papers retrieved using that query, we also collected papers manually in a number of important journals in software engineering (e.g. IEEE Transactions on Software Engineering), in management (e.g. Management Science) and organisational psychology (e.g. Journal of Occupational and Organizational Psychology). From these and by following references from the already found papers, the complete body of papers that build the basis of this study was collected. Moreover, the well-known books by Boehm [5] and Jones [18] on software productivity were included as a baseline.

We derived from this body of papers factors about the product, process and people and unified synonymous terms as far as possible. Then the extracted factors were ranked by appearances in the literature. We mainly aimed at finding different authors that used the factors. Based on this, the final list was compiled.

3. Considered studies

Because of space limitation we cannot describe each considered study but we only choose some important representatives of each decade. The full description can be found in [29]. We decided to organise the papers in the order of their publication which has the additional benefit that the developments over time become visible.

3.1. 1970–1979

Walston and Felix [30] analysed in 1977 in one of the first larger studies factors that correlate significantly with

programming productivity (measured in effort per SLOC). Several of the later publications use the same or a variant of these factors. A number of the described factors obviously decreased in importance over the decades. For example, *chief programmer team usage* is not a common practice today. Also with the more and more standardised hardware, *previous experience with operational computer* does not seem to be a problem anymore. Nevertheless, the majority of factors, such as *user participation*, *overall constraints on program design* or *previous experience with programming language* are still valid.

Albrecht then proposed his famous *function points* [1]. In this study, he analysed factors like the used programming language and the project size.

3.2. 1980–1989

Brooks [9] uses factors from Walston and Felix [30] as basis in his study at IBM. He found especially the effects of program complexity and structured programming to be important.

Jones started with [17] a series of books about programming productivity. He was one of first that analysed various productivity factors over various domains and could provide industry averages. He focused his measures strongly on LOC and FP.

DeMarco and Lister [11] then aimed in a completely different direction from the LOC- and FP-centred research. They point out that "The major problems of our work are not so much *technological* as *sociological* in nature." They consider turnover as one of the central factors influencing productivity. They also mention the importance of a proper work place with windows, natural light, quietness, etc. They substantiate this by showing that a noisy workplace with a high probability leads to more defects. The used language, years of experience, number of defects and salary do not have an significant effect on productivity in their opinion.

They further claim that "Quality, far beyond that required by the end user, is a means to higher productivity." They then discuss work interruption as important issue and introduce the *E-Factor* as ratio of uninterrupted hours and body-present hours as measure for this.

Finally, they list six factors that they called "teamicide", i.e., measures that are the main obstacles in building (or growing) teams that partially repeat earlier mentioned factors: defensive management, bureaucracy, physical separation, fragmentation of people's time, quality reduction of the product, phony deadlines, and clique control. In summary, DeMarco and Lister provided in [11] the first and still most comprehensive work on the soft factors influencing productivity in software development.

The most famous model that involves productivity is

COCOMO by Boehm [5]. It is a cost-estimation model in which the productivity of the developers obviously plays a decisive role. These factors have been derived empirically from a large project database. The factors are discussed in more detail in section 3.4 with COCOMO II.

3.3. 1990–1999

The 90s showed, maybe as a result of DeMarco and Lister, a stronger interest in soft factors. Rasch studies in [26] the effect of factors such as team member rotation, role ambiguity and role conflict on job satisfaction and actually quantifies them based on a survey.

Lakhanpal [20] concentrated on characteristics of groups and their influence on productivity. The cohesiveness and capability had the strongest influence in 31 development groups, experience had the weakest influence.

Brodbeck describes in [6] that in a survey, the projects with a higher communication effort also were more successful. Even the intensity of internal communication is positively correlated with project success. This is in contrast to common software engineering belief that high communication effort hampers productivity.

Wohlin and Ahlgren describe factors and their impact on time to market in [31]. They use 10 different factors in their study, mostly factors that are covered by the publications discussed so far. They also include product complexity, methods and tools and requirements stability that could be considered technical factors.

Blackburn, Scudder, and Van Wassenhove [3] studied the factors and methods that improved productivity in Western European companies. They found *project duration* and *team size* to be significant.

Chatzoglou and Macaulay [10] interviewed participants of over a hundred software projects about several factors and their influence on productivity. They found that experience, knowledge and persistence of the team members is considered important. Also the motivation of the users and their communication with the rest of the team plays a role. Finally, the available resources, tools and techniques used and the management style are important factors.

Glass summarised in [13] his findings on project “run-aways”. He states that common causes for such failing projects are that they are huge, that there are usually a multiplicity of causes and that they were aimed to be “break-throughs” in comparison to older systems. However, he also suggests that technology is increasingly often the cause for project failure.

Hill et al. [15] investigated the influence of virtual offices on aspects of work. Most interestingly in the productivity context is that the perception that “teamwork has been diminished”.

Port and McArthur [24] analysed the introduction of

object-oriented methods at Hughes Space and Communications. They found that an object-oriented development approach coupled with object-oriented implementation improves overall project productivity.

3.4. 2000–2007

The most thorough work in the area of productivity and its influencing factors is COCOMO II by Boehm et al. [4]. They have a long experience in that area [5] and derive their factors from a large empirical body. Technical factors they identified are, for example, precedentedness (how similar are the projects) or the product complexity. Boehm et al. also analysed various soft factors and found that those factors combined are more important than all the others. Those factors include programmer capability and personnel continuity (turnover).

Jones states in [18] that software projects are influenced by about 250 factors. Individual projects “are usually affected by ten to 20 major issues.” Of course, he also investigated a series of soft factors. He lists and discusses several factors based on case studies partially with quantitative results. 36 of these factors are considered the major factors. In comparison to other studies, he adds explicitly the support for modern telecommunication facilities such as video conferencing.

Maxwell and Forselius argue in [22] that the influencing factors on productivity depend on the business domain the software is produced for. For example, in the insurance domain *requirements volatility*, *software’s logical complexity* and *tools use* are significant while in the public administration domain *number of inquiries* and *customer participation* are of importance.

Kitchenham and Mendes [19] found that reuse is taking place has a significant effect on productivity. The amount of reuse is not that important. They also suggest that the productivity is not significantly different in different countries.

Berntsson-Svensson and Aurum [2] analysed in a survey factors influencing project and product success. They found that different industries define success in different terms. However, the identified influencing factors are similar to other studies: well defined project scope, complete and accurate requirements, good schedule estimations, customer/user involvement, and adding extra personnel.

Mohagheghi and Conradi [23] analysed especially the connection between software reuse and productivity among other factors. They show that there can be a strong positive influence.

Spiegel reports in [28] on a survey on project management issues in software development conducted with project managers. In terms of soft factors he found that support of the top-management, business culture, promotions, team building, relationship management and communica-

Table 1. The derived technical factors

Factor	Description	No. of Sources
Product		
Precedentedness	How similar are the projects?	2
Required Software Reliability	The level of reliability needed.	3
Database Size	How large is the data compared to the code?	2
Product Complexity	The complexity of the function and structure of the software.	6
Developed for Reusability	To what extent the components should be reusable.	3
Execution Time Constraints	How much of the available execution time is consumed.	7
Main Storage Constraint	How much of the available storage is consumed.	3
Software Size	The amount of code of the system.	4
Product Quality	The quality of the product influences motivation and hence productivity.	2
User Interface	Degree of complexity of the user interface.	3
Development Flexibility	How strong are the constraints on the system?	2
Reuse	The extent of reuse.	2
Process		
Architecture Risk Resolution	How are the risks mitigated by architecture?	1
Process Maturity	The well-definedness of the process.	1
Platform Volatility	Time span between major changes.	3
Early Prototyping	Early in the process prototypes are built	1
Completeness of Design	The amount of the design that is completed when starting coding	2
Effective and Efficient V&V	The degree to which defects are found and the needed effort.	1
Project Duration	Length of the project.	2
Hardware Concurrent Development	Is the hardware developed concurrently?	3
Development Environment		
Use of Software Tools	The degree of tool use.	7
Programming Language	The level of the used programming language.	3
Use of Modern Development Practices	Are modern methods applied?	7
Documentation match to life-cycle needs	How well the documentation fits to the needs.	2

tion, freedom and responsibility, and motivation and appreciation are important.

4. Results

As mentioned above, we roughly divide the productivity factors into *technical* and *soft* factors. We see soft factors as all non-technical factors influencing productivity. These factors mainly stem from the team and its work environment. Obviously, the borderline between these two groups is sometimes blurry and is only intended to aid easier comprehension.

The technical factors are summarised in Table 1. In this group we structured the factors in three categories. The *product* category contains all factors that have a direct relation to the product, i.e., the system itself. The category *process* is comprised of the technical aspects of the process. Finally, the category *development environment* contains factors about the tools the developer uses in the project.

The soft factors are summarised in Table 2. Overlapping factors are combined as far as possible. We employed a simple, non-unique categorisation to aid a quick comprehension. *Corporate Culture* contains the factors that are on a more company-wide level whereas *Team Culture* denotes similar factors on a team level. In *Capabilities and Experiences* are factors summarised that are related to individuals.

Environment stands for properties of the working environment. Finally, project-specific factors are in the *Project* category.

In general, what is surprising in the studies is that communication effort is positive for productivity. It is often discussed that communication should be reduced to decrease “unnecessary” work. However, it seems the problem is only that with increasing people the communication effort increases strongly. Yet, a high fraction of effort on communication seems like a good investment.

Then there is some agreement in the few studies that analysed these factors that the business domain plays a role. Either the domain itself has an influence on productivity or at least it determines which of the other factors have the strongest influence. This contradicts general and generic productivity models but suggests that individual models are needed.

It is also notable that although experience is often brought up and is in interviews considered important, in empirical studies it is rather insignificant. By far more interesting is the capability of the developers. Hence, this suggests that only being in a profession for a long time does not make one productive.

Table 2. The derived soft factors

Factor	Description	No. of Sources
Corporate Culture		
Credibility	Open communication and competent organisation.	4
Respect	Opportunities and responsibilities.	6
Fairness	Fairness in compensation and diversity.	5
Team Culture		
Camaraderie	Social and friendly atmosphere in the team.	1
Team Identity	The common identity of the team members.	2
Sense of Eliteness	The feeling in the team that they are “superior”	3
Clear Goals	How clearly defined are the group goals?	3
Turnover	The amount of change in the personnel.	7
Team Cohesion	The cooperativeness of the stakeholders.	9
Communication	The degree and efficiency of which information flows in the team.	4
Support for Innovation	To what degree assistance for new ideas is available.	1
Capabilities and Experiences		
Developer Temperaments	The mix of different temperaments on the team.	1
Analyst Capability	The skills of the system analyst.	8
Programmer Capability	The skills of the programmer	10
Applications Experience	The familiarity with the application domain.	7
Platform Experience	The familiarity with the hard- and software platform.	7
Language and Tool Experience	The familiarity with the programming language and tools.	8
Manager Capability	The control of the manager over the project.	7
Manager Application Experience	The familiarity of the manager with the application.	2
Environment		
Proper Workplace	The suitability of the workplace to do creative work.	3
E-Factor	This environmental factor describes the ratio of uninterrupted hours and body-present hours.	2
Time Fragmentation	The amount of necessary “context switches” of an employee.	1
Physical Separation	The team members are distributed over the building or multiple sites.	4
Telecommunication Facilities	Support for work at home, virtual teams, video conferencing with clients.	2
Project		
Schedule	The appropriateness of the schedule for the development task.	5
Requirements Stability	The number of requirements changes.	6
Average Team Size	Number of people in the team.	10

5. Related work

An early review of the state of the art in software development productivity was done by Jeffery and Lawrence [16]. They concentrated on the conflicting results w.r.t. some factors such as experience or size that in some studies were found to have a positive in others a negative effect. We do not consider that in our paper but only analyse the relevance of a factor in general.

Maxwell, Van Wassenhove and Dutta [21] relate their research on productivity factors in military software projects to earlier studies in other areas and the factors found there. however, this work is already 12 years old and a large number of studies have contributed to the knowledge about productivity factors since then.

Ramírez and Nembhard [25] analysed the more general category of *knowledge worker* productivity. Software developers are in their work part of these knowledge workers (KW) as opposed to manual workers. They state that “it seems to be of common agreement that to date there are no effective and practical methods to measure KW productivity.” Hence, they concentrate on a review of the dimensions

used in the literature whereas our review considers the factors influencing productivity.

6. Conclusions

The productivity of the development team is decisive for successful software projects. Hatton [14] shows that there are large differences, especially in the abilities of the developers. “[...] in most experiments, analysts regularly record variations of a factor of 10 or more in the individuals’ performance.” This illustrates the large potential for improvements in development projects.

However, controlling productivity is only possible if the influencing factors are known. “You cannot control what you cannot measure.” [11] Hence, a clear list of influences on productivity in software development is needed in order to organise corresponding analysis and control activities. Existing productivity models and methods already make use of lists of productivity factors.

Yet, there is a large body of literature on productivity and productivity factors accumulated over the last decades. This paper provides a systematic review of this literature

and a derived list of important factors based on their use in the studies. Soft and technical factors are investigated in equal detail and a list of factors is provided for each.

This list can now be used for modelling productivity and for productivity improvement methods. For example, the ProdFLOW^{TM1} method described in [27] uses interview techniques for determining the most influential factors in productivity for a specific organisation. These interviews can be supported by the comprehensive knowledge about existing factors from the compiled lists.

For further research, we need to add further detail to the lists of factors by determining whether the factors influence productivity positively or negatively which is important for productivity models. Furthermore, there are influences between factors that can also have significant effects that need to be considered in this list and corresponding models.

Finally, for further surveys like this, it would be extremely useful if the researchers that report about the influence of specific factors on productivity were describing the factors, the measurement units and the context in more detail. Then the knowledge can be aggregated in ways that can provide even more value.

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